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1 Production and characterization of supercritical CO₂ dried chitosan nanoparticles as novel carrier
2 device

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19 Abstract

20 Materials obtained by the supercritical CO₂ drying technology have outstanding structural
21 properties that allow the incorporation of molecules in their porous structure. In this context, dried
22 chitosan nanoparticles including β-lactoglobulin were obtained. The chitosan nanoparticles were
23 produced by ionotropic gelation incorporating the protein followed by a solvent exchange and CO₂
24 supercritical drying procedure. The loading parameters demonstrate high incorporation efficiency
25 that is preserved after the supercritical drying. The physicochemical characteristics and structural
26 properties were determined corroborating the presence of the protein in the material. The release of
27 the β-lactoglobulin was highly influenced by the pH, reaching around 40% at acidic conditions in
28 10 hours. The protein loaded supercritical CO₂ dried chitosan nanoparticles can be effectively
29 resuspended in acidic aqueous medium displaying moderate antioxidant activity which indicate its
30 potential application as a biomaterial and controlled release device in biological environments.

Abbreviations

Supercritical drying (scCO₂D); chitosan (Cs); sodium tripolyphosphate (TPP); β-Lactoglobulin (βlg); chitosan nanoparticles gel (CsN); chitosan nanoparticles with β-Lactoglobulin (CsNβ); dry chitosan nanoparticles (DCsN); dry chitosan nanoparticles gels with βlg (DCsNβ); solid-state cross-polarization magic angle spinning ¹³C nuclear magnetic resonance (CP/MAS-NMR); attenuated total reflection Fourier transform infrared (ATR-FTIR); thermogravimetric analysis (TGA); differential scanning calorimetry (DSC); X-ray diffraction (XRD); Brunauer–Emmett–Teller (BET); 1, 1-Diphenyl-2-picrylhydrazyl (DPPH); (3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide) (MTT).

31 Keywords: Chitosan; Nanoparticles; Dry gel; CO₂ Supercritical drying; Ionotropic gelation; β -
32 lactoglobulin.

33

34 **1. Introduction**

35

36 The nanobiotechnology comprises the knowledge related with the production, characterization and
37 application of nanomaterials in life sciences, including medicine (Fakruddin, Hossain, & Afroz,
38 2012). Some remarkable advances in the field are the development of biosensors, improved contrast
39 agents for imaging and therapeutic compounds delivery devices. Particularly for the drug delivery
40 technology there is a search for materials that provide improved controlled release features of the
41 incorporated molecules enabling the reduction of dosages and undesirable side effects of therapeutic
42 agents (Safari & Zarnegar, 2014). To this end, the use of synthetic or natural polymers (i.e. proteins
43 or polysaccharides) provide the mechanical-structural features to generate a diversity of materials,
44 such as nanocapsules, nanoparticles, nanomicelles, nanospheres or nanoporous materials (Carvalho,
45 Grenha, Remuñán-López, Alonso, & Seijo, 2009; Cavalli, Leone, Minelli, Fantozzi, & Dianzani,
46 2014; Goimil et al., 2017; Larson & Ghandehari, 2012; Mohanraj, Barnes, & Prestidge, 2010; Yang
47 et al., 2009).

48 Chitosan (Cs) is a polysaccharide composed of 2 acetamide 2 deoxy-D glucopyranose and 2 amino-
49 2 deoxy-D glucopyranose units linked by $\beta(1\rightarrow4)$ bonds. Its outstanding properties, including
50 biocompatibility, biodegradability and particular biological properties make this natural polymer an
51 excellent candidate for biomedical and pharmaceutical uses (Ravi Kumar, 2000; Rinaudo, 2006).
52 The research on Cs to produce nanomaterials intended for drug delivery systems started two
53 decades ago looking for biocompatible alternatives to inorganic compounds, metals and synthetic
54 polymer systems, which provided limited stability to transportation of proteins (Garcia-Fuentes &
55 Alonso, 2012). Chitosan based nanomaterials are commonly produced inducing inter-chain
56 crosslinking by covalent bonds or ionic interactions, but certainly there are diverse preparation
57 processes that have been proposed to improve their functional properties, such as size, stability,
58 surface charge or drug loading capacity (Calvo, Remuñán-López, Vila-Jato, & Alonso, 1997; Ohya,
59 Shiratani, Kobayashi, & Ouchi, 1994).

