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1 **Idiosyncratic soil-tree species associations and their relationships with drought in a**  
 2 **monodominant Amazon forest**

3  
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26

27

28 **Abstract**

29 Monodominant forests are characterized by the strong influence of a single species on the  
30 structure and diversity of the community. In the tropics, monodominant forests are rare  
31 exceptions within the generally highly diverse tropical forest biome. Some studies have shown  
32 that tree monodominance may be a transient state caused by successional and demographic  
33 variation among species over time. Working in a *Brosimum rubescens* Taub. (Moraceae)  
34 monodominant forest at the southern edge of Amazonia, we tested the hypotheses that local-  
35 scale variation in intra- and interspecific spatial patterns of dominant tree species is affected by i)  
36 demographic rates of recruitment and mortality following severe droughts, ii) local variation in  
37 edaphic properties, and iii) occupation of species in the vertical layer of the forest. We quantified  
38 intra- and interspecific spatial patterns and edaphic associations of the five most abundant  
39 species using aggregation and association distance indices, and examined changes over time. We  
40 found some support for all hypotheses. Thus, intra- and interspecific spatial patterns of most  
41 species varied over time, principally after severe drought, emphasizing species-level variability  
42 and their interactions in sensitivity to this disturbance, even as *B. rubescens* monodominance was  
43 maintained. While positive and negative spatial associations with edaphic properties provide  
44 evidence of habitat specialization, the absence of negative spatial associations of *B. rubescens*  
45 with edaphic properties indicates that this species experiences little environmental restriction,  
46 and this may be one of the factors that explain its monodominance. Spatial repulsion and  
47 attraction between species in the same and in different vertical layers, respectively, indicates  
48 niche overlap and differentiation, while changes over time indicate that the relationships between  
49 species are dynamic and affected by drought disturbance.

50 **Keywords:** *Brosimum rubescens*; Competition; Janzen-Connell; Niche; Spatial patterns.

51

52

## 53 **1. Introduction**

54 Tropical forest plant communities are usually characterized by very high diversity  
55 (Gentry, 1988; Clinebell et al., 1995). Yet, many tropical forests are dominated by an ‘oligarchic  
56 suite’ of relatively few tree species at local scales (e.g., Pitman et al., 2013) even when regional  
57 floras run to thousands of species (ter Steege et al., 2013). These dominance patterns have been  
58 tentatively related to climatic and edaphic conditions (ter Steege et al., 2013; Lloyd et al., 2015),  
59 as well as to the competitive ability of particular species (Pitman et al., 2001). A particular  
60 extreme case of dominance in tropical forests is found across the transition zone between  
61 Cerrado and the Amazon rainforest biomes, where continuous forest patches with  
62 monodominance of *Brosimum rubescens* Taub. (Moraceae) are occasionally found (Marimon et  
63 al., 2001a, 2001b), particularly in the eastern part of Mato Grosso state in Brazil. In these  
64 monodominant patches, diversity and species richness is extremely low when compared to the  
65 adjacent forests (Marimon et al., 2016). Up to 80% of the aboveground biomass is found within  
66 the single dominant taxon, and fewer than ten species together make up to 90% of biomass  
67 (Marimon et al., 2001a, 2001b).

68 The exact causes of tropical monodominance remain elusive, in spite of considerable  
69 effort (Torti et al., 2001; Peh et al., 2011a, 2011b; Marimon et al., 2016). Nevertheless, a  
70 potentially key finding may be that monodominance emerges when normal processes of  
71 ecological succession and recovery of alpha diversity are greatly slowed (Peh et al., 2011a;  
72 Newberry et al., 2013). In this case, the monodominance can persist for a long period of time  
73 even in periods of marked disturbances (Ibanez and Birnbaum, 2014), although why this may be  
74 is unclear. Within Amazonia and potentially throughout the tropics, drought is a major  
75 disturbance driver, with important consequences for forest structure and dynamics (Phillips et al.,  
76 2009; Bennett et al., 2015; Brienen et al., 2015; Doughty et al., 2015; Feldpausch et al., 2016) -  
77 and potentially also for species diversity as most moist forest species do not tolerate long dry

78 seasons (Clinebell et al., 1995; Esquivel-Muelbert et al., 2016, 2017). Most notably over the past  
79 decade at least two major droughts have affected southern Amazonia (in 2005 and 2010, cf.  
80 Phillips et al., 2009; Lewis et al., 2011; Marengo et al., 2011), with additional unusual drying in  
81 Mato Grosso during 2007/2008 (Brando et al., 2008). These droughts have driven increases in  
82 mortality in Amazonia and elsewhere (e.g., Phillips et al., 2009, 2010; Brienen et al., 2015), and  
83 periodic drying may also be partly responsible for the ‘hyperdynamic’ nature of forests at the  
84 southern Amazon margin (Marimon et al., 2014). However, very little is known on how intra-  
85 and interspecific spatial patterns of species in monodominant forests respond over time to  
86 tropical drought. Here, evaluation of the spatial patterns of tree species might help to understand  
87 intrinsic demographic processes in these communities, as spatial patterns reflect different factors  
88 that act upon the survival of individuals (Getzin et al., 2014; Luo and Chen, 2015; Xie et al.,  
89 2015). For example, if monodominance is promoted by moisture stress (because relatively few  
90 tropical moist species thrive in more seasonal forests), then we would expect the dominants in  
91 these forests to respond positively to drought - or at least to be less adversely affected than their  
92 neighbours.

93         Drought is not the only factor capable of strongly impacting tropical tree distributions.  
94 For instance, the traditional Janzen-Connell (J-C) model proposes that after the initial  
95 establishment of species, density-dependent biotic processes reduce survival and intraspecific  
96 aggregation, thus tending towards spatial regularity of individuals and enhancing overall  
97 community diversity (Janzen, 1970; Connell, 1971). However, in tropical communities, these “J-  
98 C processes” might be disguised by the effect of environmental conditions and niche separation  
99 among life stages (Soliveres et al., 2010; Zhu et al., 2013; Xie et al., 2015), as habitat  
100 specialization and tolerance limits means that intraspecific aggregations of tree species are also  
101 determined by the spatial structuring of resources (Arieira et al., 2016). Notably, edaphic  
102 conditions comprise one of the most variable axes of the spatial niche, and are among the best

103 predictors of distribution patterns of tree species (e.g., Harms et al., 2001; Phillips et al., 2003;  
104 Getzin et al., 2008). For instance, the ability to accumulate toxic elements such as aluminum and  
105 manganese in leaves can provide a localized competitive advantage for some species at sites with  
106 higher abundance of these elements (Haridasan, 2000), while species intolerant to those elements  
107 are likely to have distributions anti-correlated with the distribution of toxic metals and of metal-  
108 tolerators (Malavolta et al., 1997).

109         Naturally, as well as showing differing tolerances of stress factors, plant species compete  
110 with each other for essential resources needed for plant establishment, development, and  
111 reproduction, notably water, light, nutrients, and space (Barot and Gignoux, 2004). Therefore,  
112 for prolonged coexistence to occur there must be differentiation in some niche dimensions  
113 (Amarasekare, 2003), because niche similarity intensifies interspecific competition and  
114 consequently reinforces negative interactions among species (Zhang et al., 2010; Sühs and  
115 Budke, 2011). The separation of the species among vertical layers in forests indicates niche  
116 differentiation, since occupation of each layer is related to the functional characteristics of  
117 species, such as photosynthetic capacity (Ellsworth and Reich, 1993), leaf traits (Bündchen et al.,  
118 2015), growth (Enquist et al., 2011) and pollinator vectors (Yamamoto et al., 2007). Thus,  
119 species that occupy the same layer are more likely to compete with one-another and hence may  
120 be more likely to separate spatially in the horizontal dimension (Sühs and Budke, 2011).  
121 Conversely, if stochastic community processes such as extinction, speciation and limitation of  
122 dispersion then species patterns of spatial distribution are more likely to be stochastic in time and  
123 space (Chave and Leigh Jr., 2002; Hubbell, 2005).

