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**CHCHD10 variants in Amyotrophic Lateral Sclerosis:
where is the evidence?**

Project MinE ALS Sequencing Consortium

Members of the Project MinE ALS Sequencing Consortium are listed in Supplementary Information.

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46 **Abstract**

47 **Objective:** After the initial report of a *CHCHD10* mutation in mitochondrial disease
48 with features resembling amyotrophic lateral sclerosis (ALS), *CHCHD10* mutations
49 have been considered to be a frequent cause for ALS. The exact pathogenicity and
50 clinical significance, however, of these mutations remain unclear. Here, we aimed to
51 determine the role of *CHCHD10* mutations in ALS.

52 **Methods:** We included 4,365 whole genome sequenced ALS patients and 1,832
53 controls from 7 different countries and examined all non-synonymous single
54 nucleotide variants (SNVs) in *CHCHD10*. These were tested for association with
55 ALS, independently and in aggregate using several genetic burden tests (including
56 SKAT and SKAT-O).

57 **Results:** We identified three new variants in cases, but only one was case-specific.
58 Also, one control-specific mutation was identified. There was no increased burden of
59 rare coding mutations among ALS patients compared to controls ($P = 0.88$ and $P =$
60 1.00 for SKAT and SKAT-O, respectively). The few carriers with potential
61 pathogenic *CHCHD10* mutations exhibited a slowly progressive ALS-like phenotype
62 with atypical features such as myopathy and deafness.

63 **Interpretation:** *CHCHD10* mutations seem to be a far less prevalent cause of pure
64 ALS than previously suggested, but instead appear related to more complex
65 phenotypes. There appears to be insufficient evidence for the pathogenicity of most
66 previously reported variants in pure ALS. This study shows that routine testing for
67 *CHCHD10* mutations in pure ALS is not recommended and illustrates the importance
68 of sufficient genetic and functional evidence in establishing pathogenicity of genetic
69 variants.

70 **Abbreviations**

71 Amyotrophic lateral sclerosis (ALS); Frontotemporal dementia (FTD); minor allele
72 frequency (MAF); Sequence kernel association test (SKAT); single nucleotide variant
73 (SNV);

74

75 **Introduction**

76 Amyotrophic lateral sclerosis (ALS) is a rapidly progressive neurological disease
77 characterized by the degeneration of both upper and lower motor neurons, leading to
78 progressive muscle weakness and respiratory failure.¹ Using next-generation
79 sequencing, mutations in several genes have been reported, especially in the
80 minority of cases with a positive family history of ALS.² These discoveries have not
81 only led to increased understanding of the pathophysiology of ALS and the possible
82 development of specific therapeutic agents, but also play an important role in genetic
83 counselling.

84 *CHCHD10* was proposed as a new candidate gene for ALS, after a novel
85 mutation in *CHCHD10* was described as co-segregating with a complex variable
86 phenotype, including cognitive decline resembling frontotemporal dementia (FTD),
87 cerebellar ataxia, myopathy, sensorineural deafness and an ALS-like motor neuron
88 disease.³ Although subsequent screening in different populations has led to the
89 description of over 20 mutations in *CHCHD10* in ALS and other neurodegenerative
90 diseases (most of which are located in exon 2)⁴⁻⁷, our certainty in the causality of
91 these variants for ALS remains an open question.^{8,9}

92 Typically, to establish the causality of the identified *CHCHD10* variants,
93 investigators used functional effect predictors for individual mutations and (virtual)
94 absence in public databases. However, it is widely accepted that these criteria alone

95 are insufficient proof of causality for low frequency variants⁸, especially if those
96 variants were identified in single families but never followed up in additional samples.
97 Consequently, these lenient criteria for claiming causality between a variant and
98 disease might lead to false positive reports due to a combination of factors:
99 incomplete penetrance, lack of adequate coverage in exome-captured data, rare
100 genetic variation that may be geographically specific, or simply no relationship
101 between the variant and disease (discoverable through investigation of increased
102 sample sizes).^{10, 11} Nevertheless, influential online resources and literature for
103 genetic counseling have already adopted *CHCHD10* variation as causal for ALS and
104 suggest genetic testing in the clinic (<http://neuromuscular.wustl.edu/>).¹²

105 To determine the clinical impact and importance of genetic testing for claimed
106 *CHCHD10* variants in ALS, we have set out to investigate the true genetic
107 contribution of *CHCHD10* variants in a large international cohort of whole genome
108 sequenced ALS patients and controls.

