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Article:

Sarkar, A orcid.org/0000-0003-1742-2122, Ademuyiwa, V, Stublely, S et al. (5 more authors) (2018) Pickering emulsions co-stabilized by composite protein/ polysaccharide particle-particle interfaces: Impact on in vitro gastric stability. Food Hydrocolloids, 84. C. pp. 282-291. ISSN 0268-005X

<https://doi.org/10.1016/j.foodhyd.2018.06.019>

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1 **Pickering emulsions co-stabilized by composite**
2 **protein/ polysaccharide particle-particle**
3 **interfaces:**
4 **Impact on in vitro gastric stability**

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24 **Abstract**

25 The objective of this study was to delay the rate and extent of gastric
26 destabilization of emulsions using composite particle-particle layers at the
27 O/W interface. Pickering emulsions (20 wt% oil) were prepared using
28 lactoferrin nanogel particles (LFN, $D_h=100$ nm) (1 wt%) or a composite layer
29 of LFN and inulin nanoparticles, latter was enzymatically synthesized by
30 inulosucrase IsIA from *Leuconostoc citreum* (INP) ($D_h=116\pm 1$ nm) (1 wt% LFN
31 3 wt% INP). The hypothesis was that creating a secondary layer of
32 biopolymeric particles might act as a barrier to pepsin to access the
33 underlying proteinaceous particles. Droplet size, microscopy (optical and
34 transmission electron microscopy (TEM)), ζ -potential and sodium dodecyl
35 sulphate-polyacrylamide gel electrophoresis (SDS-PAGE) were used to
36 understand the colloidal fate of these Pickering emulsions in an in vitro gastric
37 model (pH 3, 37 °C, pepsin). The ζ -potential measurements and TEM images
38 confirmed that LFN and INP were at the O/W interface, owing to the
39 electrostatic attraction between oppositely charged LFN ($+29.3\pm 0.7$ mV) and
40 INP (-10 ± 1.8 mV) at both neutral and gastric pH. The SDS-PAGE results
41 revealed that adsorbed LFN was less prone to pepsinolysis as compared to a
42 typical protein monolayer at the interface. Presence of INP further decreased
43 the rate and degree of hydrolysis of the LFN (>65% intact protein remaining
44 after 60 min of digestion) by acting as a steric barrier to the diffusion of pepsin
45 and inhibited droplet coalescence. Thus, composite particle-particle layers
46 (LFN + INP) at droplet surface shows potential for rational designing of
47 gastric-stable food and pharmaceutical applications.

48 **Keywords**

49 Lactoferrin nanogel particles, Pickering emulsion; particle-particle interface;
50 inulin nanoparticles; pepsin digestion; layer-by-layer

51

52 **1 Introduction**

53 Recently, there has been growing research interests among food colloid
54 scientists in designing Pickering emulsions i.e. emulsions stabilized by
55 solid colloidal particles due to their ultrastability against coalescence
56 (Dickinson, 2012, 2017). Pickering emulsions stabilized by inorganic or
57 synthetic particles, such as silica, latex particles etc. are most common in
58 literature (Binks & Lumsdon, 1999, 2001). However, these particles do
59 often require chemical modifications to improve their partial wettability by
60 the oil phase, which restrict their utilisation in food applications.

61 It is only recently that novel biocompatible particles have started to
62 gain **attention** owing to their immediate suitability for use in food,
63 pharmaceutical and allied soft matter applications (Dickinson, 2012;
64 Dickinson, 2015). Such particles range from laboratory synthesized protein
65 microgel particles (Destribats, Rouvet, Gehin-Delval, Schmitt, & Binks,
66 2014; Liu & Tang, 2013; Matsumiya & Murray, 2016; Sarkar, et al., 2016b)
67 to polysaccharide-based particles (Kalashnikova, Bizot, Bertoncini,
68 Cathala, & Capron, 2013; Richter, Feitosa, Paula, Goycoolea, & de Paula,
69 2018; Tzoumaki, Moschakis, Kiosseoglou, & Biliaderis, 2011; Yusoff &
70 Murray, 2011). Besides their exceptional physical stability, protein microgel
71 particles (Sarkar, et al., 2016b) and chitin nanocrystals (Tzoumaki,
72 Moschakis, Scholten, & Biliaderis, 2013) have also shown abilities to

73 reduce the rate of digestion of emulsified lipids in an in vitro duodenal
74 model set up. As high desorption energies (order of several kBTs) are
75 required to dislodge these particles from the oil-water interface, their
76 competitive displacements by biosurfactant (bile salts) was prevented
77 (Sarkar, Horne, & Singh, 2010; Sarkar, Ye, & Singh, 2016d). Thus, the
78 presence of particles at interface slowed down the access of lipase to the
79 emulsified lipid substrate. Such interesting property of altering lipid
80 digestion offers promise for application of Pickering emulsions in satiety-
81 enhancing foods, functional foods requiring sustained release of bioactive
82 molecules (Araiza-Calahorra, Akhtar, & Sarkar, 2018).

83 However, it is worth recognizing that before the duodenal phase,
84 harsh biochemical conditions occurring in the gastric tract might destabilize
85 these emulsions and hinder such potential applications. Responsiveness of
86 protein-based Pickering stabilizers to pepsin and their subsequent
87 hydrolysis into peptide fragments is an important research challenge to
88 tackle before such particles can be exploited for food applications (Sarkar,
89 et al., 2016b; Shimoni, Shani Levi, Levi Tal, & Lesmes, 2013).

