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Politics, problematisation, and policy: a comparative analysis of energy poverty in England, Ireland and France.

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7 Abstract

8 Energy poverty, as a social and political issue, is at different stages of development across Europe. 9 Originating in the UK, it is rising up many European political agendas, driven by a range of concurrent 10 issues including: economic recession and inequality, low carbon energy transitions, and changing 11 consumption demands. This article presents analysis of three national approaches to energy poverty 12 in Europe; England, Ireland and France. In comparing these cases, we show how each defines and measures energy poverty differently and how this affects the selection and functioning of different 13 14 policy solutions. We draw on the conceptual separation of multiple streams theory (politics, 15 problems and policy) to assess the shape of energy poverty on the political agenda of each nation. 16 We consider the *political* context of each nation and show how energy poverty overlaps with other 17 agendas such as: welfare reform, energy market liberalisation and climate change. We review each 18 country's approach to defining the *problem* of energy poverty focusing on how the issue is 19 delineated and measured. In each case, we show how there has been recourse to two broad types of 20 policy solution: subsidising energy costs and improving the efficiency of the housing stock. Our 21 analysis reveals interesting similarities (e.g. in the use of affordability and efficiency policies) and 22 differences (e.g. in the versatility of definitions) in addressing the significant levels of inequality in 23 access to energy services among the populations of these three Western European countries. 24 **Keywords** 25 Energy poverty; multiple streams; problematisation; France; England; Ireland

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- 28
- 29 1. Introduction

Energy poverty has emerged onto a number of national agendas, in the last few decades, resulting in much deliberation over how it should be defined, and addressed. At the supranational level the EU

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- 32 has begun to formalise its own energy poverty agenda, resisting calls for a common definition but
- acknowledging the issue as a social and political reality. The launch of the EU Energy Poverty
- 34 Observatory (EPOV) in 2018 is indicative of the interest that the European Commission has in this
- 35 topic. The availability of data, knowledge and resources through EPOV invites questions about the
- 36 similarities and differences between member state's existing responses to the issue.

37 In this article, we draw on the agenda-setting and policy framing literature, in particular Kingdon's

- 38 'multiple streams' framework, to consider the problematisation of energy poverty as a political
- 39 issue. There has been a range of responses to the issue around Europe: energy poverty can be a
- 40 well-established national policy issue (UK), subject to a growing policy response (France, Ireland),
- involve initiatives emerging locally where national policy does not exist (the Netherlands, Spain), or
 not be recognised as a problem altogether (Denmark, Germany). In this paper, we focus on the issue
- 43 of energy or fuel poverty in three different national contexts England, France and Ireland circa
- 44 2000 2018. We chose these three nations, partly because they all have an established energy
- 45 poverty agenda and dedicated policies, but also because of what we knew of the diversity in their
- 46 approaches: we were intrigued by the contrasting understandings of the problem these proximate
- 47 nations had developed.
- 48 The case studies of the three nations describe distinct energy poverty agendas. They consider how
- 49 the 'problem' of energy poverty has been defined, the approach to policy solutions that has been
- 50 taken and the politics which have shaped both problem and solution framing. This analysis uses
- 51 multiple streams theoretical separation of *problem*, *policy and politics*, as a sensitizing framework
- 52 with which to approach our case studies. We also examine how these three elements interact and
- 53 influence each other, as a means of developing a distinctive understanding of the issue in each
- 54 nation.
- 55 In addition to the multiple streams framework, we draw on the policy studies literature, which
- 56 emphasises the non-linearity of policymaking: acknowledging the constant overlap and interaction
- of policy ideas and practices (Cairney, 2012c). Our main contribution to this theoretical literature is
- 58 to highlight the importance of context and (re)framing, showing how international political and
- 59 economic factors, and the common challenges of defining and addressing energy poverty, are
- 60 responded to in each nation.
- 61 Section 2 provides a brief overview of 'energy poverty as a political issue', considering it's distinction
- 62 from generalised poverty and its place on the political agenda in the UK, Ireland, France, and at the
- 63 European level. In section 3, we set out the framework of multiple streams theory, highlight the
- 64 importance of issue framing and the potential interaction of policy problems and solutions. Section 4
- 65 is comprised of the three case studies, and explores the problematisation, range of policy solutions
- and the political context that relates to energy poverty. In section 5, we discuss the differences and
- 67 similarities between the cases and reflect on the importance of the social and political context in
- each country, as well as identifying common factors that affect how energy poverty is understood
- and addressed as a political issue.

2. Energy poverty as a political issue in Europe

- 71 Energy poverty, when considered as a form of deprivation distinct from income poverty, is
- 72 conventionally associated with three main causal factors low income levels, high energy costs, and
- 73 low levels of domestic energy efficiency (Boardman, 2013). Energy and income poverty are not,
- however, always conceived of as distinct and have intertwined histories and conceptualisations
- 75 (Hills, 2011; Middlemiss, 2016). In each of our case study nations, political concern about people

- being able to afford to live in adequately warm homes pre-dates the emergence of the terms 'fuel
- poverty' or 'précarité energetique' (hereafter referred to as energy poverty). For instance, in the UK
- and Ireland, financial support has been offered by governments to cover the cost of heating since at
- 79 least as early as the 1940s (Healy, 2003; Boardman, 2013). These policies are an implicit recognition
- 80 that income poverty and levels of socio-economic inequality are enduring problems affecting
- 81 households' ability to access basic goods and services that require energy.
- 82 Over time, successive political and economic factors have pushed the issue of energy affordability
- 83 further into the political and public spotlight across Europe. Most recently, the EU-wide effort to
- 84 reduce greenhouse gas emissions from the energy sector, together with rising wholesale energy
- 85 prices, have drawn greater attention to the questions of equity in the energy system. This tension
- 86 has been exacerbated by the economic recession of 2008, with resulting austerity policies and
- 87 stagnant wages contributing to a 'cost of living crisis' for many households in Western Europe.
- 88 The European Union recently launched consultations and research programmes intended to develop
- a common understanding of energy poverty, indicating that there is now explicit recognition of the
- 90 widespread problem of energy poverty in Europe. This endeavour to develop coherent monitoring
- 91 and policy at the EU level (through EPOV) represents an opportunity for sharing best practice and
- 92 drawing lessons across country contexts. It is in this space that our article intends to offer a
- 93 contribution; providing comparative qualitative analysis of the potential problem definitions and
- 94 policy solutions to complement the existing work on quantifying the scale of the problem.
- 95 Following earlier work (Kerr et al 2017), which considers the potential for multiple problem streams
- 96 (climate change, energy poverty,, unemployment, energy security) to interact with a single policy
- 97 solution stream (energy efficiency), in this paper we outline the multiple, distinct policy problems
- that are connected to energy poverty in each national context. We then highlight the different
- approaches to policy solutions that are associated with the issue, as a means of understanding the
- 100 emergence of energy poverty in each case. In doing so we extend the logic of multiple problems
- being associated with a single policy solution in earlier work, to consider the interaction between
- 102 multiple policy problems, policy solutions and broader political drivers.

