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Non-thermal X-rays from Colliding Wind Shock Acceleration in the Massive Binary η Carinae

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Cosmic-ray acceleration has been a long-standing mystery (1; 2) and despite more than a 24 century of study, we still do not have a complete census of acceleration mechanisms. The col-25 lision of strong stellar winds in massive binary systems creates powerful shocks, which have 26 been expected to produce high-energy cosmic-rays through Fermi acceleration at the shock 27 interface. The accelerated particles should collide with stellar photons or ambient material, 28 producing non-thermal emission observable in X-rays and γ -rays (3; 4). The supermassive 29 binary star η Car drives the strongest colliding wind shock in the solar neighborhood (5; 6). 30 Observations with non-focusing high-energy observatories indicate a high energy source near 31 η Car, but have been unable to conclusively identify η Car as the source because of their rela-32 tively poor angular resolution (7; 8; 9). Here we present the first direct focussing observations 33 of the non-thermal source in the extremely hard X-ray band, which is found to be spatially 34 coincident with the star within several arc-seconds. These observations show that the source 35 of non-thermal X-rays varies with the orbital phase of the binary, and that the photon index 36 of the emission is similar to that derived through analysis of the γ -ray spectrum. This is con-37 clusive evidence that the high-energy emission indeed originates from non-thermal particles 38 accelerated at colliding wind shocks. 39

Massive, luminous hot stars drive powerful stellar winds through their UV radiation (10) and, in a massive binary system, the collision of the stellar winds will produce strong shocks and thermal X-ray emission. This wind-wind collision region may serve as a source of cosmic-ray

particles, on top of those provided by supernova remnants. Indeed, non-thermal radio emission 43 from colliding wind binary systems is often detected (11; 12), and has been directly imaged by 44 high-spatial-resolution observations (e.g., 13; 14). The emission is interpreted as radio synchrotron 45 emission from high energy non-thermal electrons. These accelerated, non-thermal particles can 46 also produce high energy X-ray and γ -ray photons through inverse-Compton (IC) scattering of 47 stellar UV photons or pion-decay after collision with ambient material. However, the detection of 48 high energy non-thermal X-ray and γ -ray emission from colliding wind binaries is currently very 49 challenging, and the handful of reported detections remain controversial (see, e.g., 4). 50

The best candidate massive binary system for detecting the high-energy non-thermal radia-51 tion produced by a shock-accelerated population of high-energy particles is η Car. Eta Carinae is 52 the most luminous binary in our galaxy and the variable thermal X-ray emission produced by the 53 hot plasma ($kT \sim 4-5$ keV, $L_{\rm X} \sim 10^{35}$ ergs s⁻¹) in its colliding wind shock has been well studied 54 (15, and references therein). The primary is one of the most massive stars in our Galaxy ($\gtrsim 100 M_{\odot}$, 55 16) and drives a powerful wind ($v \sim 420 \text{ km s}^{-1}$, $\dot{M} \sim 8.5 \times 10^{-4} M_{\odot} \text{ yr}^{-1}$, 6). The secondary is 56 perhaps a massive star of O or Wolf-Rayet type, which has never been directly observed, though 57 its wind properties (~3000 km s⁻¹, \dot{M} ~10⁻⁵ M_{\odot} yr⁻¹, 17) have been deduced through analysis 58 of its X-ray spectrum. Variations across the electromagnetic spectrum from η Car have shown that 59 the system has a long-period orbit with high eccentricity ($e \sim 0.9$, $P \sim 5.54$ yrs, 5; 18). 60

In extremely high energy X-rays (15–100 keV), the *INTEGRAL* and *Suzaku* observatories claimed detection of a non-thermal source near η Car (7; 9; 19; 20), but two more sensitive *NuS*- ⁶³ *TAR* observations near periastron in 2014 did not confirm this (21). The *AGILE* and *Fermi* space ⁶⁴ observatories detected a GeV γ -ray source near η Car (8; 22), while the HESS telescope detected ⁶⁵ a source of high-energy γ -ray emission (23) at energies up to 300 GeV. The γ -ray spectrum shows ⁶⁶ two components, above and below 10 GeV. Both components vary slowly with η Car's orbital ⁶⁷ phase (e.g., 24). The poor angular resolutions ($\gtrsim 10'$) of these observations meant that η Car could ⁶⁸ not be conclusively confirmed as the source of the high-energy emission.

