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1 **Title:** The Evolution of Host Defence To Parasitism in Fluctuating Environments.

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6 **Keywords:** Adaptive dynamics, Host-parasite, Host evolution, Seasonality

7 **Abstract:**

8 Given rapidly changing environments, it is important for us to understand how the evolution of
9 host defence responds to fluctuating environments. Here we present the first theoretical study
10 of evolution of host resistance to parasitism in a classic epidemiological model where the host
11 birth rate varies seasonally. We show that this form of seasonality has clear qualitative and
12 quantitative impacts on the evolution of resistance. When the host can recover from infection,
13 it evolves a lower level of defence when the amplitude is high. However, when recovery is absent,
14 the host increases its defence for higher amplitudes. Between these different behaviours we find
15 a region of parameter space that allows evolutionary bistability. When this occurs, the level
16 of defence the host evolves depends on initial conditions, and in some cases a switch between
17 attractors can lead to different periods in the population dynamics at each of the evolutionary
18 stable strategies. Crucially, we find that evolutionary behaviour found in a constant environment
19 for this model doesn't always hold for hosts with highly variable birth rates. Hence we argue
20 that seasonality must be taken into account if we want to make predictions about evolutionary
21 trends in real-world host-parasite systems.

22 1. Introduction

23 Given the ubiquity of infectious diseases in natural systems there is strong selection pressure
24 on host organisms to evolve costly defence mechanisms. A wide range of theoretical work has
25 been developed to understand the evolution of host defence against parasitism, with much of
26 this work focused on the ecological/epidemiological feedbacks that drive selection of quantitative
27 host defence (van Baalen, 1998; Boots & Haraguchi, 1999; Boots & Bowers, 1999, 2004; Restif
28 & Koella, 2003; Miller et al., 2005, 2007; Bonds, 2006; Best et al., 2008, 2009; Carval &
29 Ferriere, 2010). These studies have explored how long-term, stable investment in host defence
30 varies with ecological/epidemiological parameters, as well as determining the conditions that
31 can lead to coexistence of strains through evolutionary branching. However, the vast majority
32 of these studies assume that the populations live in a temporally static environment. In reality,
33 almost all natural systems are subject to some degree of temporal environmental heterogeneity,
34 in particular fluctuations caused by seasonality. For example, many natural species exhibit
35 seasonal reproductive strategies driven by regular environmental fluctuations (Rowan, 1938;
36 Stawski et al., 2014; Ketterson et al., 2015; Furness, 2016). It is therefore essential that we
37 consider the impact of fluctuating environmental conditions on the evolution of host defences.

38 It is well established that variable climates affect ecological systems (Ewing et al., 2016), in-
39 cluding the spread and impact of diseases (Fine & Clarkson, 1982; Finkenstädt & Grenfell,
40 2000; Altizer et al., 2006). Many theoretical studies have considered the effects of seasonality
41 in purely epidemiological models (i.e., non-evolutionary), often through a periodic transmission
42 rate (Schwartz & Smith, 1983; Aron & Schwartz, 1984; Olsen & Schaffer, 1990). Increasing the
43 amplitude of the transmission rate can generate sub-harmonic oscillations or cause the popula-
44 tion dynamics to move through a series of period-doubling bifurcations, eventually leading to
45 chaotic dynamics (Grossman, 1980; Schwartz & Smith, 1983; Greenman et al., 2004; Grassly
46 & Fraser, 2006; Childs & Boots, 2010). Small perturbations in these seasonal models can also
47 trigger the system to switch between distinct attractors, often due to resonance, potentially
48 leading to significant changes in the population dynamics and different patterns of outbreaks
49 (Smith, 1983; Schwartz, 1985; Keeling et al., 2001; Kamo & Sasaki, 2002; Greenman et al.,
50 2004). These complex dynamics have been found to exist less frequently when seasonality is
51 assumed to occur in the host birth rate rather than transmission (White et al., 1996; Begon et
52 al., 2009; Duke-Sylvester et al., 2011; Dorélien et al., 2013; Peel et al., 2014). Predictions about

53 the impact of a disease are likely to be more accurate when either of these types of seasonality
54 are included in the model (White et al., 1996; Kamo & Sasaki, 2002).