103 3. Theoretical framework: comparing the problem, policy and politics

104 streams of different nations

- 105 In this analysis, we draw on Kingdon's multiple streams theory of the policy process as a heuristic 106 device, using its categorisation as a sensitising framework with which to analyse the political issue of 107 energy poverty. The multiple streams framework describes how new agendas and policies emerge 108 when a particular problem aligns with appropriate solutions and political actors to create a 'window 109 of opportunity' (Kingdon, 1995). This suggests that problems are addressed when a solution exists 110 that is considered feasible, and when there is sufficient political will to enact it. The theory sets out 111 that such an alignment of problem, solution and politics streams results in the opening of a 'policy 112 window' that can be exploited by 'policy entrepreneurs' who wish to promote their preferred 113 solutions (ibid.).
- 114 While the separation of problem, policy and politics is helpful, the need to align these elements in
- order to produce a policy response ('conditions were right, a window of opportunity opened, and
- policy was established') is too simple a framework through which to tell the complex and dynamic
- 117 story of our three cases. This is in part due to the length of time over which our analysis takes place,
- but also because of the existence of competing and complementary political influences across
- 119 Europe and within each country. As a result, our analysis involves a less formulaic explanation of

- 120 policy formation. We develop a description of how the multiple streams categories co-evolve,
- 121 interact, and transform over time. Below we briefly introduce some of the literature on issue
- 122 problematisation and the development of policy solutions, and explain how each plays a distinct,
- but interrelated, role in the agenda of energy poverty.

124 3.1. Problematisation and the importance of framing

125 Our explanation of the problematisation of energy poverty, focuses on the agenda-setting stage of

- 126 the policy process. We acknowledge the non-sequential nature of the policy process, and that any
- 127 particular 'agenda' is likely to be simultaneously implemented and influenced. Within government,
- the policy process is often conceived of as a sequential cycle with discrete stages (see for example
- HM Treasury, 2003). This is, however, a simplistic abstraction of what, in reality, is a complex and
- 130 messy process, with multiple opportunities for feedback between stages (Cairney, 2012c).
- 131 Political and public attention is finite, and there is limited space for issues to co-exist and share
- prominence on the political agenda (Tosun, Biesenbender, & Schulze, 2015). The number of
- 133 potential issues greatly exceeds the capacity of decision making institutions to process them (Cobb,
- 134 Ross, & Ross, 1976). Decision makers' cognitive limitations, also known as their 'bounded
- rationality', is seen as a "fundamental part of most political theories of public policy making"
- 136 (Cairney, 2012b).
- 137 Further, some authors distinguish between a public agenda and a formal political agenda. Cobb et al
- 138 (1976) consider the public agenda as issues that receive "widespread attention" and the formal
- agenda as that receiving attention from political decision-makers. The tangibility the level of direct
- 140 relevance of an issue to the general public and the language or perceived degree of technical
- 141 knowledge required to understand an issue, can all influence the likelihood of particular issues being
- 142 propelled by public opinion onto the formal political agenda (Cairney, 2012b). The direct relevance
- 143 of domestic energy use to every household, casts energy poverty as a political issue that is
- 144 unmistakably tangible to a wider public.
- 145 The definition and framing of issues is critical to their emergence and positioning in both public and
- political agendas (Baumgartner & Jones, 1991; Cairney, 2012c). The discursive framing of issues
- 147 exerts an influence on decisions and policy throughout their lifetime, although framings may change
- 148 over time. For instance, long-standing issues such as socio-economic inequality or energy system
- 149 transition will wax and wane on political agendas, in accordance with their resonance to other social
- and political discourses and agendas. Ultimately, framing an issue, defining what constitutes it's
- relevant parts, provides the key political 'terms of reference' for articulating a problem and
- 152 responding to it.
- 153 The framing of a policy issue entails the selection, organisation and interpretation of a limited
- amount of information as a means of making sense of complex reality (Nilsson, 2005), something
- that interpretivist scholars have analysed in many different contexts (Fischer, 2003). Shim et al
- 156 (2015), for example, consider the extent to which the issue of nuclear energy sees different
- emphases on the framings of security, clean energy, and nuclear safety in different political contexts.
- 158 Stokes & Warshaw (2017) consider the influence of policy framing on public opinion with respect to
- renewable energy policy i.e. how much emphasis is put on the issue affecting jobs, local pollution or
- 160 combating climate change. Different framings of policy learning processes, monitoring and
- 161 evaluation, can also contain different objectives, assumptions and prescriptions (Nilsson, 2005).
- 162 Ultimately, framings are a mix of purposively selected facts and figures, and emotive appeals to
- 163 moral positions and the tangible aspects of a particular issue (Cairney, 2012b).

- 164 Given the multi-faceted nature of energy poverty (Meyer et al., 2018; Middlemiss et al. 2018),
- 165 principally through its connection with a wide range of other social and political issues (e.g. poverty,
- 166 health, climate change, energy efficiency, aging population, access to decent housing), it is not
- surprising that the issue can be framed and problematized in a number of ways. According to the
- logic of multiple streams theory, the framing of an issue needs to successfully connect with both the
- 169 political context, and the different possible solutions that exist to resolve the problem. The upshot is
- 170 the potential for energy poverty to be defined differently in different nations, at different times, and
- 171 at different scales of public authority.
- 172 Income poverty a more established issue on political and public agendas in Europe than energy
- poverty provides a useful point of comparison. The movement of income poverty to a more
- 174 prominent place on some countries' political agendas is thought to be connected to a change in the
- 175 'causal story' (Stone, 1989) with which it is attached and also with wider political factors such as the
- establishing of a welfare state e.g. changing poverty from being an issue of private to public
- 177 responsibility (Cairney, 2012b). A problem's current, and historical, causal story can be analysed to
- help explain the existence and the form of policy interventions that are adopted.

179 3.2. Matching policies to problems

- 180 In the policy studies literature, it is well established that the policy process is not ordinarily a linear,
- 181 sequential process of problem identification followed by a decision on appropriate solutions
- 182 (Cairney, 2012; Cooper-Searle et al., 2018; Jordan et al., 2015). Indeed, policies (or the solution
- stream in MS theory) are conceptualised as a 'soup', 'pool', or 'trash can' of ideas developed by
- specialists within their area, which are ready to be actioned when called upon. Some authors
- 185 (Zahariadis, 1999) make a distinction between 'consequential' coupling of streams, where a problem
- emerges, and a solution is sought, and 'doctrinal' coupling, where "solutions chase problems to
- 187 which they can be attached" e.g. driven by ideological commitments and politicians looking to make
- their mark (Kingdon, 1995). This distinction lies at the heart of multiple streams theory, which states
- 189 that there is not necessarily a linear (consequential) process of problem stream identification
- 190 followed by the search for a solution.
- 191 In the context of energy poverty, this article identifies two primary areas where public policy directly 192 attempts to provide solutions, namely affordability policy - reducing the proportion of income
- 193 households need to spend on energy and efficiency policy retrofitting dwellings to make them
- 194 more energy efficient. Each of these addresses one or more of the three main drivers of energy
- 195 poverty. They also, however, simultaneously address other related political issues e.g. income
- 196 poverty, climate change, decent housing, public health. In lieu of a full description of all potential
- policy solutions, and their linkages with different political agendas, in this analysis we provide a
- 198 summary of the two main overarching approaches to policy solution: reducing energy costs
- 199 (affordability policy) and improving energy efficiency (efficiency policy).
- 200 A household's energy costs are the result of a wide variety of global and local factors, including: 201 supply sources, interconnected grid systems, and domestic markets. The extent to which national 202 governments and international organisations are willing, or able, to intervene in these areas varies. 203 Concerns around energy prices is a feature of some political agendas, especially as many nations 204 face uncertainties associated with security of supply and the costs of transitioning towards more 205 low-carbon energy systems. While many policy decisions can affect the price of energy, equity 206 concerns are often secondary to technical and political priorities, leading to calls for fairer ways of 207 distributing the costs of energy provision (Barrett et al., 2018). Following energy sector privatisation 208 in many countries, some national governments are now less able to intervene directly to 'set prices'.

