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Synergistic effect of quercetin and pH-responsive DEAE-chitosan carriers as drug delivery system for breast cancer treatment

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27 1. Introduction

28 Drug carriers have been increasingly used to enhance the therapeutic effect of drugs by
29 improving the pharmacokinetics and reducing its toxicity (BRIGGER; DUBERNET;
30 COUVREUR, 2002; NEL et al., 2006; PEREZ-HERRERO; FERNANDEZ-MEDARDE,
31 2015). These systems may also regulate the drug release rate, while maintaining the biological
32 concentration within an appropriate range. The development of the carriers can also include a
33 strategy to increase the selectivity of the drug release towards cancer cells or tissues.

34 The ability of carriers to change its structure when stimulated by various physiological
35 conditions can be exploited to start and control the release of drugs. Stimuli-responsive
36 carriers can be developed to respond selectively to physiological changes such as temperature,
37 ionic strength and pH. It has been shown that the high lactic acid production by cancer cells
38 decrease the pH of tumour tissues due to the accumulation of H⁺ ions (DAMAGHI;
39 WOJTKOWIAK; GILLIES, 2013; VAUPEL; KALLINOWSKI; OKUNIEFF, 1989).

40 Therefore, biopolymers like chitosan, that exhibits pH-sensibility due to the free amino
41 groups present in its structure, might be appropriated compounds to carry drugs towards these
42 cells. This polymer is composed by 2-amino-2-deoxy-D-glucopyranose and 2-acetamide-2-
43 deoxy- D-glucopyranose units linked by glycosidic $\beta(1 \rightarrow 4)$ bonds.

44 The amino groups of chitosan can also be used as sites to chemically change the polymer
45 backbone by introduction of groups of interest. Amphiphilic modifications of chitosan can be
46 carried out to enhance the amphiphilic characteristics of the polymer, allowing it to self-
47 assemble in aqueous solution. The nanoparticles or nanoaggregates formed by this method
48 normally have a core-shell structure with a hydrophobic nucleus. Thus, it is possible to entrap
49 hydrophobic molecules into the nanoaggregates. Chitosan is considered biocompatible, non-
50 toxic and biodegradable (KEAN; THANOU, 2010; KUMAR, 2000; WANG et al., 2011),
51 therefore suitable for biological applications. Therefore, chitosan-based systems can be used
52 as pH-responsive carrier for hydrophobic drugs.

53 In this study, as pH-responsive amphiphilic chitosan was prepared by chemical derivatization
54 of the primary amine present in D-glucosamine units using 2-chloro-N,N-diethylethylamine
55 hydrochloride and dodecyl aldehyde as reagents. The behaviour, size, zeta potential and
56 morphology of the self-assembled aggregates were investigated. A mathematical model was
57 used to predict the diffusion type from the release profiles of quercetin, a model drug
58 entrapped in the aggregates. The in vitro toxicity of the blank and loaded nanoaggregates

59 against breast cancer cell (MCF-7) were also evaluated. The biological assessments involved
60 the hemocompatibility studies of the derivatives.

61

62 2. Experimental section

63 2.1. Materials

64 Chitosan powder (low molecular weight, deacetylation degree (DD) \geq 85%), 2-chloro-N,N-
65 diethylethylamine hydrochloride (DEAE), dodecyl aldehyde (DDA), acetic acid, sodium
66 acetate, sodium hydroxide, and pyrene were reagent grade and used as received from Sigma
67 Aldrich Chemical Co., Brazil. 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
68 (MTT), RPMI-1640 cell culture medium and Pur-A-Lyzer™ Mini Dialysis Kit (MWCO 6-8
69 kDa) were purchased from Sigma-Aldrich GmbH, Germany. Ultrapure MilliQ water was used
70 throughout.

71

72 2.2 Deacetylation of Chitosan

73 Deacetylated chitosan (D-CS), prepared by the deacetylation process described earlier (DE
74 OLIVEIRA PEDRO; SCHMITT; NEUMANN, 2016), was used as starting material. The
75 sample was characterized by ^1H NMR, ATR-FTIR and viscosimetry.

76

77 2.3 Depolymerization of Chitosan

78 The molecular weight of chitosan was reduced by the depolymerisation process using
79 oxidation with sodium nitrate in acid solution as described by TOMMERAAS et al. (2001).
80 Briefly, 10.0 grams of previously deacetylated chitosan (D-CS) were solubilized in 555 ml of
81 acetic acid solution (2 wt%) overnight, purged with nitrogen for 1 h under constant stirring
82 and cooled at 4 °C. The stirring was suspended and 18.6 ml of aqueous sodium nitrite (231
83 mg) were added to the mixture. The reaction was kept in the absence of light at 4 °C for 18
84 hours. Afterwards, the resulting solution was precipitated with sodium hydroxide, centrifuged
85 at 10,000 rpm, washed with deionized water and the obtained product (L-CS) was lyophilized.
86 The average molecular weight of the polymer was characterized by viscosimetry.

87

88 2.4. Syntheses

89 2.4.1. DEAE-modified chitosan

90 The hydrophilic chitosan grafted with 2-chloro-N,N-diethylethylamine hydrochloride (DEAE)
91 was prepared using low molecular weight chitosan (L-CS). 3.0 g of chitosan were dispersed in
92 338.1 ml of hydrochloric acid solution (0.1 M). Then, 3.5 g of DEAE was added and the pH
93 of the solution was adjusted to 8.0 by addition of sodium hydroxide (2 M). The reaction was
94 kept at pH approximately 8.0, under stirring and reflux for 2 hours at 65 °C. Afterwards, the
95 solution was dialyzed (MWCO 12 kDa), first against water for 24 hours, then against sodium
96 hydroxide solution (0.05 M) for another 24 hours and finally against deionized water for three
97 days. The final product was then recovered by lyophilization and characterized by ¹H NMR
98 and ATR-FTIR.

