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1 Interaction of a rigid beam resting on a strong granular 2 layer overlying weak granular soil: Multi-Methodological 3 Investigations

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9
10 **Abstract:** In the geotechnical and terramechanical engineering applications, precise understandings are yet to be established on the
11 off-road structures interacting with complex soil profiles. Several theoretical and experimental approaches have been used to measure
12 the ultimate bearing capacity of the layered soil, but with a significant level of differences depending on the failure mechanisms
13 assumed. Furthermore, local displacement fields in layered soils are not yet studied well. Here, the bearing capacity of a dense sand
14 layer overlying loose sand beneath a rigid beam is studied under the plain-strain condition. The study employs using digital particle
15 image velocimetry (DPIV) and finite element method (FEM) simulations. In the FEM, an experimentally characterised constitutive
16 relation of the sand grains are fed as an input. The results of the displacement fields of the layered soil based DPIV and FEM simulations
17 agreed well. From the DPIV experiments, a correlation between the slip surface angle and the thickness of the dense sand layer has
18 been determined. Using this, a new and simple approach is proposed to predict theoretically the ultimate bearing capacity of the layered
19 sand. The approach presented here could be extended more easily for analysing other complex soil profiles in the ground-structure
20 interactions in future.

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23
24 **Key words:** Granular mechanics, bearing capacity, layered soil, FEM, DPIV, failure mechanism

25

Nomenclature			
B	Width of the beam (footing)	q_c	Cone resistance
B'	Projection of slip lines on the surface of the bottom layer (Fig. 4)	s_c	Shape factor in the bearing capacity equation for shapes of footing other than a strip footing
c	Cohesion of the soil	S_u	Ultimate vertical settlement of the beam
D_f	Depth of footing embedment	s_u	Shear strength of the clay
D_r	Relative density of the soil	S_R	Resultant displacement
D_{50}	Mean grain size of the soil	S_v	Vertical displacement component
d	Depth of the region M under the beam (Fig. 4)	UBCR	Ultimate Bearing Capacity Ratio
E	Modulus of elasticity	z	Depth of the soil from the beam soil interface
H	Thickness of the top layer of sand	α	Angle of plastic wedge vertices (slip planes) intersecting the horizontal
K_p	Coefficient of passive earth pressure of the top layer of sand	β	Angle of the slip surface
K_s	Coefficient of punching shear	γ	Unit weight of the soil
N_c	Bearing capacity factor due to soil cohesion	γ'	Effective unit weight of the soil
N_q	Bearing capacity factor due to surcharge stress	δ_{bw}	Roughness of the side wall beam interface
N_γ	Bearing capacity factor due to unit weight of soil	δ_p	Roughness of the Perspex wall

P_p	Total passive earth pressure	δ	Roughness angle of the material
$P_{ult \text{ layered}}$	Ultimate force for footing on layered soil	θ	Angle of total passive earth pressure
q_{ult}	Ultimate bearing capacity	ν	Poisson's ratio
$q_{ult 1}$	Ultimate bearing capacity of the top soil	ϕ_1	Angle of internal friction of the top layer
$q_{ult 2}$	Ultimate bearing capacity of the bottom soil	ϕ_{mob}	Mobilized shear strength
$q_{ult \text{ layered}}$	Ultimate bearing capacity for footing on layered soil		

26

27 **1. Introduction**

28 In the terramechanical engineering applications, we often come across the foundation structures and rigid structural
29 elements interacting with non-homogeneous soil profiles of complex nature. Layered soil profiles are often found
30 either naturally or man-made. Due to the demands of the scarcity of the construction spaces, there is an increasing
31 demand to construct structures on loose soils, which were previously considered as unsuitable for construction
32 (Jahanger et al. 2010). Loose sand packings have high compressibility and low shear strength (Terzaghi et al. 1996).
33 One of the methods to improve the strength of the weak soil is to construct a suitable layer of granular material to
34 decrease the overall compressibility. For instance, oil storage tanks and diesel power stations may be found on a
35 thin layer of compacted granular fill (Jahanger et al. 2010). Unpaved roads are also built on the weak soil where the
36 treated layer of sub bases are used to spread the service loads applied by the passing vehicles (Jahanger et al. 2010).
37 Shallow footings, when built on loose sandy soils, have a low load bearing capacity and undergo large settlements
38 (Terzaghi et al. 1996). Construction on loose sands often requires the utilisation of ground improvement techniques
39 (Das, 2009). Compacted soil layer is used under such foundation structures to improve the ultimate bearing capacity
40 and limit the displacement in the soil. The ultimate bearing capacity equation for sand according to Terzaghi (1943)
41 (as $q_{ult} = 0.5\gamma BN_\gamma$ where γ , B and N_γ are unit weight of the soil, the width of the footing and bearing capacity
42 factor of the soil respectively) is not directly applicable for layered granular sand.

43 In a recent study, digital particle image velocimetry (DPIV) was used to understand the displacement fields of strip
44 footing interacting with homogeneous sand bed of different packing densities (Jahanger et al. 2018). The
45 experimental results compared favorably with finite element method (FEM) simulations, which used experimentally
46 measured constitutive relations of the sand grains (Jahanger et al. 2018). The current study deals with the specific
47 case of the bearing capacity of a rigid plane-strain surface beam placed on a layered sand consisting of a dense sand
48 layer overlying a homogeneous bed of loose sand. The study is restricted to cases where the thickness of the top
49 sand layer, H , is quantified in terms of the width of the beam, B . A discussion is given of the various theoretical and
50 the experimental work that have been proposed for this type of analysis.

51 **2. Review of the previous work**

52 Numerous researchers have investigated on the ultimate bearing capacity and settlement of the footings interacting
53 with layered soil using theoretical and experimental approaches. Button (1953) was the first to analyse footings
54 on the layered clayey soil. Likewise, many other investigations were conducted for the ultimate bearing capacity
55 of a sand layer overlying a clay layer (Al-Shenawy and Al-Karni, 2005; Burd and Frydman, 1997; Fattah et al. 2011;
56 Khing et al. 1994; Lee et al. 2103; Meyerhof, 1974; Mickalowski and Shi, 1995; Oda and Win, 1990; Okamura et
57 al. 1998; Ramadan and Hussien, 2015). Similar were also conducted for the cases of layered cohesion-friction soils
58 (Azam et al. 1991; Purushothamaraj et al. 1974). Furthermore, researchers have studied theoretically and
59 numerically on the bearing capacity of footings interacting with two-layered granular soils (Farah, 2004; Ghazavi
60 and Eghbali, 2008). Some experimental studies, for example Hanna (1982) focused on the loose sand overlying on
61 dense sand. Most of the aforementioned studies have used simplified failure mechanisms together with a reduction
62 in the mobilized shear strength (ϕ_{mob}) of sand in their corresponding limit analysis and finite element method based
63 simulations. These simplified theoretical mechanisms comprise (i) projected area method (mode 1) that uses

64 constant slip surface angle, β (Fig. 1) (ii) A punching shear failure (mode 2) which assumes zero slip surface angle
65 (Fig. 2) (iii) the theory of bearing capacity by considering the top layer as surcharge (mode 3) and (iv) a variable
66 slip surface method (modes 4 and 5) that assumes different values of β (Figs. 3-4). Large discrepancies between the
67 measured and the predicted values of the ultimate bearing capacity were observed in the above studies. It is worth
68 noting that existing studies either used a constant value of β (Yamaguchi, 1963) or set $\beta = 0$ (Meyerhof, 1974), but
69 in both cases β is independent of the thickness of the top layer (H). However, other conclusions from the previously
70 mentioned studies are that the ultimate bearing capacity for the layered soils depends on the individual shear strength
71 parameters of each layer, thickness of the top layer (H), the width of the footing (B), the shape and the depth of
72 footing embedment (D_f in Fig. 2) and (H/B) thickness ratio of the top layer to the width of the footing (Fig. 1).

