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# **A discontinuous Galerkin approach for conservative modelling of fully nonlinear and weakly dispersive wave transformations**

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## **Abstract**

This work extends a robust second-order Runge-Kutta Discontinuous Galerkin (RKDG2) method to solve the fully nonlinear and weakly dispersive flows, within a scope to simultaneously address accuracy, conservativeness, cost-efficiency and practical needs. The mathematical model governing such flows is based on a variant form of the Green-Naghdi (GN) equations decomposed as a hyperbolic shallow water system with an elliptic source term. Practical features of relevance (i.e. conservative modelling over irregular terrain with wetting and drying and local slope limiting) have been restored from an RKDG2 solver to the Nonlinear Shallow Water (NSW) equations, alongside new considerations to integrate elliptic source terms (i.e. via a fourth-order local discretization of the topography) and to enable local capturing of breaking waves (i.e. via adding a detector for switching off the dispersive terms). Numerical results are presented, demonstrating the overall capability of the proposed approach in achieving realistic prediction of nearshore wave processes involving both nonlinearity and dispersion effects within a single model.

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## 24        **1- Introduction**

25        The last decades have seen significant advances in the development of numerical models for  
26        coastal engineering applications, which have the ability to accurately represent waves  
27        traveling from deep water into the shoreline (Kirby, 2016). Such models should account for  
28        nonlinear phenomena resulting from wave interaction with structures, and dispersive  
29        phenomena due to the wave propagation over a wide range of depths (Walkley, 1999).  
30        Various simplifications of the Navier-Stokes equations (Ma et al., 2012) have been proposed  
31        to enable affordable modelling of water wave problems. Most commonly, researchers have  
32        relied on the depth-integrated Nonlinear Shallow Water (NSW) equations, which seems to  
33        work well for shallow flow modelling but are specifically not ideal for coastal applications  
34        involving deeper water and wave shoaling (Brocchini and Dodd, 2008; Brocchini, 2013).

35                As an alternative, Boussinesq-type (BT) equations introduce dispersion terms and are  
36        more suitable in water regions where dispersion begins to have an effect on the free surface.  
37        These models represent the depth-integrated expressions of conservation of mass and  
38        momentum for weakly nonlinear and weakly dispersive waves, where the vertical profile of  
39        velocity potential is parabolic. Peregrine (1967) used Taylor expansion of the vertical  
40        velocity about a specific level and extended the NSW equations asymptotically into deeper  
41        water. Since the pioneering work of Peregrine (1967), the Boussinesq theory has experienced  
42        many developments in accuracy, and in extension of the range of application beyond the  
43        weakly nonlinear and weakly dispersive assumptions, which were confined to relatively  
44        shallow waters (Madsen et al., 1991; Madsen and Sørensen, 1992; Nwogu, 1993; Wei et al.,  
45        1995; Schäffer and Madsen, 1995; Beji and Nadaoka, 1996; Madsen and Schäffer, 1998;  
46        Agnon et al., 1999; Gobbi et al., 2000; Madsen et al., 2002, 2003; Lynett and Liu, 2004a,  
47        2004b). However, most of the enhanced BT models remain not entirely nonlinear and bring

48 about complexities associated with the involvement of high order derivatives. It also should  
49 be noted that the Non-Hydrostatic Shallow Water (NHSW) models are another class of  
50 equations which have gained attention recently (Zijlema and Stelling, 2008; Yamazaki et al.,  
51 2009; Bai and Cheung, 2013; Wei and Jia, 2013; Lu et al., 2015). These models could be seen  
52 as a variant of BT models with alternative approaches to model fully nonlinear and weakly  
53 dispersive waves (Kirby, 2016).

54         The so-called Green-Naghdi (GN) equations (Green and Naghdi, 1976), also known  
55 as Serre equations (Serre, 1953), are viewed as fully nonlinear and weakly dispersive BT  
56 equations in which there is no restriction on the order of magnitude of nonlinearity, thereby  
57 providing the capability to describe large amplitude wave propagation in shallow waters.  
58 These equations were first derived by Serre (1953); several years later, they were re-derived  
59 by Green and Naghdi (1976) using a different method. A 1D formal derivation of these  
60 equations can be found in Barthélemy (2004) for flat bottoms and in Cienfuegos et al. (2006)  
61 for non-flat bottoms. Alvarez-Samaniego and Lannes (2008) showed that GN models can  
62 accurately predict the important characteristics of the waves in comparison with the Euler  
63 equations. Israwi (2010) derived a new 2D version of the GN system that possesses the  
64 capability of accounting for the horizontal vorticity. More recently, Bonneton et al. (2011)  
65 and Lannes and Marche (2015) derived a new system that is asymptotically equal to the  
66 classic GN equations but is featured with a much simpler structure, which is easier to be  
67 solved numerically.

68         From a numerical modelling viewpoint, various approaches have been used for  
69 solving BT equations considering Finite Difference (FD) methods (Wei and Kirby, 1995),  
70 Finite Element (FE) methods (Filippini et al., 2016), Finite Volume (FV) methods  
71 (Cienfuegos et al., 2006; Le Métayer et al., 2010; Dutykh et al., 2011) and hybrid FV/FD  
72 approaches (Bonneton et al., 2011; Orszaghova et al., 2012; Tissier et al., 2012), to cite a few.

73 The FV discretization seems to be the most widely adopted among the other approaches used  
74 for the numerical approximation of both NSW and BT equations given its conservation  
75 properties, geometrical flexibility, conceptually simple basis, and ease of implementation.  
76 Nonetheless, the Discontinuous Galerkin (DG) discretization seems to be a promising  
77 alternative owed to its faster convergence rates and better quality predictions on coarse  
78 meshes as compared to an equally accurate FV approach (e.g. Zhou et al., 2001; Zhang and  
79 Shu, 2005; Kesserwani, 2013; Kesserwani and Wang, 2014).

80 For solving convection-dominated problems, a spatial DG discretization is often  
81 realized within an explicit multi-stage Runge-Kutta (RK) time stepping mechanism, leading  
82 to the standard RKDG method proposed by Cockburn and Shu (1991). A local RKDG  
83 formulation can be seen as a higher-order extension to the conservative FV method, in the  
84 Godunov (1959) sense, where one averaged variable of state over a computational element is  
85 evolved by inter-elemental local flux balance incorporating the Riemann problem solutions  
86 (Toro and Garcia-Navarro, 2007). In the RKDG method, this same principle applies, however  
87 to evolve a series of coefficients (i.e. the average and slope coefficients spanning the  
88 polynomial solution) by means of local spatial operators translated from the conservative  
89 model equations (in the weak sense). The number of coefficients that should be involved and  
90 the number of inner RK stages required are proportional to the desired order-of-accuracy; the  
91 latter is, on the other hand, inversely proportional to the maximum allowable CFL number.  
92 Hence, increase in operational and runtime costs is inevitable in line with increasing order-of-  
93 accuracy. For solving the NSW equations, many RKDG formulations were proposed  
94 (Kesserwani and Liang, 2010, 2012; Xing, 2014; Tavelli and Dumbser, 2014; Gassner et al.,  
95 2016). However, practically speaking, higher than second-order accurate RKDG (RKDG2)  
96 formulations remain significantly harder to generally stabilize, e.g. when it comes to carefully  
97 selecting and limiting slope coefficients and ensuring well-balanced and conservative

98 numerical predictions over rough and uneven terrain (Kesserwani and Liang, 2011, 2012;  
99 Caviedes-Voullième and Kesserwani, 2015).

100 In the context of numerically solving elliptic equations with higher order derivatives,  
101 often the so-called Local Discontinuous Galerkin (LDG) method is employed as proposed in  
102 Cockburn and Shu (1998). Since the early 2000s, different variants of the DG method were  
103 utilized for solving the BT equations (e.g. Eskilsson and Sherwin (2003, 2005, 2006),  
104 Eskilsson et al. (2006), Engsig-Karup et al. (2006, 2008), de Brie et al. (2013); Dumbser and  
105 Facchini (2016) for enhanced Boussinesq equations; Li et al. (2014), Dong and Li (2016),  
106 and Duran and Marche (2015, 2017) for the GN equations). Most of these works lacked a full  
107 consideration and assessment to the issues of practical relevance, such as the simultaneous  
108 presence of highly irregular bathymetry, wetting and drying and friction effects. To the best  
109 of our knowledge, only the work of Duran and Marche (2015, 2017) considered some of these  
110 issues in an alternative RKDG formulation solving the GN equations derived by Lannes and  
111 Marche (2015). The investigators successfully solved the pre-balanced NSW equations with  
112 higher than second-order RKDG methods. However, the use of the pre-balanced NSW  
113 equations is unnecessary (Lu and Xie, 2016) and entails sophisticated flux terms with  
114 topography, which add on to the operational costs.

115 Another important practical issue in modeling nearshore wave processes is wave  
116 breaking. Like other BT models, the GN equations only provide satisfactory description of  
117 the waves up to the breaking point and cannot represent the energy dissipation pertinent to  
118 this phenomenon. To address this issue, a strategy for handling potential breaking waves  
119 must be deployed and several methods have been proposed for this purpose. One traditional  
120 method would be to add an ad-hoc viscous term to the momentum equation to account for  
121 energy dissipation (Zelt, 1991; Karambas and Koutitas, 1992; Sørensen et al., 1998; Kennedy  
122 et al., 2000; Chen et al., 2000; Cienfuegos et al., 2009; Roeber et al., 2010). Another method,

123 which has been gaining popularity in recent years, is to simply neglect the dispersive terms so  
124 that to enable the BT model to switch to the NSW equations in the region where wave  
125 breaking takes place (e.g. Borthwick et al., 2006; Bonneton, 2007; Tonelli and Petti, 2009,  
126 2010; Roeber and Cheung, 2012; Tissier et al., 2012; Orszaghova et al., 2012; Shi et al.,  
127 2012; Kazolea and Delis, 2013); in other words, treat the broken waves as shocks (Filippini et  
128 al., 2016). To do so, a sensor is required for triggering the initiation and possibly termination  
129 of breaking process, many of which are reported based on different physical criteria. For  
130 example, Kennedy et al. (2000) used vertical speed of the free surface elevation, Tonelli et al.  
131 (2009, 2010) employed the ratio of the surface elevation to the water depth, Roeber and  
132 Cheung (2012) involved local momentum gradients, Tissier et al., (2012) combined local  
133 energy dissipation, front slope and Froude number, and Filippini et al. (2016) combined the  
134 surface variation and local slope angle.

135 To this end, this paper aims to develop a robust RKDG2-based model for simulation  
136 of wave propagation from intermediate to shallow waters and its possible transformations  
137 including wave breaking. A simplified form of the GN equations (Lannes and Marche, 2015)  
138 will be considered, in which the model equations can be decomposed into the conservative  
139 form of the NSW equations and elliptic source terms accounting for dispersion effects. This  
140 decomposition will be exploited to enable handling breaking waves by switching off the  
141 dispersive terms based on an entirely numerical criterion specific to the DG method. In this  
142 work, e.g. as opposed to Duran and Marche (2015), the pre-balanced NSW equations were  
143 purposefully avoided to entirely keep the topography and its derivatives (up to third-order) as  
144 source terms. A hybrid topography discretization is adopted for treating these higher-order  
145 derivative terms using a local fourth-order DG expansion (DG4). The RKDG2-based model  
146 solving the GN equations is further supported with stable friction source term discretization  
147 and a conservative wetting and drying condition, to enable applicability for a range of tests

148 involving nearshore wave processes with nonlinearity, dispersion, interaction with uneven  
 149 and rough topographies and/or wetting and drying.

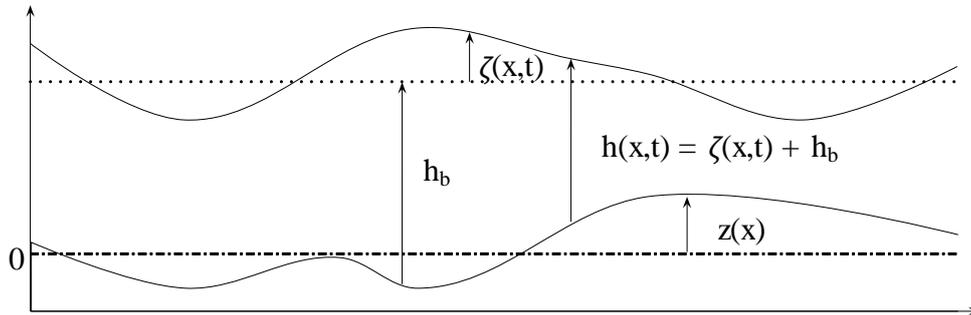
150 In what follows, Section 2 summarizes the GN model equations; Section 3 presents  
 151 the details of the DG discretizations used including the details relevant to the integration of  
 152 the topography source terms, treatment of wetting and drying and dispersive terms  
 153 computations; Section 4 contains an exhaustive and systematic validation of the proposed  
 154 model development over a series of selected test cases; Section 5 outlines the conclusions.