109

110 **Materials and Methods**

111 **Sample collection**

112 DNA was isolated from whole blood samples collected from 4,853 ALS patients from
113 7 different populations (Belgium, Ireland, The Netherlands, The United Kingdom, The
114 United States of America, Spain and Turkey) and 1,991 controls matched for age,
115 geographical location and sex. All patients and control subjects provided written
116 informed consent and the relevant institutional review boards approved this study.

117 **Sequencing and analysis**

118 DNA samples were sequenced using PCR-free library preparation and paired-end
119 sequencing on the HiSeq 2000 (100 bp) and HiSeq X platform (150 bp) (Illumina®,
120 San Diego, USA). Reads were aligned to the hg19 human genome build using the
121 Isaac alignment software and the Isaac variant caller was used to call and filter
122 single nucleotide variants using standard quality control (QC) parameters.¹³
123 Additional QC removed duplicated or poorly called individuals (genotype
124 missingness > 5%, Ti/Tv > 2.092, het/hom ratio > 3.1) and genomic sites (high or low
125 depth of coverage, aggregated passing rate < 0.7 across the sample, missingness >
126 5%, HWE $p < 1 \times 10^{-6}$). We also removed all closely related (kinship coefficient >
127 0.0625) and sex-check failing samples based on comparison of phenotype and
128 sequencing data.¹⁴ The genomic region of *CHCHD10* (NCBI Reference Sequence:
129 NG_034223.1) was isolated from the VCFs and variants were annotated using
130 Variant Effect Predictor.¹⁵

131 **Burden Testing**

132 Gene regions were isolated based on their canonical transcripts in the Ensembl
133 database (<http://www.ensembl.org>). Within these regions, single nucleotide variants
134 (SNVs) that were annotated as missense or loss-of-function mutations with a minor
135 allele frequency (MAF) <1% in the control population and public databases were
136 selected for burden testing. Burden testing on cases and controls was performed
137 using bidirectional sequence kernel association test (SKAT) together with SKAT-O to
138 account for an unidirectional effect, more likely in the case of mainly damaging
139 variants.¹⁶ Association tests were corrected for population stratification using the first
140 10 principal components. Additionally, 100.000 permutations were performed with
141 SKAT-O to obtain the empirical SKAT-O p-value. Statistical analyses were carried
142 out using R software (<http://www.r-project.org>).

143

144 **Results**

145 To investigate variants in *CHCHD10*, we analyzed all rare, non-synonymous SNVs in
146 the whole-genome sequencing data of 4,365 ALS (\pm FTD) samples together with
147 1,832 unaffected controls. We identified seven SNVs in ALS cases, three of which
148 were not previously reported (Table 1). Screening of controls revealed that only three
149 out of these seven variants were case-specific, as the other four variants were also
150 found in controls. Additionally, one control-specific SNV was identified.

151

152 **No increased burden of rare variants**

153 Neither the SKAT nor the SKAT-O association tests showed a significant increased
154 burden of rare non-synonymous variants in *CHCHD10* among ALS patients ($P =$
155 0.88 and $P = 1.00$, respectively; Table 2). As a positive control, we tested three other
156 genes (*SOD1*, *FUS* and *TARDBP*), which are known to harbor rare pathogenic SNVs
157 in ALS.¹⁷ These genes did yield a significant SKAT-O association statistic ($P =$
158 0.008, $P = 0.02$, and $P = 0.03$ respectively; Table 2).

159

160 **Additional clinical information on carriers**

161 Only three rare missense mutations in *CHCHD10* were specific to ALS cases (Table
162 2). The previously unreported p.Arg11Gly mutation was identified in a single female
163 ALS case from the United States without cognitive involvement and a negative family
164 history for ALS or dementia. We identified three cases with the previously reported

165 p.Arg15Leu variant: one Dutch and two American cases, one of which was already
166 included in the previous study by Johnson et al. (ND11809).⁵ Although both
167 American cases had a positive family history, the additional Dutch ALS patient did
168 not have a family history of ALS or dementia. Similar to previously described
169 carriers, the clinical phenotype in this patient was characterized by very slow
170 progression with both upper and lower motor neuron involvement, a long diagnostic
171 delay of two years and a disease duration of over eight years after onset.^{5, 6, 18}
172 Interestingly, besides motor neuron disease, this patient presented with an atypical
173 phenotype including deafness, weakness of the proximal upper extremities and low
174 tendon reflexes. Unfortunately, no muscle biopsies were performed. The third case-
175 specific mutation (p.Pro80Leu), previously reported in an Italian ALS patient with an
176 abnormal muscle biopsy (COX deficiency), was found in a Belgian ALS patient.⁷ This
177 patient also presented with an atypical myopathy-like clinical phenotype with
178 proximal lower limb weakness and high serum creatine kinase levels (up to 1800
179 U/l). The clinical features at the time of presentation prompted the neurologist to
180 request a muscle biopsy, which showed neurogenic atrophy, but without
181 histochemical analysis for COX.