90 Hence, it might be useful to create a relatively complex interface to
91 protect the emulsions against gastric destabilization or at least slow down
92 the rate of hydrolysis of the interfacial material by pepsin. In this regard,
93 recently, cellulose nanocrystals have shown success on enhancing the
94 stability of whey protein-stabilized oil-in-water (O/W) emulsions against
95 enzymatic attacks (Sarkar, Li, Cray, & Boxall, 2018; Sarkar, Zhang, Murray,
96 Russell, & Boxal, 2017). Binding of CNC to the protein film at the interface
97 offered resistance to the protein film against pepsinolysis and inhibited

98 droplet coalescence in the gastric phase that occurs typically in case of
99 emulsions stabilized by protein film alone (Sarkar, Goh, Singh, & Singh,
100 2009b; Sarkar, et al., 2016a; Sarkar & Singh, 2016c; Sarkar, et al., 2017;
101 Singh & Sarkar, 2011). However, the safe human consumption of CNC can
102 be debated due to its chemical processing technique, e.g. sulfuric acid
103 treatment.

104 In this regard, inulin, a β -(2 \rightarrow 1)-linked polysaccharide of D-fructose
105 (Tadros, Vandamme, Leveck, Booten, & Stevens, 2004) can be an
106 alternative candidate to create a steric barrier to a protein-based interfacial
107 material against pepsin hydrolysis. Inulin is a polysaccharide comprised of
108 fructose sugar units that grow linearly and are branched. Its
109 physicochemical and functional properties depend on its degree of
110 polymerization and percentage of branching. Inulin has been used by the
111 food industry as a soluble dietary fibre and fat/sugar replacement, and in
112 the pharmaceutical industry as a stabilizer and excipient. Hydrophobically
113 modified inulin has shown ability to create stable emulsions under gastric
114 conditions (Meshulam, Slavuter, & Lesmes, 2014b).

115 Inulin is not hydrolysed by human gastrointestinal enzymes and is
116 delivered undigested in colon and behaves as a prebiotic (Glibowski,
117 Kordowska-Wiater, & Glibowska, 2011; Rastall, 2010; Tuohy, 2007).
118 Hence, use of inulin might not only help to provide a steric stabilization to
119 protein particle-laden interface but can also act as a prebiotic in the colon.
120 Since inulin is biocompatible, non-toxic and can form hydrogels, it has
121 been used as a slow-release drug delivery system. Wolff, et al. (2000)
122 documented the enzymatic formation of high molecular weight inulin

123 globular particles of nanometric size, using a recombinant inulosucrase
124 from *Streptococcus mutans* and *Aspergillus sydowi* conidia. In the present
125 study, we have used self-assembled high molecular weight inulin
126 nanoparticles synthesized by inulosucrase from *Leuconostoc citreum*
127 CW28.

128 Positively-charged protein-based nanoparticles derived from lactoferrin
129 and their subsequent use as nano-scale Pickering stabilizers have been
130 previously published (Meshulam & Lesmes, 2014a; Shimoni, et al., 2013).
131 Authors have referred to these as 'lactoferrin nanoparticles' as they were
132 prepared by the controlled heating and pH adjustment of dilute lactoferrin
133 solutions. However, to our knowledge, there is no experimental evidence of
134 the fabrication of colloidal 'nanogel particles' from lactoferrin using a top down
135 approach (heat-set hydrogel preparation route followed by controlled shearing
136 without any pH adjustment) and using them to create Pickering emulsion.
137 Such nanogel particles are formed by a complex interplay of thermal
138 denaturation, electrostatic repulsion, aggregation and formation of covalent
139 disulfide bonds (Sarkar, et al., 2016b; Schmitt, et al., 2010). Hence, these
140 lactoferrin nanogel particles might be hypothesized to be less susceptible to
141 pepsin in the gastric phase as compared to the lactoferrin nanoparticles
142 reported in literature, by virtue of the hierarchical structure of the former.

143 Formation of multilayered emulsions using proteins and
144 polysaccharides is a well-established approach (Goh, Sarkar, & Singh, 2014;
145 Guzey & McClements, 2006). For instance, thermal and gastrointestinal
146 stability of lactoferrin-stabilized lipid droplets have been shown to be improved
147 by adsorption of pectins or alginate, respectively (Tokle, Lesmes, Decker, &

148 McClements, 2012; Tokle, Lesmes, & McClements, 2010). However, to date,
149 use of particle-particle interface as a physical tool to delay the rate of gastric
150 destabilization in simulated gastric condition has not been elucidated.

151 Hence, in this study, we have used a two-fold approach. On the one
152 hand, we created lactoferrin ‘nanogel’ particle-stabilized Pickering emulsions.
153 On the other hand, we generated a novel composite particle-particle layer at
154 the oil-water interface by coating the droplets with oppositely charged inulin
155 nanoparticles aiming to delay the rate of gastric destabilization of emulsions.
156 The hypothesis was that the presence of hydrophilic inulin nanoparticles at
157 the protein nanogel particle-stabilized oil-water interface could enhance the
158 kinetic stability of the corresponding emulsions in gastric regime by acting as
159 a steric barrier to the pepsin from attacking the proteinaceous particles at the
160 interface.

