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The genome of *Ectocarpus subulatus* highlights unique mechanisms for stress tolerance in brown algae

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31 Abstract

32

33 Brown algae are multicellular photosynthetic organisms belonging to the stramenopile lineage. They 34 are successful colonizers of marine rocky shores world-wide. The genus *Ectocarpus*, and especially 35 strain Ec32, has been established as a genetic and genomic model for brown algae. A related species, 36 Ectocarpus subulatus Kützing, is characterized by its high tolerance of abiotic stress. Here we present 37 the genome and metabolic network of a haploid male strain of E. subulatus, establishing it as a 38 comparative model to study the genomic bases of stress tolerance in Ectocarpus. Our analyses 39 indicate that *E. subulatus* has separated from *Ectocarpus* sp. Ec32 via allopatric speciation. Since this 40 event, its genome has been shaped by the activity of viruses and large retrotransposons, which in 41 the case of chlorophyll-binding proteins, may be related to the expansion of this gene family. We 42 have identified a number of further genes that we suspect to contribute to stress tolerance in E. 43 subulatus, including an expanded family of heat shock proteins, the reduction of genes involved in 44 the production of halogenated defense compounds, and the presence of fewer cell wall 45 polysaccharide-modifying enzymes. However, 96% of genes that differed between the two examined 46 Ectocarpus species, as well as 92% of genes under positive selection, were found to be lineage-47 specific and encode proteins of unknown function. This underlines the uniqueness of brown algae 48 with respect to their stress tolerance mechanisms as well as the significance of establishing E. subulatus as a comparative model for future functional studies. 49

50 Introduction

51 Brown algae (Phaeophyceae) are multicellular photosynthetic organisms that are successful 52 colonizers of rocky shores of the world's oceans, in particular in temperate and polar regions. In many 53 places they constitute the dominant vegetation in the intertidal zone, where they have adapted to 54 multiple stressors including strong variations in temperature, salinity, irradiation, and mechanical stress (wave action) over the tidal cycle (Davison and Pearson, 1996). In the subtidal environment, 55 56 brown algae form large kelp forests that harbor highly diverse communities. They are also harvested 57 as food or for industrial purposes, such as the extraction of alginates (McHugh, 2003). The worldwide 58 annual harvest of brown algae has reached 10 million tons by 2014 and is constantly growing (FAO, 59 2016). Brown algae share some basic photosynthetic machinery with land plants, but their plastids derived from a secondary or tertiary endosymbiosis event with a red alga, and they belong to an 60 61 independent lineage of Eukaryotes, the Stramenopiles (Archibald, 2009). This phylogenetic 62 background, together with their distinct habitat, contributes to the fact that brown algae have 63 evolved numerous unique metabolic pathways, life cycle features, and stress tolerance mechanisms.

64 To enable functional studies of brown algae, strain Ec32 of the small filamentous alga *Ectocarpus* sp. 65 has been established as a genetic and genomic model organism (Peters et al., 2004; Cock et al., 2010; 66 Heesch et al., 2010). This strain was formerly described as Ectocarpus siliculosus, but has since been 67 shown to belong to an independent clade by molecular methods (Stache-Crain et al., 1997; Peters et 68 al., 2015). More recently two additional brown algal genomes, that of the kelp species Saccharina japonica (Ye et al., 2015) and that of Cladosiphon okamuranus (Nishitsuji et al., 2016), have been 69 70 characterized. Comparisons between these three genomes have allowed researchers to obtain a first 71 overview of the unique genomic features of brown algae, as well as a glimpse of the genetic diversity 72 within this group. However, given the evolutionary distance between these algae, it is difficult to link 73 genomic differences to physiological differences and possible adaptations to their lifestyle. To be 74 able to generate more accurate hypotheses on the role of particular genes and genomic features for 75 adaptive traits, a common strategy is to compare closely related strains and species that differ only 76 in a few genomic features. The genus Ectocarpus is particularly well suited for such comparative 77 studies because it comprises a wide range of morphologically similar but genetically distinct strains 78 and species that have adapted to different marine and brackish water environments (Stache-Crain 79 et al., 1997; Montecinos et al., 2017). One species within this group, Ectocarpus subulatus Kützing 80 (Peters et al., 2015) has separated from Ectocarpus sp. Ec32 approximately 16 million years ago (Mya; 81 Dittami et al., 2012). It comprises isolates highly resistant to elevated temperature (Bolton, 1983) 82 and low salinity. A strain of this species was even isolated from freshwater (West and Kraft, 1996), 83 constituting one of the handful of known marine-freshwater transitions in brown algae (Dittami et 84 al., 2017).

Here we present the draft genome and metabolic network of a strain of *E. subulatus*, establishing the genomic basis for its use as a comparative model to study stress tolerance mechanisms, and in particular of low salinity tolerance, in brown algae. Similar strategies have previously been successfully employed in terrestrial plants, where "extremophile" relatives of model- or economically relevant species have been sequenced to explore new stress tolerance mechanisms in the green

lineage (Oh et al., 2012; Dittami and Tonon, 2012; Dassanayake et al., 2011; Amtmann, 2009; Ma et 90 al., 2013; Zeng et al., 2015). The study of the E. subulatus genome, and subsequent comparative 91 92 analysis with other brown algal genomes, in particular that of *Ectocarpus* sp. Ec32, provides insights 93 into the dynamics of *Ectocarpus* genome evolution and divergence, and highlights important 94 adaptive processes, such as a potentially retrotransposon driven expansion of the family of 95 chlorophyll-binding proteins with subsequent diversification. Most importantly, our analyses 96 underline that most of the observed differences between the examined species of *Ectocarpus* 97 correspond to lineage-specific proteins with yet unknown functions.

98 **Results**

99 Sequencing and assembly of the *E. subulatus* genome

A total of 34.7 Gb of paired-end read data and of 28.8 Gb of mate pair reads (corresponding to 45 100 101 million non-redundant mate-pairs) were obtained and used to generate an initial assembly with a 102 total length of 350 Mb, an N50 length of 159 kb, and 8% undefined bases (Ns). However, as sequencing was carried out on DNA from algal material that had not been treated with antibiotics, a 103 104 substantial part of the assembled scaffolds was of bacterial origin. Removal of these sequences from 105 the final assembly resulted in the final 227 Mb genome assembly with an average GC content of 54% 106 (Table 1). After all cleaning and filtering steps, and considering only algal scaffolds, the average 107 sequencing coverage was 67 X for the pair end library and the genomic coverage (number of unique 108 algal mate pairs * span size / assembly size) was 6.9, 14.4, and 30.4 X for the 3 kb, 5 kb, and 10 kb mate pair libraries, respectively. The bacterial sequences corresponded predominantly to 109 110 Alphaproteobacteria (50%, with the dominant genera Roseobacter 8% and Hyphomonas 5%) 111 followed by Gammaproteobacteria (18%) and Flavobacteria (13%). RNA-seq experiments yielded a total of 4.2 Gb of sequence data for a culture of E. subulatus Bft15b cultivated in seawater. 112 Furthermore, 4.5 Gb and 4.3 Gb were obtained for two libraries of a freshwater strain of E. subulatus 113 from Hopkins River Falls after growth in seawater and in diluted medium, respectively. Of these, 114 115 96.6% (Bft15b strain in seawater), 87.6% (freshwater strain in seawater), and 85.3% (freshwater strain in diluted medium) were successfully mapped against the final genome assembly of the Bft15b 116 117 strain.

118 Gene prediction and annotation

119 Gene prediction was carried out following the protocol employed for Ectocarpus sp. Ec32 (Cock et al., 2010) using Eugene. The number of predicted proteins was 60% higher than that predicted for 120 121 Ec32 (Table 1), but this difference can be explained to a large part by the fact that mono-exonic genes (many of which corresponding to transposases) were not removed from our predictions, but were 122 123 manually removed from the Ec32 genome. This is also coherent with the lower mean number of 124 introns per gene observed in the Bft15b strain. For 10,395 (40 %) of these predicted proteins 125 automatic annotations were generated based on BlastP searches against the Swiss-Prot database; 126 furthermore 724 proteins were manually annotated. The complete set of predicted proteins was 127 used to evaluate the completeness of the genome based on the presence of conserved core

eukaryote genes using BUSCO (Simão *et al.*, 2015). This revealed the *E. subulatus* genome to be 86%

129 complete using the full set of conserved eukaryotic genes, and 91% when not considering proteins130 also absent from all sequenced known brown algae.

