

This is a repository copy of *Quantum-based subgraph convolutional neural networks*.

White Rose Research Online URL for this paper:  
<http://eprints.whiterose.ac.uk/139142/>

Version: Accepted Version

---

**Article:**

Zhang, Zhihong, Chen, Dongdong, Wang, Jianjia et al. (2 more authors) (2018) Quantum-based subgraph convolutional neural networks. *Pattern recognition*. 38 - 49. ISSN 0031-3203

<https://doi.org/10.1016/j.patcog.2018.11.002>

---

**Reuse**

This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: <https://creativecommons.org/licenses/>

**Takedown**

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing [eprints@whiterose.ac.uk](mailto:eprints@whiterose.ac.uk) including the URL of the record and the reason for the withdrawal request.

# Quantum-based Subgraph Convolutional Neural Networks

Zhihong Zhang<sup>a</sup>, Dongdong Chen<sup>a</sup>, Jianjia Wang<sup>c</sup>, Lu Bai<sup>b,\*</sup>,  
Edwin R. Hancock<sup>c</sup>

<sup>a</sup>*Xiamen University, Xiamen, China*

<sup>b</sup>*Central University of Finance and Economics, Beijing, China*

<sup>c</sup>*University of York, York, UK*

---

## Abstract

This paper proposes a new graph convolutional neural network architecture based on a depth-based representation of graph structure deriving from quantum walks, which we refer to as the *quantum-based subgraph convolutional neural network* (QS-CNNs). This new architecture captures both the global topological structure and the local connectivity structure within a graph. Specifically, we commence by establishing a family of  $K$ -layer expansion subgraphs for each vertex of a graph by quantum walks, which captures the global topological arrangement information for substructures contained within a graph. We then design a set of fixed-size convolution filters over the subgraphs, which helps to characterise multi-scale patterns residing in the data. The idea is to apply convolution filters sliding over the entire set of subgraphs rooted at a vertex to extract the local features analogous to the standard convolution operation on grid data. Experiments on eight graph-structured datasets demonstrate that QS-CNNs architecture is capable of outperforming fourteen state-of-the-art methods for the tasks of node classification and graph classification.

*Keywords:* Graph convolutional neural networks, spatial construction, quantum walks, subgraph.

---

\*Corresponding author: Lu Bai  
*Email address:* `bailucs@cufe.edu.cn`. (Lu Bai)

## 1. Introduction

Numerous problems (social networks, transport networks, protein-interaction networks, knowledge graphs, . . .) involve data lying on irregular or non-Euclidean space that can be efficiently described with graph data structures, which are universal representations of heterogeneous pairwise relationships [1]. Graphs can encode complex geometric structures and can be studied using efficient machine learning techniques. Recently, numerous results have proven that deep learning methods provide an effective architecture for analyzing the large-scale and high-dimensional regular or Euclidean data. In particular, Convolutional Neural Networks (CNNs) [2] allow us to extract meaningful statistical patterns from large sets of data and this property allows them to gain significant improvement in image, sound and video recognition tasks [3], where the underlying data representation has a regular grid structure. When confronted by graph data-streams, on the other hand, one is confronted with irregular structures. Because such data is ubiquitous, there has been significant interest in the generalization of CNNs to graph data [1]. Unfortunately, this is not a straightforward problem since the basic operations of convolution, pooling and weight-sharing are only designed for regular grids. These three points make the application of CNNs to graph data streams both theoretically and implementally challenging.

There are two main strategies adopted in extending CNNs to non-lattice graphical structures, namely a) spectral and b) spatial methods. Spectral approaches draw on the properties of convolution operators in the graph Fourier domain and are related to the Laplacian matrix of the graph [4, 5, 6]. By transforming graphs into the spectral domain using the eigenvectors derived from the eigendecomposition of the graph Laplacian, graphs can be multiplied by an array of filter coefficients to perform a filtering operation. However, spectral approaches require each of the graphs samples in a particular problem to have the same number of nodes. Thus they are not directly transferable to different graphs of different size and having a different Fourier basis.

Spatial approaches, on the other hand, generalize the convolution using the

spatial structure of a graph by sliding a filter over the spatially neighboring vertices in a manner analogous to the convolution performed on images in standard CNNs [7, 8, 4, 9, 10]. This approaches however present two challenges, namely (1) the definition of a receptive field/neighborhood, because spatial convolutions are usually position dependent and lack a meaningful global interpretation and (2) how to implement weight sharing in a spatial structure with a variable number of adjacent neighbors adjacent and where the ordering of neighborhoods is not well defined.

To solve these problems, in this paper, we adopt a graph decomposition strategy based on quantum walks. When compared to their classical counterparts, quantum walks capture different aspects of the patterns of node connectivity in a graph via constructive and destructive interference. Here we use them to determine the nodes belonging of each receptive field used for convolution in a CNN. This can lead to the convolution operations being performed both more effectively and more efficiently. We commence by decomposing a graph into a family of  $K$ -layer  $m$ -ary expansion trees, each rooted at a unique vertex. We then scan a subgraph based window defined over an  $m$ -ary tree in a manner similar to the standard convolution operation on grid data (depicted in Figure 1). This allows us to extract structural features reflecting the local connectivity, and this in turn helps in capturing multi-scale patterns in the data. In particular, the convolution operation not only captures local structural information within the graph, but also exhibits weight sharing among the subgraphs. This results in a significant parameter reduction. The weight sharing is induced by a pooling operation that acts directly on the output of the preceding network layer, and without resorting to a preprocessing scheme (e.g., clustering or other techniques). Finally, we can learn a better representation for the purposes of prediction by simultaneously considering both the node features and graph structure information delivered by our subgraph convolution operation.

The remainder of this paper is organized as follows. In Section 2, we present related work and discuss the relationship between our proposed model QS-CNNs and alternative methods. In Section 3, we introduce some preliminary concepts

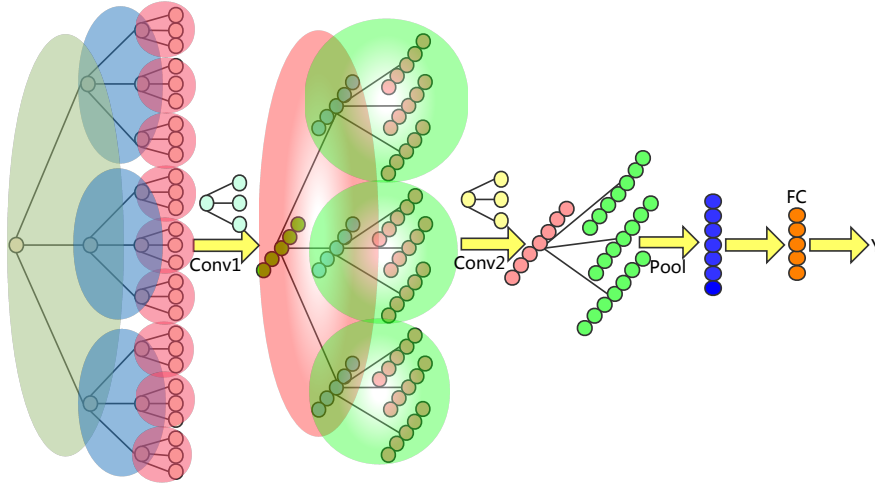


Figure 1: An illustrative example of our QS-CNNs with  $K = 4$  and  $m = 3$ . The ‘Conv’ arrow depicts the convolution operation. The subgraph above the ‘Conv’ arrow represents a convolution kernel, extracting structural features along the tree. Then the extracted features are summarized by pooling operation.

that will be used for developing the work presented in this paper. In Section 4, we present a formal definition of the model, including descriptions of graph depth-based representation and graph learning procedures (i.e. subgraph convolution and pooling operations). This is followed by an experimental evaluation  
65 in Section 5 which explores the performance of QS-CNNs at node and graph classification tasks. Finally, conclusions and directions for future work are presented in Section 6.

## 2. Related Work

70 Most of the recent work on extending CNNs to non-lattice graphical structures fall into two broad categories a) spectral and b) spatial approaches.

### 2.1. Spectral Methods

Spectral approaches provide a well-defined localization operator on graphical data via convolutions in the spectral domain. Spectral graph theory defines

75 convolutions in terms of an array of filter coefficients multiplied by the graph  
signals, after transforming the graph signal to a spectral domain representation.  
Several authors propose graph CNN models that are based on this method of  
filtering [4, 6, 5]. For instance, Bruna et al. [4] and Henaff et al. [6] used a gener-  
alization of graph convolutions via the graph Fourier transform. Unfortunately,  
80 this involves the computationally expensive multiplication of node features with  
the eigenvector matrix of the graph Laplacian. Furthermore, computing the re-  
quired eigenvector matrix is cubic in the number of vertices. To circumvent this  
problem, Defferrard et al. [11] have proposed an efficient filtering scheme which  
operates in the spectral domain by using Chebyshev polynomials, and which  
85 does not require explicit computation of the Laplacian eigenvectors. Instead, it  
uses the  $k^{th}$  order Chebyshev polynomials of Laplacian eigenvalues as the pa-  
rameters of filters that act on  $k$ -hop neighbourhoods of the graph. This model  
was later simplified by Kipf and Welling [12] to use first order polynomials only  
for the task of semi-supervised nodes classification.

90 The major drawback of most spectral methods is that they are based on  
a spectral formulation of the convolution which uses the spectrum of graph  
Laplacian [13]. It is thus restricted to a fixed and regular graph structure,  
i.e. the graphs must have the same number of nodes and the nodes must have  
a fixed degree. This precludes applications on heterogeneous graph datasets,  
95 whose structure (number of nodes and nodes degrees) varies from sample to  
sample. Examples of such heterogeneous data include biochemical datasets.