- 209 Although, to some extent this still happens under the auspices of energy sector regulators that have
- 210 the power to control or 'cap' prices for certain types of consumer (e.g. those on prepayment
- 211 meters). More typically, countries will use systems of energy cost subsidisation for energy poor
- households: whether directly as a rebate on their energy bills or indirectly as part of broader incomesupport payments.
- 214 It is also common to see a range of policies addressing the energy efficiency standards of new 215 buildings and for retrofitting existing buildings. Support for this type of solution is driven by a variety
- of different rationales e.g. alleviating energy poverty, reducing carbon emissions and improving the
- 217 health of occupants (Kerr et al., 2017). More stringent energy standards for new buildings can be
- 218 politicised, by perceptions that they increase construction costs. With regards to retrofitting existing
- 219 buildings, many different policy instruments exist to incentivise owner occupiers and landlords to
- 220 invest in efficiency improvements e.g. financial incentives or regulations. Again, the funding and
- 221 functioning of these different instruments will involve political scrutiny and can be interpreted or
- framed differently in terms of cost-efficiency, equity, emissions savings depending on the
- 223 particular perspective.

4. A comparative analysis of England, Ireland and France

225 This section considers the issue of energy poverty in three countries (England, Ireland and France), 226 where it has been established on the political agenda for some time. We structure our analysis by 227 first setting the scene in each case, considering the broader socio-political and economic issues that 228 intersect with energy poverty. We then address how energy poverty has been 'problematised' in 229 each country, (i.e. outlining its 'causal story'). Finally, we consider the policy solutions that have 230 been adopted in each country. For the sake of comparison, policy instruments specifically aimed at 231 tackling energy poverty are sorted into two categories, those that address energy affordability and 232 those that seek to improve the energy efficiency of domestic buildings. These cases are necessarily 233 concise, and clearly not exhaustive, but they offer sufficient detail to provide interesting points of 234 comparison and analysis.

235 4.1. England (within the UK context)

236 4.1.1 Politics

- 237 For successive UK governments, energy poverty has been seen as a costly social problem (both
- politically and economically) as it leads to unacceptable living conditions and ill health for millions of
- households. Under a Labour Government (centre left), in 2001, the first national fuel poverty
- 240 strategy was launched with a resounding target to 'end the blight of fuel poverty for vulnerable
- households by 2010... and all households by 2016' (Inter-Ministerial Group on Fuel Poverty, 2001:
- 242 p1). A semi-independent Fuel Poverty Advisory Group was also established to monitor policy
- 243 progress and provide advice to policymakers, keeping the issue on the political agenda.
- 244 Subsequently, after missing these targets, domestic energy efficiency standards became the new
- indicator by which success would be measured. The use of long-term targets broken down into 5-
- 246 yearly stages chimes with the UK's approach to reducing greenhouse gas emissions and raises
- 247 interesting political questions about the overlap between these agendas as well as questions about
- the political legitimacy of target setting over multiple administrations (Rutter and Knighton, 2012).
- 249 The interplay between climate change and energy poverty was thrown into the political spotlight in
- the run up to the 2015 general election. Domestic energy prices had spiked at a time of austerity and
- all energy policy costs were being closely scrutinised. The impact of this political pressure was
- evident in two flagship policy decisions in England: the introduction of a price cap on tariffs for

- vulnerable consumers (BEIS, 2017), and a reduction in the overall budget for energy efficiency
- improvements (but a relative increase for the amount available to the energy poor) (DECC, 2016a).
- 255 Similarly, welfare payments for energy such as the Winter Fuel Payment (see below) were criticised
- for being poorly targeted and costing too much (£2-3 billion per year) (Thurley and Kennedy, 2017).
- 257 However making cuts to this policy was less politically feasible and became a partisan issue that
- 258 divided politicians.

259 Finally, in terms of energy poverty's place on the public and political agendas, the recession and

- austerity-driven cuts to policies drew attention to the 'cost of living crisis' facing many low-income
- 261 households in the UK. A powerful frame linking this to energy poverty is the 'heat or eat dilemma',
- succinctly describing the budgetary trade-offs and desperate measures that many households face
- 263 (Snell & Lambie-Mumford, 2017). Significant growth in the use of foodbanks in the UK added further
- 264 media and public attention to this issue, with discourses harkening back to the moral overtones of
- the first national strategy's description of energy poverty as a 'blight on society'

266 4.1.2. Problematisation

- 267 Energy poverty as a particular form of poverty emerged in the UK in the 1980s. Following Boardman
- 268 (1991), the three main causal 'problems' were identified as low incomes, inefficient housing and
- high energy prices. Separately these issues had all been of concern for some time, but the discursive
- practice of using the term 'fuel poverty' (hereafter 'energy poverty') to describe their intersection
 created a new, distinct, policy problem at the heart of which is the inability to access adequate
- thermal comfort (Simcock et al., 2016).
- Based on World Health Organisation guidelines, and economic modelling of incomes and housing
 costs, the first official definition declared a household to be energy poor if it 'had to spending 10% or
 more of income to achieve adequate warmth' (Inter-Ministerial Group on Fuel Poverty, 2001: p6).
 This placed *thermal comfort* and *household budgets* as the primary indicators: accepting that when
 these conditions were not met, the consequences for a households' quality of life were severe and
 highly visible. Since then, annual statistics of the number of households in energy poverty and the
 number of 'excess winter deaths' related to cold homes have been recorded and act as a regular
- 280 reminder of the persistence and gravity of the problem.
- After missing the 2010 target, and being on course to miss the 2016 target, to eradicate energy
- 282 poverty, the then Coalition Government (centre left-centre right) commissioned an expert review to
- reassess the problem (see: Hills, 2012), ultimately choosing to revise the official definition of energy
- poverty and set new targets for England and Wales (DECC, 2015). Although they are undoubtedly
- more sophisticated, the new 'low income high cost' and 'fuel poverty gap' measures are both based
- 286 on relative medians, effectively dampening any quantifiable effect of changes in energy prices,
- incomes and policy interventions (Middlemiss, 2017) i.e. accepting that inequality in energy
- 288 affordability will always be present.
- 289 One aspect of the original problematisation that remained in the revised definition in England was
- 290 the recognition of health impacts and inequalities. The Marmot review (Marmot Review Team,
- 201 2011) strengthened and reiterated the evidence of negative health impacts of cold homes, especially
- 292 for certain demographics such as older people, young children and those with long-term illnesses or
- 293 disabilities. This lends an explicitly moral overtone to discussions about the problem of energy
- 294 poverty and deciding how to direct limited policy resources.

