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1 **The spatial ecology of phytoplankton blooms in UK canals**

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12

13 **ABSTRACT**

14 Environmental change is expected to increase the frequency and severity of problems  
15 caused by harmful algal blooms. We investigated the ecology of phytoplankton blooms in UK  
16 canals to determine the environmental predictors and spatial structure of bloom  
17 communities. The results revealed a significant increase in bloom presence with increasing  
18 elevation. As predicted, higher temperatures were associated with a greater probability of  
19 blooms, but the relationship between temperature and bloom occurrence changed across  
20 landscapes. At the minimum level of agricultural land, the probability of bloom presence  
21 increased with increasing temperature. Conversely, at the maximum level, the probability  
22 decreased with increasing temperature. This pattern could be due to higher temperatures  
23 increasing phytoplankton growth rates despite lower nutrient concentrations at low levels of  
24 agricultural land, and nutrient depletion by rapidly growing blooms at high levels of  
25 agricultural land and temperatures. Community composition exhibited spatial autocorrelation:  
26 nearby blooms were more similar than distant blooms. Hydrological distances through the  
27 canal network showed a stronger association with community dissimilarity than Euclidean  
28 distances, suggesting a role for hydrological connectivity in driving bloom formation and  
29 composition. This new knowledge regarding canal phytoplankton bloom origin and ecology  
30 could help inform measures to inhibit bloom formation.

31

32 Keywords: algal bloom, cyanobacteria, climate, land use, health, connectivity, canal

## 33 INTRODUCTION

34 Algal blooms cause significant harm to humans, the economy and wildlife (Landsberg 2002).  
35 Some bloom-forming algae, particularly freshwater cyanobacteria, synthesise toxins that can  
36 cause health problems for humans and other animals (Landsberg 2002; Codd et al. 2005;  
37 Malbrouck and Kestemont 2006). Furthermore, algal blooms result in a reduction in water  
38 clarity and potential oxygen depletion, negatively impacting aquatic organisms (Paerl and  
39 Otten 2013). Consequently, removal of these toxins from water systems and prevention of  
40 future contamination are of critical importance. Although algal blooms occur naturally, human  
41 activity has significantly increased the incidence of blooms (Anderson et al. 2002). Both  
42 freshwater and marine algal blooms are exacerbated by eutrophication from N and P inputs.  
43 Previously, P has been identified as the most problematic nutrient (Smith 2003) although  
44 subsequent work has illustrated the need to control both N and P depending upon the  
45 composition of the blooms and the nature of the ecosystem (Conley et al 2009). While  
46 blooms of N<sub>2</sub>-fixing cyanobacteria were thought to prevent N-limitation in aquatic systems,  
47 subsequent experiments have shown that this N<sub>2</sub>-fixation is unable to compensate for N  
48 limitation (Scott et al 2010). Based on experimental studies of whole-lake ecosystems,  
49 combinations of N and P have been shown to have the greatest effect, necessitating their  
50 combined control (Paerl et al 2016). In freshwater habitats, such as lakes, rivers and  
51 streams, this N loading is often derived from fertiliser runoff and animal waste from  
52 agriculture, and in the UK P is primarily pollution from sewage (Anderson et al. 2002). The  
53 costs associated with the damage caused by freshwater eutrophication and algal blooms in  
54 England and Wales have been estimated at £75.0-114.3 million per year, with an additional  
55 £54.8 million of costs is being spent per year on policy responses in order to attend to the  
56 damage (Pretty et al. 2003). However, Pretty et al. (2003) suggest that if eutrophication was  
57 prevented before the damage occurred, the costs would be reduced.

58

59 In the absence of a predictive framework for the control of algal blooms prior to their  
60 occurrence, research has focused on how to inhibit algal blooms shortly after formation.

61 Some studies have found cyanophages and viruses that could be introduced into water  
62 systems to control them (Brussaard, 2004; Yoshida et al. 2006). However, as with any  
63 biocontrol method, there are highly complex potential problems that can result from the  
64 release of other species as a means of control (Simberloff and Stiling 1996). It should also  
65 be pointed out that the treatment of algal blooms with cyanophages and viruses would not fix  
66 the underlying cause of the problem. In order to do this, measures by which water pollution  
67 can be prevented need investigation. While a 37-year study investigating the efficacy of  
68 reducing N input to control algal blooms suggested that N limitation does not reduce bloom  
69 formation (Schindler et al. 2008), recent experimental work has emphasised the role of both  
70 N and P in driving eutrophication in standing waters (Scott et al 2010; Paerl et al 2016).  
71 However, efforts to reduce the concentration of P in the River Frome, UK, by inhibiting river  
72 pollution from sources such as sewage treatment works, suggested that algal blooms could  
73 be reduced using a P-focused approach (Bowes et al. 2011).

74

75 Climate change is also predicted to affect algal bloom frequency. Increases in global  
76 temperatures are expected to benefit algal development as taxa such as cyanobacteria have  
77 higher growth rates in warmer waters (Johnk et al. 2008; Paerl and Huisman 2008; O'Neil et  
78 al. 2012). In the UK, predicted reduced rainfall in summer months will result in lower  
79 concentrations of dissolved oxygen and reduced river flow, leading to an accumulation of  
80 nutrients such as P in watercourses. Furthermore, unpredictable heavy rainfall will  
81 intermittently flood watercourses with nutrients from the land (Whitehead et al. 2009; Watts  
82 et al. 2015).

83

84 Rivers are a particular type of watercourse that pose a unique set of questions regarding  
85 algal blooms, due to the dendritic network structure of these waterways. Dendritic networks  
86 are characterised by primarily linear features separating into branches. The movement of  
87 aquatic and semiaquatic species is largely restricted within these connected channels, as  
88 they are generally unable to leave the network (Grant et al. 2007). Research involving

89 experimental microcosms found that connectivity in dendritic networks could influence the  
90 transportation of species throughout these systems. In comparison to linear networks, the  
91 active dispersal of six protist and one rotifer species occurred quicker in dendritic networks,  
92 leading to faster colonisation of new areas (Seymour and Altermatt 2014). The flow of water  
93 in natural dendritic networks, including rivers, could also potentially enable passive dispersal  
94 of non-motile species of algae. Thus, the understanding of how network connectivity can  
95 facilitate active or passive dispersal of species, such as algae, is important for understanding  
96 the development of algal blooms in dendritic networks, such as rivers. This knowledge could  
97 also be vital for invasive species research, such as in the case of the invasive freshwater  
98 algae species *Didymosphenia geminata* which forms blooms in New Zealand and Canadian  
99 rivers with low-nutrient conditions, as well as those with higher P and N levels (Kirkwood et  
100 al. 2007; Bothwell and Kilroy 2011; Kilroy and Bothwell 2012).

