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Simulation of the August 21, 2017 Solar Eclipse using the Whole Atmosphere Community Climate Model – eXtended

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12 Key points:

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Ionospheric depletions were generated in the path of totality, and extended effects driven by
 thermosphere/ionosphere interactions were simulated globally.

• Mesospheric ozone and atomic oxygen responses followed the path of totality, with nearly a factor of two increase in ozone.

• Perturbations propagating from the lower atmosphere have a significant effect on the ionosphere in the aftermath of the eclipse.

19 Abstract

We performed simulations of the atmosphere-ionosphere response to the solar eclipse of 21 20 August 2017 using the Whole Atmosphere Community Climate Model - eXtended (WACCM-X 21 v. 2.0) with a fully interactive ionosphere and thermosphere. Eclipse simulations show 22 temperature changes in the path of totality up to -3 K near the surface, -1 K at the stratopause, ± 4 23 K in the mesosphere, and -40 K in the thermosphere. In the F-region ionosphere, electron density 24 is depleted by about 55%. Both the temperature and electron density exhibit global effects in the 25 hours following the eclipse. There are also significant effects on stratosphere-mesosphere 26 chemistry, including an increase in ozone by nearly a factor of two at 65 kilometers. Dynamical 27 impacts of the eclipse in the lower atmosphere appear to propagate to the upper atmosphere. This 28 study provides insight into coupled eclipse effects through the entire atmosphere from the 29 surface through the ionosphere. 30

31 Plain Language Summary

We used a computer model called the Whole Atmosphere Community Climate Model eXtended (WACCM-X v. 2.0) to investigate what happens to the atmosphere from the surface of Earth up to space during the "Great American Eclipse" of 21 August 2017. During the eclipse, for a location in the path of totality, the model produces different changes in temperature from the ground up to hundreds of kilometers, with the largest decrease in temperature around 250 kilometers. Also, at this altitude, the electron density of the ionosphere decreases by about 55% during the eclipse. Later on during the day of the eclipse, we see changes not only near the eclipse path, but also all over the world. The chemistry in the atmosphere is also affected by the eclipse, including an increase in ozone in the middle atmosphere. Finally, changes that happen in the lower atmosphere affect what happens in space after the eclipse is over. This study helps us to understand how an eclipse can affect both the atmosphere and ionosphere, and how these changes are coupled together.

44 **1. Introduction**

The "Great American Eclipse" on 21 August 2017 was the most thoroughly observed such 45 event in history, as it presented an accessible venue for solar coronal measurements and viewing 46 by the general public. It also offered a unique opportunity to study the upper atmosphere and 47 ionosphere response to transient and localized changes in solar radiation. Many observations of 48 these effects were conducted along and near the path of totality, particularly of the ionosphere, 49 and model predictions conducted before the event (e.g., Huba & Drob, 2017) motivated interest 50 in obtaining a mechanistic understanding of eclipse dynamics. Here, we present model 51 simulations of the coupled ionosphere-atmosphere response, for their utility in interpretation of 52 the many measurements. In particular, we wish to elucidate how the ionosphere is driven by 53 neutral atmosphere changes, not only by the thermosphere in which it is embedded, but also by 54 perturbations propagating out of the lower and middle atmosphere. 55

Interest in eclipse effects in the ionosphere began almost as soon as its discovery; early work 56 was reviewed by Rishbeth (1968). The 7 March 1970 eclipse was noteworthy because it was 57 extensively investigated by several suborbital experiments, ground-based observations, and 58 theoretical calculations, described in a special issue of the Journal of Atmospheric and Terrestrial 59 Physics (April 1972). Modeling of neutral thermosphere effects (e.g., Ridley et al., 1984; Roble 60 et al., 1986; and references therein) followed later, and more recent studies have emphasized the 61 complexity of the coupled system (e.g., Le et al., 2008). Investigations focusing on the 2017 62 eclipse have found intriguing evidence of widespread effects suggestive of atmospheric 63 interactions on multiple scales driving ionospheric observables (e.g., Coster et al., 2017; Zhang 64 et al., 2018; Sun et al., 2018; Cherniak & Zakharenkova, 2018; Reinisch et al., 2018). This 65 motivates the modeling studies described in this letter, using a model of the entire atmosphere 66 that includes a fully coupled ionosphere, self-consistent electrodynamics, and complete ion-67 neutral energetics. 68