60 Several applications based on chitosan nanoparticles carriers have been described, including
61 vaccine, gene and drug delivery systems (Garcia-Fuentes & Alonso, 2012; Younes & Rinaudo,
62 2015). In most of these applications the Cs nanoparticles are in colloidal suspension. However, the
63 use of dry nanoparticles could improve the stability and storage life of drug delivery systems.
64 Furthermore, dry nanoparticles are usually preferred in certain applications, such as formulations
65 for inhalation (Grenha, Seijo, & Remuñán-López, 2005). Chitosan dry materials have been
66 proposed as controlled release devices based on their considerable specific surface area, porous
67 structure properties and capacity to incorporate bioactive compounds, particularly protein drugs and
68 enzymes (Ulker & Erkey, 2017). Generally evaporation (e.g. spray-drying) or sublimation (e.g.
69 freeze-drying) procedures are used to obtain dry chitosan nanoparticles (Abdelwahed, Degobert,
70 Stainmesse, & Fessi, 2006; Grenha et al., 2005; Ngan et al., 2014). The supercritical CO₂ (scCO₂)

71 drying is an alternative method that can produce dry porous materials from previously formed gels.
72 The modifications of the structural features of the materials could be reduced to minimum due to
73 the solvation capacity and low surface tension of the scCO₂ (Valentin, Molvinger, Quignard, &
74 Brunel, 2003). Usually, the materials obtained by scCO₂ drying have larger specific surface areas,
75 low density and highly porous structure, providing appealing properties for active transport of
76 bioactive substances (Zarzycki, Modrzejewska, Dorabialska, Rogacki, & Wojtasz-Pająk, 2009). The
77 production of Cs materials obtained by scCO₂ drying of chemical or physical gels as monoliths or
78 microparticles has been reported previously (Ennajih, Bouhfid, Essassi, Bousmina, & El Kadib,
79 2012; Valentin, Bonelli, Garrone, Di Renzo, & Quignard, 2007). Recently, was reported the scCO₂
80 drying of chitosan ionotropic nanogels with aerogel characteristics (i.e. large surface area and
81 porosity) in nano scale (Caro León et al., 2017). Based on the background, a scCO₂ dried gels
82 formed by chitosan nanoparticles have structural properties and stability to provide a good loading
83 and controlled release capacity for the βlg, allows its application in dry and aqueous media.

84 The aim of this study was investigate the properties of scCO₂ dried chitosan nanoparticles as
85 bioactive compounds carrier. Dry chitosan nanoparticles loaded with a protein, β-lactoglobulin
86 (βlg), were produced and the loading parameters calculated. The physicochemical characteristics of
87 the loaded dry chitosan nanoparticles were reported. As β-lactoglobulin is one of the milk proteins
88 showing antioxidant activity (Liu, Chen, & Mao, 2007; Mengibar, Miralles, & Heras, 2017; Stanic-
89 Vucinic, Prodic, Apostolovic, Nikolic, & Velickovic, 2013), it was determined in the loaded
90 nanoparticles, along with the biocompatibility to cultured cells and the structural properties of the
91 released protein, in order to estimate the functionality of the carrier.

92

93 **2. Experimental**

94

95 2.1 Materials

96

97 Chitosan (Cs) with degree of acetylation (DA) of 20% and weight average molecular weight (Mw)
98 of 250 kDa was provided by Primex EHF (Iceland). β-Lactoglobulin (βlg) from bovine milk with
99 Mw of 18.4 kDa and purity higher than 90% was obtained from Sigma-Aldrich (USA). Reagent
100 grade solvents and chemicals, acquired from recognized commercial distributors, were used.
101 Deionized water was utilized throughout unless otherwise stated.

102

103 2.2 Nanoparticle preparation

104

105 Chitosan nanoparticles (CsN) and dry chitosan nanoparticles (DCsN) were obtained according to
106 previously reported procedures (Caro León et al., 2017; Goycoolea, Lollo, Remuñán-López,
107 Quaglia, & Alonso, 2009). To obtain dry chitosan nanoparticles loaded with β-lactoglobulin

108 (DCsNβ) the following procedure modification were implemented. Briefly, 30 mL of 1 mg/mL Cs
109 solution in 2% v/v CH₃COOH including the protein (1 mg/mL βlg) was mixed with 20 mL of 1.0
110 mg/mL sodium tripolyphosphate (TPP) solution. The subsequent drying of the obtained Cs
111 nanoparticles including βlg (CsNβ) with scCO₂ was performed as described previously (Caro León
112 et al., 2017).

113

114 2.3 Physicochemical characterization

115

116 The association efficiency (AE) and loading efficiency (LE) of βlg in the CsNβ was calculated the
117 following equations (1 and 2, respectively):

$$118 \quad AE = [(P_0 - P_s)/P_0] \times 100 \quad (1)$$

$$119 \quad LE = [(P_0 - P_s)/N] \times 100 \quad (2)$$

120

121 where P₀ is the initial amount of protein (βlg) added to the system; P_s is the quantity of βlg not
122 included in the nanoparticles, it was measured by UV-Vis spectrophotometry (λ=280 nm) in the
123 supernatant of centrifuged nanoparticles (24000g, 45 min at 25°C); and N is the dry weight of the
124 nanoparticles. All the measurements were obtained by triplicate.

