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Palliative Medicine

Development of an evidence base for palliative care triggers in progressive neurodegenerative conditions: a multi-centre retrospective case record review and principal component analysis

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Keywords:	Triggers, Progressive neurodegenerative conditions, Palliative care
Complete List of Authors:	Background: The use of specific triggers has been suggested to help identify patients with progressive neurological disease who would benefit from palliative care. Aim: To develop an evidence base for the use of triggers for patients with progressive neurological disease. Design: A retrospective case note review was undertaken in 12 specialist palliative care units across the UK, extracting the timing, and presence of triggers in the last 2 years of life. Results: 300 records were reviewed: MND/ALS 58%, Parkinson's disease 17% and Parkinson's plus syndromes 12%. There was a high burden of triggers – 16 in the last 2 years of life and 10 in the last 6 months of life. Four factors were found to explain 64% of the total variance: Factor 1 – Deterioration in physical function, dysphagia, significant complex symptoms and pain Factor 2 – Weight loss and respiratory symptoms Factor 3 – Recurrent infections and cognitive decline Factor 4 – Aspiration pneumonia A Cox regression analysis showed a statistically significant association, reducing the hazard of death, between Factor 1 and survival. When all diagnoses were grouped together the total number of triggers at 3 months was associated with survival. Conclusion: This study shows that there are high burden of triggers in the last months and years of life and they may be valuable in predicting deterioration in the last 6 to 12 months of life for people with progressive neurological disease as the number of triggers and the frequency of triggers increases as death approaches.

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Acknowledgements and declarations	be made? Have you stated where data from the study are deposited and how they may be available to others? Have you conflicts of interest to declare?					
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Palliative care triggers in progressive neurodegenerative conditions: a multi-centre retrospective case record review and principal component analysis

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- 1 Abstract
- **Background**: The use of specific triggers has been suggested to help identify patients
- with progressive neurological disease who would benefit from palliative care.
- **Aim:** This study aimed to develop an evidence base for the use of triggers for patients
- 5 with progressive neurological disease.
- **Design:** A retrospective case note review of the timing and presence of triggers in the
- 7 last 2 years of life was undertaken.
- 8 Setting/participants: 12 specialist palliative care units across the UK provided data
- 9 from 300 patients: mean patient age 70 years, 50% male, diagnoses included Motor
- Neurone Disease 58%, Parkinson's disease 17% and Parkinson's plus syndromes
- 11 12%.
- **Results:** There was a high burden of triggers 17 in the last 2 years of life and 10 in
- the last 6 months of life. The most frequent triggers were: deteriorating physical
- function, complex symptoms and dysphagia. Four factors were found to explain 64%
- of the total variance:
- Factor 1 Deterioration in physical function, dysphagia, significant complex
- symptoms and pain
- Factor 2 Weight loss and respiratory symptoms
- 19 Factor 3 Recurrent infections and cognitive decline
- 20 Factor 4 Aspiration pneumonia.
- 21 Cox regression analyses found different triggers were associated with survival from
- diagnosis and referral to palliative care across all participants, and for different
- 23 neurological conditions...

- 1 Conclusions: This study demonstrates that there is a high burden of triggers in the
- 2 last months and years of life and that these could potentially be reduced to fewer
- 3 components. Prospective studies assessing which triggers are useful for different
- 4 conditions are now required.

- 6 Key Words
- 7 Triggers, palliative care, neurodegenerative disease, survival analysis, Motor Neuron
- 8 Disease, Parkinson's Disease

- 10 What is already known about the topic?
- The use of triggers to identify the end of life phase and need for palliative care
- involvement for patients with progressive neurological conditions has been advocated
- in several policy documents.

15 The triggers suggested are based on expert consensus.

- 17 There is a need to build an evidence base to inform and evaluate such policy
- 18 recommendations.

- 20 What this paper adds?
- 21 The average number of triggers in the last 2 years of life was 17, with an exponential
- increase in the last 6 months of life.

1	Four factors explained 64% of the variance in the triggers.
2	
3	Different triggers were associated with survival from diagnosis and referral to
4	palliative care across all participants, and for different neurological conditions.
5	
6	Implications for practice, theory or policy?
7 8	The high burden of triggers in the last few months of life indicates the need for palliative care involvement for this patient group.
9 10 11	The correlation between triggers suggests the triggers could be reduced to fewer components.
12 13 14 15	There is evidence that different triggers may help prognostication over different time frames and for different conditions.
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7	Introduction
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9	The care of people with neurological disease is complex and is a challenge for
10	patients, families and professionals. There is a perceived need for palliative care for
11	this patient group, in particular those with progressive degenerative neurological
12	conditions (PNCs), such as motor neurone disease (MND), Multiple sclerosis (MS),
13	Huntington's disease (HD), Parkinson's disease (PD) and the Parkinson Plus
14	syndromes (PD Plus).
15	
16	The need for palliative care has been discussed within specific disease guidelines
17	(1,2) and for all neurological patients, and in the recent consensus document from the
18	European Association for Palliative Care (EAPC) and the European Academy of
19	Neurology (EAN) it was stressed that 'palliative care should be considered early in
20	the disease trajectory, depending on the underlying diagnosis'(3).
21	
22	Although there is a perceived need, many patients with progressive neurological
23	disease do not receive palliative care, and the access to specialist services is more