55 There is an increasing appreciation of the importance of temporal heterogeneity in host-enemy
56 interactions within the experimental evolution literature (Blanford et al., 2003; Friman & Laakso,
57 2011; Hiltunen et al., 2012; Harrison et al., 2013), for example showing that rapidly fluctuat-
58 ing environments constrain co-evolutionary arms races in a bacteria-phage system (Harrison et
59 al., 2013). Theoretically, however, evolution and seasonality have rarely been studied together
60 in a host-parasite context. The few studies that do exist have either investigated evolution
61 of only the parasite (Koelle et al., 2005; Sorrell et al., 2009; Donnelly et al., 2013), or used
62 a genetic-based, rather than ecology-driven, model for evolution of the host (Nuismer et al.,
63 2003; Mostowy & Engelstädter, 2011; but see Poisot et al., 2012). Seasonality in the host’s
64 birth rate does not affect the evolution of the parasite’s transmission/virulence strategy un-
65 less a density-dependence is applied to virulence (parasite-induced mortality) (Donnelly et al.,
66 2013). This occurs because the average susceptible density, and therefore the parasite fitness,
67 doesn’t depend on the seasonal parameters unless this density-dependence is included. Else-
68 where, step-wise environmental variation implemented through a dynamic resource was found
69 to change the coevolutionary outcomes in a gene-for-gene based host-parasite system (Poisot et
70 al., 2012). In particular, they found that both the host and parasite invest more in resistance
71 and infectivity respectively for higher amplitudes in the seasonality. However, we currently have
72 no theory specifically addressing the impact that seasonality has on the evolution of host defence
73 to parasitism.

74 Here we investigate the impact of a continuous seasonal birth rate on the evolution of quantitative
75 host avoidance through small mutation steps using an evolutionary invasion (adaptive dynamics)
76 method. We use a classic SIS (Susceptible-Infected-Susceptible) model, and focus on how the
77 amplitude and period of the implemented seasonality impacts the ecological/epidemiological
78 dynamics, and therefore the evolution of the host.

79 **2. Methods**

80 The population is modelled using an SIS (susceptible-infected-susceptible) framework with the
81 following set of ordinary differential equations:

$$\frac{dS}{dt} = a(1 - qN)S - bS - \beta SI + \gamma I, \quad (1)$$

$$\frac{dI}{dt} = \beta SI - (b + \alpha + \gamma)I, \quad (2)$$

82

83 where S and I are the susceptible and infected population sizes respectively, and $N = S + I$ is
84 the total population size (Anderson & May, 1981). All offspring are born susceptible at rate a ,
85 and only susceptible hosts are able to reproduce, i.e. the parasite renders the host (temporarily)
86 sterile. The births are limited by density with crowding coefficient q , so that birth rate is low
87 when competition is high. All hosts die at baseline mortality rate b , with an additional infected
88 death rate α . The parasite is transmitted to susceptible hosts at rate βI due to contact with
89 infected individuals. Hosts recover from the parasite at rate γ and return to the susceptible
90 class with no acquired immunity. Default parameter values are given in table 1.

91 We assume that seasonality occurs on the ecological timescale, so to incorporate this we let the
92 birth rate depend periodically on time t :

$$a = a(t) = a_0(1 + \delta \sin(2\pi t/\epsilon)), \quad (3)$$

93 where a_0 is the average birth rate, $\delta \in [0, 1]$ is the amplitude and $\epsilon > 0$ is the period of the
94 forcing. Periodic birth rates have been observed in a large number of species (Rowan, 1938;
95 Ketterson et al., 2015), and this type of function has been used many times to model a time-
96 varying birth rate (He & Earn, 2007; Donnelly et al., 2013; Dorélien et al., 2013) or transmission
97 rate (Schwartz & Smith, 1983; Grassly & Fraser, 2006; Childs & Boots, 2010). For our default