125 The hydrodynamic diameter and zeta (ζ) potential of the nanoparticles in colloidal suspension was
126 determined by dynamic light scattering with a non-invasive back scattering (DLS/NIBS) technology
127 and laser Doppler electrophoresis with phase analysis light scattering (M3-PALS) using a Zetasizer
128 Nano-ZS (ZEN 3600, Malvern instruments, UK). The morphology of the nanoparticles was studied
129 by field emission-scanning electron microscopy (FE-SEM) using a SU 8000 Hitachi microscopy.
130 To this end, 10 μL of nanoparticles suspension was dropped on a copper grid coated with a Formvar
131 membrane, allowed to stand for 5 minutes, dried, and coated with Au/Pd.

132 Several techniques used to determine the main characteristics of the dry nanoparticles. Solid-state
133 cross-polarization magic angle spinning ¹³C nuclear magnetic resonance (CP/MAS-NMR) was
134 performed in a Bruker Avance TM 400WB equipped with a wide-mouth superconducting magnet
135 (89 mm) operating at 9.4 Tesla. The experimental conditions were: 4.4 μs width 90° pulse with 4 s
136 repetition time, 1 ms cross-polarization contact time and spectrum accumulation of 2000 scans. The
137 samples were contained in a cylindrical ceramic rotor. Attenuated total reflection Fourier transform
138 infrared (ATR-FTIR) spectra were collected on a Perkin-Elmer Spectrum One spectrophotometer;
139 using 64 co-added scans with 4 cm⁻¹ resolution over a spectrum range of 400-6000 cm⁻¹.
140 Thermogravimetric measurements (TGA) was performed on a Q500 thermogravimetric analyzer
141 (TA instruments), heating from 20° up to 800°C at 10°C/min, under nitrogen flow. X-ray diffraction
142 (XRD) experiments were carried out on a Bruker D8 Advance diffractometer equipped with CuKα
143 radiation. The angle range (2θ) was scanned from 2° to 40° at a step size of 0.02°. The working
144 voltage and current were 45 kV and 100 mA, respectively. The crystallinity index (CrI) was
145 calculated from the corresponding XRD diffractogram using the following equation (3):

146
$$CrI (\%) = [(I_{110} - I_{am})/I_{110}] \times 100 \quad (3)$$

147

148 where I_{110} is the maximum intensity of the 110 plane at $2\theta=20^\circ$, and I_{am} is the intensity of the
149 amorphous diffraction at $2\theta=16^\circ$ (Vishu Kumar, Varadaraj, Lalitha, & Tharanathan, 2004).

150 Specific surface area of the dry nanoparticles was determined by means of nitrogen sorption on a
151 Monosorb Surface Area Analyser MS-13 (Quantachrome). With this purpose the samples were
152 degassed at 80°C for 18 hours under vacuum prior to analysis. Six points in the range of relative
153 pressure (P/P_0) from 0.05 to 0.3 were used to calculate the surface area by Brunauer–Emmett–
154 Teller (BET) method.

155 To determine the effect of the scCO_2 drying on the loading capacity of the nanoparticles, a sample
156 of DCsN β was resuspended (0.5 mg/mL) in 2% v/v CH_3COOH (pH 3.1) under constant vigorous
157 magnetic stirring during 24 hours and then filtered through a $0.22 \mu\text{m}$ pore filter. The protein
158 content in the supernatant and in the resuspended nanoparticles was determined by UV-Vis
159 spectrophotometry at λ of 280 nm. The AE and LE parameters were calculated using equations (1)
160 and (2). The hydrodynamic size, ζ -potential and morphological observation of the resuspended
161 samples were also characterized as described before.

162 Protein release studies were performed by incubating 15 mg of DCsN β in 5 mL of either pH 7.4
163 acetate buffer or 2% v/v CH_3COOH solution (pH 3.1), in 25°C bath with continuous stirring.
164 Aliquots of 1 mL were taken at time intervals (3, 6, 9, 12, 24, 27 30, 33 and 48 hours), replacing the
165 volume with the corresponding media. The nanoparticles were removed from the aliquots by
166 filtration through $0.22 \mu\text{m}$ pore size nylon membranes (Millipore), then the amount of βlg released
167 was determined by UV-Vis spectroscopy at λ of 280 nm using calibration curves at the respective
168 pH value.

169 Circular dichroism (CD) was used to determine the possible effects of immobilization and scCO_2
170 drying on the protein secondary structure conformation. The analysis was performed on protein
171 released from DCsN β on a J-815 spectropolarimeter (Jasco) using a 1 mm path length quartz cell at
172 25°C . All the spectra were recorded in the 200-240 nm range. A βlg solution in 2% v/v CH_3COOH
173 (pH 3.1) was used as reference. The relative proportion of secondary conformation structures was
174 calculated using the Dichroweb tools (<http://dichroweb.cryst.bbk.ac.uk>) available in the World
175 Wide Web (Whitmore & Wallace, 2008).

