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# Influence of Adjacent Teeth Magnet Polarities on Performance of Flux Reversal Permanent Magnet Machine

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**Abstract**—This paper provides a comprehensive analysis of performance difference among various kinds of flux reversal permanent magnet (FRPM) machines having different PM arrangements. Four PM arrangement types are firstly identified by the number and relative polarities of PMs on the stator teeth, and their influence on equivalent pole-pair number of armature winding and working harmonics of air-gap field is revealed. Then, the torque variation against rotor pole number of each PM arrangement is analyzed. Detailed electromagnetic performance of four PM arrangements with 14-pole rotor is compared. It shows that the FRPM machine, in which four PM pieces are mounted on each stator tooth and two adjacent magnets on different stator teeth are of opposite polarities, offers the highest torque density and the highest efficiency, which makes it promising in low-speed high-torque applications. In addition, four prototype machines are manufactured and tested to validate the findings.

**Index Terms**—flux reversal, magnet arrangement, torque proportion, winding factor

## I. INTRODUCTION

Stator-permanent magnet (PM) machines feature a salient pole rotor without coils or PMs and a possible high rotor pole number, which make them suitable for low-speed and high-torque applications where high torque density, high mechanical strength and good heat dissipation are required [1-3]. In comparison with other two kinds of stator-PM machines, i.e. doubly salient PM (DSPM) machine [4] and switched flux PM (SFPM) machine [5], a flux reversal PM (FRPM) machine is characterized by rigid stator structure, thus exhibiting promising prospect in various applications [6-8]. Fig. 1 shows the conventional three-phase FRPM machine, in which two PM pieces are mounted on the inner surface of each stator tooth and the concentrated-windings (CW) are normally adopted, resulting in short end windings.

In general, compared to SFPM machines, the torque density of FRPM machines is relatively low since the surface-mounted PM (SPM) structure increases the equivalent air-gap length, which will impair the rotor-tooth modulation effect [1]. To date, numerous efforts have been made to analyze and improve the performance of FRPM machines. Firstly, many feasible stator

slot and rotor pole combinations have been identified [9, 10]. Secondly, the full-pitch distributed-windings (DW) with high winding factor can be adopted to improve the torque density in some slot/pole combinations [11-13]. Thirdly, several PM structures other than the SPM structure are proposed. Both inset PM structure [14] and consequent-pole PM structure [15] are beneficial to reduce the PM volume. In addition, the working principle of the FRPM machine is recently analyzed based on a magnetic gear [16], and its inherent relationship and similarities with other magnetically geared machines, e.g. SFPM machine, Vernier machine etc., are then revealed, from which the superiority of the FRPM machine for low-speed high-torque applications can be verified [3, 17-22].

Except for the foregoing research scopes, the PM arrangement of the FRPM machine is worthy of further investigation since it directly affects the air-gap field distribution and corresponding performance [23, 24]. The most conventional PM arrangement is shown in Fig. 2(a). A pair of PM pieces of alternate polarity is mounted on the inner surface of each stator tooth and the polarities of two adjacent magnets belonging to two stator teeth are identical. Thus, the PM arrangement is designated as NS-SN. Another PM arrangement (denoted as NS-NS) is shown in Fig. 2(b), in which two PM pieces are mounted on each stator tooth but the polarities of two adjacent magnets on different stator teeth are opposite. In addition, Figs. 2(c), (d) show other two PM arrangements by mounting two pairs of PM pieces on a single stator tooth. The two adjacent magnets on different stator teeth have identical polarities in NSNS-SNSN, but opposite polarities in NSNS-NSNS. Although NS-SN, NS-NS and NSNS-SNSN have been mentioned separately [7, 9, 25], the existing papers are all focused on the performance of a single machine with one specific PM arrangement instead of comparing the influence of different PM arrangements. Therefore, this paper aims to provide a comprehensive analysis of performance difference among FRPM machines having different PM arrangements. In addition, the NSNS-NSNS topology is proposed and found to be the most promising arrangement in terms of torque density and efficiency.

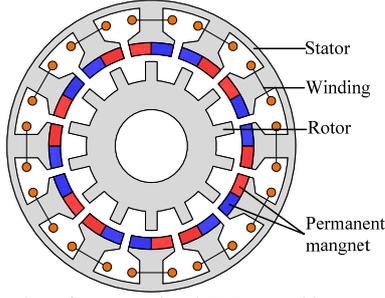


Fig. 1. Cross section of a conventional FRPM machine.

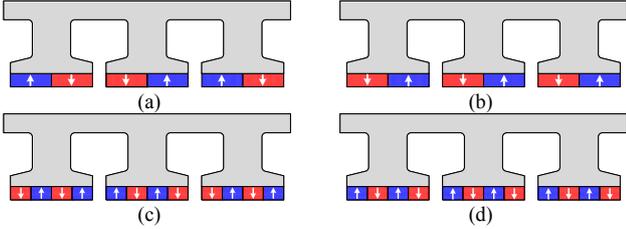


Fig. 2. Different PM arrangements. (a) NS-SN. (b) NS-NS. (c) NSNS-SNSN. (d) NSNS-NSNS.

## II. WORKING PRINCIPLE OF FRPM MACHINES WITH DIFFERENT PM ARRANGEMENTS

It is well known that in a conventional rotor-PM machine, the torque is produced by the interaction between the fundamental fields originated from PM magneto-motive force (MMF) and armature MMF. However, the working principle becomes more complex in a FRPM machine since both PM MMF and armature MMF are subjected to the rotor-tooth modulation [12], resulting in abundant field harmonics in the air-gap. The pole-pair numbers and rotational speeds of these harmonics can be expressed as [26, 27]

$$p_{m,k} = |mp + kN_r| \quad (1)$$

$$\Omega_{m,k} = \frac{mp}{mp + kN_r} \Omega_a + \frac{kN_r}{mp + kN_r} \Omega_r \quad (2)$$

where  $p$  is the fundamental pole-pair number of the PM MMF or armature MMF,  $m$  is the corresponding order of Fourier series,  $N_r$  is the rotor pole number,  $k$  is the order of Fourier series of permeance ratio produced by salient rotor teeth,  $\Omega_a$  is the rotational speed of the fundamental armature MMF or PM MMF, and  $\Omega_r$  is the mechanical rotational speed of the rotor.

### A. Air-Gap Field Produced by PM MMF

From (1), it is obvious that PM arrangement directly affects the PM field since the fundamental pole-pair number of PM MMF ( $p_m$ ) varies with PM arrangement. Four 14-rotor-pole FRPM machines with different PM arrangements shown in Fig. 2 are firstly optimized aiming at the maximum torque by using genetic-algorithm-based global optimization in Maxwell finite element (FE) software, and their key design parameters are listed in TABLE I. It should be noted that the copper loss of all the machines are fixed at 20W. In addition, the stator slot number  $N_s$  of the NS-SN and the NS-NS is 12, while for the NSNS-SNSN and the NSNS-NSNS,  $N_s=6$ .

The PM field distributions of the four machines are shown in Figs. 3-6, respectively. Based on (1), the harmonic orders of the

PM MMF and permeance ( $m_1, k_1$ ) are labelled as well. As can be seen from Fig. 3, for the NS-SN,  $p_m=N_s/2=6$  and harmonics which are odd times of  $p_m$  exist, e.g., the 6<sup>th</sup> and the 18<sup>th</sup>. It should be noted that both the 6<sup>th</sup> ( $m_1=1$ ) and the 18<sup>th</sup> ( $m_1=3$ ) harmonics are of considerable magnitude due to the specific distribution of the PM MMF [10]. In addition, it is found that the PM MMF is mainly subjected to the modulation of the fundamental permeance distribution, i.e.,  $k_1=1$ , thus producing additional field harmonics, e.g., the 4<sup>th</sup>, the 8<sup>th</sup>, and the 20<sup>th</sup>. In comparison with the NS-NS, there is a large variation of the PM field in the NS-NS, as shown in Fig. 4. For the NS-NS,  $p_m=N_s=12$  and the  $p_m^{\text{th}}$  harmonic is of the largest magnitude. In addition, considerable 2<sup>nd</sup> and 26<sup>th</sup> harmonics appear due to the rotor-tooth modulation.