69 **2. Model Description**

There are only a few global general circulation models that can represent the Earth's 70 atmosphere from the surface up to the exosphere, including the Ground-to-topside model of 71 Atmosphere and Ionosphere for Aeronomy (GAIA) (Miyoshi & Fujiwara, 2003), and the Whole 72 Atmosphere Model (WAM) (Akmaev et al., 2008; Fuller-Rowell et al., 2008). WACCM-X is a 73 self-consistent 3D whole atmosphere global general circulation model extending from the 74 surface to ~600 kilometers. The first release of WACCM-X 1.0 in 2012 (Liu et al., 2010) as part 75 of the Community Earth System Model (CESM) (Hurrell et al., 2013) extended the neutral 76 atmosphere in altitude, but only included a partial representation of the ionosphere. Recently, 77 electrodynamics, ion transport, major and minor neutral species composition, electron and ion 78 density and composition, and electron and ion temperature have been incorporated into 79 WACCM-X to produce a new version (v. 2.0) capable of realistic simulation of ionospheric 80 dynamics and energetics (H.-L. Liu et al., 2018). The basis of this was the NCAR Thermosphere-81 Ionosphere-Electrodynamics General Circulation Model (TIE-GCM) (Richmond et al., 1992; 82

Qian et al., 2014). The new version of WACCM-X is also based on the physics and chemistry of 83 the Community Atmosphere Model version 4 (CAM4) and the Whole Atmosphere Community 84 Climate Model (WACCM) version 4 (WACCM4) (Neale et al., 2013; Marsh et al., 2013). 85 WACCM-X has a standard resolution is $1.9^{\circ} \times 2.5^{\circ}$ latitude x longitude and 0.25 scale height in 86 the vertical above 1 hPa, using a log-pressure coordinate system. The upcoming release of the 87 CESM will include WACCM-X 2.0; see H.-L. Liu et al. (2018) and J. Liu et al. (2018) for 88 further details. For this study, we use this latest version of the model in free-running mode to 89 examine the effects of the August 21, 2017 total solar eclipse from the surface through the 90 thermosphere and ionosphere. 91

92 **3. Eclipse Simulations**

To simulate the effects of the eclipse using WACCM-X, we first perform a model spin-up, 93 with initial conditions from 1 August 2005, using low solar activity conditions ($F_{10.7} = 84$ and Kp 94 = 1), and run for 20 days up to the beginning of the eclipse day. A baseline simulation is then 95 performed, starting from the end of the spin-up run. The impact of the eclipse shadow on the 96 solar inputs to the model is incorporated using eclipse masks developed by D. P. Drob. Two 97 masks are used in this study: an "unscaled" mask with an effective solar radius of 1.0, 98 representative of infrared, visible, and near-ultraviolet fluxes, and a "scaled" mask for the 99 extreme-ultraviolet (EUV) spectral region, using an effective solar radius of 1.125 (Huba & 100 Drob, 2017). An example of the reduction in solar flux from these two masks at a point in the 101 path of totality is shown in Figure 1a. The maximum reduction is greater in the unscaled mask 102 than the scaled mask because the solar corona is never fully occulted in the latter. The unscaled 103 mask is applied to three atmospheric processes in the model: solar flux input to the radiative 104 transfer used in the lower atmosphere; the carbon dioxide near-infrared heating rate; direct 105 photolysis heating. The scaled EUV mask is applied to all photoionization and photodissociation 106 reaction rates, and to direct EUV heating, including photoelectron heating, of the ambient 107 electron gas. Figure 1b shows the change in heating rates at four levels in the atmosphere at the 108 same location as Figure 1a, with all heating and ionization rates adjusted using the appropriate 109 mask. Also, each of these heating and ionization processes was evaluated with separate runs, in 110 order to investigate the individual contributions to the total effect on temperature and electron 111 density. We compare the differences between these simulations and the aforementioned baseline 112 simulation, in order to examine global eclipse impacts throughout the atmosphere. 113