176

177 2.4 Evaluation of antioxidant activity

178 The antioxidant activity of the DCsN β was estimated by the 2,2-diphenyl-1-picrylhydrazyl (DPPH)
179 free radical scavenging method. Briefly, 1.0 mL of ethanolic DPPH solution (0.127×10^{-3} M) was
180 mixed with the same volume of resuspended DCsN β particles (0.5 mg/mL). The absorbance
181 variation at 515 nm was monitored every 30 min using a Biotek Synergy HT detector. The radical
182 scavenging activity (RSA) was calculated using Equation (4):

183
$$RSA(\%) = [(A_0 - A_1)/A_0] \times 100 \quad (4)$$

184

185 where A_0 and A_1 correspond to the absorbance at 515 nm of the mixture in the absence or presence
186 of antioxidant, respectively.

187

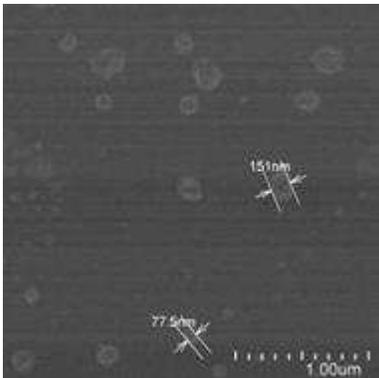
188 3. Results and discussion

189

190 3.1 Preparation and characterization of nanoparticles

191

192 Under the used conditions was possible to reproduce the production of chitosan nanoparticles
193 including β lg. The CsN β produced by ionotropic gelation have spherical shape as appear in the FE-
194 SEM image (Figure 1). Size measurements of individual particles were in the range of 87 to 295
195 nm. This is consistent with results found in literature for similar chitosan nanoparticles (Calvo et al.,
196 1997). According to the DLS measurements, the average hydrodynamic diameter of the CsN β was
197 90 nm (PDI = 0.26) with a ζ -potential of $+17 \pm 4$ mV. Previously reported chitosan nanoparticles
198 (CsN) have similar size (Caro León et al., 2017). Apparently, the presence of β lg, at the used
199 quantity, do not affect largely the size of the nanoparticles when are produced by the same
200 procedure and Cs characteristics. Conversely, there is a difference of ζ -potential, nanoparticles
201 without protein have reach higher positive value ($+27 \pm 4$ mV). The difference arise from the
202 anionic nature of β lg in neutral and acidic pH over its isoelectric point (5.2) (Chen & Subirade,
203 2005); in such conditions ionic interactions among chitosan and β lg or molecular re-arrangements at
204 the surface of the particle could be responsible of the reduction of the net surface charge of the
205 nanoparticles.



206

207 Figure 1. FE-SEM image of the CsN β , Magnification of 30,000X, SE detector, 1.5 kV.

208

209 The protein loading parameters are useful to evaluate the suitability of the nanoparticle production
210 process to incorporate β lg. In one hand, the association efficiency (AE) indicates the proportion the
211 initial protein is effectively incorporated in the nanoparticles. The AE of the CsN β was 74.2%. On

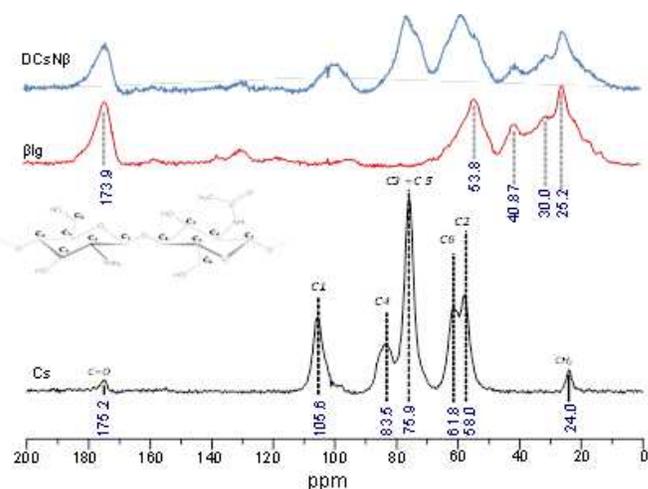
212 the other hand, the loading efficiency (LE) is the mass proportion of protein in the produced
213 material. For CsN β the LE was 37.1%. The loading parameters of the CsN β production reach high
214 marks compared with similar chitosan nanoparticles production reports (Chen & Subirade, 2005).
215 The obtained values were used as benchmarks to determine how affect the scCO₂ drying on the
216 final material. Apparently the scCO₂ drying do not caused significant loss of the incorporated
217 protein since the DCsN β have 70.1% of AE and 33.0% of LE. Several types of interactions (e.g.
218 electrostatic, hydrophobic, hydrogen bonding) can occur between chitosan and β lg molecules
219 leading to complexation that could be stable at different conditions (Ha, Kim, Lee, & Lee, 2013).
220 Accordingly, it can be argued that the solvents and conditions used in the scCO₂ drying process do
221 not promote the release of the β lg loaded in the chitosan nanoparticles.