182

183 **Discussion**

184 *CHCHD10* was proposed to be a new candidate gene for ALS following the initial
185 report of a p.Ser59Leu variant, which was detected in a family with a complex
186 phenotype including ataxia, myopathy, dementia and a progressive motor neuron
187 disease resembling ALS.³ Subsequently, several studies screened for *CHCHD10*
188 mutations in ALS patients and healthy controls and claimed pathogenicity for multiple

189 rare missense variants.⁴⁻⁶ In this study, we used whole-genome sequencing data on
190 a large international cohort of ALS patients to investigate the frequency of *CHCHD10*
191 variants and evaluated the genetic evidence for their pathogenicity.

192 In our cohort of 4,365 ALS patients and 1,832 controls, we only detected three
193 rare, case-specific, missense variants, two of which have been previously reported.
194 The only remaining novel ALS-specific variant, a heterozygous c.31C>G variant
195 resulting in a p.Arg11Gly amino acid change, was found in a single ALS case and is
196 therefore of unknown significance. Furthermore, we also identified a rare missense
197 variant (p.Ala72Val) in a single control sample, indicating that unique coding variants
198 can be found in controls as well. Together with our data, there are now 13 reported
199 rare nonsynonymous variants in *CHCHD10* in pure ALS, most of which are
200 concentrated in exon 2 (Figure 1). Missense mutations in exon 2 were also detected
201 in other neurodegenerative diseases, some of which closely related to ALS. Although
202 this might hint towards pleiotropy, it is important to realize that most reported variants
203 were unique to a single case or family and that this exon is only moderately covered
204 in whole-exome sequencing-based public databases such as ExAC, making it prone
205 to false positive reports.¹⁰

206 In order to interpret the collection of rare variants in cases and/or controls, we
207 tested whether there is an increased burden of rare non-synonymous variants in
208 *CHCHD10* among ALS patients. The results of the SKAT and SKAT-O association
209 tests show no significant association between rare coding variants in *CHCHD10* and
210 ALS, whereas genes which are known for causative rare variants in ALS did show a
211 significant association of non-synonymous variants in ALS in SKAT-O only (which
212 was expected as variants in these genes are known to be damaging, not protective).

213 In the absence of linkage or a statistically significant burden test, all variants
214 that are solely observed in a single case do not meet criteria for pathogenicity.⁸ Only
215 variants that occur in multiple unrelated cases (and no controls) are potentially more
216 interesting. Together with previous reports, only six *CHCHD10* variants have met this
217 criterion (Table 4). Some of these variants are already listed as pathogenic in public
218 databases such as ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar>) despite the fact
219 that other criteria for establishing pathogenicity were often not investigated.

220 So far, the most convincing evidence for *CHCHD10* pathogenicity was
221 provided for the p.Ser59Leu variant, using both clinical and genetic data on multiple
222 affected and unaffected family members. The clinical phenotype described in these
223 carriers, however, is not pure ALS and includes atypical features such as deafness,
224 myopathy, cerebellar ataxia and Parkinsonism.³ With our focus on typical ALS, we
225 will critically appraise the genetic evidence for the five other reported variants.

226 Similar to previous observations, the most frequent rare non-synonymous
227 SNV in our dataset was the heterozygous p.Pro34Ser, which was present in 37
228 cases (0.85%) as well as 15 control samples (0.82%) (corrected $\chi^2(1) = 0.00$ $P =$
229 0.98). Despite initial reports of possible pathogenicity of this variant in pure ALS \pm
230 FTD, our data adds to the increasing evidence that the p.Pro34Ser mutation in
231 *CHCHD10* is probably not pathogenic.¹⁹⁻²² Recent *in vitro* studies still support
232 p.Pro34Ser pathogenicity as similar cellular pathology between *CHCHD10*^{S59L} and
233 *CHCHD10*^{P34S} mutant cell lines was shown.²³ Despite the *in vitro* findings, the fact
234 that the p.Pro34Ser variant is as common in ALS patients as in the general
235 population, indicates that a HeLa cellular phenotype alone does not justify classifying

236 the p.Pro34Ser variant as an ALS causing mutation and could merely indicate the
237 limitation of these models to represent human ALS-pathology.