161

162 **2 Materials and Methods**

163 2.1 Materials

164 Bovine lactoferrin (LF) powder (Prodiet[®] lactoferrin), purchased from Ingredia
165 Nutritionals (Arras, France) contained >95.0% lactoferrin protein as per
166 supplier’s specification. Inulin particles (INP) were from *Leuconostoc citreum*
167 prepared at Departamento de Ingenieria Celular y Biocatálisis, Instituto de
168 Biotecnología – UNAM (Cuernavaca, Mexico). Sunflower oil was purchased
169 from a local supermarket (Tesco, UK). Pepsin enzyme (P7000-25G, activity:
170 536 U mg⁻¹) was purchased from Sigma-Aldrich Company Ltd, Dorset, UK. All
171 other chemicals used were of analytical grade unless otherwise specified.
172 Mini-Protean Precast TGX Gels (8–16%) and Precision Plus Protein All Blue

173 Standards were purchased from Bio-Rad Laboratories, Inc, USA. Milli-Q water
174 with an ionic purity of 18.2 MΩ·cm at 25 °C (water purified by treatment with a
175 Milli-Q apparatus) was used as a solvent for all the experiments.

176

177 2.2 Preparation of inulin nanoparticles

178 Inulin nanoparticles (INP) were synthesized enzymatically using *Leuconostoc*
179 *citreum* whole cells with inulosucrase IsIA enzyme as a catalyst (Ortiz-Soto,
180 Olivares-Illana, & López-Munguía, 2004). The INP enzymatic synthesis was
181 carried out in a Braun fermenter containing 50 mM phosphate buffer at pH 6.5
182 and 250 g L⁻¹ sucrose at 30 °C and 250 rpm during 40 h with pH regulation by
183 addition of 4 N NaOH. The cells were recovered by centrifugation at 14,000
184 rpm (Sharples AS-16) maintaining the polymer in the supernatant. The
185 polymer was precipitated by addition of ethanol (1:3 v/v) and dried in a Labnet
186 dryer (National Labnet Co., Woodbridge, NJ). The high molecular weight
187 inulin nanoparticles was analyzed by gel permeation chromatography in a
188 Waters 600E HPLC system controller (Waters Corp. Milford, MA) employing a
189 refractive index detector (Waters 410), and a serial set of Ultrahydrogel (UG
190 500 and linear) columns at 35°C with 0.1 M NaNO₃ as the mobile phase at
191 0.9 mL min⁻¹ (Jiménez-Sánchez, et al., 2018).

192

193 2.2 Preparation of lactoferrin nanogel particles (LFN)

194 Lactoferrin nanogel particles (LFN) were prepared using heat-induced
195 disulfide crosslinking of concentrated protein dispersion using a process
196 previously described by Sarkar, et al. (2016a) with slight modification.
197 Appropriate quantities of LF (12 wt%) were dispersed in Milli-Q water for 2 h

198 to ensure complete dissolution at pH 7. The LF solution was heated at 90 °C
199 for 30 minutes and cooled at room temperature for 30 minutes followed by
200 storage at 4 °C overnight to form LF heat-set hydrogel. The hydrogels were
201 mixed with MilliQ water (3 wt% LF) at pH 7.0 and were pre-homogenized
202 using a blender (KM336, Kenwood, UK) for 15 minutes and degassed in a
203 vacuum box (John Fraser and Sons Ltd, London, UK). Following this, the gels
204 were homogenized using two passes through a two-stage valve homogenizer
205 (Panda Plus 2000, GEA Niro Soavi Homogeneizador Parma, Italy) operating
206 at first/second stage pressures of 350/50 bar, respectively to create LFN. The
207 LFN aqueous dispersion was centrifuged at 3000 rpm for 20 min and filtered
208 using 0.45 µm filters (Millipore Corp., Bedford, MA, USA) to remove any large
209 aggregates. The resulting 3 wt% LFN was diluted to 1.25 wt% before
210 emulsion preparation. Sodium azide (0.02 wt%) was added to the LFN to
211 prevent microbial growth.

212

213 2.3 Preparation of LFN-stabilized and LFN + INP-stabilized Pickering 214 emulsions

215 Pickering emulsions were prepared by mixing 20.0 wt% oil phase and 1 wt%
216 LFN particles in the final emulsion. The mixture of 20 g sunflower oil and 80 g
217 of LFN solution (1.25 wt% LFN) was sheared using a conventional rotor-stator
218 type mixer (L5M-A, Silverson machines, UK) operating at 10,000 rpm for 2
219 minutes to prepare the pre-emulsions. The pre-emulsions were then
220 homogenized using two passes through the Panda Plus 2000 homogenizer
221 operating as above to create LFN-stabilized emulsions (Figure 1a).

222 For the preparation of particle-particle-stabilized emulsions, primary
223 emulsions were prepared using 40 wt% sunflower oil and 60 wt% aqueous
224 phase (3.45 wt% LFN in aqueous phase). Appropriate quantities of hydrophilic
225 unmodified INP (6 wt%) were dispersed in Milli-Q water for 2 h to ensure
226 complete dissolution at pH 7. Primary emulsion (40 wt% oil, 2 wt% LFN) was
227 combined with INP dispersion (6 wt%) in the 1:1 w/w ratio and stirred using a
228 magnetic stirrer for 2 hours. The resulting secondary particle-stabilized
229 emulsions (LFN + INP-stabilized emulsions, Figure 1b) contained 20 wt% oil,
230 1 wt% LFN and 3 wt% INP. The choice of 3 wt% INP for the preparation of
231 secondary emulsions was based on complete coverage of the LFN-stabilized
232 emulsions droplets by INP. Both the LFN and LFN + INP-stabilized emulsion
233 samples were prepared in triplicates. Sodium azide (0.02 wt%) was added to
234 the emulsions to prevent microbial growth during refrigerated storage at 4 °C.