restricted than for cancer patients (4). It has been suggested that this is due to the variability in the progression and prognosis of patients with neurological disease and the difficulty in recognising deterioration and that a patient is at the end of life (4,5). The National End of Life Care (NEoLC) Programme framework for end of life care in long term neurological conditions suggested possible triggers for the identification of the end of life phase (the last 6-12 months) in this patient population. These 'triggers' are characteristics or events which have a significance within the disease progression, are readily recognised and can be easily used clinically. The triggers suggested included swallowing problems, recurring infection, marked decline in functional status, first episode of aspiration pneumonia, cognitive difficulties, weight loss and significant complex symptoms (5). The use of such triggers has also been advocated by the Supportive and Palliative Care Indicators Tool (SPICT) (6) and the Marie Curie Triggers for Palliative Care (7) guidance which have suggested that triggers for palliative care involvement are used by service providers to improve palliative care access for such patients. The triggers suggested by the NEoLC programme and other guidance are based on expert consensus and there has been little research in this area. It is essential that a robust evidence base is developed to inform and evaluate new palliative care policy, as was illustrated in the review of the Liverpool Care Pathway (LCP) (8). A small

study from one centre evaluated the triggers suggested for PNCs and found four

symptom components explained 76.8% of the variance (9). These triggers were rapid

physical decline, significant complex symptoms including pain, infection and

cognitive impairment, and risk of aspiration. In order to further assess the value of the

triggers, this study builds on this initial assessment and involved several centres in the

UK.

The objectives of this study were to explore (i) the frequency of triggers for palliative

care involvement in PNC in the last 2 years, and 6 months of life, and therefore to

identify which triggers are most burdensome for patients with PNCs; (ii) whether the

triggers were correlated and if the number of triggers could be reduced to fewer

components; (iii) the relationship between the triggers and trigger components, and

survival from diagnosis and referral to palliative care services and (iv) the

associations between triggers and survival for different diagnoses. TO POL.

Methods

Study design and setting

A retrospective case-note review was conducted by 12 sites from across England and

Wales identified through the Association of Palliative Medicine Neurology Specialist

Interest Forum (APM Neuro-SIF). All were specialist palliative care services that

provide care at: home, hospice unit, in a day hospice or hospital. Data was extracted

between January 2014 and 2015. The study was discussed with Leeds East Health

Research Ethics Committee and it was agreed that the study met the criteria for UK

ethical regulations for research limited to secondary use of anonymised information

- 1 previously collected in the course of normal care and did not require review by the
- 2 research ethics committee.

- 4 Participants
- 5 Consecutive patients who had a diagnosis of a PNC, were under the care of a
- 6 specialist palliative care service, and had died between January 2009 and 2014 were
- 7 eligible for inclusion. The local site identified all participants.

- 9 Data sources
- Members of the clinical team extracted data from the patients' clinical records,
- including paper case-notes from hospices, hospitals and community teams, as well as
- 12 electronic databases. A standardised data collection form and a data collection
- guideline were used to ensure consistency of data extraction across all centres. All
- data were anonymised locally.

- 16 Variables
- Data collected included demographic details (e.g. age, gender, and ethnicity), medical
- 18 history (diagnosis, date of diagnosis, number of comorbidities, date of palliative care
- 19 referral). For each trigger, according to documented evidence, the timing (in units of
- 20 months prior to death) of the first presentation and subsequent deterioration of the
- 21 trigger over the last two years of life were extracted. In addition to the triggers
- assessed by Hussain et al (9), respiratory symptoms was also included as a trigger as

- this was considered by the APM Neuro-SIF as a potential important trigger for
- 2 palliative care involvement in PNC, and in particular MND.

- 4 Analysis
- 5 Descriptive data is summarised by the mean (standard deviation (SD)) or number (%).
- 6 Principal component analysis (PCA) was used to assess the correlation between the
- 7 triggers and to identify common components and therefore whether the triggers could
- 8 be reduced to fewer components. In this type of analysis the correlation between
- 9 parameters (triggers) is attributed to their common dependence on independent
- entities called 'factors'. The coefficients that link parameters to factors are called
- 11 'factor loadings'; the number of factors is chosen to be as small as possible but large
- enough to account for most of the variation within the data. PCA was conducted using
- data from the last six months as there was evidence that the number of triggers
- increased rapidly after this point and to optimise the number of complete cases. It was
- decided *a-priori* that the number of factors in the varimax rotation would be based on
- the number of eigenvalues >1.0 in the PCA. We adopted one common and
- conventional rule of thumb to consider 'factor loadings' of 0.40 or larger to be 'high'.
- 18 Tests of multicollinearity (Bartlett's test of sphericity p<0.05) and sampling adequacy
- 19 (Kaiser-Meyer-Olkin (KMO)) were undertaken to check the analysis was appropriate.
- 20 The internal consistency and reliability of the factors was assessed using Cronbach's
- 21 α. The least squares regression approach was used to calculate the factor scores,
- 22 which are standardized to a mean of zero. The factor scores may then be used as
- variables in subsequent modelling (10).