131 **Repeated elements**

132 Using the REPET pipeline, we determined that, similar to results obtained for strain Ec32, the E. 133 subulatus genome consisted of 30% repeated elements, i.e. 10% less than S. japonica. The most 134 abundant groups of repeated elements were large retrotransposon derivatives (LARDs), followed by long terminal repeats (LTRs, predominantly Copia and Gypsy), and long and short interspersed 135 nuclear elements (LINEs). The overall distribution of sequence identity levels within superfamilies 136 137 showed two peaks, one at an identity level of 78-80%, and one at 96-100% (Figure 1), indicating two 138 periods of high transposon activity in the past. Terminal repeat retrotransposons in miniature (TRIM) 139 and LARDs, both non-autonomous groups of retrotransposons, were among the most conserved 140 families (Figure 1B). In line with previous observations carried out in Ectocarpus sp. Ec32, no 141 methylation was detected in the E. subulatus genomic DNA, an indication that methylation was most

142 likely not a mechanism to silence transposons in this species.

143 **Organellar genomes**

144 Plastid and mitochondrial genomes from *E. subulatus* have 95.5% and 91.5% sequence identity with 145 their Ectocarpus sp. Ec32 counterparts, respectively, in the conserved regions (Figure 2). The 146 mitochondrial genome of *E. subulatus* differed from that of *Ectocarpus* sp. Ec32 essentially with respect to the presence of three additional maturase genes, as well as one and two introns within 147 148 the 16S and 23S rRNA genes, respectively. A large structural difference was observed only in the 149 plastid genome where one inversion of ca. 50 kb in the small single copy (SSC) region may have occurred. Furthermore, small differences in gene contents of the E. subulatus plastid with respect to 150 151 Ectocarpus sp. Ec32 were detected around two inverted repeat (IR) regions concerning the following genes: psbC (gene truncated), psbD (IR region next to gene), rpoB (large gap, frameshift), and tRNA-152 153 Arg and tRNA-Glu (duplicated in the tRNA region). Pseudogenization of genes at the edge of IRs is 154 indeed a common phenomenon (Lee *et al.*, 2016).

155 Global comparison of predicted proteomes

156 GO-based comparisons

157 OrthoFinder was used to define clusters of predicted orthologs as well as species-specific proteins. As shown in Figure 3, 11,177 predicted Bft15b proteins had no ortholog in Ec32, while the reverse 158 159 was true for only 3,605 proteins of strain Ec32. Furthermore, among the clusters of genes, we 160 observed differences in copy number for several of the proteins between the two species. Using gene set enrichment analyses, we attempted to automatically identify functional groups of genes that 161 162 were over-represented either among the proteins specific to one or the other genome, or that were expanded in one of the two genomes. The results of these analyses point towards several functional 163 164 groups of proteins that were subject to recent variations between *E. subulatus* and *Ectocarpus* sp. 165 Ec32 (Figure 3). Categories identified as over-represented among the genes unique to E. subulatus include DNA integration, chlorophyll binding, and DNA binding, but also false positives such as red 166

light signaling, which arise from the presence of transposable elements in the genome (see 167

- Supporting Information File S1). However, no significantly enriched GO terms were found among 168
- protein families expanded in the E. subulatus genome. In contrast, several categories were over-169
- 170 represented among the genes and gene families specific to or expanded in the Ectocarpus sp. Ec32
- 171 strain, many of which were related either to signaling pathways or to the membrane and transporters (Figure 3), although differences with respect to membrane and transporters were not confirmed
- 172
- 173 after manual curation.

174 **Domain-based comparisons**

175 Domain-based comparisons were carried out to avoid a possible impact of moderate or poor-quality 176 annotations on the genomic comparisons. In total, 5,728 different InterPro domains were detected in both Ectocarpus genomes, with 133,448 and 133,052 instances in E. subulatus Bft15b and 177 178 Ectocarpus sp. Ec32 strains respectively. The most common domains in E. subulatus were Zinc finger, 179 CCHC-type (IPR001878, 3,861 instances), and Ribonuclease H-like (IPR012337, 3,742 instances). Both 180 were present less than 200 times in Ec32. The most common domains in Ectocarpus sp. Ec32 were 181 the ankyrin repeat and ankyrin repeat-containing domains (IPR002110, IPR020683: 4,138 and 4,062 occurrences vs ca. 3,000 in Bft15b). Two hundred and ninety-six domains were specific to Bft15b, 182 183 while 582 were specific to Ec32 (see Supporting Information Table S2).

Metabolic network-based comparisons 184

In total, the E. subulatus metabolic network reconstruction comprised 2,445 genes associated with 185 2,074 metabolic reactions and 2,173 metabolites in 464 pathways, 259 of which were complete 186 187 (Figure 3). These results are similar to data previously obtained for Ectocarpus sp. Ec32 (Prigent et 188 al., 2014; see http://gem-aureme.irisa.fr/ectogem for the most recent version; 1,977 reactions, 2,132 metabolites, 2,281 genes, 459 pathways, 272 complete pathways). Comparisons between both 189 190 networks were carried out on a pathway level (Supporting Information Table S3), focusing on 191 pathways present (*i.e.* complete to more than 50%) in one of the species, but with no reactions in 192 the other. This led to the identification of 16 pathways potentially specific to E. subulatus Bft15b, and 11 specific to Ectocarpus sp. Ec32, which were further manually investigated. In all of the 193 194 examined cases, the observed differences were due to protein annotation, but not due to the 195 presence/absence of proteins associated with these pathways in both species. For instance, the 196 pathways "spermine and spermidine degradation III" (PWY-6441) was only found in E. subulatus 197 because the corresponding genes had been manually annotated in this species, while this was not 198 the case in Ectocarpus sp. Ec32. On the other hand, three pathways related to methanogenesis (PWY-199 5247, PWY-5248, and PWY-5250) were falsely included in the metabolic network of E. subulatus due 200 to an overly precise automatic GO annotation of the gene Bft140 7. All in all, based on our network 201 comparisons, we confirmed no differences regarding the presence or absence of known metabolic 202 pathways in the two examined species of *Ectocarpus*.

203 **Genes under positive selection**

204 In total, 7,147 pairs of orthologs were considered to search for genes under positive selection 205 between the two examined strains of *Ectocarpus*, and we identified 83 gene pairs (1.2%) that 206 exhibited dN/dS ratios > 1 (Supporting Information Table S4). This proportion was low compared to

207 the 12% of genes under positive selection found in a study comprising also kelp and diatom species 208 (Teng et al., 2017). Note however, that our analysis focused on the global dN/dS ratio per gene, 209 rather than the local dN/dS ratio per codon site (implemented in codeml, PAML) used by Teng et al. 210 (2017). The gene pairs under positive selection may be related to the adaptation to the different 211 environmental niches occupied by the strains investigated. These gene pairs were examined 212 manually, but only one of them (Ec-11_002330, EsuBft305_15) could be assigned a function, i.e. a 213 putative mannosyl-oligosaccharide 1,2-alpha-mannosidase activity, possibly involved in glycoprotein 214 modification. Twelve additional pairs contained known protein domains (two Zinc finger domains, 215 one TIP49 domain, one DnaJ domain, one NADH-ubiquinone oxidoreductase domain, one SWAP 216 domain, and six ankyrin repeat domains). Ankyrin repeat domains were significantly over-217 represented among the genes under positive selection (p < 0.05, Fisher exact test), and the 218 corresponding genes were manually examined by best reciprocal blast search to ensure that they 219 corresponded to true orthologs. Only one pair was part of a protein family that had undergone recent 220 expansion (Ec-27_003170, EsuBft1157_2), and in this case phylogenetic analysis including the other 221 members of the family (EsuBft255 4, EsuBft2264 2, Ec-05 004510, Ec-08 002010) showed Ec-222 27 003170 and EsuBft1157 2 to form a branch with 100% bootstrap support (data not shown). The 223 remaining 70 pairs of proteins had entirely unknown functions, although four genes were located in 224 the pseudoautosomal region of the sex chromosome of Ectocarpus sp. Ec32. Out of the 83 genes 225 under positive selection 72 were found only in brown algae and another four only in stramenopiles 226 (e-value cutoff of 1e-10 against the nr database). They can thus be considered as taxonomically 227 restricted genes. Furthermore, 75 of these genes were expressed in at least one of the two 228 Ectocarpus species, and only 10 of the 83 genes encoded short proteins with less than 100 amino 229 acid residues, suggesting that the majority of these genes may be functional. None of them were 230 highly variable, as indicated by the fact that the dN/dS ratio exhibited a weak negative correlation 231 with the rate of synonymous mutations dS (Pearson Correlation coefficient r=-0.05, p < 0.001; Figure 232 4). This suggests that the split of *Ectocarpus* sp. Ec32 and *E. subulatus* was the result of allopatric 233 separation with subsequent speciation due to gradual adaptation to the local environment. Indeed, in cases of sympatric or parapatric speciation, genes under positive selection are predominant 234 235 among rapidly evolving genes (Swanson and Vacquier, 2002). There was no trend for positively 236 selected genes to be located in specific regions of the genome (dispersion index of genes under 237 positive selection close to a random distribution with values ranging between 0.7 and 0.8 depending 238 on the window size).