To overcome these limitations of spectral methods, and considering the re-  
strictions imposed by complexity, we formulate our approach in the spatial do-  
main by using a depth-based representation of a graph. The main challenge here  
100 is to define a receptive field over the neighbourhoods and to specify how weights  
are shared between different local neighbourhoods [4]. Recently, quantum walks  
have provided a powerful way to solve this challenge. By analogy with a par-  
ticle propagating on a graph structure, a quantum walk allows different paths  
interfere with each other in both constructive and destructive manner. This  
105 property exponentially speeds up the computation compared to other spectral

algorithms [14, 15]. As a consequence, quantum walkers can reach a vertex through multiple paths, thus the probability of visiting nodes in the neighbourhoods increases. This leads to the probability of identifying local neighbour structure more effectively and efficiently [16, 17].

## 110 2.2. Spatial Methods

As mentioned above, spatial approaches have the advantage over spectral approaches in that they can operate on problems where the graph structure varies in the dataset. However, they generally require sophisticated data transformations to enable effective learning. Bruna et al. [4] used a spatial method  
115 based on multi-scale clustering. Here the required convolutions are defined per cluster, without any weight sharing among neighbourhoods. Duvenaud et al. [9] on the other hand, have proposed a convolution-like propagation rule on graphs. This induces weight sharing among edges. Local filters are applied over neighbouring nodes. Another interesting example of a weight-sharing strategy has  
120 recently been suggested by Atwood and Towsley [10]. They perform a random walk on the graph in order to select spatially close neighbouring nodes. These nodes are used for the purposes of convolution. Weight sharing is controlled by the number of hops between two nodes. However, the convolution operations underpinning this method are related to the power series of the full transition  
125 matrix. Computing this series is computationally expensive, and thus limits its range of applications. In related work, Niepert et al. [7] use a node ordering step which converts graphs locally to a regular 1D grid so that a conventional 1D Euclidean CNN can be used. The main drawback of this method is that the 1D sequences extracted from the graphs discard large amounts of structural  
130 information about the detailed arrangement of the nodes. Thus, it does not replicate the standard convolution on regular grids. Moreover, this method [7] is limited since it is only designed for graph classification and does not admit any pooling operations.

In contrast to the previous research, we suggest a novel method which is im-  
135 plemented using subgraph convolution and pooling operators that capture both

the global topological and local connectivity structures within the graph. This allows the method to capture multi-scale patterns in the data. We implement the method by applying convolution filters that slide over the entire subgraphs of a vertex. In this way extract local features in a manner similar to the standard convolution operation on grid data. As a result, it induces weight sharing  
140 property. Moreover, our method can be applied to the tasks of both node and graphs classification, where pooling operations can be used.

### 3. Preliminary Concepts

In this section, we introduce some preliminary concepts that will be used  
145 for developing the work presented in this paper. To this end, we commence by introducing the background on convolutional neural networks. We then introduce the related basics of graph theory and quantum walks.

#### 3.1. Convolutional Neural Networks

Convolutional neural networks (CNNs) introduce hidden convolution and  
150 pooling layers to identify localized features which are independent of spatial location via a set of rectangular filters. The convolution operator scans a set of ‘square’ kernel filters across a grid-structure as input, returning feature maps that represent the response to the filters. Given a multi-channel input, a feature map is the summation of the convolutions with separate kernels for each input  
155 channel. In the CNN architecture, the pooling operator is utilized to compress the spatial resolution of each feature map, leaving the number of feature maps unchanged. Applying a pooling operator across a feature map enables the algorithm to handle a large number of feature maps and, moreover, it generalizes the feature maps by resolution reduction. Common pooling operations are those of  
160 taking the average and the maximum of the receptive cells over the input map [18].

In order to extract input feature effectively for the convolution operation, we need to assume that there exists some locality structure for the spatial arrangement of the input. This means that the input signal should be highly correlated



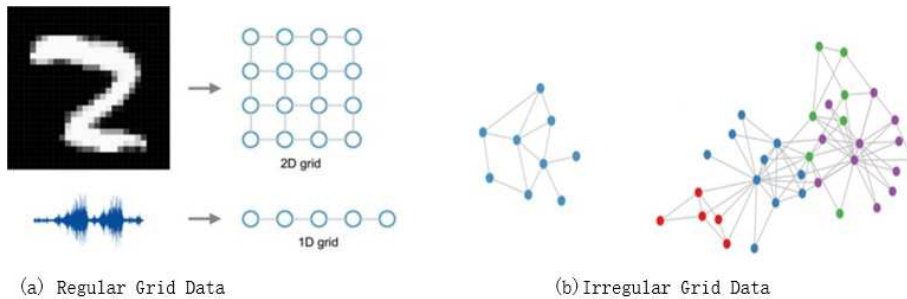


Figure 2: Example of regular grid data and irregular grid data.

165 over local regions and mostly uncorrelated at a global scale. This works well for  
 data on a regular low-dimensional grid, for instance, images and sound are modeled  
 as 2-D grids and 1-D sequences respectively (see Figure 2(a)). However, in  
 many real world problems, the data reside on irregular grids or more generally  
 in non-Euclidean domains. Examples are furnished by social networks, chemical  
 170 compounds, protein and knowledge graphs, all of which are better structured  
 as a graph (see Figure 2(b)). When confronted by graph data-streams, on the  
 other hand, one is confronted with irregular structures, the basic operations of  
 convolution, pooling and weight-sharing in CNNs, which are only designed for  
 regular grids, are no longer applicable. Therefore, it is necessary to reformulate  
 175 the convolution operator on graph structured data. Moreover, to explicitly  
 capture such structures in the data, it may be important and beneficial to integrate  
 priors that capture the structure of the mammalian visual cortex into the  
 network architecture [19].

### 3.2. Graphs

180 A graph  $G$  is a pair of sets  $(V, E)$ , where  $V = \{v_1, \dots, v_n\}$  is the set of vertices  
 and  $E \subseteq V \times V$  is the set of edges, formed by pairs of vertices. Each graph can  
 be represented by an adjacency matrix  $A$  of size  $n \times n$ , where  $n$  is the number  
 of vertices in  $G$ . In particular,  $A_{i,j} = 1$  if there is an edge between vertex  $v_i$   
 and vertex  $v_j$ , i.e.  $v_i$  and  $v_j$  are adjacent, and  $A_{i,j} = 0$  otherwise. A walk is a

185 sequence of edges and vertices, where the endpoint of each edge are adjacent.  
 A path is a walk in which all vertices are distinct (except possibly the first and last). We denote  $d(v_i, v_j)$  as the length of the shortest path between vertex  $v_i$  and vertex  $v_j$ , and denote  $k\text{-hop}(v_i)$  as the  $k$ -neighborhoods of vertex  $v_i$ , i.e.  $d(v_i, v_j) = k$  for any vertices  $v_j$  of  $k\text{-hop}(v_i)$ .

### 190 3.3. Quantum Walks

Quantum walks have recently emerged as a tool for designing novel algorithms on graph structures. They have important properties not exhibited by their classical counterparts [16, 14, 17]. A quantum walk is defined as a dynamical process over the vertices of the graph. Moreover, because it is determined by  
 195 the complex solutions of the Schrödinger equation, the continuous time quantum walk allows different paths of the walk to interfere with each other in both a constructive and a destructive manner via a complex amplitude. This produces non-classical behavior of quantum walks [20]. While classical walks are ergodic and irreversible, their quantum counterparts are non-ergodic and reversible. As  
 200 a result, a quantum walk does not approach a steady state with time.

The Dirac notation represents the complex amplitudes corresponding to the different states of quantum system using bras and kets. A ket  $|m\rangle$  can be interpreted as a column vector, while a bra with the same state label  $\langle m|$  is its conjugate transpose (which is a row vector). We use the Dirac notation to  
 205 represent the basis state corresponding to the walk being at vertex  $u \in V$  as  $|u\rangle$ . The ket  $|\psi_t\rangle$  is a vector representing the state of the walk at time  $t$ , such that its  $u$ -th entry determines the probability of the walk being at vertex  $u$  at time  $t$ . A general state of the walk is a complex linear combination of the basis states, which is defined as

$$|\psi_t\rangle = \sum_{u \in V} \alpha_u(t) |u\rangle \tag{1}$$

210 where both  $\alpha_u(t)$  and  $|\psi_t\rangle$  are complex numbers, and  $\alpha_u(t)\alpha_u^*(t)$  gives the probability of finding the walk at the vertex  $u$  at time  $t$ . Thus  $\sum_{u \in V} \alpha_u(t)\alpha_u^*(t) = 1$  and  $\alpha_u(t)\alpha_u^*(t) \in [0, 1]$  for all  $u \in V$  and  $t \in \mathbb{R}$ .

The evolution of the walk is then given by the Schrödinger equation, where we denote the time independent Hamiltonian as  $\mathcal{H}$

$$\frac{\partial}{\partial t}|\psi_t\rangle = -i\mathcal{H}|\psi_t\rangle \quad (2)$$

215 Given an initial state  $|\psi_0\rangle$ , the solution for Eq(2) is

$$|\psi_t\rangle = e^{-i\mathcal{H}t}|\psi_0\rangle \quad (3)$$

The Hamiltonian operator governs the time evolution of the continuous time quantum walk. It is characterized by a unitary matrix, which renders the walk reversible. In the case where the Hamiltonian is identical to the graph Laplacian matrix [21, 22], i.e.,  $\mathcal{H} = L$ , then the structural information residing in  
 220 the graph is encoded by the Hamiltonian. In the Hilbert space formulation of Quantum Mechanics, the state of a quantum mechanical system associated to the  $n$ -dimensional Hilbert space  $H \cong C^n$  is identified with an  $n \times n$  positive semidefinite, trace-one, Hermitian matrix, called a density matrix. The Laplacian of a graph is symmetric and positive semidefinite. The Laplacian of a graph  
 225  $G$ , scaled by the degree-sum of  $G$ , has trace one and it thus has the requested properties of a density matrix.

