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# High-Q Tuneable 10-GHz Bragg Resonator for Oscillator Applications

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**Abstract**—This paper describes the design, simulation, and measurement of a tuneable 9.365-GHz aperiodic Bragg resonator. The resonator utilizes an aperiodic arrangement of non ( $\lambda/4$ ) low-loss alumina plates ( $\epsilon_r = 9.75$ , loss tangent of  $\approx 1 \times 10^{-5}$  to  $2 \times 10^{-5}$ ) mounted in a cylindrical metal waveguide. Tuning is achieved by varying the length of the center section of the cavity. A multi-element bellows/probe assembly is presented. A tuning range of 130 MHz (1.39%) is demonstrated. The insertion loss  $S_{21}$  varies from  $-2.84$  to  $-12.03$  dB while the unloaded Q varies from 43788 to 122550 over this tuning range. At 10 of the 13 measurement points, the unloaded Q exceeds 100 000, and the insertion loss is above  $-7$  dB. Two modeling techniques are discussed; these include a simple ABCD circuit model for rapid simulation and optimization and a 2.5-D field solver, which is used to plot the field distribution inside the cavity.

**Index Terms**—Bragg resonators, dielectric resonators (DRs), low-noise oscillators, tuneable resonators.

## I. INTRODUCTION

**T**UNEABLE oscillators are a fundamental element in almost all communication and measurement systems. In these systems, high-Q resonators are required for low phase noise signal generation. This is because in an oscillator the phase slope of the resonator (group delay and Q) causes any internal phase fluctuations, within the bandwidth of the resonator, to be transformed into frequency fluctuations and phase noise.

At microwave frequencies, the tuning range and quality factor of conventional resonator structures, such as dielectric resonators (DRs) or yttrium–iron–garnet (YIG) spheres, are limited. The maximum unloaded quality factor attainable from a DR is defined by the loss tangent ( $\tan \delta$ ) of the dielectric material as well as the losses introduced by the shield used to enclose the resonator. Modern DRs operating in the  $TE_{01\delta}$  mode are capable of providing Q-factor of between 10 000 and 30 000 at 10 GHz [1]. At higher frequencies, the dimensions of the dielectric puck can become small if it is operated in a TE or TM hybrid mode, and alternatively, a whispering gallery mode can be used where the field energy is confined to the

outer edge of a ring of dielectric material [2]. The quality factor is then almost entirely defined by the loss tangent of the dielectric and is relatively insensitive to any conducting material boundaries.

Mechanical tuning of a DR can be achieved by perturbing the magnetic field distribution around the resonator by moving a metallic object close to the dielectric material. A tuning range of up to 10% can be achieved using this technique [3]. Electrical [4], [5] and optical [6]–[8] techniques are also available, but the tuning range is typically limited to less than 3% for the electrical techniques and 1% for the optical. All of these methods usually degrade the Q as the tuning range is increased.

YIG resonators typically use a single crystal of YIG which is shaped into a sphere and mounted on the end of a thermally conductive rod. YIG is a ferrite material with a sharp ferromagnetic resonance at microwave frequencies. The frequency of this resonance is directly proportional to the strength of an applied dc magnetic field which can be provided by a mixture of fixed and current tuned electromagnets. YIG resonators are widely used in oscillators and filters that require a wide tuning range and a high quality factor. Unloaded Qs in the region of many thousands with a multioctave bandwidth are achievable at 10 GHz [9]. The principal disadvantages of a YIG resonator are its size and power consumption. This is a result of the large magnetic field strengths required to tune the resonator.

The distributed Bragg resonator can offer a substantial increase in quality factor when compared to the traditional microwave resonators described previously. It is a structure formed by replacing the end and/or side walls of an empty metal cavity with alternating layers of air and dielectric material. The sudden change in dielectric constant at each air–dielectric interface causes a partial reflection of the incident electromagnetic wave. If several air–dielectric layers are combined, then more of the energy is reflected back into the central air region of the cavity and kept away from the lossy metal end walls. The reflector section lengths in a Bragg resonator are typically one-quarter of the guide wavelength ( $\lambda_g/4$ ) in thickness in order to maximize their reflectivity [10].

Several authors have demonstrated high-Q fixed frequency Bragg resonators utilizing materials such as sapphire, alumina, quartz, and yttrium aluminum garnet (YAG). Maggiore *et al.* [11] demonstrated a layered sapphire resonator with a stated quality factor of  $5.31 \times 10^5$  at 18.99 GHz. Later, Flory and Taber [10] and Flory and Ko [12] measured quality factors of 450 000 and 700 000 at frequencies of 13.2 and 9 GHz, respectively, for sapphire resonators

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consisting of interpenetrating concentric rings and plates. Krupka *et al.* [13] demonstrated a Fabry–Perot resonator operating at 39 GHz consisting of two pairs of quarter-wavelength single-crystal quartz Bragg reflectors; it achieved a Q-factor of 560000. Spherical Bragg resonators were demonstrated by Krupka *et al.* [14]. Two resonators were constructed, one from YAG and the other from quartz. The YAG resonator produced a Q-factor of  $1.04 \times 10^5$  at 26.26 GHz, and the quartz resonator produced a Q of  $6.4 \times 10^4$  at 27.63 GHz.

Breeze *et al.* [15] demonstrate that by utilizing an aperiodic arrangement of dielectric plates, the energy losses within a Bragg resonator can be redistributed away from the lossy dielectrics and into the lower loss air regions. Initially, they demonstrate (through simulation) that an aperiodic spherical Bragg resonator can be designed with a quality factor in excess  $10^7$  at 10 GHz. More recently they constructed an aperiodic sapphire resonator consisting of concentric dielectric rings separated by dielectric plates which achieved a Q-factor of 600000 at 30 GHz [16]. Bale and Everard [17] demonstrated a fixed frequency aperiodic cylindrical Bragg resonator using alumina plates with an unloaded Q of 200000 at 10 GHz.

In this paper, which is a significant extension of a paper submitted to the joint EFTF-IFCS 2015 conference [18], we present the design, simulation, and measurement results for a broad tuning X-band cylindrical distributed Bragg resonator which utilizes an aperiodic arrangement of non  $\lambda/4$  low-loss alumina plates.

This paper is ordered as follows. Section II describes a simple ABCD waveguide model that can be used to optimize the quality factor of resonator as well as ascertain its tuning range. Section III describes the simulations of the resonator performance and tuning range. Section IV describes a custom finite-difference time-domain (FDTD) field solver that was used to ascertain the field distributions inside the cavity and verify the results of the ABCD model. Section V describes the construction of the tuneable resonator. Section VI describes the measurement results and performance of the current design, and finally, Section VII discusses the effect of temperature.

## II. RESONATOR CIRCUIT MODEL

A simple model of a Bragg resonator can be constructed by considering it as a cascaded set of waveguide sections where each section can be represented using two-port ABCD network parameters [17]. The direction of the voltages and currents in the ABCD parameter set is defined such that a cascade connection of networks is simply the product of the individual ABCD matrices.

