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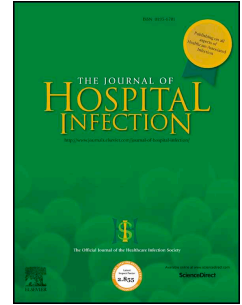


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# Accepted Manuscript

The impact of penicillin allergy records on carbapenem prescribing: an observational retrospective cohort study

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1 **The impact of penicillin allergy records on carbapenem prescribing: an observational**  
2 **retrospective cohort study.**

3 Meropenem prescribing associated with penicillin allergy records

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15 **Abstract**

16 Penicillin allergy labels have been associated with second line antibiotic prescribing. This  
17 study measured the impact of penicillin allergy labels on meropenem prescribing.

18 Rates of meropenem prescribing were compared between patients with a penicillin allergy  
19 record and patients without such a record. Potential confounders were also collected (age,  
20 sex, co-morbidity).

21 Of the 21,272 patients with no penicillin allergy 225 (1.06%) were prescribed meropenem,  
22 whereas of the 3443 with penicillin allergy 240 (6.97%) were prescribed meropenem.

23 Meropenem prescribing is associated with a patient's penicillin allergy record. Given that  
24 many penicillin allergy records are incorrect, addressing spurious penicillin allergy labels  
25 may reduce meropenem prescribing.

26

27

28

## 29 **Introduction.**

30 Carbapenems are broad-spectrum antibiotics that are generally held in reserve and used to  
31 treat infections caused by antimicrobial-resistant (AMR) bacteria. Over recent years, the  
32 effectiveness of this valuable class of antibiotics has been threatened by the global  
33 emergence of bacteria that can produce carbapenemase enzymes which inactivate these  
34 antibiotics.<sup>1</sup> In order to reduce the selection pressure for the emergence and spread of multi-  
35 resistant bacteria, including those that produce carbapenemases, the English Department of  
36 Health Commissioning for Quality and Innovation (CQUIN) framework has set targets for the  
37 reduction of carbapenem prescribing.<sup>2</sup>

38 Patients with a record of penicillin allergy may be prescribed carbapenems more often than  
39 patients without a penicillin allergy record.<sup>3</sup> Carbapenems are used rather than  
40 cephalosporins or other broad-spectrum antibiotics when second or third-line treatments are  
41 needed because of the low reported rates of allergic reactions to carbapenems in patients  
42 with a penicillin allergy record.<sup>4,5</sup> Penicillin allergy status may therefore be driving use of  
43 carbapenems. If 90 percent of patients with a label of penicillin allergy are not truly allergic to  
44 penicillin when formally assessed as much literature suggests,<sup>6</sup> penicillin allergy records may  
45 be unnecessarily increasing the use of carbapenems. “De-labelling” patients with false  
46 penicillin allergy records may therefore be a means of reducing unnecessary carbapenem  
47 use and complying with the AMR CQUIN.<sup>2</sup>

48 The aim of this study was to measure the impact of penicillin allergy status on meropenem  
49 prescribing, taking account of confounding factors.

## 50 **Methods**

51 This study was designed and reported using the STROBE statement.<sup>7</sup>

### 52 *Ethics*

53 NHS ethics approval was not required as the study did not meet the Health Research  
54 Authority definition for research or the requirements for NHS Research Ethics Committee  
55 approval. The patient data were used in accordance with local NHS Hospital Policy.

### 56 *Design*

57 Case control study within a cohort of all patients who were prescribed antibiotics during the  
58 study period. Cases were considered to be patients with a penicillin allergy record in their  
59 electronic health records, controls, were those patients without such a record. The first

60 patient spell, for both cases and controls, were included with all subsequent spells excluded  
61 if the patient had multiple inpatient spells, to avoid double counting of patients.

## 62 *Setting*

63 Study was conducted in a district general hospital in England with 750 inpatient beds. The  
64 hospital serves a local population of 430,000 people, a figure that can increase significantly  
65 during holiday seasons.