1	
2	Univariable and multivariable (adjusted for age, gender, diagnosis and comorbidities)
3	Cox regression analyses were used to assess the association of survival from (i)
4	diagnosis and (ii) referral, and:
5	a. factor scores determined by the PCA,
6	b. individual triggers and the number of triggers at 3, 6 and 12 months.
7	
8	The Cox regression analyses for survival from diagnosis were repeated according to
9	whether the participants were diagnosed with MND, PD or PD plus. Assessment of
10	the other diagnoses was not possible as the number of participants with the diagnoses
11	was insufficient. A p-value of <0.05 was considered to indicate statistical significance
12	and all analyses were undertaken on STATA (v14.0)
13	
14	Results
15	
16	In total 300 clinical records were reviewed retrospectively. The mean age was 70
17	years (range 35 to 98), 50% were male and 92% were White. The main diagnoses
18	were MND (58%), PD (17%), PD Plus (12%), MS (9%), and HD (2%). The majority
19	had co-morbidities (76%), with 46% having two or more comorbidities.
20	

- 21 Frequency of triggers for palliative care involvement in PNC in the last 2 years
- 22 and 6 months of life

- 1 The average number of total triggers over the last 2 years of life was 16.7 (SD 12.7)
- and in the last 6 months 10.0 (SD 7.4). Table 1 demonstrates that the most frequent
- 3 triggers in both the last 2 years and 6 months of life was deteriorating physical
- 4 function (2 years: 5.0 (SD 4.0), 6 months: 2.9 (SD 2.5)) followed by significant
- 5 complex symptoms (2 years: 3.9 (SD 5.2), 6 months: 2.3 (SD 3.1)) and dysphagia (2
- 6 years: 2.5 (SD 2.3), 6 months: 1.5 (SD 1.5)). The same pattern was seen when trigger
- 7 frequency was assessed per diagnosis for patients with MND, PD, and PD Plus
- 8 (Appendix Table 1). However for those with MS significant complex symptoms (3.0)
- 9 (SD 3.5)) were slightly more frequent than deteriorating physical function (2.8 (SD
- 10 3.1)), and for the seven participants diagnosed with Huntington's disease cognitive
- impairment was the second most frequent trigger (2.0 (SD 2.1)...
- In the last 2 years of life just over half of patients had documented evidence of weight
- loss (56%) and pain (56%), and in the last 6 months the figures were just under half
- 15 (weight loss 42%; pain 44%). Figure 1 illustrates the trend in the total number of
- triggers according to months prior to death, this demonstrates an exponential increase
- in the number of triggers over time, with a rapid increase in the last 6 months of life.

19 Principal component analysis

- Factor analysis yielded four separate factors that explained 64% of the total variance
- 21 in the data set when the eigenvalue = 1 criterion was used. Using data from the last 6
- 22 months of life, the correlation matrix is shown in Table 2. Bartlett's test of sphericity
- 23 (χ 2=349, degree of freedom=36, p<0.0001) indicated that the correlation between the

- variables were sufficiently large for PCA. The KMO measure of sampling adequacy
- 2 was 0.68, which can be interpreted as the degree of common variance among the
- 3 variables, and verified the sampling adequacy of the analysis.

- 5 Factor analysis, derived from the factor loadings and the analysis of the triggers in last
- 6 months of life, identified 4 factors, with the following groupings (Table 3):
- Factor 1. Deterioration in physical function, dysphagia, significant complex
- 8 symptoms and pain
- Factor 2. Weight loss and respiratory symptoms
- Factor 3. Recurrent infections and cognitive decline
- Factor 4. Aspiration.

- Factor 1 explained 22% of the variance, the second factor 16%, third factor 14%, and
- the fourth 12%. Factors 2-4 only loaded on one or two items, so must be interpreted
- with caution. Cronbach's α were 0.67 for factor 1, 0.37 for factor 2 and 0.26 for factor
- 16 3. If "respiratory symptoms" is excluded as a trigger then there is evidence that
- weight loss, cognitive impairment and infections cluster on the same component and
- 18 explained 16% of the total variance (data not shown). Higher factor scores on each
- 19 factor were associated with a higher number of triggers.