The model described in this paper represents a cylindrical resonator structure, and the cavity has been designed to operate using the  $TE_{011}$  mode at 10 GHz. This is the mode typically chosen for high-Q cavities as it exhibits a low inherent loss [19]. It is often possible to obtain an increase in Q by designing the cavity to operate using a higher order mode, such as  $TE_{012}$ , but this has the disadvantage of increasing the cavity volume and therefore increasing the mode density.

The resonator model contains ABCD matrices for each air and dielectric section and for the end wall. The ABCD matrix for a lossy transmission line of length  $l$  meters with complex propagation constant  $\gamma$  and characteristic impedance  $Z_o$  is shown in the following equation:

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} \cosh(\gamma l) & Z_o \sinh(\gamma l) \\ \frac{1}{Z_o} \sinh(\gamma l) & \cosh(\gamma l) \end{bmatrix} \begin{bmatrix} V_2 \\ I_2 \end{bmatrix}. \quad (1)$$

The complex propagation constant  $\gamma$  is defined as

$$\gamma = \alpha + j\beta \quad (2)$$

where  $\alpha$  is the attenuation coefficient ( $\text{Npm}^{-1}$ ) and  $\beta$  is the phase constant ( $\text{radm}^{-1}$ ). The phase constant for the air and the dielectric sections can be calculated using the following equation:

$$\beta = \sqrt{\omega^2 \mu \epsilon - \left( \frac{\chi'_{mn}}{a} \right)^2} \quad (3)$$

where  $\epsilon$  is the permittivity of the material filling the guide,  $\omega$  is the angular frequency, and  $a$  is the cavity radius.  $\chi'_{mn}$  represents the  $n$ th zero of the derivative of the Bessel function of the first kind of order  $m$ . In the case of the  $TE_{01}$  mode, the value of  $\chi'_{mn} \approx 3.8318$ . The attenuation coefficients for the air and dielectric sections of the resonator are now discussed.

### A. Air Section Losses

The loss in the air sections is due to the conductive side wall losses. This can be modeled using (4). This equation represents the attenuation coefficient, in units of  $\text{Npm}^{-1}$ , for a transverse electric (TE) mode with circumferential mode number  $m$  and radial mode number  $n$  in a cylindrical waveguide of radius  $a$  operating at frequency  $f$

$$\alpha_c = \frac{R_s}{a\eta\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} \left[ \left(\frac{f_c}{f}\right)^2 + \frac{m^2}{(\chi'_{mn})^2 - m^2} \right]. \quad (4)$$

where  $\eta$  is the wave impedance for a plane wave inside an unbounded infinite medium,  $R_s$  is the surface loss resistance of the walls, and  $f_c$  is the lower cutoff frequency of the guide.

### B. Dielectric Section Losses

The total loss in the dielectric section  $\alpha_{\text{total}}$  can be considered as the sum of the sidewall conducting loss  $\alpha_c$  and the dielectric losses  $\alpha_d$

$$\alpha_{\text{total}} = \alpha_c + \alpha_d. \quad (5)$$

The conductive side wall losses can be calculated using (4) but the loss in the dielectric must be treated differently. The attenuation due to the lossy dielectric  $\alpha_d$  can be calculated from the complex propagation constant as shown in [20]. If the loss is small then the phase constant in the dielectric section can be assumed to be constant. The attenuation due to dielectric loss is given as follows:

$$\alpha_d = \frac{\omega^2 \mu \epsilon \tan \delta}{2\sqrt{\omega^2 \mu \epsilon - \left( \frac{\chi'_{mn}}{a} \right)^2}}. \quad (6)$$

TABLE I  
APERIODIC BRAGG RESONATOR ABCD MODEL  
SIMULATION PARAMETERS

PARAMETER	SYMBOL	VALUE
Cavity radius	$a$	60 mm
Dielectric permittivity	$\epsilon_r$	9.75
Centre frequency	$f_c$	$10 \times 10^9$ GHz
Dielectric Loss Tangent	$\tan \delta$	$1 \times 10^{-5}$
Wall conductivity - Silver	$\sigma$	$6.173 \times 10^7$ Sm <sup>-1</sup>
Air - Attenuation coefficient	$\alpha_a$	$1.09 \times 10^{-4}$ Npm <sup>-1</sup>
Air - Phase constant	$\beta_a$	$199.63$ radm <sup>-1</sup>
Dielectric - Attenuation coefficient	$\alpha_d$	$3.32 \times 10^{-3}$ Npm <sup>-1</sup>
Dielectric - Phase constant	$\beta_d$	$651.15$ radm <sup>-1</sup>

### C. Metal End Walls

The loss in the metal end walls of the cavity can be approximated by considering the complex propagation constant  $\gamma$  and intrinsic wave impedance  $\eta$  for a plane wave in a good conductor [21]. The ABCD parameters for the end wall section can be written as follows:

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 1/Z_s & 1 \end{bmatrix} \begin{bmatrix} V_2 \\ I_2 \end{bmatrix} \quad (7)$$

where

$$Z_s = (1 + j) \sqrt{\frac{\omega \mu}{2\sigma}} \quad (8)$$

where  $\sigma$  is the electrical conductivity of cavity shield.

Equations (1)–(8) can be used to entirely characterize the dielectric, air, and metal end wall sections of the resonator. The attenuation in the air and the dielectric sections along with the wall losses degrade the unloaded quality factor. Hence, to maximize the quality factor, it is critical that wall and dielectric losses are minimized. The side wall loss can be reduced by using a high conductivity metal such as copper, silver, or silver-plated aluminum. The dielectric losses were minimized by using a low-loss high-purity (99.9%) alumina (Al<sub>2</sub>O<sub>3</sub>) produced by CoorsTek.

The computational requirements of this ABCD model are minimal and the resonant frequency and quality factor for a given mode can be extracted very rapidly using standard circuit simulation techniques. One disadvantage of the model is that it considers each mode in isolation and therefore to calculate the resonant frequencies of other modes additional simulations are required. Table I summarizes the various constants used in the ABCD model.

### III. TUNEABLE RESONATOR DESIGN

In [17] and [22], a six-plate, fixed frequency, aperiodic Bragg resonator with a measured unloaded quality factor of 200000 was constructed and measured by this group. The design procedure for this resonator is briefly described as this is now used in the design of the new tuneable resonator.