The *NuSTAR* X-ray observatory, launched in 2012, provides for the first time focusing observations at energies up to 79 keV (25). We obtained 11 *NuSTAR* observations of η Car around η Car's last periastron passage in 2014 through 2015 and 2016, along with coordinated observations at energies between 0.3–12 keV with the *XMM-Newton* observatory (26). The *NuSTAR* image at the highest available energy in which the source can be detected above background (30–50 keV) shows, for the first time, that even at these high energies the emission clearly arises in the direction of and is well-centered on the position of η Car (Figure 1).

The soft X-ray (<15 keV) spectra obtained by *NuSTAR* are characterized by thermal emission from plasma with a maximum temperature of 4–5 keV (Figure 2), which is consistent with the *XMM-Newton* spectra simultaneously obtained, and previous analyses of η Car's thermal X-ray emission (e.g., 27). However, the extremely hard (\gtrsim 15 keV) X-ray emission seen in 2015 and 2016, following η Car's periastron passage in 2014, is significantly brighter and flatter in slope than the $kT \sim$ 4–5 keV plasma emission in this energy range, and is detected above background up to energies of 50 keV. The spectrum obtained in 2014 March 31, which is 4 times brighter than

the 2015 and 2016 spectra below 15 keV, follows the $kT \sim 4.5$ keV thermal emission spectrum up 83 to 30 keV, but it flattens above that energy and converges to the 2015 & 2016 spectrum. The other 84 two observations obtained near the maximum of the thermal X-ray emission, which occurs just 85 prior to periastron passage (Figure 3), follow a similar trend in the hard band slope and converge 86 to the 2015 & 2016 spectrum in the same way. This result confirms the $kT \sim 4-5$ keV thermal 87 component variability with orbital phase seen previously, but it reveals that the highest energy 88 emission is characterized by a flat emission component that is nearly constant outside periastron 89 passage. 90

The NuSTAR spectrum, however, shows that this hard flat component nearly disappears dur-91 ing the minimum of the $kT \sim 4-5$ keV thermal emission near periastron passage. This $kT \sim 4-5$ keV 92 thermal X-ray minimum is believed to be caused by orbital changes in the head-on wind collision 93 both geometrically (i.e., eclipse by the primary winds) and mechanically (decay of the collisional 94 shock activity) (27). The decline of the hard, flat component along with $kT \sim 4-5$ keV thermal 95 X-ray minimum, as well as the positional coincidence of the extremely hard source with η Car, 96 is conclusive proof that η Car itself, and its colliding wind activity, is the source of this flat high-97 energy X-ray component. 98

⁹⁹ If the 30-50 keV emission is thermal in nature, it would require a temperature of $kT \gtrsim 20$ ¹⁰⁰ keV, a temperature much higher than could be mechanically produced by the wind of either star. ¹⁰¹ Thus the hard flat source must be produced by non-thermal processes. We characterize the spec-¹⁰² trum using a simple power-law spectrum of the form $KE^{-\Gamma}$ (where K is the flux normalization, *E* the photon energy, and Γ the photon index). We minimized the systematic uncertainty of the instrumental and cosmic background through a detailed background study. Our analysis constrained Γ to be less than 3. Values of $\Gamma \sim 3$ can be ruled out since the non-thermal emission would then contribute significantly to the observed emission below 10 keV at phases away from periastron; this would cause a variation of the equivalent width of the strong thermal line from He-like iron at 6.7 keV with phase, which is not seen. Therefore, the photon index has to be in the range $\Gamma \lesssim 2$.

There are several non-thermal emission processes that the colliding wind activity can drive — 109 synchrotron emission, synchrotron self-Compton, IC up-scattering of stellar photons, relativistic 110 bremsstrahlung and pion-decay. However, to match the observed flux at 50 keV, the synchrotron 111 process would require electrons with Lorentz factor $\gamma \sim 3 \times 10^6$ for a reasonable magnetic field 112 strength ($B \sim 1$ Gauss), which do not seem likely to exist given the expected strong IC cool-113 ing (e.g., 28). Pion-decay emission peaks at 67.5 MeV and is important only above ~ 10 MeV, 114 while relativistic bremsstrahlung emission and synchrotron self-Compton are unlikely to match 115 the emission from IC up-scattering (e.g., 3). Furthermore, the value of $\Gamma \leq 2$ we derived is typical 116 of 1st order Fermi acceleration and similar to the radio indices measured from another well-known 117 massive colliding wind binary system, WR 140 (14). Thus IC up-scattering is the most plausible 118 mechanism to produce the non-thermal emission in the extremely hard X-ray band. 119

This result demonstrates the presence of a high-energy non-thermal X-ray source physically associated with η Car and lends additional strong support to the idea that the γ -ray source is also physically associated with η Car. With the now established physical association between the *NuS*-