21 Association between triggers and survival in patients with PNC

- 1 The mean survival time from diagnosis was 56.5 (SD 6.3) months (95% CI: 43.3,
- 2 67.8) and the median survival 24 months (interquartile range: 64, 11).. The mean
- 3 survival from referral to palliative care was 29.1 (SD 6.0) months (95% CI: 17.4,
- 4 40.9) and the median survival was 10 months (interquartile range: 24, 3))...

- 6 Survival and factor scores:
- 7 In a Cox regression analysis assessing the association between the factor scores and
- 8 survival from diagnosis, there was no statistically significant association at the 5%
- 9 significant level. This remained the case following adjustments for age, gender,
- diagnosis and number of co-morbidities (Table 4). In the Cox regression analysis
- assessing the association between the factor scores and survival from palliative care
- referral, factor 1 had a statistically significant association (hazard ratio (HR) 0.9, 95%
- 13 CI 0.76, 0.99)), this remained the case after adjusting for age, gender, diagnosis and
- number of co-morbidities (HR 0.86, 95% CI 0.75, 0.99). The hazard ratio indicates
- that an increase in factor 1 scores (deterioration in physical function, dysphagia,
- significant complex symptoms and pain) reduces the risk of death, after adjustment
- for the effects of the other variables in the model.

- 19 Survival and individual triggers:
- 20 In a multivariable Cox regression analysis assessing the association between
- 21 individual triggers and survival from diagnosis, there was evidence that the number of
- triggers 3 months prior to death and diagnosis had a statistically significant
- 23 association with survival from diagnosis (Appendix Table 2). When repeated for

- survival from referral to palliative care, there was evidence that again the number of triggers 3 months prior to death had a statistically significant association with
- 3 survival, as well as weight-loss in the last 2 years of life and age. There was evidence
- 4 that the number of documented episodes of cognitive impairment was also associated
- 5 with survival, however the 95% CI for this crosses 1. As age, episodes of weight-loss,
- and number of triggers at 3 months increased, the hazard of death increased
- 7 (Appendix Table 2).

Association between triggers and survival for different diagnoses

- 11 Survival and factor scores:
- The multivariable Cox regression analyses for survival from diagnosis for the MND,
- PD and PD Plus groups, found evidence that different factors were associated with
- survival for the different diagnoses (Table 5). For MND there was insufficient
- evidence that any of the factors scores were associated with survival when age, gender
- and comorbidities were taken into account. For PD, there was evidence of a
- significant association with factor 4 (aspiration), and for PD plus there was evidence
- of a significant association with factor 1 (deterioration in physical function, dysphagia,
- significant complex symptoms and pain) and factor 3 (recurrent infections and
- 20 cognitive decline).

- The multivariable Cox regression analyses for survival from palliative care referral
- for the MND, PD and PD Plus groups, also found evidence that different factors were

- associated with survival for the different diagnoses (Table 5). For MND there was
- 2 insufficient evidence that any of the factors scores were associated with survival when
- 3 age, gender and comorbidities were taken into account. For PD, there was evidence of
- 4 a significant association with factor 1 and factor 4, and for PD plus there was
- 5 evidence of a significant association with factor 2, factor 3 and factor 4.

- 7 Survival and individual triggers:
- 8 The multivariable Cox regression analysis assessing the association between
- 9 individual triggers and survival from diagnosis, found for MND: being female and the
- number of triggers at 3 months prior to death increased the hazard of death, for PD:
- increasing number of aspirations increased the hazard of death, for PD plus: more
- infections and episodes of cognitive impairment increased the hazard of death,
- however as the total number of triggers at 6 months increased the hazard of death was

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found to decrease (Appendix Table 3).

Discussion

- This is the largest study to date to assess the value of the triggers for palliative care
- involvement proposed by current palliative care policy guidance for individuals with
- 20 PNCs. There was evidence of high burden of triggers in the last 2 years of life for
- 21 patients with neurological conditions, with a rapid increase in the last 6 months. Four
- factors explained a large proportion of the variance in the triggers indicating the
- 23 triggers could be grouped in fewer components. Different factors and triggers were

was also the case when the associations were assessed for individual diagnoses. These

associated with survival from diagnosis and referral to palliative care services; this

- 3 results indicate that the association of the triggers with survival is complex and that
- 4 different triggers may be more important in different PNCs.

- 6 The use of triggers appears to be valuable in predicting deterioration in the last 6 to 12
- 7 months of life. The commonest triggers were decline in physical function, complex
- 8 symptoms and dysphagia. These were found for all diagnoses. The frequency of these
- 9 triggers may reflect that they are the most burdensome issues for patients, but may
- also reflect that the other triggers, especially weight loss and cognitive impairment are
- less well assessed or documented. The total number of triggers increased as death
- approached and there appears to be an exponential pattern as shown in the earlier
- study (9). There is a rapid change in the numbers of triggers towards death and thus
- monitoring the rate of change in the total number of triggers may be a useful
- prognostic tool, indicating that the person may be in the last few months of life.