101

102 Canals are another example of a dendritic network that contains algae and associated  
103 blooms (Nagai et al. 2008; Zhu et al. 2015). As canals are manmade structures, they are of  
104 economic importance to humans as a means of transport, for recreational activities (Willis  
105 and Garrod 1991; Leuven et al. 2009), and as part of built heritage (Firth 2015). Importantly,  
106 in the UK, 23 canal stretches are designated Sites of Special Scientific Interest (SSSI), some  
107 of which are due to the presence of nationally rare species and habitats (Natural England  
108 2016). Also, the design of canals for industrial transport means that they often flow through  
109 densely populated urban areas. The study of algal blooms in canals (and, indeed, the  
110 ecology of canals in general) is a neglected area, with little information known about the  
111 origin and ecology of the blooms. Consequently, the conservation implications associated  
112 with understanding the origin and ecology of algal blooms in canals is of some importance,  
113 as such understanding has the potential to aid the protection of nationally rare species and  
114 habitats. A study of the River Thames basin, UK, found that rivers that are connected to  
115 canals have greater chlorophyll concentrations, indicating larger algal biomasses (Bowes et  
116 al. 2012). Thus, canals may be intensifying the problem of algal blooms in rivers. Moreover,

117 as with rivers, canals are potentially important networks for movement of native (Kim and  
118 Mandrak 2016) and invasive species (Leuven et al. 2009; Strayer 2010; Altermatt 2013).  
119 Due to the construction of many canals occurring near urban areas and other areas of  
120 human activity, it is more likely that invasive species will be introduced into canals and  
121 subsequently disperse into rivers (Willis and Garrod 1991).

122

123 Much work has been done before on the drivers of algal and phytoplankton blooms. Instead,  
124 the main aims of this study were to investigate phytoplankton bloom ecology in canals to  
125 determine (i) the structure of the autocorrelation in the resulting residuals from models of  
126 bloom presence, and (ii) the spatial variability in the taxonomic composition of those blooms.  
127 We predict that the presence of blooms will exhibit a spatially-autocorrelated pattern,  
128 accounting for drivers of bloom formation, and that connectivity within the canal network will  
129 result in taxonomic compositions of phytoplankton blooms that are closer together  
130 geographically being more similar than those that are further apart. We test these  
131 hypotheses using a novel data source which arises from a bloom reporting system in  
132 operation in England.

133

## 134 **METHODS**

135 Land use data, including patterns of natural, agricultural, and urban land, were obtained from  
136 the Land Cover Map (LCM) 2007 (Centre for Ecology & Hydrology 2011) and elevation was  
137 derived from a digital elevation model (DEM) (Ordnance Survey 2016). From the UK  
138 Government's Department for Environment, Food and Rural Affairs (Defra), data concerning  
139 canals, reservoirs, locks, wharves, docks, and lakes, ponds and fisheries, were obtained.  
140 From the WorldClim dataset, two environmental BioClim variables were downloaded for the  
141 UK: Bio1 (mean annual temperature, °C) and Bio5 (maximum temperature of the warmest  
142 month, °C) (Hijmans et al. 2005; Haylock et al. 2008). These two variables were selected  
143 because water temperature is known to affect cyanobacterial growth, with higher  
144 temperatures causing an increase in growth rate (Johnk et al. 2008; O'Neil et al. 2012). Both

145 variables represent air temperature which was predicted to correlate positively with water  
146 temperature, however the uniform structure of canals (a relatively standardised depth, width,  
147 and profile) means that we might expect a spatially consistent relationship between  
148 atmospheric and water temperature. However, the maximum temperature of the warmest  
149 month may be considerably higher than all of the other months, with a cooler temperature  
150 throughout the rest of the year. Where this occurs, the mean annual temperature would be  
151 more useful as areas with a higher temperature will be warmer, on average, throughout the  
152 entire year, not just during the warmest month. Therefore, both variables were obtained as  
153 either one may influence phytoplankton bloom presence. The Canal & River Trust (CRT) and  
154 the Environment Agency (EA) provided phytoplankton bloom data for both canals and  
155 reservoirs. Both datasets originate from a bloom reporting system, and so the definition of a  
156 bloom for the purpose of this study is a visible aggregation of phytoplankton at the water  
157 surface. Since the canal network is used extensively by recreational boaters, we assume  
158 that survey effort is relatively high across the network. Water samples are collected  
159 containing phytoplankton cells and preserved in Lugol's iodine. The sample is then mixed  
160 thoroughly, and a representative subsample is transferred to a sedimentation tube. After  
161 settling, cells are identified and counted to give a density estimate for each taxon. The EA  
162 dataset includes the enumeration while the CRT dataset only contains presence/absence,  
163 and so the dataset was converted to all presence/absence to ensure that the data were  
164 comparable. While this data source does not give a standardised sample of blooms across  
165 the canal network, it provides a large number of samples from across the network that we  
166 believe represent an adequate view of where blooms occur. Details of the SSSI site canals  
167 in Great Britain were obtained from Natural England (Natural England 2016).

168

169 Initial analysis of the data was performed in ArcGIS 10.4.1 for Desktop (Esri 2016), with all  
170 layers projected in the British National Grid. In order to produce individual canal stretches in  
171 which to analyse the phytoplankton bloom data, the canal dataset was split into "pounds"  
172 (stretches of canal on the same elevation that are divided by locks) along the canals.