239 Manual examination of lineage-specific and of expanded genes and gene families

240 The focus of our work is on the genes specific to and expanded in *E. subulatus* and we only give a 241 brief overview of the situation regarding Ectocarpus sp. Ec32. It is important to consider that the E. 242 subulatus Bft15b genome is likely to be less complete than the Ec32 genome, which has been curated 243 and improved for over 10 years now (Cormier et al., 2017). Hence, regarding genes that are present 244 in Ec32 but absent in Bft15b, it is difficult to distinguish between the effects of a potentially 245 incomplete genome assembly and true gene losses in Bft15b. To further reduce this bias during the 246 manual examination of lineage-specific genes, the list of genes to be examined was reduced by 247 additional restrictions. First, only genes that did not have orthologs in S. japonica were considered.

This eliminated several predicted proteins that may have appeared to be lineage-specific due to 248 incomplete genome sequencing, but also proteins that have been recently lost in one of the 249 250 Ectocarpus species. Secondly, the effect of possible differences in gene prediction, notably the 251 manual removal of monoexonic gene models in Ectocarpus sp. Ec32, was minimized by including an 252 additional validation step: only proteins without corresponding nucleotide sequences (tblastn, e-253 value < 1e-10) in the other *Ectocarpus* genome were considered for manual examination. Thirdly, 254 only proteins with a length of at least 50 aa were retained. This reduced the number of lineage-255 specific proteins to be considered in strain Bft15b to 1,629, and in strain Ec32 to 689 (Supporting 256 Information Table S5).

257 In E. subulatus, among the 1,629 lineage-specific genes, 1,436 genes had no homologs (e-value < 1e-258 5) in the UniProt database: they are thus truly lineage-specific and have unknown functions. Among 259 the remaining 193 genes, 145 had hits (e-value < 1e-5) in Ectocarpus sp. Ec32. The majority 260 corresponds to multi-copy genes that had diverged prior to the separation of Ectocarpus and S. japonica, and for which the Ectocarpus sp. Ec32 and S. japonica orthologs were probably lost. The 261 262 remaining 48 genes were manually examined (genetic context, GC content, EST coverage); 18 of 263 them corresponded to probable bacterial contaminations and the corresponding scaffolds were 264 removed. Finally, the remaining 30 genes were manually annotated and classified: 13 had homology 265 only with uncharacterized proteins or were too dissimilar from characterized proteins to deduce 266 hypothetical functions; another eight probably corresponded to short viral sequences integrated into 267 the algal genome (EsuBft1730 2, EsuBft4066 3, EsuBft4066 2, EsuBft284 15, EsuBft43 11, 268 EsuBft551 12, EsuBft1883 2, EsuBft4066 4), and one (EsuBft543 9) was related to a 269 retrotransposon. Two adjacent genes (EsuBft1157 4, EsuBft1157 5) were also found in diatoms and 270 may be related to the degradation of cellobiose and the transport of the corresponding sugars. 271 Furthermore, two genes, EsuBft1440_3 and EsuBft1337_8, contained conserved motifs (IPR023307 272 and SSF56973) typically found in toxin families. Finally, two additional proteins, EsuBft36 20 and 273 EsuBft440 20, consisted almost exclusively of short repeated sequences of unknown function ("ALEW" and "GAAASGVAGGAVVVNG", respectively). 274

In *Ectocarpus* sp. Ec32, 97 proteins corresponded to the *E. siliculosus* virus-1 inserted into the Ec32 genome – no similar insertion was detected in *E. subulatus*. The large majority of proteins (511) corresponded to proteins of unknown function without matches in public databases. The remaining 81 proteins were generally poorly annotated, usually only via the presence of a domain. Examples are ankyrin repeat-containing domain proteins (12), Zinc finger domain proteins (6), proteins containing wall sensing component (WSC) domains (3), protein kinase-like proteins (3), and Notch domain proteins (2) (see Supporting Information Table S5).

Regarding expanded gene families, OrthoFinder indicated 232 clusters of orthologous genes (corresponding to 4,064 proteins) expanded in the genome of *E. subulatus*, and 450 expanded in *Ectocarpus* sp. Ec32 (corresponding to 1,685 proteins; Supporting Information Table S5). Manual examination of the *E. subulatus* expanded gene clusters revealed 48 of them (2,623 proteins) to be false positives, which can be explained essentially by split gene models or gene models associated with transposable elements predicted in the *E. subulatus* but not in the *Ectocarpus* sp. Ec32 genome. 288 The remaining 184 clusters (1,441 proteins) corresponded to proteins with unknown function (139 289 clusters, 1,064 proteins), 98% of which were found only in both *Ectocarpus* genomes. Furthermore, 290 nine clusters (202 proteins) represented sequences related to transposons predicted in both 291 genomes, and eight clusters (31 proteins) were similar to known viral sequences. Only 28 clusters 292 (135 proteins) could be roughly assigned to biological functions (Table 2). They comprised proteins 293 potentially involved in modification of the cell-wall structure (including sulfation), in transcriptional 294 regulation and translation, in cell-cell communication and signaling, as well as a few stress response 295 proteins, notably a set of HSP20s, and several proteins of the light-harvesting complex (LHC)

- 296 potentially involved in non-photochemical quenching.
- 297 Among the most striking examples of expansion in *Ectocarpus* sp. Ec32, we found different families
- 298 of serine-threonine protein kinase domain proteins present in 16 to 25 copies in Ec32 compared to
- only 5 or 6 (numbers of different families) in *E. subulatus*, Kinesin light chain-like proteins (34 vs. 13
- 300 copies), two clusters of Notch region containing proteins (11 and 8 vs. 2 and 1 copies), a family of
- 301 unknown WSC domain containing proteins (8 copies vs. 1), putative regulators of G-protein signaling
- 302 (11 vs. 4 copies), as well as several expanded clusters of unknown and of viral proteins.

303 Targeted manual annotation of specific pathways

Based on the results of automatic analysis but also on literature studies of genes that may be able to
 explain physiological differences between *E. subulatus* and *Ectocarpus* sp. Ec32, several gene families
 and pathways were manually examined and annotated.

307 Cell wall metabolism

308 Cell walls are key components of both plants and algae and, as a first barrier to the surrounding 309 environment, important for many processes including development and the acclimation to 310 environmental changes. Synthesis and degradation of cell wall oligo- and polysaccharides is 311 facilitated by carbohydrate-active enzymes (CAZymes) (http://www.cazy.org/; Cantarel et al. 2009). 312 These comprise several families including glycoside hydrolases (GHs) and polysaccharide lyases (PLs), 313 both involved in the cleavage of glycosidic linkages, glycosyltransferases (GTs), which create 314 glycosidic linkages, and additional enzymes such as the carbohydrate esterases (CEs) which remove 315 methyl or acetyl groups from substituted polysaccharides.

- The genome of the brown alga *E. subulatus* encodes 37 GHs (belonging to 17 GH families), 94 GTs
- 317 (belonging to 28 GT families), nine sulfatases (family S1-2), and 13 sulfotransferases, but lacks genes
- homologous to known PLs and CEs (Figure 5). In particular, the consistent lack of known alginate
- 319 lyases and cellulases in the *E. subulatus* and the other brown algal genomes suggests that other, yet
- 320 unknown genes, may be responsible for cell wall modifications during development. Overall, the
- 321 gene content of *E. subulatus* is similar to *Ectocarpus* sp. Ec32 and *S. japonica* in terms of the number
- of CAZY families, but slightly lower in terms of absolute gene number (Cock, *et al.* 2010; Ye *et al.* 2015; Figure 5). Especially *S. japonica* features an expansion of certain CAZY families probably related
- to the establishment of more complex tissues in this kelp (*i.e.* 82 GHs belonging to 17 GH families,
- 325 131 GTs belonging to 31 GT families).
- 326 E. subulatus is frequently found in brackish- and even freshwater environments (West and Kraft,
- 1996) where its cell wall exhibits little or no sulfation (Torode *et al.*, 2015). Hence, we also assessed