- 17 The four factors that explained the most variance in the last 6 months of life could be
- 18 categorised as factor 1: deterioration of physical function (which would include
- deterioration in swallowing ability, development of significant complex symptoms,
- and pain), factor 2: weight loss and respiratory symptoms, factor 3: recurrent
- 21 infections and cognitive impairment, and factor 4: aspiration. These are similar to the
- factors identified in the previous analysis on a smaller sample (9). Despite the larger
- 23 sample in this study, the small Cronbach alphas indicate that the results should be

1 interpreted with caution. Current clinical understanding may not necessarily suggest

these groupings, and initially the expert group did report these triggers individually.

3 However the analyses in both studies have suggested similar factors and the

4 components do measure a large proportion of the variation in the data and therefore it

5 would seem that the triggers could be reduced to fewer components. Further studies

6 that collect the triggers data prospectively are now needed to reassess the factor

7 loading before implementing changes to practice.

9 The association between triggers and survival was complex. There was insufficient

10 evidence that the factors derived from the PCA were associated with survival from

diagnosis when all diagnoses were grouped together, however in the PD group factor

4 (aspiration) had a statistically significant association with survival from diagnosis

and for the PD plus group both factors 1 and 3. In terms of the individual triggers,

there was evidence when all diagnoses are grouped together that the total number of

triggers at 3 months was associated with survival from both diagnosis and palliative

care referral. Although there was evidence that as the number of episodes of weight

loss increased, the hazard of death increased when all the diagnoses were grouped

together, for the MND, PD and PD plus group other triggers had a significant

association with survival. This indicates that different triggers may be useful in aiding

20 prognostication for different conditions, however further research with larger samples

21 for each diagnostic group is required.

Limitations

- 1 Data collected as part of routine clinical practice was extracted for this study therefore
- there is a risk of information bias due to inaccurate collection, interpretation or
- documentation of triggers. To minimise bias at the data extraction phase detailed
- 4 guidance was provided, together with email / telephone support throughout the
- 5 process. In addition healthcare professionals who were aware of the clinical context
- 6 extracted data. This review focussed on triggers in the last two years of life and was
- 7 limited to patients known to palliative care services, thus these findings may not be
- 8 generalisable to all patients with PNCs. This should be addressed in future research...

- This study provides evidence that the triggers for palliative care involvement
- advocated by the NEoLCP, SPICT and Marie Curie Triggers for palliative care
- guidance may be helpful in the assessment of patients with PNC and identifying
- patients in the last few months of life. There is increasing evidence that palliative care
- can be helpful in improving symptoms and quality of life (15, 16,17) and that the
- involvement of SPC should be dependent on need rather than prognosis. However this
- 16 episodic approach is a challenge for SPC services (4, 18) and the use of triggers may
- be able to help in both the identification of disease burden and prognostication that
- death may be approaching, which would support the involvement of palliative care
- with patients with PNC. It is essential however that the triggers suggested by experts
- 20 in the field are rigorously assessed and developed to ensure patients receive optimal
- 21 palliative care input. This study has helped to identify key areas for further
- 22 prospective research including how the triggers could potentially be categorised into
- 23 fewer components, how the rate of change of triggers is associated with survival and

- which triggers are most useful for different PNC. We would also advocate patient and
- 2 carer involvement to determine which triggers for palliative care involvement warrant
- 3 further assessment.



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 The authors have no conflict of interest to declare

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Table 1. Average number of each trigger in the last 2 years and 6 months of life and the