In terms of the other two PM arrangements with four PM pieces on each stator tooth, more abundant PM field harmonics exist, as shown in Figs. 5, 6. For the NSNS-SNSN,  $p_m=N_s/2=3$  and harmonics which are odd times of  $p_m$  always have large magnitude, particularly the 9<sup>th</sup> and the 15<sup>th</sup>, i.e.,  $m_1=3$  and 5. Similarly, additional harmonics appear due to the rotor-tooth modulation, e.g., the 1<sup>st</sup>, the 5<sup>th</sup>, and the 11<sup>th</sup>. For the NSNS-NSNS,  $p_m=N_s=6$  and both odd- and even-times harmonics of  $p_m$  exist, e.g., the 6<sup>th</sup>, the 12<sup>th</sup> and the 18<sup>th</sup>. It should be noted that the 12<sup>th</sup> ( $m_1=2$ ) has much larger magnitude than others. Again, abundant modulated harmonics emerge, e.g., the 2<sup>nd</sup>, the 4<sup>th</sup>, and the 8<sup>th</sup>.

In general, the PM fields of four PM arrangements are totally different due to the changed PM MMF distribution. For each arrangement,  $p_m$  and corresponding major PM field harmonics are summarized in TABLE II. As can be seen,  $p_m$  is  $N_s$  for NS-NS and NSNS-NSNS since the PM arrangements are exactly the same for two adjacent stator teeth while it is  $N_s/2$  for NS-SN and NSNS-SNSN due to the different PM arrangements on two adjacent stator teeth. In terms of the major harmonics of the PM MMF and air-gap flux density, they are related to not only the relative polarities of the PMs but also the number of PM pieces. From Fig. 3, the major harmonics of NS-SN are the  $N_s/2^{\text{th}}$  and the  $3N_s/2^{\text{th}}$ , while it is the  $N_s^{\text{th}}$  for NS-NS (see Fig. 4). As for NSNS-SNSN, two major harmonics exist, which are the  $3N_s/2^{\text{th}}$  and the  $5N_s/2^{\text{th}}$  (Fig.5). In addition, the  $2N_s^{\text{th}}$  is the major harmonic in NSNS-NSNS (see Fig. 6).

TABLE I  
MACHINE PARAMETERS (UNITS: MM)

	FEA models					Prototypes				
	NS-SN	NS-NS	NSNS-SNSN (DW)	NSNS-SNSN	NSNS-SNSN (DW)	NSNS-SN	NS-NS	NSNS-SNSN	NSNS-NSNS	NSNS-NSNS
$D$	90									
$l$	25									
$h_r, u_r$	1.2T, 1.05									
$y_c$	1	1	3	1	3	1	1	1	1	1
$t_{sy}$	2.1	3.2	3.3	4.8	6.5	3.3	3.2	4.2		
$w_{st}$	4	3	4.1	6.8	7.8	7.4	3.2	8.4		
$w_{so}$	2.5	1.9	2	4.6	4.6	4.1	2.5	2.5		
$k_{sr}$	0.7	0.67	0.69	0.65	0.66	0.66	0.65	0.65		
$h_m$	2	2	2	2	2	2	2	2		
$w_{rt}$	3.9	3.2	3.5	3.6	3.4	3.4	3.6	3.6		
$l_{end}$	27.6	26.6	74.1	51.7	139.8	53.1	26.4	52.4		
$T_{avg}$	1.35	1.60	3.04	2.21	3.66	2.59	1.21	1.43	2.02	2.30

$D$  is the stator outer diameter,  $l$  is the axial length,  $h_r$  and  $u_r$  are the remeance and relative permeability of the PM,  $y_c$  is the coil pitch,  $t_{sy}$  is the thickness of

stator yoke,  $w_{st}$  is the width of stator teeth,  $w_{so}$  is the width of stator slot opening,  $k_{sr}$  is the split ratio,  $h_m$  is the PM thickness,  $w_{rt}$  is the width of rotor teeth,  $l_{end}$  is the end-winding length, and  $T_{avg}$  is the average torque.

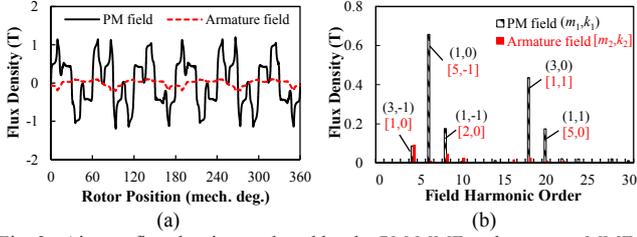


Fig. 3. Air-gap flux density produced by the PM MMF and armature MMF in the NS-SN. (a) Waveforms. (b) Harmonic spectra.

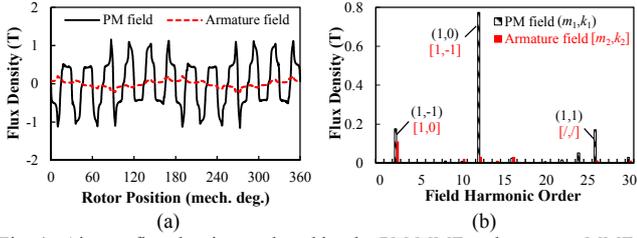


Fig. 4. Air-gap flux density produced by the PM MMF and armature MMF in the NS-NS. (a) Waveforms. (b) Harmonic spectra.

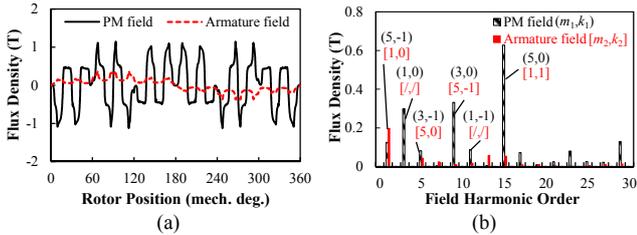


Fig. 5. Air-gap flux density produced by PM MMF and armature MMF in the NSNS-SNSN. (a) Waveforms. (b) Harmonic spectra.

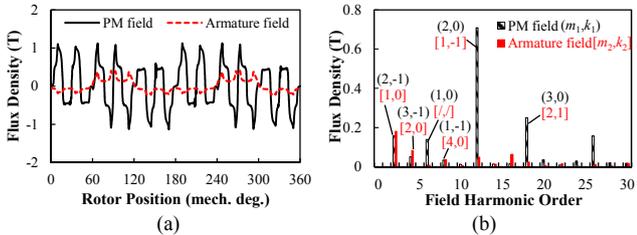


Fig. 6. Air-gap flux density produced by PM MMF and armature MMF in the NSNS-NSNS. (a) Waveforms. (b) Harmonic spectra.

TABLE II  
POLE-PAIR NUMBER OF FRPM MACHINES

PM arrangement	NS-SN	NS-NS	NSNS-SNSN	NSNS-NSNS
$p_m$	$N_s/2$	$N_s$	$N_s/2$	$N_s$
Major PM field	$N_s/2, 3N_s/2$	$N_s$	$3N_s/2, 5N_s/2$	$2N_s$
$p_{eq}$	$\min( N_s/2 - N_r ,  3N_s/2 - N_r )$	$ N_s - N_r $	$\min( 3N_s/2 - N_r ,  5N_s/2 - N_r )$	$ 2N_s - N_r $

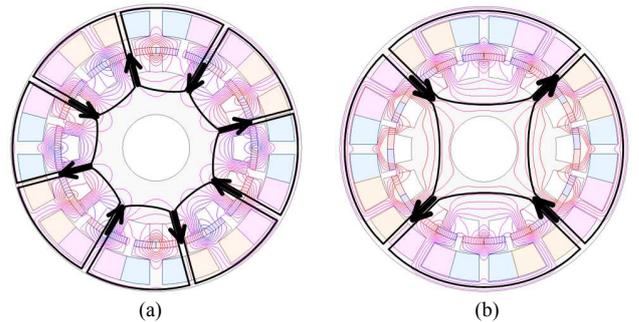
### B. Equivalent Pole-Pair Number and Armature Field

In [16], a FRPM machine of NS-SN arrangement is analyzed based on a ‘fictitious’ magnetic gear, from which the performance expressions are analytically derived. Further, the equivalent pole pair number  $p_{eq}$  of NS-SN is proposed in [10, 16], which reflects the flux distribution inside the stator and rotor core, and can be used to determine the winding

connections according to the star of slots of the conventional rotor-PM machine, of which the fundamental pole-pair number of the armature winding is  $p_{eq}$ .