173 Subsequently, a 5 km buffer was produced around each resultant canal pound ( $n = 2,439$ ).  
174 The land cover, DEM, and climate data were then clipped to these buffers and the mean,  
175 minimum, and maximum values were calculated for each buffer using R 3.3.2 (R  
176 Development Core Team 2016). The same buffers were used to extract the proportions of  
177 the areas of aggregated land cover types (woodland, grassland, agriculture, and urban).  
178 Subsequently, these proportions were arcsine square root transformed. Woodland  
179 comprised broadleaved and coniferous woodland land cover types. Grassland comprised  
180 rough grassland, neutral grassland, calcareous grassland, acid grassland, and fen, marsh  
181 and swamp land cover types. Agriculture comprised arable, horticulture, and improved  
182 grassland land cover types, and is assumed to be the main source of N entering the system.  
183 Urban comprised urban and suburban land cover types, and is assumed to be the main  
184 source of P entering the system. The locations of 279 unique sites in which phytoplankton  
185 blooms had been recorded by the EA were given in national grid references (NGRs).  
186 Northing and easting values were calculated using a converter equation in Microsoft Excel  
187 2013 (permission granted by author, Ryan Burrell). Blooms were only included if they were  
188 identified as being within the canal network (including feeder streams and reservoirs), and  
189 any blooms located outside of the 5 km buffers were removed as they were deemed too far  
190 from the canals, leaving 93 bloom locations.

191

## 192 **Statistical analysis**

193 All statistical analyses were performed using the “Hmisc”, “MuMIn”, “car”, and “vegan”  
194 packages in the statistical software, R 3.3.2 (Bartoń 2015; Harrell 2016; Fox, et al. 2016;  
195 Oksanen, et al. 2017). The presence/absence of phytoplankton blooms was investigated in  
196 relation to the environmental predictor variables for each canal pound using generalised  
197 linear models (GLZs) with binomial errors. Spearman’s rank correlations performed between  
198 each of the predictor variables revealed that the mean, minimum and maximum values for  
199 the elevation, Bio1, and Bio5 variables were significantly correlated with each other ( $\rho >$   
200 0.600,  $df = 2437$ ,  $P < 0.001$ ). Thus, only mean elevation, mean Bio1 and mean Bio5 were

201 retained in the models along with the proportions of the areas of the four aggregated land  
202 cover type variables. In addition, two-way interactions terms between mean Bio1 and the  
203 transformed proportion of agricultural land, and mean Bio5 and the transformed proportion of  
204 agricultural land, were included in the model. These interaction terms were included as it  
205 was predicted that a combination of the nutrient concentration derived from agricultural land  
206 and temperature would have a synergistic, as opposed to additive, effect on the presence of  
207 phytoplankton blooms.

208

209 VIF analysis of this full model and the Spearman's rank order correlations revealed  
210 multicollinearity ( $VIF > 5$ ) between mean Bio1 and mean Bio5, and the transformed  
211 proportions of urban and agricultural land. Consequently, mean Bio5 and the associated  
212 interaction term were removed from the model, as Bio1 is a more biologically important  
213 variable. Bio5 represents the maximum temperature of the warmest month; yet  
214 phytoplankton blooms were reported in all months, not just the summer months, likely due to  
215 peaks in chlorophyll in April-June while peak temperatures occur in August (e.g. Skidmore et  
216 al. 1998). Therefore, we argue that Bio1 is more appropriate as it represents the mean  
217 annual temperature. In addition, the transformed proportion of urban areas was removed as  
218 the elimination of agricultural areas (and the two interaction terms) from the model resulted  
219 in a higher  $\Delta AICc$  value (30.9), than the elimination of urban areas (and the two interaction  
220 terms) ( $\Delta AICc = 21.2$ ). Hence, there is a greater decline in explanatory power when the  
221 transformed proportion of agricultural land is removed from the model. The full model  
222 included (i) mean annual temperature, (ii) elevation, and the proportions of (iii) agricultural,  
223 (iv) woodland, (v) grassland cover, and (vi) the interaction between temperature and  
224 agricultural land cover.

225

226 The dredge function ("MuMIn" package) was used on the full model to calculate the  $AICc$   
227 values for a set of models, each containing a different possible combination of the variables.  
228 Since three models had  $\Delta AICc < 2$  compared to the top model, indicating negligible

229 difference in explanatory power, model averaging with shrinkage was performed. As the  
230 odds and 95% C.I. of the resultant model could not be calculated due to model averaging,  
231 the values were estimated from the top model.

232

233 To evaluate the role of distance and connectivity, we conducted three complementary spatial  
234 analyses: non-spatial, pseudo-spatial, and network distance. The non-spatial model does not  
235 take spatial autocorrelation into account and so represents a null model assuming all  
236 locations are independent. The pseudo-spatial model used the Euclidian distance between  
237 each canal pound as a measure of distance but did not take into account hydrological  
238 connectivity along the network. The dist function was used on the centroid data to produce  
239 pairwise geographical distances between each of the blooms. Finally, the network distance  
240 used the distance along the canal network between each pair of pounds. The canal network  
241 was imported into the riverdist package in R (Tyers 2017), and a hydrological distance matrix  
242 was created for each pairwise distance between sites using the riverdistmat() function.  
243 These three distance models were then incorporated into the analyses in order to explore  
244 the spatial autocorrelation in the data. The residuals from the top GLZ model were analysed  
245 for spatial autocorrelation using Moran's I based on the pseudo-spatial (Euclidian) and  
246 network distance (hydrological) distance matrices. Finally, the full GLZ with binomial errors  
247 was repeated, with spatial filtering performed on the model using the centroids of the canal  
248 stretches for the pseudo-spatial and network distance data (Dormann et al. 2007). The  
249 effectiveness of this control for autocorrelation was verified by performing Moran's I tests on  
250 the model residuals with the spatial filters.