235

236 2.4 Particle sizing of LFN and INP

237 The mean hydrodynamic diameter (D_h) of LFN or INP was measured in a
238 disposable cuvette (ZEN0040) using a dynamic light scattering with non-
239 invasive back scattering (DLS-NIBS) instrument, Malvern Zetasizer Nano ZS
240 (Malvern Instruments Ltd., Worcestershire, UK) at 25 ± 0.5 °C with a 633 nm
241 laser. The LFN or INP dispersions were measured using refractive index of
242 1.53 and the absorbance was taken as 0.001. The Stokes-Einstein equation
243 was used to calculate the D_h using the Stokes Einstein equation (1).

244

$$245 \quad D_h = (K_B T) / (6 \pi \eta D) \quad (1)$$

246

247 where, D_h is the particle hydrodynamic diameter, K_B is the Boltzmann's
248 constant, T is the absolute temperature, D is the translational diffusion
249 coefficient, η is the viscosity of the aqueous phase (Pa-s). The particle size
250 distribution by number was also determined for the INP using nano-tracking
251 analysis (NTA) using a NanoSight™ LM10 system equipped with a LM14
252 green (535 nm) laser module and a cooled Andor camera (Andor-DL-658-
253 OEM). The particles were diluted 1:100000 in water before analysis.

254

255 2.5 Droplet sizing of the Pickering emulsions

256 A Malvern MasterSizer 3000 (Malvern Instruments Ltd, Malvern,
257 Worcestershire, UK) was used to measure the droplet size distribution of both
258 the emulsions before and after in vitro gastric digestion. The relative refractive
259 index, i.e., the ratio of sunflower oil (1.456) to that of dispersion medium (1.33)
260 was 1.095. Droplet size measurements were reported as Sauter-average
261 diameter (d_{32}) and volume-average diameter (d_{43}) from the particle size
262 distributions, using equations 2 and 3, respectively:

263

264

265

$$d_{32} = \frac{n_i d_i^3}{n_i d_i^2} \quad (2)$$

266

267

268

269

$$d_{43} = \frac{n_i d_i^4}{n_i d_i^3} \quad (3)$$

270

271

272 where, n_i is the number of particles with diameter d_i . Mean and standard
273 deviations were calculated on five measurements on triplicate samples.

274

275 2.6 ζ -potential

276 The ζ -potential values of the particle dispersions (LFN and INP) and the
277 corresponding emulsions before and after gastric digestion (0, 120 minutes)
278 were measured using Malvern Zetasizer Nano ZS (Malvern Instruments Ltd,
279 Malvern, Worcestershire, UK). Emulsions were diluted to 0.005 wt% droplet
280 concentration and the samples were transferred into DTS1070 folded capillary
281 cells and after 120 s of equilibration, the collected electrophoretic mobility
282 data was converted to ζ -potential using classical Smoluchowski equation.
283 Each individual ζ -potential data point was reported as an average and
284 standard deviation of at least five reported readings made on triplicate
285 samples.

286 2.7 Transmission electron microscopy (TEM)

287 Transmission electron microscopy (TEM) was used to observe the structure of
288 the INP and the original emulsions stabilized by LFN and LFN + INP. Samples
289 (10 μ L) were fixed with 2.5% (v/v) glutaraldehyde and post fixed in 0.1% (w/v)
290 osmium tetroxide. Then, the samples were subjected to serial dehydration in
291 ethanol (20-100%) before being embedded in araldite. Ultra-thin sections
292 (silver-gold 80-100 nm) were deposited on 3.05 mm grids and stained with 8%
293 (v/v) uranyl acetate and lead citrate. The sections were cut on an "Ultra-cut"
294 microtome. Images were recorded using a CM10 TEM microscope (Philips,
295 Surrey, UK).

296

297 2.8 Optical microscopy

298 The microstructural characteristics of the emulsions before and after in vitro
299 gastric digestion were imaged using a Leica optical light microscope,
300 equipped with a Canon Power Shot and TASV43 program. A small quantity of
301 emulsion before and immediately after gastric digestion (0, 120 min) was
302 placed on a concave microscope slide, covered with a cover slip and imaged
303 using a 40× magnification objective lens.

304

305 2.9 In vitro gastric digestion

306 Emulsions were digested by mixing them with simulated gastric fluid (SGF)
307 with pepsin using the harmonized digestion protocol at 37 °C (Minekus, et al.,
308 2014). Briefly, 20 mL of the emulsions (20 wt% oil) were incubated for 2 hours
309 in 20 mL of SGF, latter contained 0.514 g L⁻¹ KCl, 0.123 g L⁻¹ KH₂PO₄, 0.042
310 g L⁻¹ NaHCO₃, 0.06 g L⁻¹ NaCl, 0.0004 g L⁻¹ MgCl₂(H₂O)₆, 0.0009 g L⁻¹
311 (NH₄)₂CO₃ and 2000 U/ mL pepsin. The pH value of SGF was adjusted to pH
312 3 using 0.1 M HCl to simulate after meal ingestion conditions. To observe the
313 change of emulsions during digestion, sample aliquots were collected during
314 gastric digestion at 0, 5, 10, 30, 60, 90, 120 and 150 min. These gastric
315 digesta samples were neutralized to pH 7 using freshly prepared 1 M
316 NH₄HCO₃ to inactivate pepsin and samples were stored at -20 °C until further
317 analysis.