124         Thus, assessing these multiple factors and their interactions over time is essential for  
125 understanding better the ecological patterns that regulate the distribution of species at different  
126 spatial scales in tropical forests. This study evaluates the effects of severe drought and of edaphic  
127 properties on intra- and interspecific spatial patterns of tree species over time in a monodominant

128 forest in southern Amazonia. We tested the hypotheses that i) demographic rates (recruitment  
129 and mortality) after severe droughts, ii) spatial structure of edaphic properties, and iii)  
130 occupation of species in the vertical layer of the forest may each account for variation in intra-  
131 and interspecific spatial patterns of dominant tree species at the local scale.

132

## 133 **2. Material and Methods**

### 134 2.1. Study Area

135 This study was conducted in a semideciduous seasonal forest located at 14°50'47" S and  
136 52°08'37" W, in the locality of Fazenda Veracruz, close to Nova Xavantina, in the eastern region  
137 of the state of Mato Grosso. This forest has been monitored using permanent plots since 1996,  
138 and is characterized by the monodominance of *Brosimum rubescens* Taub. (Moraceae), which  
139 locally dominates the forest biomass (Marimon et al., 2001a). The monodominant patch is found  
140 within the wider transition zone between the Amazon rainforest and Cerrado biomes (Marimon  
141 et al., 2001a, 2014).

142 The climate of the region is tropical with dry winter (Aw in the Köppen classification),  
143 with strong precipitation seasonality and two well-defined periods, rainy from October to March  
144 and dry from April to September (Alvares et al., 2013). Mean annual rainfall and temperature are  
145 ~1,500 mm and 24.7° C, respectively, and altitude ranges from 190 to 280 m (Marimon et al.,  
146 2001a). Severe droughts were experienced in the study area in 1998, 2005, 2007 and 2010 (Zeng  
147 et al., 2008; Lewis et al., 2011; Feldpausch et al., 2016).

148 The soil in the forest is a plintosol, being dystrophic, acidic, shallow, and with low  
149 cation-exchange capacity, base saturation, and Ca/Mg ratio (1:3). Gravel fraction is around 28%  
150 down to 10-cm depth, with intermediate K levels, and high proportion of Fe (Marimon et al.,  
151 2001a).

152

## 153 2.2. Data collection

154 In 1996, Marimon et al. (2001a) established 60 contiguous subplots of 10 x 10 m (0.6 ha)  
155 in the *Brosimum rubescens* patch, where all individuals with diameter at breast height  $\geq 5$  cm  
156 were measured and identified. In 2001, 2004, 2010, and 2013, all individuals were remeasured  
157 and those that reached the minimum limits (DBH  $\geq 5$  cm) were included in the sampling.  
158 Identification was performed in the field and by comparing samples to herbarium vouchers from  
159 Herbarium NX (UNEMAT-Nova Xavantina), UB (Universidade de Brasília), and from virtual  
160 herbaria (The New York Botanic Garden, Kew Garden, and CRIA). Nomenclature of taxa was  
161 reviewed according to the List of Flora Species of Brazil (BFG, 2015), and botanical  
162 classification followed the APG IV (2016). Data used in this study are stored in 'ForestPlots.net'  
163 digital platform (Lopez-Gonzalez et al., 2011).

164 Detailed information on the chemical and physical properties of the soil are found in  
165 Marimon et al. (2001a), who collected random samples of surface soil (0–10 cm depth) in each  
166 10 x 10 m subplot. Percentage gravel was determined by sieving particles through a sieve with 2  
167 mm mesh, and pH was determined by soil-water suspension (ratio soil: water 1:2.5; Anderson  
168 and Ingram, 1993). Exchangeable Ca, Mg, and Al concentrations were determined in 1 mol L<sup>-1</sup>  
169 KCl (Sumner and Miller, 1996) and P, K, Fe, Mn, Cu, and Zn contents in Mehlich-I solution  
170 (0.0125 mol L<sup>-1</sup> + HCl 0.05 mol L<sup>-1</sup>; Nelson et al., 1953).

171

## 172 2.3. Data analysis

173 We selected the five tree species with the highest absolute density in the first inventory  
174 (1996) distributed between the overstory (*Brosimum rubescens* Taub. – Moraceae and  
175 *Tetragastris altissima* (Aubl.) Swart – Burseraceae) and understory strata (*Amaioua guianensis*  
176 Aubl. – Rubiaceae, *Cheiloclinium cognatum* (Miers) A.C.Sm. – Celastraceae, and *Protium*  
177 *pilosissimum* Engl. – Burseraceae). We compared the density of these species among inventories



178 (1996, 2001, 2004, 2010, and 2013) using ANOVA of repeated measures and using Tukey's  
179 multiple comparison as a post hoc (Zar, 2010) in the R program using the 'stats' package (R  
180 Development Core Team, 2016). Assumptions of residual normality and variance homogeneity  
181 were checked using the Shapiro-Wilk's and Bartlett's tests, respectively (Zar, 2010).

182 To assess variation in demographic rates in each species among inventories, we  
183 calculated mean annual mortality and recruitment rates - hereafter mortality and recruitment -  
184 based on the abundance of species for different diameter classes (Sheil et al., 1995). We  
185 standardize the best interval between the diameter classes by the formula  $IC = A/K$  ( $A =$   
186 amplitude of values;  $K =$  Sturges' constant) (Spiegel, 1976). Here, the formula guarantees  
187 proportionality in the distribution of individuals among diameter classes. We square-root  
188 transformed mortality and recruitment values among diameter classes and subsequently plotted  
189 them in frequency histograms. We compared mean annual mortality and recruitment rates of  
190 diameter classes for each species (1996-2001, 2001-2004, 2004-2010, and 2010-2013) using the  
191 Wilcoxon test, and between periods using Friedman's and Dunn's as post hoc tests (Zar, 2010) in  
192 the R program using package 'stats' (R Development Core Team, 2016). To evaluate the  
193 influence of density (fixed effect) of each species on the mortality rate over time, we use linear  
194 mixed effect models (GLMM) (Zuur et al., 2009) in the R program using 'lme4' package (Bates  
195 et al., 2013). Outliers that exceeded the maximum limit of 3 SD (standard deviation) were  
196 excluded from the model using 'outliers' package (Komsta, 2015). Because they are measures  
197 repeated in space and time, we use the subplots as a random effect in the GLMM models. The  
198 assumptions of the GLMM were verified by graphical analysis (Zuur et al., 2009).

199 We described the intraspecific spatial patterns of species and edaphic properties among  
200 inventories using the Aggregation Index - Spatial Analysis by Distance Indices – SADIE (Perry,  
201 1995, 1998). In order to define aggregation, the index estimates distance from regularity (D), i.e.,  
202 the distance individuals from a population must travel between sampling units (subplots) so that

203 both units have the same density. In this case, sampling units with higher D values have higher  
204 aggregation and those with lower D values have lower aggregation, and it is possible to evaluate  
205 the partial contribution of gaps and aggregates to the overall spatial pattern of the population. We  
206 performed kriging of the species spatial distribution in each inventory with the Surfer program  
207 (Golden Software, 1999). Aggregation Index values higher or lower than 1, when significant,  
208 indicate aggregation and regularity, respectively, whereas non-significant values indicate  
209 randomness (Perry, 1995, 1998).