#### 4. Proposed QS-CNNs Model

In this section we combine the idea of subgraph convolution with that of using a depth-based representation to develop a novel subgraph convolution  
 230 architecture for a graph. Our idea is to decompose a graph into substructures (i.e., subgraphs) spanned from a root vertex to the remaining vertices with a  $K$ -layer expansion. More specifically, for each vertex, a neighborhood subgraph consisting of exactly  $m$  vertices is extracted by quantum walks and normalized as a  $m$ -ary tree by leveraging graph grafting and graph pruning procedures. The  
 235 leaf nodes of the  $m$ -ary tree are further replaced by their own neighbourhood  $m$ -ary trees. This process is performed recursively until a  $K$ -level  $m$ -ary tree is constructed for each vertex. We then construct a set of subgraph feature

detectors, which can be viewed as convolution with a set of finite support kernels. These are computed by sliding the kernels over the  $K$ -level  $m$ -ary tree to extract
   
 240 local features, in a manner analogous to that used in the standard convolution operation. After one layer of convolution computations over different positions of the subgraph along the tree structure, structural features are extracted, and a new tree is generated. The new tree has a reduced number of levels when compared with the original input tree. Each parent node and its child nodes
   
 245 in the input layer become a single new node in the next layer. The extracted local features produced by the convolution layer are forwarded to the pooling layer. Thereafter they are packed into one or more fixed-size vectors by taking the max/mean value in each dimension. After the pooling layer, the fixed-size feature vector is subsequently presented to the fully-connected layers (FC) to
   
 250 compute the predicted probability over the class labels. One merit of such an architecture is that each vertex has  $K$ -layer expansion subgraphs. Hence both the a) global topological arrangement information and b) local connectivity structural information contained within a graph can be learned effectively and efficiently by subgraph convolution. This allows our method to capture multi-
   
 255 scale patterns in the data.

#### 4.1. The Depth-Based Representation for a Graph

In order to exploit topological information concerning the arrangement of vertices and edges in a graph, we develop a  $K$ -layer depth-based representation for a graph. Concretely, the representation comprises two steps: (1) Performing
   
 260 quantum walks on graph for node ranking; (2) Mapping graph to tree: we construct a  $m$ -ary tree for each vertex in the original graph by leveraging graph grafting and graph pruning procedures. The leaf nodes of the  $i$ -level  $m$ -ary tree are replaced by their neighborhood  $m$ -ary trees and thus a  $K$ -level  $m$ -ary tree is recursively constructed for each vertex.

##### 265 4.1.1. Quantum Walks on Graph

The fundamental challenge in generalizing CNNs to graph-structured data is to determine the nodes belonging of each receptive field used for convolution while maintaining the shared weights. Recall that the standard convolution operator selects the neighboring pixels of a given pixel and computes the inner product of the weights and these neighbors. We propose a spatial convolution that performs a quantum walk on the graph in order to select the top  $m$  closest neighbors for every node, as shown in Figure 1. The intuition underpinning the use of a quantum walk is that it can capture the global topological arrangement information for substructures contained within a graph. Quantum walks capture different patterns of node connection. Moreover, a quantum walk allows the complex amplitudes corresponding to different paths between two nodes on a graph interfere with each other in both a constructive and a destructive manner. Although the classical concepts of hitting and commute time, allow the averaging of path length over the different paths [23] in the case of a quantum walk the effects are more subtle because of the complex nature of the associated amplitude [14]. For instance, if the walk is suitably initialised, then symmetric structure results in a zero amplitude and the amplitude capture long-range as well as local connectivity information [14]. In fact for certain types of symmetrically structured graphs, quantum walks have exponentially faster hitting times than their classical counterparts [24]. This has obvious benefits in terms of problems involving search on a graph or network.

As a consequence, quantum walkers can reach a vertex simultaneously through multiple paths, and thus at a given time the probability of visiting nodes in the neighbourhoods increases with respect to the classical counterpart. This means that a quantum walker can potentially identify the salient connectivity or neighbourhood structure more effectively and efficiently than its classical counterpart.

Given the adjacency matrix  $A$  of a graph, the spectral decomposition of the adjacency matrix is  $A = \Phi\Lambda\Phi^T$ , where  $\Phi = (|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_n\rangle)$  is the  $n \times n$  matrix and  $\Lambda$  is the ordered eigenvalue matrix  $\Lambda = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ . According to Eq.(3), we set the initial state  $\Phi_0$  as  $\Phi$  and the evolution of the

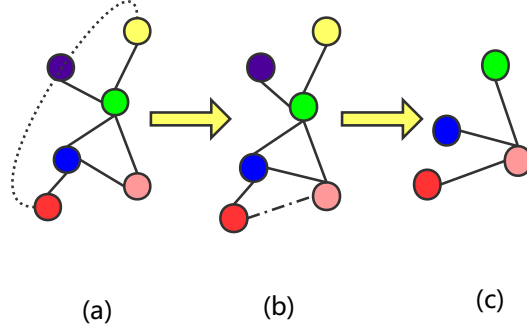


Figure 3: An illustrative example of graph grafting. Vertices connected in dotted line are the pink vertex's 2-hop, the red vertex has a higher QW score than other vertices of pink vertex's 2-hop.

quantum walk on the graph at time  $t$  is given by

$$\Phi_t = e^{-iLt}\Phi_0 \quad (4)$$

After the above measurement, the  $n \times n$  state matrix  $A_t$  in quantum walks at time  $t$  becomes

$$A_t = \Phi_t \Lambda \Phi_t^T \quad (5)$$

For every node  $u$ , we define the quantum walks score (referring to as QW) for the node as

$$QW(u) = \sum_{v \in n} (A_t)_{uv} \quad (6)$$

We then sort all the nodes according to their QW scores in descending order.

#### 4.1.2. Mapping Graph to Tree

For each vertex, a receptive field of the same size should be constructed. However, the size of the 1-hops for different nodes are different. To overcome

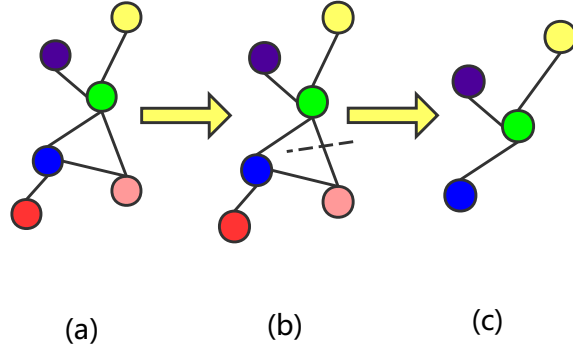


Figure 4: An illustrative example of graph pruning. The pink vertex has a smaller QW score than other vertices of green vertex’s 1-hop.

305 this problem, we use graph grafting and graph pruning to standardise the neighborhood subgraph for each node to be an  $m$ -ary tree.

**Graph Grafting** For node  $v$  whose 1-hop size is less than  $m$ , we use graph grafting to choose nodes from node  $k$ -hop( $v$ ) ( $k \geq 2$ ) to fill node 1-hop( $v$ ). As shown in Figure 3, besides the vertex coloured pink itself, we still need to  
 310 incorporate  $m - 1$  vertex into the receptive field from node  $k$ -hop( $v$ ) ( $k \geq 2$ ). We commence by selecting nodes from node 2-hop( $v$ ). However, if the nodes in the 2-hop are insufficient in number, then we select nodes from the 3-hop and so on. If there exist more nodes than we need, we select nodes with higher QW scores. In this way, the neighborhood subgraph consisting of exactly  $m$  vertices  
 315 is extracted and standardised as an  $m$ -ary tree. We then rank the leaf nodes of the  $m$ -ary tree according to their QW scores.

**Graph Pruning** For node  $v$  whose 1-hop size is greater than  $m$ , we use graph pruning to select nodes from node 1-hop( $v$ ). As shown in Figure 4, besides the vertex coloured green, we need to cut one node so that only  $m = 3$   
 320 vertices are reserved. We cut nodes with smaller QW scores. In this way,

the neighborhood subgraph consisting of exactly  $m$  vertices is extracted and standardised as an  $m$ -ary tree. We then rank the leaf nodes of the  $m$ -ary tree according to their QW scores.

Using graph grafting and graph pruning, we normalized the subgraph of  
 325 each node’s as an  $m$ -ary tree. The leaf nodes of each  $m$ -ary tree are further replaced by their own  $m$ -ary neighborhood trees. In this way, a  $K$ -level  $m$ -ary tree is recursively constructed for each vertex. Algorithm 1 gives the steps of the Mapping Graph to Tree algorithm.

---

**Algorithm 1:** Mapping Graph to Tree

---

**Input:** state matrix  $A_t$ , receptive field size  $m + 1$ , the depth  $K$

**Output:** normalized neighborhood graph ( $K$ -level  $m$ -ary tree) for each vertex

- 1 initialization;
  - 2 compute the QW score for each vertex according to Eq.6;
  - 3 construct a  $m$ -ary tree with each vertex by the graph grafting and graph pruning algorithm;
  - 4 **for**  $i = 2, i \leq K - 1$  **do**
  - 5     |     The leaf nodes of the  $i$ -level  $m$ -ary tree are further replaced by their  
        |     own neighborhood  $m$ -ary trees;
  - 6 **end**
  - 7 **return**  $K$ -level  $m$ -ary tree for each vertex;
- 

*4.2. Depth-based Subgraph Convolution Operator*

330 In this section, we first list the notation used in the paper, in Table 1. We then present our depth-based subgraph convolution operator for the  $K$ -level  $m$ -ary tree. Figure 1 shows an example of the complete process with  $K = 4$  and  $m = 3$ . In a manner similar to CNNs on images, our QS-CNNs also involves convolution and pooling operations. Our depth-based subgraph convolution  
 335 operation extracts structural features on the tree. The extracted features are



then summarized by a depth-based subgraph pooling operation. In this way, our QS-CNNs allows effective structural feature learning.