TAR and Fermi sources, it now makes sense to consider a consistent model for both the X-ray and 123 γ -ray emission. The extremely hard X-ray component seen by NuSTAR smoothly connects to the 124 soft GeV γ -ray spectrum at a power-law slope of $\Gamma \sim 1.65$ (Figure 2 *right*). This component also 125 shows similar flux variation to the soft GeV component (Figure 3 bottom, 24). These character-126 istics strongly suggest that the non-thermal X-ray component seen by NuSTAR is the low-energy 127 tail of the soft GeV γ -ray component produced by the IC mechanism (8; 29). There would be no 128 obvious connection between the γ -ray and hard X-ray emission if the soft GeV γ -ray component 129 originates from the pion decay process (30). 130

Earlier *INTEGRAL* and *Suzaku* flux measurements of extremely high energy emission were 2–3 times larger than our *NuSTAR* measurements (Figure 3, 19; 20), but the soft GeV emission has not varied remarkably since the beginning of *Fermi*'s monitoring in 2008. This discrepancy either indicates some cycle-to-cycle variation in the non-thermal emission (which seems unlikely given the consistency of the *NuSTAR* and *Fermi* spectra), or that these earlier measurements have overestimated the intrinsic source flux due to poorly determined backgrounds or other issues.

A puzzle is the lack of an increase in luminosity of this IC scattered component as the thermal plasma emission increases near periastron. If the non-thermal electrons fill the wind colliding region, the IC luminosity should be proportional to the product of the number of non-thermal electrons and the intensity of the stellar UV, and the product is also proportional to the thermal plasma luminosity for a constant temperature. That this variation is not observed can be explained by the rapid cooling that the non-thermal electrons undergo due to IC scattering as the stars approach each other. Because of this effect, the non-thermal electrons that are capable of producing 50 keV photons (i.e. those with a Lorentz factor $\gamma \sim 200$) gradually exist only in a thin layer downstream of the shock (28), rather than filling the entire wind colliding region. This process would decrease the number of non-thermal electrons near periastron and produce a flat light curve toward the X-ray maximum.

By localizing the position of the high energy source to better than 5'', and by showing that 148 the source varies in phase with the lower-energy X-ray emission, our NuSTAR observations prove 149 conclusively that η Car is clearly a source of non-thermal high-energy X-ray emission, and con-150 nect the non-thermal X-rays to the soft GeV γ -ray source detected by *Fermi*. This confirms that 151 a colliding wind shock can accelerate particles to sub-TeV energies. Since the colliding-wind 152 shock occurs steadily, persistently, and predictably, massive binary systems are potentially impor-153 tant systems for studying particle acceleration by the Fermi process in an astrophysical setting. 154 The emission we observe is consistent with IC upscattering of lower-energy stellar photons. IC 155 emission should also be accompanied by lower-energy synchrotron emission, which has not been 156 detected. However, synchrotron emission from η Car would be difficult to detect because of strong 157 thermal dust emission from the surrounding nebula, and because a suitable high-spatial-resolution 158 radio interferometer in the southern hemisphere is not yet available. The Square Kilometer Array, 159 which is under construction in South Africa, may eventually detect this emission component from 160 η Car. Although there are other massive binary systems with strong colliding wind shocks, such as 161 WR 140, only η Car has been confirmed as a γ -ray source. Studying the differences amongst these 162 systems in their X-ray and γ -ray emission will help elucidate the particle acceleration mechanism. 163

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Author Contributions K.H. and M.F.C. led the project, from proposing and planning observations, analyzing the data to composing the manuscript. J.M.P. constructed a theoretical model that explains the variation of the non-thermal component. N.S. performed initial analysis of the *NuSTAR* data in 2015. H.T analyzed and discussed *Fermi* data of η Car. C.M.P.R. performed theoretical simulations of η Car's thermal X-ray emission. B.W.G. and D.R.W. discussed *NuSTAR* data analysis, especially the background characteristics. T.R.G. worked for the observation planning. T.R.G., N.D.R., T.I.M., and A.F.J.M. discussed the wind property of η Car. All authors reviewed the manuscript and discussed the work.

180 **Competing Interests** The authors declare that they have no competing financial interests.