251

### 252 *Community composition*

253 A more conservative analysis was conducted to evaluate spatial patterns in the composition  
254 of phytoplankton within each reported bloom. Bloom locations were only incorporated if they  
255 were within 500m of the canal network, giving greater confidence in their location along the  
256 hydrological system. Comparisons of the phytoplankton bloom community compositions in

257 this subset of blooms ( $n = 39$ ) in connecting canal stretches were performed in relation to  
258 geographical distance. Presence-absence species-by-site matrices were transformed by  
259 Hellinger transformation using the `decostand` function (“vegan” package). Redundancy  
260 analysis (RDA) of the Hellinger-transformed data was computed in order to produce an  
261 ordination plot of the phytoplankton bloom sites by community compositions. The `vegdist`  
262 function (“vegan” package) was then used on the Hellinger transformed species data to  
263 produce pairwise Bray-Curtis dissimilarity matrices describing the ecological distance  
264 between each of the blooms. Subsequently, a Mantel test (with Spearman’s rank order  
265 correlation due to non-normality of the two distance matrices (Shapiro-Wilk normality tests:  
266  $W > 0.601$ ,  $P < 0.001$ )) was performed between the community distance matrix and each of  
267 the Euclidian and hydrological distance matrices.

268

## 269 **RESULTS**

270 Canal phytoplankton blooms with species-level identification were reported from 1.6% (39  
271 out of 2439) of the associated canal pounds between 1990 and 2014. The UK canal system  
272 is generally located in low-lying areas (median elevation 101.83 m; interquartile range (IQR)  
273 = 72.03 m). The temperature data revealed that there was only an approximately 3°C  
274 difference between the sites with the highest and lowest mean annual temperatures (median  
275 = 9.33 °C; IQR = 0.45 °C). The landscape through which canals pass is dominated by  
276 agricultural land (median proportion cover = 0.64; IQR = 0.44), with a smaller coverage of  
277 grassland and woodland (0.04 and 0.05, respectively; IQR = 0.06 and 0.05, respectively) (for  
278 more details, see Table 1). Bloom composition varied from 1 to 127 taxa, with a mean  
279 taxonomic richness of 10.4 taxa ( $\pm 1.3$  SE). The most common species recorded from  
280 blooms were *Euglena* sp. (104 sites). Of particular interest are the toxic cyanobacteria  
281 *Microcystis* sp. (from 52 sites, including *M. aeruginosa* from 27 sites), *Anabaena* sp. (from  
282 50 sites, including *A. flos-aquae* from 46 sites) and *Oscillatoria* sp. (from 67 sites, including  
283 *O. agardhii* from 28 sites). The identification of potentially toxic cyanobacteria from these  
284 samples emphasises the importance of understanding their ecology and control.

285

286 **Presence/absence**

287 Model selection produced three models containing subsets of these six predictor variables  
288 that had  $\Delta AICc < 2$ . Model averaging with shrinkage found that four of the predictor variables  
289 had a significant effect on the presence of phytoplankton blooms, and were found in all three  
290 models. The two other variables were only present in one model (Table 3). The results  
291 revealed a significant increase in the proportion of phytoplankton bloom presence with an  
292 increase in elevation (Table 3) (Figure 2). The estimated odds and 95% C.I. for the averaged  
293 model, revealed that the odds of phytoplankton bloom presence increased by 9% (95% C.I.  
294 3-14%) for each 10 m increase in elevation.

295

296 As the interaction term is significant (Table 3), the effect of mean annual temperature on the  
297 presence/absence of phytoplankton blooms depends on the transformed proportion of  
298 agricultural land. As the proportion of agricultural land increases, the effect of temperature  
299 on presence/absence changes (Figure 2). At the minimum level of agricultural land, the  
300 predicted probability of phytoplankton bloom presence increases with increasing  
301 temperature. Conversely, at the maximum level of agricultural land, the predicted probability  
302 of phytoplankton bloom presence decreases with increasing temperature. At the median  
303 level of agricultural land, the predicted probability of phytoplankton bloom presence remains  
304 relatively similar with increasing temperature, with only a slight increase observed. Due to  
305 the significance of the interaction term, the single main effects cannot be interpreted in  
306 isolation. However, the transformed proportion of agricultural land and the mean annual  
307 temperature are still important.

308

309 The GLMs with spatial filtering based on Euclidean or network distances between sites  
310 showed that there were no spatial eigenvectors that explain a significant proportion of the  
311 variance in the residuals of the models. Thus, there was no spatial autocorrelation in the  
312 data. The Moran's I test confirmed that no spatial autocorrelation was present in the

313 residuals of the non-spatial models using either the Euclidean (Moran's  $I = -2.912 \times 10^{-04}$ ,  $s =$   
314  $0.341$ ,  $P = 0.367$ ) or network distances (Moran's  $I = -4.287 \times 10^{-04}$ ,  $s = -1.963$ ,  $P = 0.975$ ). As  
315 a result, no further incorporation of spatial data into the presence/absence analysis was  
316 attempted.

317

### 318 **Community composition**

319 The results of the Mantel tests revealed that the compositions of phytoplankton species are  
320 more similar in blooms that are closer together than blooms that are further apart. There was  
321 a significant positive correlation between the distance between phytoplankton bloom sites  
322 and the dissimilarity of those sites when distance was measured using Euclidean distances  
323 (Mantel  $r$  statistic =  $0.183$ ,  $df = 38$ ,  $P = 0.001$ ), and this correlation was stronger for  
324 hydrological distance (Mantel  $r$  statistic =  $0.278$ ,  $df = 38$ ,  $P = 0.001$ ).

325

### 326 **DISCUSSION**

327 Based on the results of this study, the environmental conditions found around the canals of  
328 the UK affect the probability of phytoplankton bloom presence. Phytoplankton blooms are  
329 more likely to be present at higher elevation canals. Furthermore, it was found that as the  
330 proportion of agricultural land surrounding the canal stretches increases, the effect of  
331 temperature on the likelihood of phytoplankton bloom presence changes. These variables  
332 were found to be significant at the non-spatial level, with no spatial autocorrelation observed  
333 in the data as demonstrated by the pseudo-spatial analysis. Nevertheless, spatial analysis  
334 revealed that the community compositions of phytoplankton blooms that are closer together  
335 are more similar than those that are further apart. Hydrological connectivity seems to be  
336 more important than Euclidean distance, as would be predicted if there was a role in  
337 structuring blooms for movement of propagules through the canal network.