Since the PM arrangement directly determines the PM field,  $p_{eq}$  of four PM arrangements are totally different and significantly influence the winding connections and resulted armature field of the corresponding FRPM machine. Considering the fact that 1) the flux paths of air-gap PM field harmonics without rotor-tooth modulation mainly circle through the stator tooth-tips and the air-gap; 2) the air-gap PM field harmonics after rotor-tooth modulation and with relatively high pole-pair number are more likely to short-circuit through the stator tooth-tips and the rotor teeth, the fields circle through the stator yoke and rotor yoke are those subjected to the rotor-tooth modulation and with low pole pair number simultaneously. Based on the major PM field harmonics shown in Figs.3-6,  $p_{eq}$  of different PM arrangements can be obtained and summarized in TABLE II. Fig. 7 shows the no-load flux distributions and equivalent flux paths of the four FRPM machines. As can be seen, although all the machines have 14 rotor poles, the flux distributions in stator and rotor cores are totally different. For the NS-SN,  $p_{eq}=4$ ; for the NS-NS,  $p_{eq}=2$ ; for the NSNS-SNSN,  $p_{eq}=1$ ; for the NSNS-NSNS,  $p_{eq}=2$ . The smaller  $p_{eq}$ , the longer magnetic length.

According to different  $p_{eq}$ , the winding connections of the four machines can be determined and the resulted armature fields are shown in Figs. 3-6. It should be noted that the concentrated windings are adopted in all the machines. Based on (1), the harmonic orders of the armature MMF and permeance  $[m_2, k_2]$  are also labelled. As can be seen, there are abundant harmonics of the armature field due to the armature MMF harmonics and the rotor-tooth modulation. By way of example, for the 12/14 stator-slot/rotor-pole NS-SN,  $p_{eq}=4$ , and the winding connection is equivalent to a conventional 12/8 stator-slot/rotor-pole rotor-PM machine. Therefore, both 1, 2, and 5-times harmonics of  $p_{eq}$  exist, i.e., the 4<sup>th</sup>, the 8<sup>th</sup>, and the 20<sup>th</sup>. After rotor-tooth modulation, additional field harmonics emerge, such as the 6<sup>th</sup> [ $m_2=5, k_2=-1$ ] and the 18<sup>th</sup> [ $m_2=1, k_2=1$ ]. Moreover, it is clearly shown that some armature field harmonics emerge in pairs with the PM field, which may contribute to the torque production.



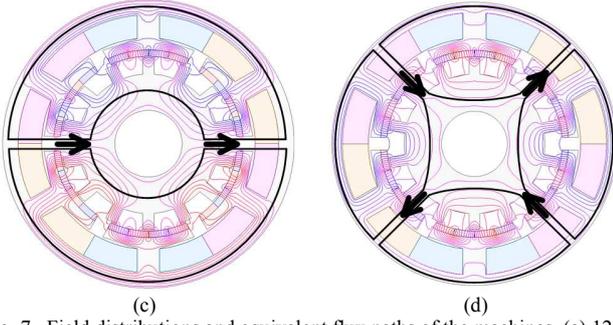


Fig. 7. Field distributions and equivalent flux paths of the machines. (a) 12/14 NS-SN. (b) 12/14 NS-NS. (c) 6/14 NSNS-SNSN. (d) 6/14 NSNS-NSNS.

### C. Torque Contribution of working Field Harmonics

For the machines working based on air-gap field modulation, although abundant field harmonics exist, only some of them contribute to the torque production. To identify the working harmonics in such machines, there are mainly two approaches. The first one is from the perspective of generator, i.e., only PM field is considered and the contribution to back-EMF of each harmonic can be analytically quantified. Based on this approach, the working harmonics of no-load air-gap PM field of NS-SN have been well analyzed in some papers [21, 24]. The second approach is from the perspective of motor, i.e., both PM field and armature field are considered, and the working field harmonics contributing to torque production can be directly obtained by using Maxwell stress tensor [28], as

$$T_n(t) = \frac{\pi R^2 L}{\mu_0} B_r B_m \cos[\theta_r(t) - \theta_m(t)] \quad (3)$$

where  $T_n(t)$  is the instantaneous torque produced by the  $n^{\text{th}}$  harmonic,  $R$  is the air-gap radius,  $\mu_0$  is the vacuum permeability,  $L$  is the effective axial length,  $B_r$  and  $B_m$  are the magnitudes of the radial and tangential components of the  $n^{\text{th}}$  harmonic,  $\theta_r(t)$  and  $\theta_m(t)$  are the phases of the radial and tangential components of the  $n^{\text{th}}$  harmonic.

In this paper, the second approach is used to analyze and compare the working harmonics of different PM arrangements. Fig. 8 shows the torque contribution of each field harmonic in the four machines. It can be found that the torques of all the FRPM machines are contributed by several dominant working field harmonics regardless of PM arrangement, which is different from the conventional rotor-PM machine. However, the contribution of each harmonic and the machine average torque are largely related to the PM arrangement. It is well known that a steady torque component can be produced by the interaction of one PM field harmonic and one armature field harmonic when they have the same pole-pair number and rotational speed. The resulted torque is proportional to the product of the pole-pair number, magnitudes of both the PM and armature field harmonics, and the relative phase angle between them [29]. Based on Figs.3-6, 8, (1), and (2), the order, speed, torque proportion of dominant working harmonics (with torque contribution >3%), and the magnitudes of corresponding PM field and armature field harmonics are listed in TABLE III. As can be seen, for the NS-NS, the torque contribution is concentrated since mainly two working harmonics have considerable torque contribution. In contrast, for the other three

PM arrangements, the torque contributions are more scattered with more than four dominating harmonics having proportion higher than 3%. It should be noted that although some harmonic pairs are static, i.e., the rotational speed is zero, a steady torque component can still be produced, which is similar with the SFPM machine analyzed in [28].

In terms of the total average torque of different PM arrangements, some findings can be concluded as:

1. The 12/14 NS-NS has higher torque than the 12/14 NS-SN. This can be explained by the fact that for the NS-NS, the magnitude of the 12<sup>th</sup> armature field is large which can interact with the absolutely dominant 12<sup>th</sup> PM field. In contrast, there are mainly two PM field harmonics with large magnitude in the NS-SN, i.e., the 6<sup>th</sup> and 18<sup>th</sup>. However, the 6<sup>th</sup> armature field is of very low magnitude, making the large 6<sup>th</sup> PM field not fully utilized.

2. The 6/14 NSNS-SNSN and NSNS-NSNS have higher torque than the other two PM arrangements with 12 stator slots. This phenomenon can be attributed to the large magnitude of armature field in the former two machines when concentrated-windings are adopted. By way of example, for both the 12/14 NS-NS and 6/14 NSNS-NSNS,  $p_{eq} = 2$ . However, the magnitude of the 2<sup>nd</sup> armature field is 0.11T in the former, which is much smaller than that in the latter (0.18T).

3. The 6/14 NSNS-NSNS has higher torque than the 6/14 NSNS-SNSN, thus exhibiting the highest average torque among four machines. The torque difference between two 6-slot-stator machines can be explained by the different torque contribution effects of the  $p_{eq}^{\text{th}}$  field harmonic. For the NSNS-NSNS, the 2<sup>nd</sup> field harmonic pair produces a positive torque component, thus boosting the torque while the 1<sup>st</sup> field harmonic pair of the NSNS-SNSN produces a negative torque component and impair the overall torque. This can be further explained by the rotational direction of the  $p_{eq}^{\text{th}}$  field harmonic. Based on (2), the 1<sup>st</sup> field harmonic of the NSNS-SNSN rotates to the reverse direction, producing a negative torque component, while the 2<sup>nd</sup> harmonic of the NSNS-NSNS is of positive rotation, thus producing a positive torque component.