Initially, the parameters, shown in Table I, were used in the ABCD model and an S-parameter simulation was performed for a periodic Bragg resonator. In a periodic Bragg resonator

TABLE II  
DIELECTRIC AND AIR SECTION REFLECTOR THICKNESSES FOR  
AN OPTIMIZED SIX-PLATE APERIODIC BRAGG RESONATOR

Section Identifier	Material	Length (mm)
LC	Air	17.033
L1	Dielectric	1.512
L2	Air	11.023
L3	Dielectric	1.887
L4	Air	9.300
L5	Dielectric	2.253
L6	Air	8.060

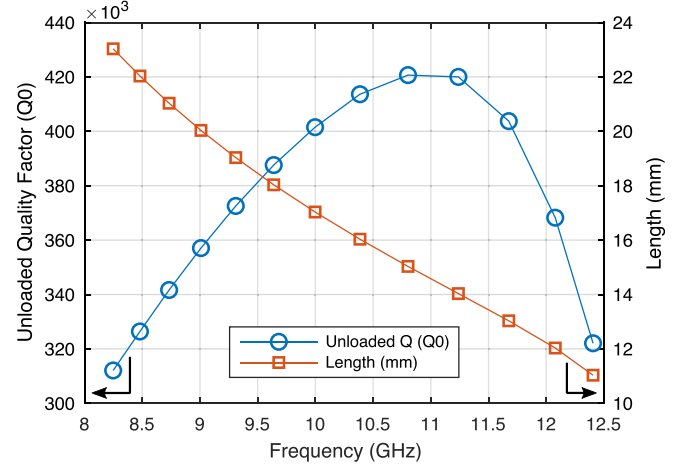


Fig. 1. Simulation of the quality factor and resonant frequency as a function of the central section length for the TE<sub>011</sub> mode of the tuneable aperiodic Bragg resonator.

each of the dielectric plates and air sections are one quarter of the guide wavelength ( $\lambda_g/4$ ) in thickness in order to maximize their reflectivity [10]. Once the dimensions of the air sections and the dielectric sections for a periodic resonator were obtained, the model was then split in two by removing the center section. The reflector section lengths for just one half of the resonator were then optimized until the magnitude of the input reflection coefficient reached a maximum. This was achieved by using the ABCD model in combination with a custom genetic optimization algorithm. The lengths are now dependent on the losses and dispersion in each section as well as the frequency of operation. The phase response of the reflection was taken into account by adjusting the length of the center section (LC) for the final complete resonator. For reference, the optimized reflector section lengths, for one half of the resonator, are shown in Table II. Section L6 is closest to the metal end wall of the resonator.

Tuning of the resonator center frequency can be achieved by changing the length of the center section. This is because the Bragg mirrors offer low loss high reflectivity over a broad frequency range, exceeding  $\pm 20\%$  of the center frequency. The nominal length of the center section (17.033 mm) was tuned by  $\pm 6$  mm in 1-mm increments and the ABCD model was used to simulate the new unloaded Q and center frequency for the TE<sub>011</sub> mode. A plot of the change in frequency and unloaded Q versus change in length is shown in Fig. 1.



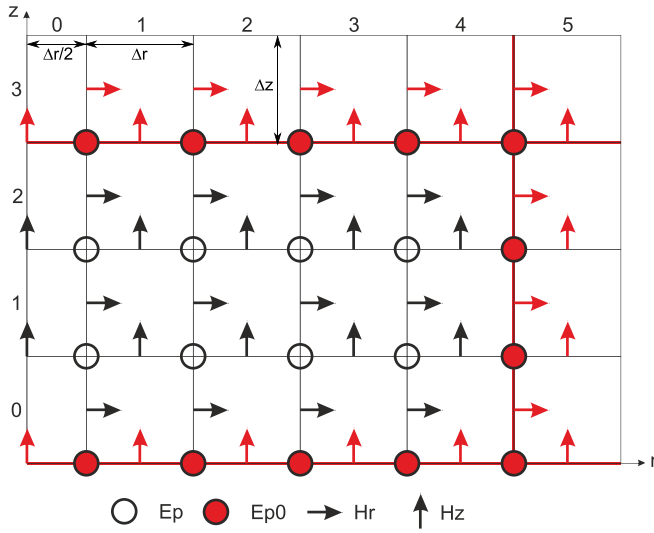


Fig. 2. Finite-difference mesh for the BOR computations.  $E_\phi$ ,  $H_z$ , and  $H_r$ -field components, which are required for the simulation of the  $TE_{01n}$  modes.

#### IV. NUMERICAL FIELD SOLVER

In order to determine a detailed field distribution inside the resonator cavity a custom parallel FDTD field solver was developed. Performing a 3-D full-wave simulation would be an extremely time-consuming task due to the large electrical size and high-Q nature of the structure. Fortunately, the cylindrical resonator we are considering in this paper is rotationally symmetric and this type of problem can be solved using the FDTD body of revolution (BOR) technique. This method expresses the azimuthal ( $\phi$ ) dependence of the fields as a Fourier series [23] and as a result it is not necessary to mesh the problem in the  $\phi$  dimension. This means that the FDTD-BOR method can be considered a 2.5-D technique with 2-D computational resource requirements. A disadvantage of this method is that a separate solver run is required for each of the azimuthal modes that are to be investigated. In this problem, we are primarily concerned with the  $TE_{01n}$  modes and so only a single solver run is required.

As we are only considering the  $TE_{01n}$  modes, the only field components required are  $E_\phi$ ,  $H_r$ , and  $H_z$ . The mesh used for the FDTD-BOR computations is illustrated in Fig. 2. The red circles and arrows represent the boundary conditions of the problem and are set to zero in order to represent a perfect electrical conductor (PEC).

The finite-difference equations used are based on those derived by Chen *et al.* [24]. The required update equations are as follows:

$$E_\phi^{n+1}(i, j) = \left( \frac{1 - \frac{\sigma_\phi \Delta t}{2\epsilon_0 \epsilon_\phi}}{1 + \frac{\sigma_\phi \Delta t}{2\epsilon_0 \epsilon_\phi}} \right) E_\phi^n(i, j) + \frac{\left( \frac{\Delta t}{\epsilon_0 \epsilon_\phi} \right)}{\left( 1 + \frac{\sigma_\phi \Delta t}{2\epsilon_0 \epsilon_\phi} \right)} \left[ \frac{H_r^{n+\frac{1}{2}}(i, j) - H_r^{n+\frac{1}{2}}(i, j-1)}{\Delta z} \right] - \frac{\left( \frac{\Delta t}{\epsilon_0 \epsilon_\phi} \right)}{\left( 1 + \frac{\sigma_\phi \Delta t}{2\epsilon_0 \epsilon_\phi} \right)} \left[ \frac{H_z^{n+\frac{1}{2}}(i, j) - H_z^{n+\frac{1}{2}}(i-1, j)}{\Delta r} \right] \quad (9)$$

$$H_z^{n+\frac{1}{2}}(i, j) = H_z^{n-\frac{1}{2}}(i, j) + \left( \frac{m \Delta t}{\mu_0 \mu_z i \Delta r} \right) E_r^n(i, j) - \frac{\Delta t}{\mu_0 \mu_z} \left[ \frac{\left( i + \frac{1}{2} \right) \Delta r E_\phi^n(i+1, j) - \left( i - \frac{1}{2} \right) \Delta r E_\phi^n(i, j)}{i \Delta r^2} \right] \quad (10)$$

$$H_r^{n+\frac{1}{2}}(i, j) = H_r^{n-\frac{1}{2}}(i, j) - \left( \frac{m \Delta t}{\mu_0 \mu_r (i - \frac{1}{2}) \Delta r} \right) E_z^n(i, j) + \frac{\Delta t}{\mu_0 \mu_r} \left[ \frac{E_\phi^n(i, j+1) - E_\phi^n(i, j)}{\Delta z} \right] \quad (11)$$

$$H_z^{n+\frac{1}{2}}(0, j) = H_z^{n-\frac{1}{2}}(0, j) - \left( \frac{4 \Delta t}{\mu_0 \mu_z \Delta r} \right) E_\phi^n(1, j). \quad (12)$$