99

100 2.4.2 Amphiphilic chitosans

101 A previously described method (DE OLIVEIRA PEDRO; SCHMITT; NEUMANN, 2016)
102 was used to obtain the amphiphilic chitosan samples. Briefly, 3 g of DEAE-modified chitosan
103 was dissolved in acetic acid (0.2 M, 330 mL) overnight and added drop-wise to 240 mL of
104 ethanol. Dodecyl aldehyde (DDA) dissolved in ethanol (10 mL) was added and the mixture
105 was stirred for 1 h at room temperature. Afterwards, sodium cyanoborohydride was added and
106 the reaction continued for 24 h under stirring at room temperature. After dialysis (MWCO 12
107 kDa) against water for 3 days, the product was lyophilized and characterized by ¹H NMR and
108 ATR-FTIR.

109

110 2.5 Characterization techniques

111 A digital rolling-ball viscometer (Lovis 2000 MME, Anton Paar, Graz, Austria) was used to
112 obtain the intrinsic viscosities of chitosan samples. Measurements were carried out at
113 concentrations in the $3.0 - 7.0 \times 10^{-3}$ g/ml range at pH 4.5 using acetic acid (0.3 M)/sodium
114 acetate (0.2 M) buffer. The average viscosimetric molecular weights of commercial chitosan
115 (C-CS), deacetylated chitosan (D-CS) and depolymerized low molecular weight chitosan (L-
116 CS) were determined from the intrinsic viscosities, η , using the Mark–Houwink equation and

117 the constants, $a = 0.76$ and $K = 0.076$ mL/g for C-CS and, $a = 0.82$ and $K = 0.076$ mL/g for
118 D-CS and L-CS, as suggested by RINAUDO; MILAS; LEDUNG (1993).

119 ^1H NMR spectra were recorded on an Agilent 400/54 Premium Shielded spectrometer at 70
120 °C after 10 mg of chitosan samples were completely solubilized in 1 mL of deuterium oxide
121 (D_2O) and 10 μL of deuterium chloride.

122 Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR) analysis
123 (Perkin Elmer FrontierTM FTIR spectrometer, Perkin Elmer, USA) was performed on freeze-
124 dried samples to confirm the occurrence of the reaction between chitosan and DEAE and
125 DDA. All spectra were recorded at room temperature and 4 scans were averaged over the of
126 4000–600 cm^{-1} range.

127 Critical aggregation concentration (CAC) of polymeric self-assembling aggregates was
128 measured by fluorescence spectrophotometry (Hitachi F4500 spectrophotometer) using
129 pyrene as the fluorescence probe. 2 mL of buffer (acetic acid/sodium acetate or phosphate-
130 buffered saline) was added to a quartz cuvette with 1 μL of a stock solution of pyrene (1 mM)
131 in methanol. Afterwards, 10 μL of concentrated stock solutions of the derivatives samples
132 (2.0 g/L) were added to buffered aqueous solutions of pyrene (5.0×10^{-6} M) under magnetic
133 stirring, and fluorescence spectra were recorded after each addition. Pyrene was excited at 310
134 nm and its emission spectra were recorded from 350 to 500 nm. The CAC values were
135 estimated from the plot of the intensity ratio between the first (373 nm) and the third (382 nm)
136 vibronic peaks of pyrene spectra versus $\log C$ (concentration in g/L).

137

138 2.6 Particle size distribution, zeta potential and morphology of the nanoaggregates

139 The size distribution of the aggregates were determined at 0.1g/L concentration and pH 5.0
140 (acetate buffer) and 7.4 (phosphate buffer) using dynamic light scattering with non-invasive
141 back scattering (DLS-NIBS) at an angle of 90°. The ζ -potential was measured by mixed laser
142 Doppler velocimetry and phase analysis light scattering (M3-PALS). A Malvern Zetasizer
143 NanoZS (Malvern Instruments Ltd., Worcestershire, UK) fitted with a red laser ($\lambda = 632.8$
144 nm) at 25 °C was used to perform both determinations.

145 The morphological characteristic of the nanoaggregates were observed using a JEM2100
146 LaB6 (Jeol, Japan) Transmission Electron Microscope operating at 200 keV. 20 μL of the

147 prepared sample solution was dropped onto the carbon-coated 200 mesh copper grid. The
148 grids were air dried for 20 min and post-stained with phosphotungstic acid at 2%.

149

150 2.7 Drug loading and in vitro release

151 The hydrophobic drug quercetin (QCT) was loaded into the cores of the DEAE-CS
152 nanoaggregates. 20 mg of the polymers were dispersed in 3 ml of buffer solution (pH = 5.0 or
153 pH = 7.4) under continuous stirring for 8 hours. Afterwards, the drug previously solubilized in
154 ethanol was added to the nanoaggregate solutions and the mixture was allowed to stir for 4 h.
155 Then, the loaded nanoaggregates were isolated by centrifugation at 15,000 rpm for 50 min at
156 15° C. A proper calibration curve was used to determine the free QCT concentration in the
157 supernatant using UV-visible spectrophotometry. The entrapment efficiency (EE) and the
158 drug loading efficiency (DL) were calculated from the following equations :

$$159 \quad EE(\%) = \frac{(total\ drug\ weight - free\ drug\ weight)}{(total\ drug\ weight)} \times 100\% \quad (1)$$

$$160 \quad DL(\%) = \frac{(total\ drug\ weight - free\ drug\ weight)}{(loaded\ drug\ weight + nanoaggregates\ weight)} \times 100\% \quad (2)$$

161

162 The in vitro drug release tests were carried out by dispersing the nanoparticles loaded into
163 buffer solution (pH = 5.0 and pH = 7.4). Subsequently, this solution was transferred to a Pur-
164 A-Lyzer™ Mini Dialysis Kit (MWCO 6-8 kDa) and immersed in 35 mL of release medium
165 (respective buffer solutions), maintained under gentle stirring at 37 °C. At predetermined
166 intervals, 3 mL aliquots were taken of release medium and replaced by the same volume of
167 buffer solution. The concentration of the released drug was determined by UV-visible
168 spectrophotometry (NABID et al., 2011). The Korsmeyer–Peppas model (Eq. (3)) was used to
169 determine drug release mechanism from nanoaggregates (COSTA; MANUEL; LOBO, 2001;
170 KORSMEYER et al., 1983):

$$171 \quad \frac{M_t}{M_\infty} = kt^n \quad (3)$$

172

173 Where M_t is the mass of QCT released at time t , M_∞ represents the total mass of QCT to be
174 released and k is a constant that depends on the structural characteristics of the

175 nanoaggregates and the solvent/material interactions. The exponent n indicates the type of
176 diffusion. The diffusion will be Fickian when $n = 0.43$ and will involve Case II transport
177 when $n = 0.85$. Anomalous diffusion occurs when n is between these values, and when $n >$
178 0.85 the diffusion involves super-Case II transport. For polydispersed systems based in
179 spherical particles a value for n lower than 0.43 is possible and is also considered Fickian
180 (RITGER; PEPPAS, 1987). The portion of the release curve where $M_t/M_\infty < 0.6$ was used for
181 the determination of the n exponent.