73 **2.1 Theoretical work**

74 The most widely used methods to calculate the bearing capacity of layered soil are the projected area method
75 (Yamaguchi, 1963) and the punching shear failure method (Meyerhof, 1974). The former method has been adopted
76 by many researchers and used a constant value of β (Fig. 1) in their studies; for example, 30° by Yamaguchi (1963),
77 30° and 45° by Myslivec and Kysela (1978) and considered equal to the angle of internal friction (ϕ_1) of the top
78 layer of the soil by Baglioni et al. (1982). The latter, the punching shear failure, assumes as $\beta = 0$ for the actual failure
79 surface, but accounted for the shear strength of soil along the vertical wedge of the slip plane.

80 In the following, the principles behind the different methods are discussed briefly. In the projected area method, a
81 rigid block of truncated cone under the footing was assumed in the top layer as well as a constant slip surface angle
82 β (Fig. 1). The shear strength along the slip surface of the top layer was neglected. The ultimate bearing capacity
83 for the strip footing resting on the sand layer overlying clay could be estimated from the shear strength of the
84 underlying clay soil and the dimension of the base of the trapezoidal failure pattern according to Yamaguchi (1963)

85 (as, $q_{ult \text{ layered}} = ((1 + 2H \tan\beta)/B)q_{ult \ 2}$ where, $q_{ult \text{ layered}}$ is the ultimate bearing capacity for footing on layered
 86 soil as a whole and $q_{ult \ 2}$ is the ultimate bearing capacity of the underlying clay soil (Fig.1)). Therefore, the ultimate
 87 bearing capacity for a surface strip footing ($D_f=0$) resting on the layered granular soil of cohesion $c=0$, and subjected
 88 to the vertical load can be expressed by neglecting the N_q (bearing capacity factor) contribution (Dijkstra et al. 2013;
 89 Jahanger et al. 2018). Based on the mode 1, the bearing capacity for the dense sand on loose sand can be written as:

$$90 \quad q_{ult \text{ layered}} = 0.5\gamma_2 B N_{\gamma_2} + H \tan\beta \gamma_2 N_{\gamma_2} \quad (1)$$

91 in which β is assumed as 30° (Yamaguchi, 1963), γ_2 and N_{γ_2} are unit weight and bearing capacity factor of the
 92 bottom soil layer respectively.

93 The traditional analytical analysis according to Meyerhof (1974) studied the case of a dense sand resting on a soft
 94 clay. The failure of a rigid continuous footing punching through a thin layer of dense sand into a thick underlying
 95 deposit of clay was assumed as an inverted uplift problem. The failure mode 2 (Fig. 2) considered a sand mass
 96 having an approximately truncated pyramidal shape, pushing into the lower layer in the direction of applied load.
 97 Similarly, Hanna (1981) studied mode 2 punching failure surface ($\beta=0$) of strip footing on a strong sand overlying
 98 weak sand deposit (Fig.2). Meyerhof (1974) proposed a theoretical equation for bearing capacity by considering the
 99 failure method using the assumed plane of failure, i.e. vertical side block ($\beta=0$) instead of the trapezoidal shape (P_p
 100 in Fig. 2 is the total passive earth pressure) for layered dense sand overlying loose sand. The bearing capacity of the
 101 layered soil was evaluated from the force limit equilibrium of the sand block, and approximated as follows for
 102 mode2:

$$103 \quad q_{ult \text{ layered}} = 0.5 \gamma_2 B N_{\gamma_2} + (\gamma_1 H^2 K_s \tan \phi_1)/B - \gamma_1 H \leq q_{ult \ 1} \quad (2)$$

104 where, $q_{ult 1}$, γ_1 and ϕ_1 are ultimate bearing capacity, unit weight and peak friction angle of the top soil layer
 105 respectively. In this, $K_s \tan \phi_1 = K_p \tan \theta$ and ϕ_1 is experimentally measured value of the angle of internal
 106 friction of the top layer. $K_s = 6.5$ pertaining to the value of ϕ_1 and $q_{ult 2}/q_{ult 1}$. θ is the mobilized angle of shear
 107 resistance on the assumed failure zones (Fig. 2). K_p is coefficient of passive earth pressure of the top soil.

108 Okamura et al. (1998) have proposed a new limit equilibrium method in order to verify the validity of the previous
 109 modes by comparing them with the centrifuge test results. They have adopted a failure mechanism as shown in
 110 Fig. 3 which is similar to the existing methods with accounting for the shear strength along the shear slips surfaces.
 111 In their analysis, β is calculated using the limit equilibrium method (Okamura et al. 1998).

112 2.2 Experimental work

113 Hanna (1982) suggested to calculate the ultimate bearing capacity of the layered soil of weak sand overlying a strong
 114 deposit by considering the top layer as surcharge (mode 3) using the following:

$$115 \quad q_{ult \text{ layered}} = 0.5 \gamma_2 B N_{\gamma 2} + \gamma_1 H N_{q 2} \leq q_{ult 1} \quad (3)$$

116 In this, the ultimate bearing capacity of the layered soil (Eq. 3) is the sum of the bearing capacity of the lower layer
 117 2, and the shearing resistance in the top sand layer 1 of thickness H . This can be considered as the ultimate bearing
 118 capacity for the strip footing according to the Terzaghi's bearing capacity equation (Terzaghi, 1943).

119 Farah (2004) has theoretically calculated β based on the experimental results of Meyerhof and Hanna (1978). In
 120 this, the angle β was correlated with the thickness ratio H/B for varying between 0.5 and 5, and the ratio $q_{ult 2}/q_{ult 1}$
 121 =0.08. The variation of the angle β according to the analytical results of Farah (2004) is constant (89°) up to
 122 $H/B=1.0$, then β gradually decreases with depth to $\beta=40.1^\circ$ at $H/B=4.5$, before β increases to 46.6° when $H/B=5.0$.

123 It seems that β was overestimated as Prandtl (1920) and Terzaghi (1943) have showed that the maximum value of
124 β is equal to $45 + \phi/2$ which results, $\beta = 68.85^\circ$ even when H/B tends to zero.

125 In a preliminary study conducted by Jahanger et al. (2016), DPIV was used to investigate the failure plane of a soil
126 system of a dense sand layer on loose sand. It was noted that the measured value of β significantly depended on the
127 depth of the dense sand layer. The schematic diagram of their failure plane of the layered soil system is presented
128 in Fig. 4. However no quantification of β , as well as its use in evaluating the ultimate bearing capacity of layered
129 system were reported either. These form the motivation of the current work. For this, a new methodology is
130 presented below based on the experimentally measured β for the layered soil system considered in this paper.
131 Furthermore, finite element analysis of the common cases were performed here for the purpose of comparisons.

132 **3. Materials and experimental methods**

133 **3.1 Soil samples**

134 The soil used here are dry silica sand samples obtained in UK. Sand properties were characterised according to the
135 American Society for Testing and Materials (ASTM, 1989; Head, 2006). Their experimentally measured material
136 properties and grain size distribution are provided in Table 1. The roundness of the sand grains were mostly spherical
137 to sub-prismoidal and the angularity of the grains were characterised as angular and sub-angular (Head, 2006). For
138 this, digital microscopy images of the grain samples were used. These data revealed that the soil chosen is classified
139 as poorly graded (SP) according to the Unified Soil Classification System (Cerato and Lutenegeger, 2007; Dijkstra
140 et al. 2013; Jahanger et al. 2018; Liu and Iskander, 2004).

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Table 1 Experimentally measured physical properties of the sand used.

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Type of sand	Loose	Dense	Standards
Dry density (γ_d): (kN/m ³)	14.70	15.80	ASTM C29/C29M
Void ratio (e_o)	0.76	0.64	
Relative density, D_r : % \pm 2%	24	72	ASTM C128
Peak angle of internal friction, ϕ_{peak} : °	32	44.3	ASTM D4767
Residual angle of internal friction, ϕ_{cr} : °	30	36.3	Head (2006)
Max. dry density (γ_{dmax}): kN/m ³	16.50		ASTM D698
Min. dry density (γ_{dmin}): kN/m ³	14.23		ASTM D4254 method C
Max. void ratio (e_{max})	0.83		ASTM C29/C29M
Min. void ratio (e_{min})	0.58		ASTM C29/C29M
D_{10} : mm	0.25		
D_{30} : mm	0.31		ASTM D421
D_{50} : mm	0.37		ASTM D422
D_{60} : mm	0.40		
Uniformity coefficient, C_U	1.55		ASTM D2487
Coefficient of curvature, C_C	0.93		
Angle of repose of the sand, °	34		ASTM C1444

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Bearing capacity of the rigid beam was tested using an aluminum planar test box of 460 mm in length, 250 mm in

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height and 39 mm in thickness, filled with dry sand (Fig. 5). The box had transparent and smooth Perspex front wall

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of 15 mm thickness and also 10 mm Aluminum back wall to eliminate any bending effects during the test in the

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plane strain direction. The authors also verified that under the ultimate load (P_{ult}) of the dense sand packing

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($H/B=6.5$) did not lead to any remarkable out of plane movement of the container's face as this was checked using

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a dial gauge (0.01mm resolution) mounted to the side walls from a magnetic base (though the picture of this

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arrangement is not included here). The rigid beam base was relatively rough (ratio between the angle of interfacial

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friction of the rigid beam and angle of internal friction of the sand (δ/ϕ) is less than 0.25). The relative roughness

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of the side wall of the beam in contact with Perspex wall (δ_p/δ_{bw}) was 0.09, which is very small and negligible.