Parameter	Definition	Default Value
\hat{a}_0	Trade-off coefficient in the average birth rate	108
p	Trade-off coefficient in the average birth rate	103.75
c	Trade-off coefficient in the average birth rate	1.5
β	Transmission coefficient	Varies
β_{\min}	Minimum transmission coefficient	0.5
β_{\max}	Maximum transmission coefficient	10
δ	Amplitude of the birth rate forcing	Varies
ϵ	Period of the birth rate forcing	1
q	Crowding coefficient acting on births	0.1
b	Baseline mortality rate	1
γ	Recovery Rate	Varies
α	Virulence/additional death rate due to parasite	1

Table 1: Parameter definitions and default values.

98 parameter values, the period ϵ is the same as the average lifespan b (1 year), but see section 3.4
 99 for varying ϵ or Appendix F for alternative b .

100 We assume that the host evolves defence through the transmission coefficient (avoidance) β . We
 101 let the average birth rate depend on this as a trade-off so that there is a cost to resisting the
 102 parasite, as there is experimental support for such a relation to exist (Boots & Begon, 1993).
 103 We use the following trade-off function based on that used by White et al. (2006):

$$a_0 = a_0(\beta) = \hat{a}_0 - p \frac{\left(1 + \frac{\beta - \beta_{\min}}{\beta_{\max} - \beta_{\min}}\right)}{\left(1 + c \frac{\beta - \beta_{\min}}{\beta_{\max} - \beta_{\min}}\right)}, \quad (4)$$

104 where $\hat{a}_0 > 0$, $0 < p < \hat{a}_0$, $c > 1$ and $\beta \in [\beta_{\min}, \beta_{\max}]$. $a_0(\beta)$ has minimum $\hat{a}_0 - p$, and
 105 parameters p , c determine the gradient and curvature of the trade-off, which needs to have
 106 positive gradient: as the host invests in defence against the parasite (β decreases), less can be
 107 invested in reproduction ($a_0(\beta)$ decreases) (Boots & Haraguchi, 1999; Geritz et al., 2007). The
 108 constraints on the trade-off parameters give accelerating costs of defence, so that it is more costly
 109 to invest in resistance when defence is already high $\left(\frac{d^2 a_0(\beta)}{d\beta^2} < 0\right)$, see figure A.1 in Appendix
 110 A. Accelerating trade-offs generally lead to evolutionary attractors (Hoyle et al., 2008), which
 111 will be our focus here.

112 We use the adaptive dynamics method to study evolution of the host in the transmission coef-
 113 ficient β . The method involves adding a rare mutant with susceptible and infected population
 114 sizes S_m , I_m and transmission coefficient β_m very close to the resident transmission coefficient β .
 115 We assume that mutants occur infrequently so that the resident population reaches the dynamic
 116 attractor of the population dynamics (generally a limit cycle here) before the next mutant is
 117 introduced (Geritz et al., 1998). When a new mutant arises, it is rare compared to the current
 118 population, so we assume that the resident remains at its limit cycle as long as the mutant
 119 population is small (Geritz et al., 1998). To analyse how the host evolves, we consider the mu-
 120 tant's fitness, defined to be the long-term exponential growth rate of the mutant in the current
 121 environment (Metz et al., 1992).

122 In the case where $\gamma = 0$, the fitness is relatively simple to find. We no longer have infected
 123 mutants (they are absorbed into I), and we can read off the time-varying growth rate $r(t)$ of the
 124 mutant host from the linearisation of the equation for the susceptible mutant ($dS_m/dt = r(t)S_m$,
 125 see Appendix B). Following the method from Donnelly et al. (2013), we can then take the average

126 of this over one period to find the mutant fitness:

$$r = \frac{1}{T} \int_{P_0}^{P_1} r(t) dt = \frac{a_0(\beta_m)}{T} \int_{P_0}^{P_1} \left\{ \left[1 + \delta \sin \left(\frac{2\pi t}{\epsilon} \right) \right] [1 - qN(t)] \right\} dt - b - \frac{\beta_m}{T} \int_{P_0}^{P_1} I(t) dt, \quad (5)$$

127 where T is the period of the system, P_0 is an arbitrary time after the resident dynamics have
 128 reached a limit cycle, and $P_1 = P_0 + T$.