182

183 2.8 In vitro cytotoxicity assay

184 The cytotoxicity of the free drug, blank and loaded nanoaggregates was evaluated by MTT
185 assay. Briefly, $100\ \mu\text{l}$ of MCF-7 cell suspension was transferred to a 96-well tissue culture
186 plate ($\sim 10^4$ cells per well or $\sim 10^5$ cells/ml) and allowed to attach for 24 h. After the cells were
187 washed once with supplement-free medium, the sample was added and the cells were
188 incubated for 24 h. Afterwards, the samples were removed, the wells were washed twice with
189 supplement-free medium and replaced with $100\ \mu\text{l}$ of medium. $25\ \mu\text{l}$ of MTT solution in PBS
190 ($5\ \text{mg/ml}$) were added to each well. After 4 h of incubation the medium was removed and the
191 dye was dissolved in DMSO. Orbital shaking at 300 rpm for 15 min was used to completely
192 solubilize the crystals and the absorbance was measured at $\lambda = 570\ \text{nm}$ in a microplate reader
193 (Safire, Tecan AG, Salzburg, Austria). Relative viability values were calculated by dividing
194 individual viabilities by the mean of the negative control (untreated cells). 4% Triton X-100
195 in PBS was used as a positive control.

196

197 2.8 Blood compatibility tests

198 The hemocompatibility tests were carried out using freshly collected pig blood. $800\ \mu\text{l}$ of
199 blood were diluted with 1 ml of PBS buffer. 1 mg of nanoaggregates were then dispersed in 1
200 ml of PBS buffer and incubated at $37\ ^\circ\text{C}$ for 30 minutes. After addition of $20\ \mu\text{l}$ of diluted
201 blood, the tubes were incubated for 60 minutes at $37\ ^\circ\text{C}$ with gentle agitation. The absorbance
202 of the samples was checked using a UV-Vis spectrometer at $545\ \text{nm}$ and the hemolysis ratio
203 (HR) was calculated by the following equation:

$$204 \quad HR(\%) = \frac{A_{\text{sample}} - A_{\text{PBS}}}{A_{\text{water}} - A_{\text{PBS}}} \times 100\% \quad (4)$$

205

206 Where A_{sample} , A_{PBS} and A_{water} are the absorbance at 545 nm of blood samples treated with
207 nanoaggregates, PBS (negative control) and water (positive control), respectively.

208

209 3. Results and discussion

210 3.1. Characterization of the amphiphilic derivatives

211 The commercial chitosan was deacetylated and depolymerized before further modifications in
212 order to reduce its toxicity (PARK et al., 2011) and improve the self-assembling properties of
213 the amphiphilic derivatives (RINAUDO, 2006). The molecular weight for commercial (C-
214 CS), deacetylated (D-CS) and deacetylated with low molecular weight (L-CS) chitosans are
215 shown in Table 1.

216 **Table 1.** Amphiphilic derivatives characterization and viscosity-average molecular weight.

Sample	DD ^a (%)	DS ₁ ^b (%)	DS ₂ ^c (%)	M _v (kDa)
C-CS	84.3	-	-	82.4
D-CS	97.1	-	-	50.4
L-CS	97.1	-	-	3.8
L-CS-DEAE ₄₀	-	38.5	-	-
L-CS-DEAE ₄₀ D ₅	-	38.5	5.1	-
L-CS-DEAE ₄₀ D ₂₈	-	38.5	28.0	-

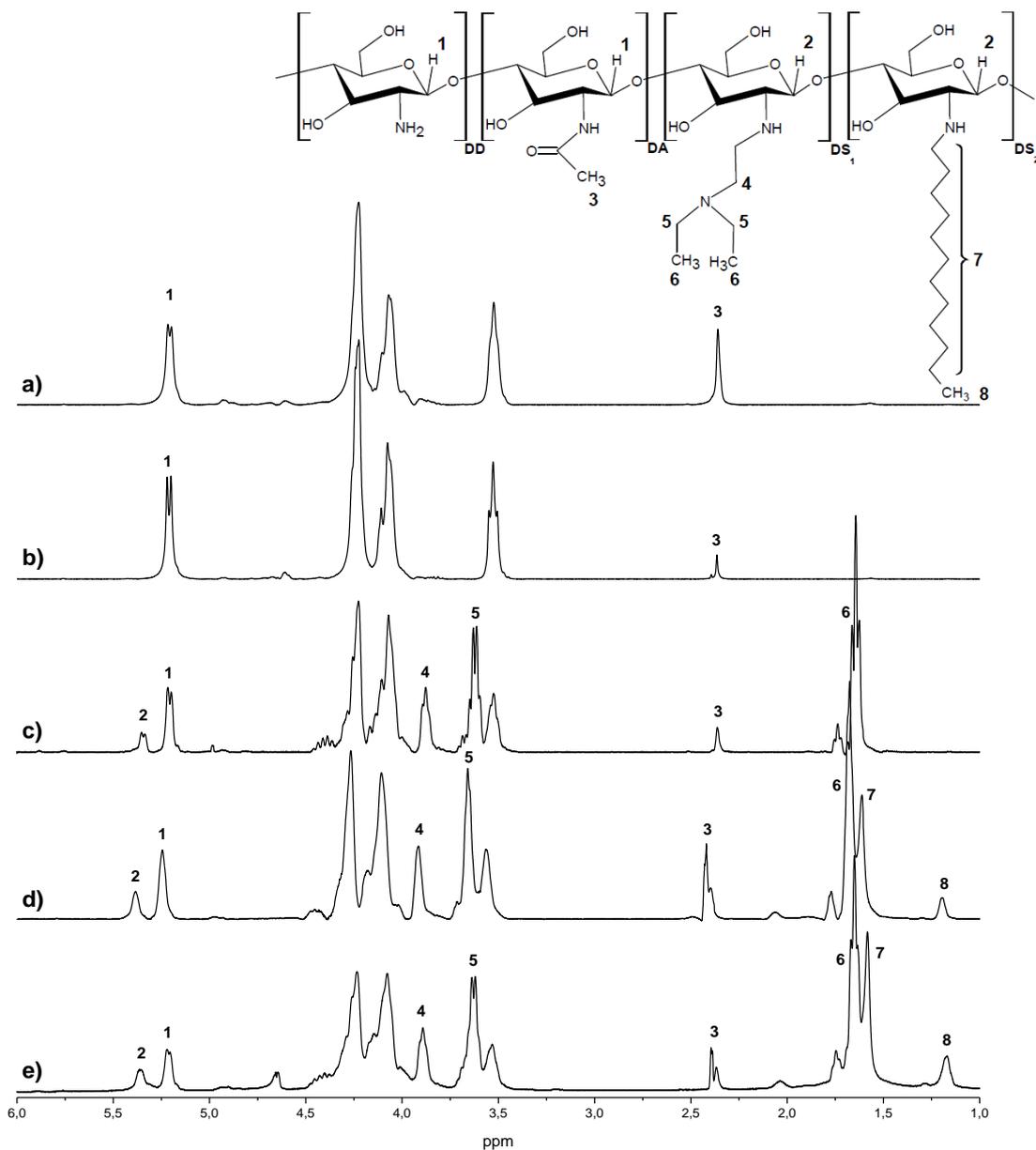
217 ^a Deacetylation degree.