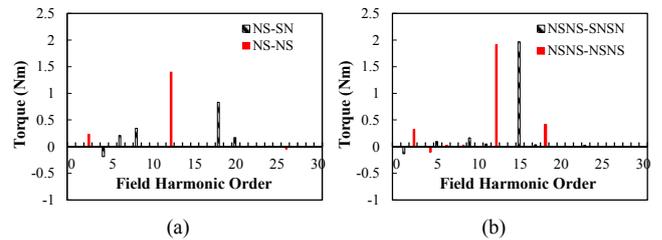


Fig. 8. Torque contribution of field harmonics. (a) NS-SN and NS-NS. (b) NSNS-SNSN and NSNS-NSNS.

TABLE III  
TORQUE PROPORTION OF WORKING HARMONICS

PM arrangement	$p_{m,t}$ & torque proportion	PM field & ( $m_1, k_1$ )	Armature field & ( $m_2, k_2$ )	Speed
NS-SN ( $T_{avg}=1.35\text{Nm}$ )	4 <sup>th</sup> (-13.8%)	0.09T (3,-1)	0.09T [1,0]	-14/4 $\Omega_r$
	6 <sup>th</sup> (15.6%)	0.66T (1,0)	0.01T [5,-1]	0
	8 <sup>th</sup> (25.6%)	0.18T (1,-1)	0.04T [2,0]	14/8 $\Omega_r$
	18 <sup>th</sup> (61.7%)	0.44T (3,0)	0.03T [1,1]	0
NS-NS ( $T_{avg}=1.60\text{Nm}$ )	2 <sup>nd</sup> (14.5%)	0.18T (1,-1)	0.11T [1,0]	14/2 $\Omega_r$
	12 <sup>th</sup> (87.5%)	0.77T (1,0)	0.03T [1,-1]	0

	1 <sup>st</sup> (-6.0%)	0.13T (5,-1)	0.20T [1,0]	-14/1Ω <sub>r</sub>
NSNS-SNSN	5 <sup>th</sup> (4.4%)	0.08T (3,-1)	0.04T [5,0]	14/5Ω <sub>r</sub>
(T <sub>avg</sub> =2.21Nm)	9 <sup>th</sup> (7.4%)	0.33T (3,0)	0.01T [5,-1]	0
	15 <sup>th</sup> (88.9%)	0.63T (5,0)	0.05T [1,1]	0
	2 <sup>nd</sup> (12.4%)	0.16T (2,-1)	0.18T [1,0]	14/2Ω <sub>r</sub>
NSNS-NSNS	4 <sup>th</sup> (-3.8%)	0.05T (3,-1)	0.08T [2,0]	14/4Ω <sub>r</sub>
(T <sub>avg</sub> =2.59Nm)	12 <sup>th</sup> (73.9%)	0.71T (2,0)	0.05T [1,-1]	0
	18 <sup>th</sup> (16.0%)	0.25T (3,0)	0.02T [2,1]	0

### III. INFLUENCE OF ROTOR POLE NUMBER ON PERFORMANCE OF FRPM MACHINES

The torque performance of a FRPM machine is significantly affected by the rotor pole number  $N_r$ . In [21], the influence of  $N_r$  on performance of NS-SN is investigated based on analytical equations. It is proven that the optimal  $N_r$  is 14 for 12-slot machines. In this paper, the influence of  $N_r$  on performance of FRPM machines regarding PM arrangement will be investigated based on finite element analysis (FEA).

#### A. Two Magnet Pieces on Each Stator Tooth

Fig. 9 shows the average torque variation against  $N_r$  of NS-SN and NS-NS. It should be noted that all the machines utilize concentrated-winding, and are optimized aiming at the maximum torque density under the fixed stator outer diameter, axial length and copper loss shown in TABLE I. As can be seen, both NS-SN and NS-NS have relatively high torque when  $N_r$  ranges from 8 to 20, and the torques are the highest when  $N_r=14$ . This can be explained by that 1) the back-EMF is proportional to  $N_r$ ; 2) the flux of PMs can be sufficiently utilized when  $N_r$  is close to the number of PM pairs which is 12 in this case.

In addition, each PM arrangement shows its superiority in a specific range of  $N_r$ . When  $N_r$  ranges from 8 to 12, the torque of the NS-SN is higher while that of the NS-NS is higher within the range from 13 to 20. The winding factors of two machines are utilized to simply explain the different torque variation trends against  $N_r$ . Typically, two approaches can be adopted to calculate the winding factor. The first approach is that the FRPM machine can be regarded as the conventional rotor-PM machine with pole-pair number of  $p_{eq}$ , which is listed in TABLE II. Then the winding factor can be obtained, e.g. the winding factor of the 12/14 NS-SN is exactly the same as the conventional 12-stator-slot rotor-PM machine with pole pair number of PMs being 4, which is 0.866. Another approach is that the winding factor of the FRPM machine can be directly calculated by using the star of slots with additional consideration of relative polarities of adjacent stator teeth, which is similar to the winding factor calculation in SFPM machine [30]. In this paper, the winding factor of the FRPM machines will be calculated based on the second approach.

Fig. 10 shows the back-EMF phasors of the 12/14 NS-SN. Considering the opposite PM arrangement of two adjacent stator teeth and the influence on the phase shift of the back-EMF phasor, the phasors of the even-number slots are marked with a (<sup>'</sup>), as shown in Fig. 10 (a). Correspondingly, the coil-EMF phasors of the double-layer concentrated-winding are shown in Fig. 10 (b). For the 12/14 NS-NS, since the PM arrangements of all stator teeth are identical, its star of slots is just the same as the conventional rotor-PM machine, as shown in Fig. 11 (a). Also, the coil-EMF phasors of the double-layer

concentrated-winding are shown in Fig. 11 (b).

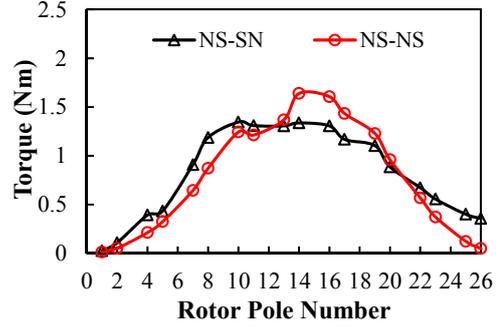


Fig. 9. Torque variation against  $N_r$  in NS-SN and NS-NS. ( $N_s=12$ )

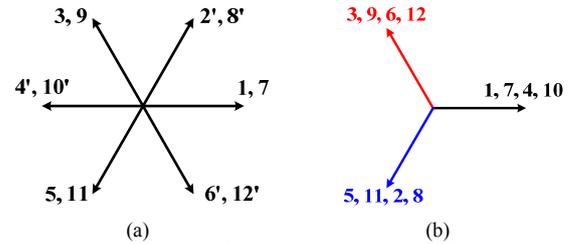


Fig. 10. Back-EMF phasors of 12/14 NS-SN. (a) Star of slot. (b) Coil-EMF phasors.

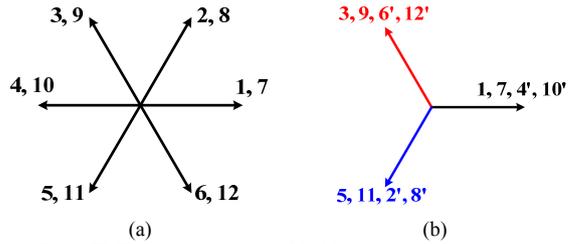


Fig. 11. Back-EMF phasors of 12/14 NS-NS. (a) Star of slot. (b) Coil-EMF phasors.