129 Unfortunately we cannot use this averaging method when $\gamma > 0$. Instead, we have to find the
 130 Lyapunov exponents or Floquet multipliers numerically (Metz et al., 1992; Klausmeier, 2008).
 131 We do this by letting the linearly independent solutions of the linearised mutant equations be
 132 of the form $\mathbf{X}_i(t) = e^{\mu_i t} \mathbf{p}_i(t)$ for $i \in 1, 2$ (Grimshaw, 1990), and then take the largest μ_i as the
 133 mutant fitness. A full discussion of the method is given in Appendix B. We also ran stochastic
 134 simulations which relax the separation of timescales assumption, and these confirm our key
 135 results, for examples see figure 2 and Appendix D.

136 3. Results

137 3.1. Population dynamics

138 To explore how the population dynamics shape selection, we first consider the nature of the
 139 attractors of equations (1) - (2). For most parameter sets, the period of the population dynamics
 140 is equal to that of the forcing in the birth rate, i.e. $T = \epsilon$. However, there are parameter regions
 141 where the population undergoes a period-doubling bifurcation with resulting cycles of period
 142 $T = \lambda\epsilon$ for some positive integer λ . We can also find cases of multiple attractors, often with
 143 different periods. After finding this period, we can write down the average size of each class as
 144 follows (method in Appendix C):

$$\hat{S} = \frac{1}{T} \int_{P_0}^{P_1} S(t) dt = \frac{\alpha + b + \gamma}{\beta} \quad (6)$$

$$\hat{I} = \frac{1}{T} \int_{P_0}^{P_1} I(t) dt = \frac{\beta}{(\alpha + b + \gamma)T} \int_{P_0}^{P_1} SI dt. \quad (7)$$

146 Immediately we can see that the average susceptible population \hat{S} does not depend on either of
 147 the seasonal parameters. However, this is not the case for the average infected population \hat{I} ,

148 which we have to evaluate numerically for $\delta > 0$. For the default parameter values in table 1,
 149 we find that \hat{I} increases with the amplitude of seasonality δ , and hence the average prevalence
 150 $\left(\frac{1}{T} \int_{P_0}^{P_1} \frac{I(t)}{N(t)} dt\right)$ of the parasite also increases. When we vary the period ϵ , \hat{I} increases to a peak
 151 at $\epsilon \approx 1.5$ due to resonance with the unforced system, then decreases as ϵ continues to increase.
 152 This is discussed further in section 3.4. Considering the fitness expression in (5), it is clear that
 153 the effect of seasonality on these population averages will have crucial impacts on host evolution
 154 for all recovery values, unlike with parasite evolution (Donnelly et al., 2013). We can therefore
 155 use these averages to explain how the host evolves in response to changes in parameters.

156 3.2. Evolution for $\gamma = 0$

157 When we set $\gamma = 0$, we revert back to the simpler SI model. As stated in section 2, we can write
 158 down the fitness of the host in this case for all $\delta \in [0, 1]$ in equation (5). Here we only consider
 159 continuously stable strategies (CSSs) unless stated otherwise, i.e. singular points that are both
 160 evolutionarily stable (ES) and convergence stable (CS) as defined by Geritz et al. (1998) which
 161 lead to long-term evolutionary attractors. This behaviour was confirmed using pairwise-invasion
 162 plots (PIPs) and simulations over a range of parameters, for an example see Appendix D.

163 When δ is increased from 0, we find that the average infected population increases and so does
 164 the investment in defence (i.e. β^* decreases & higher defence), see figure 1(a),(b). This is what
 165 we would naively expect: as the average infected population increases, the host has to invest
 166 more in resistance against the parasite to reduce the proportion of infected individuals (Boots
 167 & Haraguchi, 1999; Boots et al., 2009).