## 66 *Participants*

67 Inclusion criteria: any inpatient (adult or child) prescribed a systemic antibacterial agent(s)  
68 (British National Formulary chapter 5.1) between April 2016 and April 2017 inclusive was  
69 eligible. Exclusion criteria, children less than 1 year of age and adults over 100 years of age  
70 were excluded to reduce the risk of unintentional identification.

## 71 *Data sources and variables*

72 Data were extracted from the electronic prescribing and medication administration system  
73 (EPMA; JAC Computer Services). Variables included: age, sex, co-morbidity (International  
74 Statistical Classification of Diseases and Related Health Problems 10th Revision<sup>8</sup> (ICD-10)  
75 administrative code, see supplementary material for codes used), name of antibiotic(s),  
76 whether the patients had a penicillin allergy record, whether the patient had a penicillin  
77 sensitivity recorded (combined to give one penicillin allergy record). Patient allergy and  
78 sensitivity status is manually entered to the patient's EPMA record, this information is  
79 retained within the EPMA system between inpatient spells. The planned outcome measure  
80 was at least one prescription for a carbapenem.

## 81 *Bias*

82 Consecutive patients fulfilling the inclusion criteria were included to reduce the risk of bias,  
83 i.e. all raw data were analysed and there was no filtering of patients.

84

## 85 *Study size*

86 Pre-study sample size calculations were not undertaken because the study was a  
87 retrospective service evaluation.

88

## 89 *Statistical methods*

90 A pre-specified logistic regression model was used to investigate the relationship between  
 91 penicillin allergy records and prescription of meropenem, taking account of potential  
 92 confounders: age, gender, and co-morbidities.

### 93 Results

94 There were 24,715 patient first spells where at least one antibiotic was prescribed. Thirty-two  
 95 and 465 patients were prescribed ertapenem and meropenem, respectively. There was only  
 96 one imipenem prescription, precluding meaningful analysis. Subsequent analysis  
 97 concentrated on meropenem, to maximise statistical power.

98 Of the 21,272 patients with no penicillin allergy 225 (1.06%) were prescribed meropenem,  
 99 whereas of the 3443 with penicillin allergy 240 (6.97%) were prescribed meropenem. The  
 100 results of multivariable analysis of factors affecting meropenem prescribing are shown in  
 101 Table 1. Increased meropenem prescribing was associated with penicillin allergy status,  
 102 increasing age, female sex, and selected co-morbidities (cancer, renal disease, peripheral  
 103 vascular disease, diabetes and liver disease). Patients with chronic obstructive pulmonary  
 104 disease (COPD) were less likely to be prescribed meropenem. Amoxicillin was prescribed  
 105 for 7511/21,272 (35.3%) patients without a record of penicillin allergy whereas 129/3443  
 106 (3.7%) patients with a record of penicillin allergy were prescribed amoxicillin.

Variable	OR	Lower - Upper	P value
Penicillin allergy or sensitivity record	6.70	5.53 - 8.12	<0.001
Gender Female	1.36	1.12 - 1.66	0.002
Age on discharge	1.01	1.01 - 1.02	<0.001
<b>Comorbidities</b>			
Asthma	0.57	0.27 - 1.19	0.136
Cancer	1.89	1.49 - 2.39	<0.001
CHD	0.68	0.43 - 1.07	0.097
Renal	1.40	1.10 - 1.78	0.007
COPD	0.47	0.23 - 0.98	0.043
Pulmonary	1.56	0.80 - 3.03	0.194
DM	1.31	1.02 - 1.67	0.033
Smoker	1.22	0.89 - 1.66	0.213
CVA	1.11	0.81 - 1.53	0.516
AMI	1.28	0.78 - 2.10	0.333
CHF	1.22	0.90 - 1.67	0.206

Connective tissue disease	1.06	0.65 - 1.73	0.817
Dementia	0.98	0.65 - 1.47	0.917
Liver	2.08	1.17 - 3.68	0.012
Peptic	1.72	0.67 - 4.46	0.258
PVD	1.75	1.22 - 2.52	0.002
paraplegia	0.88	0.37 - 2.09	0.777