155

## 156 2- The Green-Naghdi (GN) equations

157 The standard one-dimensional (1D) GN system can be cast in an alternative form, which  
 158 involves an optimization parameter and incorporates time-independent dispersive terms in  
 159 diagonal matrices (Lannes and Marche 2015). This (so-called “one-parameter”) model reads:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ [1 + \alpha T[h_b]] \left( \partial_t(hu) + \partial_x(hu^2) + \frac{\alpha - 1}{\alpha} gh \partial_x \zeta \right) + \frac{1}{\alpha} gh \partial_x \zeta + \\ h(Q_1(u) + gQ_2(\zeta)) + gQ_3 \left( [1 + \alpha T[h_b]]^{-1} (gh \partial_x \zeta) \right) = 0 \end{cases} \quad (1)$$



160

161

**Fig. 1. Sketch of the free surface flow domain**

162 where  $u(x, t)$  is the horizontal velocity,  $h_b$  corresponds to the undisturbed state,  $h(x, t) =$   
 163  $\zeta(x, t) + h_b$  is the water height,  $\zeta(x, t)$  stands for the free surface elevation and  $z(x)$  is the

164 variation of the bottom with respect to the rest state, as shown in Figure 1, and  $\alpha$  is an  
 165 optimization parameter. The differential operators  $Q_1$  and  $Q_2$  are expressed as follows:

$$Q_1(u) = 2h\partial_x h(\partial_x u)^2 + \frac{4}{3}h^2\partial_x u(\partial_x^2 u) + h\partial_x z(\partial_x u)^2 + uh\partial_x u(\partial_x^2 z) + u^2\partial_x \zeta(\partial_x^2 z) + \frac{h}{2}u^2(\partial_x^3 z) \quad (2)$$

$$Q_2(\zeta) = -\left(\partial_x \zeta \partial_x z + \frac{h}{2}\partial_x^2 z\right)\partial_x \zeta \quad (3)$$

166 For a given scalar function  $w$ , the second-order differential operator  $\mathbb{T}$  is defined as:

$$\mathbb{T}[h_b](w) = -\frac{h_b^3}{3}\partial_x^2\left(\frac{w}{h_b}\right) - h_b^2\partial_x h_b\partial_x\left(\frac{w}{h_b}\right) \quad (4)$$

167 and  $Q_3$  admits the simplified notation:

$$Q_3(w) = \frac{1}{6}\partial_x(h^2 - h_b^2)\partial_x w + \frac{h^2 - h_b^2}{3}\partial_x^2 w - \frac{1}{6}\partial_x^2(h^2 - h_b^2)w \quad (5)$$

168 Eq. (1) can be rewritten in the following form:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ \partial_t(hu) + \partial_x(hu^2) + \frac{\alpha - 1}{\alpha}gh\partial_x \zeta + [1 + \alpha\mathbb{T}[h_b]]^{-1}\left[\frac{1}{\alpha}gh\partial_x \zeta + h(Q_1(u) + gQ_2(\zeta)) + gQ_3\left([1 + \alpha\mathbb{T}[h_b]]^{-1}(gh\partial_x \zeta)\right)\right] = 0 \end{cases} \quad (6)$$

169 in which the differential operator  $[1 + \alpha\mathbb{T}[h_b]]$  is factored out, making it possible not to  
 170 compute third-order derivatives that are qualitatively present in Eq. (1). Replacing the free  
 171 surface gradient term  $gh\partial_x \zeta$  as:

$$gh\partial_x \zeta = \partial_x\left(\frac{1}{2}gh^2\right) + gh\partial_x z \quad (7)$$

172 Eq. (6) would become:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ \partial_t(hu) + \partial_x(hu^2) + \partial_x\left(\frac{1}{2}gh^2\right) = -gh\partial_x z - \mathcal{D}_c \end{cases} \quad (8)$$

173 In which  $\mathcal{D}_c$  accounts for the dispersive source term as:

$$\mathcal{D}_c = -\frac{1}{\alpha}gh\partial_x\zeta + [1 + \alpha\mathbb{T}[h_b]]^{-1} \left[ \frac{1}{\alpha}gh\partial_x\zeta + h(\mathcal{Q}_1(u) + g\mathcal{Q}_2(\zeta)) + g\mathcal{Q}_3 \left( [1 + \alpha\mathbb{T}[h_b]]^{-1}(gh\partial_x\zeta) \right) \right] \quad (9)$$

174 As explained in Lannes and Marche (2015), this GN formulation (i.e. the one-parameter  
 175 model) is stabilized against high-frequency perturbations via the presence of the differential  
 176 operator  $[1 + \alpha\mathbb{T}[h_b]]^{-1}$ , which can also be directly assembled in a preprocessing step.  
 177 Based on these aspects, this alternative GN formulation is adopted here, which can be  
 178 decomposed into a conservative form of the hyperbolic NSW equations plus elliptic source  
 179 terms for adding on dispersive effects. Therefore, Eq. (8) could be presented in matrix  
 180 conservative form as follows:

$$\partial_t \mathbf{U} + \partial_x \mathbf{F}(\mathbf{U}, z) = \mathbf{S}_b(\mathbf{U}, z) + \mathbf{S}_f(\mathbf{U}, z) - \mathbf{D}(\mathbf{U}, z) \quad (10)$$

$$\mathbf{U} = \begin{bmatrix} h \\ q \end{bmatrix}, \quad \mathbf{F}(\mathbf{U}, z) = \begin{bmatrix} q \\ \frac{q^2}{h} + \frac{1}{2}gh^2 \end{bmatrix}, \quad (11)$$

$$\mathbf{S}_b(\mathbf{U}, z) = \begin{bmatrix} 0 \\ -gh\partial_x z \end{bmatrix}, \quad \mathbf{S}_f(\mathbf{U}, z) = \begin{bmatrix} 0 \\ -C_f u |u| \end{bmatrix}, \quad \mathbf{D}(\mathbf{U}, z) = \begin{bmatrix} 0 \\ \mathcal{D}_c \end{bmatrix}$$

181 where  $\mathbf{U}$  is the vector of flow variables,  $\mathbf{F}$  represents the fluxes,  $\mathbf{S}_b$  shows the topography  
 182 source terms and  $\mathbf{S}_f$  defines the friction source terms, in which  $C_f = \frac{gn_M^2}{h^{1/3}}$  is the coefficient of  
 183 bed roughness and  $n_M$  represents the Manning coefficient. The friction source terms, though  
 184 were not included in the original formulation (Lannes and Marche, 2015), will be considered  
 185 here as for the NSW equations.

186 To reduce the complexity of obtaining the dispersive source terms  $\mathcal{D}_c$ , Eq. (9) is  
 187 reformulated in terms of the following coupled system:

$$\begin{cases} [I + \alpha\mathbb{T}[h_b]] \left( \mathcal{D}_c + \frac{1}{\alpha}gh\partial_x\zeta \right) = h \left( \frac{1}{\alpha}gh\partial_x\zeta + \mathcal{Q}_1[h, z](u) + g\mathcal{Q}_2[h, z](\zeta) \right) + \mathcal{Q}_3[h, h_b]\mathcal{K} \\ [I + \alpha\mathbb{T}[h_b]]\mathcal{K} = gh\partial_x\zeta \end{cases} \quad (12)$$

188 in which  $\mathcal{K}$  is an auxiliary variable and the respective terms are previously defined in Eqs. (2-  
 189 5). As for the choice of optimization parameter  $\alpha$ , Lannes and Marche (2015) recommended  
 190 taking 1.159, which will also be adopted here.

191

### 192 **3- RKDG2-based GN numerical solver**

193 This section extends a robust RKDG2 numerical solver of the NSW with source terms  
 194 considering wetting and drying (Kesserwani and Liang 2012). The RKDG2 method adopted  
 195 here is particularly based on the conventional form of the NSW and supported with new  
 196 technical measures to fit the case of the GN equations.

197 A 1D computational domain with a length of  $L$ , is divided by  $N + 1$  interface points  $0 =$   
 198  $x_{1/2} < x_{3/2} < \dots < x_{N+1/2} = L$ , into  $N$  uniform cells, each cell  $I_i = [x_{i-1/2}, x_{i+1/2}]$  being  
 199 centered at  $x_i = 1/2 (x_{i+1/2} + x_{i-1/2})$  and having a length of  $\Delta x = x_{i+1/2} - x_{i-1/2}$ . In the  
 200 framework of a local DG approximation, a  $k^{\text{th}}$  order polynomial solution of the flow vector,  
 201 denoted by  $\mathbf{U}_h(x, t) = [h_h, q_h]^T$ , is sought that belongs to the space of polynomials in  $I_i$  of  
 202 degrees at most  $k$  (giving  $k + 1$  order of accuracy in space). To get a FE local weak  
 203 formulation, Eq. (10) is multiplied by a test function  $v$ , then integrated by parts over the  
 204 control volume  $I_i$  to give:

$$\begin{aligned}
 & \int_{I_i} \partial_t \mathbf{U}_h(x, t) v(x) dx - \int_{I_i} \mathbf{F}(\mathbf{U}_h(x, t)) \partial_x v(x) dx \\
 & + \left[ \tilde{\mathbf{F}}(\mathbf{U}_h(x_{i+1/2}, t)) v(x_{i+1/2}) - \tilde{\mathbf{F}}(\mathbf{U}_h(x_{i-1/2}, t)) v(x_{i-1/2}) \right] \quad (13) \\
 & = \int_{I_i} \mathbf{S}_b(\mathbf{U}_h(x, t), z_h) v(x) dx - \int_{I_i} \mathbf{D}_h(\mathbf{U}_h(x, t), z_h) v(x) dx
 \end{aligned}$$

205 in which,  $\mathbf{D}_h$  and  $z_h$  are local approximations of  $\mathbf{D}$  and  $z$ , which are also spanned by FE  
 206 expansion coefficients, and  $\tilde{\mathbf{F}}$  is a nonlinear numerical flux function based on an approximate  
 207 Riemann solver featuring in the FV philosophy (Toro and Garcia-Navarro, 2007).

208 The local approximate solutions are expanded into polynomial basis functions  $\{\phi_l^i\}_l$   
 209 that is compactly supported on cell  $I_i$ , as:

$$\mathbf{U}_h(x, t)|_{I_i} = \sum_{l=0}^k \mathbf{U}_i^l(t) \phi_l^i(x) \quad (14)$$

$$\mathbf{D}_h(x, t)|_{I_i} = \sum_{l=0}^k \mathbf{D}_i^l(t) \phi_l^i(x) \quad (15)$$

210 where  $\mathbf{U}_i^l$  and  $\mathbf{D}_i^l$  are time-dependent expansion coefficients. In order to achieve a decoupled  
 211 version of the Galerkin formulation, Eq. (13), the local basis functions  $\{\phi_l^i\}_l$  have been  
 212 defined according to the Legendre polynomials

$$\phi_l^i(x) = \phi_l\left(\frac{x - x_i}{\Delta x/2}\right) \quad (16)$$

213 where  $\phi_l(X)$  are the  $L^2$ -orthogonal Legendre polynomials on their reference domain  $[-1, 1]$ :

$$\phi_l(X) = \frac{1}{2^k k!} \frac{d^l}{dX^l} (X^2 - 1)^l \quad (17)$$

214

### 215 3.1 RKDG2 method for the convective parts

216 By selecting  $k = 1$  a second-order DG (DG2) discretization can be obtained in which the  
 217 local solution is linear:

$$\mathbf{U}_h|_{I_i} = \mathbf{U}_i^0(t) + \mathbf{U}_i^1(t) \left(\frac{x - x_i}{\Delta x/2}\right) \quad (18)$$

218 where the coefficients  $\mathbf{U}_i^0(t)$  and  $\mathbf{U}_i^1(t)$  can be viewed as average and slope coefficients,  
 219 respectively. From an available initial conditions, i.e.  $\mathbf{U}_0(x) = \mathbf{U}(x, 0)$ , the initial state of the  
 220 coefficients can be simplified to:

$$\mathbf{U}_i^0(0) = \frac{1}{2} \left( \mathbf{U}_0(x_{i+1/2}) + \mathbf{U}_0(x_{i-1/2}) \right) \quad (19)$$

$$\mathbf{U}_i^1(0) = \frac{1}{2} \left( \mathbf{U}_0(x_{i+1/2}) - \mathbf{U}_0(x_{i-1/2}) \right) \quad (20)$$

221 For topography discretization of convective parts, again, linear basis functions ( $k = 1$ ) are  
 222 used, and hence a similar expansion for the variable  $z(x)$  can be obtained by means of  
 223 constant coefficients  $z_i^0$  and  $z_i^1$ :

$$z_h|_{I_i} = z_i^0 + z_i^1 \left( \frac{x - x_i}{\Delta x/2} \right) \quad (21)$$

224 so that its derivative is used in the evaluation of the topography source term, namely:

$$\frac{d}{dx} z_h(x)|_{I_i} = \frac{2z_i^1}{\Delta x} \quad (22)$$

225 The coefficients  $z_i^0$  and  $z_i^1$  are obtainable from the given topography function  $z(x)$ , i.e.:

$$z_i^0 = \frac{1}{2} \left( z(x_{i+1/2}) + z(x_{i-1/2}) \right) \quad (23)$$

$$z_i^1 = \frac{1}{2} \left( z(x_{i+1/2}) - z(x_{i-1/2}) \right) \quad (24)$$

226 With this treatment for the topography, it is easy to verify that the continuity property holds  
 227 in particular across interface points  $x_{i+1/2}$  and  $x_{i-1/2}$ . For example at interface  $x_{i+1/2}$  shared  
 228 by elements  $I_i$  and  $I_{i+1}$ , (23) and (24) yield:

$$z_h(x_{i+1/2}^-)|_{I_i} = z_i^0 + z_i^1 = z(x_{i+1/2}) = z_{i+1}^0 - z_{i+1}^1 = z_h(x_{i+1/2}^+)|_{I_{i+1}} \quad (25)$$

229 Substituting the expanded variables into the weak formulation, a decoupled system of ODEs  
 230 results for the evolution of each of the average and slope coefficients:

$$\begin{aligned}\partial_t \mathbf{U}_i^0 &= \mathbf{L}_i^0(\mathbf{U}_{i-1}^{0,1}, \mathbf{U}_i^{0,1}, \mathbf{U}_{i+1}^{0,1}) \\ \partial_t \mathbf{U}_i^1 &= \mathbf{L}_i^1(\mathbf{U}_{i-1}^{0,1}, \mathbf{U}_i^{0,1}, \mathbf{U}_{i+1}^{0,1})\end{aligned}\tag{26}$$