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- 249

Methods

250 1 NuSTAR Data

Observations NuSTAR has two nested Wolter I-type X-ray telescopes with a 2×2 array of CdZnTe pixel detectors in each focal plane module (FPMA/FPMB, 25). These mirrors are coated with depth-graded multilayer structures and focus X-rays over a 3-79 keV bandpass. They achieve an angular resolution of roughly 60" half power diameter (31). The focal plane detectors are sensitive between 3-79 keV and cover a 12' *FOV*. The energy resolution of the detectors is 400 eV below Figure 1: *NuSTAR* image contours of the η Car field. The contours in a conventional X-ray band (5–10 keV, **a**) and an extremely hard X-ray band (30–50 keV, **b**) are produced from the *NuSTAR* observations on 2015 July 16 ($\phi_{orb} = 0.17$) and 2016 June 15 ($\phi_{orb} = 0.34$) and overlaid on a true colour X-ray image of the same field taken with the *Chandra* X-ray observatory during the soft X-ray minimum in 2009 (27). The contours are drawn at intervals of 10% starting from the X-ray peak above background. The *NuSTAR* images were aligned with the *Chandra* image by matching the peak of the thermal emission at E < 10 keV in the *NuSTAR* image with that of the *Chandra* image. The 30–50 keV source centroid, which has an uncertainty of about 5" at 2σ , is consistent with the centroid of the thermal, 5–10 keV source (i.e., η Car). Earlier measurements of extremely hard X-ray and γ -ray source positions are constrained at an accuracy of ~1' or larger (e.g., 20; 24).

Figure 2: *NuSTAR* spectra in three characteristic orbital phases of η Car and a comparison to a *Fermi* γ -ray spectrum. a, *NuSTAR* spectra obtained during the rise of the soft X-ray flux toward periastron on 2014 March 31 (*black*, $\phi_{orb} = 0.94$), the soft X-ray minimum on 2014 August 11 (*orange*, $\phi_{orb} = 0.005$), and after the soft X-ray flux recovery from the 2014 periastron event (*red*). The last spectrum is co-added from two spectra in 2015 July 16 ($\phi_{orb} = 0.17$) and 2016 June 15 ($\phi_{orb} = 0.34$), to increase the signal-to-noise. The vertical axis shows the raw photon counts from the detector. Error bars are shown at 1σ . The cyan and green solid lines show emission of kT = 4.5keV thermal plasma and a $\Gamma = 1.65$ power-law, which are convolved with the detector response, to give expected histograms of the detector counts at each energy. The thin cyan spectrum is ~4 times brighter than the thick cyan spectrum. The excess from the kT = 4.5 keV thermal plasma emission below ~6 keV mostly originates from a lower temperature ($kT \sim 1.1$ keV) component. **b**, *NuSTAR* spectrum on 2016 June 15 and a *Fermi* spectrum (8) after correcting the detector response (*black*) compared to the best-fit spectral model, a $\Gamma = 1.65$ power-law cut-off at 1.6 GeV (*red*). Figure 3: Flux variations of the thermal and non-thermal X-ray components with orbital phase. a, Binary orbital positions of the companion during the *NuSTAR* observations. The periastron timing is not constrained better than ≈ 0.02 in phase, so that the actual positions especially near periastron have large uncertainties. The companion size is not to scale. b, *RXTE* and *Swift* light curves of η Car between 2–10 keV since 1998 (15). The labels "Minimum"/"Maximum" show the timings of the soft X-ray minimum/maximum discussed in the text. c, 30–50 keV X-ray flux of the flat, power-law component measured with *NuSTAR* between 2014–2016 (*blue*), assuming a power-law photon index at 1.65. The solid and dotted black horizontal lines are the best-fit flux and its 90% confidence range of a power-law component measured with *Suzaku* assuming the flux is constant throughout the orbit (19). The *INTEGRAL* (green diamond, 7; 20) and *Suzaku* measurements were converted to 30–50 keV fluxes. Error bars are shown at 2σ .

 ~ 40 keV, rising to ~ 1 keV at 60 keV. Stray light contamination is not an issue unless there are bright sources (>100 mCrab) within 1° to 5° of the target.

²⁵⁸ *NuSTAR* observed η Car on 9 occasions and produced 11 datasets with different observation ²⁵⁹ identifiers (ObsID). Two datasets on 2014 March 31 (ObsIDs: 30002010002, 30002010003) and ²⁶⁰ 2014 August 11 (ObsIDs: 30002010007, 30002010008) were performed consecutively, but they ²⁶¹ have different ObsIDs due to small pointing offsets. The list of the datasets is summarized in ²⁶² Supplementary Table 1. We used the HEASoft package¹, version 6.20 or above, to analyze the ²⁶³ *NuSTAR* data.

