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1	LRH: Stride <i>et al.</i>
2	RRH: Fragmentation impacts on Borneo trees
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4	Contrasting patterns of local richness of seedlings, saplings and trees may have
5	implications for regeneration in rainforest remnants.
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20 Abstract

21 Remnants of lowland rainforest remain following deforestation, but the longer-term 22 effects of fragmentation remain poorly understood, partly due to the long generation 23 times of trees. We study rainforest trees in three size classes: seedlings (<1 cm dbh), saplings (1-5 cm dbh) and trees (>5 cm), that broadly reflect pre- and post-fragmentation 24 25 communities, and we examine the impacts of fragmentation on forest regeneration in 26 Sabah, Malaysian Borneo. We found that seedling richness (measured as the number of 27 genera per plot) in fragments was about 30 percent lower than in plots in undisturbed 28 forest, and about 20 percent lower than in an extensive tract of selectively logged forest, providing evidence of recruitment declines in fragments. Seedling richness was lowest in 29 30 small, isolated, and disturbed fragments, potentially signalling an extinction debt given that these fragmentation impacts were not observed in trees. Unlike seedlings, saplings 31 showed no declines in richness in fragments, suggesting that density dependent mortality 32 33 (where rare individuals have a higher survival rate) and/or year-to-year variation in which species are recruiting could potentially compensate for the reductions in seedling richness 34 35 we observed. Longer-term studies are required to determine whether sporadic or failed 36 recruitment in small fragments will eventually translate into reduced richness of mature trees, or whether the processes that currently retain high sapling richness will continue in 37 fragments. 38

39

40 Keywords

41 Biodiversity; Borneo; extinction debt; forest fragments; habitat fragmentation; natural

42 regeneration; oil palm landscape; tropical trees.

43 THE LONG-TERM EFFECTS OF HABITAT LOSS AND FRAGMENTATION on the floristic diversity of 44 vegetation remnants remain uncertain, predominantly because plants often have long generation times. For example, the species richness of plants in temperate grassland and 45 46 forest fragments has been found to reflect historic pre-fragmentation conditions rather than recent habitat availability (Krauss et al. 2010), implying century or longer extinction debts 47 48 (Tilman et al. 1994; Kuussaari et al. 2009). These extinction debts may be compounded by 49 similarly long colonisation lags (Diamond 1972), resulting in highly non-equilibrium 50 communities in fragments. Thus, the effects of forest fragmentation and isolation on forest-51 dependent animal communities, which have been measured on time scales of years to decades (e.g. Fitzherbert *et al.* 2008; Hill *et al.* 2011), may just be the beginning of more fundamental 52 53 changes in forest ecosystems, driven by the dynamics of long-lived and structurally important 54 plant components of terrestrial ecosystems.

55 Habitat loss has particularly detrimental effects on highly diverse tropical forests, 56 where the ecosystem biomass is primarily composed of (potentially) long-lived trees (Malhi et al. 2004). Deforestation in the tropics is driven primarily by agricultural expansion 57 58 (Henders et al. 2015), linked to increasing demand for food from a growing human 59 population (Koh & Wilcove 2008). Immediately following forest clearance of the 60 surrounding land, some species will die out immediately in the remaining fragments, and 61 other species will go extinct as a consequence of stochastic (meta) population dynamics 62 (Laurance 2008). Systematic changes in community composition will also occur within 63 fragments, given that species vary in their traits (Ewers & Didham 2006), and that reduced 64 forest area and increased edge habitat alter the abiotic conditions (Laurance 2000), potentially leading to reduced species richness and an increased abundance of disturbance-loving species 65 66 in fragments (Rutledge 2003). Fragment isolation is related to the amount of forest habitat 67 within the landscape surrounding a forest fragment, and is the inverse of connectivity.

68 Increasing fragment isolation has the potential to disrupt biological processes such as 69 pollination and seed dispersal, which could influence seedling recruitment (Aizen & 70 Feinsinger 1994; Cordeiro & Howe 2001). These effects are generally most severe in small 71 and isolated fragments (Haddad et al. 2015), but individual trees may persist for centuries without recruiting viable offspring, creating persistent extinction debts (Tilman et al. 1994; 72 73 Vellend et al. 2006). Thus, the eventual impacts of fragmentation are likely to be under-74 estimated in short-term studies (Wearn et al. 2012), but differences in the species richness of 75 pre- and post-fragmentation size classes of trees provide an initial assessment of emerging 76 patterns of diversity change.

77 To assess the likelihood that fragmentation effects will result in recruitment failure and extinction debt we examine the genus richness of seedlings, saplings and mature trees in 78 79 rainforest remnants. We evaluate whether tropical trees are continuing to recruit offspring 80 within forest fragments that were probably formed about 20 years ago on Borneo, in the 81 1990s during rapid development of oil palm (Elaeis guineensis Jacq.) plantations. Southeast 82 Asia is one of the most rapidly-changing landscapes globally and, on Borneo, oil palm 83 plantations have replaced much of the original forest cover in lowland areas (Sodhi et al. 84 2010). Lowland tropical rainforest in Southeast Asia is dominated by a single family, the Dipterocarpaceae, which form the majority of the standing biomass (Curran et al. 1999). 85 Throughout the aseasonal tropics, dipterocarps recruit seedlings almost exclusively via 86 87 synchronised mast fruiting on an irregular supra-annual basis of two to 10 years (Appanah 88 1993), triggered by the droughts and low night time temperatures of El Niño Southern 89 Oscillation (ENSO) events (Yasuda et al. 1999). The extent to which masting is disrupted by fragmentation is currently unknown, but given that fragments experience greater drought and 90 higher temperatures than continuous tracts of forest (Ewers & Banks-Leite 2013), it seems 91 92 likely that seed production and seedling survival will be affected. Understanding the impacts

of rainforest fragmentation is critical for conserving biodiversity, given that so much of the
remaining forest has been degraded by repeated logging (Reynolds *et al.* 2011), and
fragmentation (Haddad *et al.* 2015). Moreover, isolated fragments of forest are increasingly
important refuges for species in oil-palm dominated agricultural landscapes, making it
important to understand factors affecting their long-term persistence.

98 We examine fragmentation effects by studying the consequences for plant 99 communities within remaining rainforest patches following their insularisation. Our study 100 landscape has undergone extensive deforestation, so that remaining patches of natural forest 101 are surrounded by agricultural land. We enumerate plant richness within forest patches and 102 examine changes in local (plot-scale) plant communities with respect to fragment size, shape 103 and degree of isolation from other forest habitat. We compare genus richness of seedlings (<1 104 cm dbh and <1.5 m height), nearly all of which will have recruited after the forest fragments were isolated in the 1990s (Connell & Green, 2000), with the genus richness of forest trees. 105 106 Trees that were already established at the time of fragmentation will predominantly fall into our tree (>5 cm dbh) size class category, although some fast-growing stems will have 107 108 recruited since fragmentation. Thus, the tree size class provides us with an insight into pre-109 fragmentation communities, although there will have been some turnover. We also examine saplings (1 - 5 cm dbh), anticipating that this size class will comprise many post-110 fragmentation individuals, but also some pre-fragmentation individuals. We test the 111 112 hypothesis that seedling recruitment is reduced within plots in forest fragments, compared 113 with plots in continuous forest, and specifically that seedling and sapling genus richness is 114 reduced in plots in small, isolated and more disturbed fragments. In this way, we assess the 115 regeneration potential of forest fragments and whether there are extinction debts in tree 116 communities.