231 where  $\mathbf{L}_i^{0,1}$  represent discrete spatial operators, which may be expressed as follows:

$$\mathbf{L}_i^0 = -\frac{1}{\Delta x} [\tilde{\mathbf{F}}_{i+1/2} - \tilde{\mathbf{F}}_{i-1/2} + \Delta x \mathbf{S}_b(\mathbf{U}_i^0, z_i^1)] - D_i^0(t)\tag{27}$$

$$\begin{aligned}\mathbf{L}_i^1 &= -\frac{3}{\Delta x} \left\{ (\tilde{\mathbf{F}}_{i+1/2} - \tilde{\mathbf{F}}_{i-1/2}) - \mathbf{F}(\mathbf{U}_i^0 + \hat{\mathbf{U}}_i^1/\sqrt{3}) - \mathbf{F}(\mathbf{U}_i^0 - \hat{\mathbf{U}}_i^1/\sqrt{3}) \right. \\ &\quad \left. - \frac{\Delta x \sqrt{3}}{6} [\mathbf{S}_b(\mathbf{U}_i^0 + \hat{\mathbf{U}}_i^1/\sqrt{3}, z_i^1) - \mathbf{S}_b(\mathbf{U}_i^0 - \hat{\mathbf{U}}_i^1/\sqrt{3}, z_i^1)] \right\} - D_i^1(t)\end{aligned}\tag{28}$$

232 where the “hat” symbol refers to the slope-limited coefficients resulting from the local slope-  
233 limiting process (see Section 3.4). In addition, the special numerical treatments regarding dry  
234 cells detection, numerical fluxes and friction source terms could be summarized as follows:

- 235 • The flux evaluations across cells interfaces  $\tilde{\mathbf{F}}_{i\pm 1/2}$  are achieved based on a two-  
236 argument numerical flux function  $\tilde{\mathbf{F}}$ , associated with the HLL solver.
- 237 • A threshold of  $tolh_{dry} = 10^{-3}$  is used for dry cells detection based on internal  
238 evaluations considering four inner cell points (i.e. two Gaussian points and two  
239 interface points).
- 240 • For discretization of the friction source terms, a compound approach is deployed in  
241 which they are first calculated implicitly using a splitting method and then are  
242 explicitly discretized in Eqs. (27) and (28). This approach is aimed to avoid  
243 instabilities due to possible unphysically-reversed flow at drying zones (Murillo et al.,  
244 2009; Kesserwani and Liang, 2012).
- 245 • Ad-hoc wetting and drying condition is proposed in coherence with the current choice  
246 for the model equations and topography discretization (details in Section 3.1.1).

247 Finally, the average and slope coefficients are marched in time using a two-stage RK time  
 248 integration method with a time step restricted by the CFL condition (i.e. with a Courant  
 249 number smaller than 0.333 in respect of the analysis in Cockburn and Shu (1991) as follows:

$$(\mathbf{U}_i^{0,1})^{n+1/2} = (\mathbf{U}_i^{0,1})^n + \Delta t (\mathbf{L}_i^{0,1})^n \quad (29)$$

$$(\mathbf{U}_i^{0,1})^{n+1} = \frac{1}{2} [(\mathbf{U}_i^{0,1})^n + (\mathbf{U}_i^{0,1})^{n+1/2} + \Delta t (\mathbf{L}_i^{0,1})^{n+1/2}] \quad (30)$$

### 250 3.1.1 Ad-hoc wetting and drying condition

251 In this work, the depth-positivity preserving reconstructions in Liang and March (2009) will  
 252 be applied and simplified at the interfaces, however under the following hypotheses:

- 253 • The standard NSW equations (10)-(11) will be considered instead of the so-called pre-  
 254 balanced form.
- 255 • There is no intermediate involvement of the free-surface elevation for ensuring depth-  
 256 positivity preserving reconstructions.
- 257 • Topography continuity, i.e. at the interfaces, based on Eqs. (23)-(24), is ensured.

258 By denoting  $\mathbf{U}_{i\pm 1/2}^\pm = \mathbf{U}_h(x_{i\pm 1/2}^\pm) = [h_{i\pm 1/2}^\pm, q_{i\pm 1/2}^\pm]^\top$ ,  $z_{i\pm 1/2} = z_h(x_{i\pm 1/2}^\pm)$  to be values at the  
 259 interfaces  $x_{i+1/2}$  and  $x_{i-1/2}$ , respectively, well-balanced and positivity preserving versions  
 260 can be obtained and will be appended with the superscript “star”:

$$h_{i-1/2}^{\pm,*} = \max(0, h_{i-1/2}^\pm) \quad \text{and} \quad q_{i-1/2}^{\pm,*} = h_{i-1/2}^{\pm,*} u_{i-1/2}^\pm \quad (31)$$

$$h_{i+1/2}^{\pm,*} = \max(0, h_{i+1/2}^\pm) \quad \text{and} \quad q_{i+1/2}^{\pm,*} = h_{i+1/2}^{\pm,*} u_{i+1/2}^\pm \quad (32)$$

261 where  $u_{i-1/2}^+ = q_{i-1/2}^+/h_{i-1/2}^+$  and  $u_{i+1/2}^- = q_{i+1/2}^-/h_{i+1/2}^-$  when  $h_h|_{I_i} > tolh_{dry}$ . Further to (31)  
 262 and (32), the following (numerical) conditions for interface topography evaluations are  
 263 necessary to also ensure the well-balanced property for partially wet cases, i.e. when the flow  
 264 (from one side) is blocked by a dry obstacle (from the other side):

$$z_{i-1/2}^* = z_{i-1/2}^* - \max(0, -h_{i-1/2}^+) \quad \text{and} \quad z_{i+1/2}^* = z_{i+1/2}^* - \max(0, -h_{i+1/2}^-) \quad (33)$$

265 It may be worth noting that Eqs. (31-33) only act on potentially changing interface  
 266 evaluations for the states of the flow and/or topography variables. These potential changes  
 267 must then be used to consistently re-define “positivity-preserving coefficients”, which can be  
 268 done by reapplying Eqs. (19), (20), (23) and (24) to re-initialize the coefficients as a  
 269 subsequent step to Eqs. (31-33). This will lead to revised coefficients for use in the DG2  
 270 operators (27-28), which will be appended by a “bar” symbol:

$$\bar{\mathbf{U}}_i^0(t) = \frac{1}{2}(\mathbf{U}_{i+1/2}^{-,*} + \mathbf{U}_{i-1/2}^{+,*}) \quad (34)$$

$$\bar{\mathbf{U}}_i^1(t) = \frac{1}{2}(\mathbf{U}_{i+1/2}^{-,*} - \mathbf{U}_{i-1/2}^{+,*}) \quad (35)$$

$$\bar{z}_i^0 = \frac{1}{2}(z_{i+1/2}^* + z_{i-1/2}^*) \quad (36)$$

$$\bar{z}_i^1 = \frac{1}{2}(z_{i+1/2}^* - z_{i-1/2}^*) \quad (37)$$

271

### 272 **3.2 Dispersive terms computation**

273 To consistently discretize the dispersive terms in Eq. (12), which have higher order  
 274 derivatives, an alternative DG discretization approach (Cockburn and Shu, 1998) is used. In  
 275 contrary to the work in Duran and Marche (2015), the mass and stiffness matrices obtained  
 276 are diagonal, due to the adoption of the Legendre polynomials, hence resulting in a simpler  
 277 structure. First, the following second-order Partial Differentiable Equation (PDE) for an  
 278 arbitrary scalar valued function  $u$  is considered:

$$l - \partial_x^2 u = 0 \quad (38)$$

279 Defining an auxiliary variable  $w$ , the above equation could be rearranged as a set of two  
 280 coupled first-order PDEs:

$$\begin{aligned} w + \partial_x u &= 0 \\ l + \partial_x w &= 0 \end{aligned} \tag{39}$$

281 Then, a weak formulation is obtained by multiplying the equations by a test function  $v$ , then  
 282 integrating by parts over the control volume  $I_i$ :

$$\begin{aligned} \int_{I_i} w v dx - \int_{I_i} u \partial_x v dx + \tilde{u}_{i+1/2} v(x_{i+1/2}) - \tilde{u}_{i-1/2} v(x_{i-1/2}) &= 0 \\ \int_{I_i} l v dx - \int_{I_i} w \partial_x v dx + \tilde{w}_{i+1/2} v(x_{i+1/2}) - \tilde{w}_{i-1/2} v(x_{i-1/2}) &= 0 \end{aligned} \tag{40}$$

283 The interface fluxes  $\tilde{u}$  and  $\tilde{w}$  are computed as (Cockburn and Shu, 1998):

$$\begin{aligned} \tilde{u} &= \bar{u} - \xi \langle u \rangle \\ \tilde{w} &= \bar{w} + \sigma \langle w \rangle + \frac{\lambda}{\Delta x} \langle u \rangle \end{aligned} \tag{41}$$

284 in which the interface average  $\bar{u} = (u^+ + u^-)/2$  and jump  $\langle u \rangle = (u^+ - u^-)/2$  are defined  
 285 based on the right and left interface values  $u^+$  and  $u^-$ , respectively. The value of upwind  
 286 parameters,  $\xi$  and  $\sigma$ , and penalization parameter  $\lambda$  depends on the selected method to  
 287 compute fluxes. Different approaches are available for computing these fluxes, e.g. the  
 288 centered Bassi and Rebay (BR) approach and its stabilized version (sBR), the alternate  
 289 upwind approach also known as Local Discontinuous Galerkin (LDG) and the Interior  
 290 Penalty (IP) approach. In the present study the BR flux was avoided given its sub-optimal  
 291 convergence rates (Duran and Marche, 2015). Among the other options, which can deliver  
 292 optimal convergence rates (Kirby and Karniadakis, 2005; Eskilsson and Sherwin, 2006;

293 Steinmoeller et al., 2012, 2016), the LDG flux is chosen in this work and can be obtained by  
 294 setting  $\xi = \sigma = 1$  and  $\lambda \neq 0$  (Cockburn and Shu, 1998).

295 In the same manner as the RKDG method, all variables in Eqs. (39) have local  
 296 expansions. Setting the test functions equal to basis function  $\phi$  and replacing the approximate  
 297 solutions of variables, the global formulations of Eqs. (39) are obtained in matrix form as  
 298 follows:

$$\mathbb{M}\mathbf{W} = \mathbb{S}\mathbf{U} - (\mathbb{E} - \xi\mathbb{F})\mathbf{U} \quad (42)$$

$$\mathbb{M}\mathbf{L} = \mathbb{S}\mathbf{W} - (\mathbb{E} + \nu\mathbb{F})\mathbf{W} - \frac{\lambda}{h}\mathbb{F}\mathbf{U}$$

299 where  $\mathbf{W}$ ,  $\mathbf{U}$ , and  $\mathbf{L}$  are vectors of expansion coefficients of  $w$ ,  $u$  and  $l$ , respectively.  $\mathbb{M}$  and  $\mathbb{S}$   
 300 are the mass and stiffness matrices which have a block diagonal structure:

$$\mathbb{M} = \begin{bmatrix} \mathbf{M}_1 & & \\ & \ddots & \\ & & \mathbf{M}_N \end{bmatrix}, \quad \mathbb{S} = \begin{bmatrix} \mathbf{S}_1 & & \\ & \ddots & \\ & & \mathbf{S}_N \end{bmatrix} \quad (43)$$

301 where each block is of the form:

$$M_{jk}^i = \int_{I_i} \phi_j^i \phi_k^i dx, \quad S_{jk}^i = \int_{I_i} \phi_j^i \frac{d}{dx} \phi_k^i dx \quad (44)$$

302 Because of adopting the Legendre polynomials as basis functions, the mass and stiffness  
 303 matrices are diagonal, resulting in a simpler structure especially when the order of the method  
 304 increases. Matrices  $\mathbb{E}$  and  $\mathbb{F}$  which account for the interface fluxes, have the following block  
 305 tri-diagonal structure:



311 solved by block forward and back substitution and since they were diagonally dominant, no  
 312 pivoting was required.

### 313 **3.3 Fourth-order bed projection for the dispersive terms**

314 Another consideration regarding the discretization of the dispersive terms is how to handle  
 315 the associated local bed projection. In contrast to the convective part where the bed projection  
 316 is linear, the dispersive source terms entail third-order derivatives for the topography, which  
 317 hence means that a fourth-order Discontinuous Galerkin (DG4) approximation is needed  
 318 ( $k = 3$ ) to accountably achieve this operation. Such a local expansion for the topography has  
 319 the following form:

$$z_h(x)|_{I_i} = \sum_{l=0}^k z_i^l \phi_l^i(x) = z_i^0 \phi_0(X) + z_i^1 \phi_1(X) + z_i^2 \phi_2(X) + z_i^3 \phi_3(X) \quad (50)$$

320 in which  $X = \frac{x-x_i}{\Delta x/2}$ , and  $\phi_l(X)$  are the  $L^2$ -orthogonal Legendre polynomials, as previously  
 321 introduced in Eq. (17). These polynomials are written as:

$$\phi_0(X) = 1, \quad \phi_1(X) = X, \quad \phi_2(X) = \frac{1}{2}(3X^2 - 1), \quad \phi_3(X) = \frac{1}{2}(5X^3 - 3X) \quad (51)$$

322 The derivatives of the topography can be obtained by differentiating Eq. (50) with respect to  
 323  $x$ , i.e.

$$\partial_x [z_h(x)|_{I_i}] = z_i^0 \partial_x [\phi_0(X)] + z_i^1 \partial_x [\phi_1(X)] + z_i^2 \partial_x [\phi_2(X)] + z_i^3 \partial_x [\phi_3(X)] \quad (52)$$

324 Inserting the derivatives of polynomials into Eq. (52) results in,

$$\partial_x [z_h(x)|_{I_i}] = \frac{2}{\Delta x} z_i^1 + \frac{6X}{\Delta x} z_i^2 + \left( \frac{15X^2}{\Delta x} - \frac{3}{\Delta x} \right) z_i^3 \quad (53)$$