210 We described interspecific spatial patterns of species (i.e., the spatial associations of each  
211 species to each other, and to edaphic properties) using the SADIE Association Index (Perry,  
212 1997; Perry et al., 1999). Based on Pearson's correlations, this index calculates pair overlap of  
213 two datasets and varies from +1 (positive association,  $p < 0.025$ ) to -1 (negative association,  $p >$   
214  $0.975$ ). Thus, when there is spatial association (two-tailed p-values), high values of the  
215 association index indicate a high relation between the spatial distribution of the species (or with  
216 the characteristics of the soil). Positive associations are characterized by the spatial overlap  
217 between two species, in other words, occurs when there is spatial coincidence between the  
218 aggregates and the gaps of both species. In contrast, negative associations are observed when  
219 clusters and gaps are not coincident in space and, therefore, generate negative association index  
220 values (cf. Perry et al., 1999). The significance of Aggregation and Association Indexes values  
221 was evaluated using Monte Carlo permutations (Perry, 1998). Calculations of Aggregation and  
222 Association Indices were performed using the software SADIShell 1.2 (Perry et al., 1996;  
223 Perry, 1998). For all analyses, we used an alpha level of 5%.

224

### 225 **3. Results**

#### 226 3.1. Intraspecific spatial patterns and population demography

227           The mortality and recruitment rates of the species in the subplots did not show significant  
228 variation over time (Figure 1). However, within diameter classes, density, mortality and  
229 recruitment rates did vary by years, mainly after the severe 2005, 2007 and 2010 droughts (Figs.  
230 2, 3; Table 1). Thus, both *Amaioua guianensis* and *Brosimum rubescens* had significantly  
231 reduced total densities in the final two inventories (Fig. 3). Notably, the mortality rate among the  
232 diameter classes of *A. guianensis* exceeded recruitment in 2004-2010 and 2010-2013, excluding  
233 all individuals with diameter larger than 20.1 cm (Fig. 2). *Brosimum rubescens* also lost  
234 individuals over time but remained strongly dominant. The relative density of *A. guianensis*, *B.*  
235 *rubescens*, *C. cognatum*, *P. pilosissimum* and *T. altissima* in the final inventory was 6.9, 39.9,  
236 10.3, 24.8 and 9.7%, respectively. *Brosimum rubescens* also had strong recruitment among  
237 almost all diameter classes in the final period (Fig. 2; Table 1). The species *T. altissima* and *C.*  
238 *cognatum* did not vary significantly in density between inventories (Fig. 3). However, from 2010  
239 to 2013, mortality of *C. cognatum*, mainly in larger size classes, outnumbered recruitment (Fig.  
240 2; Table 1). Mortality and recruitment rates among diameter classes of *P. pilosissimum* and *T.*  
241 *altissima* did not show significant variation among the periods analyzed (Fig. 2; Table 1). Yet, *P.*  
242 *pilosissimum* had the greatest proportional variation in density among inventories, with net gains  
243 of 51, 32, and 23% in the censuses of 2001, 2004, and 2010, respectively compared to the first  
244 inventory (Figs. 2, 3; Table 1). In the final inventory, after the 2010 drought, this species  
245 accumulated losses of 13% in number of individuals, mainly those of larger diameter classes  
246 (Figs. 2, 3; Table 1). In addition, mortality of all species evaluated was positively related with  
247 density in the subplots (Table A.1).

248           The five most abundant species varied in how their individuals are distributed locally.  
249 *Brosimum rubescens* and *Protium pilosissimum* maintained random and aggregated spatial  
250 patterns among inventories, respectively, in spite of experiencing variations in density (Figs. 3,  
251 4, A.1). The other three species showed variations in intraspecific spatial patterns among

252 inventories, mainly after the severe drought in 2010 (Figs. 4, A.1). *Amaioua guianensis* had  
253 aggregated distribution in the first four inventories, and random distribution in 2013, while  
254 *Tetragastris altissima* showed spatial randomness in the first three inventories and aggregation in  
255 2010 and 2013 (Figs. 4, A.1). On the other hand, spatial distribution of *Cheiloclinium cognatum*  
256 was random in 1996 and 2001, and regular in 2004. After the 2005-2007 droughts, the  
257 intraspecific distribution of *C. cognatum* returned to the random pattern of the two first  
258 inventories (Figs. 4, A.1).

259

### 260 3.2. Spatial relationships of species vs. edaphic properties

261 We observed significant spatial associations between the dominant tree species and  
262 edaphic properties, as well as changes in these relationships over time (Table 2; Figs. A.1, A.2).  
263 The two most abundant species in the study area, *Brosimum rubescens* and *Protium*  
264 *pilosissimum*, were positively associated with Ca, K, Mn and Ca/Mg, and showed no negative  
265 spatial relationships with any edaphic properties. *B. rubescens* was exclusively associated with  
266 Al, and *P. pilosissimum* was associated with Mg, Cu, pH, and gravel (Table 2; Figs. A1, A.2).  
267 *Amaioua guianensis* showed negative association with Ca, Mg, K, Zn, Ca/Mg, and gravel, and  
268 positive association with Fe (Table 2). However, in the last inventory, after the 2010 drought,  
269 these spatial associations were not observed. Conversely, spatial associations of *Cheiloclinium*  
270 *cognatum* and *Tetragastris altissima* only started to occur in the last two inventories; *C.*  
271 *cognatum* showed negative associations with Ca, P, and Al, and positive associations with pH. In  
272 turn, *T. altissima* was positively associated with Ca, Mg, K, Mn, Cu, Zn, pH, and gravel (Table  
273 2; Fig. A1).

274

### 275 3.3. Interspecific spatial patterns of species

276 Differences in interspecific spatial associations are related to the position of the species in  
277 the vertical layers of the forest (Table 3; Fig. A.1). For example, two typical understory species,  
278 *Amaioua guianensis* and *Protium pilosissimum*, were negatively associated in space in the first  
279 three inventories (1996, 2001, and 2004) prior to the droughts of 2005, 2007 and 2010 (Table 3;  
280 Fig. A.1). These species show distinct associations with overstory species: *A. guianensis* was  
281 negatively associated with *Tetragastris altissima* in 2010, and *P. pilosissimum* was positively  
282 associated with *Brosimum rubescens* in nearly all inventories (Table 3; Fig. A.1). Likewise, the  
283 overstory species, *B. rubescens* and *T. altissima*, were negatively associated between 2001 and  
284 2004 (Table 3; Fig. A.1).

285

#### 286 **4. Discussion**

287 Our results show that spatial patterns of dominant species are subject to changes  
288 according to changes in demography after intense drought events, and partly reflect spatial  
289 structure of soil properties in the habitat and the position of the species in the forest strata,  
290 providing support to each of our initial hypotheses. Nevertheless, the monodominance and  
291 spatial pattern of *Brosimum rubescens* were maintained over time, even with variations in  
292 density after the intense drought events of 2005 and 2010, demonstrating the strong resistance  
293 and resilience of the species. The absence of negative spatial associations of *B. rubescens* with  
294 edaphic characteristics indicates lack of environmental restriction here, and this may help to  
295 explain the maintenance of its monodominance in the study area.

296

##### 297 4.1. Intraspecific spatial patterns and demography

298 The dominant tree species show variation in intraspecific spatial patterns over time,  
299 consistent with demographic oscillations related to severe droughts, corroborating our initial  
300 hypothesis. This result shows the heterogeneity and spatial dynamics of forest communities, as

301 well as the different strategies used by species to occupy space, may vary over time. For  
302 instance, the decrease in aggregation towards spatial randomness of *Amaioua guianensis* might  
303 be related to variations in density over time and to the initial proportions of individuals among  
304 life stages. In this case, the self-thinning process which recruits experience as their life stages  
305 advance may have been intensified by severe droughts, as observed by Johnson et al. (2014) and  
306 Clyatt et al. (2016) in temperate forests. On the other hand, the fact that intraspecific spatial  
307 patterns of *Brosimum rubescens* and *Protium pilosissimum* were maintained among inventories,  
308 even while there were variations in density and demography, shows the robustness of the  
309 intraspecific distributions of these species, remaining unchanged even in the face of significant  
310 drought disturbance. Thus, the events that occurred in the study area may not have been  
311 sufficient to cause changes in the spatial distribution of these species, as observed by Elias et al.  
312 (2013) in a *cerradão* (savanna woodland).