117 **METHODS**

118 STUDY REGION AND SITES.—The study was carried out in Sabah (Malaysian Borneo) during

119 April-August 2015, in lowland (< 500 m asl) dipterocarp rainforest. We compared plant

120 communities in 14 forest fragments with 5 sites in an extensive tract of more continuous

121 forest. The continuous forest sites were located within the Yayasan Sabah Forest

122 Management Area (YSFMA, ~1,000,000-ha), three sites within fully-protected primary forest

123 (Danum Valley Conservation Area), and two sites within twice-logged forest (Malua Forest

124 Reserve), which was selectively logged in the mid-1980s (~120 m³/ha timber extracted) and

again in 2005/6 (~35m³/ha timber extracted; Reynolds *et al.* 2011; Fig. S1).

The fragments of primary forest that we studied were protected as "Virgin Jungle 126 127 Reserves" (VJRs) in the 1950s for scientific research, were formally gazetted in 1984 and are 128 managed by the Sabah Forestry Department. These fragment VJRs make up ~1.2 percent of Sabah's land area but represent nearly 20 percent of the reserve area in which logging is 129 130 prohibited (McMorrow & Talip 2001). These fragment sites were not commercially logged prior to formation, but most have subsequently experienced low levels of disturbance from 131 132 human encroachment (pers. obs.). The 14 fragments range in size from 40 to 120,000-ha (Fig. 133 S1; Table S1), and were probably formed at least 20 years ago, during the rapid development of oil palm cultivation in the region in the 1990s (Reynolds et al. 2011). Sites were >2 km 134 apart and fragments were surrounded by mature oil palm plantations at the time of study. 135

FLORISTIC SURVEYS.—A 1 km linear transect was set up at each of the 19 sites. Each transect
comprised five plots spaced 160 m apart along the transect (Fig. S1), with the exception of
site #2 (44-ha; Table S1), where only three plots were possible due to its small size. Transects
in fragments started 100 m from the forest edge to avoid the main edge effects (Ewers &
Didham 2006), and were angled towards the fragment centre. Each plot was 20 x 40 m, and

was sampled following a modified Gentry protocol, using a series of subplots to sample
different size classes (Gentry 1982; Fig. S1). Hence our approach was to sample with equal
effort at each site (rather than in proportion to site area), and our analyses compare local
(plot-scale) genus richness among sites.

145 A nested design was used to ensure adequate sampling of different tree size classes 146 (seedlings, saplings, trees), because a larger sampling area was required for trees and we did 147 not want to over-sample saplings and seedlings. Seedlings were defined as non-climbing 148 woody plants <1.5 m in height and <1 cm dbh, and were measured and identified in four 2 m 149 x 2 m sub- plots distributed evenly within each plot (Fig. S1). All seedlings are expected to 150 have been recruited since fragmentation during mast fruiting events (e.g. 1997/98 and 151 2015/16 were particularly strong global ENSO events (Curran et al. 1999; Cpc.ncep.noaa.gov 152 2017). Saplings were defined as non-climbing woody plants 1–5 cm dbh, and they were enumerated and identified in four 5 m x 5 m subplots within each plot (Fig. S1). Trees >5 cm 153 154 dbh were divided into two groups: those 5–30 cm dbh were sampled in one 5 m x 40 m strip within each plot, and trees >30 cm dbh were enumerated in the full 20×40 m plot (Fig. S1). 155 156 It is difficult to estimate the age of individual trees due to high levels of inter- and intra-157 specific variation in growth rates, depending on life-history strategy and resource availability (especially light). Therefore we defined size classes to represent individuals that 158 predominantly germinated prior to fragment formation ("trees"), after fragment formation 159 160 ("seedlings"), and an intermediate group ("saplings") which contains many individuals 161 germinating after fragment formation, but also includes individuals germinating prior to 162 fragmentation. Our analyses focus on comparing the genus richness of each size class 163 (seedlings, sapling, trees) separately among sites. We also computed plot-level plant diversity using Simpson's index (supplementary material), which confirmed that our results were not 164 confounded by variation in stem density across sites (Fig. S4; Table S5), and so we only 165

include analyses of genus richness in the main text. We also performed an individual stembased rarefaction analysis of genus richness (see Text S1), by combining data from all plots
at a site (excluding Site 2 with only three plots), which supported our overall conclusions,
and again confirmed that our main findings were not affected by variation in stem density.

Plant identification was carried out in the field where possible and confirmed by the botanist at Danum Valley Field Centre, based on leaf samples and photographs taken in the field. All but six individuals were named to species or genus level, and unidentified individuals (representing 0.06% of stems) were removed from the analysis. We carry out all analyses at genus level given the complexities of plant identification. However, we also repeated our analyses at species level, and results were qualitatively the same (Fig. S2, Table S2) implying that finer resolution identification would not have altered our conclusions.

SITE AND PLOT CHARACTERISTICS.-In order to relate our findings on plant genus richness to 177 178 attributes of the sites where data were collected, we recorded the following site 179 characteristics. We measured fragment area and perimeter using ArcMap 10.0 and R v. 3.2.2, after tracing the outline of each fragment from Google Earth satellite imagery. Fragment 180 shape was calculated from the area (m^2) and perimeter (m), where a value of 1 indicates a 181 circular fragment, and values approaching a maximum of 5 indicate a highly convoluted 182 183 shape (Arroyo-Rodríguez & Mandujano 2006; see Text S2 for equation). The connectivity 184 (i.e. inverse of isolation) of each fragment was calculated from forest land cover data 185 (Miettinen et al. 2012) at a grid cell resolution of 250 m to identify the size of, and distance 186 to, all forest patches within a 5 km radius of each site (McGarigal & Marks 1995; for equation, see Text S3). A connectivity value of 0 for a fragment indicates an absence of 187 patches of forest in the surrounding landscape, and increasing connectivity values indicate a 188 189 greater total area of forest cover in the surrounding landscape. This metric represents the

degree of isolation of a site by taking into account the amount of forest habitat within the
buffer, and weights it by area and proximity. We tested the sensitivity of our findings to
different buffer widths, using buffer distances of 1 – 10 km, representing a range of seed and
pollinator dispersal distances (Table S6). However, our results were not affected by different
buffer widths and so we only report data for connectivity values based on 5 km buffer in the
main text.