318

319 2.10 Sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE)

320 To determine the influence of INP on digestion of the adsorbed LFN at the
321 OW interface, the cream phase of both the LFN and LFN + INP-stabilized

322 emulsions sampled at various time intervals during in vitro gastric digestion
323 was analysed using SDS-PAGE. Samples (1 mL) were heated at 95 °C for 5-
324 10 min to stop digestion. The aliquots were centrifuged for 40 min at 14500g
325 and 20 °C using a table-top micro-centrifuge (Eppendorf MiniSpin plus,
326 Scientific Laboratory Supplies. Ltd. UK). A certain amount of cream layer was
327 carefully collected, mixed with 50 µL SDS buffer (1 M Tris, pH 6.8) and 10 µL
328 of Dithiothreitol (DTT) (500 mM) and again heated at 95 °C for 5-10 min. The
329 SDS-PAGE was carried out by loading 5 µL of protein marker and 20 µL of
330 digested adsorbed phase samples + loading buffer mixtures into precast gels,
331 and then placed in Mini-PROTEAN II system (Bio-Rad Laboratories, Inc,
332 USA). The running process had two stages: 100 V for 10 min followed by 200
333 V for 40 min. The gels were then stained for an hour with ProtoBlue Safe
334 Colloidal Coomassie G-250 stain in ethanol (90:10 v/v). The gels were
335 destained overnight using MilliQ water and then scanned using a ChemiDoc™
336 XRS+ system with image Lab™ Software (Bio- Rad Laboratories, Inc, USA).
337 Each band within the lanes was selected automatically by the software to
338 cover the whole band. Background intensity was subtracted after scanning an
339 empty lane. The SDS PAGE experiments were carried out in triplicates and
340 band intensities was reported as an average and standard deviation of three
341 reported readings.

342

343 2.11 Statistical analysis

344 All experiments were carried out in triplicates, with each repetition being
345 measured three times. Results are presented as the mean and standard
346 deviation of these nine measurements unless mentioned otherwise. The

347 results were statistically analyzed by analysis of variance (ANOVA) using
348 Graphpad 5 Prism software and differences were considered significant when
349 $p < 0.05$ were obtained.

350

351 **3 Results and discussion**

352 3.1 Characteristics of LFN and INP

353 The properties of LFN and INP were evaluated before analysing the Pickering
354 emulsions stabilised by these particles. This serves to understand the
355 behaviour of the particles in bulk phase first, which sets the scene to gain
356 insights on the behaviour of the particles at the oil-water (O/W) interface. The
357 size reduction of the LF hydrogel owing to the homogenization step led to the
358 formation of “nanogel particles” characterized by a D_h of 100 ± 0.8 nm (Table
359 1). During heating, the globular LF molecules were denatured causing
360 unfolding of the polypeptide chains subsequently exposing the hydrophobic
361 amino acid residues (Torres, Murray, & Sarkar, 2017). Individual protein
362 molecules began to aggregate through hydrophobic interactions followed by
363 the formation of inter-molecular covalent bonds of disulphide origin. These
364 covalent bonds were responsible for the structural integrity of the derived
365 nanogel particles (Sarkar, et al., 2016a; Schmitt, et al., 2010).

366 Interestingly, the size of LFN were three-fold smaller than that of typical
367 “microgel particles” prepared using a top down approach, which is most likely
368 due to the harsher shearing conditions used in the former as compared to that
369 of the latter (Sarkar, et al., 2016a). In the case of enzymatically synthesized
370 INPs, the particle size distribution curves by intensity and number were
371 derived from DLS-NIBS and NTA, respectively. Of notice, both techniques

372 revealed a monomodal and narrow size particle distribution (Supplementary
373 Data Figure S1), thus confirming the absence of more than one population of
374 particles. The average diameter calculated by both techniques was similar
375 ($p > 0.05$) (Table 1). The DLS-NIBS provides the particle distribution by
376 intensity, and it is known to be sensitive to the presence of large particles and
377 to polydispersity. Hence, it tends to overestimate the width of the particle size
378 distribution. By contrast, NTA, shows greater accuracy for both monodisperse
379 and polydisperse samples as well as higher peak resolution than DLS (< 0.5
380 fold and > 3 fold difference in diameter, respectively) (Filipe, Hawe, & Jiskoot,
381 2010). In agreement with this, close inspection of the particle size distribution
382 curves shown in Figure 1S do confirm that the width of the distribution of INP
383 sample was broader than that registered by NTA. Also, a slight shoulder was
384 observed on the greater side of the size distribution measured by NTA, which
385 is not discernible in the DLS Gaussian monomodal peak. The use of both
386 techniques to characterize the INP particle size distribution, thus offers, for the
387 first time, a complementary robust characterization of this sample. Moreover,
388 the size of the INP were of the same order as LFN (Table 1). The TEM image
389 of INP (Figure 1) also suggests that the nanoparticles were spherical
390 particles. Both the particles (LFN and INP) had a relatively low polydispersity
391 index ($PDI < 0.15$) as determined by DLS-NIBS (Table 1).

392 As expected, LFN particles were highly positively charged, which is in
393 line with the previous report of high isoelectric point ($pI \approx 8.5$) of LF (Adal, et al.,
394 2017; Sarkar, Goh, & Singh, 2009a). The ζ -potential of the LFN at pH 7.0
395 (Table 1) was in good agreement with heated LF (Peinado, Lesmes, Andrés,
396 & McClements, 2010) but higher in magnitude than a native LF dispersion of

397 the same concentration ($\zeta = +14.2$ mV, data not shown). The recorded
398 increase in ζ -potential for nanogel dispersions is expected as particulate
399 material is likely to have a more compact structure and consequently a higher
400 charge density than a native protein molecule. The charge on INP was
401 negative ($\zeta = -10$ mV) (Table 1), which would allow the deposition of these
402 nanoparticles at the LNP-stabilized oil-in-water interface via electrostatic
403 attractive forces, as hypothesized. The slightly negative charge of the INP
404 could be attributed to the presence of a low quantity of residual free enzyme
405 on the INP's surface, a common phenomenon in these kind of enzymes; this
406 free enzyme could be released by proteolysis (Ortiz-Soto, et al., 2004).