313         The predominantly random spatial pattern of *B. rubescens* and the slightly decrease in  
314 total density over time is an indication that density-dependent mortality is the major determining  
315 factor of the spatial distribution of this species, and that this process has intensified over time.  
316 The unimodal height distribution of this species and its dominance in the canopy of the study  
317 area (Marimon et al., 2001a), suggest that most individuals are in the intermediate or advanced  
318 life stages, which are generally distributed randomly or regularly according to the Janzen-  
319 Connell model (Stoll and Bergius, 2005; Getzin et al., 2011; Clyatt et al., 2016). The J-C model  
320 also explains the spatial history of *Cheilochlinium cognatum* in the first three inventories, prior to  
321 the 2005 drought, when the species showed decrease in spatial randomness towards regularity.  
322 However, the fact that it returned to spatial randomness after the 2005 drought and that it  
323 maintained this pattern until the last inventory emphasizes the sensitivity of this species to this  
324 disturbance, as well as its recurrence over time. Similarly, the high mortality rates of *C.*  
325 *cognatum*, which exceeded recruitment over the latest periods, appear responsible for the

326 changes and maintenance of random spatial patterns after droughts. Meanwhile, the increased  
327 recruitment of *B. rubescens* in the final inventories reveals a clear difference in the resilience  
328 capacity of this species and *C. cognatum* in the face of severe droughts. Considering the  
329 demographic dynamics of the main species and the resilience of *B. rubescens* after the drought  
330 events, we expect that the monodominance will be maintained in the long term even in the face  
331 of occasional disturbances.

332         The changes in *Tetragastris altissima* from random to aggregate spatial patterns after  
333 drought is an indication that this disturbance concentrated mortality at sites with higher water  
334 stress (Luo and Chen, 2015; Challis et al., 2016; Panayotov et al., 2016). This might have led to  
335 the aggregation of the surviving individuals, even under density-dependent effects, considering  
336 this pattern is more influenced by habitat than intraspecific associations (Luo et al., 2012; Arieira  
337 et al., 2016). Similar results were found by Elias et al. (2013) in a ‘savanna woodland’ in the  
338 transition zone between Amazon rainforest and Cerrado. These authors reported that droughts  
339 determine tree species aggregation over time, confirming the sensitivity of intraspecific spatial  
340 patterns to predict these disturbances, as suggested by Flugge et al. (2012).

341

#### 342 4.2. Spatial relationships of species vs. edaphic properties

343         Positive spatial associations between tree species and the edaphic properties analyzed  
344 indicate the influence of habitat on spatial distribution patterns, and show that there is niche  
345 specialization among species. Positive spatial associations of *Brosimum rubescens* and *Protium*  
346 *pilosissimum* with Ca/Mg, Ca, K, and Mn demonstrate the wide niche range of these species and  
347 might justify their dominance in the study area. This is due to the fact that Ca and K are shown to  
348 be important modulators of tree species structure in tropical forests (Wright et al., 2011; Santiago  
349 et al., 2012; Lloyd et al., 2015), acting together with Mn in growth, carbohydrate transport,  
350 photosynthesis, gas exchanges, hormone control, and mainly, resistance to diseases (Malavolta et

351 al., 1997). Therefore, the positive associations of species with these elements, mostly K, might  
352 favor reproductive success and high abundance in the study area. This corroborates the findings  
353 of Marimon et al. (2001b), who recorded high K and Mn concentrations in leaves of *B.*  
354 *rubescens*, which are generally consistent with concentrations at the soil level (Brady and Weil,  
355 1996). In addition, the absence of negative associations between *B. rubescens* and edaphic  
356 properties indicates that the soils of the study area do not present restrictions to their  
357 establishment, and therefore favour the monodominance of the species.

358 *Amaioua guianensis* showed negative spatial association with Ca/Mg, Ca, Mg, K, and  
359 gravel indicating that its spatial distribution is independent of fertility parameters and that this  
360 species most likely prefers to occupy less drained locations. The soil A horizon in the study area  
361 is shallow (~80 cm) with high concentrations of hydromorphic laterites, which can impede  
362 drainage locally according to topographic position (Marimon-Junior, 2007). Botrel et al. (2002)  
363 also described negative relationships of species from the family Rubiaceae with soil drainage in  
364 a semi-deciduous seasonal forest of Southeastern Brazil. Hence, this result might be an  
365 indication of the sensitivity of understory species to water restrictions (Tobin et al., 1999),  
366 mainly in years of severe droughts recorded in the inventories over time (Zeng et al., 2008;  
367 Lewis et al., 2011). On the other hand, positive spatial associations of *A. guianensis* with Fe may  
368 indicate habitat preference linked to the benefits of this element in photosynthesis, production of  
369 leaves, and flowering (Belkhodja et al., 1998; Molassiotis et al., 2006). In this case, the positive  
370 spatial association with Fe might be a strategy to avoid reduced photosynthetic rates, since this  
371 species occurs in the understory and has restricted access to lighting. However, *Protium*  
372 *pilosissimum* (Burseraceae) shares the same forest layer with *A. guianensis* (Rubiaceae) and was  
373 not associated to this element. Recently, Souza et al. (2016) have shown that phylogenetic  
374 factors strongly control large-scale ecological variation in Amazon tree traits related to carbon  
375 cycling and storage (demography, size, and wood density), yet the extent to which phylogeny is



376 a marker for variation in other nutrient processes in Amazon forests remains largely unknown.  
377 Our study suggests that, for Fe at least, marked phylogenetically-associated niche differentiation  
378 in nutritional requirements may exist at a remarkably fine spatial scale, even within the same  
379 vertical strata and hence the same light environment.

380 Temporal changes in spatial associations of species according to edaphic properties  
381 revealed marked spatial dynamics in the forest community, potentially related to population  
382 imbalance and niche partitioning among life stages, corroborating with our initial hypothesis. It  
383 thus appears that in our study site the relevance of edaphic properties in determining spatial  
384 patterns of tree species may depend on the ontogenetic stage of individuals. Other work in  
385 tropical ecosystems (Comita et al., 2007; Kanagaraj et al., 2011; Arieira et al., 2016) found that  
386 the relationship of tree species with habitat decreases with ontogenetic advancement due to the  
387 increase in intraspecific competition driven by density-dependent mortality. In our forest, the  
388 positive spatial associations of *Tetragastris altissima* with Ca, Mg, Mn, Cu, Zn, and pH,  
389 recorded only in the two last inventories, might be related to the intense demographic variations  
390 (e.g., mortality and recruitment) of this population in this period. In this case, mortality of  
391 individuals reduced intraspecific competition and led to greater spatial aggregation in the  
392 population. On the other hand, the negative spatial association of *Cheiloclinium cognatum* with  
393 Ca may be related to the direct competition with *Protium pilosissimum* and *T. altissima* were  
394 positively associated with this element among the inventories.

395

#### 396 4.3. Interspecific spatial patterns

397 The marked negative spatial association between species of the same forest layer, such as  
398 *Brosimum rubescens* and *Tetragastris altissima* in the overstory, and *Amaioua guianensis* and  
399 *Protium pilosissimum* in the understory, is evidence of niche overlap. Elsewhere, similar lighting  
400 requirements might have induced competition among canopy species in a subtropical forest,

401 determining spatial repulsion between their individuals (Sühs and Budke, 2011). Similarly,  
402 congeneric species in a temperate forest showed high level of interspecific competition  
403 intensified by trophic similarities between them (Zhang et al., 2010). Yet, equally, in our  
404 monodominant forest the positive association between species from different layers (e.g., *B.*  
405 *rubescens* and *P. pilosissimum*; *T. altissima* and *A. guianensis*) suggests local competitive  
406 exclusion. These positive and negative associations ensure that space sharing due to habitat  
407 specialization of species and is consistent with previous findings in forest ecosystems (Martínez  
408 et al., 2010; Lan et al., 2012; Liu and Slik, 2014). It is also possible that canopy species facilitate  
409 the establishment of understory species in the areas below their treetops (e.g., nurse effects),  
410 creating microenvironmental conditions that benefit positive interactions between them (Ren et  
411 al., 2008). This type of interaction is common among tree species and might be one of the  
412 determining factors of the high alpha-diversity found in tropical forests (Wright, 2002; Liu and  
413 Slik, 2014). Conversely, it has been observed that tree monodominance might also inhibit the  
414 establishment of understory species (Torti et al., 2001). In our study, the positive spatial  
415 associations between layers observed in this forest suggest that the understory species analyzed  
416 are specialists and adapted to this case of tree monodominance.