107

108 Table 1. Coefficients for logistic regression assessing independent risk factors for  
 109 meropenem prescribing. CHD - coronary heart disease, COPD – chronic obstructive  
 110 pulmonary disease, DM – diabetes mellitus, CVA – cerebral vascular accident, AMI – acute  
 111 myocardial infarction, CHF – congestive heart failure, PVD – peripheral vascular disease

## 112 Discussion

### 113 *Key findings*

114 Patients with a penicillin allergy record were approximately six times more likely to be  
 115 prescribed meropenem than patients without a penicillin allergy record, even after  
 116 accounting for age, gender, and comorbidities; because prescription rates were below 10%,  
 117 the odds ratio approximates to the relative risk. Efforts to ensure allergy records are  
 118 accurate<sup>6</sup> and to identify patients with incorrect penicillin allergy and sensitivity records and  
 119 removing those labels will likely reduce the use of second line antibiotics such as  
 120 meropenem in hospitals.

121 Meropenem is recommended in our local antibiotic prescribing guidelines for sepsis and  
 122 neutropenic sepsis in patients with a history of non-severe penicillin allergy history and as  
 123 such we would expect to see increased prescribing of meropenem in those with a history of  
 124 penicillin allergy.

125 Among the cohort of patients prescribed antibiotics, COPD patients were less likely to be  
 126 given meropenem, while cancer patients, renal patients, respiratory patients, diabetic  
 127 patients, peripheral vascular disease patients and liver patients were more likely to receive  
 128 meropenem. We hypothesise that a perceived or actual risk of infection with multi drug-  
 129 resistant Gram negative bacteria (MDR-GNB) may account for some of this increased risk of  
 130 a carbapenem prescription. Although these co-morbidities have not been specifically  
 131 identified as risk factors for MDR-GNB in guidelines,<sup>9, 10</sup> previous antibiotic exposure is a risk  
 132 and these groups tend to be high antibiotic users.<sup>10</sup> Diabetes has been previously identified  
 133 as a risk factor for urinary tract infections (UTI) due to ESBL-producing *E. coli* or *Klebsiella*

134 sp<sup>9</sup> and carbapenem antibiotics are the usual antibiotic choice for ESBL UTI, so this might  
135 explain some of the increase in meropenem prescribing we have seen in diabetic patients.  
136 Cancer patients are more likely to receive fluoroquinolones as part of their chemotherapy  
137 regimen,<sup>11</sup> which also increases risk of extended spectrum beta-lactamase producing  
138 bacterial carriage and infection.<sup>9</sup>

### 139 *Limitations*

140 This study is based on electronic data records, which are dependent on the quality of data  
141 entry. The data we have used however, are what is used in clinical practice and what drives  
142 prescribing. We expect gender, date of birth and antibiotic prescription to be accurate. Co-  
143 morbidities, identified by ICD-10 codes, rely on accurate hand written inpatient medical  
144 records and therefore patient co-morbidity assignment errors may occur.

145 The large cohort size in this study is a strength but the results may not be generalizable due  
146 to it being a single centre study. Others have shown the association between meropenem  
147 prescribing and penicillin allergy<sup>3</sup> but further multi-site studies are needed to confirm this  
148 association.

149 We have not differentiated allergy from sensitivity or further categorised the recorded  
150 reactions that the patient experienced; in practice these terms are often used  
151 interchangeably and poor recording of reactions usually precludes a more detailed analysis.  
152 We have found that combined the allergy / sensitivity record has a profound effect on  
153 meropenem prescribing.

### 154 **Conclusion**

155 Meropenem prescribing is being driven by penicillin allergy records. Given that many  
156 penicillin allergy records are incorrect, addressing spurious penicillin allergy labels may be a  
157 way to reduce unnecessary meropenem prescribing.

### 158 **Funding**

159 None. These data were generated as part of routine work within the organisation.

### 160 **Transparency declarations**

161 None to declare.

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