238 Previous screening of a subset of sporadic ALS patients with COX-deficient
239 muscle biopsies led to the discovery of a c.244C>T substitution (p.Pro80Leu) in exon
240 2, which was subsequently reported in two sporadic and one familial ALS cases in
241 Italy and Canada.^{7, 24} We have identified an additional sporadic case in our Belgian
242 cohort with a similar atypical phenotype. However, the allele frequency of this variant
243 in ALS cases after exclusion of possibly overlapping cohorts ($5/12700 = 0.0004$) is
244 almost identical to the general population in the ExAC database ($32/92470 = 0.0003$,
245 corrected $\chi^2(1) = 0.00$ $P = 0.99$). Moreover, the frequency in the ExAC database
246 might even be an underestimation as exon 2 is only moderately represented (Figure
247 1).

248 The fourth and fifth variants which were identified in multiple ALS cases are
249 the p.Pro96Thr and p.Tyr135His mutations. These variants are located in exon 3
250 and, similar to p.Pro80Leu, pathogenicity is unlikely due to similar allele frequencies
251 in control samples.^{20, 25-27} Notably, the p.Pro96Thr is the only variant which was
252 found to be homozygous (in 3 out of 5 cases). Given its high frequency in the African
253 population in ExAC ($692/2704 = 0.2559$) however, a pathogenic recessive nature of
254 this mutation seems highly unlikely.

255 The last variant, c.44G>T (p.Arg15Leu), was previously detected in six
256 families with ALS and one sporadic ALS case.^{5, 6, 18, 28} This variant is probably of the
257 most interest in ALS as it was identified in multiple cohorts, with absence in any of
258 the screened controls, and segregates with disease in familial cases (although there
259 were three unaffected carriers in one of the families, possibly due to incomplete

260 penetrance).⁶ Here, we report two new carriers: one in the Dutch cohort and one in
261 the US cohort (the other US carrier has already been reported). Although limited, the
262 available clinical data for these patients seems to be similar to that reported in other
263 carriers (predominant lower-motor neuron symptoms and slow disease progression)
264 with some atypical symptoms in one patient (bilateral hearing loss and proximal
265 onset).^{6, 18} This again points towards a distinct ALS-like clinical phenotype. However,
266 the percentage of ALS cases which might be explained due to this variant is 0.1%
267 (9/6,797 non-overlapping cases) making it a possibly pathogenic but very rare
268 *CHCHD10* variant in motor-neuron disease.

269 The association of *CHCHD10* mutations in motor-neuron disease resembling
270 ALS is further illustrated by the c.197G>T (p.Gly66Val) variant, which was originally
271 described in a Finnish familial ALS patient with slowly ascending progressive motor
272 neuron disease. This variant was later shown to cause a lower motor neuron
273 phenotype without upper-motor neuron or cognitive involvement as it was identified
274 in 75 Finnish carriers with hereditary, late onset spinal motor neuropathy (SMAJ),
275 Charcot-Marie Tooth disease Type 2 or both.^{6, 29-31}

276 Overall, there seems to be potential evidence for the involvement of
277 *CHCHD10* in neurodegenerative diseases, particularly in combination with clinical
278 features that suggest mitochondrial dysfunction, such as myopathy or hearing-loss.
279 In the case of pure ALS however, our results indicate that rare genetic variants in
280 *CHCHD10* can be detected in both cases and controls at similar frequencies.
281 Therefore, *CHCHD10* variants seem to be a far less prevalent cause of pure ALS
282 than previously suggested.⁵ This study shows that routine testing for
283 *CHCHD10* variants in pure ALS is not recommended and illustrates the importance

284 of sufficient genetic and functional evidence in establishing pathogenicity of genetic
285 variants.

286

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317

318 **Author Contributions**

319 Contributing authors are listed below. The full list members of the Project MinE ALS
320 Sequencing Consortium with affiliations and contributions are listed in
321 Supplementary Table 1.

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326 Jonathan D. Glass, Philip Van Damme, Orla Hardiman, John E. Landers, Leonard H.
327 van den Berg, Jan H. Veldink.