114 **4. Results**

Through comparison of simulations using the eclipse mask to the baseline simulation, eclipse 115 effects can be studied in detail, and the impact of individual heat sources can be evaluated. 116 Figure 2 displays temperature differences between eclipse and baseline simulations at 39° N 117 latitude and 95° W longitude as a function of Universal Time (UT) between 16 UT and 24 UT. In 118 Figure 2a, the y-axis is the full vertical range of the model from the ground to 600 kilometers, 119 and in Figure 2b, a subset of this vertical range up to 100 kilometers. The first sign of the eclipse 120 shadow is clear just before 17 UT, when slight cooling begins at all levels. The largest cooling 121 (~40 K) is seen in the thermosphere ($\sim 3x10^{-7}$ hPa) around 18:45 UT, about 20 minutes after 122 totality. In the vertical altitude range of 0-100 kilometers, the maximum cooling due to the 123 eclipse near the surface and near the stratopause is -3 K and -1 K, respectively. In the 124 mesosphere, the temperature oscillates with magnitudes up to ± 4 K. 125

With the fully interactive chemistry in WACCM-X, we can examine effects on chemical species during the eclipse. Figure 3 shows the percent changes of atomic oxygen and ozone at 65 km and 18 UT over North America during the eclipse relative to the baseline. This is when the path of totality had reached 40.5° N and 98.2° W, over the central United States. There is a clear depletion of atomic oxygen of ~75%, corresponding to ~95% enhancement of ozone.

Turning to the thermosphere-ionosphere, Figure 4 shows global maps of temperature and 131 electron density near 250 km altitude. The left column is at 18:15 UT, when the total solar 132 eclipse was over the central United States, and the right column is at 23:30 UT, over 3 hours 133 after the eclipse ended over the Atlantic Ocean. In Figure 4a and Figure 4b, we show 134 thermospheric temperature. In Figure 4a, there is a clear cooling at the location of the total 135 eclipse, less so in the surrounding shadowed regions, extending back along the path of totality. 136 The changes in other parts of the globe are smaller. In Figure 4b, the same projection ~5 hours 137 later, we see eclipse effects of similar magnitude that have become global, including a wave-like 138 signature propagating southward and eastward away from the path of totality. Figure 4c and 139 Figure 4d are electron density at the ionosphere F2 peak (NmF2). During the eclipse at 18:15 140 UT, Figure 4c, there is a clear NmF2 depletion with a maximum of $\sim 1.8 \times 10^{-5}$ cm⁻³, $\sim 55\%$, in a 141 pattern similar to temperature, also extending back along the path of totality. Additionally, there 142 are small effects on NmF2 at other locations, with some slight increases to the south and west of 143 the path of totality. Figure 4d, at 23:30 UT, the NmF2 effects have spread globally, with 144 depletions and enhancements about the same order of magnitude as during the eclipse. In 145 contrast to temperature, which has the eclipse effects propagating somewhat radially away from 146 the path of totality, the NmF2 effects propagate more meridionally than zonally. 147

We can evaluate eclipse effects in the thermosphere caused by lower atmosphere 148 perturbations by looking at the temperature differences at this same altitude, but for a simulation 149 in which the eclipse mask is applied only to troposphere/stratosphere heating and not to the other 150 heating or ionizing sources. These temperature differences, which are shown in Figure 4e and 151 Figure 4f, are an order of magnitude smaller than those in Figure 4a and Figure 4b. In Figure 4e, 152 during the eclipse at 18:15 UT, there are localized changes in temperature of about 1-2 K near 153 the path of totality, but no clear signature of the path as seen in the top left panel. Since, in this 154 simulation, only lower atmosphere heating is affected by the eclipse passage, this implies that 155 variability propagating from below is causing differences in the thermosphere. In Figure 4f, at 156 23:30 UT, the effects have spread to nearly all latitudes and longitudes with even larger 157 magnitudes than in Figure 4e and a wave pattern similar to Figure 4b. 158

To further explore the lower atmosphere eclipse effects on the thermosphere-ionosphere, we 159 show in Figure 5 the temperature differences (left) and NmF2 differences (right), for cases where 160 only lower atmosphere heating was masked and where only upper atmosphere (EUV-driven) 161 heating was masked. The differences are displayed as a function of latitude, at 250 kilometers 162 altitude, for a time during the eclipse at 18:15 UT (solid) and after the end of the eclipse at 23:30 163 UT (dashed). Direct upper atmosphere heating results in the largest effects (red) during and after 164 the eclipse. However, lower atmosphere heating eclipse effects (black) are non-negligible in 165 thermosphere temperature and, even more so, in NmF2, particularly after the eclipse in the 166 southern hemisphere. The detection of a lower atmosphere influence on the thermosphere-167 ionosphere is an important result made possible by this whole atmosphere simulation. 168