295 4.1.3. Policy solutions

- 296 There has been an observable shift in the framing of national energy poverty strategies in England.
- 297 All three underlying causes, and their negative consequences, have been present throughout, but
- 298 the policymaking emphasis has moved from direct financial support towards an emphasis on:
- 299 improving domestic energy efficiency through retrofit regulations and incentives, and individual
- 300 behaviour change; and keeping energy prices low (through market interventions and consumer
- 301 empowerment).
- 302 The underlying concern with inadequate thermal comfort and the negative health impacts of cold
- 303 homes is evident in the majority of the specific policies discussed below. It was also formalised in a 304 quality standard for the health and care sector, providing a set of guidelines for 'preventing excess
- 305 winter deaths and illness associated with cold homes' (NICE, 2015).
- 306 Welfare payments to help vulnerable households pay for energy were first standardised in the UK in
- 307 1986 with the introduction of a Cold Weather Payment. During periods of cold weather, payments
- 308 were automatically made through existing benefits channels to older people, families with young
- 309 children and people with disabilities or long-term illnesses - reflecting the above mentioned
- 310 consensus about who was most vulnerable to the negative health impacts of cold homes (Kennedy,
- 311 2010). In 1997 the Winter Fuel Payment was added, giving an annual sum to everyone over the age
- 312 of 60 and in receipt of a State Pension or other specific benefits; again based on the assumption that
- 313 older people are most at risk, especially if they rely on static benefits-based incomes (DWP, 2015).
- 314 These direct financial payments remain in place today and, in 2011, were joined by the Warm Home
- 315 Discount; an annual rebate of £140 on energy bills for eligible households. Again the priority group
- 316 was pensioners on basic state incomes (who receive the rebate automatically). Low-income
- 317 households or those in receipt of certain benefits are also eligible but have to apply through their
- 318 energy supplier. Two significant problems arise from the private sector governance of this policy.
- 319 First, energy company discretion over eligibility criteria leads to a lack of clarity and low uptake
- 320 among the most vulnerable (Hough, 2016). Second, 70% of rebates initially took the form of debt 321
- relief; meaning energy companies deducted the rebate from outstanding debts, leaving households'
- 322 expendable income unaltered (DECC, 2016).
- 323 Warm Front (2000-2013) was a taxpayer-funded grant providing retrofit measures for low-income
- 324 households. During its lifespan, the UK government spent £3.2bn on heating and insulation
- 325 improvements, enjoying high levels of uptake and overwhelmingly positive reviews from recipients
- 326 (DECC, 2014; Sovacool, 2015). However, due to concerns about targeting, the eligibility criteria were
- 327 gradually tightened (NAO, 2009) as policymakers 'had the impression that some recipients may have
- 328 been able to fund the measures themselves' (DECC, 2014: 10). Finally, as a result of cuts to
- 329 departmental spending in order to tackle the growing public finance deficit (DECC, 2010) this policy
- 330 was scrapped.
- 331 Pre-dating and outlasting Warm Front, energy supplier obligations have been the policy of choice in
- 332 the UK, especially in England (running continuously in various forms since 1994). In this market-
- 333 based mechanism, governments set retrofit targets (backed by economic sanctions) that energy
- 334 companies must meet. The down-side of this private sector governance arrangement became clear
- 335 when the first phase of the Energy Company Obligation (2013-2016) failed to reach households living
- 336 in very poor quality dwellings because they needed expensive retrofit measures, leading the
- 337 government's Energy and Climate Change Committee to conclude that 'having energy companies
- 338 control most of the funding has not been beneficial for those in fuel poverty, hard to reach and low-
- 339 income households' (Hough and Page, 2015). Such targeting failures are particularly problematic for

- 340 this sort of policy because it is regressively funded through energy bill levies i.e. exacerbating one of
- 341 the main causes of energy poverty. Despite these drawbacks, ECO remains the flagship policy for
- 342 tackling energy poverty in England. Whereas the other nations of the UK (Scotland, Wales and
- 343 Northern Ireland) all commit public funding for supplementary policies within their own borders.

344 The level of people in energy poverty according to the Low Income High Cost measure has changed

- very little between 2003 2016. As highlighted by the relevant government department this lack of
- 346 movement is due to the relative nature of the measure. The previous measure (relative income) had
- 347 seen the number of households in energy poverty gradually increasing over time up to the change in
- 348 metric in 2010.

349 4.2. Ireland

350 4.2.1. Politics

- 351 Ireland has, until recently, been seen as one of only two EU states (alongside the UK) where energy
- poverty is firmly recognised on the political agenda, with this prevalence thought to emanate from
- the specifics of inequality and the nature of the housing stock in these countries (Bouzarovski, 2014).
- 354 In Ireland, government policy documents routinely observe that no one government department is
- responsible for energy poverty and that a cross-governmental approach is needed (DCENR, 2009,
- 2011; SEI, 2003). Various 'arms-length' groups are also involved with assessment of the issue and its
- 357 potential solutions. Since 2002, the Sustainable Energy Authority Ireland (SEAI) has been partly
- responsible for developing energy poverty policy and strategy. In 2007, an Inter Departmental/Inter
- Agency Group, chaired by the Office of Social Inclusion, was formed to oversee and drive
- 360 coordinated delivery of all energy poverty initiatives and programmes (DCMNR, 2007). The
- 361 government currently defers to an Energy Poverty Advisory group to develop an "appropriate
- 362 methodology for measuring and tracking energy poverty in Ireland" (DCENR, 2016).
- The energy retail sector has been subject to the forces of liberalisation since 1999 with the Electricity
- Regulation Act (Mccarthy, 2005). The attempt to increase competition has resulted in a mix of public
- and private companies sharing the electricity and gas markets. The 95% state-owned, commercial
- electricity company ESB, has around 50% of domestic electricity consumers with this number
 gradually decreasing from 100% since 2009. The previously state-owned Bord Gáis (sold in 2014) has
- the second largest stake (16%) in the electricity market and about 50% of the gas market (CER,
- 369 2017). The sale of Bord Gáis was a result of the conditions of the austerity bailout that significantly
- affected the Irish economy and other utility services in the wake of the 2008 financial crisis (Hearne,
- 371 2015; RTE, 2012).
- 372 Funds for energy poverty policy mainly come from central government revenue. The Fianna
- 373 Fail/Green coalition government introduced a carbon tax in 2010, which applied to various domestic
- fuels (DoF, 2010). There were initially plans to create a voucher scheme to compensate low-income
- families for the inflationary impacts of the carbon tax, but these were scrapped (Smyth, 2010). At
- the time of the introduction of the tax, the increase in efficiency spending was branded as a form of
- compensation for the energy poor of the impacts of the new tax (Convery, 2013).
- Public funds for improving home energy efficiency spending took off in 2003 with a fund ring-fenced
 for priority social groups. The overall spend from the programme was expanded significantly in
 2009/2010. Whilst some of the fund remained dedicated to priority social groups, the majority was
- now available universally (SEAI, 2004, 2010). In recent years, the low income and universal funds
- have been at comparable levels. The universal availability of some of the public funds for efficiency

- relate to the connection between home energy efficiency and the additional political issue of climate
- change. The expansion in funding in 2009/10 was also partly seen as means of supporting
- 385 employment at a time of recession (Kerr et al., 2017).