218 ^b Degree of substitution at the hydrophilic chain.

219 ^c Degree of substitution at the hydrophobic chain.

220

221 The tertiary amino group DEAE was added to the main chain of the chitosan by nucleophilic
222 substitution of the C-2 amine groups. The L-CS sample was used as starting material and the
223 derivatives were characterized by ¹H NMR and FTIR. The degree of deacetylation (DD),
224 determined from ¹H NMR spectra, was obtained from the areas of the peaks at $\delta = 2.35$ ppm
225 (resonance of the acetamidomethyl protons) and at $\delta = 5.21$ ppm (resonance of the anomeric
226 proton doublet – H2) according to our previous report (DE OLIVEIRA PEDRO et al., 2013).
227 The DD (expressed in –NH₂ mol%) was 84.2% for C-CS and 97.5% for D-CS and L-CS.



228

229 **Fig. 2.** ¹H NMR spectra of a) commercial chitosan (C-CS), b) deacetylated low molecular
 230 weight chitosan (L-CS), c) the hydrophilic derivative containing 38.5% of DEAE (L-CS-
 231 DEAE₄₀), and its amphiphilic derivatives containing d) 38.5% of hydrophilic and 5.1%
 232 hydrophobic chain (L-CS-DEAE₄₀D₅) and e) 38.5% of hydrophilic and 28.0% hydrophobic
 233 chain (L-CS-DEAE₄₀D₂₈).

234

235

236 Fig. 2c shows the ¹H NMR spectrum of DEAE-chitosan derivative. The resonance of the
 237 methyl protons (NH-CH₂-CH₃) of the DEAE moieties can be attributed to the singlet at δ =

238 1.64 ppm. The signals at $\delta = 3.62$ and 3.88 ppm correspond to the methylene protons of NH-
 239 $\text{CH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CH}_3)_2$ and $\text{NH-CH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CH}_3)_2$, respectively. The degree of substitution
 240 by DEAE (DS_1) was determined from the areas at $\delta = 1.64$ ppm and signals due to the
 241 anomeric protons of substituted ($\delta = 5.36$ ppm) and unsubstituted ($\delta = 5.25$ ppm) glucosamine
 242 residues. Using Eq. 5 the degree of substitution DS_1 of L-CS-DEAE₄₀ could be calculated as
 243 being 38.5%.

$$244 \quad \overline{\text{DS}}_1(\%) = \left(\frac{I_{1.64}}{6 \cdot [I_{5.25} + I_{5.36}]} \right) \cdot 100\% \quad (5)$$

245 The ¹H NMR spectra for the amphiphilic derivatives are shown in Fig 2d and e. The signal at
 246 $\delta = 1.57$ ppm is related to the resonance of the methylene hydrogens in the C12 chain of the
 247 DDA substituent. The resonance of the methyl group present in the C12 moieties shows
 248 signal at $\delta = 1.18$ ppm. Eq. 6 was used to calculate the degree of substitution (DS_2) by the
 249 hydrophobic dodecyl group (DDA), comparing the signals at $\delta = 1.18$ ppm with signals of the
 250 anomeric protons ($\delta = 5.36$ ppm and $\delta = 5.25$ ppm) (DE OLIVEIRA PEDRO; SCHMITT;
 251 NEUMANN, 2016).