5. Discussion and Conclusions

Using the capability of the WACCM-X model to simulate the entire atmosphere, we examine 170 the effects of the 21 August 2017 eclipse from the Earth's surface up to 600 kilometers. For 171 temperature, at a location over the central United States, we see cooling near the surface, near the 172 stratopause, and in the middle themosphere, all on the order of 1-40 K. The cooling near the 173 surface during the eclipse is as much as ~3 K, in the range of that seen from National Weather 174 Service observations during the eclipse (e.g., https://www.weather.gov/lsx/08_21_2017). The 40 175 K cooling at ~250 kilometers is similar to the 40 K cooling at 240 kilometers from a study of the 176 1999 eclipse by Mueller-Wodarg et al. (1998) using the Coupled Thermosphere-Ionosphere-177 Plasmasphere (CTIP) model. Ridley et al. (1984) reported a maximum 70 K cooling at 300 178 kilometers during the 1983 eclipse and Roble et al. (1986) found a 57 K cooling at ~250 179 kilometers during the 1984 eclipse, both using the Thermosphere General Circulation Model. 180 These comparisons illustrate that WACCM-X compares well with previous results in the upper 181 atmosphere, and also provides a comprehensive understanding of eclipse effects throughout the 182 atmosphere. 183

When the eclipse mask is applied only to lower atmosphere heating, we still see effects on the temperature at 250 kilometers during and after the eclipse, as shown in Figure 4. This is further illustrated in Figure 5, where temperature and NmF2 both show significant post-eclipse effects. Although much smaller than the more direct effect of EUV change in the thermosphereionosphere, these are indications that eclipse effects in the lower atmosphere contribute to variability in the upper atmosphere for many hours after the eclipse.

With the inclusion of interactive chemistry in WACCM-X, we examined the effects on atomic oxygen and ozone in the mesosphere, noting in Figure 3 a 75% depletion and 95% enhancement respectively. Further examination of the chemistry involved gives insight into these changes. In this part of the atmosphere the two main reactions affecting the abundances of ozone and atomic oxygen are:

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 $O_3 + hv \rightarrow O(^1D) + O_2$ $O + O_2 + M \rightarrow O_3 + M$

The first of these reactions results in the destruction of ozone by photolysis. The second is the 198 production of ozone by the recombination of atomic and molecular oxygen. During the eclipse, 199 with the reduction of incoming solar radiation, the first photolysis reaction is decreased 200 significantly, no longer destroying ozone at the rate typically seen during daytime, while the 201 second reaction continues producing ozone, at the expense of atomic oxygen. The result is a 202 decrease of atomic oxygen and an increase of ozone during the eclipse shown in Figure 3. This 203 will change heating and cooling rates in the vicinity of the eclipse path, and could contribute to 204 dynamical perturbations propagating into the thermosphere, but additional experimentation and 205 analysis will be needed to disentangle effects caused by middle atmosphere chemistry from those 206 driven by lower atmosphere temperature changes. 207

Global maps during the eclipse show both the temperature decrease at 250 kilometers and depletion of NmF2 extend back along the path of totality, as seen in Coster et al. (2017). Depletion of WACCM-X NmF2 over a broad area under the eclipse shadow has a maximum of ~55% at 250 kilometers near the eclipse center. This is comparable to, but clearly larger than, the prediction from Huba and Drob (2017) of a 30% electron density decrease at 306 km. Since eclipse effects on electron column density in the WACCM-X model are dominated by depletion in the NmF2 region, this 55% decrease can be compared to observations of Total Electron

Content (TEC). During the 2017 eclipse, Coster, et al. (2017) found a TEC reduction of 50-60% 215 and Sun et al. (2018) observed a reduction of 37-43%. During the 2005 eclipse, a 30% reduction 216 in TEC was reported by Jakowski et al. (2008), and 20-30% by Krankowski et al. (2008). 217