386 4.2.2. Problematisation

- The definition of energy poverty in Ireland has shifted over time. In 2003 the SEAI 'Review of Fuel
 Poverty and Low Income Housing' (RFPLIH) used the definition of "...the inability to heat one's home
 to an adequate temperature" and drew attention to "low income and poor housing" as the causes.
 Some consideration was given to other energy services i.e. "lighting, cooking and other appliance
 use."(SEI, 2003). This description is not seen as definitive and reference is made to alternative
 ways in which energy poverty can be defined and measured.
- In 2007, the National Action Plan for Social Inclusion 2007 2016 (NAPSI) focuses on warmth in its
 description and stresses the role of "the energy inefficiency of the home" (Irish Government, 2007).
- By 2009, and Ireland's first 'National Energy Efficiency Action Plan' (NEEAP) multiple energy services
- 396 were again considered as relevant with the NEEAP highlighting that previous definitions had
- 397 overlooked other domestic energy services and also making reference to the possible inclusion of
- transport fuels (DCENR, 2009). Like the RFPLIH, the NEEAP refers to the multiple means by which
- 399 fuel poverty can be defined and measured, and provides some longitudinal data of fuel poverty
- 400 levels in Ireland according to both an income and a subjective metric.
- 401 The 2011 Affordable Energy Strategy (AES) (DCENR, 2011), uses the term energy poverty rather than 402 fuel poverty, maintaining the emphasis on an acceptable level of multiple energy services. The AES
- 403 entails the "first Government strategy" specifically on energy poverty, and observes that "up to now
- 404 ... government departments and agencies have focused on delivering on discrete policy remits; this
- strategy changes this approach" The AES introduces a '*preliminary*' means of measuring energy
- poverty related to energy spend relative to income i.e. greater than 10% annually. This approach is,
 however, recognised as not fully appropriate and so levels of severe (over 15%) and extreme (over
- 407 nowever, recognised as not runy appropriate and so reversion severe (over 15%) and extreme (over 15\%) and extr
- 409 solution with a more 'comprehensive measure' and modelling framework to be developed over the
- 410 "next 3 5 years" (2011 2016). The report also includes a subjective measure (via household
- 411 surveys) to estimate levels of energy poverty.
- 412 The 2016 Energy Poverty Strategy (DCENR, 2016) continued the use of an 'expenditure method'
- 413 from the 2011 AES, but as with the AES it included descriptions of other potential means of
- 414 determining energy poverty levels. The lack of development on measurement and modelling was
- 415 attributed to "unprecedented economic challenges" and a belief that limited resources were "best
- focused on programme delivery", as well as a lack of consensus on the most appropriate alternative
- 417 approach.
- 418 In Ireland, there has been a recognition that the issue of energy poverty applies to all energy services
- and not just warmth. Aside from this consensus, problem definition has generally entailed an
- 420 openness to how the issue should be conceptualised, with routine reporting of the multiple
- 421 potential means of defining and quantifying energy poverty. This relatively open approach has
- 422 existed alongside an absence of specific targets for the removal of households from energy poverty,
- 423 although levels of energy poverty are tracked by the Central Statistics Office (DCENR, 2016).
- 424

425 4.2.3. Policy solutions

- 426 Social welfare payments and national anti-poverty strategies have been highlighted as an important
- 427 component of the government response to energy poverty since the RFPLIH in 2003 (DCMNR, 2007;
- 428 SEI, 2003). Income support policy is still seen as playing a "very important role in limiting the effects
- 429 of energy poverty in Ireland" (DCENR, 2016). The National Action Plan for Social Inclusion (Irish
- 430 Government, 2007) is also seen as the appropriate framework for addressing energy poverty
- 431 (DCMNR, 2007), and even the NEEAP 2009 refers to the role of income poverty as "undoubtedly a
- 432 significant factor... in meeting energy costs".
- 433 Whilst highlighting the relevance of the general social welfare system in providing income support, a
- 434 "dedicated specific allowance" (energy subsidisation) is also identified as playing a key role in
- 435 addressing energy poverty (DCMNR, 2007; Irish Government, 2007). In early 2000s, 'fuel allowances'
- 436 comprised about a quarter of all income supplement expenditure (Healy, 2003; Scott, Lyons, Keane,
- 437 Mccarthy, & Tol, 2008). Eligibility for the allowances is broad, with individuals in receipt of other
- 438 forms of state benefits such as a pension, jobseekers allowance, disability allowance, able to apply
- 439 for the subsidy.
- 440 The subsidies have involved the expenditure of hundreds of millions of Euros annually since the early
- 441 2000s. Allowance eligibility was loosened in 2007 with an estimated €329 million spent in that year
- 442 (Scott et al., 2008). By 2011 total subsidisation was €465 million (Scheer, 2013).
- 443 Policy documents and associated grey literature however, have in recent years advocated an
- 444 increased emphasis on home efficiency improvements within energy poverty policy (DCENR, 2011,
- 445 2016; Scheer, 2013). Energy efficiency improvements are seen as "the single most cost-effective
- 446 means" of dealing with energy poverty (DCENR, 2011) with NEEAPs (DCENR, 2009, 2012) used to
- 447 outline the contribution of residential energy efficiency schemes to addressing energy poverty.
- 448 Levels of spending on efficiency have been a small fraction of that spent on subsidising the cost of
- energy. In 2007, roughly €4 million was spent on efficiency retrofit for the fuel poor. The amount
- 450 increased between 2009-10 with expenditure hovering between €20-30 million from 2010 to 2015.
- 451 Funding to improve the efficiency of fuel poor dwellings has therefore moved from around 1% of
- 452 energy subsidisation payments to around 5-6%. Funding mainly comes from general taxation with a
- 453 system of energy supplier obligation also introduced in 2014 (SEAI, 2014).
- 454 The "overarching objective" of the 2011 AES approach was a "focus on ensuring the energy
- 455 efficiency performance of the housing stock is improved" (DCENR, 2011). The 2016 Energy Poverty
- 456 Strategy again foregrounds the role of energy efficiency. Energy affordability subsidisation is
- 457 essentially given a secondary, complementary role to efficiency. The social welfare system was,
- 458 however, still viewed as playing a central role in addressing the issue. The AES states that although
- the Government has some ability to regulate energy suppliers energy prices are largely out of
- 460 government control.
- 461 The multiple metrics identified in Ireland mean it is difficult to track how the number of households
- in energy poverty has changed over time in response to government policy. According to a
- 463 subjective metric ('Households reporting that they cannot afford to heat their homes adequately')
- the number of households in energy poverty was around 4% from 2003 2008 (DCENR, 2016) and
- 465 gradually rose between 2008 2012, from about 4% to 8% (DCENR, 2015). Other subjective and