whether E. subulatus had reduced the gene families responsible for this process. Its genome encodes 328 329 only eight sulfatases and six sulfotransferases compared to ten and seven, respectively, in *Ectocarpus* 330 sp. Ec32. We also documented variations in the GT families, some being present in one or two of the 331 brown algal genomes considered, while absent in other(s) (e.g. GH30, GT15, GT18, GT24, GT25, 332 GT28, GT50, GT54, GT65, GT66, GT74, GT77). However, as gene numbers for these families are very low (e.q. the GT24 family has one member in Ectocarpus sp. Ec32, two in E. subulatus, and none in S. 333 334 japonica), the results must be taken with caution. Finally, Ectocarpus sp. Ec32 has previously been 335 reported to possess numerous proteins with WSC domains (Cock et al., 2010; Michel et al., 2010). 336 These were initially found in yeasts (Verna et al., 1997) where they act as cell surface 337 mechanosensors and activate the intracellular cell wall integrity signaling cascade in response to 338 hypo-osmotic shock (Gualtieri et al., 2004). In brown algae, these WSC domains may also regulate wall rigidity, through the control of the activity of appended enzymes, such as mannuronan C5-339 340 epimerases, which act on alginates (Hervé et al., 2016). Surprisingly, the total number of WSC 341 domains is reduced in E. subulatus compared to Ectocarpus sp. Ec32 with around 320 vs. 444 342 domains, respectively, based on InterProScan (Supporting Information Table S2). Additional 343 information regarding *E. subulatus* CAZYmes can be found in Supporting Information File S1.

344 Central and storage carbohydrate metabolism

345 A characteristic feature of brown algae is that they store carbohydrates not as glycogen or starch, 346 like most animals and plants, but as laminarin (Read et al., 1996). Brown algae also have the 347 particularity of using the photoassimilate D-fructose 6-phosphate to produce the alcohol sugar D-348 mannitol instead of sucrose like land plants. The E. subulatus genome contains similar sets of genes 349 for carbon storage compared to Ectocarpus sp. Ec32: all the genes encoding enzymes involved in 350 sucrose metabolism and starch biosynthesis are completely absent while all genes necessary for 351 trehalose synthesis, as well as laminarin synthesis and recycling were found. Also, three copies of 352 M1PDH genes were found in both *Ectocarpus* species compared to two in *S. japonica*, probably due 353 to a recent duplication of M1PDH1/M1PDH2 in the Ectocarpales (Tonon et al., 2017) (Supporting 354 Information File S1).

355 Sterol metabolism

356 Sterols are important modulators of membrane fluidity among eukaryotes, and provide the 357 backbone for signaling molecules (Desmond and Gribaldo, 2009). Fucosterol, cholesterol, and 358 ergosterol are the most abundant sterols in Ectocarpus sp. Ec32, where their relative abundance 359 varies according to sex and temperature (Mikami et al., 2018). All three molecules are thought to be 360 synthesized from squalene by a succession of 12 to 14 steps, relying on a roughly conserved set of 361 twelve enzymes (Desmond and Gribaldo, 2009). The E. subulatus and Ectocarpus sp. Ec32 genomes each encode homologs of twelve of them (SQE, CAS, CYP51, FK, SMO, HSD3B, EBP, CPI1, DHCR7, 362 SC5DL, and two SMTs). The remaining two, a delta-24-reductase (DHCR24) and a C22 desaturase 363 (CYP710), were probably lost secondarily. In land plants, these latter enzymes are involved in the two 364 steps transforming fucosterol into stigmasterol. Fucosterol is the main sterol in brown algae, and 365 366 provides a substrate for saringosterol, a brown-alga specific C24-hydroxylated fucosterol-derivative 367 with antibacterial activity (Wächter et al., 2001).

368 Algal defense: metabolism of phenolics and halogens

369 Polyphenols are a group of defense compounds in brown algae that are likely to be important both 370 for abiotic (Pavia et al., 1997) and biotic stress tolerance (Geiselman and McConnell, 1981). Brown algae produce specific polyphenols called phlorotannins, which are analogous to land plant tannins. 371 372 These products are polymers of phloroglucinol, which are synthesized via the activity of a 373 phloroglucinol synthase, a type III polyketide synthase characterized in *Ectocarpus* sp. Ec32 (Meslet-374 Cladière et al., 2013). In analogy to the flavonoid pathway of land plants, the further metabolism of 375 phlorotannins is thought to be driven by members of chalcone isomerase-like (CHIL), aryl 376 sulfotransferase (AST), flavonoid glucosyltransferase (FGT), flavonoid O-methyltransferase (OMT), 377 polyphenol oxidase (POX), and tyrosinase (TYR) families (Cock et al., 2010). While copy numbers 378 between the two Ectocarpus species and S. japonica are identical for PKS III, CHIL, FGT, OMT and 379 POX, E. subulatus encodes fewer ASTs and TYRs (Figure 5). In the case of ASTs, this may be related to 380 the lower concentration of sulfate in low salinity environments frequently colonized by *E. subulatus*.

381 A second important and original defense mechanism in brown algae is the production of halogenated 382 compounds via the activity of halogenating enzymes, e.q. the vanadium-dependent haloperoxidase (vHPO). While S. japonica has recently been reported to possess 17 potential bromoperoxidases 383 384 (vBPO) and 59 putative iodoperoxidases (vIPO) (Ye et al., 2015), Ectocarpus sp. Ec32 and E. subulatus 385 possess only a single vBPO each and no vIPO, but have in turn slightly expanded a haloperoxidase 386 family closer to vHPO characterized in several marine bacteria (Fournier et al., 2014) (Figure 5). One 387 difference between the two Ectocarpus species is that E. subulatus Bft15b possesses only three vHPO 388 genes compared to the five copies found in the genome of Ec32. In addition, homologs of thyroid 389 peroxidases (TPOs) may also be involved in halide transfer and stress response. Again, Ec32 and 390 Bft15b show a reduced set of these genes compared to S. japonica, and Ec32 contains more copies 391 than Bft15b. Finally, a single haloalkane dehalogenase (HLD) was found exclusively in *Ectocarpus* sp. 392 Ec32.

393 **Transporters**

Transporters are key actors driving salinity tolerance in terrestrial plants (Volkov, 2015). We 394 therefore carefully assessed potential differences in this group of proteins that may explain 395 396 physiological differences between Ec32 and Bft15b based on the five main categories of transporters 397 described in the Transporter Classification Database (TCDB) (Saier et al., 2016): channels/pores, 398 electrochemical potential-driven transporters, primary active transporters, group translocators, and 399 transmembrane electron carriers. A total of 292 genes were identified in E. subulatus (Supporting 400 Information Table S1). They consist mainly of transporters belonging to the three first categories 401 listed above. All 27 annotated transporters of the channels/pores category belong to the alpha-type 402 channel (1.A.) and are likely to be involved in movements of solutes by energy-independent 403 processes. One hundred and forty-five proteins were found to correspond to the second category 404 (electrochemical potential-driven transporters) containing transporters using a carrier-mediated 405 process to catalyze uniport, antiport, or symport. The most represented superfamilies are APC 406 (Amino Acid-Polyamine-Organocation, 24), DMT (Drug/Metabolite Transporter, 16), MFS (Major 407 Facilitator Superfamily, 32), and MC (Mitochondrial Carrier, 34). Primary active transporters (third 408 category) use a primary source of energy to drive the active transport of a solute against a 409 concentration gradient. Eighty proteins representing this category were found in the *E. subulatus* 410 genome, including 59 ABC transporters and 15 belonging to the P-type ATPase superfamily. No 411 homologs of group translocators or transmembrane electron carriers were identified, but 14 412 transporters were classified as category 9, which is poorly characterized. A 1:1 ratio of orthologous 413 genes coding for all of the transporters described above was observed between both *Ectocarpus*

- genomes, except for EsuBft583_3, an anion-transporting ATPase, which is also present in diatoms
- 415 and *S. japonica*, but may have been recently lost in *Ectocarpus* sp. Ec32.

416 Abiotic stress-related genes

417 Reactive oxygen species (ROS) scavenging enzymes, including ascorbate peroxidases, superoxide dismutases, catalases, catalase peroxidases, glutathione reductases, (mono)dehydroascorbate 418 419 reductases, and glutathione peroxidases are important for the redox equilibrium of organisms (see 420 Das and Roychoudhury 2014 for a review). An increased reactive oxygen scavenging capacity has 421 been correlated with stress tolerance in brown algae (Collén and Davison, 1999). In the same vein, 422 chaperone proteins including heat shock proteins (HSPs), calnexin, calreticulin, T-complex proteins, 423 and tubulin-folding co-factors are important for protein re-folding under stress. The transcription of 424 these genes is very dynamic and generally increases in response to stress in brown algae (Roeder et 425 al., 2005; Mota et al., 2015). In total, 104 genes encoding members of the protein families listed 426 above were manually annotated in the E. subulatus Bft15b genome (Supporting Information Table 427 1). However, with the exception of HSP20 proteins which were present in three copies in Bft15b vs. 428 one copy in Ec32 and had already been identified in the automatic analysis, no clear difference in 429 gene number was observed between the two Ectocarpus species.