196 In order to characterise the forest in each of the plots within each of the 19 sites (93 197 plots in total), we measured the following variables: temperature and light intensity (mean 198 values (°C and lux) from two Hobo® loggers placed 1.8 m from the ground within each plot, measured between 10am and 4pm over 2-3 days); photosynthetically active radiation (mean 199 200 of four measurements taken within each seedling sub-plot (four sub-plots per plot) using a 201 Skye Light Meter for Growers Limited); leaf litter depth (mean of four measurements using a steel ruler); canopy cover (taken in the centre of the plot using a densiometer). We also 202 203 counted the number of lianas rooted within seedling sub-plots (Fig. S1). Many of these predictor variables were correlated with one another, and so we used principal components 204 205 analysis (PCA) to reduce these six variables to a smaller number of independent factors, and 206 the first principal component of this PCA was used in our analyses of seedling, sapling, and tree genus richness as an index of forest disturbance at sites. 207

DATA ANALYSES.—In order to test the hypothesis that tree recruitment is impaired in forest fragments compared with continuous forest sites, we first calculated the number of plant genera per plot, separately for seedlings, saplings and trees (Fig. 2), and compared genus richness in fragments, logged continuous forest, and primary continuous forest sites using one-way ANOVAs, followed by post-hoc Tukey HSD tests. 213 In order to test the hypothesis that seedling and sapling genus richness is lowest in 214 small, isolated and most disturbed fragments, we carried out three general linear mixed 215 effects models (GLMMs) to evaluate seedling, sapling and tree genus richness (response 216 variable = number of genera per plot) in relation to four site attributes: size, shape, 217 connectivity, and disturbance (PCA factor score; PC1). We gave sites in continuous forest a 218 notional area of 800,000-ha (the area of the Yayasan Sabah Forest Reserve; Reynolds et al. 2011), and values of 1 for shape and 17,000,000 for connectivity so that these five sites could 219 220 be included in our analyses. We carried out Poisson GLMMs with a log-link function, 221 including 2-way interactions between the four predictor site attributes (with data analysed at plot-level; 93 data points), and site identity was included as a random effect to account for 222 223 non-independence of plots within sites. Area was log10-transformed and connectivity was 224 cube-root transformed to reduce skew in the data, and all four predictor variables were scaled 225 to have a mean of 0 and variance of 1 prior to analysis, to aid comparison of their relative 226 importance on genus richness. The top models were identified using an AIC approach 227 (Burnham & Anderson 2002), where delta AICc <2 of the best model were included in the 228 top models, and model averaging was then used to find the importance of each variable and 229 determine their relative effect sizes: effect sizes whose confidence intervals (CIs) did not 230 cross zero were assumed to be significant predictors of plant richness. All analyses were performed using the R package vegan (Oksanen et al. 2011) in R v. 3.2.2 (R Development 231 232 Core Team 2015).

233 **RESULTS**

Across the 93 plots at 19 sites, we surveyed 9608 individual plants, representing 222 genera and 76 families. As is typical for this region, the Dipterocarpaceae family was dominant across all size classes, and 27 percent of all individuals were represented by this family. The most numerous genus was *Shorea* (Dipterocarpaceae), which formed 30 percent of individual
seedlings, 4 percent of saplings, and 8 percent of trees. The frequency distributions of stem
sizes across the three forest types were similar, although only primary forest contained
individuals over 125 cm dbh (Fig. 1).

The first principal component (PC1), constructed from six variables describing site and plot characteristics, accounted for 32.5 percent of the overall variation, and increased with increasing light, temperature, and a more open canopy (Fig. S3A). Hence we conclude that PC1 is a measure of forest disturbance, and PC1 scores were negatively correlated with site area and connectivity (Fig. S3B), indicating that forest disturbance is greater in small and isolated forest fragments.

247 VARIATION IN PLANT GENUS RICHNESS AMONG STUDY SITES.—Seedling genus richness was much lower in plots in fragments compared with plots in primary and logged forest (one-way 248 ANOVA of genus richness by forest type; $F_{(2.90)} = 8.55$, p < 0.001), but there was no 249 250 significant difference between seedling genus richness in primary and logged forest. 251 Fragment seedling genus richness (N = 14 sites; mean = 7.3 genera per plot ± 0.3 SE) was 29 252 percent lower than in primary continuous forest (N = 3 sites, mean = 10.3 genera per plot \pm 253 0.7 SE), and 20 percent lower than in logged continuous forest (N = 2 sites, mean = 9.1 genera per plot \pm 1.2 SE; Fig. 2). However, there was little impact of fragmentation on genus 254 255 richness of either saplings ($F_{(2.90)} = 2.36$, p = 0.10; fragments, mean richness = 16.9 genera 256 per plot ± 0.7 SE; logged forest, mean = 20.5 ± 2.0 ; primary forest, mean = 15.9 genera per plot \pm 1.0) or trees (F_(2.90) = 0.82, p = 0.44; fragments, mean = 16.8 genera per plot \pm 0.5 SE; 257 258 logged forest, mean = 18.6 genera per plot \pm 1.6; primary forest, mean = 16.5 genera per plot 259 ± 0.8). Thus we conclude that forest fragmentation reduces local seedling genus richness but 260 has no impact on the genus richness of saplings or trees.

261 EFFECTS OF SITE CHARACTERISTICS ON PLANT RICHNESS.—Outputs from GLMMs revealed 262 that seedling genus richness was positively associated with site area, and there was an 263 indication that connectivity, and forest disturbance of sites were also important (Fig. 3). Site 264 area was the most important variable in the best model (Table 1), and seedling genus richness was particularly low in small, isolated, highly disturbed sites. Our rarefaction analyses of 265 266 seedling data found similar trends (Fig. S5, see Text S1 for further discussion). In contrast to data for seedlings, and even though we assumed that saplings were predominantly produced 267 268 after fragmentation, there was no effect of site area (or any other predictor variable) on 269 sapling genus richness. This result was robust to varying the stem diameter threshold for our 270 sapling size class (Table S7), and to the removal of high wood density, slow-growing genera (> 0.75 g/cm³; Table S8). Additionally, results were qualitatively similar when we split our 271 272 data set according to the median wood density of all genera we encountered in our study (0.55 g/cm^3) , indicating that differences in plant growth rates are not having major impacts on 273 274 our designation of post- and pre-fragmentation individuals, or our overall findings (Table S9, 275 see Text S6 for further discussion). We assumed that trees were representative of prefragmentation communities and as predicted, tree genus richness did not vary according to 276 277 site area. Tree genus richness did however vary according to levels of forest disturbance at 278 sites (PC1 score), indicating that sites with more open canopies, higher temperatures and higher light environments contained fewer tree genera. 279

280 DISCUSSION

Forest fragmentation significantly reduced the local genus richness of tree seedlings. On
average, only 4.2 seedling genera (± 0.97 SE) were found per 16 m² plot in the smallest
fragment (39.6 ha), compared to 11.8 seedling genera (± 0.86) per plot in a primary forest site
in continuous forest. The absence of any reduced genus richness in trees may be indicative of

an extinction debt in small fragments, although our failure to find any reduction in sapling
genus richness may suggest that there are compensatory processes in operation (see below).

287 NO EFFECTS OF FRAGMENTATION ON TREE GENUS RICHNESS.— Given the age of our fragments, we assume that our tree size class would have mostly germinated prior to fragmentation, and 288 289 that the genus richness of these trees broadly represents the 'pre-isolation' condition of the 290 forest. Although we did not detect direct effects of fragment size or isolation on our tree size 291 class, we did find indirect effects because reduced genus richness was associated with 292 disturbance, and fragments generally contained more disturbed forest; Fig. S3B). Hence trees 293 were affected by local forest disturbance rather than by the direct effects of fragmentation in 294 reducing site area and isolation.