Reduction and Accurate Measurement of the NuSTAR Background Measuring the spectrum 264 of η Car at energies above 10 keV requires some care. At the lower end of this energy range, emis-265 sion is significantly affected by the high-energy tail of η Car's thermal source at a temperature of 266 ~ 4.5 keV, and which we were able to precisely measure using XMM-Newton X-ray spectra in the 267 2-10 keV energy range. At higher X-ray energies, the thermal contribution is negligible (except 268 for a short interval during the 2-10 keV X-ray maximum just before periastron), but instrumental 269 and cosmic background components grow in importance. Our analysis requires careful measure-270 ments of η Car's spectral shape above ~25 keV, where non-thermal emission exceeds $kT \sim 4.5$ keV 271 thermal emission. X-ray emission from η Car in this energy band is weak and comparable to NuS-272 TAR particle background. Therefore, we maximized the source signal with respect to background 273 by i). removing high background intervals during each observation, and ii). employing a small 274

¹https://heasarc.nasa.gov/lheasoft/

source region. We then accurately estimated the background spectrum by utilizing the background
estimate tool nuskybgd (32).

Background particle events of the *NuSTAR* detectors sometimes increase abruptly when *NuS-TAR* is near the South Atlantic Anomaly (SAA). After reviewing the background variation in each observation², we removed the high background intervals with the tool saacalc using the option, saacalc=2 --saamode=optimized --tentacle=yes. In all observations with abrupt background increases, this option removed high background intervals, by decreasing exposure times by \leq 5%. This process significantly reduced background of NUS₁₆₀₆₁₅ by \approx 40% between 30-60 keV.

For extracting source light curves and spectra from each dataset, we used a circular region 284 with a 30" radius, which includes \sim 50% of the X-ray photons of an on-axis point source. Since 285 the source region is comparable to the mirror point-spread-function (PSF) size and there is a po-286 sitional offset in the absolute coordinates and the coordinate systems between FPMA and FPMB 287 by up to $\sim 10''$, we re-calibrated the absolute coordinates on each detector image frame from a 288 two-dimensional image fit with a PSF image. Chandra observations indicate that colliding wind 289 emission from η Car dominates the emission below 10 keV, so that we measured the peak position 290 of η Car between 6–8 keV in each detector image by an on-axis PSF with the *Chandra* software 291 CIAO/Sherpa. Before each fit, the PSF image was rotated to consider the satellite roll angle. 292

²⁹³ We then measured the *NuSTAR* background from surrounding source-free regions using ²http://www.srl.caltech.edu/NuSTAR_Public/NuSTAROperationSite/SAA_Filtering/SAA_Filter.php

²⁹⁴ nuskybgd. This tool extracts spectra from specified source-free regions and fits them simul-²⁹⁵ taneously for known background components — line and continuum particle background, cosmic ²⁹⁶ X-ray background (CXB) passing through the mirror (focused) and unblocked stray light in the ²⁹⁷ detector (aperture), and solar X-rays reflecting at the mast. For the η Car data, we ignored the solar ²⁹⁸ reflection component as it is very soft (≤ 5 keV).

There are a few more components that we added in the nuskybod model for the η Car data 299 (see Supplementary Figure 2). One is the Galactic Ridge X-ray Emission (GRXE). As η Car is 300 located almost on the galactic plane $(l, b) = (287.6^\circ, -0.63^\circ)$, GRXE from $kT \sim 6$ keV thermal 301 plasma is as strong as CXB at \sim 7 keV (e.g., 33). This emission comes from both the mirror and 302 opening between the mirror and focal plane modules (stray light) similar to the CXB. The only 303 difference is that GRXE is concentrated within $\sim 4^{\circ}$ (FWHM) from the Galactic plane (e.g., 34), 304 while CXB is uniform on the sky. Earlier measurements give good estimate of the two (focused 305 & aperture) CXB components and focused GRXE. We thus measured the contribution of aperture 306 GRXE contamination by fixing the parameters for the other sky background components. For this 307 measurement, we used 3 datasets obtained during the lowest soft X-ray flux phase (NUS₁₄₀₇₂₈, 308 NUS_{140811a}, NUS_{140811b}) since η Car outshines the entire detector FOV outside the soft X-ray 309 minimum. X-ray emission from unresolved young stars in the Carina nebula is not negligible 310 below \sim 7 keV, so that we fit the background spectra only above this energy range. We assume the 311 GRXE spectral shape is similar to that in (35), which is measured for GRXE at $(l, b) = (28.5^{\circ}, 0.0^{\circ})$, 312 but we changed its normalization to match the GRXE flux at the η Car position (33). We extracted 313 data from 4 source regions, each of which has $5.5' \times 5.5'$, each of which covers a detector (0, 1, 2, 314

³¹⁵ 3) on each module (FPMA/FPMB), excluding areas around the bright hard X-ray sources, η Car, ³¹⁶ WR 25, and HD 93250. This analysis shows that the observed stray light flux is 82% (FPMA) and ³¹⁷ 75% (FPMB) of the expected stray light if the GRXE has the same surface brightness as at (l, b)³¹⁸ = (285°, 0.0°). We fixed the GRXE contamination at these values for the rest of the background ³¹⁹ analysis. These ratios may change with the satellite roll angle, but our conclusions should not be ³²⁰ significantly affected as the GRXE is negligible above 15 keV.