328 *#these authors contributed equally*

329

330 **Conflicts of Interest**

331 Nothing to report.

332

333

334
335

Genome	Transcript	Consequence	Alleles Cases	Alleles Controls	MAF Cases	MAF Controls	MAF ExAC
22:24108321 A>G	c.403T>C	p.Tyr135His	3	1	0.00034	0.00027	0.00030
22:24109583 G>A	c.239C>T	p.Pro80Leu	1	0	0.00011	-	0.00047
22:24109598 C>G	c.234G>C	p.Gly75Ala	1	1	0.00011	0.00027	0.00002
22:24109607 G>A	c.225C>T	p.Ala72Val	0	1	-	0.00027	0.00005
22:24109722 G>A	c.100C>T	p.Pro34Ser	37	15	0.00423	0.00409	0.00298
22:24109778 C>A	c.44G>T	p.Arg15Leu	3	0	0.00034	-	-
22:24110031 G>C	c.31C>G	p.Arg11Gly	1	0	0.00011	-	-
22:24110046 G>C	c.16C>G	p.Arg6Gly	1	2	0.00011	0.00055	0.00007

336

337 **Table 1. CHCHD10 Variants in Project Mine** Overview of rare (MAF <1%) single
338 nucleotide variants, functionally annotated as missense or loss of function in a total
339 of 4,365 ALS and 1,832 control samples with the genomic location, location in
340 transcript NM_213720.1 and predicted amino acid change, allele counts and
341 corresponding minor allele frequencies (MAF) together with the MAF of the
342 European population in the ExAC database.

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Gene	nvar	SKAT p-value	SKAT-O p-value	permuted SKAT-O
<i>CHCHD10</i>	8	0.8773	1	1
<i>SOD1</i>	27	0.3063	0.0006	0.0008
<i>FUS</i>	22	0.6156	0.0245	0.0242
<i>TARDBP</i>	19	0.6167	0.0283	0.0300

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347

348 **Table 2. Burden Testing** Results of burden test analysis using SKAT and SKAT-O
349 association testing on rare (MAF<1%) non-synonymous single nucleotide variants in
350 *CHCHD10* and known ALS genes. Nvar indicates the number of SNVs which were
351 taken into account for association testing.

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Mutation	Cohort	Sex	AAO (yr)	Surv (mo)	SOO	Myopathy	Muscle Biopsy	Deafness	Ataxia	Sensory deficits	FTD	Family History
p.Pro80Leu	BE	M	61	54	LE	Yes	Yes	No	No	No	No	No
p.Arg15Leu	NL	M	73	76	UE	Possible	No	Yes	No	No	No	No
p.Arg15Leu	US	F	42	-	LE	-	-	-	No	No	No	ALS
p.Arg15Leu	US	F	71	-	LE	-	-	-	No	No	No	ALS
p.Arg11Gly	US	F	47	64	LE	-	-	-	No	No	No	Myasthenia

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357 **Table 3. Clinical information on carriers of observed ALS specific missense**
358 **variants.** Overview of known clinical data available for carriers of ALS-specific rare
359 *CHCHD10* missense mutations in our study; Country of sample origin (Cohort)(BE =
360 Belgium, NL = The Netherlands, US = The United States of America), Age of onset
361 in years (AAO), Survival after onset in months (Surv) Site of onset (SOO) (LE =
362 lower extremities, UE = upper extremities), Clinical indications for Myopathy
363 (Myopathy).