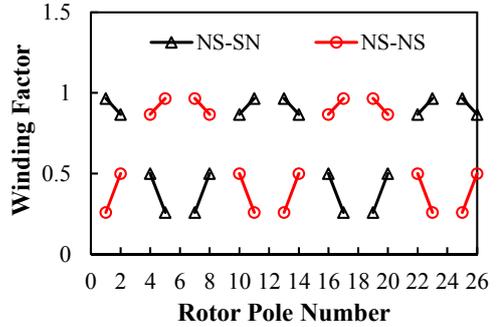


Fig. 12. Winding factors of NS-SN and NS-NS with different  $N_r$ .

Therefore, the distribution factor  $k_d$  of NS-SN and NS-NS are exactly the same, which is

$$k_d = \frac{\sin(Qv\alpha/2)}{Q\sin(v\alpha/2)} \quad (4)$$

where  $Q$  is the number of coil-EMF phasors per phase,  $\alpha$  is the angle between two adjacent coil-EMF phasors, and  $v$  is the harmonic order. For 12/14 FRPM machines with double-layer windings,  $Q=4$  and  $\alpha=0^\circ$ . Hence,  $k_d=1$  for the fundamental harmonic.

Considering the pitch factor of the concentrated winding, for NS-SN, the angular difference between two adjacent slot conductors for the  $v^{\text{th}}$  back-EMF harmonic is

$$\theta_c = v2\pi \frac{N_r}{N_s} \quad (5)$$

and for NS-NS, it is

$$\theta_c = v(2\pi \frac{N_r}{N_s} - \pi) \quad (6)$$

Hence, the pitch factor can be obtained as

$$k_q = |\cos(\theta_c / 2)| \quad (7)$$

Based on (4)-(7), the winding factors of NS-SN and NS-NS are shown in Fig. 12. Comparing Fig. 12 with Fig. 9, it is found that the different torque variation trends of NS-SN and NS-NS are largely related to the winding factors. When  $15 < N_r < 20$ , NS-NS has larger winding factor, resulting in higher torque; when  $9 < N_r < 13$ , NS-SN has larger winding factor and higher torque. When  $N_r = 13$  and 14, although the NS-SN has larger winding factor, its output torque is smaller than the NS-NS, which can be explained by the different working harmonics of two arrangements shown in Section II.

#### B. Four Magnet Pieces on Each Stator Tooth

Considering NSNS-SNSN and NSNS-NSNS, the torque variations against  $N_r$  are shown in Fig. 13. Both PM arrangements have relatively high torque when  $N_r$  ranges from 10 to 20, and the 13-pole-rotor is preferred for NSNS-SNSN while the 14-pole-rotor is the best for NSNS-NSNS in terms of torque. Again, this phenomenon can be explained by the winding factors shown in Fig. 14. For NSNS-SNSN, the winding factor is higher when  $N_r = 13$ . For NSNS-NSNS, the winding factor is higher when  $N_r = 14$ .

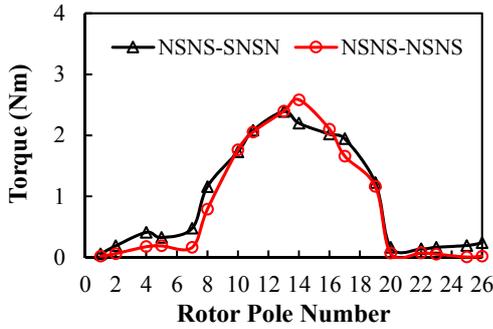


Fig. 13. Torque variation against  $N_r$  in NSNS-SNSN and NSNS-NSNS. ( $N_s = 6$ )

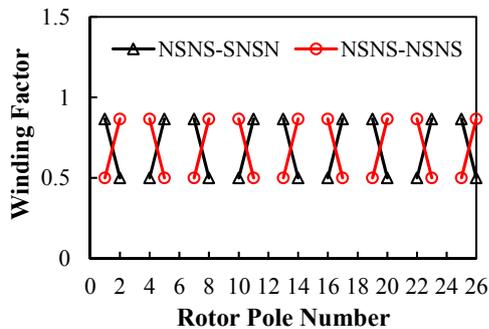


Fig. 14. Winding factors of NSNS-SNSN and NSNS-NSNS with different  $N_r$ .

#### IV. PERFORMANCE COMPARISON OF FOUR FRPM MACHINES

In the previous analysis, the optimal rotor pole number  $N_r$  of each PM arrangement has been identified, which is 13 for the 6-stator-slot NSNS-SNSN, and 14 for the 12-stator-slot NS-SN, 12-stator-slot NS-NS and 6-stator-slot NSNS-NSNS. It should be noted that the above conclusions are valid only when the concentrated-windings (CWs) are used. For some machines, the winding factor and average torque may be improved when integer-slot distributed-windings (DWs) are used [11] [12]. For instance, as shown in Figs. 12, 14, the winding factor of the 12/14 NS-NS and the 6/14 NSNS-SNSN is 0.5, and it can be improved to 1 when the short-pitch CWs are replaced with full-pitch DWs. Hence, additional two machines with DWs are optimized, and their parameters and performance are listed in TABLE I. As can be seen, the average torque  $T_{avg}$  of the 12/14 NS-NS with DWs is 90% higher than its CWs counterpart, and  $T_{avg}$  of the 6/14 NSNS-SNSN with DWs is also 66% higher than its CWs counterpart. However, it should be noted that all the machines are optimized under the same active copper loss (20W), i.e., only active part of the copper is considered while the end-winding length ( $l_{end}$ ) is neglected. To achieve a more fair comparison,  $l_{end}$  of different machines are then calculated as

$$l_{end} = k_s \tau_y \quad (8)$$

where  $k_s$  is the empirical coefficient of the end-winding, which is selected as 1.25 for the two machines with DWs, and 1.35 for the other machines with CWs [31];  $\tau_y$  is the coil pitch of each machine, it is  $\pi y_c (R_{co} + R_{ci}) / 12$  for the 12-stator-slot NS-NS and NS-SN, and  $\pi y_c (R_{co} + R_{ci}) / 6$  for the 6-stator-slot NSNS-SNSN and NSNS-NSNS;  $y_c$  is the coil pitch, which is 1 for the machines with CWs and 3 for the two machines with DWs;  $R_{co}$ ,  $R_{ci}$  are the outer radius and inner radius of the stator slot, respectively.

From TABLE I, it is clear that the machines with DWs have much larger  $l_{end}$  than those with CWs, especially for the 12/14 NSNS-SNSN. By considering  $l_{end}$ , the torques per copper loss of various machines under different active axial stack lengths ( $l$ ) are compared in Fig. 15. As can be seen, for machines with DWs, the torque benefits brought by high winding factor are impaired and even cancelled due to long  $l_{end}$ , particularly when  $l$  is small [32]. For instance, when  $l < 45$ mm, the NSNS-SNSN with CWs always has higher torque per copper loss than its counterpart with DWs; when  $l < 30$ mm, torque per copper loss of the NS-NS with CWs is better.

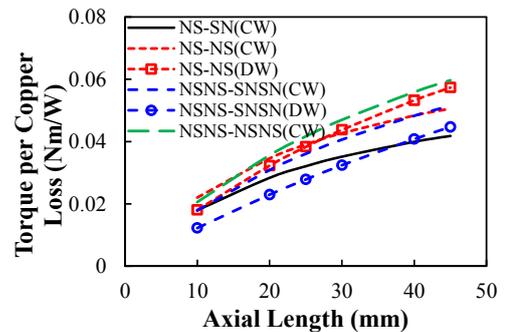


Fig. 15. Torque per copper loss under different active axial stack length  $l$ .

In addition, considering the fact that the machines with CWs have higher slot filling factor and are easier to manufacture, and the aim of this paper is to reveal the influence of PM arrangement on working harmonics and performance of the FRPM machine, only CWs are considered for all the PM arrangements. Detailed performance difference among four arrangements with associated optimal  $N_r$  will be investigated. Considering the fact that the torque of the 6-slot NSNS-SNSN with  $N_r=14$  is close to the highest value when  $N_r=13$ ,  $N_r$  is chosen as 14 for all the machines for simplicity.