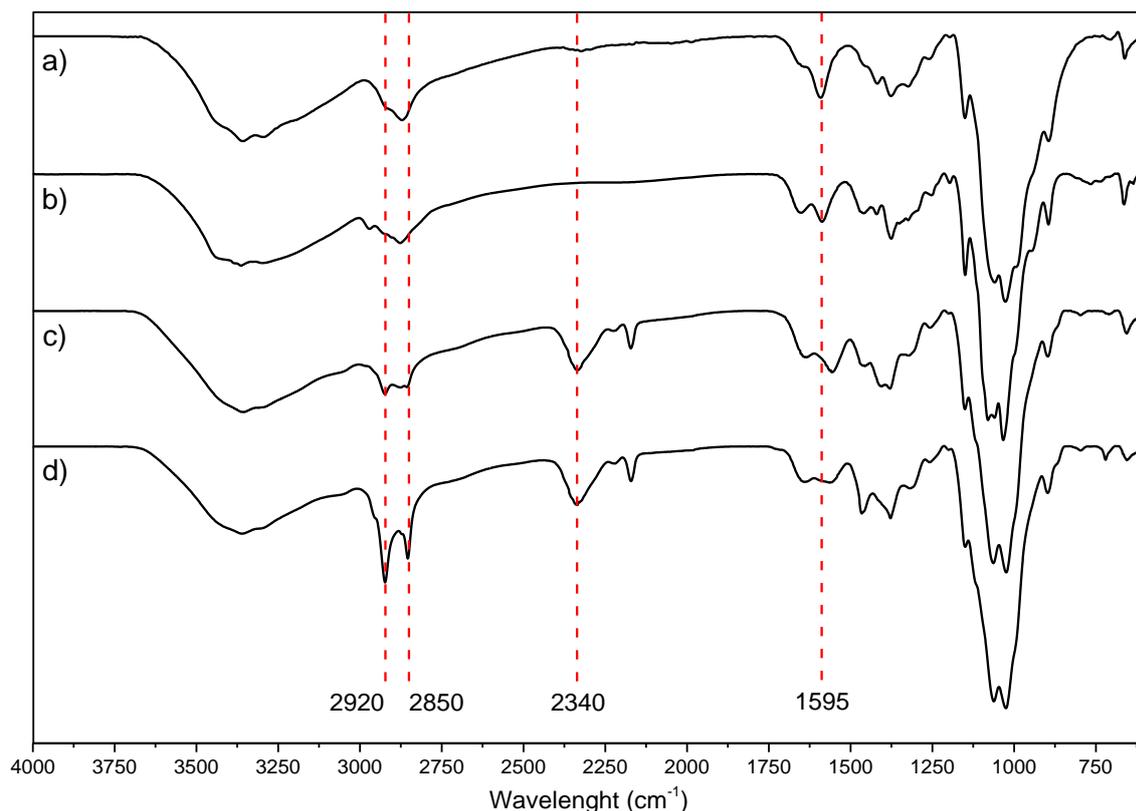
$$252 \quad \text{DS}_2(\%) = \left[\frac{I_{1.18}}{3(I_{5.25} + I_{5.36})} \right] \times 100\% \quad (6)$$

253 The DS_2 for L-CS-DEAE₄₀D₅ was 5.1% and for L-CS-DEAE₄₀D₂₈ was 28.0%. Table 1 shows
 254 the degrees of substitution (DS) at different sites of the compounds.

255 Fig. 3 shows the FTIR spectra for deacetylated low molecular weight chitosan (L-CS),
 256 DEAE-grafted chitosan derivative (L-CS-DEAE₄₀), and the amphiphilic derivatives L-CS-
 257 DEAE₄₀D₅ and L-CS-DEAE₄₀D₂₈. The broad band around 3400 cm^{-1} is related to the axial
 258 stretching vibration of the hydroxyl groups (O–H) of chitosan chains and residual water
 259 aligned with the aliphatic C–H stretching band.

260 The peak intensity around 1595 cm^{-1} is related to the N-H bending vibration of the primary
 261 amino group (MITRA et al., 2013). With the insertion of the DEAE and DDA groups in the
 262 chitosan backbone by nucleophilic substitution, the intensity of this peak decreases,
 263 supporting the evidence found by ¹H NMR that the reactions occurred at the primary amine (–
 264 NH_2) of the chitosan chain.

265



266

267 **Fig. 3.** FTIR spectra of (a) deacetylated low molecular weight chitosan (L-CS), (b) DEAE-
 268 grafted chitosan (L-CS-DEAE₄₀), and its amphiphilic derivatives (c) L-CS-DEAE₄₀D₅ and (d)
 269 L-CS-DEAE₄₀D₂₈.

270

271 The amphiphilic samples (Fig. 3c and d) exhibit characteristic peaks at 2920 and 2850 cm⁻¹
 272 due to the axial deformation of the carbon-hydrogen bond of the CH₃ and CH₂ groups,
 273 respectively. Those signals become sharper with the increase of the DS by DDA (L-CS-
 274 DEAE₄₀D₅ vs. L-CS-DEAE₄₀D₂₈) which is in agreement with the DS quantified by ¹H NMR.
 275 The amphiphilic samples also show a typical peak at 2340 cm⁻¹ related to the vibration of the
 276 DDA-substituted amino groups (ROBLES et al., 2014).

277

278 3.2 Aggregation in aqueous solution

279 The amphiphilic modification of the chitosan reported here allows it to self-assembly to form
 280 nanoparticles or nanoaggregates. The self-assembly phenomena is driven by the orientation of
 281 hydrophilic groups towards the aqueous media, creating hydrophobic microdomains inside
 282 the structure, which allows the encapsulation of hydrophobic drugs by the aggregates. For a

283 better understanding of the aggregation behaviour of these samples in aqueous solution
284 pyrene was used as fluorescence probe. The I_1/I_3 (373 nm/382 nm) ratio of the emission
285 intensities is used to measure the presence of hydrophobic microenvironments. It decreases
286 slowly with the formation of nanoaggregates due to its displacement from hydrophilic to
287 hydrophobic sites.

288 The dependence of the CAC with pH for both amphiphilic derivatives are shown in Table
289 2. At pH lower than the pKa (~6.2) of the residual free amine groups of the chitosan
290 backbone, the polymer will be protonated increasing its hydrophilicity. Therefore, the onset of
291 the aggregation occurs at lower concentrations at higher pH. The hydrophilicity of the
292 derivatives is also affected by the DS of the hydrophobic DDA group. The aggregates forms
293 more hydrophobic cores when the degree of substitution by C12 chain increases due to the
294 stronger water repulsion among the chains, lowering the CAC.

295 **Table 2.** CAC of the amphiphilic derivatives at different pH

Sample	CAC ($\text{g/L} \times 10^{-3}$)			
	pH 4.0	pH 5.0	pH 6.2	pH 7.4
L-CS-DEAE ₄₀ D ₅	5.0	3.7	3.2	2.7
L-CS-DEAE ₄₀ D ₂₈	3.9	3.5	2.6	1.5

296

297 3.3 Drug Loading and in vitro Release

298 The CAC determinations suggests that the samples can self-assemble in aggregates with
299 hydrophobic cores, which allows them to encapsulate hydrophobic molecules. Quercetin, a
300 widely used natural hydrophobic flavonoid, with many biological effects including a
301 significant effect on the growth of numerous human and animal cancer cell lines (CHOU et
302 al., 2010; LAROCCA et al., 1990; PRALHAD; RAJENDRAKUMAR, 2004), was used as
303 drug model. Despite its many interesting properties, the use of QCT in the pharmacological
304 field is restricted by its low aqueous solubility.