- 466 objective measurements (e.g. in arrears on bills) record a similar trend but often at higher levels
- 467 (persons in arrears on bills from 8% in 2004 to 15% in 2012) (Watson & Maitre, 2015).
- 468

469 4.3. France

470 4.3.1. Politics

While French policy has clearly followed developments in English policy, for instance adding an LIHC
inspired indicator following the Hills review, it has very much adapted rather than adopted this. The
French politics of energy poverty belies a deliberately nuanced understanding of the problem, as
well as a critical distance to the concept itself. In France, the institution tasked with managing and
monitoring energy poverty (*Observatoire National de la Précarité Energétique*, or ONPE), accepts
that according to its data there is no clear distinction between poverty and energy poverty (2014).
ONPE suggests that the concept might be an institutional construction to allow us to aim policy at

- 478 the building stock, as opposed to a characterisation of a particular body of people (ibid.).
- 479 So why has this problem attracted explicit legislation in recent years? Le Roux argues that this is a
- 480 function of rising energy prices, especially electricity prices, and the 2008 financial crisis, which have
- 481 brought the problem in to sharper relief (2014a). The ONPE attributes this to the rising cost of living
- 482 (including energy and renovations), and peri-urban spread (2014). More left wing commentators see
- energy poverty as a symptom of the liberalisation of the energy markets (Le Roux, 2014a), and
- 484 certainly the legislation on energy poverty is concurrent with liberalisation.
- 485 Both the liberalisation of the energy market, and new governance models based on public-private
- 486 partnership, have been particularly challenging in France given what Bafoil et al call the "extreme
- valorisation of the central state" (Bafoil et al., 2014). There was considerable opposition to
- liberalisation, which emerged somewhat reluctantly in the context of EU competition law (Le Roux,
- 489 2014a). Energy provision is seen as a natural function of the state (*Service d'intérêt général*), and
- 490 liberalisation has necessitated citizens becoming more actively involved in the energy market (Le
- 491 Roux, 2014b). Another new set of actors are local authorities, which now play a larger role as a result
- 492 of both liberalisation and decentralisation (le Roux, 2014b). The decentralised '*Habiter mieux*',
- 493 detailed below, is rather radical in this regard.
- 494 Another driver for this agenda in France has been the connection with environmental issues, with
- the legislation known as *Grenelle 2* which addresses energy poverty (passed in 2010), for instance,
- 496 primarily concerned with carbon emissions reduction. *Grenelle 2*, links the energy poverty and
- 497 carbon emissions reduction agendas together through the concept of sustainable development. Le
- 498 Roux sees this legislation as a shift in discourse, from understanding energy poverty as a social to an
- 499 environmental issue (Le Roux, 2014a).

500 4.3.2. Problematisation

- 501 The French definition of energy poverty is similar to the English definition: *Grenelle 2* defines this as 502 when a household "experiences difficulties in accessing the levels of energy necessary to fulfil its
- basic needs as a result of inadequate resources, or as a result of the condition of the dwelling"
- 504 (ONPE, 2014, translated by authors). *Grenelle 2* marks the shift in France from an understanding of
- 505 energy poverty as a manifestation of poverty more generally (European Fuel Poverty and Energy
- 506 Efficiency Project (EPEE), 2009; Devalière and Teissier, 2014), to an understanding of energy poverty
- as a distinct problem in its own right. There are actually two concepts of energy poverty in France:
- 508 'précarité énergétique' and 'pauvreté énergétique', the latter referring to households who face
- 509 more substantial challenges in accessing energy services. The French have a commitment in law to a

- right to access energy (Amorce et al., 2005), and a related understanding of energy services as a
- 511 necessity. French policy therefore aims to eradicate this 'scourge' to society, indeed the ONPE's
- 512 mission is to: "quickly and efficiently eradicate this growing phenomenon" (2014: 3).

Energy poverty in France is conceptualised broadly, both in relation to the challenges and drivers 513 514 associated with energy poverty, as well as with regards appropriate indicators and actions to address 515 it. For instance, in France most authors (whether academics, policy-makers or practitioners) refer to 516 impacts on a range of energy services for those affected by energy poverty. This frequently includes 517 electricity for housework and lighting (Amorce et al., 2005; ONPE, 2014), and notably mobility, 518 which, although absent from Grenelle 2, is considered key to future plans of the ONPE (Jouffe and 519 Massot, 2013; ONPE, 2014). Energy poverty is also defined more broadly from a political perspective. 520 Even the definition of the three familiar 'drivers' is more extensive, including both economic and 521 social circumstances of the household, and a breakdown of issues relating to 'energy costs': "the 522 social and economic situation of a household (cyclical or structural), the state of the dwelling and its 523 energy efficiency, and the household's energy supply (including access, cost and quality issues)" 524 (ONPE, 2014, p.9, translated by authors).

525 Such a broad understanding of the problem, results in a need for a range of indicators to measure 526 the extent and nature of fuel poverty. For the purposes of managing the problem, the French use a 527 'basket' of indicators (ONPE, 2014). Initially inspired by UK indicators, the French used both the 10% measure (calibrated for different household sizes) and a subjective measure (people reported to be 528 529 feeling the cold). More recently, following the Hills review, an adapted version of the LIHC measure 530 was also added (in French "bas revenu dépenses élevées" or BRDE). This 'basket of indicators' 531 approach was chosen after research on an extensive housing survey conducted in 2006, testing a 532 variety of possible indicators, found a complex picture with different forms of fuel poverty 533 experienced by different demographics (Devalière and Teissier, 2014). As Devalière and Teissier 534 elaborate, different types of people reported different symptoms of fuel poverty: excessive use of 535 energy due to heat loss from buildings or faulty appliances, an increasing share of the household 536 budget going on energy, restricting the use of heating, or feeling cold in one's home (2014). In the 537 face of this complexity, and particularly as a result of the understanding that different populations of 538 people were affected by each of these problems, it seemed impossible to settle on one indicator 539 (Imbert et al., 2016). In more recent work, there is also a recognition that people affected by 540 mobility poverty represent a fourth population (more wealthy and more rural than those affected by 541 fuel poverty in the household) (ONPE, 2014).