The optimal parameters of the four machines are shown in TABLE I, and their cross-sections are shown in Fig. 16. As can be seen, the stator teeth of the NSNS-SNSN and NSNS-NSNS are wider than those of the NS-SN and NS-NS since the flux through one stator tooth is much more due to the increased number of PM pieces mounted on single stator tooth. In addition, there has a big difference of the ratio between the stator yoke thickness and the stator tooth width. For the NS-SN, the ratio is around 0.5 while that is larger than 1 for the NS-NS. This can be explained by  $p_{eq}$ , since for 12/14 NS-NS,  $p_{eq}=2$  and for 12/14 NS-SN,  $p_{eq}=4$ . The smaller  $p_{eq}$ , the longer magnetic path, and the thicker stator yoke. Similarly, the stator yoke of the NSNS-NSNS is thinner than the NSNS-SNSN, thanks to the larger  $p_{eq}$ . Besides, it should be noted that the obtained optimal magnet thicknesses for all the machines are smaller than 2mm so as to reduce the equivalent air-gap length. However, the magnet thickness is chosen as 2mm due to the consideration of manufacturing feasibility and anti-demagnetization capability.

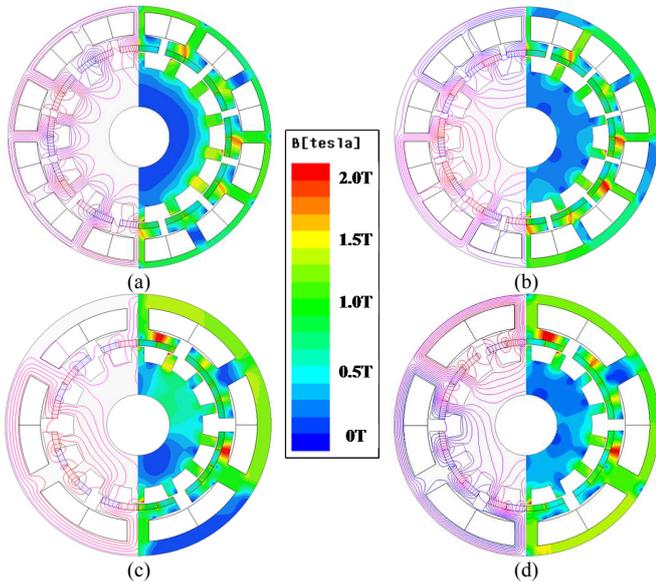


Fig. 16. Cross-sections, and full-load field distributions. (a) NS-SN. (b) NS-NS. (c) NSNS-SNSN. (d) NSNS-NSNS.

#### A. No-Load Performance

When the rotor speed is 1000rpm and the winding turns per phase  $N_{ph}$  are 4, the no-load back-EMFs are shown in Fig. 17. As can be seen, the back-EMFs of machines with four magnet pieces on each stator tooth are much larger than the other two machines with two magnet pieces on each stator tooth. For instance, the proposed NSNS-NSNS has the highest back-EMF,

which is 98% higher than the conventional NS-SN. In addition, the 2<sup>nd</sup> and 3<sup>rd</sup> harmonics exist in the NSNS-SNSN and NSNS-NSNS due to the harmonics of the permeance ratio, which may cause larger torque pulsation.

Fig. 18 shows the cogging torque of the four machines. Clearly, different PM arrangements have a big influence on the cogging torque. As can be seen, the fundamental orders of the cogging torque of the NS-SN and NS-NS are 6 while those of the NSNS-SNSN and NSNS-NSNS are 3. In addition, the NS-NS has the lowest cogging torque while the NSNS-SNSN and NSNS-NSNS have the largest. Therefore, for the applications where low torque ripple is required, the cogging torque reduction techniques should be utilized especially for the NSNS-SNSN and NSNS-NSNS [33].

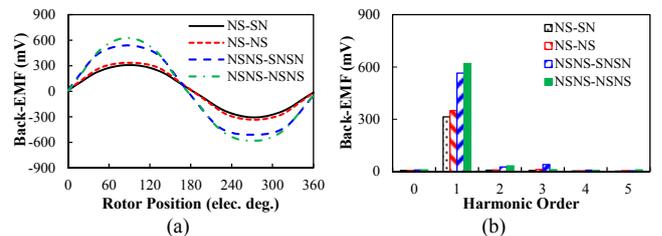


Fig. 17. Phase back-EMF of four FRPM machines ( $n=1000$ rpm,  $N_{ph}=4$ ). (a) Waveforms. (b) Harmonic spectra.

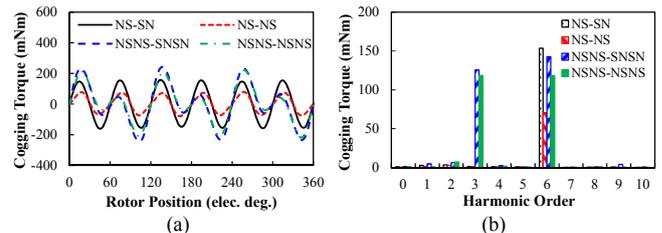


Fig. 18. Cogging torque of four FRPM machines. (a) Waveforms. (b) Harmonic Spectra.

#### B. Torque Performance

When copper loss  $p_{cu}=20$ W, the rated torques of the machines are shown in Fig. 19. The average torque of the proposed NSNS-NSNS is 2.6Nm, which is the highest and 93% higher than the lowest one of NS-SN arrangement. In addition, it should be noted that the torque ripples of the NSNS-SNSN and NSNS-NSNS are relatively larger especially compared to the NS-NS, which is resulted from the larger cogging torque and additional 2<sup>nd</sup> harmonic of the back-EMF. However, this problem can be effectively eliminated by various methods aiming at torque ripple minimization [33]. The full-load field distributions and flux densities of four machines are also shown in Fig. 16. It shows that the flux paths in the stator and rotor significantly vary with PM arrangement, which can be characterized by  $p_{eq}$ . In addition, for all the machines, the flux density in rotor teeth and stator tooth tips is higher than other regions.

By setting the rated torque of each machine as benchmark, the over-load capability of the machines is shown in Fig. 20. As can be seen, the over-load capability of the NSNS-NSNS and NSNS-SNSN is inferior to the NS-SN and NS-NS while that of the NS-NS is the best. This can be explained by the different self-inductances of the machines (see Fig. 21). It should be

noted that the winding turns per phase  $N_{ph}$  are same for all the machines, which are 4. As can be seen, the self-inductances of all the machines decrease with current due to the saturation, and the self-inductances of the NSNS-SNSN and the NSNS-NSNS are similar, which are much higher than those of the NS-SN and the NS-NS. In addition, the NS-NS has the smallest self-inductance. The larger the self-inductance, the higher the armature field, the severer the saturation, and the worse the over-load capability.

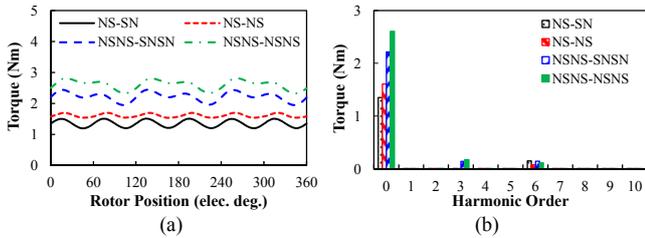


Fig. 19. Rated torque of four FRPM machines ( $p_{cu}=20W$ ). (a) Waveforms. (b) Harmonic spectra.

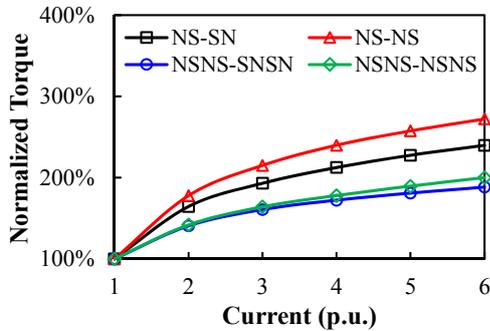


Fig. 20. Torque variation against current.