305 The entrapment efficiency and the drug loading for the amphiphilic samples are shown in
306 Table 3. The EE slightly increased from 73 to 78 % with the DS of the DDA chain while the
307 DL decreased from 5.2 to 4.3%. This behaviour can be explained by the higher number of
308 hydrophobic moieties inside the core of the aggregates formed with higher DS of the C12
309 chain. These results are better to those reported in experiments involving similar systems
310 reported in the literature (DU et al., 2015; WU et al., 2016; WU et al., 2014).

311

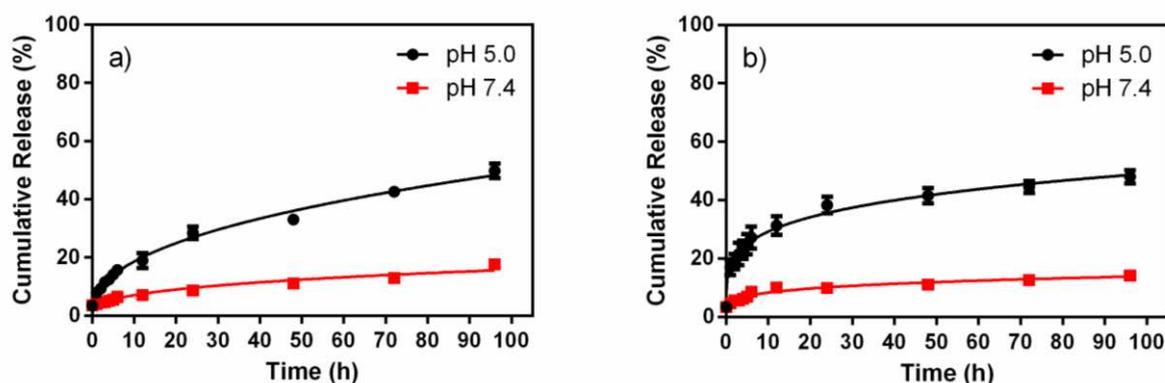
312 **Table 3.** Characteristics of the nanoaggregates

Sample	Blank Nanoaggregates			Drug-Loaded Nanoaggregates				
	Size (d.nm)	PDI	Zeta (mV)	Size (d.nm)	PDI	Zeta (mV)	EE (%)	DL (%)
L-CS-DEAE ₄₀ D ₅	169 ± 14	0.678 ± 0.053	24.1 ± 1.1	410 ± 36	0.662 ± 0.021	40.1 ± 1.9	73 ± 3	5.2 ± 0.7
L-CS-DEAE ₄₀ D ₂₈	293 ± 25	0.402 ± 0.123	13.4 ± 3.1	405 ± 31	0.430 ± 0.016	41.7 ± 2.1	78 ± 3	4.3 ± 0.3

313

314 The in vitro release of QCT from drug-loaded nanoaggregates was performed by dispersing
 315 the nanoparticles in buffer solutions (pH = 5.0 and pH = 7.4). Fig. 4 shows that a burst release
 316 phenomenon occurred during the first 8 h of the in vitro release due to weakly attached QCT
 317 on the surface of the polymer (HUANG; BRAZEL, 2001). The burst release effect was slower
 318 for the L-CS-DEAE₄₀D₅ sample (Fig. 4a) at both pHs. After the first 8 h, QCT was steadily
 319 released from the nanoaggregates, achieving a cumulative release of approximately 50% after
 320 96 h for both samples and pHs. The slow release rate observed may be ascribed to the strong
 321 interactions among the DDA chains and the hydrophobic drug. This behaviour may have also
 322 been due to low solubility of QCT in the release solution associated with a barrier that limited
 323 the access of the water inside the aggregates.

324



325

326 **Fig. 4.** In vitro release profile of QCT from a) L-CS-DEAE₄₀D₅ and b) L-CS-DEAE₄₀D₂₈ at
 327 pH 5.0 and 7.4 and 37 °C.

328

329 pH also has a significant effect over the drug release. As shown in Fig. 4, both samples
 330 exhibit a much more prominent release at pH 5.0. This can be attributed to the protonation of
 331 the remaining free amino groups of the chitosan backbone that occurs at pH 5.0, leading to the
 332 formation of hydrogen bonds between water molecules and the protonated amino groups.

333 Consequently, the inner core of the nanoaggregates is less hydrophobic, therefore, the
 334 interactions with QCT is weaker and the release is facilitated. This regulation by the pH can
 335 be used to trigger the release at cancerous tissues due to the fact that the pH in these areas is
 336 acidic in opposite to physiological pH (7.4) (STUART et al., 2010; TANNOCK; ROTIN,
 337 1989). Lactic acid produced as a by-product of anaerobic glucose metabolism lowers the pH
 338 of the cancer area. Additionally, acidic pH sites can also be found in endosomes and
 339 lysosomes, within cancer cells (AYDIN; PULAT, 2012). This pH-regulated behaviour of the
 340 DEAE-based amphiphilic samples reveals the pharmaceutical potential of this systems.

341 The Korsmeyer-Peppas release model was used to understand the release kinetics and
 342 mechanisms of QCT. This model was applied up to 60% of the final weight of the released
 343 drug. As shown in Table 4, the R^2 values for both sample at pH 5.0 were close to 1 and much
 344 lower at pH 7.4, due to the fact that the release rate at this pH is much lower. Furthermore, the
 345 poor fitting release data at pH 7.4 suggests that there is change in surface area as a function of
 346 pH and also may confirm that the change depends on the pH of the release environment.
 347 Therefore, the n exponent indicates that the Fickian diffusion is the controlling factor in drug
 348 release.

349

350 **Table 4:** Mathematical parameters of the release data.