295 REDUCED LOCAL GENUS RICHNESS OF SEEDLINGS IN FRAGMENTS.— The reduced number of 296 seedling genera per plot in (small) forest fragments, compared to continuous forest, could 297 arise from multiple processes relating both to the biological and physical conditions of the 298 fragments. Forest fragments experience a change in physical (micro)climatic conditions 299 associated with increased disturbance and edge effects, particularly through greater wind 300 disturbance and elevated desiccation (Laurance 2004). This can alter the cues linked to the 301 initiation of (sporadic) mass flowering (Curran et al. 1999) and may hamper the physiological ability of trees to support fruit development, the germination of seeds, and affect the 302 303 establishment and survival of seedlings (Delissio & Primack 2003). Fragmentation may also 304 lead to the loss of pollinators (Aizen & Feinsinger 1994) and change patterns of seed predation and dispersal (Cordeiro & Howe 2001). Self-incompatibility mechanisms in 305 306 tropical trees may lead to reproductive failure among species with small numbers of 307 individuals surviving per fragment (Ghazoul et al. 1998; Naito et al. 2008). Given that 308 different species of trees will flower and fruit in response to different physical cues, and

exhibit different interactions with specialised and generalist natural enemies, it is likely that a
combination of processes may reduce the 'post-isolation' genus richness of seedlings in forest
fragments. In addition, our rarefaction analyses, that account for differences in seedling stem
density, also found a trend of decreasing numbers of seedling genera in smaller fragments,
and so it seems likely that regeneration processes are being disrupted in fragments.

314 LONG-TERM VIABILITY OF FRAGMENTS AND CONFLICTING RESULTS FROM SAPLINGS .- As with 315 seedlings, we expected a high proportion of saplings to have germinated subsequent to 316 fragmentation, yet we did not find any effects of fragmentation on saplings, despite finding 317 an effect on seedlings. Isolation of our Virgin Jungle Reserve sites probably occurred at least 318 20 years before our study. Certain shade tolerant species are able to persist in seedling banks 319 in the forest understory for a number of years (Brown & Whitmore 1992), and it is likely that 320 our sapling size class comprises a mixture of individuals that germinated both pre- and postfragmentation (Delissio et al. 2002). Nonetheless, a portion of saplings will have recruited 321 322 post-fragmentation, and hence we expected (but did not observe), some effects of fragmentation on sapling genus richness. There are a number of possible explanations for this 323 324 disparity between seedlings and saplings, which could result from a combination of the 325 following: 1) more sporadic recruitment of seedlings in small fragments than in continuous 326 forest; 2) increased density-dependent survival of the rarest seedlings; and 3) delayed (lagged) impacts of fragmentation on seedling recruitment failure. For example, if different 327 328 species are recruited in different years in fragments (more so than in continuous forest) owing 329 to disruption of flowering or reduced pollination in fragments, then there will be lower 330 richness in any single seedling size class. However, the sapling size classes in the same plots 331 are likely to include a wider age range of individuals than seedlings, and hence represent the survivors from several seedling size classes. The impact of recruiting different species in 332 333 different years could be further enhanced if density-dependent mortality of conspecifics takes

334 place, whereby rare species have a higher survival rate (LaManna et al. 2017). Survival of 335 low density species may be elevated in fragments if herbivores and pathogens specialising on 336 rare species become extinct from small areas of forest (Arnold & Asquith 2002). If sporadic 337 recruitment and density-dependent processes allow sapling genus richness to be maintained, 338 then fragmentation may not necessarily have longer-term detrimental impacts on plant 339 richness. However, high sapling genus richness may reflect time lags between fragmentation 340 and reduced seedling recruitment, such that surveys immediately post-fragmentation would 341 not have detected any changes in seedling genus richness. If the first two processes are 342 operating, then local (plot scale) genus richness of saplings and mature trees may not inevitably decline over time in small fragments, despite the reduction in seedling genus 343 344 richness at any one time. Nonetheless, even if local plot scale genus richness is maintained, 345 some (mainly rare) species and genera are still likely to be lost from small fragments. These 346 explanations for the findings we report deserve further research, given that they lead to quite 347 different expectations about future diversity changes in forest fragments.

CONCLUSIONS.—Small, isolated and disturbed forest fragments have lower local seedling 348 349 genus richness but similar levels of sapling and tree genus richness, compared with 350 continuous forest. Whether fragmentation will lead to long-term reductions in the plant 351 diversity of fragments is not yet clear, given that genus richness is apparently maintained in the sapling size class post-fragmentation. The longevity of trees extends the window for 352 353 conservation action (Wearn et al. 2012), and episodic recruitment, density dependence and 354 lagged effects of fragmentation may buy more time still. The fact that recruitment patterns 355 differ between fragments and continuous forest sites implies that there will be divergence in 356 the plant communities of these areas, but it is too early to conclude that fragments will have reduced diversity in the long term. Hence, local tree genus richness may be maintained in 357 358 fragments, regional habitat specialists may only survive in small fragments if continuous

359	forest no longer exists elsewhere, and small fragments may increasingly support unique
360	biological communities. Thus, rainforest remnants may have inherent conservation value.
361	Author contributions
362	GS, JKH, and CDT conceived and designed the study; GS and AJ collected field data; GS led
363	data analysis and wrote the first draft of the manuscript, with substantial contributions from
364	JKH and CDT to all further revisions. SB, JH and MJMS also contributed to revisions. All
365	authors gave final approval for publication.
366	Competing interests
367	The authors declare they have no competing interests.
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372	(doi:10.5061/dryad.3jt6kh3).
373	
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495 **TABLES**

496 TABLE 1. Top ranked GLMMs of factors affecting tree, sapling and seedling richness in 93 497 plots nested within 19 sites. Candidate models are ranked according to the Akaike's 498 information criterion (AICc). Corresponding degrees of freedom (df), log-likelihood 499 estimation (logLik), difference between AICc and lowest AICc value (Δ_i) in model set, 500 Akaike weight (w_i: the probability that each model is the best approximating model), and 501 marginal (R^2m) and conditional (R^2c) r-squared values are presented. Only models with $\Delta_i < 2$ 502 were included in the averaged top models.

Response								
variable	Candidate models	df	logLik	AICc	Δ_{i}	Wi	R^2m	R^2c
Seedling	Area	3	-222.38	451	-	0.55	0.15	0.19
richness	Area + Connectivity	4	-222.11	452.7	1.65	0.24	0.16	0.19
	Area + Disturbance	4	-222.28	453	1.99	0.20	0.15	0.19
Sapling	-	2	-285.96	576	-	0.42	0	0.16
richness	Disturbance	3	-285.46	577.2	1.14	0.24	0.02	0.16
	Shape	3	-285.76	577.8	1.75	0.18	0.01	0.16
	Connectivity	3	-285.80	577.9	1.82	0.17	0.01	0.16
Tree	Disturbance	3	-265.77	537.8	-	0.51	0.05	0.10
richness	Disturbance + Shape	4	-265.26	539	1.17	0.28	0.07	0.10
	-	2	-267.70	539.5	1.72	0.21	0	0.10

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504

506 FIGURE LEGENDS

FIGURE 1. Overall frequency distribution of sapling (left) and tree (right) stem sizes in (A)
forest fragments (brown bars, n = 68 plots), (B) logged forest (orange bars, n = 10 plots), and
(C) primary forest (yellow bars, n = 15 plots) sites.