407

408 3.2 Properties and microstructure of Pickering emulsions stabilized by LFN 409 or LFN + INP

410 The visual images of both the emulsions did not reveal any oiling off in the
411 particle-stabilized emulsions without or with INP (Figure 1). The LFN-
412 stabilized emulsions showed a multimodal size distribution with majority of
413 droplets ($\sim 75\%$) within the size range of 1-100 μm (Figure 2a) and a d_{43} of \sim
414 25 μm . The morphology of the adsorbed particles at the droplet surface was
415 examined using negative staining and TEM observations of the emulsions.
416 The TEM images (Figure 2a) clearly reveals the droplets with adsorbed
417 spherical LFN at the interface. The arrangement of clearly distinguishable
418 LFN at the interface did not show a complete monolayer or multilayer
419 coverage of particles. The emulsions rather showed a sub-monolayer of
420 particles assembled at the interface, as often reported for Pickering emulsions
421 (Destribats, et al., 2014; Sarkar, et al., 2016a). The size ratio of the emulsion

422 droplet-to-LFN was 250:1, which was within the typical size ratio limits for
423 Pickering emulsions (Sarkar, et al., 2016a).

424 A small fraction of droplets were also observed in the size range of 0.1-1
425 μm , which might be attributed to the free nanogel particles that were not
426 adsorbed to the droplet interface, as also indicated in the TEM micrographs.
427 Another peak area with droplet size between 100-1000 μm was observed
428 (Figure 2a), which most likely represents the bridged LFN-stabilized droplets
429 as observed in the TEM images. Such bridged droplets have previously been
430 reported when emulsions are made with low volume fraction of particles as in
431 our case with 1 wt% LFN (French, Taylor, Fowler, & Clegg, 2015).

432 In case of LFN + INP co-stabilized droplets, the emulsions showed a
433 bimodal distribution with a large peak centred in the size range of 1-20 μm
434 and a small peak in the size range of 0.1-1 μm , the area of latter was slightly
435 larger than that observed in LFN-stabilized droplets. The small peak may be
436 associated with the free (unbound) fraction of either LFN, INP or LFN-INP
437 electrostatic complex. The main peak comprising the larger proportion of
438 droplets can be attributed to the droplets co-stabilized by a composite LFN +
439 INP layer. No peak in the 100-1000 μm size range was observed in contrast
440 to the bridged LFN-stabilized droplets as discussed before (Figure 2a). This
441 suggests that the presence of higher concentration of INP might have created
442 a particle-particle interface. This was supported by TEM images (both lower
443 and higher magnification images, Figure 2b) with a significant degree of
444 droplet coverage by discernible particles achieving almost a saturation (Figure
445 2b). This might be attributed to the electrostatic complexation between anionic

446 INP and cationic LFN at pH 7 at the O/W interface (Table 1), which is further
447 discussed in the section dealing with the surface charge results.

448

449 3.3 Changes in microstructure during in vitro gastric digestion

450 The droplet size distribution of LFN and LFN + INP co-stabilised emulsions
451 before and after in vitro gastric digestion with corresponding changes in their
452 optical microstructures are presented in Figures 3 and 4. As can be observed
453 from Figure 3, the droplet size distribution remained the same when the pH
454 was shifted from pH 7 to gastric pH (pH 3) ($p > 0.05$). After treatment with SGF
455 without pepsin, the peak at 100-1000 μm size range increased markedly
456 ($p < 0.05$) owing to the gastric salt-induced charge screening and ion binding
457 effects, resulting in large aggregates, as can be observed in the optical
458 micrographs.

459 It is only after treatment with SGF containing pepsin (120 min), that this
460 is peak diminished with a subsequent appearance of a new one in the 1000-
461 10,000 μm size range ($p < 0.05$) (Figure 3). Droplet aggregation was more
462 prominent in the optical micrographs in presence of pepsin, with appearance
463 of very few coalesced droplets, congruent with the d_{43} value of 196 μm . It is
464 worth recognizing that although LFN adsorbed at the interface appeared to be
465 digested by pepsin, the LFN peptide fragments still offered some degree of
466 protection to the droplets against coalescence as compared to that of a typical
467 protein monolayer-stabilized interface (Sarkar, Goh, & Singh, 2010; Sarkar, et
468 al., 2009b; Sarkar, et al., 2017; Singh, et al., 2011). This suggests that either
469 the aromatic groups were somehow buried inside the particles making them
470 less accessible by the pepsin or the particle fragments generated were still

471 viscoelastic enough to offer some resistance to complete droplet
472 destabilization.

473 In case of LFN + INP co-stabilized emulsion (Figure 4), the peak from
474 1–100 μm remained relatively constant ($p > 0.05$) when pH was shifted as well
475 as when SGF was added without containing pepsin. This suggests that the
476 steric-stabilized droplets were rather stable to gastric stage-induced change in
477 pH and ions in contrast to the LFN-stabilized droplets (Figure 3). On addition
478 of SGF containing pepsin, a small peak appeared in the 1000–10,000 μm size
479 range at 120 min ($p < 0.05$) suggesting proteolysis of LFN did occur even in the
480 presence of INP (Figure 4). In agreement with laser diffraction results, a
481 gradual appearance of well-connected networks of agglomerates was
482 observed in the optical micrograph of the LFN + INP co-stabilized emulsion,
483 without presence of any discernible coalesced droplets (Figure 4). Comparing
484 the size and microstructural results of LFN- and LFN + INP-stabilized droplets
485 after gastric digestion in presence of pepsin (Figures 3 and 4), it can be
486 suggested that INP provided protection to the structural integrity of the LFN-
487 stabilized emulsion droplets inhibiting droplet coalescence.