325 Recursive differentiating of Eq. (53) would result in higher derivatives as follows,

$$\partial_x^2 [z_h(x)|_{I_i}] = \frac{12}{\Delta x^2} z_i^2 + \frac{60X}{\Delta x^2} z_i^3 \quad (54)$$

$$\partial_x^3 [z_h(x)|_{I_i}] = \frac{120}{\Delta x^3} z_i^3 \quad (55)$$

326 In center of the cells, X equals to zero, therefore,

$$\partial_x [z_h|_{I_i}] = \frac{2}{\Delta x} z_i^1 - \frac{3}{\Delta x} z_i^3 \quad (56)$$

$$\partial_x^2 [z_h|_{I_i}] = \frac{12}{\Delta x^2} z_i^2 \quad (57)$$

$$\partial_x^3 [z_h|_{I_i}] = \frac{120}{\Delta x^3} z_i^3 \quad (58)$$

327 The degrees of freedom for the topography  $(z_i^3)_{l=0,1,2,3}$  are calculated as the projection of  
 328  $z_h(x)$  onto the space of approximating polynomials:

$$z_i^l = \frac{2l+1}{\Delta x} \int_{I_i} z_h(x) \phi_l \left( \frac{x-x_i}{\Delta x/2} \right) dx \quad (59)$$

329 The integral terms are evaluated by Gaussian quadrature rule and result in the followings:

$$z_i^0 = \frac{1}{2} [z(x_{i+1/2}) + z(x_{i-1/2})] \quad (60)$$

$$z_i^1 = \frac{\sqrt{3}}{2} \left[ z \left( x_i + \Delta x \frac{\sqrt{3}}{6} \right) - z \left( x_i - \Delta x \frac{\sqrt{3}}{6} \right) \right] \quad (61)$$

$$z_i^2 = \frac{5}{9} \left[ z \left( x_i + \Delta x \frac{\sqrt{15}}{10} \right) - 2z(x_i) + z \left( x_i - \Delta x \frac{\sqrt{15}}{10} \right) \right] \quad (62)$$

$$z_i^3 = 7 \{ \mu \delta (20\delta^2 - 3) [z(x_i + \Delta x \delta) - z(x_i - \Delta x \delta)] \\ + \mu' \delta' (20\delta'^2 - 3) [z(x_i + \Delta x \delta') - z(x_i - \Delta x \delta')] \} \quad (63)$$

330 where  $\delta = 1/2\sqrt{(15 + 2\sqrt{30})/35}$ ,  $\delta' = 1/2\sqrt{(15 - 2\sqrt{30})/35}$ ,  $\mu = 1/4 - \sqrt{30}/72$  and  
331  $\mu' = 1/4 + \sqrt{30}/72$ . It should be noted that quadrature weights and coefficients in Eqs. (60-  
332 63) are specific to a fourth order approximation. In practice, topographic data are often  
333 provided as a set of discrete values and are generally difficult to be defined as a mathematical  
334 expression. Therefore, proper interpolation techniques are required which is not a  
335 straightforward issue (Kesserwani and Liang, 2011). In the present study, a simplified and  
336 practical consideration is used for determining  $z_i^l$  without involving direct calculation of the  
337 topographic values at the local points. Within a computational cell  $I_i = [x_{i-1/2}; x_{i+1/2}]$ ,  
338 assuming that the discrete topographic data are available at its lower and upper limits, i.e.  
339  $z(x_{i-1/2})$  and  $z(x_{i+1/2})$ , the topography is defined linearly by  $z(x_{i-1/2})$  and  $z(x_{i+1/2})$  in  
340 cell  $I_i$  and the intermediate topographic data at  $z(x_i \pm \Delta x \frac{\sqrt{3}}{6})$  and  $z(x_i \pm \Delta x \frac{\sqrt{15}}{10})$  may then  
341 be obtained by linear interpolation. As a result the topography-associated degrees of freedom  
342 are written as:

$$z_i^0 = \frac{1}{2} [z(x_{i+1/2}) + z(x_{i-1/2})] \quad (64)$$

$$z_i^1 = \frac{1}{2} [z(x_{i+1/2}) - z(x_{i-1/2})] \quad (65)$$

$$z_i^2 = \frac{\sqrt{15}}{9} [z(x_{i+1/2}) - 2z_i^0 + z(x_{i-1/2})] \quad (66)$$

$$z_i^3 = 7\{\mu\delta(20\delta^2 - 3)[2\delta z(x_{i+1/2}) - 2\delta z(x_{i-1/2})] \\ + \mu'\delta'(20\delta'^2 - 3)[2\delta' z(x_{i+1/2}) - 2\delta' z(x_{i-1/2})]\} \quad (67)$$

### 343 **3.4 Localized handling of wave breaking**

344 To account for wave breaking, an approach for switching from the GN equations to the NSW  
345 equations is implemented and locally activated (i.e. to switch off dispersive source terms)

346 when the wave is about to break. In this work, wave breaking detection has been achieved by  
 347 a numerical criterion (instead of deploying sophisticated physical parameters, as discussed in  
 348 Section 1). This criterion is specific to the DG method's superconvergence behavior, which is  
 349 also used for shock detection in order to restrict the operation of the slope limiter  
 350 (Krivodonova et al., 2004). In summary, regions of potential instability where switching  
 351 should occur are here identified according to the following sensor:

$$\mathbf{DS}_{i+1/2}^- > \mathbf{1.0} \quad \text{or} \quad \mathbf{DS}_{i-1/2}^+ > \mathbf{1.0} \quad (68)$$

352 where  $\mathbf{DS}_{i+1/2}^-$  and  $\mathbf{DS}_{i-1/2}^+$  are the discontinuity detectors at the two cell edges ( $x_{i+1/2}$  and  
 353  $x_{i-1/2}$ ) within cell  $I_i$  (Kesserwani and Liang, 2012). The expression for  $\mathbf{DS}_{i+1/2}^-$  is given by

$$\mathbf{DS}_{i+1/2}^- = \frac{|\mathbf{U}_{i+1/2}^+ - \mathbf{U}_{i+1/2}^-|}{\left|\frac{\Delta x}{2}\right| \max(|\mathbf{U}_i^0 - \mathbf{U}_i^1/\sqrt{3}|, |\mathbf{U}_i^0 + \mathbf{U}_i^1/\sqrt{3}|)} \quad (69)$$

354 and  $\mathbf{DS}_{i-1/2}^+$  is defined by analogy. It is worth nothing that once (68) switches the RKDG2  
 355 model to solving the NSW equations, it has been found necessary not to let the model return  
 356 to the GN equations or otherwise the model may experience instabilities in the vicinity of the  
 357 breaking point. It is also useful to stress out that another version of the sensor in Eq. (68) has  
 358 been used for the detection of local cells that are in need for slope limiting, based however on  
 359 a higher threshold value of 10.

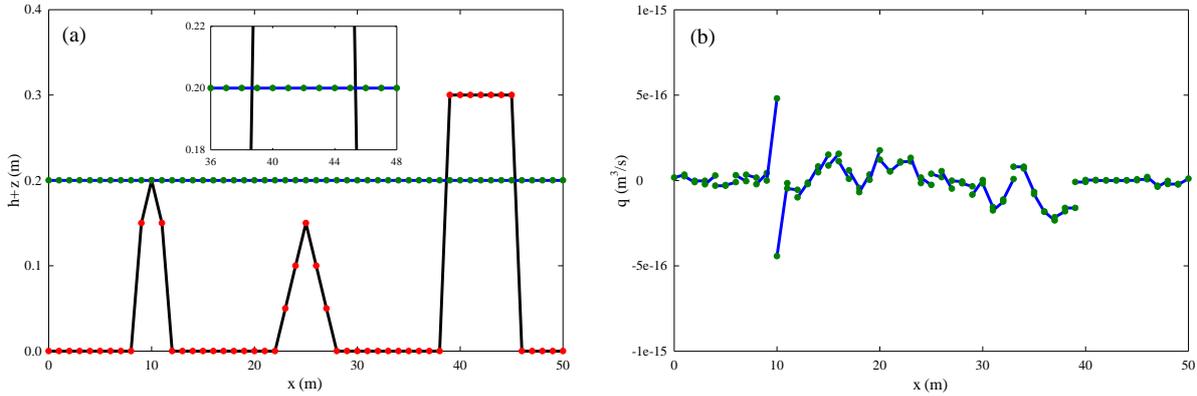
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#### 361 **4- Model verification and validation**

362 This part will demonstrate the performance of the proposed RKDG2-GN model in predicting  
 363 wave propagation and transformation through comparisons with analytical and experimental  
 364 data. The inlet and outlet boundary conditions will depend on the test as detailed in the

365 following. For quantitative analysis, errors and orders of accuracy are calculated based on the  
 366  $L^2$ -norms per number of cells  $N$ , i.e. as follows:

$$Error = \frac{1}{N} \frac{\|U_{exact} - U_{numerical}\|_2}{\|U_{exact}\|_2} \quad (70)$$



367

368 **Fig. 2. Motionless flow over different patterns for the topography and wetting and drying. Computed full**  
 369 **RKDG2 solution (blue lines) of: (a) free surface elevation, (b) the flow rate. Also included the interface**  
 370 **points of the RKDG2 solutions (green dots), the continuous DG2 projection of the topography (black**  
 371 **lines) and its interface evaluations (red dots)**

372

#### 373 4.1 Quiescent flow over an irregular bed

374 This test has been aimed and designed to validate the well-balanced, or conservative property  
 375 of the proposed model over a domain that simultaneously involves various topography shapes  
 376 ranging from smooth hump-like to sharp building-like geometries, and also considering wet  
 377 and dry zones. The topography shapes are defined in Eq. (71) below.

$$z(x) = \begin{cases} 0.2 - 0.05(x - 10)^2 & 8 < x \leq 12 \\ 0.05x - 1.1 & 22 < x \leq 25 \\ -0.05x + 1.4 & 25 < x \leq 28 \\ 0.3 & 39 < x \leq 46 \\ 0 & elsewhere \end{cases} \quad (71)$$

378 The still initial conditions are given by:

$$hu = 0, \quad h + z = 0.2 \quad (72)$$

379 Eq. (71) enables to distinguish three important scenarios for assessing the conservation  
380 property with wetting and/or drying, i.e. at a drying point at  $x = 10$  m, for a wet case over a  
381 sharp topography gradient at  $x = 25$  m and when the wet-dry front results from an intersection  
382 with a dry building at  $x = 39$  and  $46$  m (see Figure 2a). The computational domain, of length  
383  $50$  m, is divided into  $50$  cells and the model is run up to  $100$  seconds. Figure 2 reveals the  
384 behavior of the full RKDG2-GN (linear) solutions, showing clearly still steady state of the  
385 free surface elevation (i.e. Figure 2a) and slightly perturbed local solutions for the flow rate  
386 (i.e. Figure 2b) that, although illustrative of the discontinuous character, remain within  
387 machine precision error ( $1 \times 10^{-16}$ ). These results hence indicate that the proposed numerical  
388 model verify the well-balanced property, which should hold irrespective of the mesh size. In  
389 particular, looking at the zoom in portion in Figure 2a, the proposed scheme remains stable  
390 for the well-balanced property when the local linear solution cut through the dry step-like  
391 obstacle, which is likely to yield practical conveniences (e.g. negating the need for expanding  
392 significant amount of time for treating the presence of building within the mesh). Notable  
393 also, the magnitude of dispersive terms has been observed to be in the range of machine  
394 precision, indicating that the proposed RKDG2-GN model will not predict any spurious flows  
395 when handling potentially realistic flow scenarios involving highly irregular topography  
396 shapes and wetting and/or drying.

397

#### 398 **4.2 Oscillatory flow in a parabolic bowl**

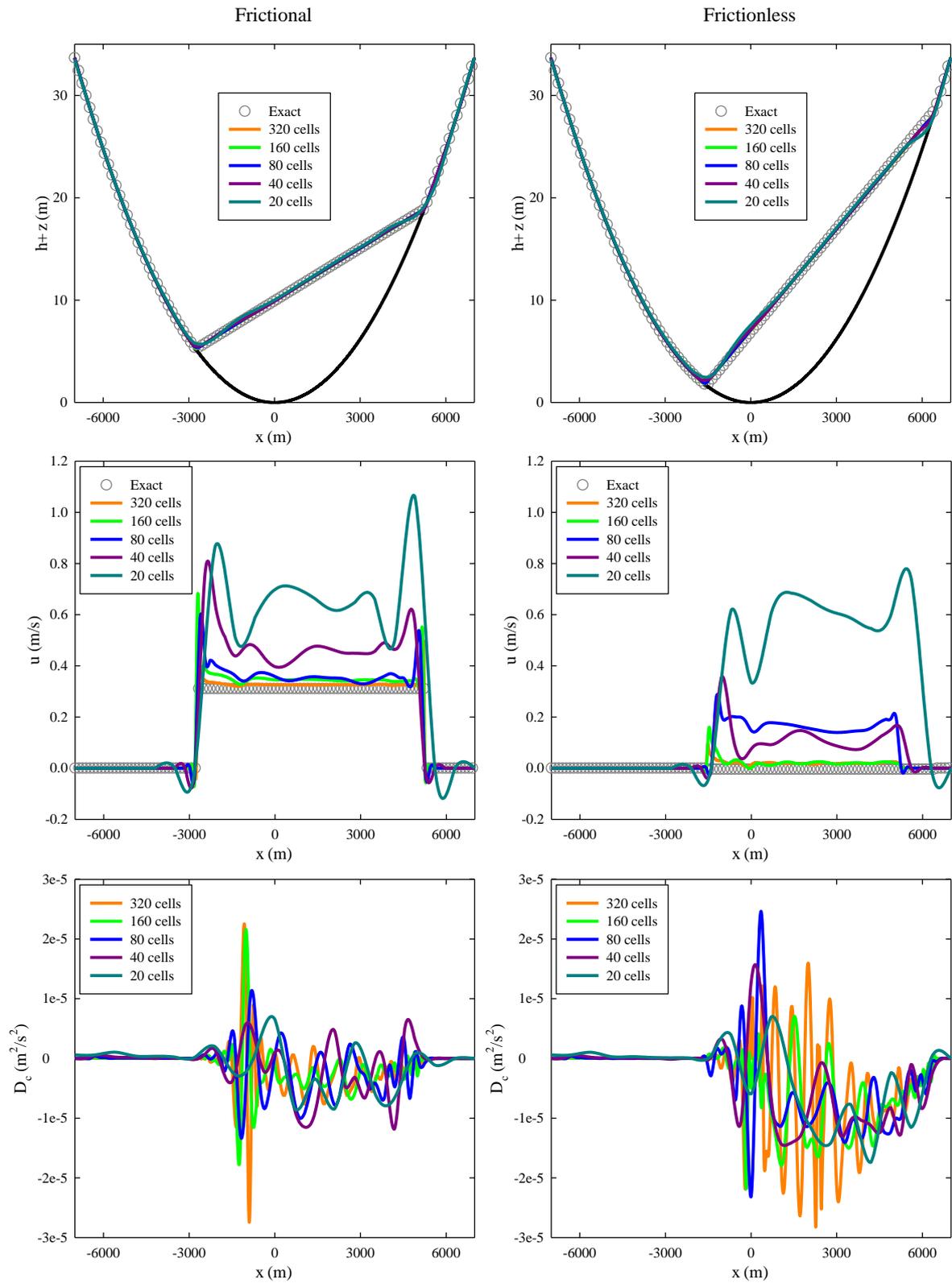
399 This test is mainly featured by moving wet-dry interfaces over an uneven topography and is  
400 known to be challenging for NSW-based numerical models. It is here considered to assess  
401 many properties of the proposed GN model. It consists of an oscillatory flow taking place