FIGURE 2. Effect of fragmentation on size class richness in primary, logged, and fragmented forest sites. Mean of standardised plant genus richness values (\pm SE) of size classes in plots located in primary continuous forest (yellow bars; n = 15), previously logged continuous forest (orange bars; n = 10), and forest fragments (brown bars; n = 68). ANOVA of plant richness by forest type: p ≤ 0.001 = ***.

515 FIGURE 3. Effect of site characteristics on size class richness. A. Effect size of variables included in averaged models. Effect sizes whose 95% confidence intervals did not cross zero 516 517 were assumed to be significant, and are shaded in grey. B. Impact of area, connectivity, fragment shape, and forest disturbance (principal component) on richness of trees, saplings, 518 519 and seedlings. Brown symbols (fragments), orange symbols (logged forest) and yellow 520 symbols (primary forest) show genus richness values of plots, and hollow circles are site means with standard error. Black line is fitted for variables where the slope was significantly 521 different from zero in averaged top models; dotted line is fitted where variable was included 522 523 in averaged top models but was not significant.

524



FIGURE 1.



FIGURE 2.





531 FIGURE 3.

532 Supporting Information

533

- 534 Contrasting patterns of local richness of seedlings, saplings and trees may have
- 535 implications for regeneration in rainforest remnants.
- 536 Gail Stride, Chris D. Thomas, Suzan Benedick, Jenny A. Hodgson, Ahmad Jelling, Mike J.M.
- 537 Senior, Jane K. Hill
- 538





546 b = saplings 1-5 cm dbh (4 x (5 x 5 m)), c = trees 5 – 30 cm dbh (5 x 40 m), d = trees >30 cm

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551 Figure S2. Correlation between number of species and number of genera of (A) seedlings,

(B) saplings, and (C) trees identified in each plot (n = 93 plots). Black line is fitted using

553 generalized linear regression, and R^2 value is adjusted R^2 .

554



555

Figure S3. A) Variable scores in the first axis of a Principal Components Analysis

557 characterising forest disturbance, accounting for 32.5% of the variation (among plots) in the

558	data. Scores increased with higher light and PAR, higher temperature, and lower canopy
559	cover, which we interpreted as being indicative of a higher level of disturbance. B) Matrix of
560	Pearson correlation coefficients calculated between key variables, with 95% confidence
561	intervals: positive correlations are indicated in blue, negative correlations in red. Non-
562	significant correlations indicated by red cross.
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572	Influence of stem density on genus richness



Figure S4. Variation in stem density of (A) seedling, (B) sapling, and (C) tree size classes
with site area. Light grey circle (fragments), darker grey triangles (logged forest) and dark
grey diamond (primary forest) show number of stems per plot, and black symbols are site

577 means with standard error. There was no significant relationships between stem density and578 either area, connectivity, or shape (univariate GLMMs).

579

580 Text S1 Rarefaction analysis

581 Our sampling strategy and main findings pertain to analysis of plot-level local richness. We 582 carried out several analyses to explore whether variation in stem density might affect our findings about fragmentation effects. Given that we only found significant effects of site 583 characteristics for seedlings, we only carried out rarefaction analyses for seedling data. 584 585 However, there were too few stems per plot for a meaningful rarefaction analysis (< 10 stems 586 per plot in many plots), and so we carried out rarefaction analysis at site-level. We combined 587 plot-level data within each site and removed Site #2 (Sapi A; which only had three plots), 588 rarefied each sample to 50 stems, and regressed these values against each predictor variable. 589 We show trend lines for area, connectivity, and disturbance, as these variables were important in top models of seedling genus richness. Although no univariate regressions were 590 591 significant, the same trends that were seen in the analysis in the main text were evident (Figure S5). These findings support our results in the main text (Figures 2 and 3) that 592 593 seedling genus richness was lower in smaller and more disturbed forest fragments.



594

Figure S5. Rarefied seedling genus richness plotted against site characteristic predictor
variables: (A) area (log10 ha), (B) connectivity, (C) shape, and (D) disturbance. Fitted lines
are shown where the relationship was important in top models of seedling genus richness in
the main text.

 $\mathbf{605} \qquad = \mathbf{p_{ij}} / 2\sqrt{\mathbf{a_{ij}} \, \pi}$

606 $a_{ij} = a_{ij}$	area (m^2)	of patch _{ij}
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 p_{ij} = perimeter (m) of patch_{ij}

609 Shape = 1 when the patch is circular, and approaches a maximum of 5 when perimeter is very
610 convoluted (Forman & Godron, 1986).

Text S3. Equation used to calculate the connectivity of each site.

$$=\sum_{s=1}^{n}\frac{a_{ijs}}{h_{ijs}^{2}}$$

 $a_{ijs} = Area (m^2) of patch_{ijs}$ within specified neighbourhood (m)* of patch_{ij}

 h_{ijs} = Edge-to-edge distance between patch_{ijs} and focal patch_{ij}

619 Connectivity = 0 when there are no neighbouring patches of forest within specified

620 neighbourhood*, and increases as forest patches become closer, larger and less fragmented.

- 621 *1 10 km

Table S1. Summary information for study sites, providing information on location, size,

627 shape and connectivity of each site. FF = forest fragment; LF = twice-logged continuous

628 forest; PF = primary continuous forest.

				Area	Shape			
	Site #	Site name	Туре	(ha) ^a	Index [®]	Connectivity	Latitude	Longitude
		Pin Supu	FF FF	39.58 12.95	1.37	2021014.2	5.40	117.95
	2	Sapi A	FF FF	43.85	1.09	686479.5	5.70	117.40
	3	Labuk Rd	FF FF	121.5	1.34	10652909.4	5.89	117.93
	4	Materis	FF FF	245.0	1.19	14388115.8	5.51	118.02
	5	Keruak	FF FF	307.2	1.43	1/40.0	5.51	118.29
	6	SapiC	FF FF	419.2	1.48	62003.4	5.72	11/.41
	7	Kunak	FF	512.3	1.80	30/1//4.1	4.66	118.15
	8	USP	FF FF	094./ 2060	1.52	558054.8	5.66	11/.2/
	9	Kalumpang	FF	2069	2.30	248812.5	4.58	118.26
	10	Luangmanis B	FF	24/3	1.23	4936762.4	5.65	117.73
	11	Madai	FF	3015	1.52	0.2	4.74	118.13
	12	Luangmanis A	FF	3228	1.89	395896.2	5.72	117.69
	13	Sepilok	FF	6441	2.21	373502.0	5.86	117.94
	14	Tabin	FF	123000	1.82	11321427.8	5.21	118.50
	15	Malua A	LF	1000000	1.00	1700000	5.10	117.67
	16	Malua B	LF	1000000	1.00	1700000	5.12	117.67
	17	BRL	PF	1000000	1.00	1700000	5.03	117.75
	18	Danum	PF	1000000	1.00	1700000	4.97	117.79
	19	Tembaling	PF	1000000	1.00	1700000	4.95	117.81
630 631	^a Fragme	ent area calculated	l using C	Google Eart	h imagery;	continuous forest	sites assign	ned a
632	value of	800,000 ha, the a	rea of th	he YSFMA				
633	^b Shape i	ndex calculated a	s in Arr	oyo-Rodrig	uez et al., 2	006 (see Text S1	for further	details)
634	^c Connec	ctivity (5km buffe	r) calcul	lated as in F	FRAGSTAT	rs (McGarigal &	Marks, 199	5; see
635	Text S2	for further details	s)					
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637								
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639								
640	Table S	2. Model-average	d coeffi	cients of va	riables used	d to predict specie	es richness o	of
641	seedling	, sapling, and tree	e size cla	asses in 93	plots nested	l within 19 sites. I	Parameters a	are
642	derived	from GLMMs wi	th site ic	dentity fitte	d as a rando	om effect; adjuste	d standard e	errors