542 4.3.3. Policy solutions

543 The governance of energy poverty in France is coordinated through the ONPE created after Grenelle 544 2. This is a public private partnership, funded jointly by government (Observatoire for poverty and 545 social exclusion, and the environment agency) and by the three large energy companies (ONPE, 546 2014). GDF and EDF, which were previously the nationalised energy suppliers, have the biggest social 547 obligation, and contribute the most to the measures financed by energy companies below. ONPE 548 also includes third sector partners on its committee (e.g. Fondation Abbé Pierre, a poverty charity). 549 In an early position statement on this topic a group of charities called for a coordinated response, 550 given the multi-faceted nature of this problem (Amorce et al., 2005). Judging by the governance structure of ONPE this advice seems to have been heeded. 551

552 Just as in the other nations, there are two means of addressing this policy problem: through 553 increasing affordability or through increasing energy efficiency. Affordability measures include:

- Until recently social tariffs for gas and electricity (*TPN* and *TSS*) were available to low-income
 households, funded by a levy on energy bills (Tyszler et al., 2013; ONPE, 2014) these were
 superceded in 2017 by the *Chèque Energie* which gives an average of €150 per year per
 household (Droit à l'Energie SOS FUTUR, 2018).
- help with energy debts for low-income households (*Fonds de Solidarité Logement*), co funded by local authorities, social landlords and energy companies (Tyszler et al., 2013;
 ONPE, 2014), 150K households benefitted from this help in 2014 (Droit à l'Energie SOS
 FUTUR, 2018);
- 5623. a supplementary benefit towards utility bills for low and middle-income households563(Allocation pour le logement) funded by central government (Tyszler et al., 2013).

Note that the third measure has by far the biggest monetary worth of these three measures, with a yearly cost of €15.9 billion. The fact that both second and third measures are at least partly funded by the taxpayer is significant, and results in a more equitable distribution of costs than the first, which relies on levies on bills. The reliance on nuclear power in France, is a particular threat to energy costs, particularly with regards the cost of disposing of nuclear waste which is collected through a levy on energy bills (5% in 2013; Tyszler et al., 2013).

- 570 Efficiency measures include:
- 5711. energy company obligations (*certificats d'économies d'énergie*) to deliver efficiency572measures to households (funded by energy producers) (Tyszler et al., 2013; ONPE, 2014);
- loans to individuals and to social housing associations for energy efficiency measures
 (including *Eco-pret logement social*, which comprises a low interest loan to social housing
 landlords) (Tyszler et al., 2013);
- Habiter Mieux: a locally rolled out renovation programme for low income homeowners, and
 some private rented properties. This is funded jointly between state and energy companies,
 and consists of a grant and a low-interest loan depending on eligibility (Tyszler et al., 2013;
 ONPE, 2014). By 2016, 40k households had been treated under this programme (Droit à
 l'Energie SOS FUTUR, 2018).
- 581

582 The EU Energy Poverty Observatory records the relative number of households in France that state 583 that they are not able to adequately heat their home. Over the years 2004-16 this level changes very 584 little, fluctuating predominantly around 6%, going as low as 4.6% and as high as 7.3%

585 5. Discussion

The three case studies summarise the way in which England, Ireland and France define the problem
of energy poverty, which policy solutions they offer, and how the issue is linked with other political
agendas. This analysis was framed using the separation of politics, problem and policy from
Kingdon's 'multiple streams' approach and its attendant theory of how policy areas are established:
problems are addressed when a solution exists that is considered feasible, and when there is

- sufficient political will to enact it. Given our analysis covers a relatively long period of time (2000-
- 592 2017) we move beyond the topic of agenda setting, to consider the evolution of the energy poverty
- issue on the political agenda over time. The key insights from this analysis relate to each of the threeconcepts in turn (politics, problematisation and policies), and to their interactions.
- 595 There are clearly some common external political and economic forces that affect the energy
- 596 poverty issue, but which do so differently in each policy context. The forces include the deregulation
- and competition agenda, driven by the EU, that has seen a liberalisation of energy markets, the
- 598 financial crisis of 2008 and the resultant adaptations to public spending and public ownership, and

the further emergence of environmental sustainability and climate change mitigation policies. Each
of these forces has influenced the development of energy poverty as a political issue - affecting how
it is problematised and what approaches are taken to policy solutions.

602 Each country has to some extent been subject to energy market liberalisation in our period of 603 interest. England is seen as a pioneer of liberalisation and has the longest history and the deepest 604 infiltration of free market characteristics of our case studies. In France the liberalisation agenda has 605 been met with more resistance, in part as a result of the greater emphasis on *energy as a* 606 fundamental and basic need, and the perceived importance of the state as a provider of energy as a 607 service. Market liberalisation has proceeded more slowly, and there is continued domination of the 608 market by EDF and GDF. In Ireland, market liberalisation progressed much later than in England but 609 in recent years has seen a growing market share taken by private firms. This change has in large part 610 resulted from the austerity conditions that followed the financial crisis, and the selling-off of parts of 611 the state-owned energy company.

612 These differing governance characteristics have implications for policy related to energy poverty.

- 613 Efficiency policy in England is to a large extent administered by private energy firms; a system that is
- routinely criticised with respect to its targeting of energy poor households. In France, both efficiency
- and affordability policy are only partly funded through energy supplier obligations, with central
- 616 government footing the rest of the bill. While in Ireland, central taxation is largely responsible for
- affordability and efficiency policy with energy supplier obligations only introduced in 2014 (the same
- 618 year as the sale of Bord Gáis). Hypothecated funds for efficiency policy have faced political
- objections in both the England (ESOs) and Ireland (carbon tax). The source of policy funding can have
- a big impact on governments' abilities to change total spending on the policy area: if funds are solely
- raised from energy companies investment in solving energy poverty is limited by concerns about theregressive impacts on energy bills.

623 Whilst the financial crisis experience was different in each country, each went through a period of 624 some recession. Although the financial crisis in Ireland deeply affected general government spending 625 (and ultimately government interaction with energy retail markets), it did not negatively affect the 626 budgets of direct energy poverty policy. Both affordability and efficiency policies saw their budgets 627 increase in a period of otherwise significant austerity. Efficiency policy in England has, however, 628 since been scaled back, partly due to its perceived inflationary impact on energy bills for households 629 during a 'cost of living crisis'. Although, these cuts were not directed at the ring-fenced energy 630 poverty portion of the fund, which actually increased in absolute and relative terms. One 631 explanation for this is the waning salience of climate change on the political agenda in the UK, which 632 meant policymakers could cut expenditure on emissions reducing policies such as domestic energy 633 efficiency (Gillard, 2016). The fact that these policies have been rhetorically and financially 634 redirected towards focussing on tackling energy poverty is testimony to the continued political 635 salience of the issue and its ability to remain a priority despite the loss of overlapping policy goals 636 and interests.