430 Different families of chlorophyll-binding proteins (CBPs), such as the LI818/LHCX family, have been 431 suspected to be involved in non-photochemical quenching (Peers et al., 2009). CBPs have been 432 reported to be up-regulated in response to abiotic stress in stramenopiles (*e.g.* Zhu and Green 2010; 433 Dong et al. 2016), including Ectocarpus (Dittami et al., 2009), probably as a way to deal with excess 434 light energy when photosynthesis is affected. They have also previously been shown to be among 435 the most variable functional groups of genes between Ectocarpus sp. Ec32 and E. subulatus by 436 comparative genome hybridization experiments (Dittami et al., 2011). We have added the putative 437 E. subulatus CBPs to a previous phylogeny of Ectocarpus sp. Ec32 CBPs (Dittami et al., 2010) and 438 found both a small group of LHCX CBPs as well as a larger group belonging to the LHCF/LHCR family 439 that have probably undergone a recent expansion (Figure 6). Although some of the proteins 440 appeared to be truncated (marked with asterisks), all of them were associated with at least some 441 RNA-seq reads, suggesting that they may be functional. A number of LHCR family proteins were also 442 flanked by LTR-like sequences as predicted by the LTR-harvest pipeline (Ellinghaus et al., 2008).

443 **Discussion**

Here we present the draft genome and metabolic network of *E. subulatus* strain Bft15b, a brown alga
which, compared to *Ectocarpus* sp. Ec32, is characterized by high abiotic stress tolerance (Bolton,
1983; Peters *et al.*, 2015). Based on time-calibrated molecular trees, both species separated roughly

16 Mya (Dittami *et al.*, 2012), *i.e.* slightly before *e.g.* the split between *Arabidopsis thaliana* and *Thellungiella salsuginea* 7-12 Mya (Wu *et al.*, 2012). According to our analysis, the split between *Ectocarpus* sp. Ec32 and *E. subulatus* was probably due to allopatric separation with subsequent
adaptation of *E. subulatus* to highly fluctuating and low salinity habitats leading to speciation.

451 Genome evolution of *Ectocarpus* species driven by transposons and viruses

452 Compared to the extremophile plant models T. salsuginea or Arabidopsis lyrata which have almost 453 doubled in genome size with respect to A. thaliana, the E. subulatus genome is only approximately 454 23% larger than that of Ectocarpus sp. Ec32. In T. salsugineg and A. lyrata, the observed expansion was attributed mainly to the activity of transposons (Wu et al., 2012; Hu et al., 2011). In the case of 455 456 Ectocarpus, we also observed traces of recent transposon activity, especially from LTR transposons, 457 which is in line with the absence of DNA methylation, and bursts in transposon activity have indeed 458 been identified as one potential driver of local adaptation and speciation in other model systems 459 such as salmon (de Boer et al., 2007). Furthermore, LTRs are known to mediate the 460 retrotransposition of individual genes, leading to the duplication of the latter (Tan et al., 2016). In 461 the E. subulatus genome, only a few cases of gene duplication were observed since the separation 462 from *Ectocarpus* sp. Ec32, and in most of them no indication of the involvement of LTRs was found. 463 The only exception was a recent expansion of the LHCR family, in which proteins were flanked by a 464 pair of LTR-like sequences. These elements lacked both the group antigen (GAG) and reverse 465 transcriptase (POL) proteins, which implies that, if retro-transposition was the mechanism underlying the expansion of this group of proteins, it would have depended on other active transposable 466 467 elements to provide these activities.

468 The second major factor that impacted the *Ectocarpus* genomes were viruses. Viral infections are a 469 common phenomenon in Ectocarpales (Müller et al., 1998), and a well-studied example is the 470 Ectocarpus siliculosus virus-1 (EsV-1) (Delaroque et al., 2001). It was found to be present latently in 471 host cells of several strains of Ectocarpus sp. closely related to strain Ec32, and has also been found 472 integrated in the genome of the latter strain, although it is not expressed (Cock et al., 2010). As 473 previously indicated by comparative genome hybridization experiments (Dittami et al., 2011), the E. 474 subulatus genome does not contain a complete EsV-1 like insertion, although a few shorter EsV-1-475 like proteins were found. Thus, the EsV-1 integration observed in Ectocarpus sp. Ec32 has likely occurred after the split with E. subulatus. This, together with the presence of other viral sequences 476 477 specific to E. subulatus, indicates that, in addition to transposable elements, viruses have shaped the 478 Ectocarpus genomes over the last 16 million years.

479 Few classical stress response genes but no transporters involved in480 adaptation

A main aim of this study was to identify gene functions that may potentially be responsible for the high abiotic stress and salinity tolerance of *E. subulatus*. Similar studies on genomic adaptation to changes in salinity or to drought in terrestrial plants have previously highlighted genes generally involved in stress tolerance to be expanded in "extremophile" organisms. Examples are the expansion of catalase, glutathione reductase, and heat shock protein families in desert poplar (Ma

et al., 2013), arginine metabolism in jujube (Liu et al., 2014), or genes related to cation transport, 486 487 abscisic acid signaling, and wax production in T. salsuginea (Wu et al., 2012). In our study, we found 488 a few genomic differences that match these expectations. E. subulatus possesses two additional 489 HSP20 proteins and has an expanded family of CBPs probably involved in non-photochemical 490 quenching, which may contribute to its high stress tolerance. It also has a slightly reduced set of 491 genes involved in the production of halogenated defense compounds which may be related to its 492 habitat preference: E. subulatus is frequently found in brackish and even freshwater environments 493 with low availability of halogens. It also specializes in highly abiotic stressful habitats for brown algae 494 and may thus invest less energy in halogen-based defense.

495 Another anticipated adaptation to life in varying salinities lies in modifications of the cell wall. 496 Notably, the content of sulfated polysaccharides is expected to play a crucial role as these 497 compounds are present in all marine plants and algae, but absent in their freshwater relatives 498 (Kloareg and Quatrano, 1988; Popper et al., 2011). The fact that we found only small differences in 499 the number of encoded sulfatases and sulfotransferases indicates that the absence of sulfated cell-500 wall polysaccharides previously observed in *E. subulatus* in low salinities (Torode et al., 2015) is 501 probably a regulatory effect or simply related to the availability of sulfate depending on the salinity. 502 This is also coherent with the wide distribution of *E. subulatus*, which comprises marine, brackish 503 water, and freshwater environments.

Finally, transporters have previously been described as a key element in plant adaptation to different 504 505 salinities (see Rao et al., 2016 for a review). Similar results have also been obtained for Ectocarpus in 506 a study of quantitative trait loci (QTLs) associated with salinity and temperature tolerance (Avia et 507 al., 2017). In our study, however, we found no indication of genomic differences related to 508 transporters between the two species. This observation corresponds to previous physiological 509 experiments indicating that *Ectocarpus*, unlike many terrestrial plants, responds to strong changes 510 in salinity as an osmoconformer rather than an osmoregulator, *i.e.* it allows the intracellular salt 511 concentration to adjust to values close to the external medium rather than keeping the intracellular 512 ion composition constant (Dittami et al., 2009).

513 Genes related to cell-cell communication are under positive selection

514 In addition to genes that may be directly involved in the adaptation to the environment, we found several gene clusters containing domains potentially involved in cell-cell signaling that were 515 516 expanded in the Ectocarpus sp. Ec32 genome (Table 2), notably a family of ankyrin repeat-containing 517 domain proteins (Mosavi et al., 2004) was more abundant in Ec32. Furthermore, we identified six 518 ankyrin repeat-containing domain proteins among the genes under positive selection between the 519 two species. The exact function of these proteins, however, is still unknown. The only well-annotated 520 gene under positive selection, a mannosyl-oligosaccharide 1,2-alpha-mannosidase, is probably 521 involved in the modification of glycoproteins which are also important for cell-cell interactions 522 (Tulsiani et al., 1982). Although these genes are not rapidly evolving in Ectocarpus, these observed 523 differences may be, in part, responsible for the existing pre-zygotic reproductive barrier between the 524 two examined species of Ectocarpus (Lipinska et al., 2016).