The other background component accounts for particle background variations between the 321 detectors. Nuskybgd assumes that instrumental background is uniform between the detectors 322 (0, 1, 2, 3), but some NuSTAR>15 keV images of η Car show small but significant fluctuations 323 (see Supplementary Figure 1). These fluctuations possibly originate from the sensitivity difference 324 between the detectors (private comm. Kristin Madsen), or Cen X-3 contamination through the de-325 tector light baffle. In either case, these fluctuations can introduce up to $\sim 10\%$ normalization error 326 at the η Car position in some observations. We therefore added a contamination component to the 327 nuskybgd model, an absorbed power-law model (TBabs × Power-law) whose normaliza-328 tion was allowed to vary between the detectors; the normalization for the detector with the lowest 329 enhancement was fixed at zero. We added this component to the background model for η Car. 330

Using these constraints, we ran nuskybgd to estimate background for all η Car datasets. Since we need a precise measurement of the background above 25 keV, we used a larger region for each detector to increase the photon statistics — the region includes WR 25 and HD 93250, which have little flux above 15 keV — and excludes smaller areas around η Car. We fit the unbinned estimated background spectra above 15 keV up to 150 keV using Poisson statistics to give the best measurement of the estimated background shape between 25–79 keV. We then normalized the best-fit result for each η Car spectrum.

The background subtracted spectrum and the corresponding simulated background spectrum for each observation is shown in the Supplementary Figure 3. Three spectra shown in Figure 2a are co-additions of the spectra $NUS_{140331a}$ and $NUS_{140331b}$ (*black*), NUS_{150716} and NUS_{160615} (*red*), and $NUS_{140811a}$ and $NUS_{140811b}$ (*orange*). For spectral fits, we add the normalized background model to the source model and fit the source spectra using Poisson statistics.

Analysis As described in the previous section, the absolute coordinates on each image has uncertainties of several arc-seconds. For Figure 1, we shifted each detector image by pixel offsets measured with the PSF fits to 6-8 keV images and combined them for each band. We recalibrated the absolute coordinates based on the soft band image. We smoothed the image with a Gaussian of $\sigma = 8$ pixels to increase the photon statistics. Supplementary Figure 1 also shows the entire field of view of the co-added *NuSTAR* images of NUS₁₅₀₇₁₆ and NUS₁₆₀₆₁₅.

The X-ray spectrum of η Car is complex with these components which contribute to the emission above 3 keV: i) variable multi-temperature thermal components produced by the hot, shocked colliding wind plasmas; ii) a weak, stable central constant emission (CCE) component, which probably originates from hot shocked gas inside the cavity of the secondary star's wind, which was ejected in the last few orbital cycles; iii) X-ray reflection from the bipolar Homunculus nebula; iv) a power-law component with photon index $\Gamma \leq 2$. We included all these components in the spectral model, to determine the non-thermal flux variation with orbital phase.

Component i) varies slowly with the binary orbital motion. Earlier spectral analyses of η Car 356 between 0.5-10 keV (e.g., 36) show that this component can be described with two-temperature 357 components having $kT \sim 4.5$ and ~ 1.1 keV, each of which suffers independent absorption. The 358 NuSTAR spectra cannot constrain parameters of the cool ($kT \sim 1.1$ keV) component well without 359 sensitivity below 3 keV where the emission dominates. We therefore fixed kT, elemental abundance 360 and $N_{\rm H}$ of the cool component at 1.1 keV, 0.8 solar, 5×10^{22} cm⁻², the best-fit values of the XMM-361 *Newton* EPIC spectra on 2015 July 16. On the other hand, we allowed parameters of the hot 362 component (kT, abundance, normalization and absorption) to vary in all spectral fits. 363

³⁶⁴ Component ii) probably originates from the collision of secondary stellar winds with the ³⁶⁵ primary winds ejected in early cycles (e.g., 36; 37; 38). This component can be seen in η Car ³⁶⁶ spectra only around the soft X-ray minimum and it does not change significantly in the latest 3 ³⁶⁷ minima (2003, 2009 and 2014). This component cannot be observed during other orbital phases, ³⁶⁸ but a theoretical simulation suggests that it is stable outside of the minimum as well (38).