168 In section 3.1 we mentioned that for particular parameter sets, period-doubling bifurcations and
 169 bistability between different attractors in the population dynamics can occur. Figure 1(c),(d)
 170 shows an example of this phenomenon together with host selection. As we increase δ , there
 171 is a point at which the 1-year solution undergoes a period-doubling bifurcation. The resulting
 172 2-year solution then goes through two folds, after which a stable solution exists, see Appendix E.
 173 Bistability between different solutions for $\delta \in (0.57, 0.63)$ causes overlap of the singular points
 174 given by each cycle, giving a discrete change in the CSS resistance β^* and average infected
 175 population, figure 1(c),(d). Note that due to the basins of attraction for each CSS within
 176 the bistability region, the host can only evolve towards the $T = 2$ singular point for initial
 177 transmission coefficient β_0 greater than the lower bound of the bistability region, see Appendix

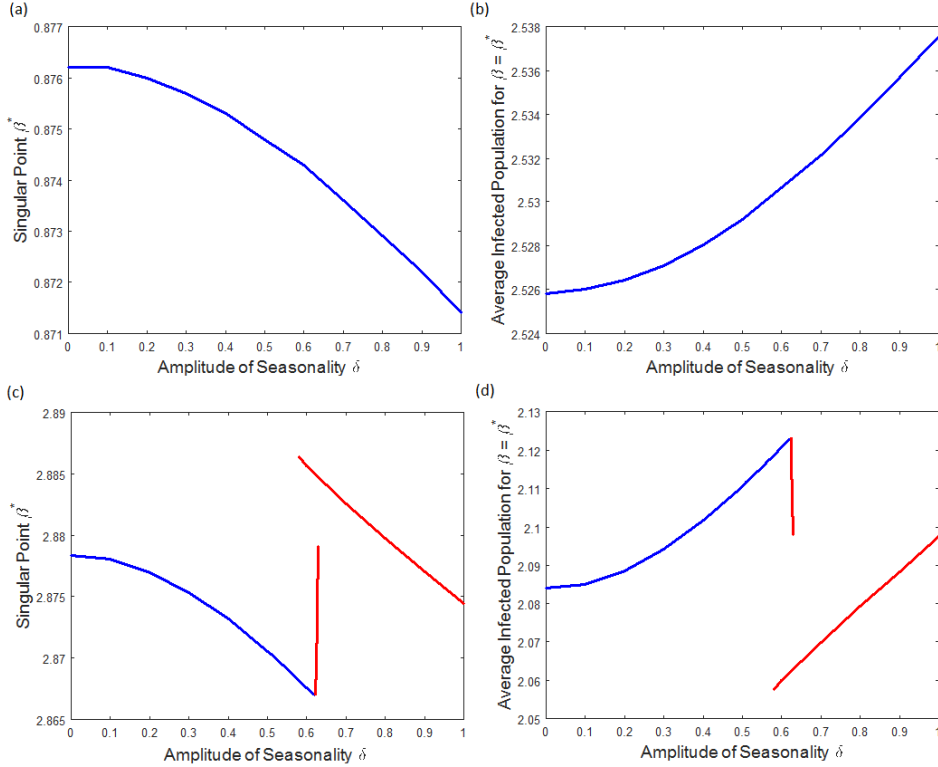


Figure 1: Change in (a),(c) the singular point β^* and (b),(d) the average infected population for $\beta = \beta^*$ as the amplitude of seasonality δ varies for $\gamma = 0$. Default parameters were used in (a),(b), with $\hat{a}_0 = 104$ in (c),(d). In (c),(d), on the left only the 1-year solution is stable, and on the right only the 2-year solution. In the centre there is bistability between the 1 and 2-year cycles or between the two different 2-year cycles. Blue - period $T = 1$; Red - period $T = 2$.

178 E. This jump in the average infected population and singular point occurs whenever a period-
 179 doubling bifurcation and bistability between attractors exists for $\gamma = 0$.

180 Overall the impact of the amplitude of seasonality δ on the singular point for $\gamma = 0$ is weak for
 181 a wide range of parameters as seen in figure 1. Seasonality has a much stronger effect for higher
 182 recovery rates, as discussed below.