525 Genes of unknown function and lineage-specific genes are likely to play a 526 dominant role in adaptation

527 Despite the gene functions identified as potentially involved in adaptation and speciation above, it is 528 important to keep in mind that the vast majority of genomic differences between the two species of 529 Ectocarpus corresponds to proteins of entirely unknown functions. Among the 83 gene pairs under 530 positive selection, 84% were also entirely unknown, and 92% represented genes taxonomically 531 restricted to brown algae. In addition, we identified 1,629 lineage-specific genes, of which 88% were 532 entirely unknown. These genes were for the most part expressed and are thus likely to correspond 533 to true genes. For the lineage-specific genes, their absence from the Ectocarpus sp. Ec32 and S. 534 japonica genomes was also confirmed on the nucleotide level. A large part of the mechanisms that 535 underlie the adaptation to different ecological niches in *Ectocarpus* may, therefore, lie in these genes 536 of unknown function. This can be explained in part by the fact that still only few brown algal genomes 537 are available and that currently most of our knowledge on the functions of their proteins is based on 538 studies in model plants, animals, yeast, or bacteria. Brown algae, however, are part of the 539 stramenopile lineage that has evolved independently from the former for over 1 billion years (Yoon 540 et al., 2004). They differ from land plants even in otherwise highly conserved aspects, for instance in 541 their life cycles, their cell walls, and their primary metabolism (Charrier et al., 2008). Furthermore, 542 substantial contributions of lineage-specific genes to the evolution of organisms and the 543 development of innovations have also been described for animal models (see Tautz and Domazet-544 Lošo, 2011 for a review) and studies in basal metazoans furthermore indicate that they are essential 545 for species-specific adaptive processes (Khalturin et al., 2009).

546 Despite the probable importance of unknown and lineage-specific genes for local adaptation, 547 Ectocarpus may still heavily rely on classical stress response genes for abiotic stress tolerance. Many 548 of the gene families known to be related to stress response in land plants (including transporters and genes involved in cell wall modification) for which no significant differences in gene contents were 549 550 observed, have previously been reported to be strongly regulated in response to environmental 551 stress in Ectocarpus (Dittami et al., 2009; Dittami et al., 2012; Ritter et al., 2014). This high 552 transcriptomic plasticity is probably one of the features that allow Ectocarpus to thrive in a wide 553 range of environments and may form the basis for its capacity to further adapt to "extreme 554 environments" such as freshwater (West and Kraft, 1996).

555 **Conclusion and future work**

556 We have shown that E. subulatus has separated from Ectocarpus sp. Ec32 probably via a mechanism 557 of allopatric speciation. Its genome has since been shaped mainly by the activity of viruses and 558 transposons, particularly large retrotransposons. Over this period of time, E. subulatus has adapted 559 to environments with high abiotic variability including brackish water and even freshwater. We have 560 identified a number of genes that likely contribute to this adaptation, including HSPs, CBPs, a reduction of genes involved in halogenated defense compounds, or some changes in cell wall 561 562 polysaccharide modifying enzymes. However, the vast majority of genes that differ between the two 563 examined Ectocarpus species or that have recently been under positive selection are lineage-specific and encode proteins of unknown function. This underlines the fundamental differences that exist 564

565 between brown algae and terrestrial plants or other lineages of algae. Studies as the present one,

- 566 *i.e.* without strong *a priori* assumptions about the mechanisms involved in adaptation, are therefore
- 567 essential to start elucidating the specificities of this lineage as well as the various functions of the
- unknown genes. Finally, *E. subulatus* has become an important brown algal model to study the role
- of algal-bacterial interactions in response to environmental changes. This is due mainly to its
- 570 dependence on specific bacterial taxa for freshwater tolerance (KleinJan *et al.*, 2017; Dittami *et al.*, 2016). The presented algal genome and metabolic network are indispensable tools in this context as
- 572 well, as they will allow for the separation of algal and bacterial responses in culture experiments, and
- 573 facilitate the implementation of global approaches based on the use of metabolic network
- 574 reconstructions (Dittami *et al.*, 2014; Levy *et al.*, 2015).

575 Materials and Methods

576 Biological material. Haploid male parthenosporophytes of E. subulatus strain Bft15b (Culture Collection of Algae and Protozoa CCAP accession 1310/34), isolated in 1978 by Dieter G. Müller in 577 578 Beaufort, North Carolina, USA, were grown in 14 cm (ca. 100 ml) Petri Dishes in Provasoli-enriched 579 seawater (Starr and Zeikus, 1993) under a 14/10 daylight cycle at 14°C. Approximately 1 g fresh 580 weight of algal culture was dried on a paper towel and immediately frozen in liquid nitrogen. For 581 RNA-seq experiments, in addition to Bft15b, a second strain, the diploid freshwater strain CCAP 1310/196 isolated from Hopkins River Falls, Australia (West and Kraft, 1996), was included. One 582 583 culture was grown as described above for Bft15b, and for a second culture, seawater was diluted 20-584 fold with distilled water prior to the addition of Provasoli nutrients (Dittami et al., 2012).

Flow cytometry experiments to measure nuclear DNA contents were carried out as described (Bothwell *et al.*, 2010), except that young sporophyte tissue was used instead of gametes. Samples of the genome-sequenced *Ectocarpus* sp. strain Ec32 (CCAP accession 1310/4 from San Juan de Marcona, Peru), were run in parallel as a size reference.

- 589 Nucleic acid extraction and sequencing. DNA and RNA were extracted using a phenol-chloroform-590 based method according to Le Bail et al. (2008). For DNA sequencing, four Illumina libraries were 591 prepared and sequenced on a HiSeq 2000: one paired-end library (Illumina TruSeq DNA PCR-free LT 592 Sample Prep kit #15036187, sequenced with 2x100 bp read length), and three mate-pair libraries with span sizes of 3kb, 5kb, and 10kb respectively (Nextera Mate Pair Sample Preparation Kit; 593 594 sequenced with 2x50bp read length). One poly-A enriched RNA-seq library was generated for each 595 of the three aforementioned cultures according to the Illumina TruSeg Stranded mRNA Sample Prep 596 kit #15031047 protocol and sequenced with 2x50 bp read length.
- 597 Methylation. The degree of DNA methylation was examined by HPLC on CsCl-gradient purified DNA
 598 (Le Bail *et al.*, 2008) from three independent cultures per strain as previously described (Rival *et al.*,
 599 2013).
- Sequence assembly. Redundancy of mate pairs (MPs) was reduced by mapping MPs to a preliminary
 assembly, to mitigate the negative effect of redundant chimeric MPs during scaffolding. Clean DNA

reads were assembled using SOAPDenovo2 (Luo *et al.*, 2012). Scaffolding was then carried out using

- 603 SSPACE basic 2.0 (Boetzer *et al.*, 2011) (trim length up to 5 bases, min 3 links to scaffold contigs, min
- 604 15 reads to call a base during an extension) followed by a run of GapCloser (part of the SOAPDenovo
- 605 package, default settings). Alternative assemblers (CLC and Velvet) were also tested but yielded
- significantly lower final contig and scaffold lengths. RNA-seq reads were cleaned using Trimmomatic
- 607 (default settings), first assembled *de novo* using Trinity 2.1.1 (Grabherr *et al.*, 2011) and filtered by
- 608 coverage with an FPKM cutoff of 1. Later, a second genome-guided assembly was performed with
- 609 Tophat2 and with Cufflinks.
- 610 **Removal of bacterial sequences:** As cultures were not treated with antibiotics prior to DNA 611 extraction, bacterial scaffolds were removed from the final assembly using the taxoblast pipeline 612 (Dittami and Corre, 2017). Every scaffold was cut into fragments of 500 bp, and these fragments were 613 aligned (blastn, e-value cutoff 0.01) against the GenBank non-redundant nucleotide (nt) database. 614 Scaffolds for which more than 90% of their 500 bp-fragments had bacterial sequences as best blast 615 hits were removed from the assembly (varying this threshold between 30 and 95% resulted in only
- 616 very minor differences in the final assembly). "Bacterial" scaffolds were submitted to the MG-Rast
- 617 server to obtain an overview of the taxa present in the sample (Meyer *et al.,* 2008).
- 618 **Repeated elements** were searched for *de novo* using TEdenovo and annotated using TEannot with 619 default parameters. Both tools are part of the REPET pipeline (Flutre *et al.*, 2011), of which version 620 2.5 was used for our dataset.
- Assessment of genome completeness: BUSCO 2.0 analyses (Simão *et al.*, 2015) were run on the servers of the IPlant Collaborative (Goff *et al.*, 2011) with the general eukaryote database as a reference and default parameters. BUSCO internally uses Augustus (Stanke *et al.*, 2004) to predict protein coding sequences. As the latter tool performed poorly on both *Ectocarpus* strains in preliminary tests, predicted proteins were used as input instead of DNA sequences.
- 626 **Organellar genomes**, *i.e.* plastid and mitochondrion, were manually assembled based on scaffolds 627 416 and 858 respectively, using the published genome of *Ectocarpus* sp. Ec32 as a guide (Delage *et* 628 *al.*, 2011; Le Corguillé *et al.*, 2009; Cock *et al.*, 2010). In the case of the mitochondrial genome, the 629 correctness of the manual assembly was verified by PCR where manual and automatic assemblies 630 diverged. Both organellar genomes were visualized using OrganellarGenomeDRAW (Lohse *et al.*, 631 2013) and aligned with the *Ectocarpus* sp. Ec32 organelles using Mauve 2.3.1 (Darling *et al.*, 2004).
- **Gene prediction.** Putative protein-coding sequences were identified using Eugene 4.1c (Foissac *et al.*, 2008). RNA-seq reads were mapped against the assembled genome using GenomeThreader 1.6.5, and all available proteins from the Swiss-Prot database (Dec. 2014) as well as predicted proteins from the *Ectocarpus* sp. Ec32 genome (Cock *et al.*, 2010) were aligned to the genome using KLAST (Nguyen and Lavenier, 2009). Both aligned *de novo*-assembled transcripts and proteins were provided to Eugene for gene prediction, which was run with the parameter set previously optimized for the *Ectocarpus* sp. Ec32 genome (Cock *et al.*, 2010).