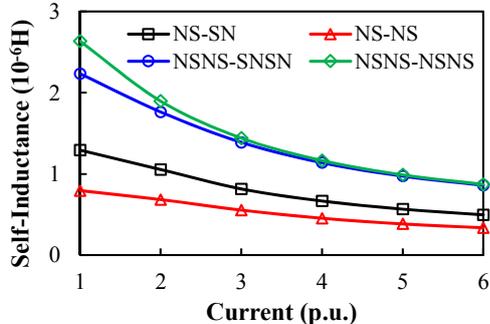


Fig. 21. Inductance variation against current. ( $N_{ph}=4$ )

### C. Losses and Efficiency

Fig. 22 shows the full-load iron loss variation against speed. As can be seen, the loss rapidly increases with speed, and it is higher in the NSNS-SNSN and NSNS-NSNS due to higher average flux density in the stator (see Fig. 16) when compared to the NS-SN and NS-NS. At  $n=3000rpm$ , detailed iron loss distribution is shown in Fig. 23. It shows that the stator yoke together with the stator teeth account for the most proportion of the iron loss regardless of the PM arrangement and load condition. In terms of the losses of different PM arrangements, they are largely related to  $p_{eq}$ . Under no-load condition, the flux density in the stator and iron loss are determined by the PM field only. For the NS-NS,  $p_{eq}=2$  and it is smaller than that of

the NS-SN which is 4. Therefore, the iron loss of the NS-NS is larger due to the longer magnetic path and corresponding higher average flux density in the stator yoke. When the machine operates under full-load condition, the flux density in the stator and iron loss are largely influenced by the armature field. For the NS-NS, the winding connection is equivalent to a conventional 12/4 rotor-PM machine while it is equivalent to a 12/8 PM machine for the NS-SN. It is well known that the harmonics of the armature field of a 12/4 PM machine are smaller than a 12/8 PM machine [32]. Therefore, the iron loss produced by the armature field is smaller in the NS-NS. Similarly, the winding connection of the NSNS-SNSN is equivalent to a 6/2 PM machine while it is equivalent to a 6/4 PM machine for the NSNS-NSNS. Therefore, the iron loss produced by the armature field of the NSNS-NSNS is larger than that of the NSNS-SNSN due to the increased field harmonics.

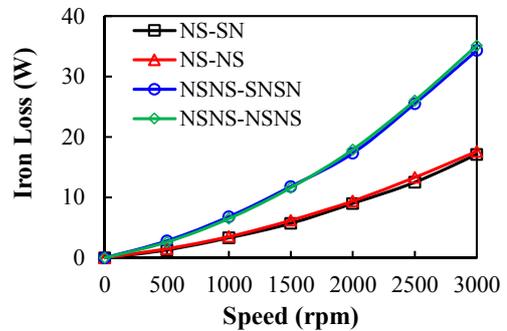


Fig. 22. Full-load iron loss variation against speed. ( $p_{cu}=20W$ )

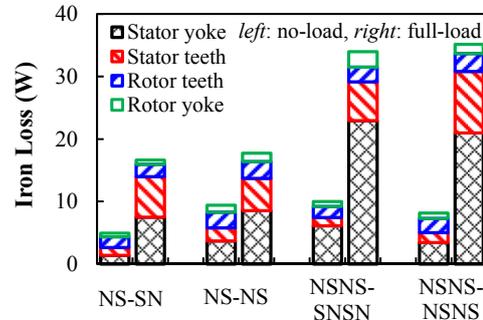


Fig. 23. Iron loss distribution. ( $n=3000rpm, p_{cu}=20W$ )

Fig. 24 shows the full-load PM loss variation against speed. As can be seen, the NSNS-NSNS has the largest PM loss while the NS-NS has the lowest one. Considering the fact that all rotating harmonics in air-gap produce eddy current loss since the magnets are static, the major air-gap field harmonics (with magnitude exceeding 0.1T) of the NS-SN and NS-NS are listed in TABLE IV, and the rotational speed and corresponding frequency of each harmonic are calculated based on (1) and (2). As can be seen, for the NS-SN, there exist three rotating harmonics with different rotational speeds but the same frequency. In contrast, only two rotating harmonics exist in the NS-NS, making the PM loss smaller than the NS-SN. Similarly, TABLE V lists the major full-load field harmonics (with magnitude exceeding 0.1T) of the NSNS-SNSN and NSNS-NSNS. It can be found that the rotating harmonics in the NSNS-

NSNS are more abundant than those in the NSNS-SNSN. Therefore, the PM loss of the NSNS-SNSN is smaller than that of NSNS-NSNS.

Fig. 25 shows the variation of rated efficiency against speed. As can be seen, all machines exhibit the highest efficiency around 2500rpm. With rotor speed increasing from 2500rpm, the efficiencies gradually reduce. Within the speed range of 0-3000rpm, the proposed NSNS-NSNS has the highest efficiency thanks to the improved torque density.

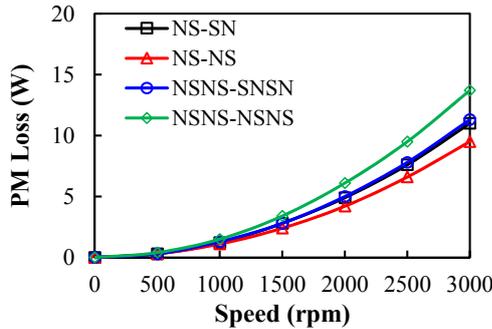


Fig. 24. PM loss variation against speed. ( $p_{ci}=20W$ )

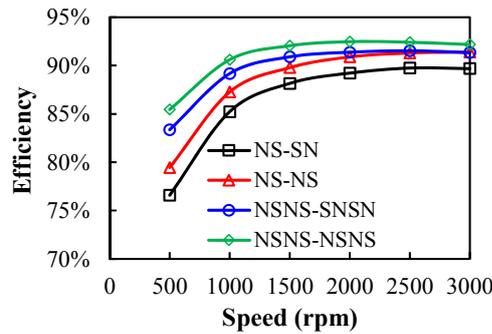


Fig. 25. Efficiency variation against speed.

		Static Harmonics			Rotating Harmonics		
NS-SN	Order	6 <sup>th</sup>	18 <sup>th</sup>	42 <sup>nd</sup>	4 <sup>th</sup>	8 <sup>th</sup>	20 <sup>th</sup>
	Magnitude	0.66T	0.44T	0.17T	0.13T	0.18T	0.17T
	Speed	0	0	0	-14/4 $\Omega_r$	14/8 $\Omega_r$	14/20 $\Omega_r$
	Frequency	0	0	0	$f$	$f$	$f$
NS-NS	Order	12 <sup>th</sup>	36 <sup>th</sup>		2 <sup>nd</sup>	26 <sup>th</sup>	
	Magnitude	0.77T	0.15T		0.21T	0.17T	
	Speed	0	0		14/2 $\Omega_r$	14/26 $\Omega_r$	
	Frequency	0	0		$f$	$f$	

		Static Harmonics				Rotating Harmonics	
NSNS-SNSN	Order	3 <sup>rd</sup>	9 <sup>th</sup>	15 <sup>th</sup>	45 <sup>th</sup>	1 <sup>st</sup>	29 <sup>th</sup>
	Magnitude	0.28T	0.33T	0.63T	0.14T	0.23T	0.13T
	Speed	0	0	0	0	-14/1 $\Omega_r$	14/29 $\Omega_r$
NSNS-NSNS	Order	6 <sup>th</sup>	12 <sup>th</sup>	18 <sup>th</sup>	42 <sup>nd</sup>	2 <sup>nd</sup>	4 <sup>th</sup>
	Magnitude	0.13T	0.7T	0.25T	0.17T	0.23T	0.11T
	Speed	0	0	0	0	14/2 $\Omega_r$	-14/4 $\Omega_r$ , 14/26 $\Omega_r$
	Frequency	0	0	0	0	$f$	$f$

#### D. Power Factor

Since the PM arrangement influences the average torque and inductance of the FRPM machine, the variation of power factor against torque of four arrangements are compared in Fig. 26. It

shows that the NS-SN always has the lowest power factor because of the needed high armature field [15]. Although the NS-NS also needs higher armature field, particularly compared with the NSNS-NSNS, its power factor is the highest, thanks to the smaller inductance (see Fig. 21). It should be noted that because of the low flux linkage per pole [34], the power factor of FRPM machines is relatively low in comparison with conventional rotor-PM machines, especially for the high-torque region. Therefore, additional techniques should be further considered and adopted in FRPM machines when high power factor is required.