338

339 The reason for the increased likelihood of phytoplankton blooms at higher elevations is not  
340 obvious (Figure 1). The growth rate of phytoplankton, such as cyanobacteria, is known to be

341 greater at higher water temperatures (Johnk et al. 2008; O'Neil et al. 2012). Thus, the  
342 opposite outcome would be expected as higher temperatures are generally found at lower  
343 elevations (Fitter et al. 1998; Ineson et al. 1998; Tipping et al. 1999). Nevertheless, blooms  
344 have been documented at high elevation sites in the past (Mwaura et al. 2004; Derlet et al.  
345 2010; Anderson et al. 2014; Zhang et al. 2016). However, it should be noted that the  
346 altitudinal gradient of this study area is not particularly large compared to other areas (Table  
347 1), which could have affected the results. A potential reason for this unexpected result could  
348 be that there is greater precipitation at high elevations (Ineson et al. 1998; Tipping et al.  
349 1999); thus, larger quantities of pollutants may be washed into the canals. This effect of  
350 greater run-off, combined with higher levels of N and P that have not yet been stripped from  
351 the water supplies as much as downstream, could lead to higher nutrient availability for  
352 blooms. Blooms are known to occur in upland reservoirs that feed into the canal network,  
353 which could also result in concentrations of blooms in upland areas. However, Figure 1 also  
354 shows a number of blooms that arise close to urban areas (London, West Midlands,  
355 Liverpool) and which might be indicative of local P pollution via sewage entering the system.  
356 A recent study found that the effect of nutrients on blooms is greater than water temperature  
357 (Deng et al. 2014); hence, the potentially higher nutrient concentrations caused by greater  
358 precipitation may compensate for the decrease in temperature. Furthermore, a mesocosm  
359 experiment with marine phytoplankton suggested light as an important factor for bloom  
360 initiation (Sommer and Lengfellner 2008). Potentially fewer or smaller trees at upland canal  
361 sites may result in greater light intensity and thus, an increased likelihood of bloom presence  
362 (Coomes and Allen 2007). For example, canal stretches that traverse upland moors may be  
363 running through entirely deforested areas. Previous research suggests that reforestation  
364 along the edges of waterways could reduce bloom growth more effectively than decreasing  
365 eutrophication, by reducing light intensity (Hutchins et al. 2010). This complex spatial pattern  
366 of bloom formation, combined with issues of hydrological connectivity, raises a series of  
367 hypotheses that should be tested in future studies in order to inform local control measures  
368 based on local problems.

369

370 The interaction between the transformed proportion of agricultural land and the mean annual  
371 temperature was also not as predicted. Based on previous research, agricultural land is  
372 often associated with the formation of phytoplankton blooms (e.g. Bussi et al., 2016;  
373 Hamilton et al., 2016). This is due to the leaching of fertilisers and animal waste into  
374 waterways, leading to increased concentrations of N and P; two nutrients that are key drivers  
375 of phytoplankton blooms (Anderson et al. 2002; Smith 2003). Moreover, higher temperatures  
376 are known to be beneficial for phytoplankton species such as cyanobacteria due to their high  
377 thermal optima for growth rates (Johnk et al. 2008; O'Neil et al. 2012). In contrast, the  
378 interaction reveals that the effect of agricultural land on the probability of phytoplankton  
379 bloom presence differs depending on the temperature (Figure 2). At the minimum level of  
380 agricultural land, the predicted probability of bloom presence increases with increasing  
381 temperature. This can be explained by previous research regarding the effect of agricultural  
382 pollution and temperature on bloom formation (Anderson et al. 2002; Smith 2003; Johnk et  
383 al. 2008; O'Neil et al. 2012). At low levels of agricultural land, N and P may be at low  
384 concentrations, limiting the formation of phytoplankton blooms (Anderson et al. 2002).  
385 Nevertheless, as long as those low concentrations are not limiting, an increase in  
386 temperature may overcome these low concentrations by increasing the phytoplankton  
387 growth rate, leading to an increased probability of bloom formation (Johnk et al. 2008; O'Neil  
388 et al. 2012). At intermediate levels of agricultural land, nutrients may no longer be a limiting  
389 factor for phytoplankton bloom formation, as they may be present at sufficient  
390 concentrations. Hence, increasing temperature may not result in an increased probability of  
391 bloom presence, as nutrients are of greater importance than temperature and sufficient  
392 nutrients may be provided (Deng et al. 2014). However, the results suggest that at high  
393 levels of agricultural land, the predicted probability of phytoplankton bloom presence  
394 decreases with increasing temperature. The reason for this may be that when there are high  
395 concentrations of nutrients available as well as a higher temperature, the phytoplankton  
396 blooms may grow excessively leading to depletion of the nutrients available in the water

397 (Smayda 1998; Winder and Cloern 2010). In addition, cell sinking and consumption of algae  
398 by predators can occur as the blooms peak (Smayda 1998; Van Wichelen et al. 2010;  
399 Winder and Cloern 2010). The rate of this algae consumption is known to increase at higher  
400 temperatures (Sommer and Lengfellner 2008). Consequently, the blooms may collapse  
401 shortly after they peak (Smayda 1998; Van Wichelen et al. 2010; Winder and Cloern, 2010),  
402 resulting in fewer reported blooms at sites with both a high level of agricultural land and a  
403 higher temperature. However, other research has suggested that blooms can continue for  
404 months even when ambient concentrations of N and P are low (Paerl and Otten 2013),  
405 emphasising a role for internal nutrient cycling and regeneration.

406

407 Factors suggested as potential controls for blooms include grazing by predators, and  
408 bacterial and viral lysis. However, despite the potential controlling effect of grazing on  
409 blooms, some phytoplankton are known to survive travelling through the digestive system of  
410 grazers such as *Daphnia*, and are even capable of extracting nutrients from the gut (Porter  
411 1976; VanDonk et al. 1997). Furthermore, the sinking of large quantities of decaying  
412 phytoplankton material can result in hypoxia, leading to death of other aquatic organisms  
413 and changes to the biogeochemical cycling of the waterway. The collapse of blooms can  
414 also release dissolved toxins into the water (Paerl and Otten 2013). Due to these problems  
415 associated with controlling blooms and bloom senescence, the prevention of algae blooming  
416 in the first place is of critical importance.