183 3.3. Evolution for $\gamma > 0$

184 Unlike in the SI model above, when $\gamma > 0$ we use a numerical approximation to find the
 185 host fitness. When γ is relatively close to zero, we find one singular point which decreases as
 186 δ increases, as seen in section 3.2. However for positive but small values of γ , this behaviour
 187 changes direction. We start to see both the singular point β^* and the average infected population
 188 increasing, in contrast to $\gamma = 0$ where the trends go in opposite directions. As recovery increases,
 189 selection for defence is weakened, and so at this small recovery maintaining a large population
 190 size through births becomes more important than resistance to the parasite, causing the change

191 in evolutionary direction.

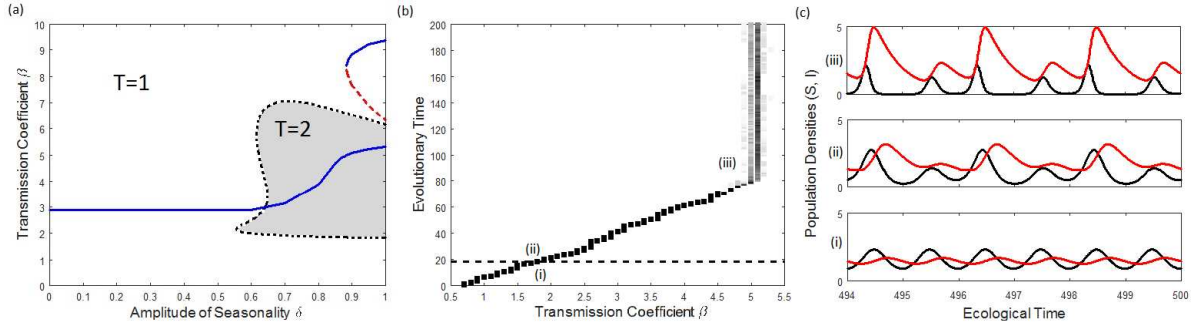


Figure 2: (a) Change in the singular points as δ varies for $\hat{a}_0 = 104$, $\gamma = 0.005$. Blue lines indicate the CSS points, red dashed lines the repeller point and black dotted lines the switch between attractors. The period of the population dynamics is 2 in the shaded region and 1 (ϵ) elsewhere. (b) Simulation example corresponding to (a) with initial transmission coefficient $\beta_0 = 0.7$ and $\delta = 0.9$, which evolves towards the lowest CSS $\beta_L^* = 5.067$. Darker squares indicate a higher proportion of the population with the corresponding transmission coefficient β , and the dashed line marks the point where evolution drives the population to switch to an attractor with period $T = 2$. (i)-(iii) correspond to sample population dynamics of the resident strain shown in (c), with black for S and red for I at evolutionary times (i) 10, (ii) 20 and (iii) 100.

192 As we continue to increase the recovery rate, we reach a region of γ values where three singular
 193 points exist, two CSSs with a repeller between them, for an example see figure 2(a). Here we
 194 have evolutionary bistability between two CSSs, and for certain parameter sets the CSSs have
 195 different cycle lengths due to the stability of the attractors in the population dynamics, as in
 196 the example shown. In this case the host could start in a 1-year cycle, but evolution would
 197 drive it into a 2-year regime, i.e. evolution can drive changes in the population dynamics, see
 198 figure 2(b),(c). We can also have the situation where all three singular points give period two
 199 population dynamics (not shown). Figure 3 shows two-dimensional contour plots for two CSS
 200 points in the parameter regions where they occur. Both CSS points increase with δ , as argued
 201 above, but they go in opposite directions as γ increases. This occurs because at high levels of
 202 defence (low β^* , figure 3(a)), selection for even higher defence weakens as recovery increases,
 203 and so the host decreases its resistance. However, when the host has a low level of defence (high
 204 β^* , figure 3(b)), the susceptible hosts become infected more quickly and an increase in recovery
 205 raises the infected population further, hence there is strong selection for defence and the host
 206 invests more in resistance. Recovery therefore has a much more complicated effect on evolution
 207 when seasonality is included in the model, since most of these bistability regions occur for large
 208 amplitudes.