Due to the singularities which occur for  $r = 0$ , a half cell is used at the axis and the  $H_z$  component is calculated using Faraday's law as shown in (12) [23]–[25]. The spatial increment and time step must be carefully selected in order to maintain numerical stability. The numerical stability limit for the BOR algorithm described here can be empirically represented [23] using the following equation:

$$\Delta t \leq \frac{\Delta x}{s \times c} \quad (13)$$

where  $\Delta x$  is the smallest spatial increment and  $s$  represents a stability factor that is dependent on the azimuthal mode number  $m$ . For  $m > 0$ ,  $s \approx m + 1$  and for  $m = 0$ ,  $s = \sqrt{2}$ . The time step was further reduced by an additional 10% to be conservative.

##### A. Quality Factor Computation

The Bragg resonator is an extremely high-Q structure and in order to perform an accurate simulation we must correctly model the loss in the metals walls as well as the dielectric materials. In a high conductivity metal, the skin effect dictates that the current density will be greatest near the surface of the conductor with a rapid decay as the field penetrates the metal. A very fine spatial grid and lengthy computation time would therefore be required in order to fully model the loss in the metal walls. The perturbation method as described by Wang *et al.* [26] can be used as an alternative. If the material is sufficiently low loss then we can assume that fields at the surface of the lossy material are not sufficiently different from the lossless case. The quality factor for a low-loss structure can then be expressed, in discrete form, as

$$Q_c = \frac{2}{\delta} \left( \frac{\sum_{\Delta V} \mu(i, j) |F_H(i, j)|^2 \Delta V}{\sum_{\Delta S} \mu(i, j) |F_{Ht}(i, j)|^2 \Delta S} \right) \quad (14)$$

$$Q_d = \omega_0 \left( \frac{\sum_{\Delta V} \epsilon(i, j) |F_E(i, j)|^2 \Delta V}{\sum_{\Delta V} \sigma(i, j) |F_E(i, j)|^2 \Delta V} \right) \quad (15)$$

where  $Q_c$  and  $Q_d$  are the quality factors associated with the conductive loss and dielectric loss, respectively.  $F_E(i, j)$  and  $F_H(i, j)$  are the complex values of Fourier transforms of the  $E$ - and  $H$ -field at the mesh point  $(i, j)$ .  $F_{Ht}(i, j)$  is the Fourier transform of the tangential magnetic field on the surface of the conductor walls.

TABLE III  
SIMULATION PARAMETERS FOR THE BOR FDTD SIMULATION  
OF THE TUNEABLE APERIODIC BRAGG RESONATOR

Parameter	Symbol	Value
Radial grid cells	$R$	202
Axial grid cells	$Z$	853
Radial step size	$\Delta r$	$2.993\text{e-}4$ m
Axial step size	$\Delta z$	$1.000\text{e-}4$ m
Time step	$\Delta t$	$2.123\text{e-}13$ s
Num time steps	$N$	280e6
Source centre frequency	$f_c$	10 GHz
Source bandwidth	$b$	10 GHz

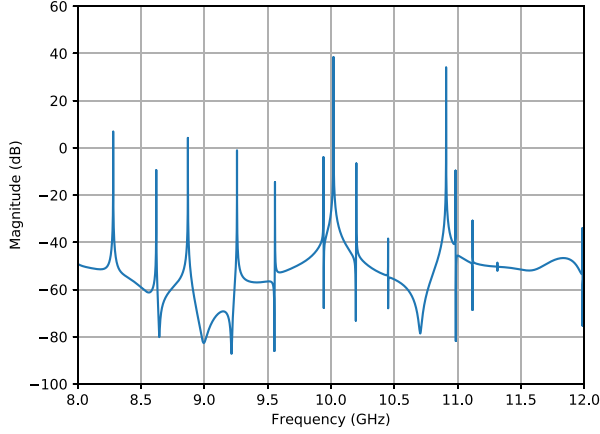


Fig. 3. Frequency response of the aperiodic Bragg resonator after  $280 \times 10^6$  iterations.

### B. Field Patterns

Energy is coupled into the FDTD mesh using an internal field source which was implemented by adding the value of a temporal driving function to the  $E$ -field at a specific node. A Gaussian modulated sinusoidal pattern was used as this signal has no dc component, is time limited and has a finite bandwidth. The cavity specifications are those outlined in Tables I and II and the simulation parameters are shown in Table III. A wideband source, placed at the center of the model, was used in order to excite a broad range of modes. The source energy was designed to fall to the simulation noise floor at the edges of the bandwidth.

Fig. 3 shows a frequency domain plot of the structure after  $280 \times 10^6$  iterations, normalized to the frequency response of the source excitation. The  $E_\phi$ -field in the monitored cell had decayed by 29 dB. The  $\text{TE}_{011}$  resonant mode is clearly visible at 10.02 GHz, as are many additional modes.

The perturbation method described previously was used to calculate the Q-factor of the cavity, at the center frequency of the  $\text{TE}_{011}$  mode, after every 10000 time steps. A Hann window was applied in the frequency domain to minimize the effects of the early truncation of the time series. A plot of the data is shown in Fig. 4 where it is clearly visible that by 4000000 iterations the Q-factor has converged to within  $\pm 0.01\%$  of its final value of 367275. This is lower than the predicted value of 401513 obtained from the ABCD model. The cause of this is thought to be the overly simplistic end

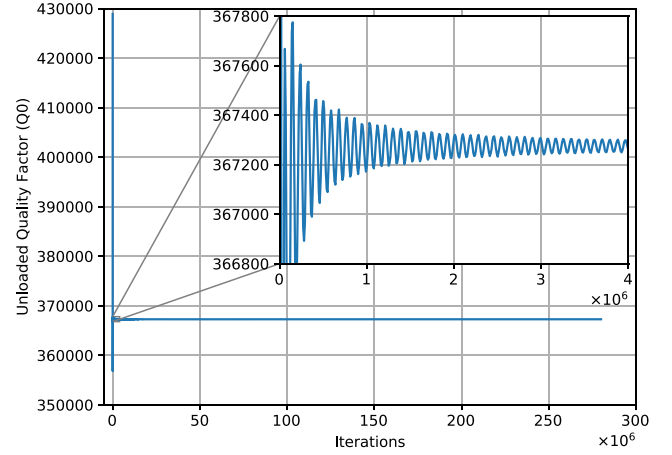


Fig. 4. Simulated quality factor of the aperiodic Bragg resonator. The Q-factor was calculated using the perturbation method after every 10000 time steps of the FDTD-BOR solver.