637 Energy poverty's interconnectedness with other issues is seen both in the influence of external 638 political issues on the prominence of energy poverty but also in the preferences of politicians for 639 different policy solutions. In England and Ireland, energy efficiency is increasingly identified in policy 640 documentation as the preferred policy solution for addressing energy poverty in the long term. 641 Arguably, this preference is partly due to its overlap with other prominent agendas, such as reducing 642 carbon emissions from the residential sector. It is also easier to argue for energy efficiency in a 643 context in which benefits are being cut under austerity, and there is no appetite to conceive of the 644 energy market as failing the energy poor (Middlemiss, 2017). The broader definition of energy

645 poverty in France would make it difficult to espouse such a targeted policy solution: if the problem is 646 defined as encompassing affordability and efficiency, it is less appropriate to highlight one form of

- 647 policy solution as preferable. As highlighted, the overall level of energy poverty is measured
- 648 differently in each country. It is therefore difficult to compare the success of policy solutions. In
- 649 England, the relative income measure, and in Ireland a subjective measure record increasing level of
- 650 households in energy poverty between 2004-2010. These increase coincides with rising energy
- 651 prices and a period of recession. The increase in energy poverty also coincides with an increase in
- both affordability and efficiency policy support, but there is no indication of the impact of these
- 653 increases in support. In France, a similar subjective measure records a relatively consistent level of
- energy poverty between 2004-2016, again demonstrating the difficulty of measuring the
- 655 effectiveness of policy solutions.

656 Despite the stated preference for efficiency policy in some countries, affordability policies receive 657 substantially higher levels of public funding in all three countries. This can partly be explained by 658 affordability's place within wider social welfare concerns, which is a more established area of policy 659 that is contentious (albeit not impossible) to reform. The case studies showed that in Ireland the link 660 between energy and social welfare is made explicitly; in England affordability policy is more widely 661 available and resistant to rollback than efficiency policy; and in France, the public funding of some of 662 the affordability policy marks it out as stemming from social welfare motivations. Exactly how these 663 dynamics function is a recurring concern of policy studies and insitutionalist thought, addressing questions of how certain approaches to policy problems and solutions become locked-in and how 664 665 this can be destabilized by politics over time e.g. in the various contestations around welfare reform

666 in the UK.

667 The approach to how the problem of energy poverty is officially defined and interpreted is also 668 highly divergent. In Ireland, there has been a relative openness to problem definition, with 669 government documentation routinely highlighting that there are multiple established means of 670 defining the issue and being reluctant to wholly adopt one definition. A similarly broad approach is 671 taken in France with regards to the understanding of the causes of the issue and also to using a 672 range of indicators. The English approach to definition is much narrower. Although the definition of what it means for a household to be energy poor changed significantly between 2010 and 2015 673 674 (Middlemiss, 2017), the approach in England has remained to restrict conceptualisation to a single 675 interpretation and indicator.

676 Whether energy poverty is thought of as an issue of domestic thermal comfort or of access to a 677 wider range of energy services also offers an interesting point of comparison. In France, the issue is 678 conceived of broadly, with all domestic energy services considered relevant, including energy for 679 mobility. In Ireland, the initial problematisation focused on a lack of warmth but moved on to other 680 energy services and there has been some reference to transport fuels. In England, the initial and 681 ongoing emphasis has been on thermal comfort with little or no specific reference to wider energy 682 services (Simcock et al., 2016) focussing instead on World Health Organisation guidelines on room 683 temperatures and linking strongly to the health impacts of under-heating.

All three countries demonstrated a similar approach to allocating policy support, in which affordability and efficiency support is administered to certain social groups e.g. low income, elderly etc. that do not necessarily overlap with the definition of the issue that is currently in place. It is interesting to note that there is an apparently accepted misalignment in each case study between problem definition and solution application in this regard. It could be inferred that energy poverty definition is primarily a means of assessing the scale of the issue rather than as a means of directing and determining policy solutions.

- The approaches to problematisation, solutions and the related political influences, are also an
- 692 indication of each countries' style of governance in relation to energy poverty. The French approach,
- 693 for instance, with its open definition of the problem and Dirigiste approach to the energy market
- 694 suggests a form of governance that attempts to address this through state intervention (in spite of
- the liberalisation requirements of the EU), and as a complex and multi-faceted problem. In contrast,
- 696 the English approach entails an attempt to pin down what the problem is in rather simple terms,
- 697 while also taking a pioneering approach to energy market liberalisation which fits with the
- 698 characterisation by Hall and Soskice of a liberalised market economy (LME) (2001). Ireland is also
- 699 seen as having strong LME characteristics with social objectives at times viewed as "vague and
- aspirational", a governance style which is certainly apparent in energy poverty policy (O'Callaghan,
 Lenihan, & Mcdonough, 2016). The relative size of Ireland (to England and France) and the resultant
- 702 difference in bureaucratic resource is potentially of relevance here.
- Finally, while previous research has highlighted that MS theory can be interpreted and applied in
 different ways (Cooper-Searle et al., 2018), our analysis utilises the theoretical separation of politics,
- problem and policy solution but finds these theoretically separate streams to be rather
- interconnected. Whilst previous research has identified the potential for multiple problem streams
- to connect with a single policy solution stream (Kerr et al, 2017), the issue of energy poverty reveals
- 708 multiple problems interacting with multiple policy solutions, and multiple external political forces, in
- a policy swamp where the individual streams are not always easy to delineate. In energy poverty,
- the MS concept of a policy window being opened by the confluence of a problem, solution and
- political stream may be more appropriately thought of as a policy lake; once policy emerges it can
- become entrenched and linger for an indefinite amount of time. The lake of affordability policy, for
- example, is served by two streams of problem energy poverty and social welfare while the
 efficiency policy stream is more recently formed by the streams of energy poverty and climate
- 715 change. The streams flowing into the affordability lake are wider and thus produce a policy lake that
- 716 is more established and more difficult to drain, despite the preferences of policy experts.

717 6. Conclusion

- 718 Access to energy services can be hindered by low incomes, high energy prices and inefficiency (e.g. 719 in domestic heating or other technologies). This paper complements the literature on the 720 established causes of energy poverty by considering the approaches to definition and solution in 721 three different policy contexts. Policymakers in countries around the world face significant 722 challenges when addressing these causes within their own contexts. In Western Europe, where the 723 definition of energy poverty has its origins, the impact of numerous social and political factors can 724 be seen in the way governments have responded to the problem. As we have shown in the three 725 case studies of England, Ireland and France, these factors include: broad economic trends such as 726 recession, austerity and living costs, approaches to governance i.e. the use of market-based 727 mechanisms and state intervention, the flexibility of definitions and measurement, and the 728 importance of overlaps with concurrent political agendas such as welfare reform and climate
- 729 change.
- 730 In applying multiple streams theory to our case studies, we encountered some incongruences and
- also raised some observations that warrant further exploration. With regards to multiple streams
- theory, our main contribution is to note that in this policy context 'untangling' one stream from
- another is not straightforward. Specifically, politics, problems and policies do not emerge, or even
 fit, into a linear sequence and policy solutions are often inextricably linked to other problems and
- political issues. This makes interpretation of a particular policy area, such as energy poverty,

- impractical without due attention to its proximate issues. Empirically, we observed that policy
- 737 solutions in each country took a similar form and received similar proportional levels of funding
- 738 (favouring affordability over efficiency). However, the political rhetoric favoured efficiency over
- affordability solutions and problematised energy poverty very differently, particularly in terms of
- 740 definition flexibility. This raises interesting questions about the function and value of definitions,
- suggesting that the processes of framing and problematising energy poverty is not always pragmatic.

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