643 (SE), 95% lower and upper confidence intervals (CI), and the relative importance (RI) of

Response variable	Predictor	β	SE	Lower CI	Upper CI	RI
Seedling species	Intercept	2.15	0.04	2.07	2.23	
richness	Area	0.38	0.09	0.20	0.57	1.00
	Connectivity	-0.06	0.11	-0.28	0.15	0.29
Sapling species	Intercept	2.93	0.04	2.85	3.02	
richness	Disturbance	-0.11	0.09	-0.28	0.06	0.30
	Shape	-0.05	0.09	-0.23	0.12	0.16
	Connectivity	-0.04	0.09	-0.21	0.14	0.15
Tree species	Intercept	2.94	0.03	2.88	2.99	
richness	Disturbance	-0.13	0.06	-0.25	-0.02	1.00
	Shape	-0.10	0.06	-0.22	0.02	0.70
	Disturbance:Shape	-0.19	0.19	-0.55	0.18	0.16
	Connectivity	-0.06	0.07	-0.20	0.07	0.14
	Area	-0.05	0.06	-0.17	0.08	0.12

644 each variable are presented. Significant predictors are highlighted in bold.

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Table S3. Mean number of species, genera, and families in each size class, and total number

of stems, with standard errors (SE), per site (average of 3-5 plots per site). Sites are

arranged in order of increasing area (Table S1).

Site #	Size Class	Species (±SE)	Genera (±SE)	Families (±SE)	Abundance (±SE)
1	Seedlings	4.2 (0.97)	4.2(0.97)	3.8(0.86)	66(42.91)
2	Seedlings	8.33 (0.67)	7.33(0.33)	6.67(0.33)	10.33 (0.88)
3	Seedlings	7(0.84)	6.2(1.07)	5.2(0.80)	43 (14.40)
4	Seedlings	6.8(1.20)	6.8(1.20)	6(1.14)	22.4(2.93)
5	Seedlings	9.4(0.51)	9(0.63)	7.2(0.20)	40(15.72)
6	Seedlings	7.4(0.75)	7.2(0.58)	6.4(0.40)	15.8(4.19)
7	Seedlings	11.4(1.03)	10.8(1.07)	10(1.14)	32.8 (8.10)
8	Seedlings	6.4(0.93)	5.6(0.51)	4.8(0.20)	37.2 (8.14)
9	Seedlings	6.8(0.73)	6.6(0.68)	5.2(0.73)	19.8 (5.44)
10	Seedlings	7.4(0.87)	6.8(0.73)	6(0.63)	29.8(7.01)
11	Seedlings	9(0.71)	8.4(0.75)	7.6(0.40)	34 (4.93)
12	Seedlings	7.6(0.87)	7(0.63)	6.4(0.68)	45.2(10.46)
13	Seedlings	9.4(1.25)	8.6(1.29)	6.2(1.24)	139.8(67.09)
14	Seedlings	8(2.28)	7.8(2.08)	6.4(1.03)	18.8(4.53)
15	Seedlings	9.2(1.93)	8.2(1.66)	6.4(1.21)	40(19.75)

16	Seedlings	11.2(1.98)	10(1.70)	7.8(1.16)	20(2.12)
17	Seedlings	12.8(1.02)	11.8(0.86)	9.6(0.75)	41.8(5.12)
18	Seedlings	12.8(1.11)	9.6(1.21)	8.2(1.20)	44.8(8.39)
19	Seedlings	11.6(1.83)	9.6(1.50)	7.61.21)	43.6(5.84)
1	Saplings	16.4 (2.38)	15(1.84)	12.4(1.47)	42.8(3.48)
2	Saplings	22.67 (2.85)	20.33(1.76)	16(2.08)	31 (4.00)
3	Saplings	15.2(2.18)	14.4(2.04)	11.2(1.20)	34.4(7.34)
4	Saplings	19.2 (0.97)	17.2(0.97)	13.4(0.60)	37.4(2.75)
5	Saplings	16.8(3.38)	16.2(3.17)	12.4(2.16)	25.4(6.24)
6	Saplings	28(4.37)	25 (3.58)	18.6(1.86)	46(7.09)
7	Saplings	15.8(2.06)	14.2(2.06)	11.6(1.44)	28.4 (2.94)
8	Saplings	21.2(2.65)	19.2(2.48)	14.6(1.78)	55.4(6.50)
9	Saplings	15.2(1.50)	14.4(1.33)	11.2(1.50)	28.4(8.03)
10	Saplings	17(2.17)	15.4(2.16)	11.8(2.03)	30.4 (3.80)
11	Saplings	17.8(1.77)	16(1.52)	12.8(0.73)	38.6(4.47)
12	Saplings	16.8 (4.60)	15.2(4.27)	11.6(2.80)	24.8(5.31)
13	Saplings	23.2(1.88)	20.6(1.21)	16(1.10)	43.4(5.33)
14	Saplings	17.8(0.86)	15.4(1.17)	12.2(1.39)	26.8(2.58)
15	Saplings	18.4 (3.26)	17.2(2.92)	12.8(1.88)	32.4(5.64)
16	Saplings	26.4 (2.25)	23.8(1.88)	18(1.34)	44 (5.07)
17	Saplings	19.6(1.81)	17.4(1.47)	13.2(1.24)	35.4(2.82)
18	Saplings	13.6(1.03)	12.6(0.81)	9.4(0.51)	25.4(3.30)
19	Saplings	20.2 (2.15)	17.8(1.74)	12.2(1.39)	33.4(4.27)
1	Trees	14.6(2.48)	13.2(2.08)	10.6(1.69)	24.6(1.21)
2	Trees	26(1.15)	22.67(0.33)	16(0.58)	30.33 (0.88)
3	Trees	15.6(2.06)	14(1.95)	11.8(1.59)	26.4 (4.19)
4	Trees	18.8(2.22)	16.4(1.94)	13(0.71)	27.4(2.71)
5	Trees	17.8(3.94)	16(3.03)	11.8(2.37)	26.6(5.32)
6	Trees	22.2 (2.42)	20(2.07)	15.6(1.21)	29(4.02)
7	Trees	20.2(1.24)	18.2(0.97)	14(0.45)	35.8(4.62)
8	Trees	18.6(2.62)	16.4(2.14)	13.4(1.81)	39.8(1.74)
9	Trees	19(1.55)	17(1.87)	12.6(1.72)	28.8(1.16)
10	Trees	20.8(1.24)	20(1.00)	14(0.84)	27.4(1.33)
11	Trees	18.4(1.17)	16.6(0.93)	13.8(0.66)	26(2.17)
12	Trees	14.4(2.91)	13.6(2.79)	10.2(2.06)	23.2(3.65)
13	Trees	16.6(1.21)	15.4(0.75)	11.4(0.87)	26(1.34)
14	Trees	20(1.30)	18.6(1.33)	14.2(0.97)	28 (2.74)
15	Trees	17.2 (2.08)	16(2.14)	11.6(1.69)	26.2(2.42)
16	Trees	24(2.17)	21.2(1.85)	15.2(0.97)	37.2 (5.84)
17	Trees	19.8(0.92)	17.4(1.21)	12.6(0.93)	27.6(1.86)
18	Trees	18.2(1.77)	15.6(1.60)	12.4(0.93)	24.8(1.88)
19	Trees	19.4(1.08)	16.6(1.33)	13.8(1.07)	26.2(1.59)