Table 1: Important notations used in this paper and their descriptions.

Symbol	Definition
$node(s, t)$	the $t$ -th node in level $s$
$X^{l,p}$	the $p$ -th feature channel in layer $l$
$X_{s,t}^{l,p}$	the node $(s, t)$ ' $p$ -th feature channel in layer $l$
$H_{s,t}^{l,p}$	$H_{s,t}^{l,p} = \{X_{s,t}^{l,p}, X_{s+1,(t-1)m+1}^{l,p}, \dots, X_{s+1,tm}^{l,p}\}$ i.e. the $p$ -th feature channel of node $(s, t)$ ' receptive field in layer $l + 1$
$W^{l,k,p}$	the filter mapping from the $p$ -th feature channel in layer $l$ to the $k$ -th feature channel
$f$	the activation function
$f_{l-1}$	the number of filters in layer $l - 1$
$b^{l,k}$	the bias of the $k$ -th filter in layer $l$
$\odot$	element-wise multiplication

When CNNs are applied to images, a square grid is moved over each image with a particular step size to extract structural features as the output of the convolution. More precisely, a receptive field in the preceding layer becomes a neuron in the next layer after a convolution operation. In this way, the local structural features of images are well captured by the convolution operation. By generalizing CNNs to the  $K$ -level  $m$ -ary tree obtained in previous steps of graph grafting and graph pruning, we scan a subgraph-based window along the tree to extract structural features as the output of our convolution.

The convolutional activation  $X_{s,t}^{l,k}$  for node  $(s, t)$ , feature  $k$  and layer  $l$  is given by

$$X_{s,t}^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} \left(\sum_{j=1}^{m+1} W_j^{l,k,p} H_{s,t,j}^{l-1,p}\right) + b^{l,k}\right) \quad s \leq K - l + 1$$

The activation  $X^{l,k}$  for  $k$ -th feature channel in layer  $l$  can be expressed more concisely using tensor notation as

$$X^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} (W^{l,k,p} \odot H^{l-1,p}) + b^{l,k}\right)$$

#### 4.3. Depth-based Subgraph Pooling Operator

Another important operation proposed by CNNs is pooling. Reducing the dimensionality of the input data allows the convolution filters to have a large receptive field and at the same time decrease the number of parameters. One of the most common methods for pooling graphs is by performing multi-scale clustering of the grid and then performing a pooling operation over each extracted cluster. Instead, our pooling operation acts directly on the output of the preceding layer without any kind of preprocessing scheme. The pooling activation  $X_{s,t}^{l+1,k}$  for node  $(s, t)$ , feature  $k$  and layer  $l + 1$  is given by

$$X_{s,t}^{l+1,k} = f(W^{l+1,k} \cdot \text{pool}(H_{s,t}^{l,k}) + b^{l+1,k})$$

A maximum pooling function  $\text{pool}_{max}$  can be found by taking the maximum value over a region and an average pooling function  $\text{pool}_{ave}$  can be obtained by taking the mean value over a region, thus

$$\begin{aligned} \text{pool}_{max}(R_k) &= \max_{i \in R_k} a_i \\ \text{pool}_{avg}(R_k) &= \frac{1}{|R_k|} \sum_{i \in R_k} a_i \end{aligned}$$

#### 4.4. Applying QS-CNNs to Node Classification

For the purpose of node classification, each node can be represented by a  
350  $K$ -level  $m$ -ary tree constructed through Algorithm 1. After multiple layers of applying the depth-based subgraph convolution and pooling operations, multiple features which carry different structural information constitute the final representation  $X_N$  of the input node. Then, the final node representation  $X_N$  is passed to a fully connected layer and outputs a conditional probability distribution  $\mathbb{P}(Y|X)$ , which can be obtained by applying the softmax function. This  
355 process can be formulated as below:

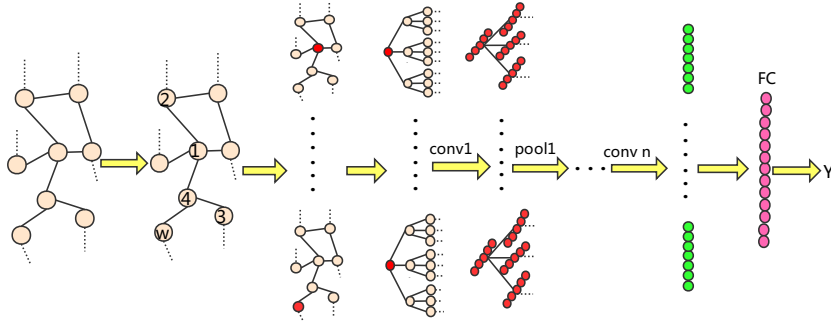


Figure 5: Illustration of the proposed QS-CNNs model for graph classification.

$$\mathbb{P}(Y|X) = \text{softmax}(f(W^d \odot X_N))$$

#### 4.5. Applying QS-CNNs to Graph Classification

For the graph classification task, we encapsulate a graph by the information conveyed by a set of selected nodes. This potentially allows us to make predic-  
360 tions concerning the features of these nodes. We use a node sequence selection algorithm to select a sequence ( $V$ ) of important nodes. Algorithm 2 illustrates the Node Sequence Selection steps. First, we sort the nodes of the input graph into descending order according to their QW scores. Second, we select the first  $W$  nodes to represent the graph and create a null entry for the node sequence  
365 if the number of nodes is smaller than  $W$ .

The resulting node sequence is traversed and each visited node is represented by a  $K$ -level  $m$ -ary tree constructed through the algorithm above if the node value is not 0. Otherwise, we represent the node with a  $K$ -level  $m$ -ary tree, with all node values set to zero. After multiple depth-based subgraph convolution and pooling operations simultaneously have acted on these  $K$ -level  $m$ -ary trees, we obtain the feature map  $X_G$  of the graph. The architecture is completed by a dense layer that connects  $X_G$  to predict  $Y$ . A conditional probability

---

**Algorithm 2:** Node Sequence Selection

---

**Input:** QW scores for all nodes, width  $W$

**Output:** selected node sequence  $V$

```
1 initialization;
2 sort (descend) the nodes of the input graph using the given QW scores to
   get  $V_{sort}$ ;
3 if  $|V_{sort}| \geq W$  then
4   |  $V =$  the first  $W$  elements of  $V_{sort}$ 
5 else
6   |  $V = V_{sort}$  and  $W - |V_{sort}|$  dummy nodes
7 end
8 return selected node sequence  $V$ ;
```

---

distribution  $\mathbb{P}(Y|X)$  can be obtained by applying the softmax function:

$$\mathbb{P}(Y|X) = \text{softmax}(f(W^d \odot X_G))$$

A generic illustration of the proposed QS-CNNs architecture for graph classification is shown in Figure. 5. It is important to note that our depth-based convolutional representation for the graph is invariant with respect to the permutation of node index (rather than the node position). This means that the activations of two isomorphic input graphs will be the same. We prove it as follows.

**Theorem 1.** *The depth-based convolutional activations of two isomorphic input graphs will be the same.*

*Proof.* We prove this theorem by contradiction.

Assume two graphs  $G_1$  and  $G_2$  are isomorphic but their depth-based convolutional activations are different. At least a pair of nodes  $u, w$ , where  $u, v$  belongs to the resulting node sequence of graph  $G_1$  and  $G_2$  respectively and will have the same position in the resulting node sequence. The activations of  $u$  and  $v$  in layer  $l$  are different. The depth-based convolutional activations of two nodes

can be written as

$$X_u^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} (W_u^{l,k,p} \odot H_u^{l-1,p}) + b_u^{l,k}\right)$$

$$X_v^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} (W_v^{l,k,p} \odot H_v^{l-1,p}) + b_v^{l,k}\right)$$

Note that

$$W_u^{l,k,p} = W_v^{l,k,p} = W^{l,k,p}$$

$$b_u^{l,k} = b_v^{l,k} = b^{l,k}$$

Graphs that are isomorphic (the same except for vertex labels) become identical after canonical graph labeling, so

$$H_u^{l-1,p} = H_v^{l-1,p} = H^{l-1,p}$$

by isomorphism, allowing us to rewrite the activation as

$$X_u^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} (W^{l,k,p} \odot H^{l-1,p}) + b^{l,k}\right)$$

$$X_v^{l,k} = f\left(\sum_{p=1}^{f_{l-1}} (W^{l,k,p} \odot H^{l-1,p}) + b^{l,k}\right)$$

Which implies that  $X_u^{l,k} = X_v^{l,k}$  and presents a contradiction and completes the proof. □