488

489 3.4 Changes in ζ -potential during in vitro gastric digestion

490 To provide indirect quantitative insights into the droplet behaviour, ζ -potential
491 values are reported at pH 7 (freshly prepared emulsions), pH 3 (pH of SGF)
492 and in presence of SGF without/with added pepsin (Figure 5). Freshly
493 prepared LFN emulsions were positively charged ($\sim +45$ mV), which is
494 expected as the LFN at the interface was below its isoelectric point (pI) (Adal,
495 et al., 2017; Sarkar, et al., 2009a). The ζ -potential values of LFN emulsion

496 droplets were slightly higher in magnitude as compared to that of the nanogel
497 particles themselves (-29.3 mV) at pH 7.0 (Table 1). This is expected due to
498 the presence of higher local concentration of LFN at the droplet surface as
499 compared to that when present in the bulk phase.

500 With the addition of anionic INP (3 wt%), the ζ -potential of the LFN-
501 coated emulsion droplets decreased from +42 to -3.63 mV ($p < 0.05$). This
502 confirms the electrostatic binding of INP to the complementarily charged LFN
503 adsorbed at the O/W interface almost achieving a complete coverage and
504 steric stabilization as evidenced by near zero-charge (Figure 5). Electrostatic
505 complexation of LF particles with aqueous polysaccharides, such as
506 carrageenan and alginate, has been reported previously (David-Birman,
507 Mackie, & Lesmes, 2013; Peinado, et al., 2010), but to our knowledge, this is
508 the first study that highlights particle-particle electrostatic complex formation
509 at the interface.

510 At gastric pH (Figure 5), there was no appreciable change in the
511 magnitude of ζ -potential in both the primary and secondary Pickering
512 emulsions ($p > 0.05$). Presence of SGF without pepsin showed a significant
513 reduction of ζ -potential values ($p < 0.05$) in the primary LFN-stabilized emulsion
514 confirming some degree of charge screening effects as indicated in the laser
515 diffraction and optical microscopy results (Figure 3). However, such ion-
516 induced aggregation was not evident in the LFN + INP-stabilized interfaces
517 (Figure 5), which is highly consistent with the d_{43} values reported in Figure 4.

518 Interestingly, when pepsin was added, the proteolysis of the intact LFN
519 at the interface resulted in substantial loss of surface charge ($\zeta = +29$ mV)
520 within 30 min with subsequent decrease in magnitude by 30% after 120 min

521 ($p < 0.05$) (Figure 5). It is worth noting that although there was reduction in ζ -
522 potential, LFN-stabilized droplets still had sufficiently high magnitude of
523 positive charge as compared to a typical protein-coated droplets under the
524 same conditions (Sarkar, et al., 2009b). Alterations in surface charges due to
525 gastric pepsinolysis was not significant when LFN-stabilized droplets were
526 coated by INP at 30 or even after 120 min of digestion time ($p > 0.05$) (Figure
527 5). This suggests that a relatively rigid layer of negatively charged INP formed
528 by intermolecular hydrogen bonding between INP-INP (Kim, Faqih, & Wang,
529 2001) remained intact as it was not attacked by human physiological enzymes
530 restricted or delayed the access of pepsin to the inner-adsorbed protein
531 nanogel particulate layer. Furthermore, the electrostatic complexation
532 between INP and LFN created a rather complex interface for diffusion of
533 pepsin to the substrate binding sites of LFN.

534

535 3.5 Response of the particle at interface to pepsin

536 To gain direct quantitative insight into the gastric stability of these Pickering
537 emulsions, the patterns of proteolysis of the interfacial layer of the emulsions
538 were obtained via SDS-PAGE analyses of adsorbed phase of the chyme
539 collected at designated time intervals during gastric digestion (Figure 6).
540 Interestingly, LFN showed a marked degree of proteolysis of the LF band (85
541 kDa) i.e. 65% of the intact LF band remaining within first 5 min (Figures 6a
542 and 6c), which became subsequently faint and 20% of intact protein remained
543 after first 30 min of digestion. The intact LF band in the LFN emulsions
544 disappeared only after around 90 minutes (Figures 6a). This suggests that
545 pepsin hydrolysed the interfacial layer of nanogel particles, giving rise to

546 droplet aggregation (Figure 3) as a consequence of loss of surface charge
547 (Figure 5).

548 Of note, the LFN at the interface was gradually hydrolysed into smaller
549 peptides (<15 kDa), which might not have been captured by the resolving
550 SDS-PAGE gel. However, appearance of smearing of bands in the lanes from
551 5-120 min (Figures 6a), possibly represent the peptides of higher molecular
552 weight (> 15 kDa). It is highly likely that these high molecular weight LFN
553 nanogel particle fragments generated by pepsin hydrolysis were anchored to
554 the droplet surface, thus conferring them some degree of protection against
555 accretion (Figure 3).