222 Several analytical techniques were used in order to shed light on the influence of the presence of the
223 β lg and scCO₂ drying process on the structure of the DCsN β . The specific surface area was
224 measured by N₂ sorption method using the BET model. The DCsN β has a specific surface area of
225 12.10 m²/g, while DCsN has 10.76 m²/g. The N₂ adsorption isotherms of the dry nanoparticles
226 resemble the IUPAC classification type IV isotherm with hysteresis loop (Figure S1, supplementary
227 material). This behavior has been associated with capillary condensation into mesopores and the
228 limiting uptake over a range of high relative pressure (Sing, 2009). The effects of the scCO₂ drying
229 over the gel network structure of the nanoparticles have been associated mainly to the required
230 successive replacement of liquid phase, each with different solvation interaction with the chitosan
231 (Caro León et al., 2017). However the polymeric network structure disturbance is considerably
232 lower than in drying procedures based on solvent evaporation or sublimation. Accordingly, scCO₂
233 drying of polysaccharide gels normally produce mesoporous and extended specific surface area
234 materials (García-González, Alnaief, & Smirnova, 2011). The DCsN β and DCsN are slightly
235 different surface area; this could be related to the presence of β lg.

236 The CP/MAS ¹³C-NMR spectra of Cs, β lg and DCsN β are shown in Figure 2. The Cs spectrum
237 display bands at 57.6, 60.9, 76.1, 84 and 105.7 ppm that correspond to the carbon atoms of the
238 pyranose rings (C2, C6, C5+C3, C4, C1; respectively); the bands at 23 and 178 ppm are associated
239 to the methyl and carbonyl carbons of the acetyl group of the N-acetyl-glucosamine units,
240 respectively (Saito, Tabeta, & Ogawa, 1987). For The β lg, the peak at 173.9 ppm is attributed to
241 backbone carbonyls and the peaks at about 53.8 ppm corresponding to backbone α -CH carbons.
242 Also, the three other peaks between at 25.4, 40.2 and 301.1 ppm are attributed to aliphatic side-
243 chain carbons (Assifaoui et al., 2014; Fernandez, Reimer, & Denn, 1992). Has would be expected,
244 the DCsN β spectrum display features of both, Cs and β lg. It shows peaks corresponding to Cs C1
245 and C3+C5 with lower resolution. This has been related to anisotropic effects of amorphous
246 structures in the scCO₂ dried Cs nanoparticles (Caro León et al., 2017). Also, the DCsN β spectrum
247 show protein related peaks practically the same position, except for the one at 53.8 ppm that is
248 shifted to 58.3 ppm, presumably due conformational changes in the incorporated protein. The
249 observed bands in the DCsN β spectrum confirmed the presence of the protein in the material.

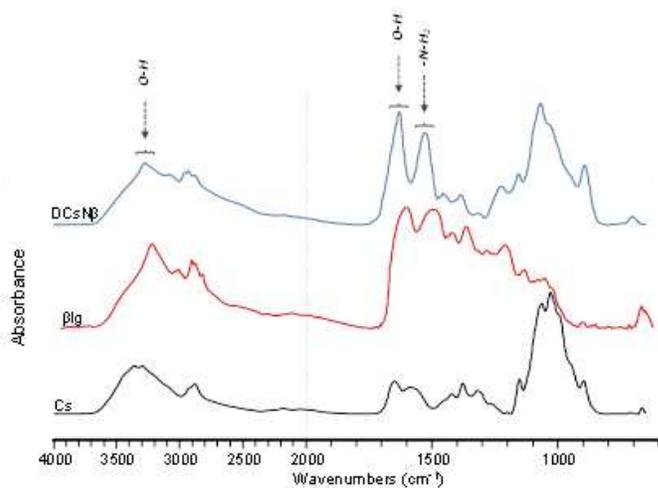
250 The ATR-FTIR spectra of Cs, β lg and DCsN β are included in Figure 3. For Cs, the main bands
251 observed are centered at 3355, 2875, 1650, 1584, 1417, 1375, 1316 cm⁻¹ and several overlapped
252 bands in the fingerprint region (1200-850 cm⁻¹). This coincides with the reference infrared
253 absorption pattern for Cs. For the β lg, The bands at 1623 cm⁻¹ and 1545 cm⁻¹ are attributed to amide

254 I (C=O bond stretching) and amide II (C—N bond stretching coupled with N—H bending mode),
 255 respectively (Brugnerotto et al., 2001). Several changes are observed in the DCsNβ spectrum: the
 256 bands corresponding to the O-H and N-H stretching vibrations (3350 and 2875 cm⁻¹, respectively)
 257 becomes broader, that indicates such bonds are involved in a larger variety of chemical
 258 environments including multiple hydrogen bonding induced by the ionic crosslinking between Cs
 259 and TPP. The band at 1632 cm⁻¹ is also broader which suggest an increasing of intermolecular
 260 interactions in the material (Takeshita & Yoda, 2015). The C=O and NH₂ vibrations bands (1650
 261 and 1584 cm⁻¹, respectively) are shifted to lower wavenumbers. The bands at 1218 cm⁻¹ and 892 cm⁻¹
 262 ¹ in the DCsNβ spectrum are attributed to P=O stretching vibration and P-O-P asymmetric
 263 stretching vibration, which also appear in the TPP spectrum (Walke et al., 2015). The bands
 264 corresponding amide I and amide II of βlg spectrum appear strongly in the DCsNβ at the same
 265 wavelength that indicates the presence of protein in the material.