375

#### 4.6. Learning Filters

We assume that each convolution layer  $l$  is followed by a pooling layer  $l + 1$ . According to the back propagation algorithm, in order to compute the sensitivity for a unit at layer  $l$ , we should first sum over the sensitivities of the next layer corresponding to units that are connected to the node of interest in the current layer  $l$ . We multiply each of these connections by the associated weights defined at layer  $l + 1$ . We then multiply this quantity by the derivative of the activation function evaluated at the pre-activation inputs of the current layer  $Z$ . In the case of a convolutional layer followed by a pooling layer, we can upsample the pooling

layers sensitivity map  $\delta^{l+1,k}$  to make it the same size as the convolutional layer map. Then we perform elementwise multiplication of the upsampled sensitivity map from layer  $l+1$  with the activation derivative map at layer  $l$ . The ‘weights’ defined at a pooling layer map are all equal to  $W^{l,k}$ , and so we simply scale the previous step result by  $W^{l,k}$  to complete the computation of  $\delta^{l,k}$ . So we can get:

$$\begin{aligned}\delta^{l,k} &\triangleq \frac{\partial E}{\partial Z^{l,k}} \\ \delta^{l,k} &= \frac{\partial E}{\partial Z^{l+1,k}} \cdot \frac{\partial Z^{l+1,k}}{\partial X^{l,k}} \cdot \frac{\partial X^{l,k}}{\partial Z^{l,k}} \\ \delta^{l,k} &= f'(Z^l) \odot (\text{up}(W^{l+1,k} \delta^{l+1,k})) \\ \delta^{l,k} &= W^{l+1,k} (f'(Z^l) \odot \text{up}(\delta^{l+1,k}))\end{aligned}$$

where up is the Upsampling function and  $E$  is the loss energy. Finally, the gradients for the kernel weights are computed using back propagation:

$$\frac{\partial E}{\partial W^{l,k,p}} = \sum_{i,j} (\delta^{l,k})_{i,j} (P^{l-1,p})_{i,j}$$

where  $(P^{l-1,p})_{i,j}$  is the patch in  $X^{l-1,p}$  that was multiplied element-wise by  $W^{l,k,p}$  during convolution. we can compute the bias gradient by simply summing over all the entries in  $\delta^{l,k}$  :

$$\frac{\partial E}{\partial b^{l,k}} = \sum_{i,j} (\delta^{l,k})_{i,j}$$

## 5. Experiments and Comparisons

In this section, we experimentally investigate the merits and limitations of the proposed QS-CNNs model, including its computational complexity and parameter determination. A comprehensive experimental study on a variety of  
380 data sets is conducted in order to compare our proposed model QS-CNNs with several state-of-art methods for node classification and graph classification tasks. In this section, we denote a graph convolution layer with  $k$  feature maps by  $C_k$  and a fully connected layer with  $k$  hidden units by  $FC_k$ . In addition, lr stands  
385 for the learning rate, L2 denotes the L2 regularization parameter and dropout denotes the drop out rate.

### 5.1. Node Classification

To demonstrate the effectiveness of the proposed approach on the node classification task, we conduct experiments on two citation network datasets and one data set arising from e-mail communications in a social network. These are respectively the Cora, Pubmed datasets [25] and the Email-Eu dataset [26]. Each citation dataset consists of scientific papers (represented by nodes), citation links (represented by edges), and topics or subjects (represented by labels). Table. 2 summarizes the coverage and properties of the three data sets. For node classification, six alternative algorithms are selected as baseline comparators. We briefly describe these methods in turn.

Table 2: Dataset statistics of node classification task.

Dataset	Type	Nodes	Edges	Classes	Features
Cora	Citation network	2,708	5,429	7	1433
Pubmed	Citation network	19,717	44,338	3	500
Email-Eu	Communication network	1005	25,571	42	-

**Datasets** The Cora dataset [25] contains 2,708 machine learning articles categorized into seven possible machine learning subject or topic classes. Each article is represented by a binary 0/1-valued word vector where each feature corresponds to the presence or absence of a term drawn from a dictionary. The dictionary contains 1,433 unique entries. This graph contains 5,429 citation edges. We treat the citation links as undirected edges and construct a binary, symmetric adjacency matrix.

The Pubmed dataset [25] consists of 19,717 scientific papers from the Pubmed database on the subject of diabetes. Each paper is classified into one of three classes. This citation network that links the papers consists of 44,338 links. Each paper is represented by a Term Frequency Inverse Document Frequency (TFIDF) vector drawn from a dictionary with 500 terms. As with the CORA corpus, we construct an adjacency-based QS-CNNs that treats the citation net-

work as an undirected graph.

The Email-Eu dataset [26] was generated using email data from a large European research institution. There is an edge  $(u, v)$  in the network if person  $u$  sent person  $v$  at least one email. The e-mails only represent communication  
415 between institution members. The dataset also contains "ground-truth" community memberships of the nodes. Each individual belongs to exactly one of 42 departments at the research institute. Note that the vertices of the Email-Eu-Core have no vertex information, so we only take the structural information of the vertices as the input.

420

**Baseline Methods** We compare our proposed method QS-CNNs with six state-of-the-art methods for node classification. The methods used for comparisons are (1)  $\ell_1$ -regularized logistic regression (l1logistic), (2)  $\ell_2$ -regularized logistic regression (l2logistic), (3) exponential diffusion kernels-on-graphs (KED) [25],  
425 (4) Laplacian exponential diffusion kernels-on-graphs (KIED) [25], (5) diffusion convolutional neural networks (DCNNs) [10], (6) GraphSAGE [27]. For the 'l1logistic' and 'l2logistic' methods, we use node features alone as the input for logistic regression. This means that graph structure information is not considered, and the regularization parameter is fine tuned by the validation set. For  
430 'KED' and 'KIED', we take the graph structure as input, which means that node feature information is not considered. Similar to previous work [10], we chose parameters for various baseline methods as follows: a) the penalty for l1logistic and l2logistic is chosen from the set  $\{10^{-4}, 10^{-3}, \dots, 10^3, 10^4\}$ , b) the parameter  $\alpha$  for 'KED' and 'KIED' is chosen from the set  $\{10^{-6}, 10^{-5}, \dots, 10^2\}$ , c) the parameter  $H = 2$  is used for DCNNs because it results in the best classification  
435 accuracy, d) GraphSAGE provides a variety of alternative approaches for aggregating features within a sampled neighborhood, and we choose GraphSAGE-mean because it almost always results in the best accuracy. For each baseline method, we report the results for the parameters which give the best classification  
440 accuracy.



Table 3: The details of some parameters for node classification.

Dataset	Layer2	Layer3	FC layer	lr	$L_2$	dropout
Cora	$C_{32}$	$C_{32}$	$FC_{64}$	$10^{-6}$	$10^{-2}$	0.3
Pubmed	$C_{32}$	$C_{64}$	$FC_{32}$	$10^{-6}$	$10^{-2}$	0.8
Email-Eu	$C_{32}$	$C_{32}$	$FC_{64}$	$10^{-4}$	$10^{-2}$	0.8

**Experimental Set-up** For all datasets, we standardize each node as a 3 level 3-ary tree. We train a five-layer QS-CNNs, where the first layer is the input layer, the second and third layers are the convolutional layer, the fourth layer is the fully-connected layer, and the final layer is the output layer. We use the Adam optimization algorithm [28] for gradient descent. All weights are randomly initialized from a normal distribution with mean zero and variance 0.01. We choose ReLU as the activation function. This model was implemented in Python using tensorflow [29]. A 10-fold cross-validation strategy is employed to evaluate the classification performance. Specifically, the entire sample is randomly partitioned into 10 subsets and then we choose one subset for test and use the remaining 9 for training, and this procedure is repeated 10 times. The final accuracy is computed by averaging the accuracies from each of the random subsets.

**Network Configuration** For node classification, our QS-CNNs has 3 parametric layers. Its configuration for different datasets are as follows: a) for Cora:  $C_{32}-C_{32}-FC_{64}$ ,  $10^{-6}$  (learning rate),  $10^{-2}$  (L2 regularization) and 0.3 (dropout rate); b) for Pubmed:  $C_{32}-C_{64}-FC_{32}$ ,  $10^{-6}$  (learning rate),  $10^{-2}$  (L2 regularization) and 0.8 (dropout rate); and c) for Email-Eu:  $C_{32}-C_{32}-FC_{64}$ ,  $10^{-4}$  (learning rate),  $10^{-2}$  (L2 regularization) and 0.8 (dropout rate). These properties can be found in Table 3.

**Results Discussion** Table. 4 reports the average classification accuracy of the different algorithms on node classification. The boldfaced values are the best result in each row. Our proposed five-layer QS-CNNs outperforms each of the

Table 4: Study of node classification: classification accuracy (in MEAN  $\pm$  STD). A comparison of the performance between six baseline methods and our proposed QS-CNNs on three node classification datasets. The QS-CNNs offers the best performance. - means the model is not suitable for the data set.