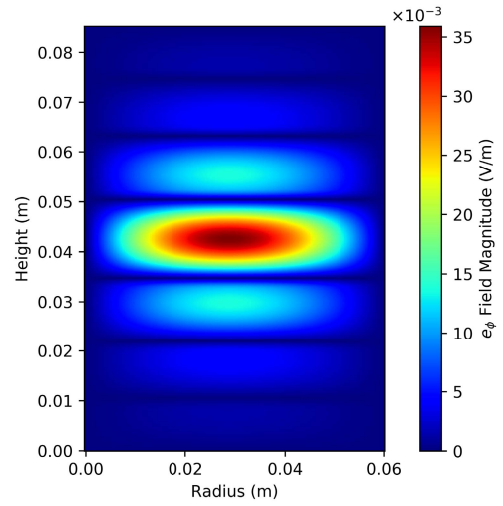


Fig. 5. Plot of the magnitude of the  $E_\phi$ -field inside the aperiodic Bragg resonator at 10.02 GHz.

wall model used in the ABCD waveguide simulations. This effect was not observed in the periodic Bragg field simulation as the end wall losses form a smaller portion of the total loss. This is because the high field regions are located closer to the center of the cavity in the periodic resonator.

Figs. 5–7 show 2-D plots of the magnitude of the  $E_\phi$ -,  $H_r$ -, and  $H_z$ -field distributions inside the cavity at the resonant frequency. These plots show the presence of the  $\text{TE}_{011}$  mode at 10.02 GHz.

Fig. 8 shows a plot of the voltage standing wave distribution predicted by the ABCD model and the magnitude of the  $E_\phi$ -field, from the FDTD-BOR model. These plots were created by normalizing each distribution to the peak field magnitude or voltage produced by the simulators. Both plots overlay which is why only one line (blue) is visible. It can be seen that the field peaks occur in the lower loss air sections outside of the dielectric plates. It is this redistribution of energy away from the higher loss dielectrics which increase the Q of the aperiodic resonator relative to an equivalent periodic Bragg

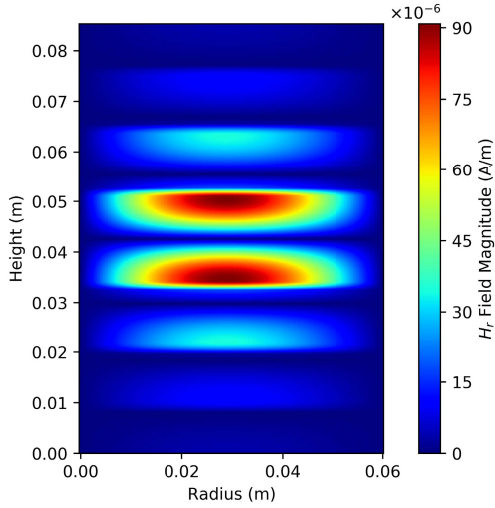


Fig. 6. Plot of the magnitude of the  $H_r$ -field inside the aperiodic Bragg resonator at 10.02 GHz.

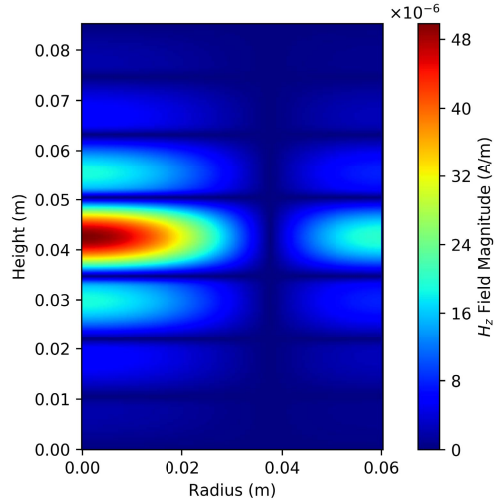


Fig. 7. Plot of the magnitude of the  $H_z$ -field inside the aperiodic Bragg resonator at 10.02 GHz.

design. A similar plot for a periodic Bragg resonator is shown in [17].

## V. TUNEABLE RESONATOR CONSTRUCTION

The resonator developed in [17] was modified and a new center section was designed in order to tune the center frequency. Initially, a tuneable center section consisting of two close fitting concentric cylinders was used but due to energy leakage the  $Q$  was greatly degraded. As a result, a new center section was designed where the central section consists of an upper section, bottom section and a solid middle section for the probes with two bellows either side of the middle section. The air waveguide dimensions of the center sections were optimized to incorporate the thickness of the copper sheets which form the tuning bellows. A cross-sectional view of the prototype resonator is shown in Fig. 9.

The following construction technique was used to fabricate the center section: first, each bellows is made up of two

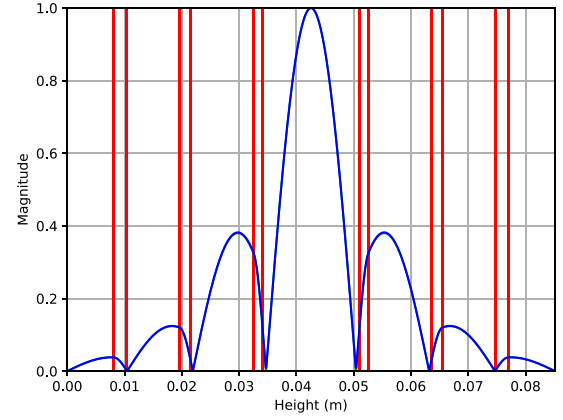


Fig. 8. Normalized plots of the voltage standing wave distribution predicted by the ABCD model and the magnitude of the  $E_\phi$ -field taken along the center of the aperiodic Bragg resonator model.

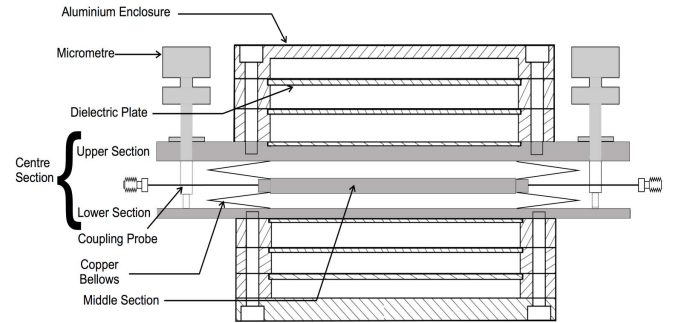


Fig. 9. Cross-sectional view of the six-plate tuneable aperiodic Bragg Resonator. The tuning bellows are visible as are the coupling probes.