417

418 We expected that agricultural land would be related to the presence of phytoplankton blooms  
419 due to the known effect of agricultural fertilisers and animal waste on algae (Anderson et al.  
420 2002). However, the presence of agricultural land does not necessitate the application of  
421 fertilisers. There have been efforts in recent years to try to reduce eutrophication and the  
422 associated blooms, which increased as a result of industrial and agricultural intensification  
423 (Anderson et al. 2002). For example, EU agri-environment schemes promote the termination  
424 of fertiliser application and lower livestock densities (Kleijn and Sutherland 2003). Thus, it

425 cannot be assumed that agricultural land in 21<sup>st</sup> century Great Britain leads to the  
426 eutrophication of waterways. In addition, run-off of nutrients into canals may not occur in the  
427 same way as natural waterways, such as rivers. The ease with which nutrients enter canals  
428 could be inhibited by the material used to construct the sides of the canals, for example  
429 concrete (Holland and Andrews 1998). The nutrient concentrations of the canal stretches  
430 were not sampled as part of this study. Therefore, even if there is a high proportion of  
431 agricultural land located around canal stretches, it does not mean that nutrients will be  
432 leaching into the waterways.

433

434 The fact that phytoplankton blooms that are closer together have more similar community  
435 compositions suggests that these blooms are related. It is possible that algae in upland  
436 reservoirs and canal stretches are flowing down the canals and forming additional blooms in  
437 other areas. This information could be useful for preventing future phytoplankton blooms by  
438 identifying the origin of blooms and preventing eutrophication in these areas. Dispersal was  
439 also suggested by Altermatt et al. (2013) as a reason for greater aquatic insect community  
440 dissimilarity with increasing distance in dendritic river networks. Spatial along-stream  
441 distances were utilised in the analysis, and those findings are corroborated by our results.  
442 The research also suggested that environmental conditions could explain the community  
443 similarity patterns, as elevation had a significant effect on the pattern and is a factor that  
444 affects conditions such as temperature and precipitation (Altermatt et al. 2013).

445

446 Other research has found that phytoplankton bloom community compositions are dependent  
447 on the environmental conditions of the waterway, such as turbidity and nutrient  
448 concentrations. Different phytoplankton species have different optimal conditions and  
449 therefore thrive in different environments, leading to diverse compositions of species (Smith  
450 1983; Zhu et al. 2015). For example, cyanobacteria are known to take over phytoplankton  
451 communities when there is a low N:P ratio. This could be due to the N<sub>2</sub>-fixing abilities of  
452 many cyanobacteria species, leading to a competitive dominance where N concentrations

453 are low and P concentrations are high (Smith 1983). Furthermore, temporal changes in  
454 compositions have been observed, with community succession associated with temporal  
455 changes in environmental conditions, particularly nutrient concentrations (Deng et al. 2014).  
456 The effect of the environment on specific phytoplankton communities may therefore allow  
457 blooms to persist even when the optimal conditions for a particular composition of species  
458 change, as the proportion of each species in the bloom will fluctuate (Smayda 1998). This  
459 presents problems with regard to controlling phytoplankton blooms as they may be resistant  
460 to environmental change. However, if the aim is to only control nuisance species such as  
461 toxin-producing cyanobacteria (Landsberg 2002; Codd et al. 2005; Malbrouck and  
462 Kestemont 2006), this may be possible by producing conditions that are not optimal for these  
463 specific species. For example, cyanobacterial blooms could be inhibited by increasing the  
464 N:P ratio (Smith 1983).

465

466 Previous research comparing the results of terrestrial, 'as the crow flies' distances (pseudo-  
467 spatial analysis) with aquatic, 'as the algae flows' distances (network distance analysis)  
468 found differences in the pattern of results. Network distance analysis kept more spatial  
469 variables in the model compared to Euclidean distance analysis (Landeiro et al. 2011).  
470 Another study also suggested that network distance analysis would account for spatial  
471 autocorrelation in a way that is more appropriate for dendritic networks such as canals, than  
472 pseudo-spatial analysis. Furthermore, it will prevent violation of the statistical assumption  
473 that observations are independent and prevent inaccurate statistical inference, caused by  
474 clustering of measurements (Isaak et al. 2014). These studies highlight the importance of  
475 using network distances rather than traditional Euclidean distances for analysing species  
476 data in dendritic networks such as canals, rivers and streams (Landeiro et al. 2011; Isaak et  
477 al. 2014). Landeiro et al. (2011) also suggested that this method may have implications for  
478 terrestrial analyses where the environment is fragmented or the dispersal of the study  
479 species is limited, for example. Nevertheless, Euclidean, overland distances may still be  
480 useful for studying semiaquatic or amphibiotic species (Landeiro et al. 2011).

481

482 We make use of a novel dataset derived from an algal bloom reporting system. This dataset  
483 has the advantage of broad spatial scale, detailed taxonomic information, and a growing  
484 time series of bloom locations. However, the data lack accompanying water chemistry  
485 (especially N and P) data, making certain hypotheses difficult to evaluate. However, we feel  
486 that the insights produced in the study are of value as they focus on an understudied  
487 ecosystem and present some novel findings based on the external (land use) and internal  
488 (hydrological connectivity) drivers of bloom formation and taxonomic composition that can  
489 form the basis of subsequent work. In particular, the data from the models that inform the  
490 spatial autocorrelation of bloom formation could be strengthened by the addition of other  
491 variables. First, flushing rates (or retention times) are a key predictor of bloom formation and  
492 an important method of control (Paerl et al 2011), but are complex to calculate within canal  
493 systems. In the UK, “lockage” (the frequency of opening locks) is recorded and there are  
494 some flow gauges at certain sites around the network, but it is unclear how this relates to  
495 flow in the network as a whole. Second, the retrospective nature of the study means that  
496 nutrient concentrations are not available to accompany the analysis, while previous work  
497 suggests that there are complex interactions between N and P cycling that drive  
498 cyanobacterial bloom formation and senescence (Paerl et al 2016). Finally, there may be  
499 complex interactions between land use and topography, via the impacts of slope on the rate  
500 and composition of run-off in the different canal basins (Li et al 2006). Current attempts to  
501 reforest uplands as part of natural flood management or incorporate trees into agroforestry  
502 practices may influence this relationship further (Pavlidis and Tsihrintzis 2018).