Model	Cora	Pubmed	Email-Eu
l1logistic	71.63 $\pm$ 0.71	87.68 $\pm$ 0.89	-
l2logistic	71.81 $\pm$ 0.69	86.54 $\pm$ 0.93	-
KED	81.92 $\pm$ 0.91	83.15 $\pm$ 0.64	70.28 $\pm$ 0.87
KIED	83.27 $\pm$ 0.76	84.11 $\pm$ 0.77	71.54 $\pm$ 0.81
DCNN	82.52 $\pm$ 2.11	88.57 $\pm$ 1.34	-
GraphSAGE	82.68 $\pm$ 1.83	88.41 $\pm$ 1.25	73.59 $\pm$ 1.72
DS-CNNs	84.72 $\pm$ 2.28	89.63 $\pm$ 1.67	76.61 $\pm$ 2.33
QS-CNNs	<b>85.95 <math>\pm</math> 1.58</b>	<b>89.63 <math>\pm</math> 1.67</b>	<b>77.63 <math>\pm</math> 1.94</b>

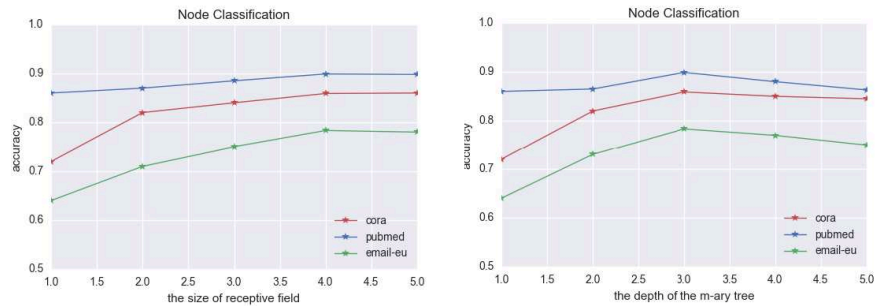


Figure 6: Impact of the receptive field size and the depth of the  $m$ -ary tree on performance for node classification

competing methods for all datasets studied and the improvement is in the range from 2.68% to 14.32% on the Cora dataset, from 1.06% to 6.48% on the Pubmed dataset and from 4.04% to 7.35% on the Email-Eu dataset respectively. On the Cora dataset, l1logistic and l2logistic give the worst performance. This may  
470 be explained by the fact that the logistic regression models only take the node features as input and neglect graph structure information. KED and KLED both take graph structure as input (e.g. node features are not used) and show inferior performance to our QS-CNNs. This indicates that our QS-CNNs is able to extract graph structure features. On the Pubmed dataset, we observed that  
475 those methods which incorporate node features outperform those methods that do not, i.e., l1logistic and l2logistic are superior to both KED and KLED in terms of accuracy. Furthermore, our QS-CNNs still maintains the best classification accuracy. Our QS-CNNs outperforms GraphSAGE-mean (taking the elementwise mean value of feature vectors) suggesting that assigning different  
480 weights to different nodes within a subgraph while dealing with differently sized neighbourhoods may be beneficial. Based on these results, it is demonstrated that our proposed method QS-CNNs integrates the merits of using both the global topological and local connectivity structures within a graph. Thus, it performs better than the traditional methods.

485 To investigate the effect of different receptive field size of  $m+1$  and the depth  $K$  of the  $m$ -ary tree on the node classification performance of our proposed method QS-CNNs, we test several values of  $m+1$  and  $K$ . We report the results in Figure 6, in which we plot the classification accuracies of our QS-CNNs method versus  $m+1$  and  $K$  respectively. The different coloured lines  
490 represent the results on the different datasets. The classification accuracies tend to increase with increasing values of  $m+1$  and  $K$ . This is because the greater the values of  $m+1$  and  $K$ , the more global topological and local connectivity information can be captured using our QS-CNNs method.

## 5.2. Graph Classification

495 To demonstrate the effectiveness of the proposed approach on graph classification, we conduct experiments on five benchmark data sets abstracted from bioinformatics databases, i.e., a) MUTAG [30], b) PTC [31], c) NCI1 [32], d) D&D [33], and e) PROTEINS [34]. Information concerning the properties of these datasets is listed below and summarized in Table. 5. For graph classification, eight alternative algorithms are selected as baselines. We will briefly  
500 detail these methods in turn.

Table 5: Dataset statistics for graph classification task.

Dataset	Size	Classes	Avg.nodes	Labels
NCI1	4110	2	29.8	37
MUTAG	188	2	17.9	7
PTC	344	2	25.5	19
D&D	1178	2	284.32	89
PROTEINS	1113	2	39.1	3

**Datasets** The NCI1 [32] dataset made publicly available by the National Cancer Institute (NCI) is a subset of balanced datasets of chemical compounds screened  
505 for the ability to suppress or inhibit the growth of tumours. It consists of 4100 graphs that represent chemical compounds and each node is assigned one of 37 possible labels. MUTAG [30] is a data set of 188 nitro compounds where the class label is as either aromatic or heteroaromatic with seven node features. PTC [31] comprises 344 compounds where the class label indicates whether they  
510 are carcinogenic or not in rats with 19 node features. D&D is a data set of 1178 protein structures obtained from [33], classified into enzymes and non-enzymes. Each protein is represented as a graph whose nodes correspond to amino acids and two nodes are linked by an edge if they are less than 6 Ångstroms apart. PROTEINS is a dataset obtained from [34] where these nodes are secondary  
515 structure elements and there is an edge between two nodes if they are neigh-

bours in the amino-acid sequence or in 3D space. It has 3 discrete labels, which represent helix, sheet or turn.

**Baseline Methods** We compare our proposed method QS-CNNs with eight  
520 state-of-the-art methods for graph classification. These methods are used for  
comparisons are (1) the Weisfeiler-Lehman subtree kernel (WL) [35], (2) the  
random walk kernel (RW) [36], (3) the shortest-path kernel (SP) [37], (4) the  
graphlet count kernel (GK) [38], (5) the PATCHY-SAN method which combin-  
ing receptive fields for nodes and edges using a merge layer  $k = 10^E$  (PSCN-  
525  $10^E$ ) [7], (6)  $p$ -step random-walk kernel ( $p$ -RW) [39], (7) Ramon-Gärtner kernels  
(RG) [40], (8) FGSD[41]. In accordance with established [42], the decay fac-  
tor for random-walk is chosen from  $\{10^{-6}, 10^{-5}, \dots, 10^{-1}\}$ , the  $p$  value in the  
 $p$ -step random-walk kernel is chosen from  $\{1, 2, \dots, 10\}$ , the height parameter  
in Ramon-Gärtner subtree kernel is chosen from  $\{1, 2, 3\}$ . For each kernel, we  
530 report the results for the parameters which give the best classification accuracy.  
For Weisfeiler-Lehman subtree kernel, we set the height parameter  $h = 2$  for it  
could increase the feature space exponentially. For the graphlet kernel, we set  
the size of the graphlets  $k$  to 7 since it could exhibit the sparsity problem. We  
set the parameter  $k = 10^E$  for PSCN because a receptive size of 10 results in  
535 the best classification accuracy and the result is quoted from [7]. For FGSD,  
the parameters are set the same as [41].

**Experimental Set-up** For the NCI1 dataset, we set width  $W=25$  ( $W$  rep-  
resents the number of selected nodes from each graph), and standardize each  
540 vertex as a 3 level 9-ary tree. We train a six-layer QS-CNNs, where the first  
layer is the input layer, the second, third and fourth layers are the convolu-  
tional layer, the fifth layer is the fully-connected layer, and the final layer is the  
output layer. For the remaining datasets, we set the width  $W=15$ , and again  
standardize each vertex as a 3 level 9-ary tree, but instead, we train a five-layer  
545 QS-CNNs, where the second and third layers are the convolutional layer, the  
fourth layer is the fully-connected layer. We again use the Adam optimization

Table 6: The details of some parameters for graph classification.

Dataset	Layer2	Layer3	Layer4	FC	lr	$L_2$	dropout
NCI1	$C_{32}$	$C_{32}$	$C_{64}$	$FC_{32}$	$5 \cdot 10^{-3}$	$10^{-2}$	0.8
MUTAG	$C_{32}$	$C_{32}$	-	$FC_{64}$	$10^{-2}$	$10^{-2}$	1
PTC	$C_{32}$	$C_{32}$	-	$FC_{64}$	$10^{-2}$	$10^{-2}$	1
D&D	$C_{32}$	$C_{32}$	-	$FC_{64}$	$10^{-2}$	$10^{-2}$	1
PORTEINS	$C_{32}$	$C_{32}$	-	$FC_{64}$	$10^{-2}$	$10^{-2}$	1

Table 7: Study of graph classification: classification accuracy (in MEAN  $\pm$  STD). A comparison of the performance between eight baseline methods and our proposed QS-CNNs on five graph classification datasets. The last column shows the averaged classification accuracy of all the algorithms over the five datasets. The QS-CNNs offers the best performance.

Algorithm	NCI1	MUTAG	PTC	PROTEIN	D&D	AVG
WL	80.22 $\pm$ 0.51	80.71 $\pm$ 0.31	56.77 $\pm$ 2.11	72.92 $\pm$ 0.56	77.95 $\pm$ 0.7	73.71 $\pm$ 0.84
RW	>72h	83.73 $\pm$ 1.51	57.85 $\pm$ 1.30	74.22 $\pm$ 0.42	>72h	-
SP	73.00 $\pm$ 0.24	85.22 $\pm$ 2.43	58.24 $\pm$ 2.44	75.07 $\pm$ 0.54	>72h	-
GK	62.28 $\pm$ 0.29	81.66 $\pm$ 2.11	57.26 $\pm$ 1.41	71.67 $\pm$ 0.55	78.45 $\pm$ 0.26	70.26 $\pm$ 0.92
$p$ -RW	>72h	80.05 $\pm$ 1.64	59.38 $\pm$ 1.66	71.16 $\pm$ 0.35	>72h	-
RG	56.61 $\pm$ 0.53	84.88 $\pm$ 1.86	59.47 $\pm$ 1.66	70.73 $\pm$ 0.35	>72h	-
PSCN-10 <sup>E</sup>	78.59 $\pm$ 1.89	92.63 $\pm$ 4.21	60.00 $\pm$ 4.82	75.89 $\pm$ 2.76	77.12 $\pm$ 2.41	76.85 $\pm$ 3.22
FGSD	79.80 $\pm$ 2.36	92.12 $\pm$ 3.98	62.80 $\pm$ 4.07	73.42 $\pm$ 3.42	77.10 $\pm$ 2.78	76.46 $\pm$ 3.25
DS-CNNs	80.12 $\pm$ 2.87	92.87 $\pm$ 4.81	64.67 $\pm$ 5.00	78.35 $\pm$ 4.00	79.22 $\pm$ 4.06	79.05 $\pm$ 4.15
QS-CNNs	<b>81.43 <math>\pm</math> 2.56</b>	<b>93.13 <math>\pm</math> 4.67</b>	<b>65.99 <math>\pm</math> 4.43</b>	<b>78.80 <math>\pm</math> 4.63</b>	<b>81.41 <math>\pm</math> 3.46</b>	<b>80.15 <math>\pm</math> 3.95</b>

algorithm [28] for gradient descent. The initial values of weights, the type of activation function and the implementation environment are set in the same manner as for node classification. We again adopt a 10-fold cross-validation strategy as described above.