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The beam dimensions were of 38 mm \times 38 mm \times 15 mm. The ratio of the width of the beam to D_{50} ; i.e., $B/D_{50} \geq$

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100 (which is within the permissible limit (Dijkstra, et al. 2013; Lau, 1988)) to avoid any scale effect arising from

165 the relative sizes of the beam and sand grains. The model dimensions used here are widespread and have been used
166 in previous research scenarios of beam-soil interactions (Bowles, 1996; Jahanger et al. 2018; Lemmen et al. 2017;
167 Raymond and Komos, 1978). To minimize any frictional effects of the rigid beam with the wall, a small gap of 1
168 mm was allowed between the rigid beam and the back aluminum wall, so that they do not affect the deformation of
169 the soil recorded by DPIV at the front of the planar box so that the load was transferred from the beam to the soil
170 grains rather than to the wall. These measures ensured that the observed movement from the images is due to the
171 inner movement in the grains under mechanical loading (White and Bolton, 2004).

172 **3.2 Preparation of the samples**

173 For the case of homogeneous packing (non-layered system), two cases of relative densities (D_r) of sand (loose and
174 dense) were considered here. The loose granular packing ($H/B=0$ in Fig. 5(b), $\gamma_{\text{loose}}=1500 \text{ kg/m}^3$, $D_r = 24 \% \pm 2$)
175 was prepared by pouring the sand grains uniformly across the width of the box in small layers using pluviation
176 technique (Kumar and Bhoi 2009) so that any segregation of the grains was avoided during the construction process.
177 The top surface of the sand layer was gently levelled off using a hand scraper. Care was taken not to disturb the
178 constructed loose sample in any way before applying the axial loading in our experiments. The mass of the sand
179 grains laid in the test box to the required height pertains to the required density of the loose sample. The dense
180 packing ($H/B=6.5$ in Fig. 5(b), $\gamma_{\text{dense}}=1610 \text{ kg/m}^3$, $D_r = 74 \% \pm 2$) was achieved by compacting the sand in five equal
181 layers, and using 60 blows in 0.035 m lifts per layer with a 0.0016 m² compaction hammer of 1.05 kg weight (Cerato
182 and Lutenecker, 2007; Jahanger et al. 2018; Lavasan and Ghazavi, 2012).

183 Layered samples of dense sand overlying loose sand were prepared by compacting the dense sand first inside the
184 bottom of the test box. Then the loose sand was poured using pluviation technique (Kumar and Bhoi, 2009) after
185 which the box was turned upside down using a simple mechanical apparatus designed for this purpose. A wide range

186 of H/B was considered: $0.5 < H/B < 6.5$. At first the dense sand layer was compacted into the bottom of the test box
187 to the required depth H/B , as explained earlier (Cerato and Lutenegeger, 2007; Jahanger et al. 2018; Lavasan and
188 Ghazavi, 2012). The bottom plate of the box has a slightly smaller dimensions than the maximum available
189 dimensions of the box, i.e., less by 1.5 mm from all three sides (except the front side through which DPIV
190 measurements were made). This would help to remove the bottom plate from the box after turning the box upside
191 down easily without much disturbances when required at a later stage. However, to avoid any leakage of sand grains
192 when reversing the box, this small gap was initially covered using a cellophane type. After this, the loose sand layer
193 was poured in layers on the dense sand as discussed above. Then, the top plate (plan area is equal to the allowable
194 plan area) was fixed to the box with screws. Then, the test box was turned upside down. Hence the top layer of the
195 sample contains the dense sand and the bottom layer contains the loose sand. The authors also verified that there
196 was no significant diffusion of sand particles from the top layer through the interface to the bottom layer of sand
197 packing, by initially color-coating the interface region of the sand layers (Fig. 5c). Even after reversing the test box
198 as explained earlier, the level of the color-coded interface layer of sand remained practically horizontal (Fig. 5c).
199 The beam was placed symmetrically on the top surface of the layered sand bed through which the axial loading was
200 applied in the experimental study. This study considered different cases of layered soil, viz., $H/B = 0, 0.5, 1.0, 2.0,$
201 $3.0, 4.0, 6.5$. In this, $H/B = 0$ means a single layer of homogeneous loose sand packing and $H/B = 6.5$ pertains to
202 practically a single layer of homogeneous dense sand packing. For other cases of layered sand, the total sand depth
203 ($6.5B$) was held constant, but the thickness of the dense sand layer (H) was varied systematically as $H/B = 0.5, 1.0,$
204 $2.0, 3.0$ and 4.0 . Hence, any boundary effects from the bottom rigid wall of the box was practically negligible.
205 Furthermore, the dimensions of the test box was kept much greater than that of the beam (Fig. 5b) to minimize
206 boundary effects.

207 **3.3 Digital particle image velocimetry analysis**

208 Particle image velocimetry (PIV) is often used in the field of fluid mechanics to track the motion of fluid flow using
209 tracer particles (Adrian, 1991). It has been also used to study the displacement and(/or) strain distribution in some
210 cases of granular materials (Hamm et al. 2011; Murthy et al. 2012; Willert and Gharib 1991). Recently, PIV has
211 enabled to obtain a high resolution measurement of soil deformation in geotechnical engineering problems (Cheng
212 et al. 2001; Hamm et al. 2011; Jahanger et al. 2018; O'Loughlin and Lehane 2010). In the present study the field of
213 view of the PIV camera focused on the beam-soil interaction region was 270 mm×180 mm, which was further sub-
214 divided into 375000 interrogation areas of 8×8 pixels each covering a zone of about 0.4 mm × 0.4 mm. Nikon D5500
215 high definition camera (6000 × 4000 pixels) was used here. This corresponds to a scale of ~ 0.045 mm per pixel in
216 this study. DPIV pertains to the digital platform of particle image velocimetry (Jahanger et al. 2018).

217 **3.4 Experimental tests**

218 An axial compression loading was applied slowly on the beam (0.05 mm/s penetration rate) using Instron loading
219 machine with 5 kN/0.1N resolution (Fig. 5a). The loading machine also had an inbuilt linear variable differential
220 transformer (LVDT) to measure the settlement of the indenting beam on the layered packing. The macroscopic load
221 and settlement of the beam were also recorded from the tests. The Nikon D5500 high definition camera (6000 ×
222 4000 pixels) was fixed in front of the box and two light sources were used to illuminate the rig. However, as the
223 loading condition is quasi-static in this study, an image at every 10 seconds was found to be adequate until reaching
224 the failure load of the sand packing. Dynamic Studio Software Platform (DSSP) was used to analyse the digital
225 images acquired during test using DPIV (Dynamic Studio, 2013). This functionality built in the DPIV was used
226 well to analyse the digital frames of the grains, and to calculate velocity vectors of the grains and their evolution
227 during load application within the sand layer (Albaraki and Antony, 2014; Jahanger et al. 2018). The distribution

228 of velocity vectors of the grains was examined for which an adaptive interrogation area (IA) of maximum size $64 \times$
229 64 pixels in 8×8 grid step size resolution was employed in the image analysis. A typical mean size of sand grain
230 ($D_{50} = 0.37$ mm) was represented by about 8×8 pixels (patch). Each of these patches was tracked using an adaptive
231 PIV method, to identify the movement field of soil between consecutive images obtained from the front side of the
232 Perspex sheet of the test rig, to a measurement precision of 0.05 mm for the field of view used during these
233 experiments. The adaptive PIV iteratively adjust the size of the individual interrogation areas (IA) in order to adapt
234 to local seeding densities and flow gradients (Dynamic Studio, 2013; Jahanger et al. 2018). This space-pixel
235 dimension of the measurement was calibrated by printing a known scale on the test box along the horizontal and
236 vertical directions. White et al. (2003) have shown that the precision of the measurement (i.e., the random difference
237 between multiple measurements of the same quantity) improves with larger PIV patches and it is inversely
238 proportional to the amount of the measurement resolution. This size of the mesh patch used here corresponds to a
239 precision better than 1 pixel. It was verified that the variation in image scale in both horizontal and vertical
240 direction were not significantly different. Hence the measurements made here are at the local-scale (close to discrete-
241 grain scale) rather than a continuum measure. The tests were repeated at least two times to verify the repeatability
242 and the consistency of the test data (Kumar and Bhoi 2009).