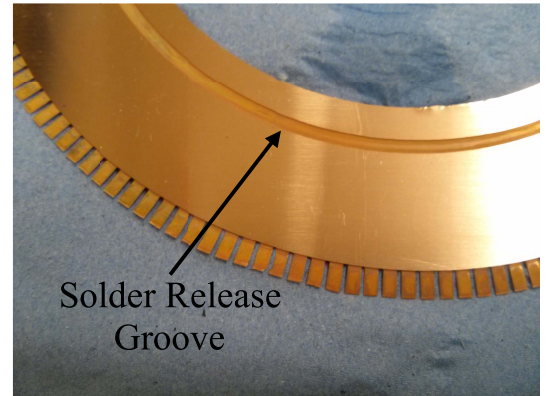


Fig. 10. Copper rings which form the tuning bellows. The etched solder release groove is visible, and these are used to control the position of the solder during assembly.

large copper rings cut by etching from 0.3-mm copper sheet. In addition, solder release grooves were etched into the rings as shown in Fig. 10. This controls the exact position of the solder within the bellows and also prevents it from flowing into the cavity which may degrade the quality factor. These rings also have a number of tabs around the outer edges which are folded shut to ensure the bellows remain soldered during the later processing stages. This was then soldered to the upper

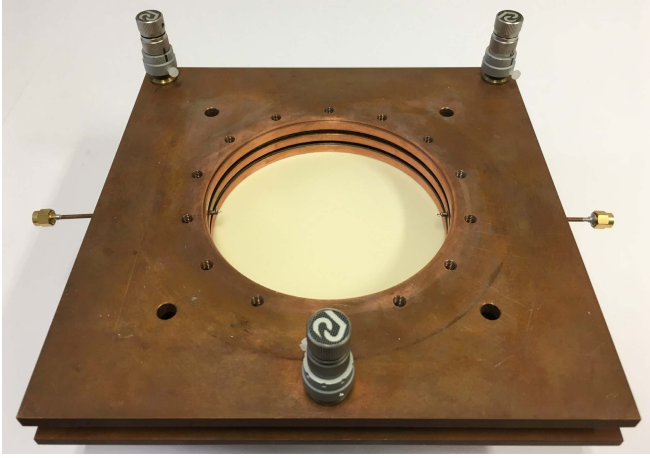


Fig. 11. Tuneable cavity center section with the micrometers and the loop probes used to excite the cavity.

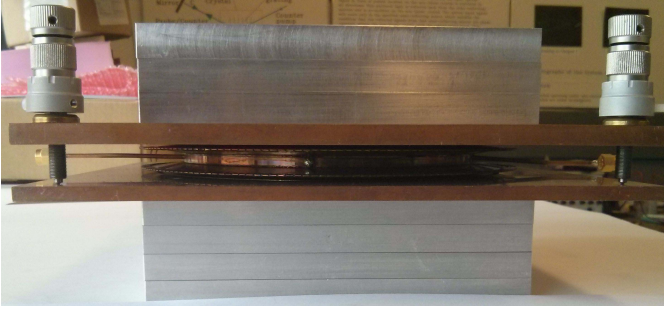


Fig. 12. Tuneable Bragg resonator showing the micrometers and upper and lower reflector sections.

section and finally the entire assembly was soldered to the lower section to form the tuneable center section. Since a number of different soldering operations have to be performed at different times, two different temperature solders (unleaded and leaded solder) were used to stop the solder from reflowing when a new joint was produced.

To obtain the correct ratio of loaded-to-unloaded  $Q$  ( $Q_L/Q_0$ ) and insertion loss for low-noise oscillators [27]–[29], the probes need to be placed in the middle of the center section close to the cavity wall. The tuneable center section is shown in Fig. 11. Micrometers were used to tune the length of the central section. It is planned to use an electronic micrometer with piezo-nanotips for coarse and fine electric control.

The complete resonator assembly including the micrometers and the upper and lower reflector sections is shown in Fig. 12.

## VI. MEASUREMENT RESULTS

Measurements of the tuning range were achieved by initially setting the micrometers to a maximum position so that the spacing between the upper section and the lower section was maximized. The micrometers were then adjusted so that the high- $Q$  mode was observed with reasonable spacing from the nearest unwanted modes. The forward transmission coefficient scattering parameter ( $S_{21}$ ) was measured on a network analyzer for a frequency span of 1 GHz as shown in Fig. 13.

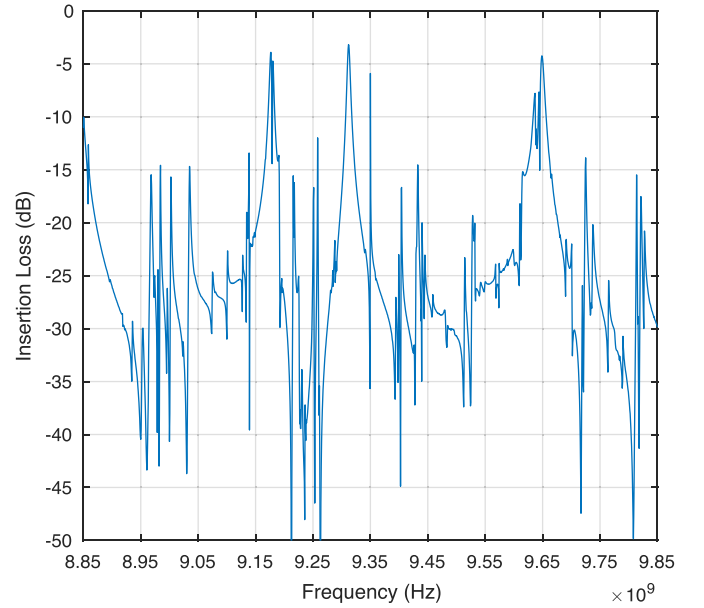


Fig. 13. Plot of the measured forward transmission coefficient ( $S_{21}$ ) for the six-plate aperiodic tuneable Bragg resonator for a frequency span of 1 GHz.

The high- $Q$  resonance can be clearly seen at the center of this plot. Many additional modes are also clearly visible.

Once the high- $Q$  mode was located, the micrometers were then slowly adjusted in 10-MHz steps in order to tune the resonant frequency. A combined plot showing the tuning range of this mode is shown in Fig. 14. Each trace shows a plot of  $S_{21}$  over a span of 100 MHz and the traces are cascaded left to right with increasing frequency. A tuning region of 130 MHz from 9.30 to 9.43 GHz is observed. Within this range, the resonance passes through several lower  $Q$  modes degrading the quality factor at these frequencies.

Fig. 15 shows plots, with a 100 MHz span, of the wanted mode at the start (blue trace), middle (red trace), and end (yellow trace) of the tuning range. At the start of the tuning range (9.3 GHz), the closest unwanted mode is approximately 4 MHz lower in frequency than that of the desired mode and as we tune the center section, we move away from this mode. At the center of the tuning range, which is 9.37 GHz, the unwanted modes are approximately 9 MHz below and 24 MHz above the center frequency. Finally, at the end of the tuning range at 9.43 GHz, the closest unwanted mode is approximately 4 MHz higher in frequency.