417 Changes in interspecific spatial associations of species among inventories might be  
418 related to growth and recruitment of individuals over time. Juveniles show higher positive spatial  
419 associations than negative compared to adults, which indicates that individuals in this life stage  
420 have a wider range of niches and higher regeneration capacity (Hao et al., 2007). This fact  
421 highlights the importance of the J-C effect, which changes interspecific spatial patterns of the  
422 species according to the size of individuals and to the scale analyzed (Hao et al., 2007; Getzin et  
423 al., 2014). Therefore, interspecific associations are subject to variations over time, according to  
424 the demographic oscillations of species (life stages), and represent the natural spatial dynamics  
425 of populations. Moreover, as observed in spatial distribution, these changes in interspecific

426 spatial associations might be related to the droughts that occurred in the region in 2005, 2007 and  
427 2010 (Zeng et al., 2008; Lewis et al., 2011; Feldpausch et al. 2016). Xie et al. (2015) observed  
428 that water stress increases positive associations in desert areas, and Miriti (2007) described  
429 changes in interspecific associations of bushes after a severe drought. Overall the changes in  
430 spatial associations over time suggest that drought disturbances have marked effects on the  
431 temporal and spatial relationships of tree species in Amazon forests.

432

## 433 **5. Conclusions**

434 Temporal changes in intra- and interspecific spatial patterns of species in a  
435 monodominant forest and their consistency with demographic variations after severe droughts  
436 emphasize the potential sensitivity of Amazon forest ecosystems to this disturbance. The spatial  
437 associations between species and edaphic properties analyzed here, as well as the maintenance of  
438 these relationships over time (e.g., *Amaioua guianensis* and gravel; *Brosimum rubescens* and K)  
439 indicate the relevance of habitat in determining intraspecific spatial patterns of tree species.  
440 However, the absence of negative spatial associations for the monodominant species *B.*  
441 *rubescens* suggests that the species is not subject to any edaphic restrictions for establishment,  
442 and this helps to explain the maintenance of the monodominance. The marked spatial repulsion  
443 and attraction between species in the same layer (e.g., *A. guianensis* and *P. pilosissimum*) and  
444 between those from different layers (e.g., *B. rubescens* and *P. pilosissimum*) suggests that  
445 structural arrangements of species assemblages in Amazon forests are determined, respectively,  
446 by niche similarity and differentiation patterns. Overall, while monodominance was not favoured  
447 by drought, the maintenance of the spatial patterns of *B. rubescens* and *P. pilosissimum* also  
448 demonstrate resilience of this system in the face of severe droughts, as their monodominance  
449 persisted.

450

**451 Author contributions**

452 FE and BSM conceived the study and wrote the manuscript. FE, BSM, BHMJr and OLP  
453 designed the study. JCB, AEM, PSM and SMR contributed to data analysis. All authors apart  
454 from JCB and AEM collected field data, and all contributed to editing, commented and approved  
455 the manuscript.

456

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469

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832 Table 1. Comparison of mean annual mortality (M) and recruitment (R) rates of the diameter  
 833 classes of the five most abundant species of trees evaluated among the periods 1996-2001, 2001-  
 834 2004, 2004-2010, and 2010-2013, in a *Brosimum rubescens* Taub. monodominant forest in the  
 835 transition zone Amazon rainforest-Cerrado. Acronyms: *Amaioua guianensis* (Ag); *Brosimum*  
 836 *rubescens* (Br); *Cheilochlinium cognatum* (Cc); *Protium pilosissimum* (Pp), and *Tetragastris*  
 837 *altissima* (Ta). The same uppercase and lowercase letters represent the same medians using the  
 838 Wilcoxon and Friedman test (Dunn, post hoc). Uppercase letters= mortality x recruitment;  
 839 lowercase letters= species.

Species	1996-2001		2001-2004		2004-2010		2010-2013	
	M	R	M	R	M	R	M	R
Ag	0.8Ab	1.5Aa	3.1ab	-	6.9Aab	0.0Ba	13.7Aa	2.8Ba
Br	1.6Aa	1.2Ab	3.2Aa	3.5Aab	3.9Aa	1.7Aab	5.4Aa	3.9Aa
Cc	2.2Aa	4.0Aa	4.1Aa	8.0Aa	5.5Aa	3.7Aa	10.1Aa	3.9Ba
Pp	7.1Aa	11.4Aa	12.6Aa	20.9Aa	10.1Aa	13.8Aa	12.6Aa	9.2Aa
Ta	1.5Aa	0.8Aa	0.9Aa	0.0Aa	2.2Aa	1.2Aa	6.4Aa	0.0Aa

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842 Table 2. Spatial associations of species tree with edaphic properties over time (1996, 2001, 2004,  
 843 2010, and 2013) in a *Brosimum rubescens* Taub. monodominant forest in the transition zone  
 844 Amazon rainforest-Cerrado, Brazil. The significance of the positive and negative (-) spatial  
 845 associations is represented in bold (bimodal alpha = 0.05). Acronyms: Gravel (Gra); *Amaioua*  
 846 *guianensis* (Ag); *Brosimum rubescens* (Br); *Cheilochlinium cognatum* (Cc); *Protium pilosissimum*  
 847 (Pp), and *Tetragastris altissima* (Ta).