o la	rerage number of triggers in ast 2 years of life Mean (SD) 5.0 (4.0) 2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	Proportion of participants with the trigger in last 2 years of life Number (%) 286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	Average number of triggers in last 6 months of life Mean (SD) 2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1) 2.3 (3.1)	Proportion of participants with the trigger in last 6 months of life Number (%) 267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%) 182 (61%)
Deteriorating physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	1.3 (2.3) ast 2 years of life Mean (SD) 5.0 (4.0) 2.5 (2.3) 0.8 (1.5) 0.8 (1.5) 1.2 (1.6) 3.9 (5.2)	with the trigger in last 2 years of life Number (%) 286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	triggers in last 6 months of life Mean (SD) 2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	with the trigger in last 6 months of life Number (%) 267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
Deteriorating physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	1ife Mean (SD) 5.0 (4.0) 2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	trigger in last 2 years of life Number (%) 286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	6 months of life Mean (SD) 2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	in last 6 months of life Number (%) 267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
Deteriorating physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	Mean (SD) 5.0 (4.0) 2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	2 years of life Number (%) 286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	life Mean (SD) 2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	months of life Number (%) 267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
Deteriorating physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	5.0 (4.0) 2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	Number (%) 286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	Mean (SD) 2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	Number (%) 267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	286 (96%) 258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	2.9 (2.5) 1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	267 (89%) 211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
physical function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	2.5 (2.3) 0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	258 (86%) 119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	1.5 (1.5) 0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	211 (70%) 109 (36%) 93 (31%) 127 (42%) 75 (25%)
function Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	109 (36%) 93 (31%) 127 (42%) 75 (25%)
Dysphagia Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	109 (36%) 93 (31%) 127 (42%) 75 (25%)
Aspiration Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	0.8 (1.5) 0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	119 (40%) 123 (41%) 168 (56%) 96 (32%) 206 (69%)	0.7 (0.1) 0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	109 (36%) 93 (31%) 127 (42%) 75 (25%)
Infection Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	0.8 (1.3) 1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	123 (41%) 168 (56%) 96 (32%) 206 (69%)	0.5 (0.9) 0.6 (0.9) 0.5 (1.1)	93 (31%) 127 (42%) 75 (25%)
Weight loss Cognitive impairment Significant complex symptoms Pain Respiratory	1.2 (1.6) 0.9 (2.1) 3.9 (5.2)	168 (56%) 96 (32%) 206 (69%)	0.6 (0.9) 0.5 (1.1)	127 (42%) 75 (25%)
Cognitive impairment Significant complex symptoms Pain Respiratory	0.9 (2.1) 3.9 (5.2) 1.3 (2.3)	96 (32%) 206 (69%)	0.5 (1.1)	75 (25%)
impairment Significant complex symptoms Pain Respiratory	3.9 (5.2)	206 (69%)	, , ,	, ,
Significant complex symptoms Pain Respiratory	1.3 (2.3)		2.3 (3.1)	182 (61%)
complex symptoms Pain Respiratory	1.3 (2.3)		2.3 (3.1)	182 (61%)
symptoms Pain Respiratory		167 (56%)		
Pain Respiratory		167 (56%)		
Respiratory		167 (56%)		
	(1.0)	107 (3070)	0.8 (1.4)	133 (44%)
symptoms	(1.0)	63 (21%)	0.3 (0.8)	42 (14%)

Table 2. Correlation matrix for each trigger in the last 6 months of life

,						
	Decline in		 		T	
	physical	'	1	1		Cognitive
	function	Dysphagia	Aspiration	Infection	Weight loss	impairment
Decline in physical	1		<u> </u>		<u> </u>	
function	ı		1	1		
Dyanhagia	0.47	1	1			
Dysphagia	< 0.001		l'		!	
Aspiration	0.04	0.21	1		1	
Aspiration	0.5	< 0.001	l'			
Infection	0.15	0.01	-0.00	1	T '	<u> </u>
IIIIection	< 0.01	0.9	0.9	1	<u> </u>	
Weight loss	0.32	0.31	0.02	0.09	1	
weight loss	< 0.001	< 0.001	0.8	0.1		
Cognitive impairment	0.08	0.11	-0.05	0.15	0.07	1
Cognitive impairment	0.2	0.06	0.4	< 0.01	0.2	l
Pain	0.29	0.24	0.13	-0.05	0.01	0.01
raiii	< 0.001	< 0.001	< 0.01	0.4	0.8	0.9
Significant complex	0.54	0.30	0.01	0.09	0.19	0.06
symptoms	< 0.001	< 0.001	0.8	0.1	0.001	0.3
Respiratory	0.19	0.20	0.06	-0.04	0.23	-0.07
	0.001	< 0.001	0.3	0.5	< 0.001	0.2
	-		-		-	-

Table 3. Summary of the principal component analysis using triggers in the last 6 months of life.

	Factor 1: Deterioration in physical function, dysphagia, significant complex symptoms and pain	Factor 2: Weight loss and respiratory symptoms	Factor 3: Recurrent infections and cognitive decline	ı				
Pain	.76							
Significant complex symptoms	.74							
Decline in physical function	.73							
Dysphagia	.49							
Weight loss		.72						
Respiratory		.72						
Cognitive impairment			.73					
Infection			.71					
Aspiration								
Policy Policy								

Table 5. Cox regression analysis assessing the association of the factors derived from the principal component analysis with survival from diagnosis

		Motor neurone disease			Parkinson's disease			Parkin	
Survival	Explanatory	Adjusted	95% CI	P-value	Adjusted	95% CI	P-value	Adjusted	959
time	variable	hazard			hazard			hazard	
		ratio*			ratio*			ratio*	
From	Factor 1	0.96	0.84, 1.09	0.5	0.79	0.49, 1.26	0.3	2.16	1.
diagnosis	Factor 2	1.04	0.90, 1.21	0.6	0.83	0.41, 1.70	0.6	0.86	0.3
	Factor 3	1.01	0.86, 1.18	0.9	1.30	0.83, 2.03	0.3	1.70	1.0
	Factor 4	0.97	0.81, 1.13	0.7	2.51	1.19, 5.32	< 0.05	1.26	0.8
From	Factor 1	0.94	0.80, 1.12	0.5	0.58	0.33, 0.99	< 0.05	1.38	0.0
palliative	Factor 2	1.05	0.90, 1.22	0.6	0.63	0.36, 1.57	0.3	0.50	0.2
care	Factor 3	1.00	0.85, 1.19	0.9	1.02	0.64, 1.64	0.9	2.49	1.3
referral	Factor 4	0.88	0.73, 1.05	0.2	2.47	1.06, 5.75	< 0.05	2.06	1.2

^{*} Model adjusted for age, gender and number of comorbidities

Table 4.