402 inside a convex parabolic topography. The bed topography is described by  $z(x) = h_0(x/a)^2$   
 403 with constants  $h_0$  and  $a$ . By assuming a friction source term proportional to the velocity, i.e.  
 404  $S_f = -\tau hu$  ( $\tau$  is a constant friction factor), the analytical solution would be (Sampson,  
 405 2009):

$$\eta(x, t) = h_0 + \frac{a^2 B^2 e^{-\tau t}}{8g^2 h_0} \left( -s\tau \sin 2st + \left( \frac{\tau^2}{4} - s^2 \right) \cos 2st \right) - \frac{B^2 e^{-\tau t}}{4g} - \frac{e^{-\tau t/2}}{g} \left( Bs \cos st + \frac{\tau B}{2} \sin st \right) x \quad (73)$$

$$u(x, t) = B e^{-\tau t/2} \sin st$$

406  
 407 where  $B$  is a constant and  $s = \sqrt{8gh_0 - \tau^2 a^2}/2a$ . The computational domain is considered  
 408 to have a length  $L = 14,000$  m, i.e.  $[-7000$  m;  $7000$  m], and the problem constants are selected  
 409 to be:  $h_0 = 11$  m,  $a = 4000$  m and  $B = 9$  m/s. According to the value of  $\tau$ , a frictionless  
 410 and a frictional sub-case can be considered. When  $\tau = 0$ , the frictionless sub-case is obtained  
 411 in which the flow is expected to oscillate indefinitely with a period of  $T = 1711$  s; whereas  
 412 when  $\tau > 0$ , here equal to  $0.0015 \text{ s}^{-1}$ , friction effects will be activated inducing a frictional  
 413 flow that will be expected to decay with time until reaching a steady state.



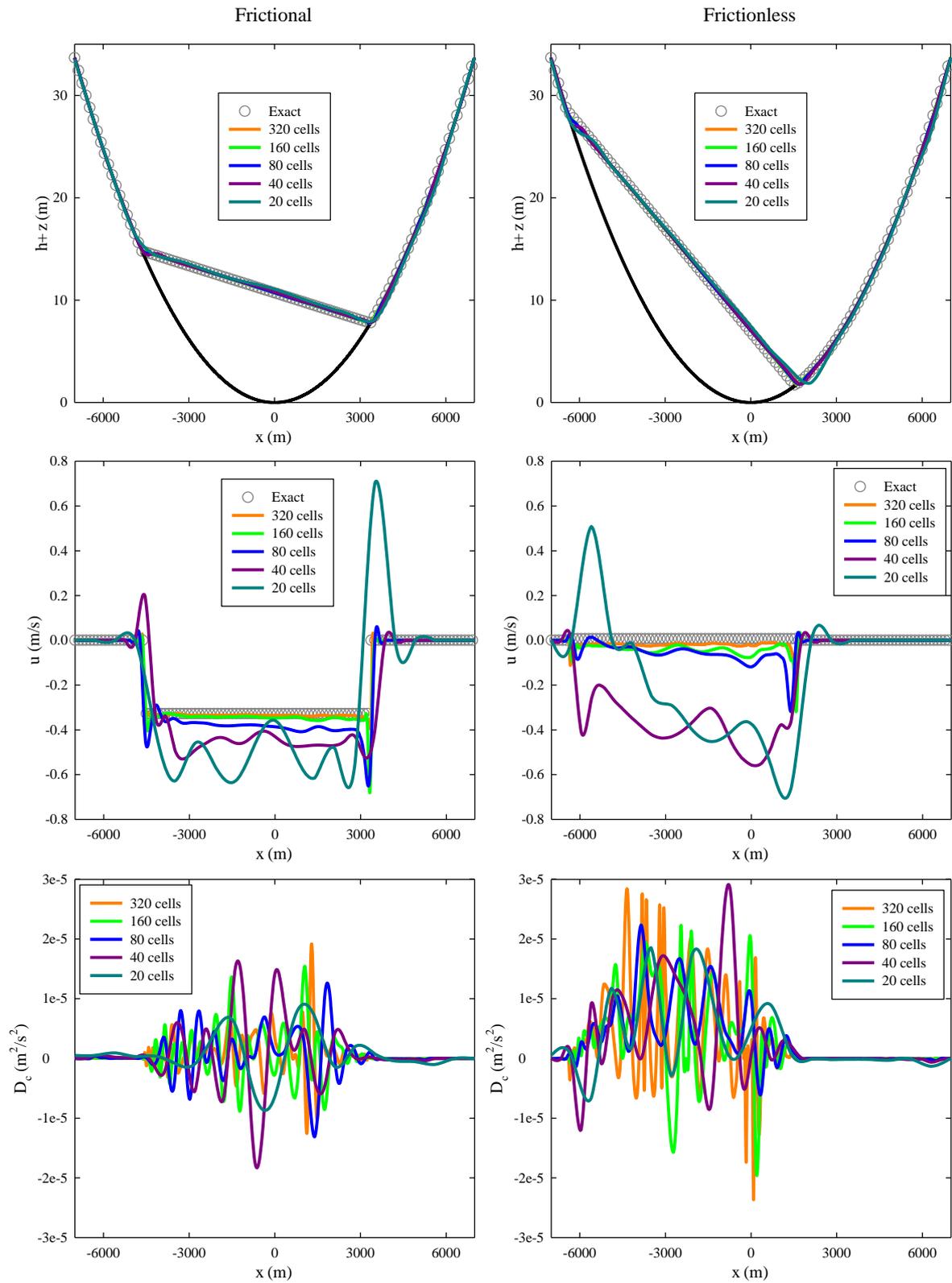
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415 **Fig. 3. Oscillatory flow in a parabolic bowl, numerical vs. analytical solutions at  $t = T / 2$ . From top: free**

416

**surface elevation, velocity and magnitude of dispersive terms**

417



418

419

**Fig. 4. Oscillatory flow in a parabolic bowl, numerical vs. analytical solutions at  $t = T$ . From top: free**

420

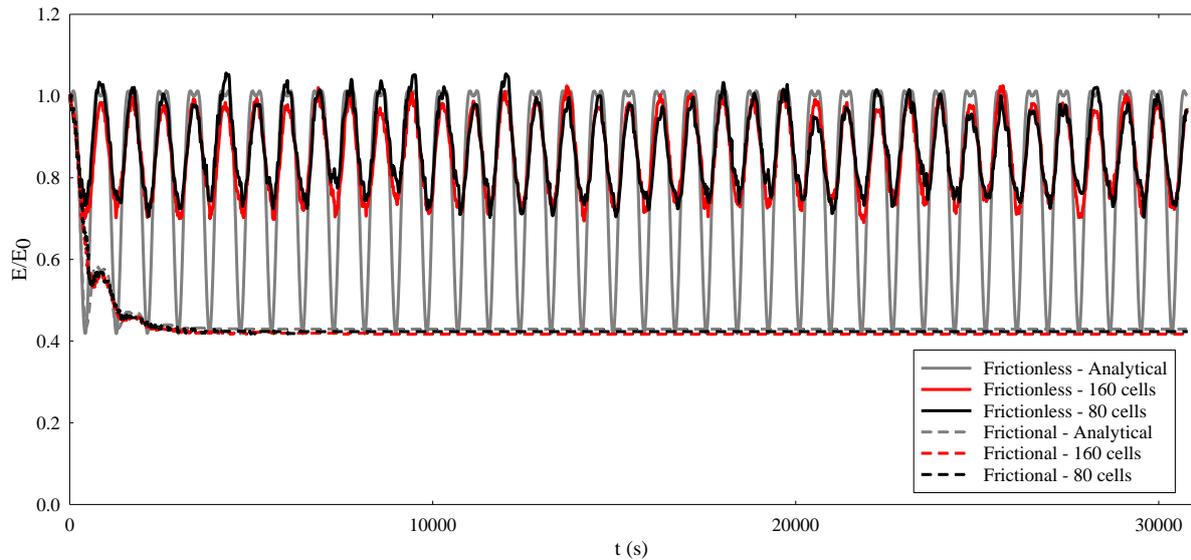
**surface elevation, velocity and magnitude of dispersive terms**

421            Figures 3 and 4 compare simulated results obtained on different meshes (i.e. involving  
 422    20, 40, 80, 160 and 320 computational cells) with the analytical solutions for both frictional  
 423    and frictionless sub-cases at  $t = T/2$  and  $t = T$ , respectively. In terms of predictability of  
 424    the free surface elevation (Figures 3 and 4 – upper part), the simulations involving more than  
 425    40 cells are seen to agree very well with analytical solution. However the velocity predictions  
 426    (Figures 3 and 4 – middle part) seems to be more illustrative about the impact of the mesh  
 427    size on the simulations, clearly indicating that more cells would be needed (i.e.  $\geq 80$  cells for  
 428    the frictional case and  $\geq 160$  cells for the frictionless case) in order to fairly capture the trail  
 429    of the vanishing velocity due to the moving wet-dry front. As to the spikes occurring in the  
 430    vicinity of the wet-dry fronts, they are commonly observed discrepancies for such a test and  
 431    would be expected to slightly reduce with mesh refinement (e.g. Kesserwani and Wang,  
 432    2014). Figures 3 and 4 (lower part) include a view of the dispersive terms, which have a  
 433    negligible magnitude, as expected for this kind of shallow flow, and a bounded variation  
 434    (even after a longer time evolution, i.e. until  $t = 18T$  in our case). These results, supported  
 435    also with the results in Section 4.1, indicate that the nonlinear and dispersive terms associated  
 436    with extra source term,  $\mathbf{D}$ , does not interfere with the stability of the proposed GN numerical  
 437    solver when faced with dynamic wetting and drying processes over rough topographies.

438            To investigate the conservation property of the present model, the time evolution of  
 439    the domain-integrated total energy was computed over  $18T$ , which writes:

$$E(t) = \int_{-L/2}^{+L/2} \left( \frac{1}{2} hu^2 + \frac{1}{2} g\eta^2 \right) dx \quad (74)$$

440    Following the work in Steinmoeller et al. (2012), this quantity is normalized by its initial  
 441    value  $E_0$  and then recorded over time for two of the meshes (i.e. with 80 and 160 cells)  
 442    considering both frictional and frictionless cases. The normalized total energy histories are  
 443    plotted in Figure 5 with the histories produced by the use of the exact solution (Eq. 73).



444  
 445 **Fig. 5. Oscillatory flow in a parabolic bowl; domain-integrated total energy time histories after a long**  
 446 **time simulation (i.e.  $t = 18T$ ).**

447 In both sub-cases, the normalized energy variation seems to be consistent despite the mesh  
 448 size. For the frictional sub-case, the observed drop of energy level after some time is  
 449 expected as the kinetic energy is proportional to the friction factor; however, after this drop,  
 450 the remaining energy line remains constant, suggesting that there is no notable diffusivity in  
 451 the proposed numerical scheme. As for the frictionless sub-case, the energy line appears to  
 452 remain constant albeit with an oscillatory pattern, which is likely to be related to vanishing  
 453 velocity as a result of the constant wetting and drying as can be noted from the exact profile.  
 454 For the latter sub-case, the numerical model does not seem to be able to catch up with the  
 455 analytical energy line at those instants where velocity vanishes after drying (i.e. when the  
 456 kinetic energy instantaneously drops to zero). However, as can be seen in the frictional sub-  
 457 case, such an impact from the vanishing velocity after drying reduces as the velocity  
 458 magnitude drops. Despite this discrepancy, the evolution of the total energy line, in both  
 459 cases, shows no signal of a drop throughout the simulation, reinforcing that the presented  
 460 RKDG2-GN model is conservative.

461 Finally, an accuracy-order analysis (Table 1) is provided based on the errors  
462 generated from the results of the frictional sub-case at  $t = T$ . The numerical orders in the  
463 table show that the model is able to deliver second-order convergence rates, achieving on  
464 average orders of 2.2 and 2.3 for the depth and discharge variables, resp. These results further  
465 imply that the accuracy of the proposed RKDG2-GN model will be preserved even while  
466 coping with nearshore water simulations.