Sample	pH	k	n	Correlation value (R^2)
L-CS-DEAE ₄₀ D ₅	5.0	7.31 ± 1.16	0.41 ± 0.11	0.9768
	7.4	3.72 ± 1.26	0.26 ± 0.25	0.8935
L-CS-DEAE ₄₀ D ₂₈	5.0	16.24 ± 1.29	0.26 ± 0.05	0.9620
	7.4	4.99 ± 0.54	0.22 ± 0.03	0.8642

351

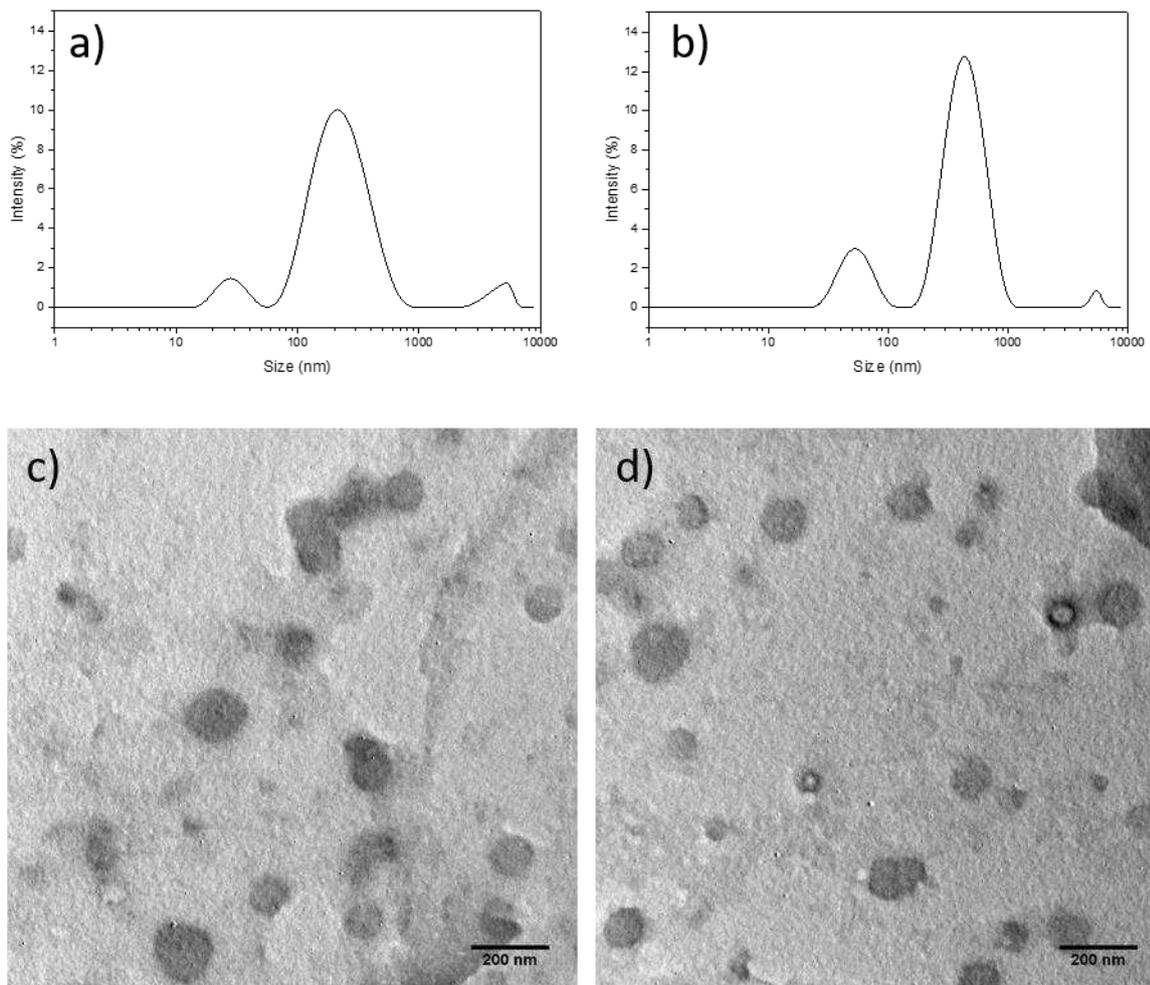
352 3.4 Size and morphology of the nanoaggregates

353 The particle size distribution, polydispersity indices (PDI) and zeta potential of the blank and
 354 loaded aggregates were evaluated by DLS measurements. As shown in Table 3, the average
 355 size increased from 169 to 263 nm with the higher hydrophobic DS for the blank
 356 nanoaggregates and was about the same for the loaded samples (410 and 405 nm). The PDI
 357 does not change significantly with the loading of the drug. It also suggests that the sample L-
 358 CS-DEAE₄₀D₅ has a narrower size distribution when compared to L-CS-DEAE₄₀D₂₈,
 359 although both samples are characterized by widely participation of extra associations among

360 the aggregates. The zeta potential reveals a positive charge at the surface of the
361 nanoaggregates and increases for both samples after loading QCT, which suggests good
362 stability under these conditions (HONARY; ZAHIR, 2013).

363 The morphology of the blank nanoaggregates were evaluated further by TEM. Fig 5c-d show
364 that the aggregates exhibited spherical morphology and relative homogeneity with average
365 size consistent with the DLS findings. The slightly differences in the diameter may be
366 attributed to the fact that TEM analysis was carried out in dried particles, whereas DLS
367 measurements were influenced by the interaction of the samples with water. No further
368 agglomeration was observed.

369



370

371 Fig. 5. Particle size distributions of a) L-CS-DEAE₄₀D₅ and b) L-CS-DEAE₄₀D₂₈ blank
372 nanoaggregates. TEM images of blank nanoaggregates comprising c) L-CS-DEAE₄₀D₅ and d)
373 L-CS-DEAE₄₀D₂₈ samples.