243 Though the results are not presented here, two standard cone penetration test tests (CPTs) were also conducted for
244 each soil density to verify the relative density of single layer sand using a 10 mm diameter model CPT (Dijkstra et
245 al. 2013; Jahanger et al. 2018; O'Loughlin and Lehane, 2010). The CPT was inserted at a penetration rate of 1 mm/s
246 in the current experiments. The penetration resistance (Cone resistance = q_c) profiles are plotted against the
247 penetration depth (z) from the bottom level of the beam. As the authors expected, the penetration resistance of dense
248 sand was higher than that of the loose sand. The penetration resistance of loose sand remained almost constant with

249 depth after $z/B=2.5$, but the penetration resistance for dense sand increase with depth at an increasing rate (kPa/mm).
250 The rate of the penetration resistance in kPa/mm of dense sand was larger than that of the loose sand. Again, the
251 differences in the penetration resistance for different relative densities are primarily accounted for the relatively
252 larger volumetric compressibility in loose sand than the dense sand (Jahanger et al. 2018). The cone resistance of
253 dense sand layer overlying loose sand samples started to decrease when the cone penetrometer approached the
254 underlying loose sand layer. The error in the CPTs measurements of the samples of different cases of sand bed
255 conditions was within 5%.

256 **4. FEM simulations**

257 Non-linear elastic finite element simulations have been performed for the cases of a single rigid beam indenting into
258 layered dense sand on loose sand packing using ANSYS workbench 17.2 version. ANSYS is a broad purpose FEM
259 package for numerically solving a wide variety of mechanical interactions (ANSYS, 2016).

260 In the present FEM study, the simulations were performed using ANSYS by creating a 2D solid geometry of the
261 beam and the layered soil. The soil and the beam were modelled as under plane strain condition. The discretization
262 of the beam and the layered soil were done using an eight-nodded quadratic solid element having two degrees of
263 freedom at each node, i.e., translations in the nodal x and y directions (Fig. 6). The nodes and element numbers are
264 equal to about 80000 and 25000 respectively. The chosen domain along with applied boundary conditions is shown
265 in Fig. 6.

266 The simulations were held under identical boundary conditions for beam indenting with different H/B . In the
267 simulations, the bottom most nodes have been constrained in both horizontal (S_h) and vertical directions (S_v) ($S_h=S_v$
268 = 0). A line of symmetry was used along the beam centre line ($S_h=0, S_v \neq 0$). The vertical far side of the assembly

269 was fully constrained in the horizontal direction, $S_h=0$ and free to move in the vertical direction $S_v \neq 0$ (Jahanger et
270 al. 2018; Mosadegh and Nikraz, 2015). The contact regions between the beam and the sand were modelled as a
271 relatively rough surface (interface friction coefficient=0.25) corresponding to the experimental study (Jahanger et
272 al. 2018; Lee, 2015). This interaction involves displacements and sliding of the elements in the contact region,
273 which introduces non-linearity to the system. The contact regions between the sand layers were modelled as well
274 bonded (Mohsenimanesh et al. 2009). A refined mesh was generated at the beam-soil interface where the largest
275 stresses and strains would be expected. It should be mentioned that Skewness mesh metric (a measure of mesh
276 quality) of 0.001 maximum value was obtained which is acceptable (Lee, 2015). The size of the elemental geometry
277 is shown in Fig. 6.

278 The material model for the soil describes the nonlinear plasticity behaviour, which corresponds to the actual soil
279 properties used in the current ANSYS simulations. For this, the experimentally characterised bulk stress-strain
280 relationship corresponding to the load-displacement curves of loose and dense sand presented in Fig. 7 were
281 discretised into a large number of linear segments and fed as user defined digital input (ANSYS, 2016; Jahanger et
282 al. 2018; Lee 2015; Mohsenimanesh et al. 2009) to account for the corresponding materials properties of the layered
283 sand. Furthermore, the experimentally characterised material physical properties were used i.e. unit weight of the
284 soil (γ), modulus of elasticity (E) and typical value of Poisson's ratio (ν) for sand ($E = 25$ MPa and 50 MPa whereas
285 $\nu=0.2$ and 0.35 for the loose and dense sands respectively (Das, 2009)). In the present analysis, ANSYS used the
286 multilinear isotropic hardening of the stress-strain relation (Jahanger et al. 2018; Lee, 2015). Geometrical non-
287 linearity was also allowed in the simulation (ANSYS, 2016). The axial loading was applied on the rigid beam
288 geometry elements. The evolution of displacement components in the soil elements was tracked under different
289 loading levels and compared with corresponding DPIV measures later.

290 **5. Results and discussions**

291 The experimental axial load–settlement results for a typical beam interacting with homogeneous (single layer) and
292 layered sand are presented in Fig. 7. The load-settlement curves characterised here provide a consistent response
293 with respect to an increase in the height of the dense sand layer (H). A well-defined peak is obtained for the case of
294 $H/B= 6.5$ (practically a homogeneous dense sand packing). Using the load-settlement data, the tangent intersection
295 method (Akbas and Kulhawy, 2009) was applied to measure the value of the ultimate bearing capacity (Fig. 7). This
296 involves linear curve fittings for the initial loading and hardening phases of the load–settlement relations. The
297 intersection point of these two lines thus corresponds to the q_{ult} (Fig. 7). The ratio of the ultimate bearing capacity
298 of the loose sand ($H/B= 0$) to the ultimate bearing capacity of the dense sand ($H/B= 6.5$), $q_{ult 2}/q_{ult 1}=0.08$. However,
299 in the case where there was not a clear curvature in the shape of the load- settlement plots, the failure corresponds
300 to punching failure (e.g. test $H/B= 0 - 2.0$) (Vesic, 1973). However, the failure surface was totally located in the
301 dense soil layer if the depth H is relatively large ($H/B > 2.0$) and eventually resulted a soil rupture (Shaaban, 1983).

302 The ratios of ultimate vertical settlement of the beam (S_u) to the width of the beam (B), S_u/B for the case of
303 homogeneous sand are 6% and 8% for the dense and loose sand respectively. In the cases of layered sand, this
304 varies between 14%-18% respectively. These measures and the nature of bulk load-settlement curves are consistent
305 with Das (2009) for homogeneous sand, and Meyerhof and Hanna (1978) for layered sand. The authors wish to
306 point out that, in the case of strip footings used in practice, 3D condition could exist around the ends of the strip
307 footings even if the footing is long. However, for most parts of long strip footings, plane-strain condition could exist
308 (Bowles, 1996; O’Loughlin and Lehane, 2010; White and Bolton, 2004) as assumed in the current 2D plane-strain
309 experiments (Jahanger et al. 2018; Raymond and Komos, 1978).

310 Figure 8 presents the effect of depth of the dense sand layer overlying loose sand bed on the evolution of the mean
311 resultant velocity vectors ($\mathbf{S}_R = \sqrt{\mathbf{S}_v^2 + \mathbf{S}_h^2}$) beneath a rigid beam subjected to the ultimate load were measured from
312 PIV data. It is evident that, for the homogeneous loose sand ($H/B=0$), the slip planes occurs in a triangular wedge
313 shape through the punching shear failure mode (Vesic, 1973).