Species	Year	Ca/Mg	Ca	Mg	K	P	Fe	Mn	Cu	Zn	Al	pH	Gra
Ag	1996	<b>-0.50</b>	<b>-0.34</b>	<b>-0.32</b>	<b>-0.29</b>	-0.07	0.27	<b>-0.32</b>	-0.16	<b>-0.33</b>	-0.10	-0.13	<b>-0.29</b>
	2001	<b>-0.50</b>	<b>-0.39</b>	<b>-0.36</b>	<b>-0.28</b>	0.01	<b>0.29</b>	<b>-0.35</b>	-0.06	<b>-0.39</b>	0.06	-0.02	<b>-0.29</b>
	2004	<b>-0.49</b>	<b>-0.36</b>	-0.25	-0.22	0.02	<b>0.30</b>	-0.25	-0.07	<b>-0.36</b>	0.08	0.00	<b>-0.29</b>
	2010	<b>-0.25</b>	<b>-0.34</b>	<b>-0.34</b>	<b>-0.39</b>	-0.11	0.09	-0.23	-0.24	<b>-0.32</b>	0.04	-0.09	<b>-0.29</b>
	2013	0.06	-0.05	0.01	0.03	-0.23	-0.04	0.04	-0.11	-0.06	-0.05	0.17	-0.09
Br	1996	<b>0.40</b>	<b>0.31</b>	0.22	<b>0.40</b>	0.15	0.03	<b>0.34</b>	0.02	0.25	<b>0.29</b>	-0.15	0.19
	2001	<b>0.41</b>	<b>0.31</b>	0.24	<b>0.40</b>	0.12	-0.01	<b>0.35</b>	0.02	0.24	0.25	-0.10	0.13
	2004	<b>0.29</b>	0.23	0.19	<b>0.32</b>	0.11	0.08	<b>0.30</b>	0.01	0.13	0.24	-0.05	0.03
	2010	<b>0.33</b>	0.14	0.22	<b>0.35</b>	0.24	-0.01	0.23	-0.03	-0.01	<b>0.29</b>	-0.08	0.10
	2013	<b>0.32</b>	0.18	0.24	<b>0.39</b>	0.19	-0.00	<b>0.30</b>	-0.02	0.03	0.17	-0.00	0.24
Cc	1996	0.14	0.14	-0.06	-0.10	-0.17	-0.16	-0.06	-0.07	-0.27	-0.14	0.09	-0.03
	2001	0.17	-0.02	0.08	-0.02	-0.22	-0.27	0.05	-0.09	-0.08	-0.20	0.08	-0.08
	2004	0.16	-0.07	0.00	-0.03	-0.08	-0.16	-0.01	-0.14	-0.13	-0.12	0.01	-0.04
	2010	-0.15	-0.14	-0.08	-0.08	-0.27	-0.21	0.00	-0.17	-0.06	-0.10	0.05	-0.05
	2013	-0.20	<b>-0.36</b>	-0.21	-0.23	<b>-0.51</b>	-0.14	-0.20	0.02	-0.17	<b>-0.31</b>	<b>0.27</b>	-0.26
Pp	1996	<b>0.54</b>	<b>0.43</b>	<b>0.43</b>	<b>0.40</b>	0.11	-0.03	<b>0.45</b>	0.22	<b>0.29</b>	0.05	0.21	<b>0.34</b>
	2001	<b>0.48</b>	<b>0.53</b>	<b>0.54</b>	<b>0.47</b>	0.14	0.06	<b>0.57</b>	<b>0.30</b>	<b>0.41</b>	0.05	<b>0.28</b>	<b>0.44</b>
	2004	<b>0.62</b>	<b>0.54</b>	<b>0.50</b>	<b>0.45</b>	0.19	-0.07	<b>0.54</b>	0.17	<b>0.51</b>	0.13	0.11	<b>0.41</b>
	2010	<b>0.39</b>	<b>0.53</b>	<b>0.46</b>	<b>0.41</b>	0.09	0.00	<b>0.56</b>	0.23	<b>0.53</b>	0.12	0.20	<b>0.45</b>
	2013	<b>0.42</b>	<b>0.50</b>	<b>0.48</b>	<b>0.49</b>	0.06	0.18	<b>0.53</b>	0.22	<b>0.57</b>	0.12	0.17	<b>0.47</b>
Ta	1996	-0.04	0.04	0.10	0.05	-0.06	-0.27	0.03	0.20	0.26	-0.03	0.10	0.08
	2001	0.09	0.05	0.09	0.04	-0.11	-0.25	0.03	0.15	0.15	-0.10	0.08	0.04
	2004	0.10	0.01	0.02	-0.02	-0.17	-0.26	0.03	0.13	0.08	-0.13	0.20	0.07

2010	<b>0.26</b>	<b>0.32</b>	<b>0.35</b>	0.19	-0.08	-0.13	<b>0.37</b>	<b>0.29</b>	<b>0.33</b>	-0.11	<b>0.34</b>	0.23
2013	<b>0.31</b>	<b>0.55</b>	<b>0.56</b>	<b>0.45</b>	-0.02	-0.26	<b>0.54</b>	<b>0.26</b>	<b>0.51</b>	-0.04	<b>0.31</b>	<b>0.42</b>

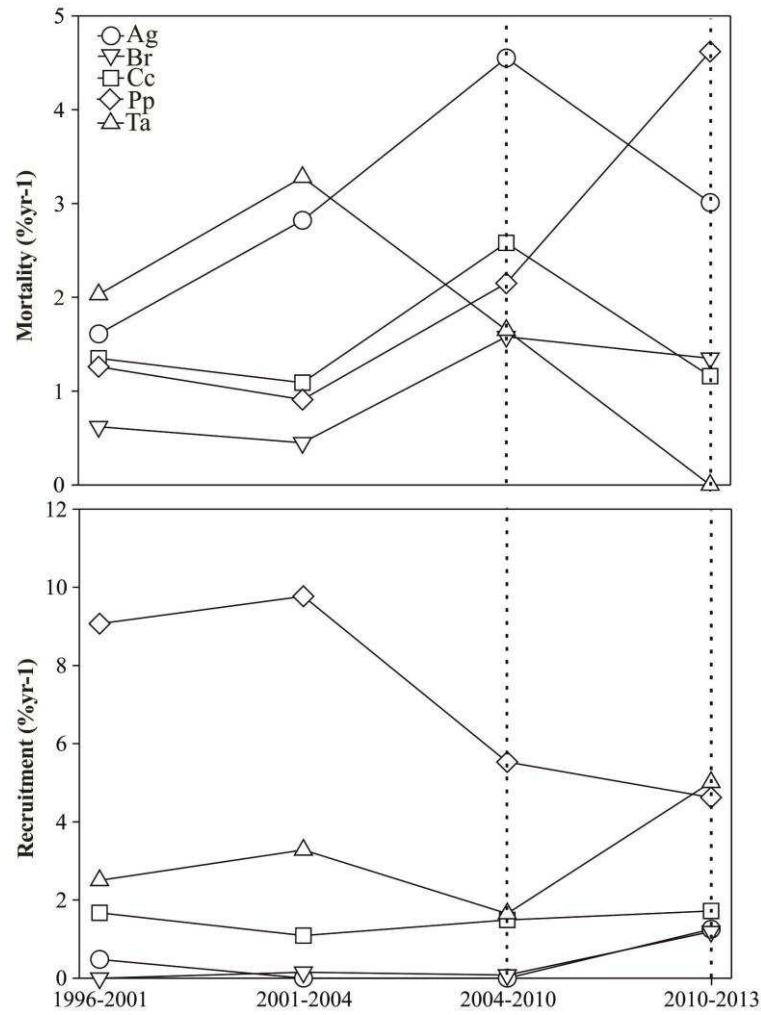
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849

850 Table 3. Interspecific spatial associations of the five most abundant species of trees over time  
851 (1996, 2001, 2004, 2010, and 2013) in a *Brosimum rubescens* Taub. monodominant forest in the  
852 transition zone Amazon rainforest-Cerrado, Brazil. Significant values of positive and negative (-)  
853 spatial associations are represented in bold (bimodal alpha = 0.05). Acronyms: *Amaioua*  
854 *guianensis* (Ag); *Brosimum rubescens* (Br); *Cheilochlinium cognatum* (Cc); *Protium pilosissimum*  
855 (Pp), and *Tetragastris altissima* (Ta).

	Inventories				
	1996	2001	2004	2010	2013
Ag-Br	-0.18	-0.20	-0.14	0.10	0.16
Ag-Cc	-0.02	-0.09	-0.12	0.22	0.21
Ag-Pp	<b>-0.59</b>	<b>-0.48</b>	<b>-0.55</b>	-0.19	-0.12
Ag-Ta	-0.20	-0.05	-0.00	<b>-0.31</b>	0.06
Br-Cc	-0.09	0.02	-0.02	-0.13	-0.02
Br-Pp	<b>0.26</b>	<b>0.31</b>	<b>0.35</b>	0.10	<b>0.26</b>
Br-Ta	-0.11	<b>-0.26</b>	<b>-0.35</b>	-0.12	-0.11
Cc-Pp	0.15	0.14	0.11	-0.24	-0.15
Cc-Ta	-0.14	0.13	0.11	0.02	-0.07
Pp-Ta	-0.23	-0.05	-0.09	0.17	0.15