652 Text S4 Influence of soil nutrients on size class richness

653 We measured the following soil characteristics: soil pH (pH-meter in slurry of water and

soil), soil nitrogen, carbon and C:N ratio (using dry combustion C/N analyser), and soil

655 phosphorus (using acid-digestion method described in Grimshaw, 1989). Soil characteristics 656 were measured from four soil cores per plot, that were bulked for each plot prior to analysis at the Forest Research Centre, Sepilok. The soil data were included initially in our analyses, 657 658 but were not found to be important in predicting diversity differences among plots and sites, 659 and hence they were excluded from the subsequent analyses.

660

661 Table S4. Mean site values with standard errors (SE) for soil nutrient data collected in each

- **C:N** ratio Site # Total P (±SE) Total N (±SE) Total C (±SE) pH (±SE) (±SE) 1 4.31(0.11)88.61 (6.53) 0.08(0.02)1.39(0.00) 20.28(4.19) 2 3.72(0.09)126.33(10.68) 0.10(0.02)2.03(0.26) 23.11(4.33) 3 0.13(0.03) 4.63(0.20)188.34(19.83) 1.43(0.22)11.81(1.31)4 4.64(0.15)14.93 (0.88) 208.18(13.47) 0.10(0.02)1.40(0.17)5 6.26(0.45)281.50(25.48) 0.32(0.07)3.95(0.98)12.58(1.11)6 4.13(0.04) 49.03 (8.95) 0.05(0.01)1.16(0.09)27.95(6.16) 7 4.84(0.32)54.12(11.04) 0.14(0.02)1.93(0.25) 14.28(1.37)8 4.00(0.16) 75.68(19.54) 0.13(0.01)2.88(0.62)22.11 (4.49) 9 71.63 (4.65) 5.27(0.23)0.05(0.00)1.26(0.07)23.56(1.01)10 1.34(0.15) 3.94(0.05) 66.82(10.28)0.05(0.01)29.96(3.13) 11 280.51(11.70) 4.37(0.08)0.21(0.02)1.76(0.14)8.42(0.79) 12 5.26(0.41) 208.31 (35.81) 0.13(0.01) 1.62(0.14)12.11 (0.44) 4.00(0.05) 13 84.18(22.16) 0.07(0.02)1.30(0.12)23.70(6.24) 14 4.80(0.14)348.93 (41.68) 0.15(0.01)1.56(0.16)10.11 (0.29) 15 3.81 (0.08) 0.10(0.02)1.60(0.19)137.99(7.11) 17.85(2.33) 16 174.97(9.39) 3.88(0.18)0.16(0.02)2.08(0.21)14.00(1.56) 17 4.33(0.13) 316.90(73.58) 0.13(0.03) 1.78(0.13) 15.69(2.28) 18 254.11 (23.84) 3.80(0.09)0.14(0.03)1.86(0.18)15.25(2.41) 19 4.63(0.28)192.06(32.32) 0.08(0.02)1.33(0.20)18.26(3.27)

662 site. Sites are arranged in order of increasing area.

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666 Table S5. Reciprocal Simpson diversity. Parameters are derived from GLMMs with site 667 identity fitted as a random effect; adjusted standard errors (SE), 95% lower and upper

668 confidence intervals (CI), and the relative importance (RI) of each variable are presented.

Response variable	Predictor	β		SE	Lower CI	Upper CI	RI
Seedling	Intercept		0.29	0.04	0.22	0.36	
Simpson	Area		0.04	0.09	-0.13	0.21	0.46
diversity	Connectivity		-0.03	0.06	-0.15	0.08	0.47
	Area:Connectivity		-0.37	0.16	-0.69	-0.05	0.33
	Disturbance		0.08	0.05	-0.02	0.17	0.55
	Shape		0.03	0.07	-0.10	0.17	0.27
	Connectivity:Disturbance		0.16	0.10	-0.02	0.35	0.19
Saplings	Intercept		0.10	0.01	0.09	0.11	
Simpson	Connectivity		0.01	0.01	-0.02	0.03	0.21
diversity	Disturbance		0.01	0.01	-0.02	0.03	0.24
Trees	Intercept		0.09	0.00	0.08	0.09	
Simpson	Shape		0.01	0.01	-0.01	0.03	0.38
diversity	Disturbance		0.00	0.00	0.00	0.01	0.17

669 Significant predictors are highlighted in bold.

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673 Text S5 Influence of changing buffer size for calculation of connectivity metric

Altering the buffer width from 1 to 10 km, had little or no effect on the outcome of the

675 model. Variables were standardised before being included in the GLMM and this meant there

676 was little variation in values of connectivity, regardless of buffer size.

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Table S6. Influence of change buffer size for calculation of connectivity metric.

Buffer size (km)	Response variable	Predictor	β		SE	Lower CI	Upper CI
1	Seedling	Intercept		2.06	0.04	1.98	2.15
	genera richness	Area		0.31	0.09	0.12	0.49
		Connectivity		-0.08	0.11	-0.30	0.13
		Disturbance		-0.04	0.09	-0.23	0.14
	Sapling	Intercept		2.83	0.04	2.75	2.92
	genera richness	Disturbance		-0.08	0.08	-0.25	0.08
		Shape		-0.05	0.08	-0.22	0.11
		Connectivity		-0.05	0.08	-0.21	0.12
	Tree	Intercept		2.83	0.03	2.77	2.89

	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
2	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.30	0.13
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
_		Shape	-0.06	0.06	-0.17	0.05
3	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.13
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
4	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.13
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
5	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.13
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05

6	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.13
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
7	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.14
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
8	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.14
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
9	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49
		Connectivity	-0.08	0.11	-0.29	0.14
		Disturbance	-0.04	0.09	-0.23	0.14
	Sapling	Intercept	2.83	0.04	2.75	2.92
	genera richness	Disturbance	-0.08	0.08	-0.25	0.08
		Shape	-0.05	0.08	-0.22	0.11
		Connectivity	-0.05	0.08	-0.21	0.12
	Tree	Intercept	2.83	0.03	2.77	2.89
	genera richness	Disturbance	-0.12	0.06	-0.23	0.00
		Shape	-0.06	0.06	-0.17	0.05
10	Seedling	Intercept	2.06	0.04	1.98	2.15
	genera richness	Area	0.31	0.09	0.12	0.49

	Connectivity	-0.08	0.11	-0.29	0.14
	Disturbance	-0.04	0.09	-0.23	0.14
Sapling	Intercept	2.83	0.04	2.75	2.92
genera richness	Disturbance	-0.08	0.08	-0.25	0.08
	Shape	-0.05	0.08	-0.22	0.11
	Connectivity	-0.05	0.08	-0.21	0.12
Tree	Intercept	2.83	0.03	2.77	2.89
genera richness	Disturbance	-0.12	0.06	-0.23	0.00
	Shape	-0.06	0.06	-0.17	0.05

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Table S7. Influence of changing size class upper/lower bounds on sapling and tree size classes. Model-averaged coefficients of variables used to predict genus richness of seedling (< 1cm dbh), sapling (1 – 2.5 cm dbh), and tree (>10 cm dbh) size classes in 93 plots nested within 19 sites. Parameters are derived from GLMMs with site identity fitted as a random effect; adjusted standard errors (SE), 95% lower and upper confidence intervals (CI), and the relative importance (RI) of each variable are presented. Significant predictors are highlighted in bold.