The span was reduced to 1 MHz (1601 points) to get an accurate measurement of the insertion loss ( $S_{21}$ ) and the loaded  $Q$  ( $Q_L$ ) for the individual frequencies. A nine-point moving average filter was applied to the measured S-parameter data before calculating the quality factor. The unloaded  $Q$  ( $Q_0$ ) was calculated from (16) using the return loss method [30]. The advantage of this method is that it does not assume the input–output couplings are equal and can therefore account for any unequal coupling which results from differences in the structure and position of the probes

$$Q_0 = \left( \frac{2Q_L}{S_{11} + S_{22}} \right). \quad (16)$$



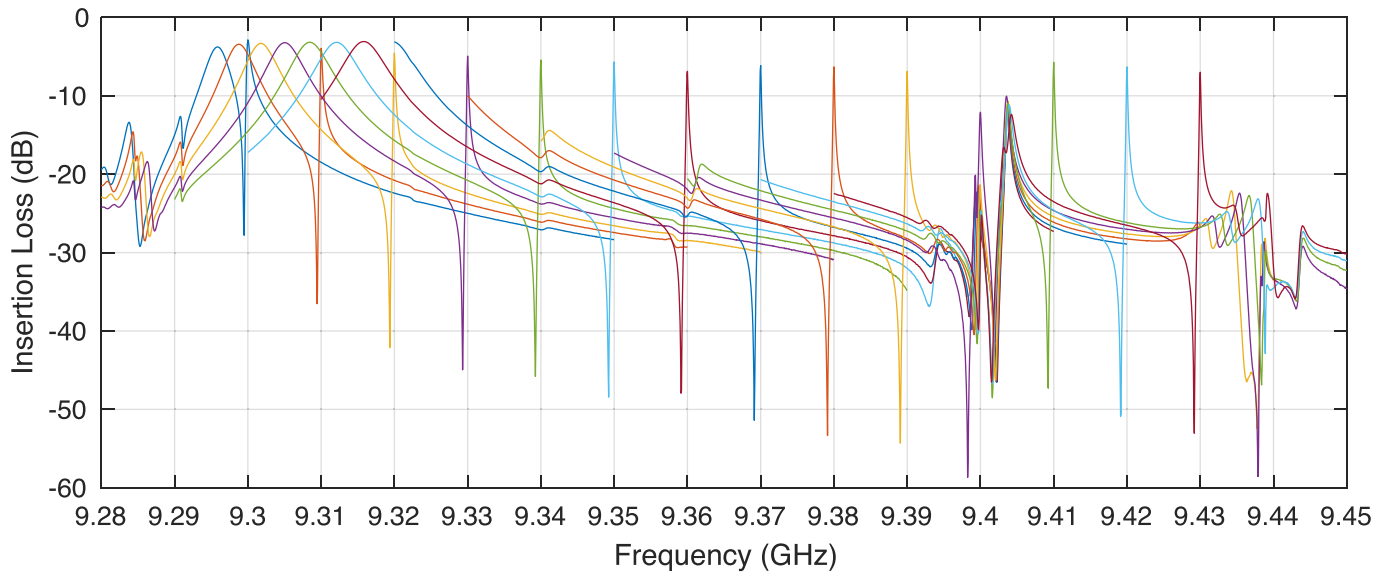


Fig. 14. Plot of the measured insertion loss versus frequency over the tuning range. Each trace shows a plot of  $S_{21}$  over a span of 100 MHz, and the traces are cascaded left to right with increasing frequency.

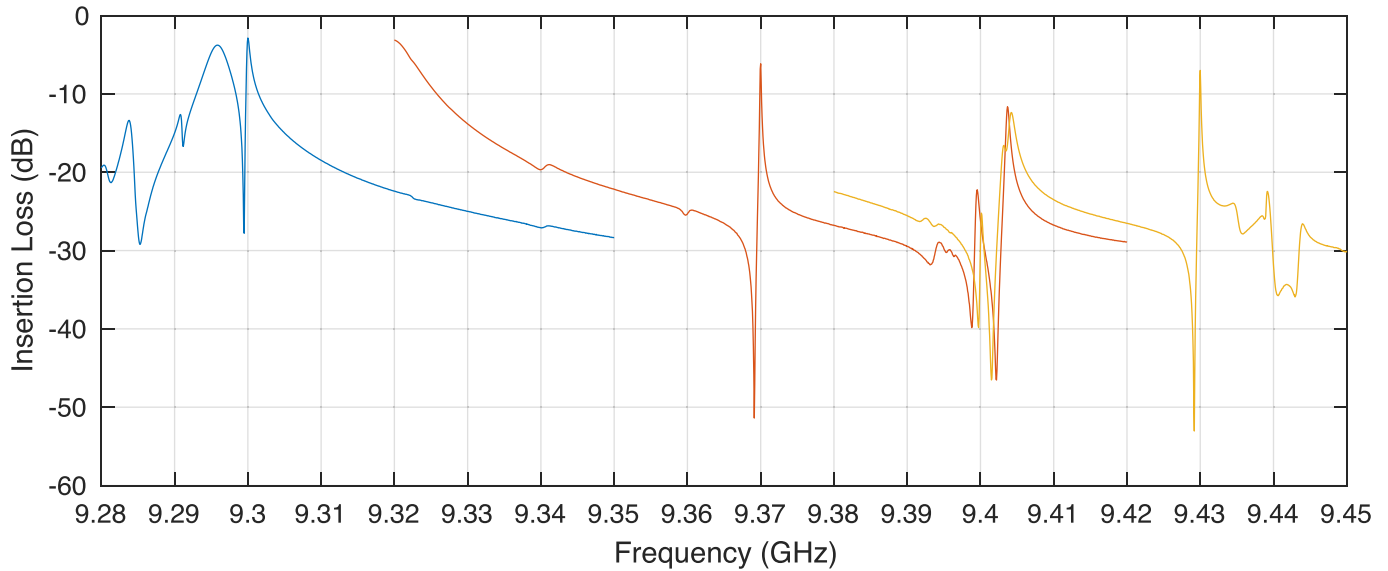


Fig. 15. Plot of the measured insertion loss versus frequency at the start, center, and end of the tuning range. The location of the closest spurious modes is visible.

The results are shown in Fig. 16 where it can be seen that the maximum and minimum unloaded quality factors are 122 550 and 43 788, respectively, over the tuning range (130 MHz). The insertion loss ( $S_{21}$ ) varies between  $-2.84$  and  $-12.03$  dB over this range. Ignoring the frequencies (9.3, 9.36, and 9.4 GHz) where the wanted resonance interacts with an unwanted mode, the unloaded  $Q$  is above 100 000 and the insertion loss above  $-7$  dB. There may be other frequencies where this problem can occur because it is difficult to make high- $Q$  measurements over such a broad frequency range.