³⁶⁹ Component iii) originates from the reflection of the colliding wind X-ray emission at the ³⁷⁰ surrounding Homunculus bipolar nebula. The variation follows the wind colliding emission from ³⁷¹ the central binary system, with light travel time-delay by 88 days, on average (39). This component ³⁷² is extended ($\sim 20''$) and can be spatially resolved with *Chandra*. This component is weaker than the ³⁷³ CCE (Component ii) except for the Fe fluorescence at 6.4 keV. We therefore fixed this component ³⁷⁴ to the best-fit spectrum derived from the *Suzaku* observation during the deep X-ray minimum phase in 2014 (21). The components (ii) + (iii) only contribute $\sim 10\%$ to the spectra after the recovery in 2015 and 2016, and dominate during the X-ray minimum.

Component iv) is proved to be present from the NuSTAR observations in this paper. It domi-377 nates emission above 30 keV, and does not vary significantly outside the soft X-ray minimum. No 378 spectra show the shape of this component below 30 keV clearly. However, our measurement of the 379 equivalent width of the He-like iron K line varies less than 10% through the orbit outside of the 380 X-ray minimum. This means that the non-thermal component is less than 10% of the thermal con-381 tinuum at 6.7 keV, which constrains the photon index at $\Gamma < 2$. We choose $\Gamma = 1.65$ for consistency 382 between the NuSTAR and Fermi data, but the conclusions we draw do not change significantly for 383 $\Gamma \leq 2$. The absorption column for the power-law component is tied to that of the hot kT compo-384 nent. This is based on the assumption that the non-thermal emission originates from the apex of 385 the colliding wind region, but changing this $N_{\rm H}$ does not affect the fitting result for $\Gamma < 2$. 386

³⁸⁷ We simultaneously fit unbinned η Car spectra of both focal plane modules (FPMA, FPMB) ³⁸⁸ using the maximum likelihood method assuming Poisson statistics (c-stat in Xspec). The normal-³⁸⁹ izations of the spectral models between FPMA and FPMB are independently varied to consider ³⁹⁰ small effective area calibration uncertainty. The errors are estimated using Markov Chain Monte ³⁹¹ Carlo simulations (mcmc in Xspec). The fitting results are shown in Figure 3 and Supplementary ³⁹² Table 2.

393 **2** XMM-Newton **Data**

Observations XMM-Newton has three nested Wolter I-type X-ray telescopes (40) with the Euro-394 pean Photon Imaging Camera (EPIC) CCD detectors (pn, MOS1 and MOS2) in their focal planes 395 (41; 42). They achieve a spatial resolution of 15" half power diameter and an energy resolution 396 of 150 eV at 6.4 keV³. There are three XMM-Newton observations simultaneous with the NuSTAR 397 observations, two of which are reported in (21). In all observations, the EPIC-pn and MOS1 ob-398 servations were obtained in the small window mode with the thick filter to avoid photon pile-up 399 and optical leakage, though the EPIC-MOS1 data in XMM_{140606} was still affected by photon pile-400 up. The EPIC-MOS2 observations used the full window mode with the medium filter to monitor 40 serendipitous sources around η Car, so that its η Car data are significantly affected by photon pile-402 up and optical leakage and thus provide no useful information about η Car. Fortunately, most of 403 the XMM-Newton observations were obtained during periods of low particle background. 404

Analysis We followed (36) for extracting *XMM-Newton* source spectra, taking the η Car source region from a 50"×37.5" ellipse with the major axis rotated from the west to the north at 30°. For background, we used regions with negligible emission from η Car on the same CCD chip. In addition, we limited the EPIC-pn background regions using nearly the same RAWY position of η Car, according to the *XMM-Newton* analysis guide⁴. The source did not show significant variation. We assumed chi-square statistics for the *XMM-Newton* fits to the background-subtracted spectra.

³http://xmm-tools.cosmos.esa.int/external/xmm_user_support/documentation/uhb/XMM_UHB.pdf ⁴http://xmm.esac.esa.int/sas/current/documentation/threads/PN_spectrum_thread.shtml

The XMM-Newton spectra show multiple emission lines, notably from helium-like Fe K 412 emission lines. The Fe K emission line is shifted by ~ 25 eV for both EPIC-pn and MOS1, which 413 corresponds to $v \sim 1100$ km s⁻¹. However, the simultaneous NuSTAR observation did not show 414 such a shift, and a *Chandra* HETG grating observation of η Car obtained at a very similar orbital 415 phase, but one cycle previously (ObsID: 11017, 11992, 12064, 12065, Date: 2009 Dec 21-23, 416 $\phi_{\rm obs}$ = 2.168) gives only a small shift of ~7 eV. In addition, we saw a similar energy shift in XMM-417 *Newton* data obtained with the same observing mode in 2014. The shift seen in the XMM-Newton 418 spectra is probably due to an error in energy-scale calibration. 419

After adjusting the gain shift, the *XMM-Newton* spectra of η Car are successfully reproduced by a model with the cooler kT at 1.1 keV and hotter kT at 4.5 keV. These temperatures are similar to those measured in early *XMM-Newton* observations (36).