266

267 Figure 2. CP/MAS ¹³C-NMR spectra of Cs (—), βlg (—) and DCsNβ (—).



268

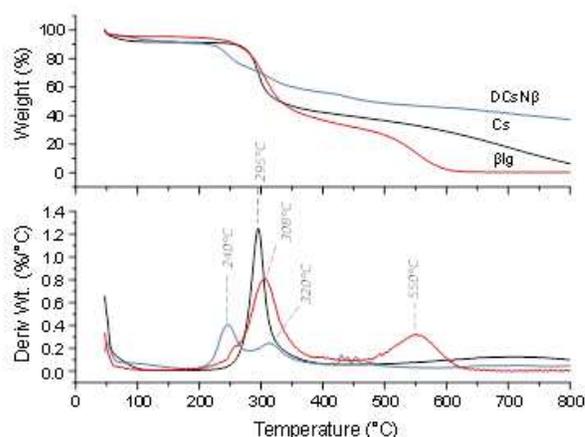
269

270 Figure 3. ATR-FTIR spectra of Cs (—), βlg (—) and DCsNβ (—)

271 To determine the thermal stability of the materials, thermogravimetric (TG) and differential
272 thermogravimetric (DTG) curves of Cs, β lg and DCsN β were obtained (Figure 4). Three main steps
273 are observed in the thermogram of Cs; the first one goes up to 130°C and is attributed to the
274 moisture evaporation and cause 7% of mass loss. The second step corresponds to the main
275 degradation of the polysaccharide, with 40% of weight loss associated and highest rate close to
276 300°C. This phase involves the dehydration of the saccharide rings, thermal depolymerization and
277 decomposition of the Cs units (Fernández-Quiroz et al., 2015; Peniche et al., 2007; Walke et al.,
278 2015). The last step, related to secondary thermolysis processes, occurs over 400°C leaving less
279 than 10% of residual mass. The TG curve of the β lg shows a first step of water loss similar to the Cs
280 curve. Also, a broad mass loss (around 48%) occurred around 320°C and it is attributed to the
281 polypeptide chain thermal decomposition of proteins (Duce et al., 2017). In addition, it has been
282 reported that TG curves of β lg in O₂ atmosphere decomposes into two steps at about 490°C (76%)
283 and 580°C (96%). The capacity of the β lg to form dimers, oligomers and aggregated species has
284 been described previously and the losses at 490°C (β lg) can be related to the decomposition of
285 aggregated portions of proteins, while the sharp mass loss above 550°C is related to the carbonizing
286 and ashing of the hard residues of the proteins (Duce et al., 2017).

287 The first weight loss stage of DCsN β is shorter than in Cs, which is attributed to less dense
288 structure. Furthermore, the thermal degradation of DCsN β starts at lower temperature than in Cs.
289 The stability of the untreated chitosan could be related with the presence of higher amount of
290 microcrystalline domains that give relative stability at elevated temperature. The char yield
291 (residual mass after pyrolysis) for Cs and DCsN β was 6 and 38% at 800°C, respectively.
292 Apparently the presence of TPP (inorganic matter) affects the outcome of the thermal reactions. In
293 general, the processes involved to produce DCsN β cause the start of disintegration at lower
294 temperatures that could be associated with higher relative rigidity of the internal structure in
295 DCsN β , due to multiple intermolecular interactions between the polymer and the protein.

296 The DTG of the Cs shown a maximum at 295°C which corresponds to the beginning of the
297 degradation of the polymer. For the β lg it has been reported three main peaks around 300°C, 490°C
298 and 583°C (Duce et al., 2017). In our study the DTG of β lg has two main peaks at 300 and 550°C.
299 These differences could be attributed due that the reported study was in an O₂ atmosphere while our
300 experiments were performed at N₂ that could reduce the pyrolysis effect. The DTG of DCsN β has a
301 maximum at 308°C similar to the β lg, however, the peak a 550°C did not appear and it has a new
302 peak at 240°C. This could be associated to reduction in the thermal stability of the DCsN β due the
303 specific interaction product between the Cs and the protein and also due the crosslinking of the
304 polymer.