Functional annotation. Predicted proteins were compared to the Swiss-Prot database by BlastP search (e-value cutoff 1e-5), and the results imported to Blast2GO (Götz *et al.*, 2008), which was used to run InterPro domain searches and automatically annotate proteins with a description, GO numbers, and EC codes. The genome and all automatic annotations were imported into Apollo (Lee *et al.*, 2013; Dunn *et al.*, 2017) for manual curation.

644 Metabolic network reconstruction. The E. subulatus genome-scale metabolic model (GEM) 645 reconstruction was carried out as previously described by Prigent et al. (2014) by merging an 646 annotation-based reconstruction obtained with Pathway Tools (Karp et al., 2016) and an orthology-647 based reconstruction based on the Arabidopsis thaliana metabolic network AraGEM (de Oliveira 648 Dal'Molin et al., 2010) using Pantograph (Loira et al., 2015). A final step of gap-filling was then carried 649 out using the Meneco tool (Prigent et al., 2017). The entire reconstruction pipeline is available via 650 the AuReMe workspace (Aite et al., 2018; http://aureme.genouest.org/). For pathway-based 651 analyses, pathways that contained only a single reaction or that were less than 50% complete were 652 not considered.

653 Genome comparisons. Functional comparisons of gene contents were based primarily on orthologous clusters of genes shared with version 2 of the Ectocarpus sp. Ec32 genome (Cormier et 654 655 al., 2017) as well as the Saccharina japonica (Areschoug) genome (Ye et al., 2015). They were 656 determined by the OrthoFinder software version 0.7.1 (Emms and Kelly, 2015). For any predicted 657 proteins that were not part of a multi-species cluster, we verified the absence in the other two 658 genomes also by tblastn searches. Proteins without hit (threshold e-value of 1e-10) were considered 659 lineage-specific proteins. Blast2GO 3.1 (Götz et al., 2008) was then used to identify significantly 660 enriched GO terms among the lineage-specific genes or the expanded gene families (Fischer's exact 661 test with FDR correction FDR<0.05). In parallel, a manual examination of these genes was carried 662 out. Furthermore, we compared both Ectocarpus genomes with respect to the presence or absence 663 of Interpro domain annotations. A third approach consisted in identifying clusters of genes that were 664 expanded in either of the two Ectocarpus genomes. All protein families expanded in the E. subulatus 665 genome were manually examined.

Genes under positive selection. We examined clusters of orthologous genes with one homolog in E. 666 667 subulatus and one in Ectocarpus sp. Ec32 to search for genes potentially under positive selection. To 668 this means, pairwise alignments of protein-coding nucleotide sequences were performed using 669 TranslatorX (Abascal et al., 2010) and Muscle (Edgar, 2004). The aligning regions were then analyzed 670 in the yn00 package of PaML4.4 (Yang, 2007), and all proteins with a ratio of non-synonymous to 671 synonymous mutations (dN/dS) > 1 were manually examined. The distribution of these genes across 672 the genome was examined by calculating variance to mean ratios based on window sizes of 50 to 673 500 genes.

674 **Phylogenetic analyses.** Phylogenetic analyses were carried out for gene families of particular 675 interest. For chlorophyll-binding proteins (CBPs), reference sequences were obtained from a 676 previous study (Dittami *et al.*, 2010), and aligned together with *E. subulatus* and *S. japonica* CBPs 677 using MAFFT (G-INS-i) (Katoh *et al.*, 2002). Alignments were then manually curated, conserved positions selected in Jalview (Waterhouse *et al.*, 2009), and maximum likelihood analyses carried out using PhyML 3.0 (Guindon and Gascuel, 2003), the LG substitution model, 100 bootstrap replicates, and an estimation of the gamma distribution parameter. The resulting phylogenetic tree was visualized using MEGA7 (Kumar *et al.*, 2016). The same procedure was also used in the case of selected Ankyrin Repeat domain-containing proteins.

Data availability: Raw sequence data (genomic and transcriptomic reads) as well as assembled 683 684 scaffolds and predicted proteins and annotations were submitted to the European Nucleotide 685 Archive (ENA) under project accession number PRJEB25230 using the EMBLmyGFF3 script (Dainat and Gourlé, 2018). A JBrowse (Skinner et al., 2009) instance comprising the most recent annotations 686 687 is available via the server of the Station Biologique de Roscoff (http://mmo.sb-688 roscoff.fr/jbrowseEsu/?data=data/public/ectocarpus/subulatus bft). The reconstructed metabolic 689 network of E. subulatus is available at http://gem-aureme.irisa.fr/sububftgem. Additional resources 690 including a blast and annotations server are available at http://application.sb-691 roscoff.fr/project/subulatus/index.html. The complete set of manual annotations is provided in 692 Supporting Information Table S1.

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1079 Figures



Figure 1: Repeated elements identified within the genome of *E. subulatus*. A) Different superfamilies
 detected; B) Boxplot of sequence identity levels for the 20 most abundant transposon families; C)
 Distribution of sequence identities in the superfamilies.

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Figure 2: Mitochondrial and plastidial genomes of *E. subulatus* Bft15b visualized using
 OrganellarGenomeDRAW (Lohse *et al.*, 2013) (panels A and B), and aligned with the *Ectocarpus* sp.
 Ec32 organelles using Mauve (panels C and D). In panels C and D blocks of the same color correspond
 to orthologous sequences.

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Figure 3: Comparison of gene content and metabolic capacities of *E. subulatus* and *Ectocarpus* sp. 1090 1091 Ec32. The top part of the Venn diagram displays the number of predicted proteins and protein 1092 clusters unique and common to both genomes in the OrthoFinder analysis. The middle part shows 1093 GO annotations significantly enriched (FDR \leq 0.05) among these proteins. For the common clusters, 1094 the diagram also contains the results of gene set enrichment analyses for annotations found among 1095 clusters expanded in E. subulatus Bft15b and those expanded in Ectocarpus sp. Ec32. Functional 1096 annotations not directly relevant to the functioning of *Ectocarpus* or shown to be false positives are 1097 shown in grey and italics. The bottom part shows the comparison of both genomes in terms of their 1098 metabolic pathways.





Figure 4: Rate of non-synonymous (dN) to synonymous (dS) mutations in 7,147 orthologous gene pairs with one copy in each examined species of *Ectocarpus*. Gene pairs with dN/dS > 1 are considered to be under positive selection and displayed in red panel A. The resulting ratio was plotted against the rate of synonymous mutations (speed of evolution) and dotted line corresponds to a linear regression (panel B).