Survival time	Explanatory variable	Hazard ratio	95% CI	P-value	Adjusted hazard ratio*	95% CI	P-value
From diagnosis	Factor 1	1.03	0.93, 1.15	0.5	0.96	0.86, 1.07	0.5
Ü	Factor 2	1.11	0.99, 1.26	0.1	1.00	0.88, 1.15	0.9
	Factor 3	0.96	0.85, 1.09	0.6	1.05	0.92, 1.19	0.5
	Factor 4	1.06	0.94, 1.20	0.3	1.01	0.88, 1.14	0.9
From palliative	Factor 1	0.87	0.76, 0.99	<0.05	0.86	0.75, 0.99	<0.05
care referral	Factor 2	1.05	0.93, 1.19	0.4	1.03	0.89, 1.18	0.7
	Factor 3	0.99	0.86, 1.13	0.9	1.04	0.90, 1.20	0.6
	Factor 4	1.03	0.90, 1.19	0.7	0.99	0.85, 1.16	0.9

^{*} Model adjusted for age, gender, diagnosis and number of comorbidities

5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

Figure 1: Total number of triggers (any) by month (Mean and standard error)

Appendix Table 1: Mean number of triggers in the last 2 years of life per diagnosis (SD)

Triggers	MND^1	PD^2	PD Plus ³	MS^4	HD^5				
88" "	N=173	N=50	N=36	N=26	N=7				
Deteriorating	5.2 (4.0)	5.2 (4.0)	6.4 (4.5)	2.8 (3.1)	2.3 (1.8)				
physical	`	, ,	, ,		, ,				
function									
Dysphagia	2.7 (2.3)	1.8 (1.4)	3.4 (3.3)	1.5 (1.8)	1.4 (0.8)				
Aspiration	0.8 (1.3)	0.7 (1.3)	1.3 (2.3)	0.8 (1.0)	1.3 (2.0)				
Infection	0.7 (1.2)	0.7 (1.3)	1.4 (1.6)	1.3 (1.4)	0.6 (1.1)				
Weight loss	1.2 (1.4)	1.5 (2.2)	1.3 (1.6)	0.7 (1.7)	1 (0.8)				
Cognitive	0.6 (2.1)	1.5 (2.0)	1.0 (1.7)	1.2 (2.0)	2.0 (2.1)				
impairment									
Significant	4.1 (5.0)	2.8 (3.1)	5.6 (8.5)	3.0 (3.5)	1.6 (2.1)				
complex									
symptoms		•							
Pain	1.5 (2.6)	1.0 (1.4)	1.5 (2.0)	1.4 (2.4)	0.3 (0.5)				
Respiratory	0.5 (1.2)	0.2 (0.7)	0.06(0.2)	0.08(0.3)	0				
symptoms	•								

¹ Motor Neurone Disease

² Parkinson's disease

³ Parkinson Plus syndromes

⁴ Multiple sclerosis

⁵ Huntington's disease

Appendix Table 2: Cox regression analysis assessing the association of individual triggers and the total number of triggers at 3, 6 and 12 months with (i) survival from diagnosis and (ii) survival from palliative care referral (all participants)

Survival time	Explanatory variable	Univariable hazard ratio	95% CI	P-value	Adjusted hazard ratio*	95% CI	P-value
From diagnosis	Age	0.997	0.987, 1.006	0.5	1.006	0.993, 1.019	0.4
	Gender	0.836	0.655, 1.067	0.2	1.100	0.833, 1.454	0.5
	Diagnosis			< 0.0001			< 0.0001
	Comorbidities	1.025	0.942, 1.115	0.6	1.081	0.988, 1.183	0.09
	Decline in physical function	1.013	0.987, 1.039	0.4	0.977	0.927, 1.029	0.4
	Dysphagia	1.069	1.014, 1.127	0.01	1.046	0.964, 1.136	0.3
	Aspiration	1.031	0.953, 1.114	0.4	0.968	0.883, 1.062	0.5
	Infection	0.971	0.884, 1.066	0.5	0.977	0.873, 1.093	0.7
	Weight loss	1.030	0.960, 1.104	0.4	1.012	0.911, 1.124	0.8
	Cognitive impairment	0.974	0.913, 1.038	0.4	1.001	0.939, 1.068	1.0
	Pain	1.016	0.964, 1.070	0.6	0.990	0.928, 1.057	0.8
	Significant complex symptoms	1.012	0.990, 1.035	0.3	0.998	0.960, 1.036	0.9
	Respiratory symptoms	1.093	0.964, 1.239	0.2	0.894	0.749, 1.067	0.2
	Total number of triggers	1.006	0.997, 1.015		-	-	-
	Number of triggers at 3 months	1.078	1.021, 1.139	<0.01	1.083	1.013, 1.158	<0.05
	Number of triggers at 6 months	1.042	0.971, 1.118	0.3	1.028	0.934, 1.132	0.6
	Number of triggers at 12 months	0.969	0.866, 1.085	0.6	0.973	0.851, 1.113	0.7
From palliative	Age	1.014	1.003, 1.025	<0.05	1.019	1.005, 1.034	<0.05
care	Gender	1.186	0.924,	0.2	1.109	0.834,	0.5