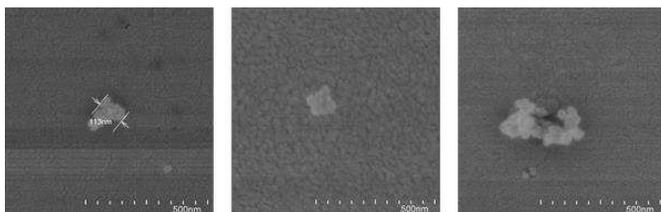


305

306 Figure 4. TGA and DTG curves of Cs (—), βlg (—) and DCsNβ (—).

307 XRD analysis was performed to determine the crystallinity of the DCsNβ (Figure S2). Cs shows
 308 diffraction peaks at $2\theta = 9.8^\circ$ and 20° corresponding to the equatorial (020) and (110) of the
 309 microcrystalline reflections of the polysaccharide (Sakurai, Shibano, Kimura, & Takahashi, 1985;
 310 Zhang, Xue, Xue, Gao, & Zhang, 2005). The main diffractive regions of the βlg were centered at 8°
 311 and 19° . For DCsNβ the signal have its higher values centred at 9° and 18° with notoriously lower
 312 intensity. The crystallinity index for Cs and DCsNβ was 59.5% and 33.2% respectively. This
 313 indicates the lower quantity ordered molecular structures in the βlg loaded nanoparticles. Similar
 314 results were reported for Cs gels dried with scCO₂ treatment (Takeshita & Yoda, 2015).

315 To explore the behaviour of the DCsNβ in aqueous suspension and evaluate the possible
 316 applications of this material, resuspension test in diluted acid (CH₃COOH 2% v/v, pH 3.1) and pure
 317 water were performed. When DCsNβ are stirred into acidic medium the particles were solvated and
 318 an opalescent suspension was obtained. However, the ionic crosslinks stands against the acid
 319 conditions (pH ~4) hindering the dissolution process of the Cs. As can be observed in the FE-SEM
 320 image (Figure 5) apparently the resuspended particles are formed by aggregated individual
 321 nanoparticles with spherical shape. A different outcome was observed in pure water where
 322 considerable portion of the material remains as visible particles at the bottom of the vessel.
 323 According to DLS measurements, the size of the resuspended DCsNβ in diluted acid was 333 nm
 324 (PDI= 0.43) and the ζ potential reaches a value of $+56 \pm 5$ mV. The increase of ζ potential compared
 325 with the CsNβ may be related with molecular re-arrangements induced by the protonation of
 326 available amino groups of polysaccharide chains in acidic environment. In such conditions, is
 327 expected that DCsNβ form stable colloidal suspensions; ζ potential values above +50 mV are
 328 associated to systems with good stability (Bhattacharjee, 2016).



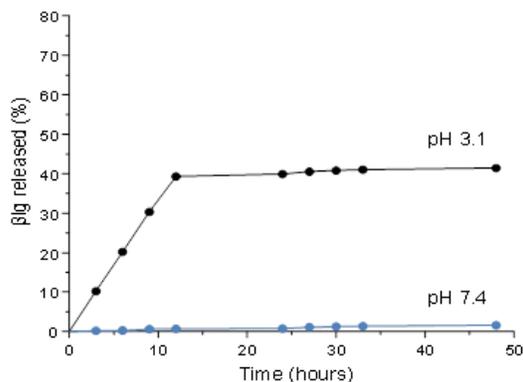
329

330 Figure 5. FE-SEM image of a resuspended DCsN β particle. Magnification of 150,000X; SE
331 detector, 1.5 kV.

332

333 According to the literature, the formation of β lg-Cs complexes is driven mainly by electrostatic
334 interactions (Guzey & McClements, 2006; A.-C. Lee & Hong, 2009; P. S. Lee, Yim, Choi, Van
335 Anh Ha, & Ko, 2012). Since the net ionic charge of β lg goes from negative to positive as the pH
336 decreases, the effect of the pH on the release of β lg from DCsN β was investigated. The Figure 6
337 shows the release profile of the protein from the polymeric matrix. At pH 7.4, the release of the
338 protein is practically nonexistent that could be attributed to the strong electrostatic interaction
339 between both components. Conversely, at pH 3.1 a burst like release is observed; up to 40% of the
340 protein is found in the suspension medium within the first 10 hours. However, although the pH is
341 not favorable for electrostatic interaction between β lg and Cs, most of the protein (~58%) remains
342 associated with the DCsN β at the end of the experiment (50 h). Several factors could play a role in
343 the observed behavior. For example, the presence of non-electrostatic interactions (e.g.
344 hydrophobic, H-bonds) stabilizing the formed β lg-Cs complexes, the lower solubility of β lg at such
345 pH and ionic strength or the formation of β lg aggregates that cannot leave the network structure of
346 the nanoparticles (Mounsey, O'Kennedy, Fenelon, & Brodkorb, 2008).

347 Circular dichroism is a useful spectroscopic technique to describe the secondary conformation of
348 proteins in terms of β -sheets, α -helical, β -strand and unordered structures content. The CD spectra
349 of β lg before incorporation and after released from DCsN β shown minimum value around 233 and
350 230 nm, respectively (Figure S3). The observed spectra type has been related to β -sheet structures
351 (Zheng, Liu, Zhu, Zheng, & Liu, 2016). The proportion of secondary conformation structures of the
352 β lg was 13.9% α -helix, 35.5% β -sheet, 28.3% β -turn and 22.3% unordered structures while for the
353 DCsN β released β lg was 10.1% α -helix, 30.3% β -sheet, 24.5% β -turn and 35.1% for unordered
354 structures. The reduction of ordered structures in the released protein could be result of the multiple
355 interactions with the Cs matrix and possible mild denaturation induced through the solvent
356 exchange of the drying process (Uversky, Narizhneva, Kirschstein, Winter, & Löber, 1997).