691

Response variable	Predictor	β	SE	Lower CI	Upper CI	RI
Seedling genera	Intercept	2.06	0.04	1.98	2.15	
richness	Area	0.31	0.09	0.12	0.49	1.00
	Connectivity	-0.08	0.11	-0.29	0.13	0.24
	Disturbance	-0.04	0.09	-0.23	0.14	0.20
Sapling genera	Intercept	2.44	0.05	2.33	2.54	
richness	Disturbance	-0.10	0.11	-0.31	0.11	0.27
	Connectivity	-0.06	0.11	-0.27	0.15	0.21
Tree genera	Intercept	2.23	0.03	2.17	2.30	
richness	Disturbance	-0.19	0.07	-0.34	-0.05	1.00
	Area	-0.03	0.07	-0.18	0.11	0.27

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694 Text S6. Wood density as a proxy for growth-rate of genera

695 In order to test the robustness of our results to the removal of slow-growing genera, we used 696 wood density as a proxy for growth rate (Slik, 2005). We obtained wood density on dry weight (g/cm³) data from The Global Wood Density database (Chave & al., 2009). We then 697 removed high wood-density (> 0.75 g/cm^3), slow-growing genera and repeated our analyses, 698 699 but found no qualitative difference in our results (i.e. lower richness of seedlings in 700 fragments, but no effects of fragmentation on saplings or trees). These analyses support our 701 assumption that size is a reasonable proxy for pre- and post-fragmentation individuals. 702 We also carried out our analyses of fragmentation effects separately for high and low wood 703 density groups, and we split our data set according to the median wood density of all genera 704 we encountered in our study (0.55 g/cm3). We found significantly fewer low wood density 705 tree genera present in plots in primary forest than in logged or fragmented forest (ANOVA of 706 tree richness by forest type; high wood density: F(2,90) = 2.57, p = 0.08; low wood density: 707 F(2,90) = 4.55, p = 0.01), however findings from our ANOVA analyses of tree, sapling and 708 seedling richness were qualitatively similar to our original analysis i.e. both low and high 709 wood density seedlings have lower richness in fragments (ANOVA of seedling richness by 710 forest type; high wood density: F(2,90) = 5.60, p = 0.005; low wood density: F(2,90) = 4.60, p = 0.01; ANOVA of sapling richness by forest type high wood density: F(2,90) = 2.87, p =711 712 0.06; low wood density: F(2,90) = 0.23, p = 0.80). Our findings from our GLMMs with respect to examining site characteristics important for richness were also qualitatively similar 713 714 for the low and high wood density groups. As previously, there was no effect of site area on 715 either high or low wood density sapling richness and so we conclude that differences in plant 716 growth rates are not having major impacts on our designation of post- and pre-fragmentation 717 individuals, or our overall findings.

719	Table S8. Influence of removal of high wood-density (>0.75 g/cm ³), slow-growing genera
720	on size classes. Model-averaged coefficients of variables used to predict genus-level richness
721	of seedling, sapling, and tree size classes in 93 plots nested within 19 sites. Parameters are
722	derived from GLMMs with site identity fitted as a random effect; adjusted standard errors
723	(SE), 95% lower and upper confidence intervals (CI), and the relative importance (RI) of
724	each variable are presented. Significant predictors are highlighted in bold.

Response variable	Predictor	β	SE	Lower CI	Upper CI	RI
Seedling genera	Intercept	2.00	0.04	1.92	2.08	
richness	Area	0.28	0.09	0.10	0.46	1.00
	Connectivity	-0.06	0.09	-0.24	0.13	0.21
	Disturbance	-0.05	0.11	-0.27	0.16	0.22
Sapling genera	Intercept	2.76	0.04	2.68	2.84	
richness	Disturbance	-0.07	0.08	-0.23	0.09	0.21
	Connectivity	-0.06	0.08	-0.21	0.10	0.19
	Shape	-0.04	0.08	-0.20	0.12	0.17
Tree genera	Intercept	2.76	0.03	2.70	2.82	
richness	Disturbance	-0.13	0.06	-0.24	-0.01	0.80
	Shape	-0.05	0.06	-0.17	0.06	0.27

727	Table S9. Model-averaged coefficients of variables used to predict genus-level richness of
728	low and high wood-density seedling, sapling, and tree size classes in 93 plots nested within
729	19 sites. Parameters are derived from GLMMs with site identity fitted as a random effect;
730	adjusted standard errors (SE), 95% lower and upper confidence intervals (CI), and the
731	relative importance (RI) of each variable are presented. Significant predictors are highlighted
732	in bold.

Response variable	Predictor	β	SE	Lower CI	Upper CI	RI
Seedling genera	Intercept	1.16	0.06	1.04	1.27	
richness (low	Area	0.30	0.12	0.06	0.53	1.00
wood density)	Shape	-0.07	0.13	-0.33	0.18	0.28
Sapling genera	Intercept	1.85	0.05	1.75	1.96	
richness (low	Connectivity	-0.21	0.14	-0.48	0.06	0.44

wood density)	Shape	-0.20	0.14	-0.46	0.07	0.54
	Area	-0.13	0.11	-0.35	0.09	0.22
	Disturbance	-0.07	0.10	-0.27	0.13	0.09
Tree genera	Intercept	1.89	0.04	1.81	1.98	
richness (low	Area	-0.14	0.09	-0.32	0.04	0.41
wood density)	Connectivity	-0.09	0.09	-0.27	0.08	0.17
	Disturbance	-0.07	0.09	-0.25	0.11	0.13
_	Shape	0.06	0.09	-0.11	0.24	0.13
Seedling genera	Intercept	1.54	0.06	1.41	1.66	
richness (high	Area	0.31	0.14	0.04	0.59	1.00
wood density)	Connectivity	-0.11	0.16	-0.43	0.20	0.20
	Disturbance	-0.07	0.14	-0.34	0.20	0.17
	Shape	0.06	0.13	-0.20	0.32	0.17
Sapling genera	Intercept	2.36	0.05	2.27	2.45	
richness (high	Disturbance	-0.11	0.09	-0.30	0.07	0.31
wood density)	Area	0.09	0.09	-0.10	0.27	0.24
Tree genera	Intercept	2.33	0.03	2.26	2.39	
richness (high	Disturbance	-0.18	0.07	-0.32	-0.05	1.00
wood density)	Shape	-0.13	0.07	-0.27	0.00	0.72

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