referral			1.524			1.475	
reierrar	Diagnosis		1.521	0.7		1.175	0.5
	Decline in	0.988	0.958,	0.4	0.954	0.904,	0.09
	physical	0.700	1.019	0.1	0.551	1.007	0.07
	function		1.017			1.007	
	Dysphagia	1.024	0.972,	0.4	1.005	0.932,	0.9
	Dyspiiagia	1.021	1.078	0.1	1.005	1.084	0.5
	Aspiration	1.005	0.913,	0.9	0.993	0.895,	0.9
			1.107			1.101	
	Infection	1.046	0.943,	0.4	1.115	0.988,	0.08
			1.160			1.259	
	Weight loss	1.049	0.974,	0.2	1.125	1.015,	< 0.05
			1.130			1.246	
	Cognitive	0.954	0.899,	0.1	0.933	0.870,	< 0.05
	impairment		1.013			1.001	
	Pain	0.961	0.907,	0.2	0.981	0.921,	0.6
			1.017			1.045	
	Significant	0.993	0.967,	0.6	1.012	0.973,	0.6
	complex		1.021			1.052	
	symptoms						
	Respiratory	1.100	0.963,	0.2	0.999	0.841,	1.0
	symptoms		1.257			1.187	
	Total number	0.997	0.987,	0.5	-	-	-
	of triggers		1.007				
	Number of	1.052	0.994,	0.08	1.099	1.030,	< 0.01
	triggers at 3		1.114			1.171	
	months						
	Number of	1.002	0.923,	1.0	0.977	0.879,	0.7
	triggers at 6		1.087			1.086	
	months						
	Number of	0.895	0.800,	0.1	0.912	0.799,	0.2
	triggers at 12		1.002		Y /	1.041	
	months				4		

^{*} Model adjusted for age, gender, diagnosis and number of comorbidities

Appendix Table 3: Multivariable Cox regression for survival from diagnosis and triggers for patients with Motor Neuron Disease, Parkinson's Disease and Parkinson Plus syndromes

	Motor neurone		Parkinson's disease		Parkinson's plus		
	disease					1	
Explanatory	Hazard	95% CI	Hazard	95% CI	Hazard	95% CI	
variable	ratio		ratio		ratio		
Age	1.006	0.991, 1.021	1.058	0.989, 1.131	0.984	0.860, 1.125	
Gender	1.422	1.003, 2.015	0.366	0.131, 1.019	0.591	0.159, 2.197	
Comorbidities	1.082	0.963, 1.216	1.143	0.880, 1.484	1.053	0.716, 1.550	
Decline in	0.973	0.911, 1.040	1.081	0.885, 1.321	0.964	0.725, 1.281	
physical							
function							
Dysphagia	1.045	0.944, 1.156	1.017	0.721, 1.435	1.081	0.756, 1.547	
Aspiration	0.907	0.794, 1.036	2.079	1.314, 3.289	1.149	0.914, 1.444	
Infection	0.862	0.733, 1.012	1.195	0.892, 1.601	1.727	1.035, 2.916	
Weight loss	1.084	0.936, 1.257	0.826	0.633, 1.658	1.157	0.653, 2.051	
Cognitive	0.993	0.919, 1.073	1.210	0.883, 1.658	1.515	1.024, 2.241	
impairment							
Pain	0.957	0.881, 1.040	1.123	0.772, 1.635	1.078	0.645, 1.801	
Significant	0.977	0.928, 1.028	0.831	0.673, 1.027	1.123	0.986, 1.279	
complex							
symptoms							
Respiratory	0.905	0.743, 1.102	1.416	0.616, 3.252	0.840	0.003, 218.2	
symptoms							
Number of	1.129	1.044, 1.222	1.289	0.985, 1.688	1.131	0.794, 1.613	
triggers at 3							
months							
Number of	1.059	0.938, 1.196	0.826	0.606, 1.127	0.511	0.272, 0.963	
triggers at 6							
months							
Number of	0.930	0.759, 1.140	0.663	0.383, 1.147	1.338	0.787, 2.274	
triggers at 12							
months							