357

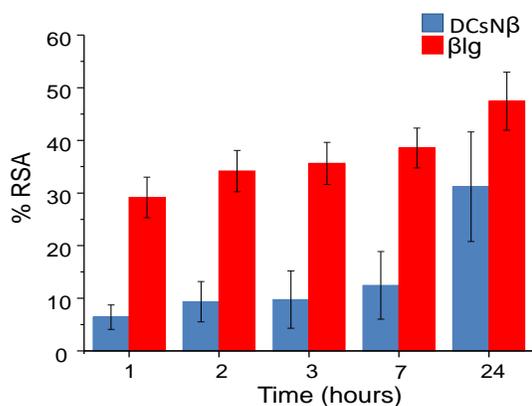
358 Figure 6. Release profile of the β lg from DCsN β at pH 3.1 (—) and 7.4 (—).

359

360 3.2 Evaluation of antioxidant activity

361

362 The antioxidant activity of the resuspended DCsN β and native β lg solution were analyzed by the
363 DPPH assay and the results are shown in the Figure 7. The study determines the reduction of active
364 DPPH free radicals by the reaction with the antioxidant compound. The native β lg solution has an
365 appreciable antioxidant activity confirming previous reports that increase with the time and
366 corresponds to 47% at 24 hours (Liu et al., 2007; Stanic-Vucinic et al., 2013). The resuspended
367 DCsN β has less antioxidant activity compared with the native protein; however, it reached 31% at
368 24 hours of reaction. The β lg is a milk protein that possesses antioxidant activity; however, its
369 contribution to total activity relative to the other milk proteins has not been completely established.
370 Using anti-lactoglobulin antibodies, it has been observed that β lg contributes approximately 50% of
371 the total activity. The exact antioxidant mechanism of the protein is remaining unknown, but some
372 has been proposed. For example, it has been estimated that the free radical scavenging is obtained
373 by the chelation between the amino acids residues and pro-oxidative transition metals (Liu et al.,
374 2007; Stanic-Vucinic et al., 2013). Other studies indicated that the Cys-121 of β lg is directly related
375 with the antioxidant effect due to its sulfhydryl groups capable of donating hydrogen (Allen &
376 Wrieden, 1982; Elias, McClements, & Decker, 2005). As we could demonstrate in the β lg release
377 section, around of 50 % of the protein remains linked to the DCsN β due the strong electrostatic
378 interaction between Cs and β lg. In the other hand, it was reported that Cs and its derivatives act as
379 antioxidants by scavenging oxygen radicals such as hydroxyl, superoxide, alkyl as well as highly
380 stable DPPH radicals tested in vitro. The mechanism of radical scavenging activity of Cs is
381 attributed to reaction between hydroxyl and amino groups (attached to C-2, C-3 and C-6 of the
382 pyranose ring) with unstable free radicals to produce stable macromolecule radicals (Younes &
383 Rinaudo, 2015). The results showed that the antioxidant capacity of the DCsN β could be attributed
384 to the synergic activity of the protein and the polysaccharide and its reduction (compared with the
385 protein solution) could be related with the availability of specific groups in the Cs or β lg due the
386 crosslinking and the solvent change. The results indicate that our materials could be used as an
387 interesting antioxidant device; however, more studies are needed to elucidate the antioxidant
388 mechanism.



389

390 Figure 7. Experimental radical scavenging activity (RSA) of DPPH in presence of β lg isolated from
391 the DCsN β and native β lg solution. Data represent mean \pm S.D. (n = 5).

392

393 **4. Conclusions**

394

395 In this work, dried gels based on chitosan nanoparticles incorporating β -lactoglobulin were
396 produced using ionotropic gelation and supercritical CO₂ drying methods. Spherical nanoparticles
397 were produced with hydrodynamic diameter of about 100 nm with positive surface charge in
398 aqueous suspension. The supercritical CO₂ drying process generates materials that appear the
399 accumulation of the individual nanoparticles but retaining interesting structural properties related to
400 aerogels, extended surface area and high porosity. The obtained DCsN β probe the possibility to
401 incorporate protein in scCO₂ dried chitosan nanoparticles. The physicochemical and thermal
402 characterization demonstrates the stability of these materials. The DCsN β have high protein loading
403 parameters can be resuspended in acidic media with partial release of the β lg. The antioxidant and
404 biocompatibility properties of the resuspended DCsN β suggest the possibility to apply them as a
405 nutraceutical and biomedical applications. Furthermore, the applied method could lead to produce
406 innovative carrier devices based on polysaccharides and other biopolymers.

407

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409

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414

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416

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