A plot of insertion loss versus frequency with a narrow span of 1 MHz with the 3-dB points for the highest unloaded  $Q$  of 122 550 is shown in Fig. 17. The insertion loss ( $S_{21}$ ) was measured to be  $-6.15$  dB at 9.38 GHz. The unloaded  $Q$  is

significantly lower than the numerical simulation results. This may be due to conductor losses in and around the bellows including losses in the solder as well as leakage and mode conversion due to the discontinuities in the structure. Also, the loss tangent ( $\tan \delta$ ) of the Alumina plates may have been larger than the manufacturer's specification resulting in increased dielectric losses. Performing a second numerical simulation with a  $\tan \delta$  of  $2 \times 10^{-5}$  demonstrates an unloaded quality factor of 219 476. Finally, the slots in the metal used to support the Alumina discs will have introduced discontinuities into the structure as well as providing small openings at their interfaces that could potentially radiate. It should also be noted that in order to achieve a significant tuning range the bellows were located in the central region of the cavity. This is a high

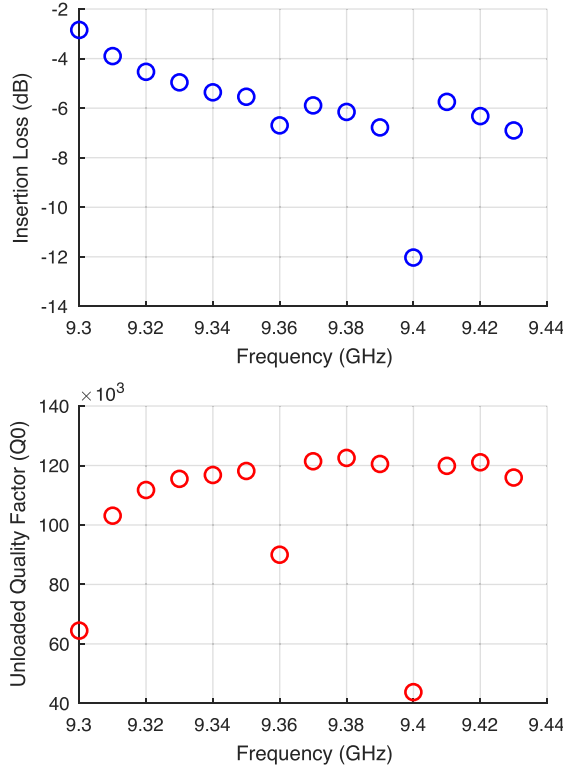


Fig. 16. Plot of the measured insertion loss and unloaded quality factor ( $Q_0$ ) for the tuneable Bragg resonator. The cavity was tuned over a 130-MHz range.

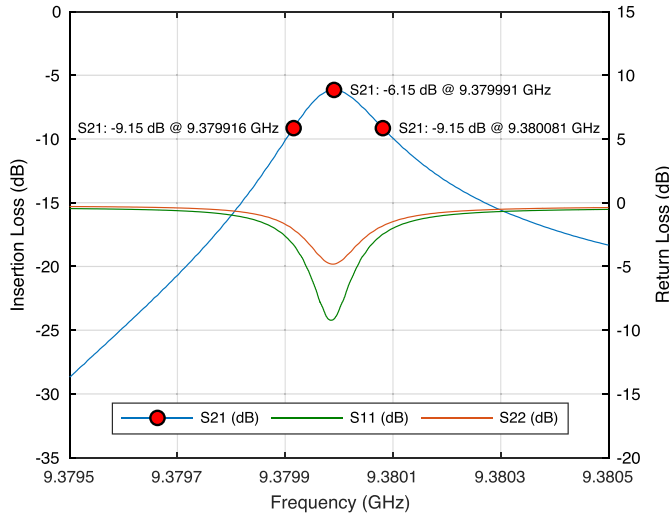


Fig. 17. Plot of measured insertion loss versus frequency with a 1-MHz span showing an unloaded  $Q$  of 122550.  $S_{21} = -6.15$  dB,  $S_{11} = -9.13$  dB, and  $S_{22} = -4.81$  dB at 9.38 GHz.

field, high energy storage region, and radiation or losses in this section are likely to degrade the  $Q$ -factor. An absorbing boundary condition could be implemented in the 2.5-D field solver [25]. A narrow slot could then be modeled in the central air region and the effect of radiation losses investigated.

## VII. DISCUSSION OF TEMPERATURE STABILITY

The temperature dependence of a tuneable resonator can reduce the maximum allowable tuning range around a fixed center frequency because the center frequency will shift as a function of temperature.

The effect of this can be reduced by active temperature compensation and by varying the temperature coefficients of the materials used to construct the resonator. Based on the data sheet, the dielectric (alumina) used in this paper has a coefficient of thermal expansion (CTE) of approximately  $+8.2 \times 10^{-6}/^\circ\text{C}$  and the aluminum shield has a CTE of approximately  $+22.2 \times 10^{-6}/^\circ\text{C}$ . The variation in the alumina dielectric constant with temperature is not known.

The cavity is also held together with 28 steel bolts, the torque on these is not fixed as these are adjusted to obtain maximum  $Q$  (minimum leakage) between the metal sections. This leakage is probably due to the imperfect flatness of the metal plates.

Calculating the temperature dependence of the resonant frequency is therefore nontrivial and further evaluation through measurement and modeling will be required.

## VIII. CONCLUSION

In this paper, we have demonstrated a high- $Q$  tuneable Bragg resonator with a tuning range of 130 MHz. The insertion loss  $S_{21}$  varies from  $-2.84$  to  $-12.03$  dB while the unloaded  $Q$  varies from 43 788 to 122 550 over the tuning range. At the center of the tuning range, there are unwanted modes approximately 9 MHz below 24 MHz above the center frequency.

The fitness function in the optimization algorithm could be modified to include mode to mode spacing as well as the magnitude of the input reflection coefficient. It may then be possible to find alternative plate arrangements that have a more desirable spurious mode response.

We believe that this combination of quality factor and tuning range is not possible with any other microwave resonators present in the literature. Broad tuning ultrahigh- $Q$  cavities such as this one will enable versatile ultra-low phase noise tuneable oscillators offering broadband electromechanical coarse tuning and electronic fine tuning.

X-band oscillators with a fixed frequency Bragg resonator which have an unloaded  $Q$  of 200 000 are currently under investigation. Initial phase noise results for these oscillators are around  $-153$  dBc/Hz at 10-kHz offset. The results are based on a measured residual flicker noise corner of around 26 kHz (an operating power noise figure of 8.1 dB including phase shifter/cable losses) and a  $P_{AVO}$  at the input of the resonator of 11.5 dBm. These results are within 1 dB of the theory [27], [28]. Also, residual phase noise measurements of the active components are being measured using a broadband cross correlation phase noise measurement system [31].

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