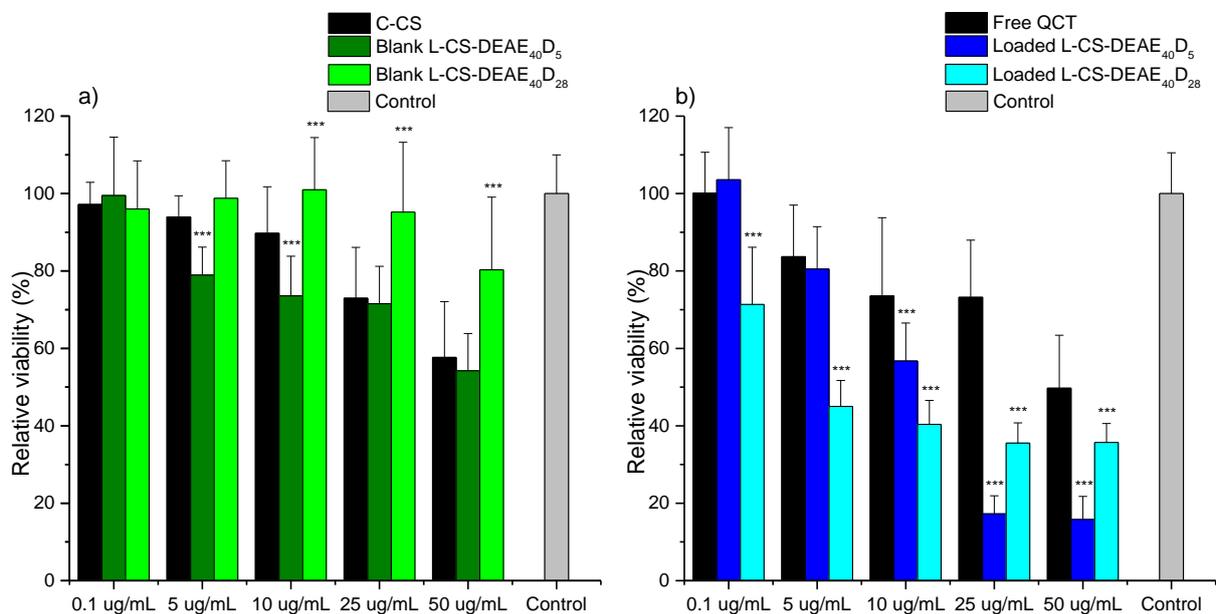
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375

376 3.5 In Vitro Cytotoxicity Studies

377 The cell cytotoxicity of chitosan and its derivatives was carried out on breast cancer cell line
378 MCF-7. The cells were grown in RPMI-1640 cell culture medium at 10% CO₂ and 37 °C. The
379 polymer concentrations used for the blank nanoaggregates refer to that used for the QCT-
380 loaded samples.

381



382

383 **Fig. 6.** Cytotoxicity of nanoaggregates against MCF-7 cells in 96-well plates determined
384 using the MTT assay. (a) Relative cell viability following treatment with commercial chitosan
385 (C-CS) and blank nanoaggregates at increasing concentrations. (b) Relative cell viability
386 following treatment with free QCT and loaded nanoaggregates. Absolute concentrations
387 refers to concentration of QCT. For all experiments, cells were incubated for 24 h. Mean
388 values \pm SD. (n = 3, *** p < 0.001).

389

390 Fig. 6a) indicates that the sample L-CS-DEAE₄₀D₂₈ has less cytotoxic effect when compared
391 with C-CS or L-CS-DEAE₄₀D₅, having cell viability over 80% at the highest concentration.
392 This result is in agreement with those by MERCHANT et al. (2014) using hydrophobically
393 modified chitosan. Although the cytotoxicity of the sample L-CS-DEAE₄₀D₅ was larger than

394 that of chitosan at 5 and 10 $\mu\text{g/mL}$ concentrations, at higher concentrations no significant
395 statistic difference was observed. Nevertheless, the viability was larger than 50% for the
396 samples at all concentrations, indicating adequate properties for biological application.

397 MCF-7 cells were also incubated with free QCT and loaded nanoaggregates. As shown in Fig
398 6b), the chitosan derivatives showed a significant reduction of viability of the breast cancer
399 cells over the free QCT. The sample L-CS-DEAE₄₀D₂₈ was better than the free QCT even in
400 the lowest concentration while the sample L-CS-DEAE₄₀D₅ showed very strong cell viability
401 reduction at higher concentrations. The results clearly indicates that the amphiphilic chitosan
402 derivatives can significantly improve the anticancer drug effect of QCT in vitro.

403

404 3.6 Blood compatibility tests

405 The in vitro erythrocyte-induced hemolysis is a reliable and extensively used test to estimate
406 the blood compatibility of the new materials (LI et al., 2012; RAO; SHARMA, 1997;
407 ZHANG et al., 2008). A significant interaction with erythrocytes might influence the
408 circulation of the nanoaggregates. The contact between the polymeric chains of chitosan with
409 blood can lead to the rupture of the erythrocyte membrane and the release of hemoglobin. The
410 hemolysis ratio for the sample L-CS-DEAE₄₀D₅ and L-CS-DEAE₄₀D₂₈ were 0.31 ± 0.01 and
411 0.50 ± 0.04 , respectively. The results are far smaller than 5% of the international standard,
412 suggesting that the samples have suitable hemocompatibility for biological applications.

413

414 4. Conclusions

415 Chitosan was chemically modified with 2-chloro-N,N-diethylethylamine hydrochloride and
416 dodecyl aldehyde in order to obtain amphiphilic derivatives that can self-assemble in aqueous
417 solution. Pyrene studies revealed that the aggregates with hydrophobic core were formed at
418 concentrations over 0.015 g/L.

419 Drug loading experiments showed that the aggregates could efficiently entrap up to 78 % of
420 the drug quercetin and that the pH has a significant role in the drug release process. The
421 Korsmeyer-Peppas release model indicates that the Fickian diffusion is the controlling factor
422 in drug release.

423 DLS experiments showed that the blank aggregates formed by the samples L-CS-DEAE₄₀D₅
424 and L-CS-DEAE₄₀D₂₈ had average sizes of 169 and 263 nm, respectively, and increased to
425 410 and 405 nm when loaded with quercetin. The zeta potential suggests that the surface of
426 the nanoaggregates were positively charged and stable at physiological-like environment.

427 Drug safety assessment studies, including cell viability and hemolysis test, showed that the
428 nanoaggregates were safe and very efficient at enhancing the effect of the quercetin in the
429 control of the viability of breast cancer cells. Thus, the amphiphilic samples are important
430 candidates for drug delivering systems.

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