503

504 A number of canal stretches in Great Britain are designated SSSI sites, some of which are  
505 due to the presence of nationally rare species and habitats (Natural England 2016). Thus, it  
506 is of critical importance that phytoplankton blooms do not damage these sites. Bloom data  
507 analysed in this study reveal that phytoplankton blooms have occurred in at least 13 out of  
508 the 23 SSSI site canals in the past. As this study found that higher elevation is associated

509 with increased phytoplankton bloom presence, measures could be implemented to prevent  
510 eutrophication in upland areas. Investigations of land use surrounding upland canal sites will  
511 determine the most appropriate way to achieve this. In addition, the interaction term  
512 suggests that a smaller proportion of agricultural land, and thus a lower nutrient  
513 concentration in the canals, will result in a decreased probability of phytoplankton bloom  
514 presence when the temperature is lower. Thus, preventing eutrophication in upland canal  
515 stretches where the temperature is typically lower will hopefully inhibit the formation of  
516 blooms (Smith 1983; Fitter et al. 1998; Ineson et al. 1998; Tipping et al. 1999; Schindler et  
517 al. 2008; Bowes et al. 2011). This will protect downstream sites, as the community  
518 composition analysis indicates that phytoplankton blooms may percolate down through the  
519 network to seed further blooms at lower elevations, where conditions are appropriate.  
520 Reforestation along canals could also aid with the inhibition of blooms by reducing light  
521 intensity (Hutchins et al. 2010). As discussed above, it is also essential to prevent blooms  
522 rather than control them once they have formed, as senescing blooms could result in the  
523 release of dissolved toxins into the water and could lead to hypoxia in the canals (Paerl and  
524 Otten 2013). Moreover, for invasive species such as other phytoplankton and macrophytes,  
525 this information regarding the movements of cyanobacteria could prove important. This new  
526 knowledge regarding the origin and ecology of canal phytoplankton blooms could therefore  
527 aid with the protection of nationally rare species and habitats in SSSI site canals, as well as  
528 potentially help improve other non-SSSI site canals. Furthermore, prevention of blooms in  
529 canals will benefit human health through improved safety during transport and recreational  
530 activities (Willis and Garrod 1991; Falconer 1999; Leuven et al. 2009).

531

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536

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754 **Table Legends**

755 **Table 1** The minimum, median and maximum values of each environmental variable  
756 calculated for each 5 km canal stretch buffer. The median values were calculated due to the  
757 non-normal distribution of each variable (Shapiro-Wilk normality tests:  $W > 0.915$ ,  $P <$   
758  $0.001$ ). Agricultural land, woodland and grassland denote the untransformed proportion of  
759 each land cover type.

760 **Table 2** The generalised linear models with binomial errors output for the six environmental  
761 predictor variables following model averaging with shrinkage. “Model presence” denotes the  
762 number of models each variable was present in. Significant terms are marked in **bold**. See  
763 text for details.