314 For the case of homogeneous dense sand ($H/B \geq 4.5$) the initial triangular wedge (punching failure) is followed by
315 the formation of active and passive failure zones (marked as zones 1-3 in Fig. 8). The authors had also observed
316 that outside zone-1, the particles tended to move downward and sideward symmetrically until the ultimate bearing
317 capacity was reached. Similar trends were noticed in other cases reported by Jahanger et al. (2018), Murthy et al.
318 (2012), Prandtl, (1920) and Terzaghi (1943). The depth of this plastic wedge at the ultimate bearing load is equal
319 to about B , whose vertices (slip planes) intersect the horizontal at an angle (α) of about ($\phi < \alpha = 56^\circ < 45 + \phi/2$).

320 These are consistent with Terzaghi's assumption (1943) for relatively rough footing, which have not been confirmed
321 using microscopic experiments, but using DPIV here. Furthermore, Kumar and Kouzer (2007) have assumed similar
322 measures for using plasticity limit analysis of homogeneous soil using FEM. The current experimental study
323 supports such an assumption.

324 Surprisingly, in the case of layered packing, the slip planes are dominantly through the punching mode, but the
325 shape of the slip planes contains a distinct rectangular wedge supported by a semi-circular (or simplified triangular)
326 wedge (Fig.8). Furthermore, the sand surface does not heap noticeably on both sides of the beam (Fig. 8) for the
327 case of layered sand. This profiles corresponds to the theory of punching shear failure that occurs in the top dense
328 sand layer, followed by another punching shear failure in the bottom soil layer in the cases of $H/B \leq 1$. However,
329 the authors have observed that if $H/B \geq 4B$, then the failure mode was fully located within the top soil layer, which
330 is the upper bound for the ultimate bearing capacity of dense sand (Fig. 7, $H/B \geq 4.0$).

331 For the analysis of failure of wedge materials indented by a rigid beam, Prandtl (1920) assumed that the failure
332 occurs along definite slip surfaces (lines) in the material beneath the indenter. Under plastic equilibrium, a rigid
333 triangular wedge of soil was formed below the indenter with base angle $\alpha = 45 + \phi/2$ (Fig. 8). Further, the soil
334 mass on the left and right of the rigid triangular wedge extended radially outwards (zone 2) and upward (zone 3)
335 along the boundaries of the plastic flow as shown in Fig. 8. So, Prandtl- type slip lines commonly appear in the tests
336 on homogeneous sand if the beam is loaded greater than the ultimate load (Oda and Win, 1990). In the series of
337 layered sand, however, two slip lines starting from the beam edges expand downward with angle β (Fig.8). It seems
338 that the angle β depends on the angle of internal friction of the dense sand as well as its thickness H ($H/B \geq 1.0$),
339 inconsistent with the theoretical work of Burd and Frydman (1997) for a uniform sand overlying a thick bed of clay
340 ($H/B \leq 1.0$). Burd and Frydman (1997) stated that the value of β is insensitive to the top thickness of the sand layer.

341 The associated plastic strain in the rectangular mass sand is concentrated in a shallow zone right under the beam.
342 The depth of such sand mass (M) is equal to about $0.3-0.5H$. As the beam compresses, the displacement of the grains
343 occurs generally downwards, with the soil element trajectory moving towards the deeper loose soil interface. In
344 contrast to ultimate bearing capacity theory which comprises soil heave around beam edges to accommodate the
345 punch volume, the mean resultant velocity vectors beneath the beam at ultimate load is dominantly downwards.
346 Larger net downward displacement and less lateral displacement are observed in layered soil than in the case of
347 homogeneous sand.

348 **6. Comparison of the DPIV measurements with FEM analysis**

349 Here the typical results are presented below for the case of rigid beam interacting with the layered soil of dense sand
350 on loose sand packing for the case of $H/B=0.5$ (Fig. 9). This shows the comparison of mean resultant displacement
351 profile and vertical displacement component contours using DPIV and FEM (ANSYS) analysis for the case of beam

352 interacting with layered soil system under the ultimate load. It is evident that a good level of agreement is obtained
 353 between the DPIV and FEM results both qualitatively and quantitatively. Furthermore, though the figures are not
 354 presented here, the authors had performed the FEM analysis for the other cases of soil profiles reported in this study,
 355 and a good level of agreement of the displacement measures were obtained with that of DPIV experiments. The
 356 results obtained from the current DPIV experiments with those obtained from ANSYS simulations are presented in
 357 Table 2 for comparison purposes. As seen, the results obtained here from the current FEM analysis are in an
 358 excellent agreement with those obtained from ANSYS analysis for different cases of layered sand.

359 Table 2 Comparison of ultimate load results obtained from current DPIV experiments with FEM.

Width of the beam (mm)	H (mm)	H/B	Ultimate load P_{ult} (N)		
			Current DPIV experiments	FEM	Error %*
38	0	0	40	42	+5
	19	0.5	50	48	-4
	38	1.0	67	71	+5.9
	76	2.0	90	95	+5.5
	114	3.0	115	117	+1.7
	152	4.0	145	148	+2.1
	247	6.5	170	175	+3.0

373 * Error (%) = $((FEM - Exp.) / Exp.) \times 100$; (+) overestimated, (-) underestimated
 374
 375

376 7. New proposed method

377 By taking advantage of the experimentally characterised failure surfaces using DPIV (Fig. 4), here the authors
 378 propose a new method for evaluating bearing capacity of the layered soil system encountered here. The displacement
 379 of the loose sand located at shallow depth below the rigid beam is independent of the distribution of the pressure on

380 the base of the beam itself, because the dense layer supporting the rigid beam acts as a natural raft that distributes
 381 the load from the beam to the loose sand layer (Terzaghi et al. 1996). Nevertheless, the displacement might be
 382 considerable at the interface of dense and loose layered sand media. This failure mechanism is kinematically realistic
 383 (Fig. 8). The whole soil media (Fig. 4) can be bounded by failure envelopes 1-3 (Fig. 4, *abcd* region) through beam's
 384 corners and a semi-circle profile in the loose sand media. Inside zone *abcd*, the displacement occurs mostly
 385 vertically. Hence, this is the lower boundary of the zone of plastic equilibrium (Terzaghi, 1943).

386 As observed from Fig. 8, β varies with the depth of the dense sand layer. Therefore, a relation between β and H/B
 387 from the DPIV measures (Fig. 10) has been presented in Eq. (4). The lower bound solution is obtained when $\beta = 0$
 388 which corresponds to no lateral dilatancy of the failure region (same as mode 2, Meyerhof, 1974). An upper bound
 389 solution is obtained when $\beta = \phi_1$ which corresponds to an associated flow rule where the angle of dilation (ψ)
 390 equals the angle of internal friction of dense sand. However, the plots of the mean resultant velocity vectors beneath
 391 the beam at ultimate load for different H/B , show that the angle β is variable and depending on H/B and the angle
 392 of internal friction of the top sand layer ϕ_1 (Fig. 10). The trend of the fitted curve is consistent qualitatively with
 393 theoretical work of Farah (2004) for H/B . So, from the test data used in Fig. 10, a third order polynomial equation
 394 was obtained as it was the best fit using the regression analysis as follows:

$$395 \quad \beta / \phi_1 = -0.011 (H/B)^3 + 0.115 (H/B)^2 - 0.255 (H/B) + 1.041 \quad (4)$$

396 According to Figs. 4 and 10 and the analysis according to Terzaghi et al. (1996) for shallow foundation ($D_f/B \leq 4.0$
 397 (Das, 2009)), the authors present a new set of equations for mode 4 for as:

$$398 \quad q_{ult \text{ layered}} = 0.5 B \gamma_2 N_{\gamma_2} + \gamma_1 H N_{q_2} \leq q_{ult 1} \quad (5)$$

399 By using $B = B'$ here (Fig. 4)

$$400 \quad q_{ult \text{ layered}} = 0.5 [B + 2H \tan\beta] \gamma_2 N_{\gamma_2} + \gamma_1 H N_{q_2} \leq q_{ult \ 1} \quad (6)$$

$$401 \quad q_{ult \text{ layered}} = 0.5 B \gamma_2 N_{\gamma_2} + H \tan\beta \gamma_2 N_{\gamma_2} + \gamma_1 H N_{q_2} \leq q_{ult \ 1} \quad (7)$$