556 Also, it is noteworthy that the digestion kinetics of LFN was rather slow
557 when compared to a native LF-stabilized emulsion. In case of adsorbed phase
558 from LF-stabilized emulsion (Supplementary Data Figure S2), no intact LF
559 bands were discernible within first five min of digestion, consistent with
560 previous reports on native LF/ heat-treated LF nanoparticles (David-Birman,
561 et al., 2013) or adsorbed whey protein (Sarkar, et al., 2009b; Sarkar, et al.,
562 2016a; Sarkar, et al., 2017; Singh, et al., 2011). This suggests that formation
563 of these compact nanogel particles offered some degree of transient barrier to
564 the easy diffusion of pepsin by virtue of their hierarchal structure within the
565 nanogel, as opposed to that of a system with LF monolayer or LF
566 nanoparticles (David-Birman, et al., 2013; Tokle, et al., 2012).

567 Presence of INP showed a clear delaying effect on digestion of LFN at
568 the O/W interface (Figures 6b and 6c) with > 65% and ~ 25% of the intact LF
569 bands remaining after 60 and 120 min of gastric digestion, respectively.
570 Presence of 75% intact LFN particles (Figure 6b) in the adsorbed phase

571 supports the absence of coalescence in LFN + INP-stabilized droplets (Figure
572 4) and no change in ζ -potential values (Figure 5). This suggests that the
573 delaying was driven by a barrier-dominant mechanism i.e. structure and
574 thickness of the adsorbed LFN+INP layers. Such delaying of digestion of the
575 intact protein bands have been previously reported in presence of
576 polysaccharides, such as, carrageenan or alginate (David-Birman, et al.,
577 2013) or other non-proteinaceous particles, such as, cellulose nanocrystals
578 (Sarkar, et al., 2017). Interestingly, hydrophobic inulin has been also reported
579 to provide improved gastric stability to emulsions when it is present at the
580 interface, former being non-digestible by physiological enzymes (Meshulam,
581 et al., 2014b). This suggests that electrostatic binding of INP to LFN at the
582 interface had a prominent effect in providing a kinetic barrier to the diffusion of
583 the pepsin to the LFN and subsequently diminishing the rate and final extent
584 of interfacial proteolysis.

585 Despite the steric barrier effect, pepsin had access to the LFN-laden
586 interface owing to the porosity of the INP layer (Sarkar, et al., 2016a), which
587 supports that presence of INP did not completely limit but rather delayed
588 digestion. Besides the formation of a composite particle-particle layer,
589 electrostatic repulsion between pepsin and INP layer might have also been at
590 play in delaying gastric digestion. As the net charge of both pepsin (Davies,
591 1990) and the LNP + INP co-stabilized droplets were negative at pH 3 (Figure
592 5), the mutual electrostatic repulsion might have also contributed to not allow
593 pepsin in the close vicinity of the underlying positively charged binding points
594 of the protein nanogel particulate layer.

595 It is also worth noting that there was unadsorbed LFN and LFN+INP in
596 the continuous phase (Figures 2a and 2b), respectively, which might have
597 been more readily accessible to pepsin, thus reducing the pepsin's overall
598 activity for the LFN present at the interface. Further research is needed to
599 uncover the interactions of pepsin with these unadsorbed particles and
600 particle-particle complexes.

601

602 **Conclusions**

603 In this study, we have investigated the influence of composite particle-
604 particle interfaces on the gastric stability of emulsions using complimentary
605 physicochemical and microstructural analysis. Primary Pickering emulsions
606 (20 wt% oil) co-stabilized by LFN particles (1 wt%) as well secondary
607 emulsions (1 w% LFN, 3 wt% INP) demonstrated good stability against
608 droplet coalescence at pH 7. Findings from this study report, for the first time,
609 that the rate of pepsinolysis of LFN particles at interface is significantly less as
610 compared to the protein monolayer counterpart. The presence of the
611 secondary interfacial layer of polysaccharide particles (INP) could provide a
612 protective coating to this protein nanogel particle-stabilized emulsion and
613 further delay gastric digestion. Presence of INP decreased the extent of in
614 vitro gastric digestion of the proteinaceous particles (LFN) by pepsin, which
615 was confirmed by SDS-PAGE of the adsorbed phase. This was mainly
616 attributed to the formation of strong particle-particle composite layers at pH 3
617 and to INP exhibiting effective steric barrier that slows down the access of
618 pepsin to the LFN. The gastric digestion was not completely inhibited owing to
619 the diffusion of the pepsin through the gaps in between the INP particles.

620 Thus, the present study has demonstrated an interesting link between the
621 interfacial architecture at varying length scales using composite particle-
622 particle layers and enhanced gastric stability, which could be useful in the
623 rational design of physiologically relevant emulsions. Further studies are
624 ongoing to understand the effect of polydispersity of these Pickering
625 stabilizers and the porosity of these composite layers to tailor the kinetics of
626 gastric stability of emulsions for optimized delivery of gastric-stable lipid
627 droplets to the duodenum. Ongoing in vitro studies in our laboratories are
628 consistent that INP themselves do not show prebiotic activity. Whether the
629 developed Pickering systems would offer a route to deliver prebiotic
630 formulations to the colon in vivo, is yet to be investigated.

631

632 **Acknowledgements**

633 The authors would like to gratefully acknowledge the contributions of Martin
634 Fuller for his technical support in electron microscopy at the Electron
635 Microscopy Facility, Faculty of Biological Sciences, University of Leeds, UK.
636 The work of the Mexican co-authors was supported by National Autonomous
637 University of Mexico (grant UNAM-PAPIIT IN213616). We acknowledge Prof.
638 Dr. Martin Wiemann from IBE R&D gGmbH, Institute for Lung Health
639 (Münster), for the generous access to the NTA instrument.

640

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