364

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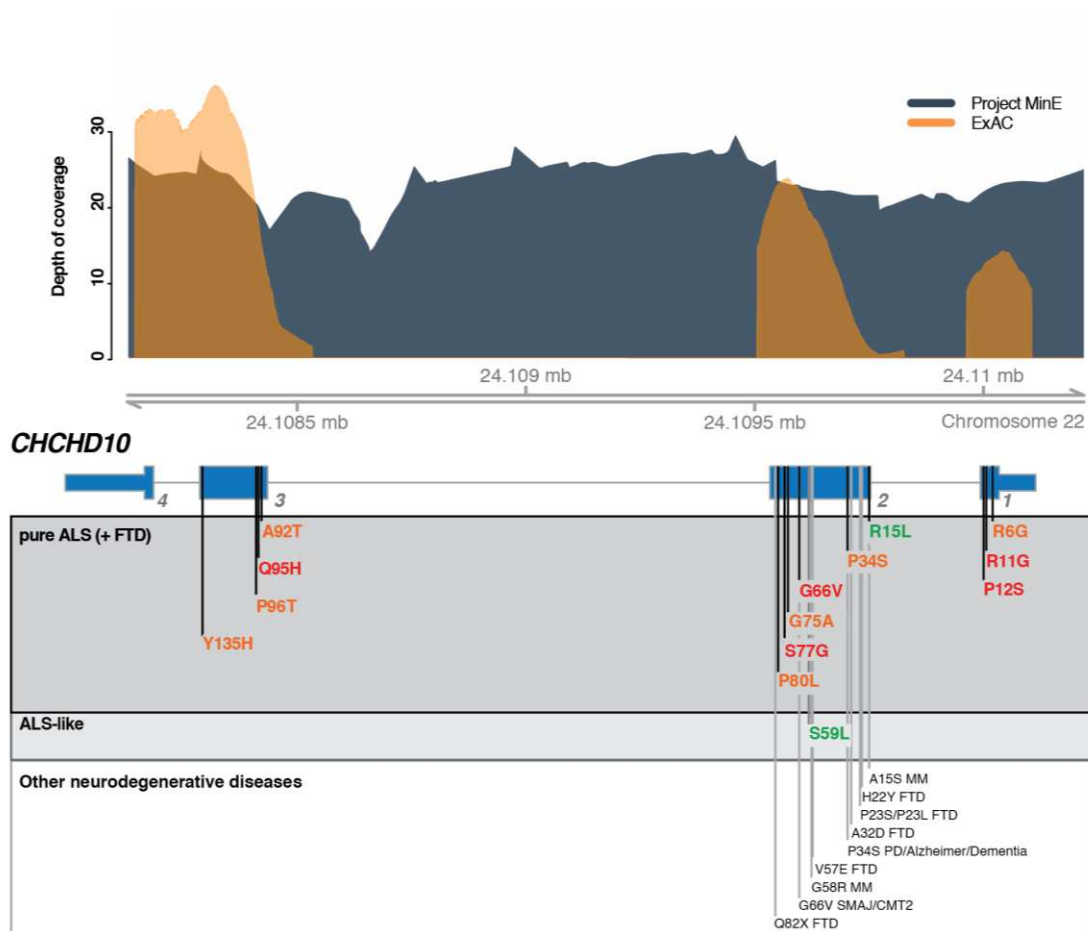
Variants:	Project Mine		Previous reports		Segregation in Pedigree(s):	ClinVar
	ALS	Controls	ALS	Controls		
p.Arg15Leu	3	0	7	0	Yes	Conflicting
p.Pro34Ser	37	15	20	25	No*	Pathogenic
p.Ser59Leu	0	0	2	0	Yes	Pathogenic
p.Pro80Leu	1	0	4	0	Unknown	Pathogenic
p.Pro96Thr**	2	2	6	3	Unknown	Unknown
p.Tyr135His	3	1	1	0	Unknown	Unknown
Total screened	8730	3664	7560	6604		
No overlap	5140	2778				
* In a pedigree with FTD ²² **Allele counts were not provided in all reports ²⁵						

367
368

Table 4. Non-synonymous *CHCHD10* variants in multiple ALS / FTD cases.

369 Overview of total number of alleles and variant alleles, evidence of segregation in
 370 pedigrees and reported clinical significance in ClinVar database of variants that were
 371 previously and currently reported in multiple (>1) seemingly unrelated ALS or FTD
 372 patients. Alleles that were present in affected or unaffected family members were
 373 excluded. No overlap indicates the minimum number of alleles that were screened in
 374 non-overlapping cohorts (after removal of UK, US and SP cohorts).

375



377

378 **Figure 1. Non-synonymous *CHCHD10* variants in neurodegenerative diseases.**

379 Overview of rare non-synonymous variants in ALS and other neurodegenerative

380 diseases and their exonic location in *CHCHD10*. The top panel shows depth of

381 coverage of *CHCHD10* in the ExAC public database (orange) and Project Mine

382 whole-genome sequencing data (blue-grey) (<http://databrowser.projectmine.com>).

383 The grey panel shows all variants reported in pure ALS \pm FTD; variants in green

384 were present in multiple seemingly unrelated cases and absent in controls, orange

385 variants were identified in both cases as well as controls and red variants were found

386 in a single ALS case. The light grey panel shows variants reported in a more

387 extensive phenotype that includes motor neuron disease. The bottom panel shows

388 all variants and their location that were reported in other neurodegenerative diseases

389 (MM = mitochondrial myopathy, PD = Parkinson's disease, SMAJ = late onset spinal
390 motor neuronopathy, CMT2 = Charcot-Marie Tooth Type 2).

391

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