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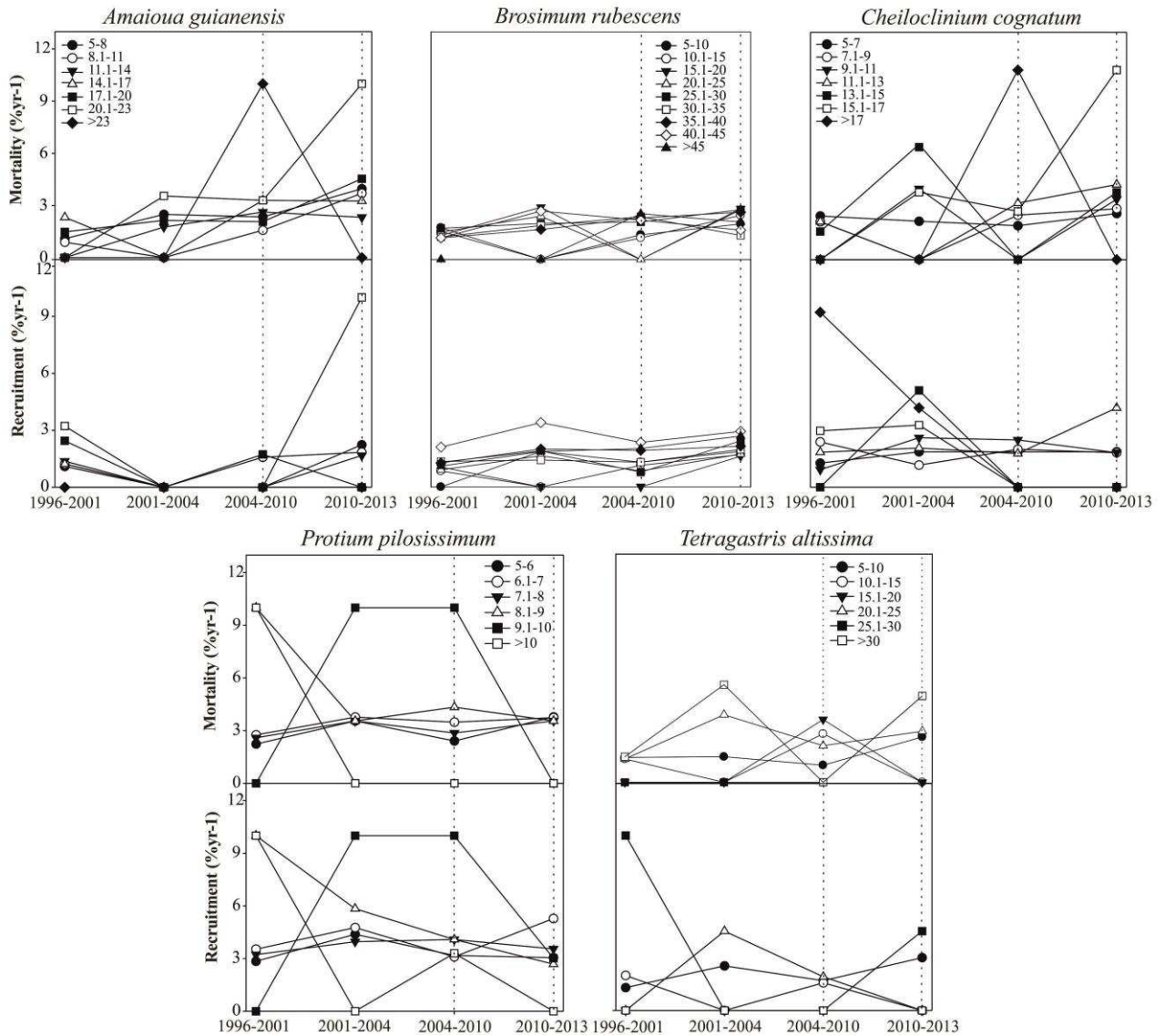


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858

859 Figure 1. Mean annual rates of recruitment (lower panel) and mortality (top panel) of the five  
 860 most abundant species of trees among the subplots in the periods 1996-2001, 2001-2004, 2004-  
 861 2010, and 2010-2013, in a *Brosimum rubescens* Taub. monodominant forest in the transition  
 862 zone Amazon rainforest-Cerrado, Brazil. No species varied significantly in mortality or  
 863 recruitment rates among periods according to Repeated Measures ANOVA ( $p > 0.05$ ). Dashed  
 864 lines indicate intense drought events. Acronyms: *Amaioua guianensis* (Ag); *Brosimum rubescens*  
 865 (Br); *Cheilochlinium cognatum* (Cc); *Protium pilosissimum* (Pp), and *Tetragastris altissima* (Ta).

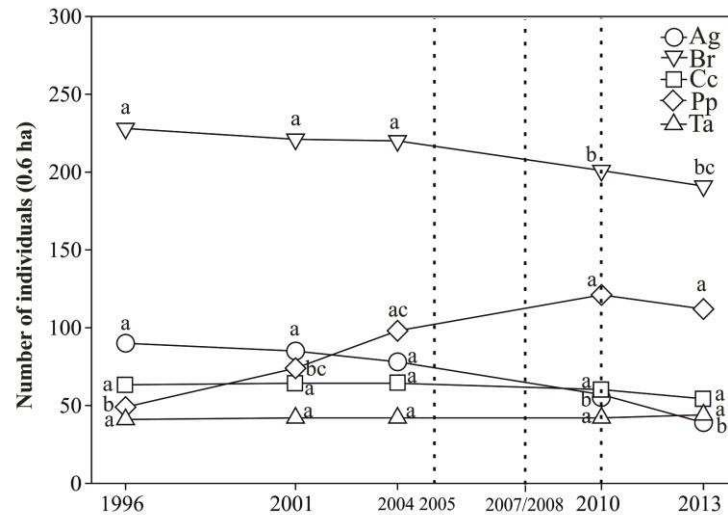
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867

868 Figure 2. Mean annual mortality and recruitment rates of the five most abundant species of trees  
 869 evaluated in different diameter classes (cm) in the periods 1996-2001, 2001-2004, 2004-2010,  
 870 and 2010-2013, in a *Brosimum rubescens* Taub. monodominant forest in the transition zone  
 871 Amazon rainforest-Cerrado. Dashed lines indicate intense drought events.



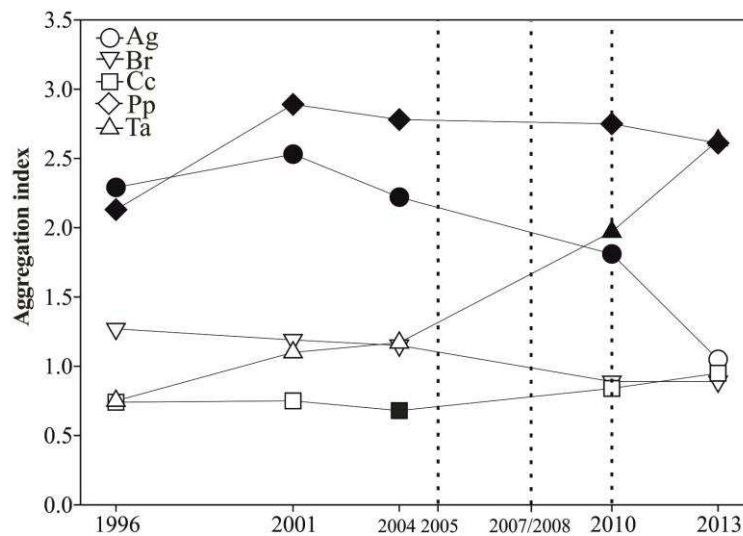


872

873 Figure 3. Number of individuals of the five most abundant species of trees over time (1996,  
 874 2001, 2004, 2010, and 2013) in a *Brosimum rubescens* Taub. monodominant forest in the  
 875 transition zone Amazon rainforest-Cerrado, Brazil. Same letters represent significantly equal  
 876 average density using ANOVA of repeated measures and Tukey's post hoc test. Dashed lines  
 877 indicate intense drought events. Acronyms: *Amaioua guianensis* (Ag); *Brosimum rubescens* (Br);  
 878 *Cheilochlinium cognatum* (Cc); *Protium pilosissimum* (Pp), and *Tetragastris altissima* (Ta).