467 **Table 1: Errors and orders of accuracy for parabolic bowl flow (frictional)**

No. of elements	h		q	
	L <sup>2</sup> -error	L <sup>2</sup> -order	L <sup>2</sup> -error	L <sup>2</sup> -order
20	7.95E-04	--	3.23E-02	--
40	1.88E-04	2.08	9.97E-03	1.72
80	3.73E-05	2.33	2.25E-03	2.14
160	7.80E-06	2.25	3.41E-04	2.72
320	1.25E-06	2.64	6.93E-05	2.30

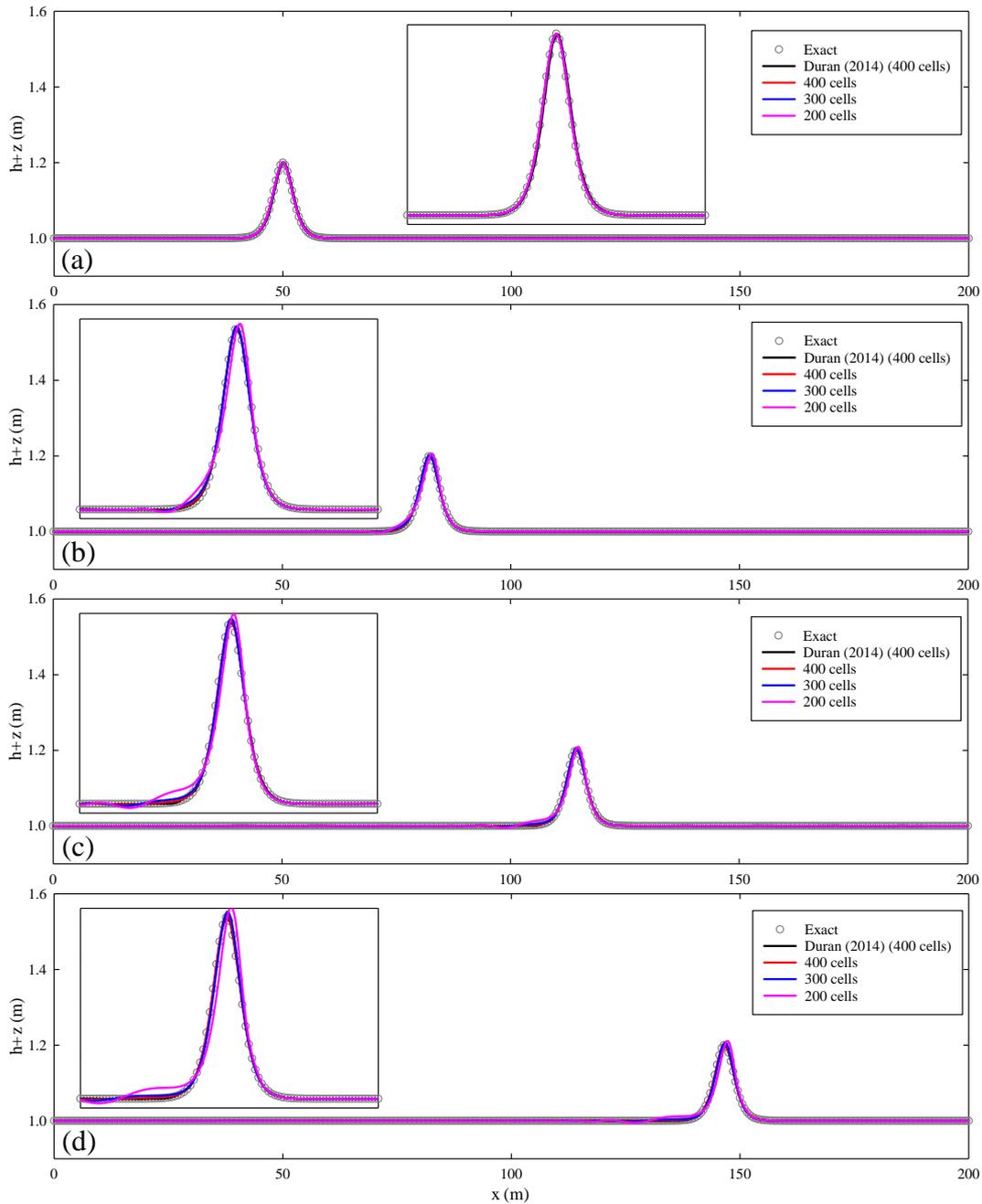
### 468 4.3 Propagation of a solitary wave

469 For accuracy assessment of dispersive wave behavior, a solitary wave propagating with a  
470 celerity  $c$  in the still water of depth  $h_0$  is considered. The exact solution of the solitary wave  
471 that is similar in shape to solitons predicted by Korteweg-de Vries (KdV) equations  
472 (Steinmoeller et al., 2012), which is given by:

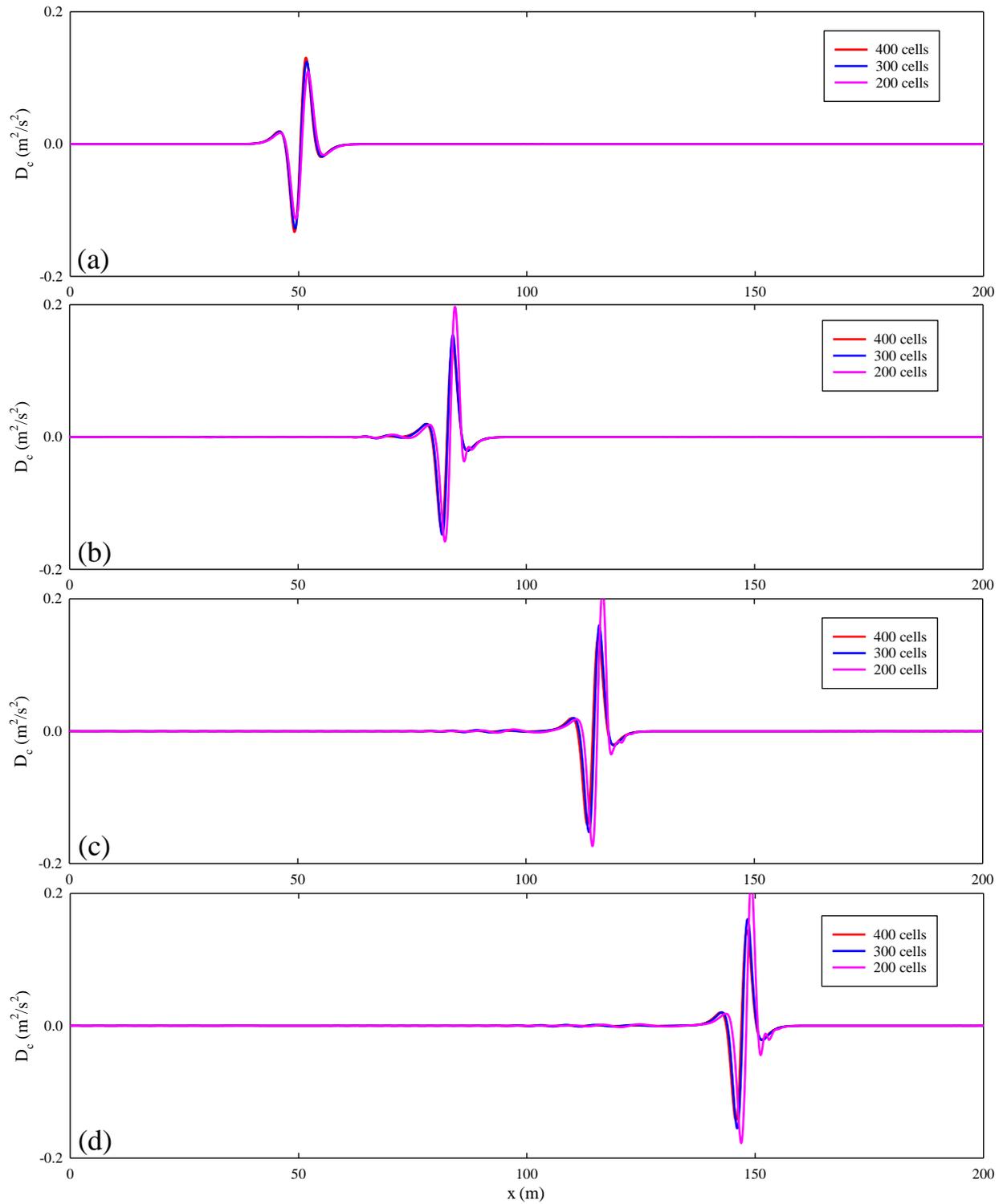
$$\begin{aligned}
h(x, t) &= h_0 + a \operatorname{sech}^2 \left( \frac{\sqrt{3a}}{2h_0\sqrt{h_0+a}} (x - ct) \right) \\
u(x, t) &= c \left( 1 - \frac{h_0}{h(x, t)} \right)
\end{aligned}
\tag{75}$$

473 where  $c = \sqrt{g(h_0 + a)}$  is the wave celerity. The first case demonstrates the propagation of a  
474 highly nonlinear solitary wave in a 200 m long channel with a reference water depth of  $h_0 =$

475 1 m, and an amplitude of  $a = 0.2$  m, initially centered at  $x_0 = 50$  m. Figure 6 compares the  
 476 predicted wave profiles at different instants with the exact solution, the results in Duran  
 477 (2014) on a mesh with 400 cells and our results on meshes with 400, 300 and 200 cells.



478  
 479 **Fig. 6. Comparison of solitary wave profiles at (a)  $t = 0$ , (b)  $t = 9.4$ , (c)  $t = 18.75$  (d)  $t = 28.15$  seconds, for**  
 480 **exact analytical solution, numerical results of Duran (2014) using 400 cells, and the present model using**  
 481 **400, 300 and 200 cells.**



482

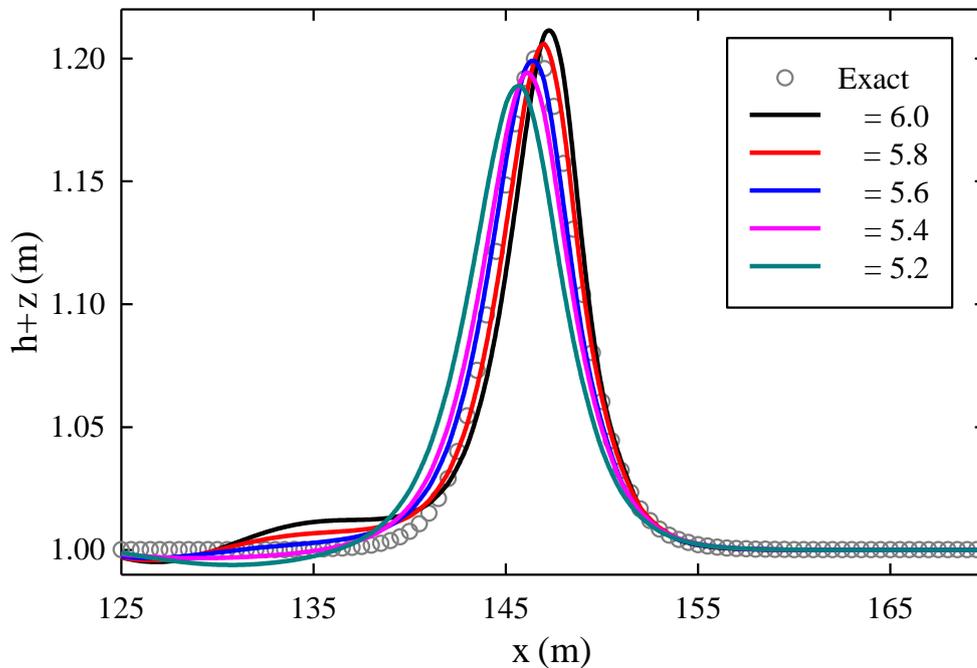
483 **Fig. 7. Comparison of magnitudes of the dispersive source terms for the solitary wave at (a)  $t = 0$ , (b)  $t =$**

484

**9.4, (c)  $t = 18.75$  (d)  $t = 28.15$  seconds**

485

486 Zoom-in portions of the wave are also included for allowing close qualitative comparisons.  
 487 On the finest mesh of 400 cells, the proposed RKDG2-GN predictions are seen to be  
 488 comparable with the predictions made in Duran (2014) using an RKDG3-GN approach on the  
 489 same mesh, both agreeing well with the exact solution at all the output times. On the medium  
 490 mesh of 300 cells, the RKDG2-GN predictions preserve a good agreement with results on  
 491 finer meshes and the exact solution, which implies that the proposed RKDG2-GN can deliver  
 492 the level of fidelity required despite being less costly and complex.