402 For comparison purposes, the authors also performed the analysis for mode 5, using Eq. 1 (mode 1) but with variable
 403 slip surface angle β measured from the current DPIV test results. Here the authors present a detailed comparison of
 404 the bearing capacity of layered soil system based on modes 1-5 with the current DPIV-based experimental results
 405 in Fig 11 (a) and (b). N_γ and N_q for loose and dense sand are obtained corresponding to their ϕ_{peak} using (Terzaghi,
 406 1943). To compare the performance between each approach, a non-dimensional parameter which is the ultimate
 407 bearing capacity ratio (UBCR) was used to analyse the results (Binquet and Lee, 1975). UBCR is defined as the
 408 ultimate loads ($q_{ult \text{ layered}}$) of the rigid beam on layered soil system divided by the ultimate load of the same rigid
 409 beam on homogeneous dense sand ($q_{ult \ 1}$). It can be observed that the projected area method (mode 1) highly
 410 underestimates the bearing capacity of the layered media due to ignoring the shearing resistance of the soil along
 411 the sand slip surfaces and the use of a fixed slip surface angle $\beta = 30^\circ$ in mode 1. The results based on mode 2
 412 (Meyerhof, 1974) gives a conservative value for the bearing capacity due to use of an assumed plane of failure (with
 413 $\beta = 0$, Fig. 2). The results based on mode 3 (Hanna, 1982) reveals a conservative estimate of the UBCR. However,
 414 the results based on the newly proposed method (mode 4) compare well with the current experimental values of
 415 UBCR.

416 It is interesting to note that mode 5 gives a relatively more comparable trend with the experimental results of UBCR
 417 than using modes 1-3. It is also interesting to note that, the UBCR reaches a value of 1.0 (Fig. 11 (b)) for different
 418 values of H/B of the layered sand (as well as depending on the mode of analysis used). For example, to achieve
 419 UBCR=1.0, modes 1-5 predicted the required value of H/B as $\sim 11.5, 5.5, 6.0, 3.5$ and 4.7 respectively. The results

420 of mode 4 and mode 5 are closest to what is commonly considered in geotechnical engineering application ($H/B=$
421 4-5).

422 **8. Conclusions**

423 In this study DPIV is used to understand the local and global geomechanical characteristics of rigid beam interacting
424 with layered sand deposit in a coherent manner. Where possible, the displacement measures and generic
425 characteristics of velocity fields in the layered sand are compared with FEM and a good level of agreement is
426 obtained. Failure surfaces of homogeneous sand are consistent with Vesic (1973) but the advanced measurements
427 reported here detect their evolutions more precisely. The boundaries of the zone of plastic flow in dense sand
428 overlying loose sand at failure load measured here are remarkably similar to the shape of such intuitive diagrams
429 suggested by Meyerhof (1974), but with different values of β .

430 The new modified Eq. (7) makes it possible to estimate the bearing capacity of the layered granular soil with quite
431 a good level of accuracy. However, the results obtained from these model tests and new proposed approach (mode
432 4) can be applied for most strip prototype especially when $B \leq 1.0$ m (Jahanger and Antony, 2017). Therefore, based
433 on the results reported here, DPIV could be applied in future to develop robust failure surfaces for more complex
434 soil profiles and foundation types researches encounter in geotechnical engineering applications. The obtained
435 layered failure mechanisms could be employed in a related theoretical solutions in the future.

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548

549 CAPTIONS:

550

551 Fig. 1. Schematic illustration of the projected area method (Yamaguchi, 1963)

552

553 Fig. 2. Failure mode of dense sand overlying loose sand deposit (Hanna, 1981)

554

555 Fig. 3. Failure mechanism assumed for sand overlying clay after (Okamura et al. 1998)

556

557 Fig. 4. Schematic diagram of failure mechanism underneath the rigid beam on the layered sand using DPIV in the
558 current study

559

560

561 Fig. 5. (a) Experimental setup using DPIV (b) definition of the problem of rigid beam on layered sand, not to scale
562 (c - e) images of the footing in contact with soil for $H/B=1.0$ at $q=0$, $q=q_{ult}$ and $q>q_{ult}$ respectively

563

564

565 Fig. 6. Finite element mesh, and an element enlarged for $H/B=0.5$

566

567

568 Fig. 7. Experimental axial load-settlement curves of rigid beam interacting with layered sand. For convenience their
569 corresponding stress and normalised settlement are also presented here

570

571

572 Fig. 8. Effect of depth of dense sand layer overlying loose sand on the evolution of the mean resultant velocity
573 vectors beneath a rigid beam subjected to the ultimate load P_{ult} . Active dead zone (1), radial shear zone (/transition
574 zone) (2) and passive Rankine's zone (3) (Jahanger et al. 2016)

575

576

577 Fig. 9. Comparison of DPIV-based measures with FEM (ANSYS) analysis in layered sand under ultimate load
578 (identical colour codes are used) (left) mean resultant displacement profile (right) vertical displacement component
579 (the field of view is $3B$ (horizontal) \times $2.5B$ (vertical))

580

581

582 Fig. 10. Variation of β with H/B for strip surface rigid beam on layered sand

583

584

585 Fig. 11. Effect of depth of dense sand layer on (a) ultimate load and (b) UBCR, and their comparison with the
586 theoretical results using modes 1-5