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GENES:	E. sp.	E. su	S. ja	GENES:	E. sp.	E. su	S. ja		GENES:	E. sp.	E. su	S. ja
Glycosyltrans	ferases		-	GT54	1	0	0		Glycoside hyd	drolases		-
GT1	1	1	3	GT57	2	2	2		GH1	3	2	1
GT2	11	9	24	GT58	1	1	1		GH2	2	3	3
GT4	13	14	13	GT59	1	1	0		GH3	1	1	1
GT7	1	1	1	GT60	3	4	1		GH5	2	2	2
GT8	3	4	5	GT64	2	2	3		GH10	1	1	1
GT10	1	1	2	GT65	1	0	0		GH13	0	0	1
GT13	2	2	1	GT66	1	0	0		GH16	6	7	3
GT14	5	5	1	GT68	0	0	2		GH17	1	1	2
GT15	1	0	0	GT74	1	0	1		GH18	0	0	1
GT18	0	1	0	GT76	1	1	1		GH30	1	0	1
GT20	6	8	4	GT77	1	0	11		GH31	1	1	0
GT22	3	3	2	GT90	0	0	1		GH36	2	2	0
GT23	2	2	17	GT92	0	0	2		GH37	1	1	1
GT24	1	2	0	GTnc	0	6	0		GH38	1	1	0
GT25	3	0	1						GH47	5	5	2
GT27	0	0	1	Alginate met	abolism				GH63	1	1	0
GT28	0	3	4	MPI	4	4	5		GH81	5	4	53
GT31	3*	5	4	PMM	1	0	1		GH85	1	1	1
GT33	1	1	0	MPG	0	0	?		GH88	6	3	1
GT34	1	1	1	GMD	3	2	3		GH95	1	1	0
GT41	3	3	4	MS	2	1	1		GH114	0	0	7
GT47	8	5	14	ManC5-E	28	24	105		GH128	0	0	1
GT48	3	4	2					_				
GT49	3	2	1	Fucan metab	olism				Phenolics			
GT50	1	0	1	FK	2	1	1		PKS III	3	3	2
	-			GFPP	2	1	1		CHIL	2	2	2
Halogen meta	abolism			GM46D	2	2	1		AST	5	3	7
vBPO	1	1	17	GFS	1	1	1		FGT	1	1	1
vIPO	0	0	59					_	OMT	1	1	1
vHPO	5	3	2	Sulfatases &	sulfotrar	sferases	5 (ST)		POX	1	1	2
TPO	7	5	19	Sulfatases	9	9	n. i.		TYR	28	20	22
HLD	1	0	0	ST	15	13	24					

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1106 Figure 5: Prevalence of several genes families related to key metabolic pathways in brown algae. E. 1107 sp., Ectocarpus sp. Ec32; E. su, Ectocarpus subulatus; S. ja, Saccharina japonica; GT, 1108 glycosyltransferase; GH, glycosyl hydrolase; vBPO, vanadium-dependent bromoperoxidase; vIPO, 1109 vanadium-dependent ioperoxidase; vHPO, vanadium-dependent haloperoxidase; TPO, homolog of thyroid peroxidase; HLD, haloalkane dehalogenase; MPI, mannose-6-phosphate isomerase; PMM, 1110 phosphomannomutase; MPG, mannose-1-phosphate guanyltransferase; GMD, GDP-mannose 6-1111 1112 dehydrogenase; MS, mannuronan synthase; ManC5-E, mannuronan C5-epimerase; FK, L-fucokinase; 1113 GFPP, GDP-fucose pyrophosphorylase; GM46D, GDP-mannose 4,6-dehydratase; GFS, GDP-L-fucose 1114 synthetase; ST, sulfotransferase; PKS III, type III polyketide synthase; CHIL, chalcone isomerase-like; 1115 AST, aryl sulfotransferase; FGT, flavonoid glucosyltransferase; OMT, flavonoid O-methyltransferase 1116 (OMT); POX, polyphenol oxidase; TYR, tyrosinase. *for GT31, three genes have been identified in 1117 Ectocarpus sp. Ec32 in the present study that have not been initially annotated in the corresponding 1118 genome (Cock et al., 2010) and companion paper (Michel et al., 2010). n. i.: The presence of 1119 sulfatases in the S. japonica genome was not indicated in the corresponding paper (Ye et al., 2015)

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1122 Figure 6: Maximum likelihood tree of E. subulatus Bft15b (orange) Ectocarpus sp. Ec32 (blue), S. 1123 latissima (purple), and diatom (Thalassiosira pseudonana and Phaeodactylum tricornutum, grey) CBP 1124 sequences. Support values correspond to bootstrap values from 100 replicate runs. A. thaliana 1125 sequences (green) were added as outgroup. Accessions for *E. subulatus* are given without the Esu 1126 prefix, for Ectocarpus sp. Ec32, diatoms and A. thaliana see (Dittami et al., 2010). Stars indicate genes 1127 that have been previously shown to be stress-induced (Dittami et al., 2010), asterisks next to the 1128 protein names indicate incomplete proteins. Probable expansions in E. subulatus are indicated by an 1129 ocher background.

1130 **Tables**

Table 1: Assembly statistics of the two available *Ectocarpus* and of the *S. japonica* genomes.

	Ectocarpus Ec32	<i>E. subulatus</i> Bft15b	S. japonica
Sequencing strategy	Sanger+Bac libraries	Illumina (PE+MP)	Illumina PE+PacBio

Genome size (flow cytometry)	214*	227	545**
Genome size	196 Mb	242 Mb	537 Mb**
(sequenced)			
Sequencing Coverage	11 X [#]	124 X	178 X**
G/C contents	53%	54%	50%
Number of scaffolds	1,561	5,286	6,985
>2kb			
Scaffold N50 (kb)	497 kb	113 kb	254 kb
Number of predicted	17,418	25,939	18,733
genes			
Mean number of	8.0	5.3	6.5**
exons per gene			
Repetitive elements	30%	30%	40%
BUSCO genome	94% (99%* [#])	86% (91%* [#])	91% (96%* [#])
completeness			
BUSCO Fragmented	7.4%	13.5%	14.2%
proteins			

- 1132 * according to (Peters *et al.*, 2004)
- 1133 ** according to (Ye *et al.*, 2015)
- [#] according to (Cock *et al.*, 2010)
- ^{##} 23% according to (Cock *et al.*, 2010), but 30% when re-run with the current version (2.5) of the
 REPET pipeline.
- 1137 *[#] not considering proteins absent from all three brown algal genomes
- 1138 **Table 2**: Clusters of orthologous genes as identified by OrthoFinder expanded in the genome of *E*.
- subulatus Bft15b after manual identification of false positives, and removal of clusters withoutfunctional annotation or related to transposon or viral sequences.

Cluster(s)	# Ec32	# Bft15b	Putative annotation or functional domain		
Cell-wall related proteins					
OG0000597	1	3	Peptidoglycan-binding domain		
OG0000284, -782, -118	6	12	Carbohydrate-binding WSC domain		
OG0000889	1	2	Cysteine desulfuration protein		
OG0000431	1	3	Galactose-3-O-sulfotransferase (partial)		
Transcriptional regulation and translation					
OG0000785	1	2	AN1-type zinc finger protein		
OG0000059	4	10	C2H2 zinc finger protein		
OG0000884	1	2	Zinc finger domain		
OG0000766	1	2	DNA-binding SAP domain		
OG0000853	1	2	RNA binding motif protein		

OG0000171	1	6	Helicase
OG0000819	1	2	Fungal transcriptional regulatory protein domain
OG0000723	1	2	Translation initiation factor eIF2B
OG0000364	2	3	Ribosomal protein S15
OG0000834	1	2	Ribosomal protein S13
Cell-cell communication	and sign	aling	
OG0000967	1	2	Ankyrin repeat-containing domain
OG0000357	2	3	Regulator of G protein signaling domain
OG0000335	2	3	Serine/threonine kinase domain
OG0000291	2	3	Protein kinase
OG0000185	3	4	Octicosapeptide/Phox/Bem1p domain
Others			
OG0000726	1	3	HSP20
OG0000104	1	9	Light harvesting complex protein
OG0000277	3	3	Major facilitator superfamily transporter
OG0000210	2	4	Cyclin-like domain
OG0000721	1	2	Myo-inositol 2-dehydrogenase
OG0000703	1	2	Short-chain dehydrogenase
OG0000749	1	2	Putative Immunophilin
OG0000463	1	3	Zinc-dependent metalloprotease with notch domain

1141 Supporting Information

- 1142 **Supporting Information Table S1:** Complete table of manual protein annotations.
- Supporting Information Table S2: Domain-based comparisons between *E. subulatus* and *Ectocarpus* sp. Ec32.
- 1145 **Supporting Information Table S3.** Comparison of metabolic pathways found in *E. subulatus* and 1146 *Ectocarpus* sp. Ec32.
- 1147 **Supporting Information Table S4:** Values of dN/dS associated with orthologous gene pairs between
- 1148 *E. subulatus* and *Ectocarpus* sp. Ec32.
- 1149 **Supporting Information Table S5:** Complete list of OrthoFinder results.
- 1150 Supporting Information File S1: Supplementary text concerning the automatic annotation of red-far
- sensors, CAZymes, polyamine metabolism, and stress response genes in *E. subulatus*.