493  
 494 **Fig. 8. Comparison of solitary wave profiles with 200 cells using respective penalization parameters ( $\lambda$ ) at**  
 495  **$t = 28.15$  s**

496  
 497 As to the RKDG2-GN results on the coarsest mesh of 200 cells, our results can be  
 498 said to be acceptable in terms of not being dissipative for the wave prediction, though it  
 499 underperforms at the trailing wave (e.g. at  $t = 28.15$  s). There, a larger amplitude is predicted  
 500 when the coarse grid is used, which is not observed for the results on the finer meshes. Figure  
 501 7 further provides a view on the evolution of the dispersive terms, which shows

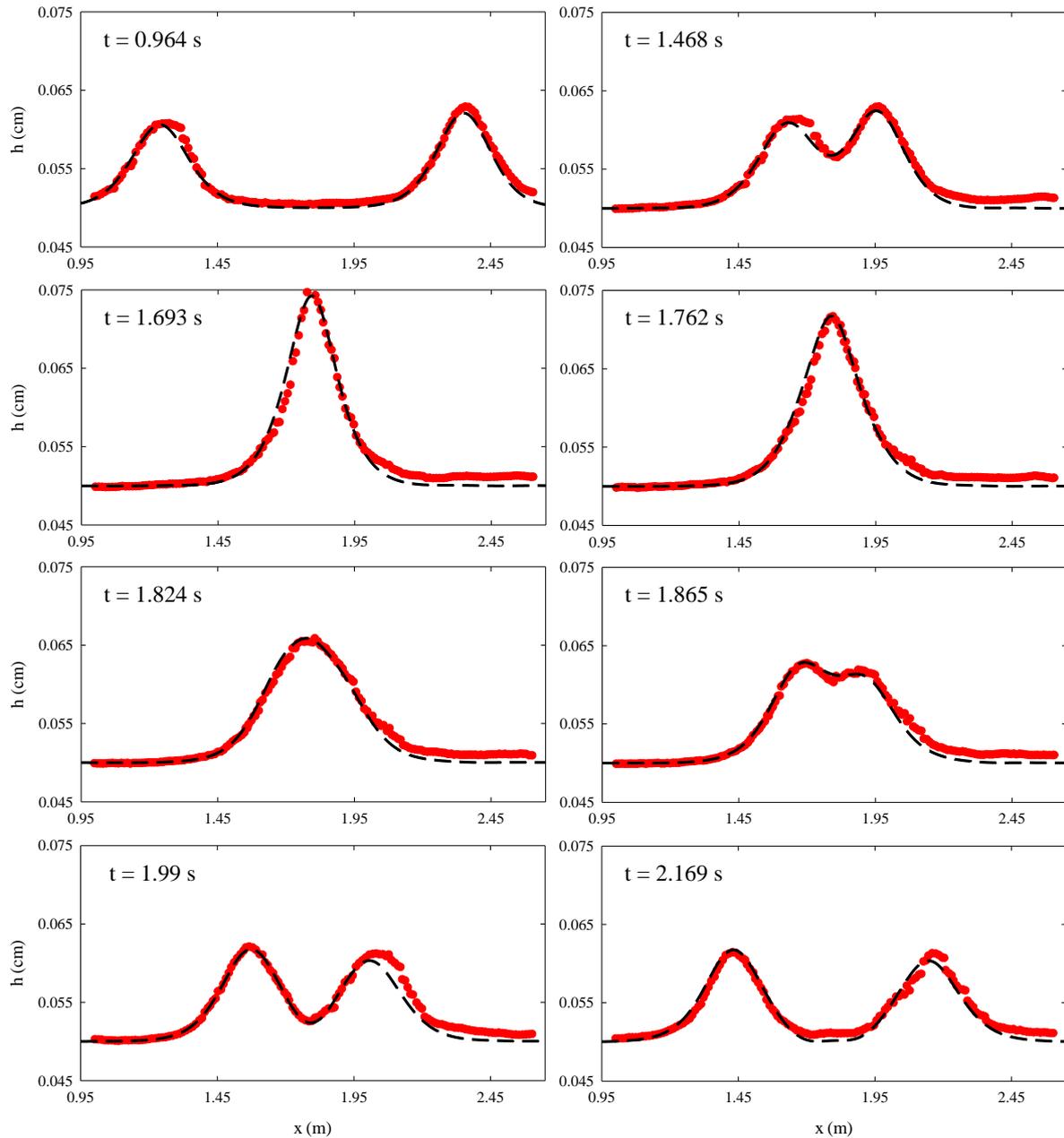
502 inconsistently larger amplitude predictions on the coarsest mesh considered. However, these  
503 larger amplitudes seem to vanish by altering the penalization parameter of the LDG fluxes,  
504 e.g. when the  $\lambda$  parameter is equal to 5.6 as reveals Figure 8. This means that a user is likely  
505 to have the option to retain a fairly coarse mesh for this type of simulations, but may have to  
506 cope with more sensitive tuning for the parameters involved in the dispersive term solver.

507 **Table 2: Errors and orders of accuracy for depth and discharge for solitary wave propagation**

No. of elements	h		q	
	L <sup>2</sup> -error	L <sup>2</sup> -order	L <sup>2</sup> -error	L <sup>2</sup> -order
20	1.21E-03	--	8.83E-02	--
40	1.86E-04	2.70	6.60E-03	3.74
80	4.08E-05	2.19	1.54E-03	2.10
160	7.24E-06	2.50	2.63E-04	2.55
320	7.34E-07	3.30	2.69E-05	3.29
640	1.76E-07	2.05	6.80E-06	1.99

508

509 For a quantitative analysis, orders of accuracy (listed in Table 2) for free surface and  
510 discharge are computed based on errors associated with simulations on meshes with 20 to 640  
511 cells. On average, an order of 2.54 and 2.73 for the depth and discharge were achieved by the  
512 proposed RKDG2-GN solver, which are in the range of the orders achieved by other GN  
513 models based on a second-order formulation (e.g. Panda et al., 2014; Li et al., 2014). It may  
514 be useful to report that the contribution of the dispersive effects, which was noted significant  
515 for this test (i.e. ranging between  $|D_c| < 0.2$ , see Figure 7), could be responsible for the  
516 slightly higher average (numerical) orders acquired here (as also observed in the investigation  
517 in Duran (2014)).

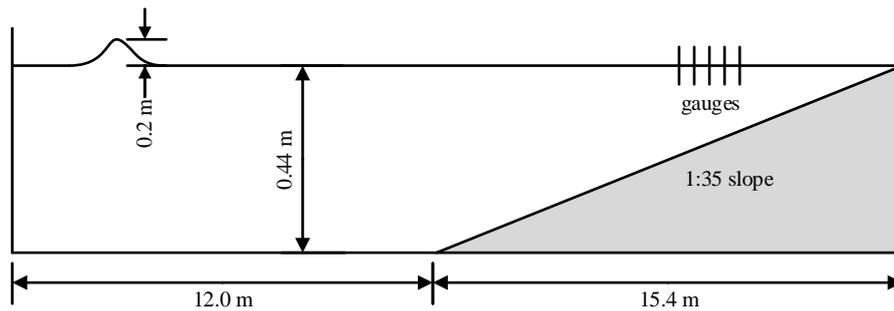


518

519 **Fig. 9. Free surface profiles of head-on collision of two solitary waves, between numerical (dashed line)**  
 520 **and experimental data of Craig et al. (2006) (dots).**

521 In order to perform further analysis on nonlinear and dispersive effects, the head-on  
 522 collision of two solitary waves propagating in opposite directions has also been investigated.  
 523 The experimental data of this case is based on Craig et al. (2006), which consists of a 3.6 m  
 524 long flume for with still water depth of  $h_0 = 5$  cm. The two waves are initially located at  $x =$   
 525 0.5 m and  $x = 3.1$  m with the amplitudes equal to  $a_1 = 1.063$  cm and  $a_2 = 1.217$  cm,

526 respectively. The simulations are conducted using  $N = 360$  elements. Figure 9 shows the free  
 527 surface profiles at different times, which shows a good agreement between numerical and  
 528 experimental results. The maximum height occurs at  $t = 1.693$  s. As it can be seen, the wave  
 529 amplitude during the collision is larger than the sum of the amplitudes of the two incident  
 530 waves, and even though after the collision a slight phase lag is observed, the waves  
 531 eventually return to their initial shapes.



532

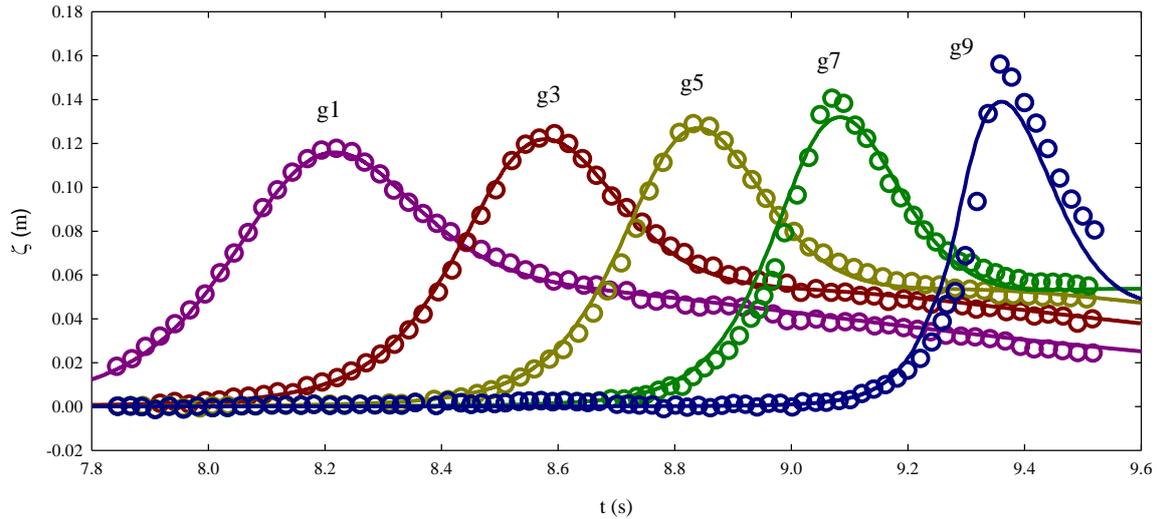
533 **Fig. 10. Experimental setup of Grilli et al. (1994)**

534 **4.4 Shoaling of a solitary wave**

535 This test case concerns the nonlinear shoaling of a solitary wave over sloped beaches. The  
 536 performance of the numerical model is tested with the experimental data of Grilli et al.  
 537 (1994). The setup consists of a solitary wave of relative amplitude  $a/h_0 = 0.2$  propagating in  
 538 a 27.4 m long flume with constant water depth of  $h_0 = 0.44$  m approaching a mild sloped  
 539 beach (1:35) (Figure 10). The free surface elevation was measured by several wave gauges  
 540 with locations given in Table 3. The computational grid had a number of 685 cells ( $\Delta x =$   
 541 4 cm), and the simulation was run for 10 s.

542 **Table 3: Location of the wave gauges in solitary wave shoaling test case**

Gauge	g1	g3	g5	g7	g9
Location (m)	21.22	21.92	22.42	22.85	23.84

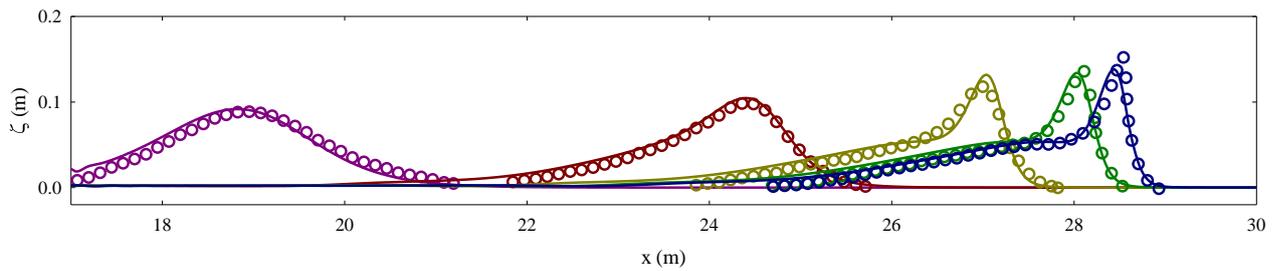


543

544

**Fig. 11. Comparison of free surface elevations as a function of time between the computed results of present model (lines) and experimental data of Grilli et al. (1994) (circles) at different gauges.**

545



546

547

**Fig. 12. Comparison of free surface profiles between present model predictions (lines) and experimental data (circles) of Grilli et al. (1994) at times 4.93, 7.28, 9.1, 9.2 and 9.42 s, Left to right**

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Figure 11 shows the comparison of computed free surface elevations as a function of time against the experimental data of Grilli et al. (1994) at different wave gauges, while in Figure 12 free surface profiles of the computed and experimental results are compared at different times. The results show that with wave propagating toward the slope, it becomes more and more asymmetric and its crest steepens, and by increase of shoaling the wave gets closer to the breaking point. It is observed that the wave evolution is well predicted by the model, with just slight differences close to the breaking point. This shows that the present model is able to describe the shoaling processes with good accuracy.

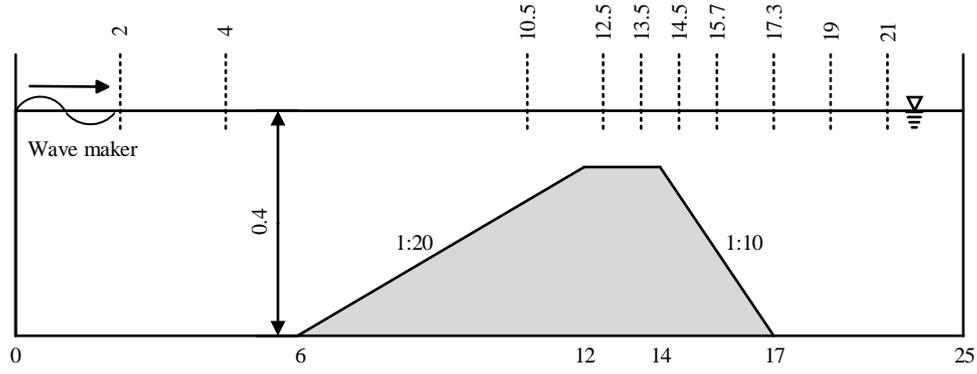


Fig. 13. Periodic waves over a submerged bar: sketch of the basin and gauges location

#### 4.5 Periodic waves over a submerged bar

In this test, the model is examined for a more complex situation involving the propagation of a wave train over a submerged bar following the experimental work of Dingemans (1994) which is a classic test case for investigating both nonlinear and dispersive behavior of the waves. Figure 13 shows the experimental setup of Dingemans (1994). Periodic waves are generated and propagate in a 25 m long flume, with a still water depth of  $h_0 = 0.4$  m offshore which reduces to 0.10 m on top of the bar with bottom topography defined as follows (in meters):