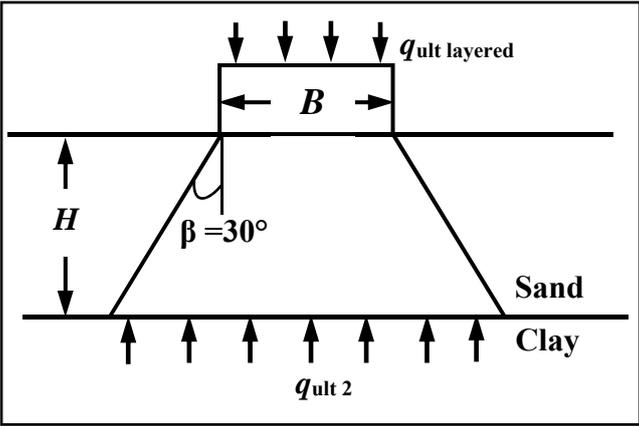
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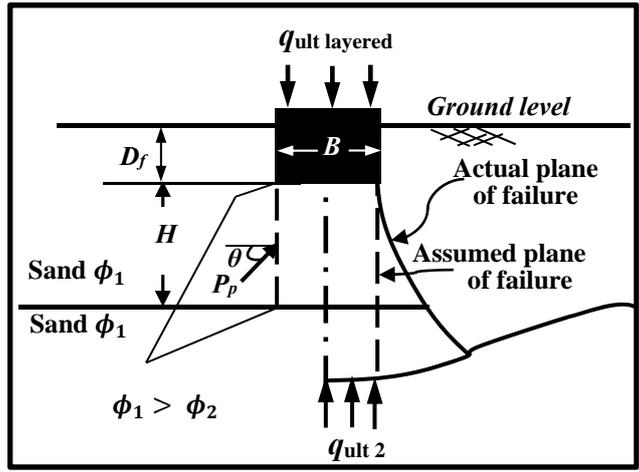
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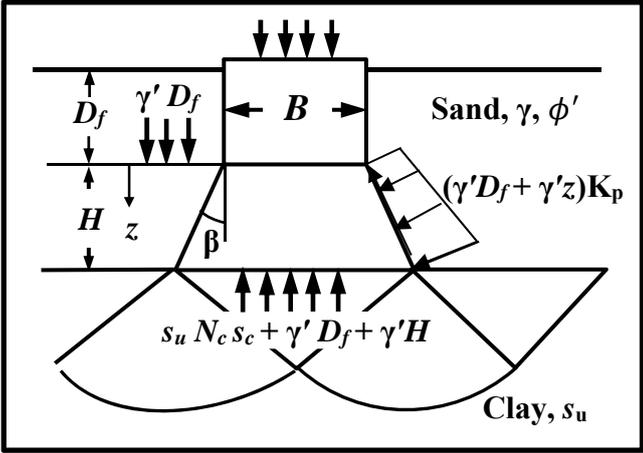
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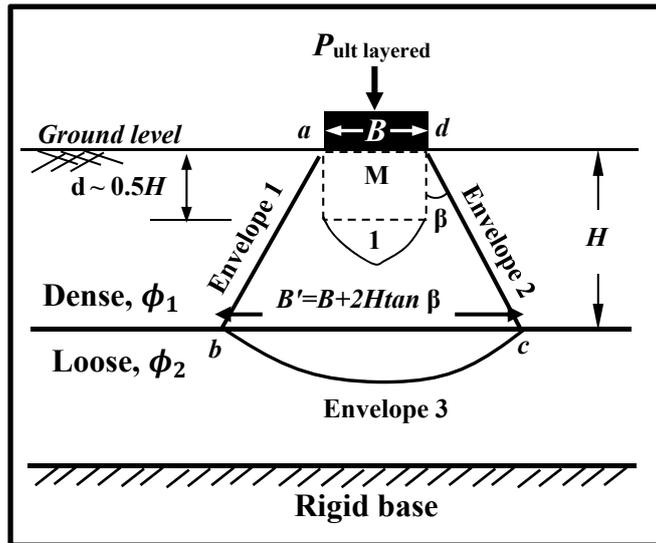
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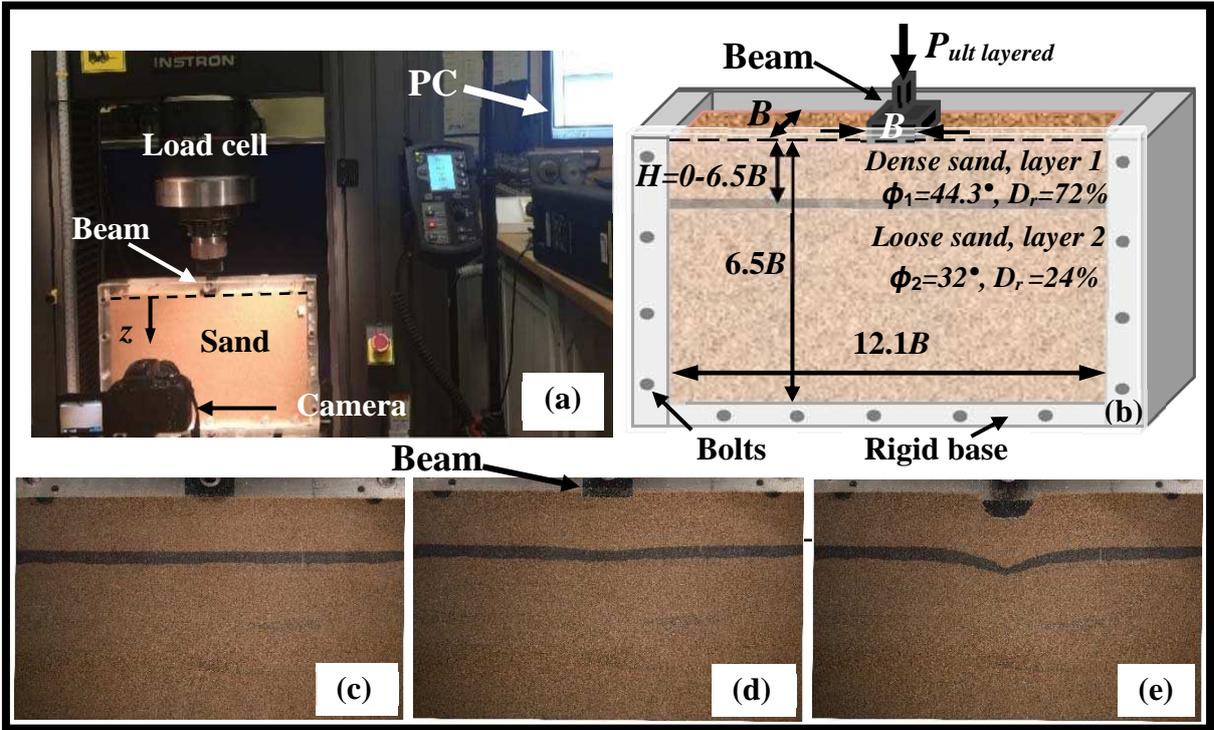
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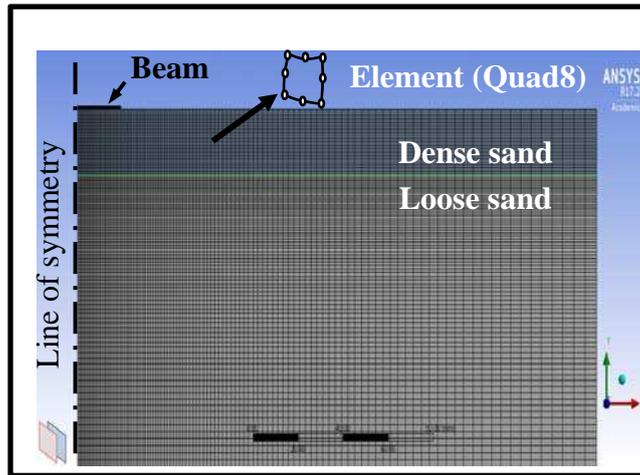