3 Theoretical Model for the Constancy of the Non-thermal Component

If the non-thermal electrons fill the wind-colliding region, the IC luminosity, $L_{\rm IC}$, should be proportional to the number of non-thermal electrons ($N_{\rm acc} \propto nV$, where n and V are respectively the number density of the thermal plasma in the wind colliding region and the volume of the wind colliding region) and the intensity of the stellar UV ($U_{\rm UV}$). Since n and $U_{\rm UV}$ are both $\propto D^{-2}$, and $V \propto D^3$, we might expect $L_{\rm IC} \propto 1/D$, where D is the stellar separation. Therefore, the $L_{\rm IC}$ should follow the same variation as the X-ray luminosity of the thermal plasma (i.e. 2–10 keV light curve in Figure 3b), which also has the 1/D dependence valid for the adiabatic limit (43).

That this variation is not observed can be explained by the rapid cooling that the non-thermal 431 electrons undergo due to IC scattering as they flow downstream from the companion star's shock⁵. 432 Rather than filling the entire wind colliding region, the non-thermal electrons which are capable 433 of producing 50 keV photons (those with a Lorentz $\gamma \sim 200$) instead only exist in a thin layer 434 downstream from the shock (28). For reasonable values (e.g. D = 10 au, $r_O/D = 0.3$, where r_O is 435 the distance from the companion star to the shock on the line-of-centres, $L_{\rm UV} = 5 \times 10^6 L_{\odot}$) the rate 436 at which the non-thermal electrons lose energy due to IC scattering is $d\gamma/dt \sim 10^{-6} \gamma^2 \text{ s}^{-1}$ (c.f. 437 Eq. 4 in 28). Hence it takes roughly 6000 second (= t_{cool}) to cool from the expected maximum 438 energy of the electrons at the shock ($\gamma \sim 10^5$) to $\gamma \sim 200$. During this time the electrons will have 439 travelled downstream from the shock a distance of $d_{\rm cool} = v_{\rm ps} t_{\rm cool}$, where $v_{\rm ps}$ is the post-shock 440 wind velocity. Using $v_{\rm ps} = v_{\rm windO}/4$ (appropriate for the gas on the line-of-centres between the 441 stars), the cooling length $d_{\rm cool} \sim 0.01 D$. This sets the thickness of the region where non-thermal 442 electrons are capable of producing 50 keV photons. As the stars approach each other, IC cooling 443 becomes stronger and stronger, and $d_{\rm cool}/D$ decreases. Since $d\gamma/dt \propto D^{-2}$, $d_{\rm cool}/D \propto D$. So 444 rather than the volume of non-thermal emitting particles scaling as D^3 , it instead scales as D^4 445 $(D^2 \text{ from the surface area of the shock(s), and } D^2 \text{ from the cooling length)}$. Hence L_{IC} becomes 446 independent of D, as is indeed observed outside of the minimum. At some very large value of D, 447

⁵For particles to be accelerated the shocks must be collisionless and mediated by the magnetic field. This requires that the postshock thermal collision timescale must be longer than the ion gyroperiod. This is not satisfied at high densities (see, e.g., 44). Since the shocked luminous blue variable wind is highly radiative, its post-shock density is several orders of magnitude greater than the post-shock density of the companion's wind, and is not likely to be collisionless.

 d_{cool} will be large enough that the non-thermal electrons completely fill the volume of the wind colliding region, at which point L_{IC} should scale as 1/D, as originally hypothesized. However, this is likely to require a value for D which far exceeds the apastron separation in η Car. If $\gamma > 200$, non-thermal electrons are confined to only part of the wind-colliding region, and a change in the spectral shape of the non-thermal emission with D is not expected. So this model naturally explains the constant intensity and spectral shape of the IC emission outside of the X-ray minimum.

454 4 Data Availability

The raw data of the *NuSTAR* and *XMM-Newton* observations are available from the NASA HEASARC
 archive https://heasarc.gsfc.nasa.gov.

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