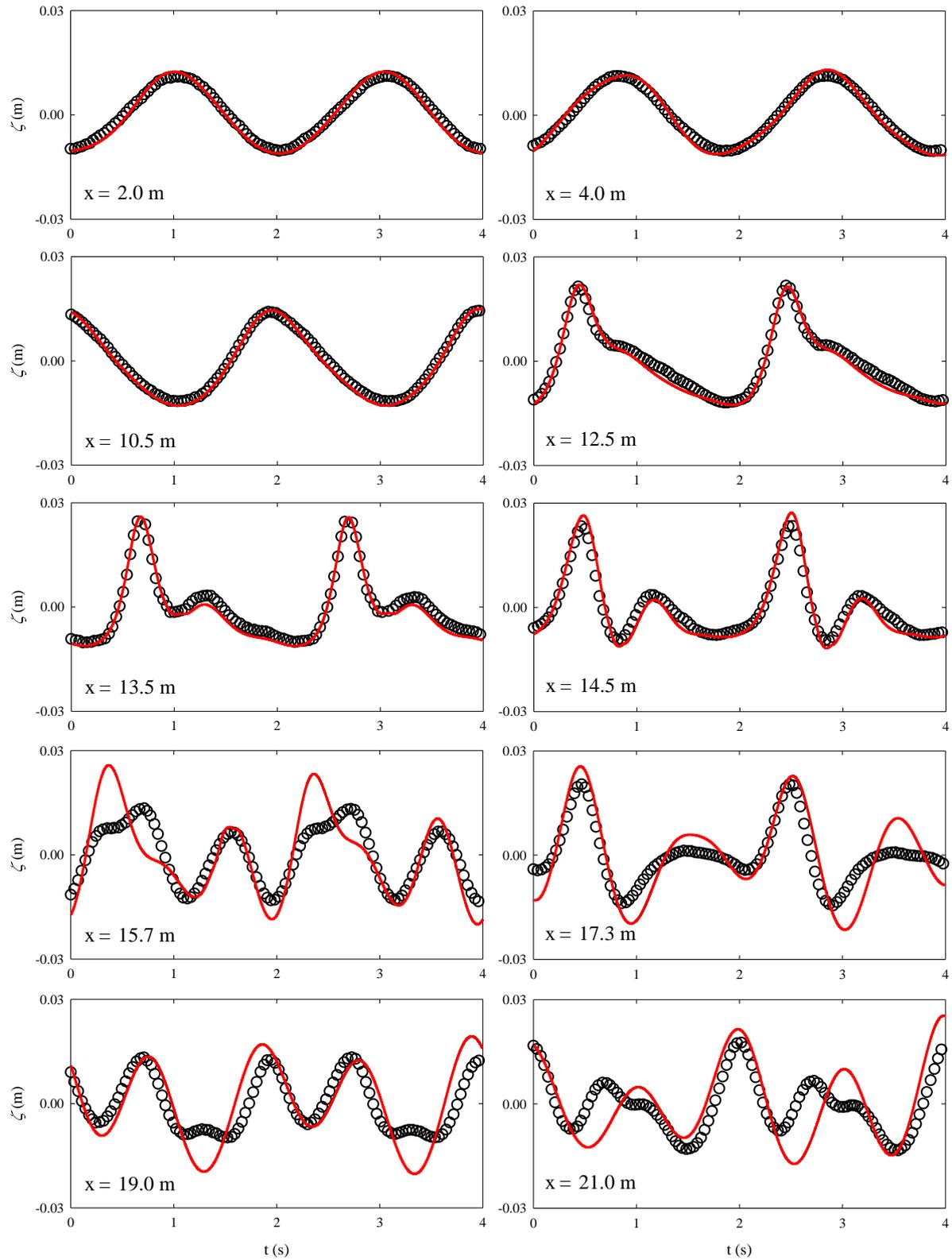
$$z(x) = \begin{cases} -0.4 + 0.05(x - 6) & 6 \leq x \leq 12 \\ -0.1 & 12 \leq x \leq 14 \\ -0.1 - 0.1(x - 14) & 14 \leq x \leq 17 \\ -0.4 & \text{elsewhere} \end{cases} \quad (76)$$

Of the experiments reported in Dingemans (1994), we consider the configuration with the relative wave amplitude  $a/h_0 = 0.025$  and the period  $T = 2.02$  s, which is often used to validate dispersive wave propagation without breaking. Waves are generated using a third-order Stokes solution to impose the free surface elevation governed by:

$$\zeta(x, t) = a \cos\left(2\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) + \frac{\pi a^2}{\lambda} \cos\left(4\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) - \frac{\pi^2 a^3}{2\lambda^2} \left[\cos\left(2\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) - \cos\left(4\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right)\right] \quad (77)$$

$$\cos\left(6\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right)]$$

572 where  $T$ ,  $a$  and  $\lambda$  are the wave period, amplitude and wavelength, respectively. The free  
573 surface elevation was measured by 10 wave gauges with locations specified in Figure 11. The  
574 computational domain is meshed with 625 cells (i.e.  $\Delta x = 0.04$  m) and waves are propagated  
575 for 35 seconds. Figure 14 shows the time series of computed free surface elevations at  
576 different wave gauges, in comparison with the data of Dingemans (1994). Monochromatic  
577 waves shoal and steepen over the mild sloped beach, causing transfers of energy toward  
578 higher harmonics which are subsequently released in the shallowest part and the lee side of  
579 the bar, then continue to propagate at their own deep-water phase speed. In the first 6 gauges,  
580 which correspond to the front slope of the bar, the wave shoaling effects are prominent and  
581 good agreements could be observed. However, there are discrepancies in the last 4 gauges  
582 located on the lee side. These anomalies are most likely because of the high non-linear  
583 interactions generated as a result of waves approaching the upper parts of the submerged bar.  
584 The same results are reported by Duran and Marche (2015) using finer grid size ( $\Delta x =$   
585  $0.025$  m) and 3<sup>rd</sup> order polynomials, which suggests that sole improvement in the numerics  
586 would not be enough to remove such anomalies. Rather, they seem to result from the one-  
587 parameter model, i.e. Eq. (1), deployed here, which is reported to have shortcomings in  
588 accurately describing the full release of the “higher harmonics” associated with highly  
589 dispersive waves (Duran and Marche 2015).



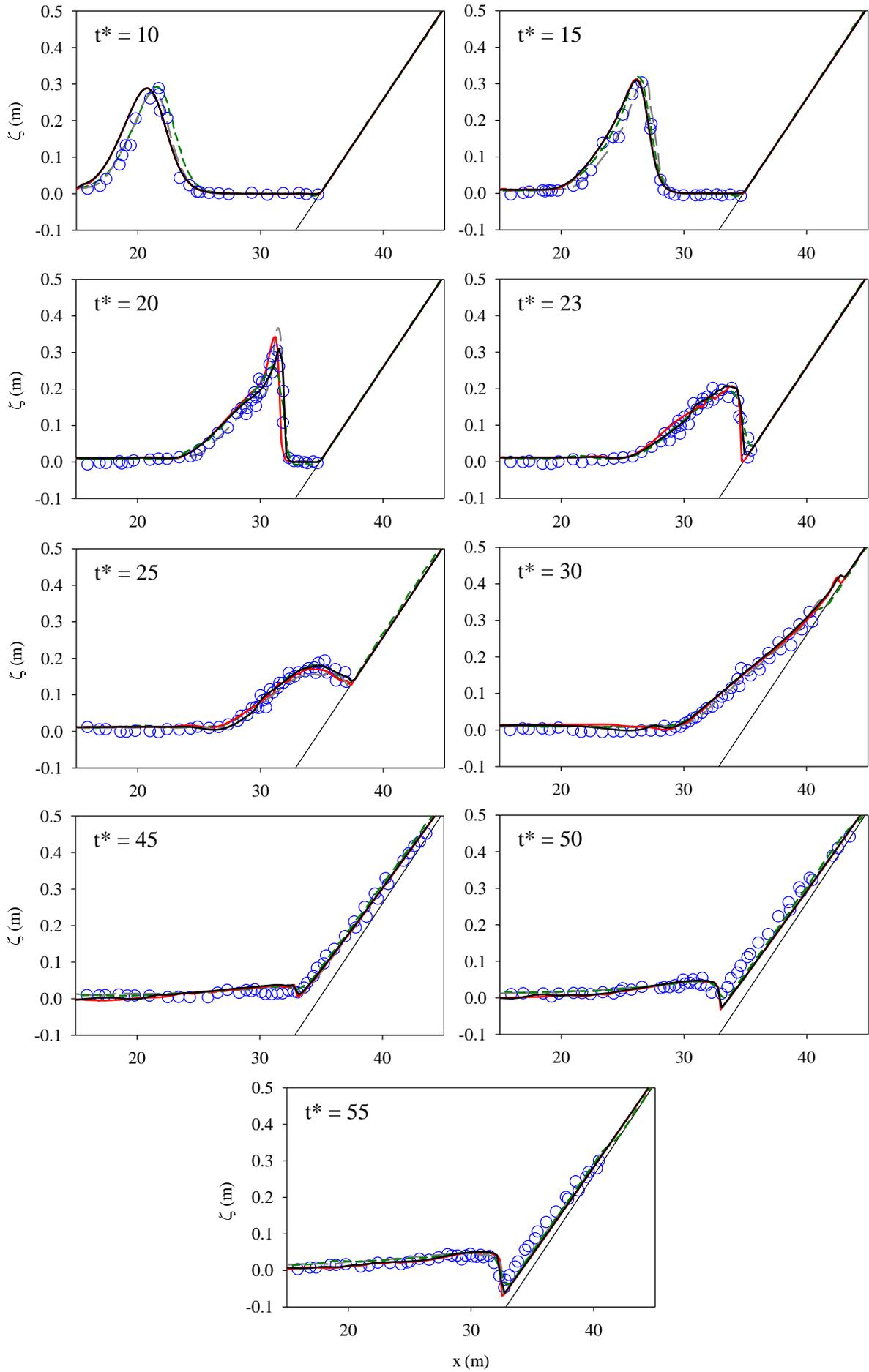
590

591 **Fig. 14. Time series of free surface elevation of waves passing over the submerged bar at different**  
 592 **locations. Comparison between numerical (solid line) and experimental data (circles) of Dingemans (1994)**

593 A possible alternative to improve the simulation for such scenarios would be the three-  
594 parameters optimized GN model proposed in Lannes and Marche (2015). However, for  
595 general purpose modelling, the latter model is more complex (i.e. to conveniently decompose  
596 into a conservative hyperbolic form that also includes elliptic source terms), is 20% more  
597 computationally demanding (i.e. it requires the resolution of an additional sparse  
598 unsymmetric linear system), and trade-off with sensitivity issues (i.e. to choose and tune  
599 across three parameters, instead of one, to achieve a simulation for individual problems).

#### 600 **4.6 Solitary wave breaking and run-up and -down over a sloped beach**

601 This test is considered to assess the ability of the present RKDG2-GN solver to model a high  
602 energy wave breaking over a sloped (initially dry) beach with wave run-up and run-down.  
603 The domain is a sloping beach (1:19.85) of length 45 m and holding a still water level  $h_0 =$   
604 1 m and an incident solitary wave of relative amplitude  $a_0/h_0 = 0.28$  (Synolakis, 1987).  
605 Simulations are performed on meshes with 300 and 150 cells, respectively. The numerical  
606 free surface elevation profiles at different output (normalized) times  $t^* = t(g/h_0)^{1/2}$  are  
607 included in Figure 15 where they are also compared with the experimental profiles reported  
608 in Synolakis (1987), RKDG3-GN results produced in Duran and Marche (2015) using 600  
609 cells, and the results of the non-hydrostatic shallow water model in Lu et al. (2015) solved by  
610 a hybrid FV-FD scheme on a mesh with 376 cells. The results show wave height increase due  
611 to shoaling until around  $t^* = 20$  when breaking occurs. After breaking at  $t^* = 23$ , the wave  
612 height decreases rapidly and the induced run-up collapses over the beach. During  $25 \leq t^* \leq$   
613 55, run-up and run-down phases are observed. All the models can be said to be in good  
614 agreement with the experiments; however, at the breaking moment ( $t^* = 20$ ) the results of  
615 present model and those of Lu et al. (2015)'s model are closer to the experiment. The good  
616 performance of the latter could be a result of the higher level of physical complexity in the  
617 incorporation of non-hydrostatic terms.



619 **Fig. 15. Comparison of free surface elevation for solitary wave breaking, runup and run down at various**  
620 **instances on a plane beach: experimental data of Synolakis (1987) (circles); numerical results of Lu et al.**  
621 **(2015) using 376 cells (gray long dash); numerical results of Duran and Marche (2015) using 600 cells**  
622 **(green short dash); results of present model with 300 cells (red solid line); results of present model with**  
623 **150 cells (black solid line). Note that at  $t^*=23$ , the results of Lu et al. (2015) were not available.**

624

625 This also shows that using the present numerical criteria (68) for wave breaking detection,  
626 despite its simplicity, could well be a convenient choice for the RKDG2-GN model. The  
627 higher level of numerical accuracy and of resolution involved in Duran and Marche (2015)  
628 model does not seem to comparatively improve much in the predictions. The proposed  
629 RKDG2-GN model results on the coarser meshes (i.e. using 150 and 300 cells) remain  
630 predominantly close to experimental results throughout the transformations and processes  
631 that the wave has undergone, suggesting that it can form the base for an efficient substitute to  
632 handle coastal modeling in a fairly affordable model structure.

633

## 634 **5. Conclusions**

635 A second-order RKDG method (RKDG2) is proposed to simulate propagation and  
636 transformation of fully nonlinear and weakly dispersive waves over domains involving  
637 uneven beds and wet-dry fronts. The mathematical model has been based on a set of newly  
638 developed efficient 1D Green-Naghdi (GN) equations. The numerical method extends a  
639 robust RKDG2 hydrodynamic solver by further considering elliptic source terms that account  
640 for dispersive corrections. This has been achieved by a Local Discontinuous Galerkin (LDG)  
641 discretization for solving the decoupled elliptic-hyperbolic governing equations and by  
642 locally involving fourth-order topography discretization for the dispersive components.  
643 Quantitative and qualitative assessments with test cases covering nearshore water flow

644 propagations have been performed. The results demonstrate that the proposed RKDG2-GN  
645 solver is able to switch across different water wave patterns, while preserving accuracy,  
646 conservation and practical properties featuring the original shallow water RKDG2 model.  
647 Future work will further consider strategies for extension and validation for the 2D case, and  
648 incorporation of an adaptive meshing strategy.

649

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654

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