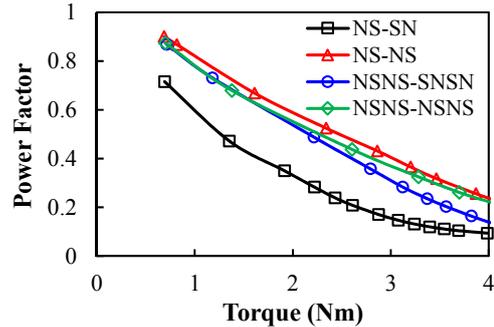


Fig. 26. Power factor variation against torque.

#### V. EXPERIMENTAL VALIDATION

To verify the previous analyses, four 14-pole-rotor FRPM machines having different PM arrangements are manufactured, as shown in Fig. 27, together with their parameters listed in TABLE I. For simplicity, all the prototypes have the same stator inner diameter and share the same rotor. In addition, the NS-SN and NS-NS share the same stator lamination; the NSNS-SNSN and NSNS-NSNS share the same stator lamination.

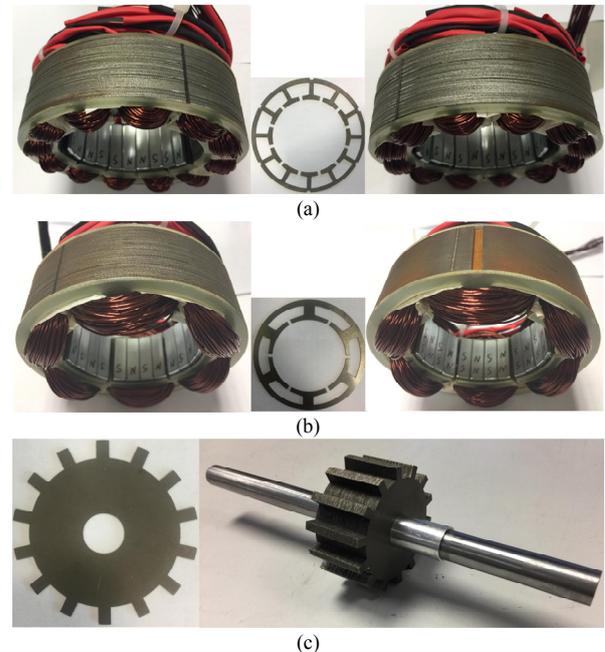


Fig. 27. Prototype machines. (a) Left: 12-slot NS-SN stator. Right: 12-slot NS-NS stator. (b) Left: 6-slot NSNS-SNSN stator. Right: 6-slot NSNS-NSNS stator. (c) Shared 14-pole rotor.

Fig. 28 shows the measured and FE-predicted back-EMFs of the four machines at  $n=400$ rpm. It should be noted that to guarantee the same slot filling factor, the number of turns per coil  $N_c$  is 74 for the NS-SN and NS-NS, and it is 115 for the NSNS-SNSN and NSNS-NSNS. As can be seen, the measured back-EMFs match well with the FEA results regardless of PM arrangement, and minor errors can be attributed to the manufacturing tolerance. Test results show that the NSNS-NSNS machine has the highest fundamental back-EMF, which is 72% higher than the NS-SN, 41% higher than the NS-NS, and 10% than the NSNS-SNSN.

By using the simple cogging torque measurement method introduced in [35], Fig. 29 shows the measured and FE-predicted cogging torque waveforms of the four machines. As can be seen, both the measured and FE-predicted cogging torques of the NSNS-SNSN and NSNS-NSNS are larger than the NS-NS and NS-SN.

The variation of static torque against rotor position is measured by supplying three-phase windings with fixed dc current ( $I_a=-2I_b=-2I_c=I_{dc}=I_{rated}$ , and the rated current  $I_{rated}$  is corresponded to  $p_{cu}=20$ W). Fig. 30 shows the measured and FE-predicted static torques against rotor position of four machines. Again, good agreement between the results can be observed. The maximum measured torque of the NSNS-NSNS is 2.3Nm, which is 96% higher than the NS-SN, 64% higher than the NS-NS, and 13% higher than the NSNS-SNSN. The torque variations against current are compared in Fig. 31 as well. As can be seen, the measured torque results match well with the FE-predicted values. Therefore, the improved torque density of the proposed NSNS-NSNS FRPM machine is verified.

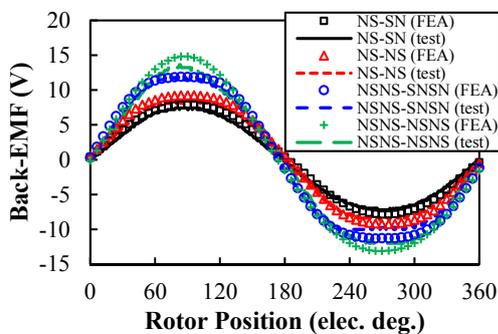


Fig. 28. Measured and FE-predicted back-EMFs. ( $n=400$ rpm)

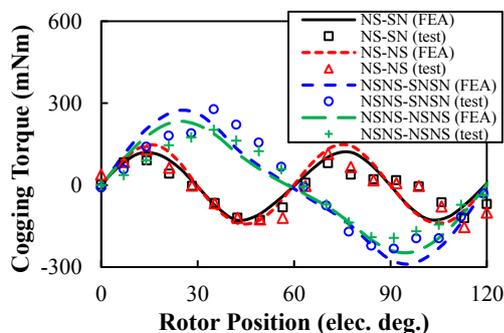


Fig. 29. Measured and FE-predicted cogging torques.

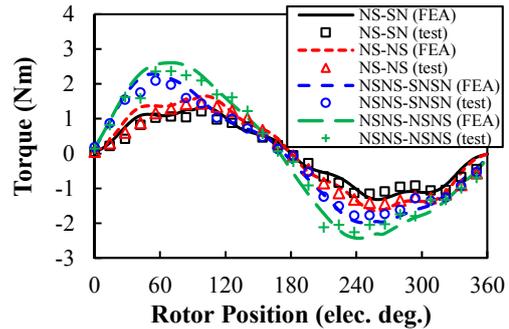


Fig. 30. Measured and FE-predicted static torques. ( $I_a=-2I_b=-2I_c=I_{rated}$ )

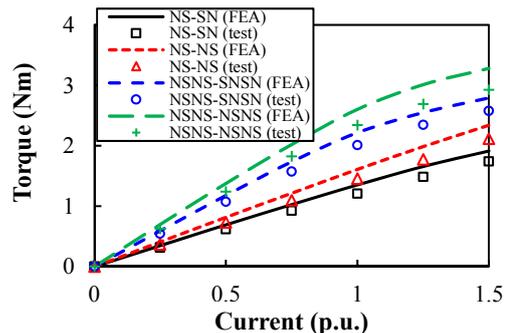


Fig. 31. Torque variations against current.

## VI. CONCLUSION

In this paper, different PM arrangements of FRPM machine are analyzed and compared. Some findings can be summarized as follows: (1) the torque of an FRPM machine is produced by several dominant harmonics, and its performance is greatly influenced by the PM arrangement; (2) in terms of torque density, the optimal rotor pole number is around 14 for the FRPM machines with 12 pairs of PMs, and each PM arrangement is preferable in a specific range of rotor pole number; (3) the torque of machines with four PM pieces on each stator tooth are higher than that of machines with two PM pieces; (4) the FRPM machine of NSNS-NSNS arrangement offers the highest torque density and the highest efficiency when the rotor speed is relatively low. These findings can be useful guidance in designing and analyzing the FRPM machines aiming at good torque performance.

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