**Network Configuration** For graph classification, we used the following sets of hyperparameters a) for MUTAG, PTC, D&D and PORTEINS:  $C_{32}-C_{32}-FC_{64}$ ,  $10^{-2}$  (learning rate),  $10^{-2}$  (L2 regularization) and 1 (dropout rate); b) for NCI1:  $C_{32}-C_{32}-C_{64}-FC_{32}$ ,  $5 \cdot 10^{-3}$  (learning rate),  $10^{-2}$  (L2 regularization) and 0.8 (dropout rate). These properties can be found in Table 6.

**Discussion of Results** The classification accuracies obtained with the different

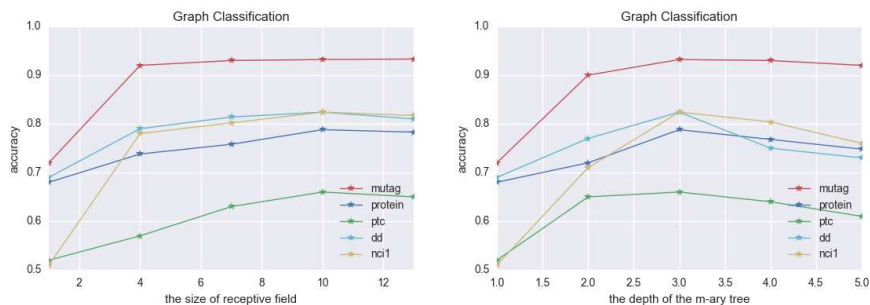


Figure 7: Impact of the receptive field size and the depth of the  $m$ -ary tree on the performance for graph classification

methods are shown in Table 7, in which the boldfaced values again indicate the best result in each row. Again, we observe that our proposed method QS-CNNs outperforms the alternative for all five datasets. The last column of Table 7 shows the averaged classification accuracy over all the algorithms tested for the five datasets. Note that, some kernels cannot complete the kernel matrix computation on some of the datasets. For these kernels, we perform the statistical analysis on those datasets on which the computation can be completed. Our method has improved the classification accuracy by 6.44% (WL), 9.89% (GK), 3.3% (PSCN-10<sup>E</sup>) and 3.69% (FGSD) respectively, compared to the averaged classification of all competing methods over the five datasets. Moreover, our QS-CNNs performs better than the PSCN-10<sup>E</sup> algorithm, although they are both have a CNN architecture. The main reason is that the PSCN-10<sup>E</sup> [7] uses node ordering step which converts graphs locally to a regular 1D grid hence discarding a large amount of the structural information residing in the graphs. Our proposed QS-CNNs is fundamentally different from most existing graph CNNs framework, where each vertex has  $K$ -layer expansion subgraphs, and hence structural information can be learned effectively and efficiently by subgraph convolution. Thus, it is capable of revealing both the global topological and local connectivity structures for a graph. The relatively high standard deviations can be explained by the small size of the benchmark datasets and the

fact that we normalize each node neighbourhood as the same 3 level 9-ary tree  
580 for different datasets. Finally, as with node classification, we evaluate how the  
graph classification accuracies vary with increasing receptive field size of  $m + 1$   
and the depth  $K$  of the  $m$ -ary tree. Figure 7 gives the classification results.  
The results show that with increasing  $m + 1$  and  $K$ , the classification accuracy  
585 first increases to a maximum value (i.e., at  $m + 1 = 4$  and  $K = 3$ ) and then de-  
creases slightly, finally reaching a steady state. This observation further verifies  
the effectiveness of our proposed method QS-CNNs which integrates both global  
topological arrangement information and local connectivity properties within a  
graph to conduct graph convolution.

### 5.3. The Effect of Quantum Walks

590 Finally, in order to study the contribution of quantum walks in terms of its  
impact on final classification accuracy, we ran experiments by replacing quantum  
walks with random walk (referred to as DS-CNNs) and keep the pruning and  
grafting trick. The results are shown in Table. 4 and Table. 7. As can be  
observed, QS-CNNs is superior to DS-CNNs in terms of accuracy values for all  
595 datasets studied. Meanwhile, QS-CNNs gives a lower standard deviation and  
hence more stable than the DS-CNNs. It is demonstrated that quantum walks  
can identify local neighbor structure of nodes more effectively and efficiently.

## 6. Conclusions and Future Work

In this paper, we have shown how to construct quantum-based subgraph  
600 convolution network for a graph. The convolution process makes use of both  
the global topological arrangement information and local connectivity struc-  
tures within a graph. Experimental results on node classification and graph  
classification show our QS-CNNs is superior to a number of baseline methods.

Our future plans are to extend the work in a number of ways. First, in prior  
605 work, we have developed methods for characterizing graphs using the commute  
time [23] and the heat kernel [43]. For an undirected graph, both of these



methods encapsulate the path length distribution between vertices. It would be interesting to use the commute time or heat kernel as a means of node ordering. Second, the current formulations of graph convolution are restricted to use vertex information and do not make use of edge labels. It would be interesting to design convolution operation which simultaneously learns properties from both graph vertices and edges. Finally, in [44], Brabandere et al. proposed dynamic filter networks, to define a subnetwork, taking the preceding feature maps as input and generating data-adaptive convolutional filters that can be applied to the preceding feature maps. It would be interesting to use such a subnetwork to determine the local filters dynamically for each specific input subgraph. This may provide a more meaningful interpretation concerning the graph structure by the means of filter generating networks.

### Acknowledgment

This work is supported by National Natural Science Foundation of China (Grant Nos.61402389, 61503422 and 11401499), the Natural Science Foundation of Fujian Province of China (Grant No.2015J05016) and the Fundamental Research Funds for the Central Universities in China (no. 20720160073).

### References

- [1] M. M. Bronstein, J. Bruna, Y. LeCun, A. Szlam, P. Vandergheynst, Geometric learning: going beyond euclidean data, arXiv preprint arXiv:1611.08097.
- [2] Y. LeCun, L. Bottou, Y. Bengio, P. Haffner, Gradient-based learning applied to document recognition, *Proceedings of the IEEE* 86 (11) (1998) 2278–2324.
- [3] Y. LeCun, Y. Bengio, G. Hinton, Deep learning, *Nature* 521 (7553) (2015) 436–444.

- [4] J. Bruna, W. Zaremba, A. Szlam, Y. LeCun, Spectral networks and locally connected networks on graphs, arXiv preprint arXiv:1312.6203.
- 635 [5] O. Rippel, J. Snoek, R. P. Adams, Spectral representations for convolutional neural networks, in: Advances in Neural Information Processing Systems, 2015, pp. 2449–2457.
- [6] M. Henaff, J. Bruna, Y. LeCun, Deep convolutional networks on graph-structured data, arXiv preprint arXiv:1506.05163.
- 640 [7] M. Niepert, M. Ahmed, K. Kutzkov, Learning convolutional neural networks for graphs, in: Proceedings of The 33rd International Conference on Machine Learning, 2016, pp. 2014–2023.
- [8] J.-C. Vialatte, V. Gripon, G. Mercier, Generalizing the convolution operator to extend cnns to irregular domains, arXiv preprint arXiv:1606.01166.
- 645 [9] D. K. Duvenaud, D. Maclaurin, J. Iparraguirre, R. Bombarell, T. Hirzel, A. Aspuru-Guzik, R. P. Adams, Convolutional networks on graphs for learning molecular fingerprints, in: Advances in neural information processing systems, 2015, pp. 2224–2232.
- [10] J. Atwood, D. Towsley, Diffusion-convolutional neural networks, in: Advances in Neural Information Processing Systems, 2016, pp. 1993–2001.
- 650 [11] M. Defferrard, X. Bresson, P. Vandergheynst, Convolutional neural networks on graphs with fast localized spectral filtering, in: Advances in Neural Information Processing Systems, 2016, pp. 3837–3845.
- [12] T. N. Kipf, M. Welling, Semi-supervised classification with graph convolutional networks, arXiv preprint arXiv:1609.02907.
- 655 [13] D. I. Shuman, S. K. Narang, P. Frossard, A. Ortega, P. Vandergheynst, The emerging field of signal processing on graphs: Extending high-dimensional data analysis to networks and other irregular domains, IEEE Signal Processing Magazine 30 (3) (2013) 83–98.