A modest but widespread enhancement in TEC observed over much of North America in the 218 wake of the eclipse has been reported (e.g., Cherniak & Zakharenkova, 2018). Our model 219 simulations do not produce these enhancements. Since the solar and geomagnetic forcing of the 220 model was held constant, any externally-driven day-to-day ionospheric variability would be 221 absent, and it is not known if the observed enhancements were due to solar/geomagnetic 222 variability or eclipse effects. However, in the hours following the eclipse, and even into the next 223 day, a nearly global response is seen in model temperature and NmF2. For temperature at 250 224 kilometers, a wave-like structure propagates away from the eclipse region. This is similar to what 225 Mueller-Wodarg et al. (1998) predicted for the 1999 eclipse, and Zhang et al. (2017) describe 226 large-scale ionospheric perturbations in observations of TEC after the eclipse. The most salient 227 feature seen in the model NmF2 are differences in the hours following the eclipse in the 228 equatorial region, that have no clear connection back to the eclipse path of totality. These NmF2 229 differences are slightly smaller than the depletion during the eclipse. They occur along the 230 geomagnetic equator in the equatorial ionization anomaly (EIA) region of both hemispheres, 231 even extending into the southern hemisphere mid-latitudes. These NmF2 changes in the 232 equatorial region might result from changes in neutral winds near the path of totality that 233 propagate to lower latitudes. This could induce a disturbance in equatorial electrodynamics, 234 modifying the "fountain" effect responsible for the EIA. A mechanism of this type would explain 235 why the NmF2 differences follow the geomagnetic, rather than the geographic, equator. 236

As seen in Figure 2, a time lag occurs in WACCM-X temperature between the actual passage 237 of the eclipse at 18:15 UT and the largest temperature changes around 19:00 UT, ~45 minutes 238 after the time of totality. The previously described study by Mueller-Wodarg et al. (1998) 239 concluded the temperature minimum at 240 kilometers would lag the passage of the total eclipse 240 by 30 minutes. In the case of TEC, we found the lag for WACCM-X electron column density at 241 one location is ~15 minutes. During the 2017 eclipse, the lag between total obscuration and 242 maximum TEC reduction is reported by Coster et al. (2017) to be 10 minutes, Sun et al. (2018) 243 to be several minutes to half an hour, and Cherniak and Zakharenkova (2018) to be ~8-20 244 minutes. For the 2005 eclipse, Jakowski et al. (2008) and Krankowski et al. (2008) found this lag 245 in TEC of 20-30 minutes. These relatively short lags in TEC are commensurate with ionospheric 246 chemical recombination and diffusion lifetimes, whereas the slower heat conductance at 247 thermospheric altitudes results in a more sluggish temperature response 248

These results from whole atmosphere WACCM-X simulations demonstrate that the 249 thermosphere-ionosphere system responds, not only along the path of totality of the eclipse, but 250 also globally, to the local perturbation induced by the "Great American Eclipse" and indicate the 251 need for more thorough investigations into the many atmospheric impacts waiting to be 252 uncovered during this and future total eclipses of the Sun. 253

Acknowledgments. WACCM-X source code and results are publicly available at the NCAR Community Earth 254 System Model web site. Model output data used in this letter are archived on the NCAR High Performance Storage 255

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Figure 1. (a) The solar eclipse mask factor as a function of UT at latitude 38.8° north and longitude 95.0° west for the unscaled mask near the surface (solid) and the EUV scaled mask at an altitude of 255 kilometers (dashed). (b) Total heating in the model, at the same location, near the surface, and at 47, 75, and 255 km altitude.

Figure 2. Temperature differences between the eclipse and baseline simulations as a function of UT and altitude at a latitude of 38.8° north and a longitude of 95.0° west. (a) Entire model vertical range up to 600 kilometers. (b) Surface to 100 kilometers only. The dashed vertical lines denote the start and end of the eclipse, and the solid vertical lines indicate totality.

Figure 3. The percent differences between eclipse and baseline simulations over North America at an altitude of 65 kilometers of atomic oxygen (left) and ozone (right) at 18:00 UT. The black dots indicate the location of totality.

Figure 4. (a,b) Global maps of temperature differences at 250 kilometers, eclipse minus baseline, at 18:15 UT and 23:30 UT. (c,d) Electron density differences at the F2 peak. (e,f) Same as (a,b) except with eclipse mask only applied to lower atmosphere heating. Solid lines are the eclipse path of totality.

Figure 5. (a) Temperature differences and (b) NmF2 differences between eclipse and baseline simulations, as a function of latitude, at longitude 95.0° west and altitude 250 kilometers. Shown are the sum of differences with the eclipse mask applied to lower and middle atmosphere heating (black) and the sum of differences with the eclipse mask applied to middle and upper atmosphere heating (red) for during the eclipse at 18:15 UT (solid) and near the end of the day of the eclipse at 23:30 UT (dashed).

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353

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.