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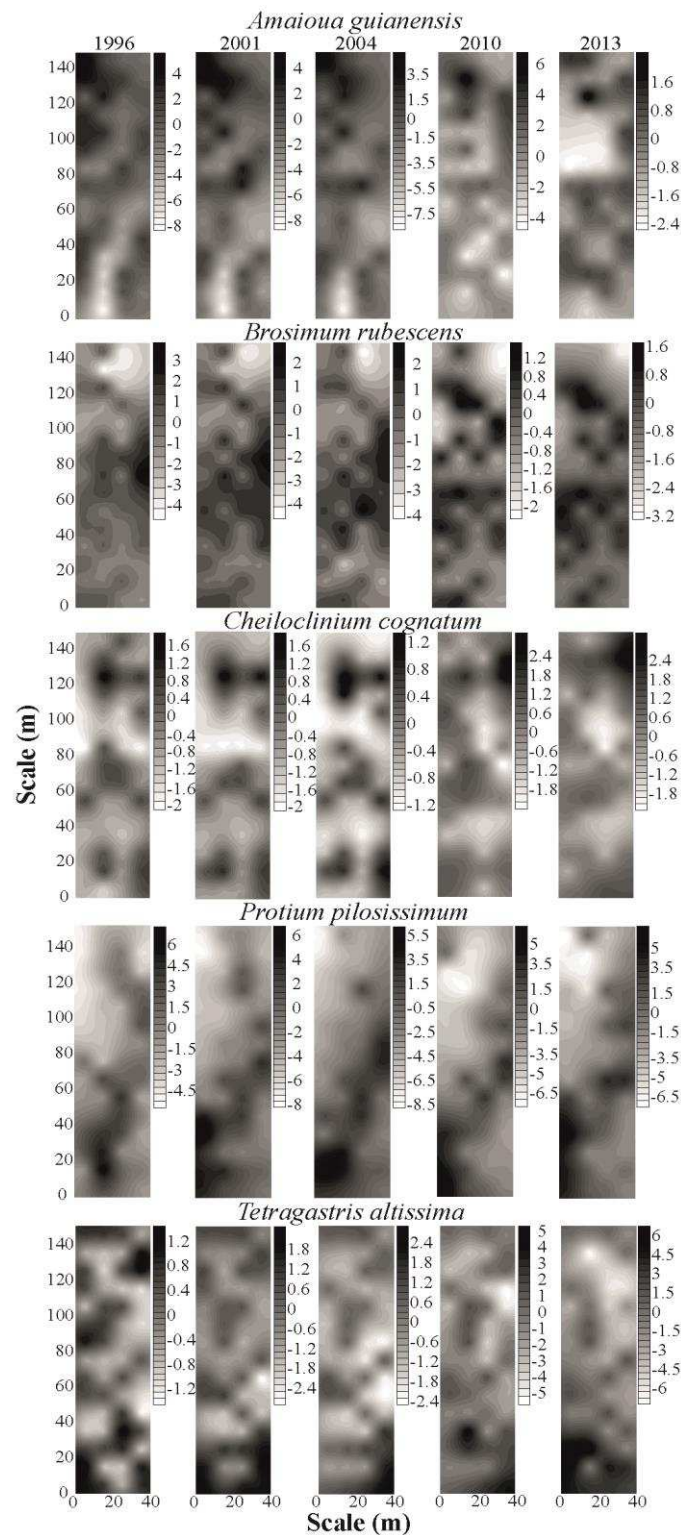
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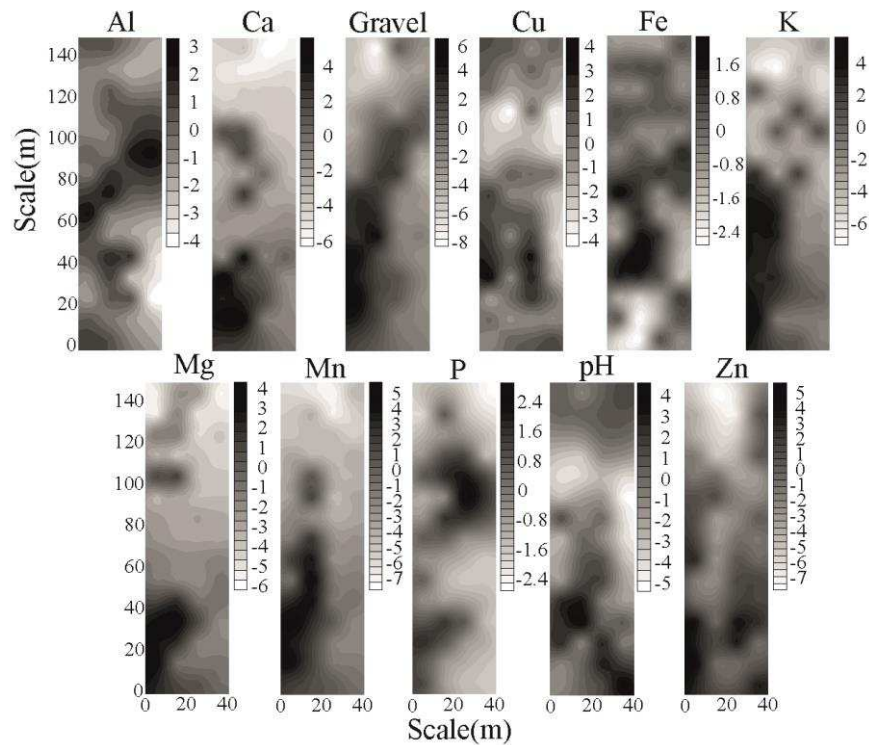
882 Figure 4. Spatial distribution of the five most abundant species of trees over time (1996, 2001,  
 883 2004, 2010, and 2013) in a *Brosimum rubescens* Taub. monodominant forest in the  
 884 transition zone Amazon rainforest-Cerrado, Brazil. Significant Aggregation Index values (black)  
 885 higher and lower than 1 indicates aggregation and regularity, respectively, whereas non-significant  
 886 values (hollow) indicate randomness. Dashed lines indicate intense drought events. Acronyms:  
 887 *Amaioua guianensis* (Ag); *Brosimum rubescens* (Br); *Cheilochlinium cognatum* (Cc); *Protium*  
 888 *pilosissimum* (Pp), and *Tetragastris altissima* (Ta).

889

890 **Supplementary material**

891

892 Figure A.1. Kriging maps of spatial distribution (aggregation index values) of the five most  
 893 abundant species over time (1996, 2001, 2004, 2010, and 2013) in a *Brosimum rubescens* Taub.  
 894 monodominant forest in the transition zone Amazon rainforest-Cerrado, Brazil.  
 895



896

897 Figure A.2. Kriging maps of the spatial distribution (aggregation index values) of edaphic  
 898 properties in a *Brosimum rubescens* Taub. monodominant forest in the transition zone Amazon  
 899 rainforest-Cerrado, Brazil.

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902

903 Table A.1. Predictions by linear mixed effects models (GLMM) for temporal variation (1996-  
 904 2013) of mortality of the five species evaluated as a function of density, in a *Brosimum*  
 905 *rubescens* Taub. monodominant forest in the transition zone Amazon rainforest-Cerrado, Brazil.  
 906 The subplots represented the random effects.

	Estimate	Std. Error	t	p-values
(Intercept)	0.459	0.657	0.699	0.489
<i>Amaioua guianensis</i>	0.592	0.267	2.215	0.030
(Intercept)	-0.133	0.371	-0.36	0.719
<i>Brosimum rubescens</i>	0.232	0.088	2.631	0.009
(Intercept)	-0.466	0.394	-1.183	0.238
<i>Cheilochlinium cognatum</i>	0.904	0.238	3.793	0.000
(Intercept)	0.169	0.378	0.448	0.656
<i>Protium pilosissimum</i>	1.066	0.259	4.118	0.000
(Intercept)	-0.511	0.534	-0.957	0.340
<i>Tetragastris altissima</i>	0.907	0.388	2.337	0.020

907