- 660 [14] D. Emms, R. C. Wilson, E. R. Hancock, Graph matching using the interference of continuous-time quantum walks, *Pattern Recognition* 42 (5) (2009) 985–1002.
- [15] F. Zhang, E. R. Hancock, Graph spectral image smoothing using the heat kernel, *Pattern Recognition* 41 (11) (2008) 3328–3342.
- 665 [16] L. Bai, L. Rossi, A. Torsello, E. R. Hancock, A quantum jensen–shannon graph kernel for unattributed graphs, *Pattern Recognition* 48 (2) (2015) 344–355.
- [17] J. Wang, R. C. Wilson, E. R. Hancock, Spin statistics, partition functions and network entropy, *Journal of Complex Networks* 5 (6) (2017) 858–883.
- 670 [18] Y.-L. Boureau, J. Ponce, Y. LeCun, A theoretical analysis of feature pooling in visual recognition, in: *Proceedings of the 27th international conference on machine learning*, 2010, pp. 111–118.
- [19] Y. Bengio, A. Courville, P. Vincent, Representation learning: A review and new perspectives, *IEEE transactions on pattern analysis and machine intelligence* 35 (8) (2013) 1798–1828.
- 675 [20] L. Rossi, A. Torsello, E. R. Hancock, Measuring graph similarity through continuous-time quantum walks and the quantum jensen-shannon divergence, *Physical Review E* 91 (2) (2015) 022815.
- [21] S. L. Braunstein, S. Ghosh, S. Severini, The laplacian of a graph as a density matrix: a basic combinatorial approach to separability of mixed states, *Annals of Combinatorics* 10 (3) (2006) 291–317.
- 680 [22] F. Passerini, S. Severini, Quantifying complexity in networks: the von neumann entropy, *International Journal of Agent Technologies and Systems (IJATS)* 1 (4) (2009) 58–67.
- 685 [23] H. Qiu, E. R. Hancock, Clustering and embedding using commute times, *IEEE Transactions on Pattern Analysis and Machine Intelligence* 29 (11).

- [24] J. Kempe, Quantum random walks hit exponentially faster, arXiv preprint quant-ph/0205083.
- [25] P. Sen, G. Namata, M. Bilgic, L. Getoor, B. Galligher, T. Eliassi-Rad,  
690 Collective classification in network data, *AI magazine* 29 (3) (2008) 93.
- [26] H. Yin, A. R. Benson, J. Leskovec, D. F. Gleich, Local higher-order graph clustering, in: *Proceedings of the 23rd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*, ACM, 2017, pp. 555–564.
- 695 [27] W. L. Hamilton, R. Ying, J. Leskovec, Inductive representation learning on large graphs, in: *Neural Information Processing Systems (NIPS)*, 2017.
- [28] D. Kingma, J. Ba, Adam: A method for stochastic optimization, arXiv preprint arXiv:1412.6980.
- [29] M. Abadi, A. Agarwal, P. Barham, E. Brevdo, Z. Chen, C. Citro, G. S.  
700 Corrado, A. Davis, J. Dean, M. Devin, et al., Tensorflow: Large-scale machine learning on heterogeneous distributed systems, arXiv preprint arXiv:1603.04467.
- [30] A. K. Debnath, R. L. Lopez de Compadre, G. Debnath, A. J. Shusterman,  
705 C. Hansch, Structure-activity relationship of mutagenic aromatic and heteroaromatic nitro compounds. correlation with molecular orbital energies and hydrophobicity, *Journal of medicinal chemistry* 34 (2) (1991) 786–797.
- [31] H. Toivonen, A. Srinivasan, R. D. King, S. Kramer, C. Helma, Statistical evaluation of the predictive toxicology challenge 2000–2001, *Bioinformatics* 19 (10) (2003) 1183–1193.
- 710 [32] N. Wale, I. A. Watson, G. Karypis, Comparison of descriptor spaces for chemical compound retrieval and classification, *Knowledge and Information Systems* 14 (3) (2008) 347–375.

- [33] P. D. Dobson, A. J. Doig, Distinguishing enzyme structures from non-enzymes without alignments, *Journal of molecular biology* 330 (4) (2003) 771–783.
- 715
- [34] K. M. Borgwardt, C. S. Ong, S. Schönauer, S. Vishwanathan, A. J. Smola, H.-P. Kriegel, Protein function prediction via graph kernels, *Bioinformatics* 21 (suppl 1) (2005) i47–i56.
- [35] N. Shervashidze, P. Schweitzer, E. J. v. Leeuwen, K. Mehlhorn, K. M. Borgwardt, Weisfeiler-lehman graph kernels, *Journal of Machine Learning Research* 12 (Sep) (2011) 2539–2561.
- 720
- [36] T. Gärtner, P. Flach, S. Wrobel, On graph kernels: Hardness results and efficient alternatives, in: *In Proceedings of the 16th Annual Conference on Learning Theory and Kernel Machines*, Springer, 2003, pp. 129–143.
- [37] K. M. Borgwardt, H.-P. Kriegel, Shortest-path kernels on graphs, in: *In Proceedings of the 15th IEEE International Conference on Data Mining*, IEEE, 2005, pp. 74–81.
- 725
- [38] N. Shervashidze, S. Vishwanathan, T. Petri, K. Mehlhorn, K. Borgwardt, Efficient graphlet kernels for large graph comparison, in: *Artificial Intelligence and Statistics*, 2009, pp. 488–495.
- 730
- [39] A. J. Smola, R. Kondor, Kernels and regularization on graphs, in: *Learning theory and kernel machines*, Springer, 2003, pp. 144–158.
- [40] J. Ramon, T. Gärtner, Expressivity versus efficiency of graph kernels, in: *Proceedings of the first international workshop on mining graphs, trees and sequences*, 2003, pp. 65–74.
- 735
- [41] S. Verma, Z.-L. Zhang, Hunt for the unique, stable, sparse and fast feature learning on graphs, in: *Advances in Neural Information Processing Systems* 30, 2017, pp. 87–97.

- [42] P. Yanardag, S. Vishwanathan, Deep graph kernels, in: Proceedings of the  
740 21th ACM SIGKDD International Conference on Knowledge Discovery and  
Data Mining, ACM, 2015, pp. 1365–1374.
- [43] B. Xiao, E. R. Hancock, R. C. Wilson, Graph characteristics from the heat  
kernel trace, *Pattern Recognition* 42 (11) (2009) 2589–2606.
- [44] B. De Brabandere, X. Jia, T. Tuytelaars, L. Van Gool, Dynamic filter  
745 networks, in: *Neural Information Processing Systems (NIPS)*, 2016.

**Zhihong Zhang** received his BSc degree (1st class Hons.) in computer science from the University of Ulster, UK, in 2009 and the PhD degree in computer science from the University of York, UK, in 2013. He won the K. M. Stott prize for best thesis from the University of York in 2013. He is now an associate professor at the software school of Xiamen University, China. His research interests are wide-reaching but mainly involve the areas of pattern recognition and machine learning, particularly problems involving graphs and networks.

**Dongdong Chen** is now a master student at the software school of Xiamen University, China. His research interests include data mining, machine learning, and network representation learning.

**Jianjia Wang** received the B.Sc. degree from Nanjing University of Posts and Telecommunications (2011) and M.Sc. degrees from Hong Kong University of Science and Technology (2013). He worked as a research assistant at Hong Kong Applied Science and Technology Research Institute from 2013 to 2014. He is currently pursuing the Ph.D. degree in the Department of Computer Science, University of York, U.K. His research interests include statistical pattern recognition, complex networks, information theory, thermodynamic and quantum statistics, especially in graph and network analysis.

**Lu Bai** received the Ph.D. degree from the University of York, York, UK, and both the B.Sc. and M.Sc degrees from Faculty of Information Technology, Macau University of Science and Technology, Macau SAR, P.R. China. He is now a Associate Professor in School of Information, Central University of Finance and Economics, Beijing, China. His current research interests include structural pattern recognition, machine learning, quantum walks on networks and graph matching, especially in kernel methods and complexity analysis on (hyper)graphs and networks.

**Edwin R. Hancock** holds a BSc degree in physics (1977), a PhD degree in high-energy physics (1981) and a D.Sc. degree (2008) from the University of Durham, and a [doctorate Honoris Causa](#) from the University of Alicante in 2015. From 1981-1991 he worked as a researcher in the fields of high-energy nuclear physics and pattern recognition at the Rutherford-Appleton Laboratory (now the Central Research Laboratory of the Research Councils). During this period, he worked on high energy physics experiments at the Stanford Linear Accelerator Center (SLAC)

providing the first measurements of charmed particle lifetimes. He also held adjunct teaching posts at the University of Surrey and the Open University. In 1991, he moved to the University of York as a lecturer in the Department of Computer Science, where he has held a chair in Computer Vision since 1998. He leads a group of some 25 faculty, research staff, and PhD students working in the areas of computer vision and pattern recognition. His main research interests are in the use of optimization and probabilistic methods for high and intermediate level vision. He is also interested in the methodology of structural and statistical and pattern recognition. He is currently working on graph matching, shape-from-X, image databases, and statistical learning theory. His work has found applications in areas such as radar terrain analysis, seismic section analysis, remote sensing, and medical imaging. He has published about 170 journal papers and 610 refereed conference publications. He was awarded the Pattern Recognition Society medal in 1991 and an outstanding paper award in 1997 by the journal Pattern Recognition. He has also received best paper prizes at CAIP 2001, ACCV 2002, ICPR 2006, BMVC 2007 and ICIAP in 2009 and 2015. In 2009 he was awarded a Royal Society Wolfson Research Merit Award. In 1998, he became a fellow of the International Association for Pattern Recognition. He is also a fellow of the Institute of Physics, the Institute of Engineering and Technology, and the British Computer Society. In 2016 he became a fellow of the IEEE and was named Distinguished Fellow by the British Machine Vision Association. He is currently Editor-in-Chief of the journal Pattern Recognition, and was founding Editor-in-Chief of IET Computer Vision from 2006 until 2012. He has also been a member of the editorial boards of the journals IEEE Transactions on Pattern Analysis and Machine Intelligence, Pattern Recognition, Computer Vision and Image Understanding, Image and Vision Computing, and the International Journal of Complex Networks. He has been Conference Chair for BMVC in 1994 and Programme Chair in 2016, Track Chair for ICPR in 2004 and 2016 and Area Chair at ECCV 2006 and CVPR in 2008 and 2014, and in 1997 established the EMMCVPR workshop series. He has been a Governing Board Member of the IAPR since 2006, and is currently Vice President of the Association.