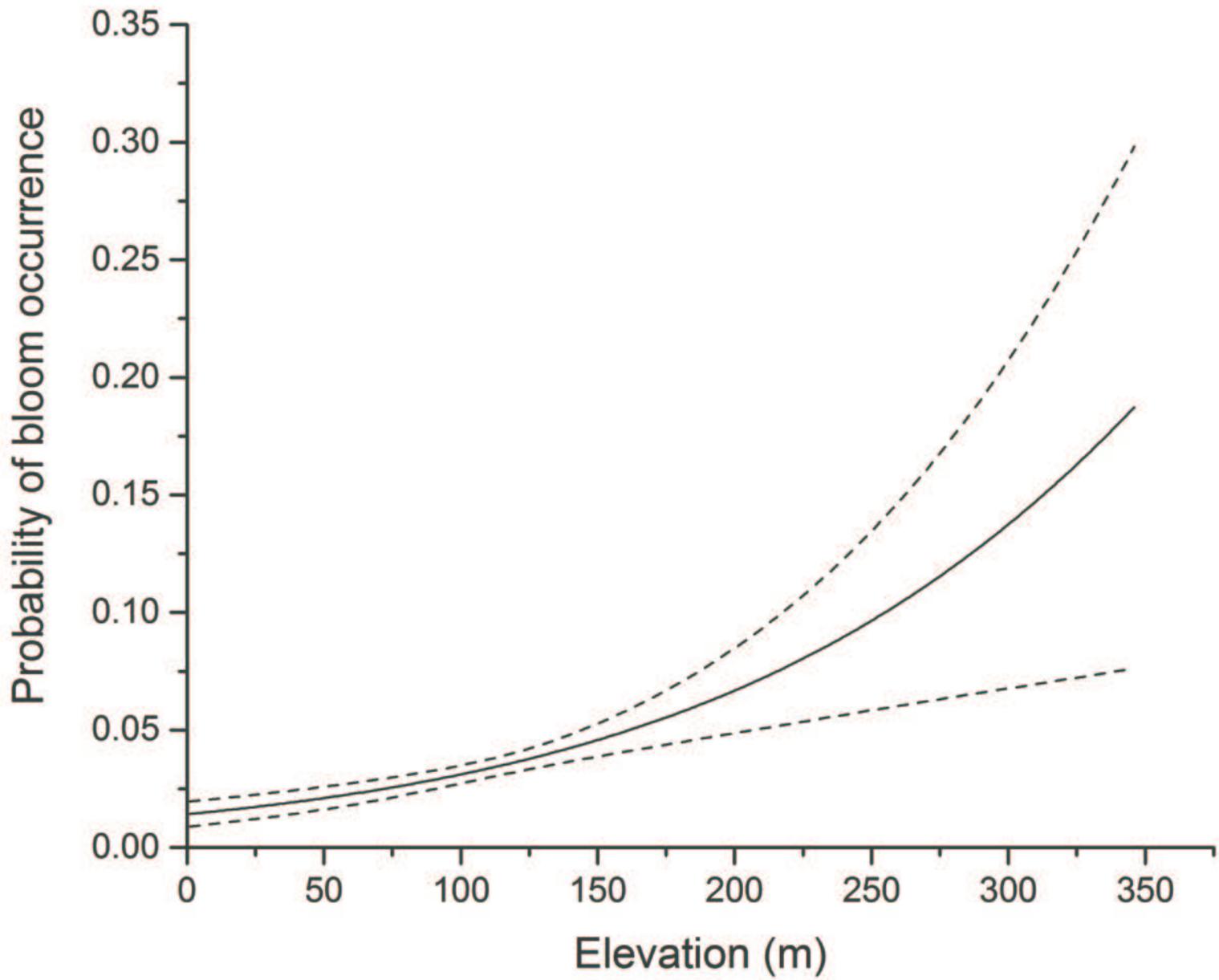
764 **Figure Legends**

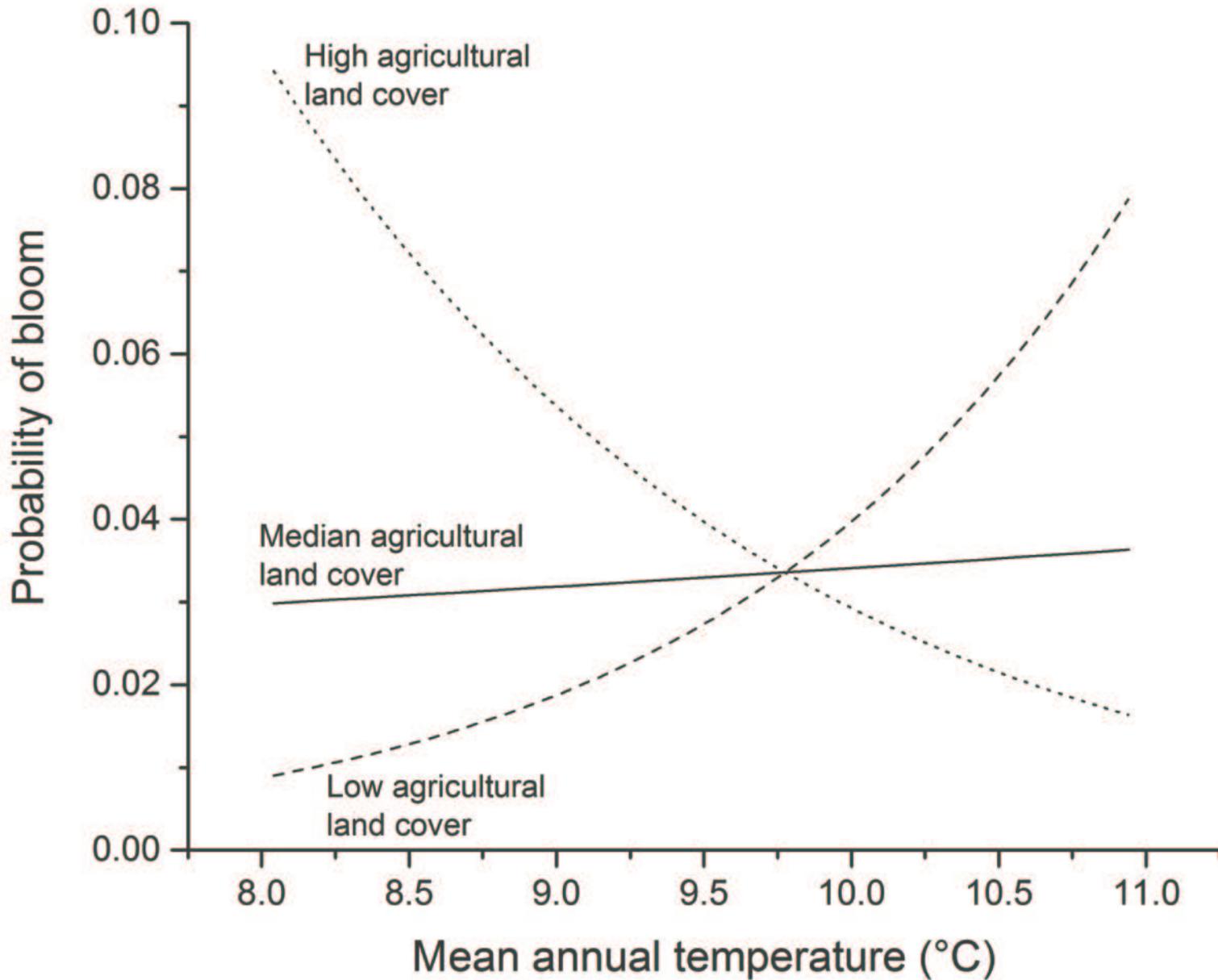
765 **Figure 1** Locations of phytoplankton blooms (marked with triangles) within the UK canal  
766 network (5km buffer shown around each canal stretch).

767 **Figure 2** The predicted probability of phytoplankton bloom presence at different levels of  
768 elevation (solid line), with the standard errors displayed (dotted lines).

769 **Figure 3** The predicted probability of phytoplankton bloom presence at differing transformed  
770 proportions of agricultural land, with increasing mean annual temperature (°C).







<b>Variable</b>	<b>Minimum</b>	<b>Median</b>	<b>Maximum</b>
Elevation (m)	2.57	101.83	346.08
Annual temperature (°C)	8.04	9.33	10.97
Agricultural land	0.04	0.64	0.78
Woodland	0.01	0.05	0.08
Grassland	0.00	0.04	0.29

Variable	Coefficient	SE	Z value	Df	P value	Model presence
Agricultural land	35.2	9.3	3.754	1	< 0.001	3/3
Mean elevation	2.9	0.9	3.011	1	< 0.001	3/3
Mean annual temperature	5.2	1.3	3.939	1	< 0.001	3/3
Agricultural land × temperature	-33.5	9.3	3.619	1	< 0.001	3/3
Grassland	0.8	0.4	0.065	1	0.869	1/3
Woodland	0.9	0.3	0.023	1	0.931	1/3