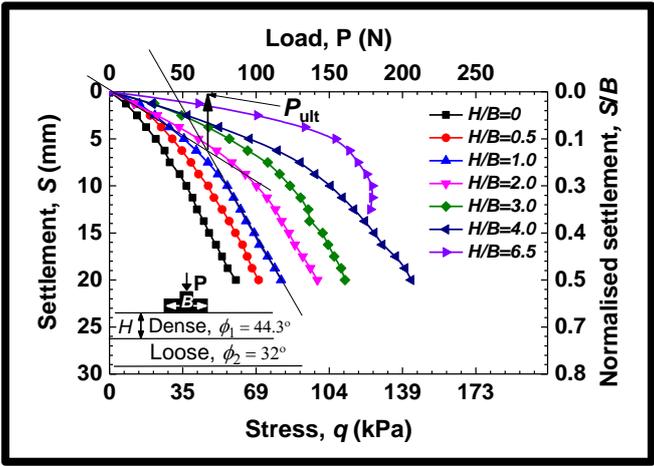


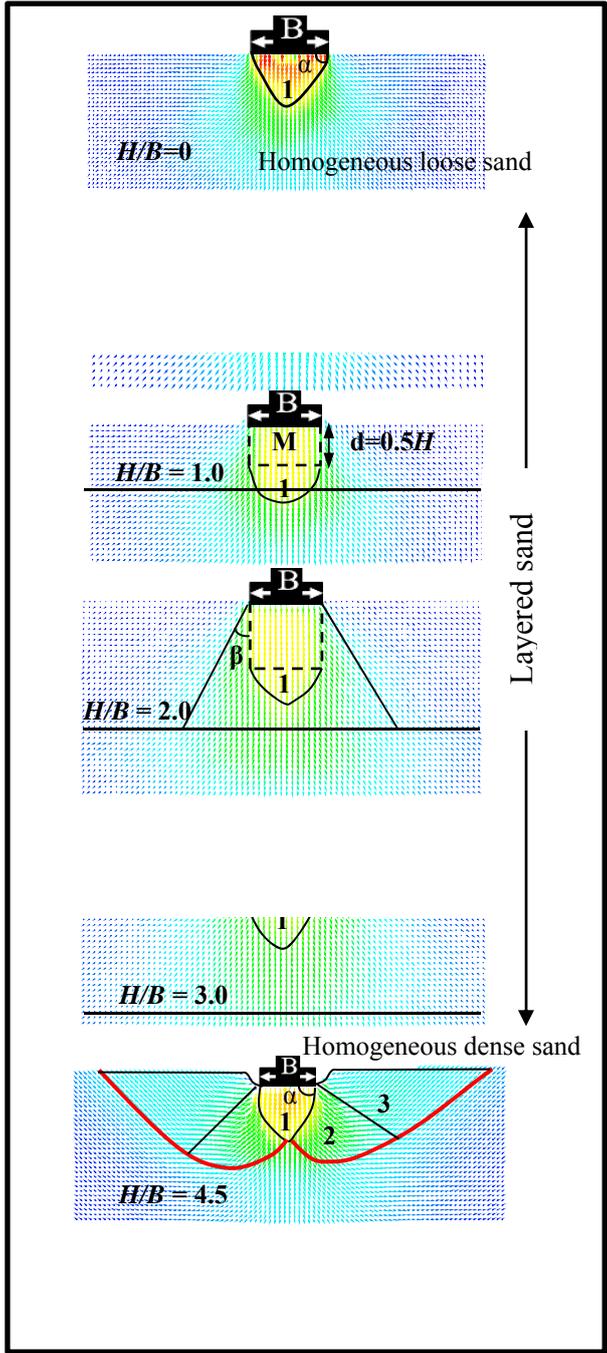


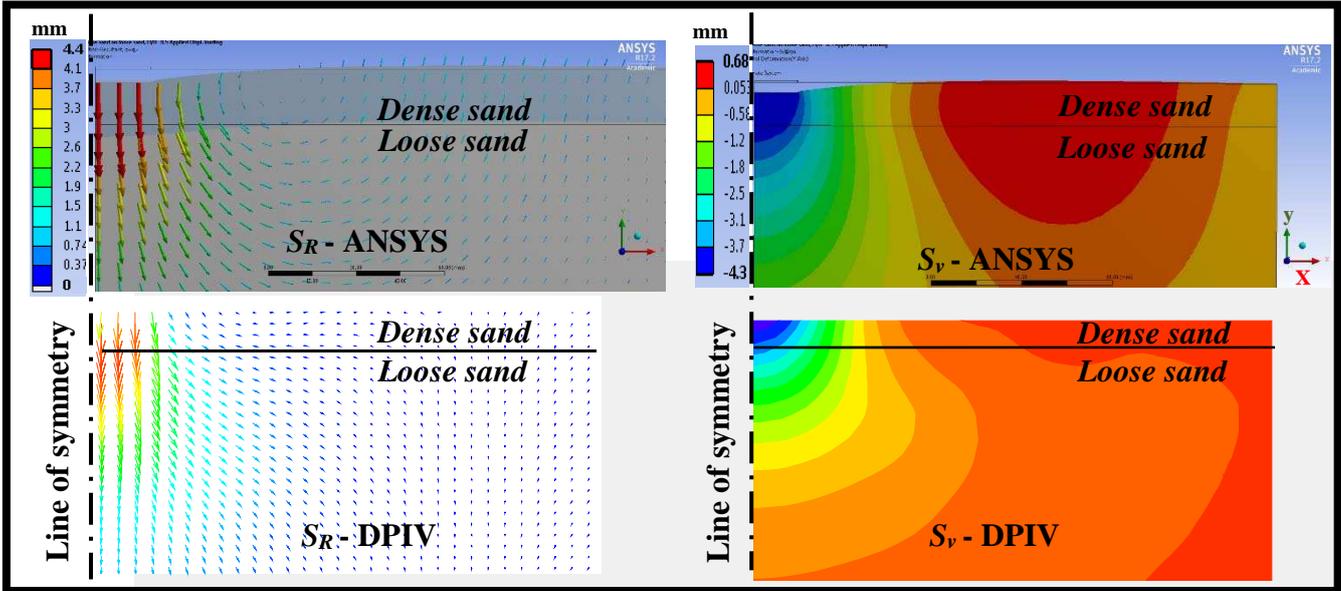


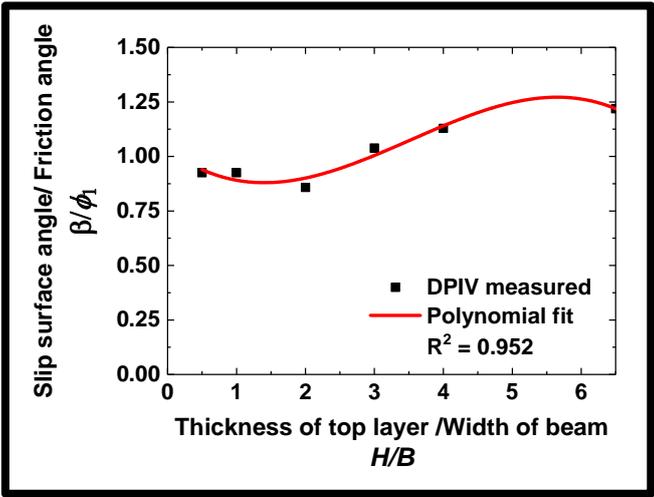












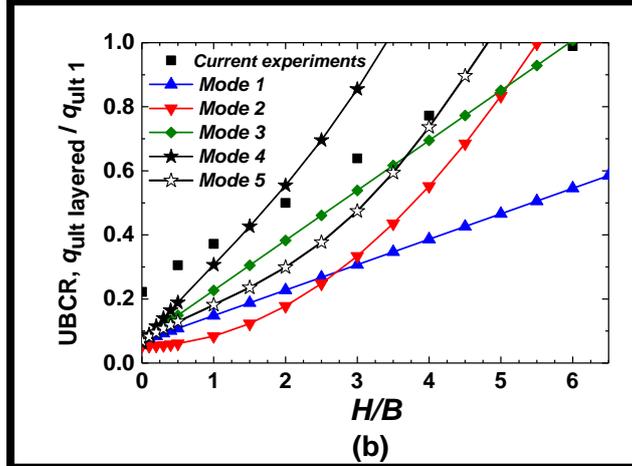
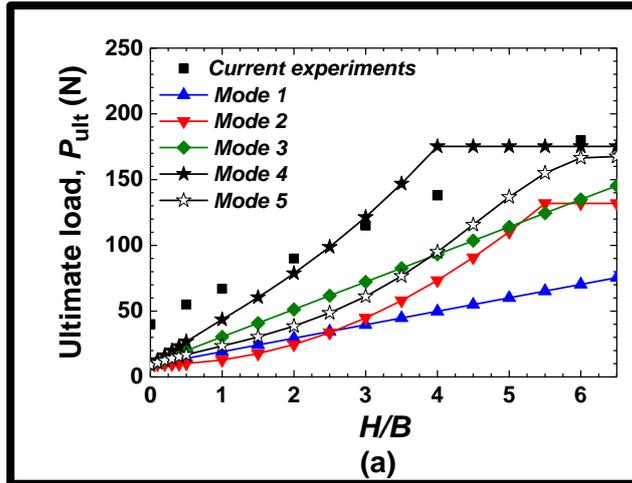


Table 1 Experimentally measured physical properties of the sand used.

Type of sand	Loose	Dense	Standards
Dry density (γ_d): (kN/m ³)	14.70	15.80	ASTM C29/C29M
Void ratio (e_o)	0.76	0.64	
Relative density, D_r : % \pm 2%	24	72	ASTM C128
Peak angle of internal friction, ϕ_{peak} : °	32	44.3	ASTM D4767
Residual angle of internal friction, ϕ_{cr} : °	30	36.3	Head (2006)
Max. dry density (γ_{dmax}): kN/m ³	16.50		ASTM D698
Min. dry density (γ_{dmin}): kN/m ³	14.23		ASTM D4254 method C
Max. void ratio (e_{max})	0.83		ASTM C29/C29M
Min. void ratio (e_{min})	0.58		ASTM C29/C29M
D_{10} : mm	0.25		
D_{30} : mm	0.31		ASTM D421
D_{50} : mm	0.37		ASTM D422
D_{60} : mm	0.40		
Uniformity coefficient, C_U	1.55		ASTM D2487
Coefficient of curvature, C_C	0.93		
Angle of repose of the sand, °	34		ASTM C1444

Table 2 Comparison of ultimate load results obtained from current DIPV experiments with FEM.

Width of the Footing (mm)	H (mm)	H/B	Ultimate load P_{ult} (N)		
			Current DIPV experiments	FEM	Error %*
38	0	0	40	42	+5
	19	0.5	50	48	-4
	38	1.0	67	71	+5.9
	76	2.0	90	95	+5.5
	114	3.0	115	117	+1.7
	152	4.0	145	148	+2.1
	247	6.5	170	175	+3.0

* $Error$ (%) = $((FEM - Exp.) / Exp.) \times 100$; (+) overestimated, (-) underestimated