209 If we increase γ further, the size of the interval of δ values where bistability occurs decreases to
 210 zero. For all γ values above this point, we find only one singular point β^* that increases with δ ,

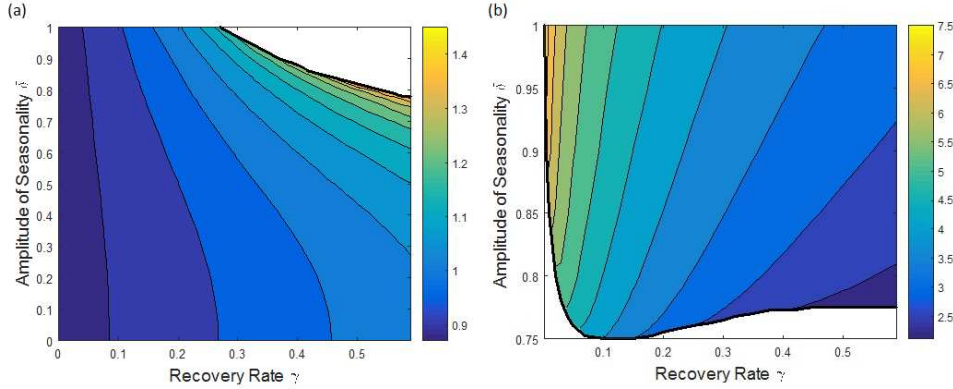


Figure 3: Two-dimensional contour plots showing the change in the two CSS points that occur as γ and δ vary for default parameters. (a) β_L^* , the smallest CSS point; (b) β_H^* , the highest CSS point. White areas indicate where each singular point does not exist.

211 figure 4(a), for the same reasons as explained above.

212 Figure 4(a) shows a two-dimensional contour plot for the singular point β^* as δ and γ vary
 213 in the region where one singular point exists. For the majority of amplitudes, the average
 214 infected population decreases with increasing recovery, and hence the host invests less in defence.
 215 However, we have slightly more complicated behaviour for high δ . Initially we find that the host
 216 increases defence (decreases β^*), then at an intermediate recovery the trend turns and the host
 217 decreases its defence (increases β^*). This behaviour is due to changes in the average infected
 218 population, which peaks for intermediate γ since initially the increase in susceptible individuals
 219 available to be infected outweighs the loss from recovery.

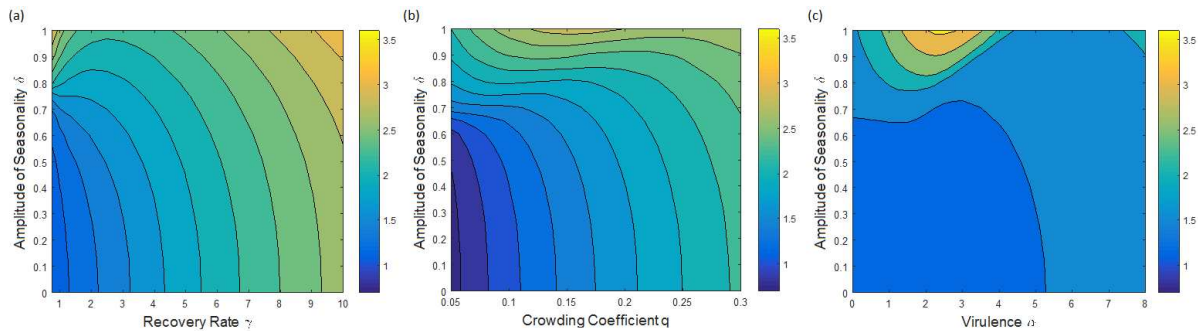


Figure 4: Two-dimensional contour plots showing the value of the singular point β^* as amplitude of seasonality δ and (a) recovery rate γ , (b) crowding factor q and (c) virulence α vary. Other parameters were fixed at default values from table 1 with $\gamma = 1$.

220 Alterations to other model parameters also causes variation in the host's evolution. Figure 4(b)
 221 shows the change in the singular point β^* as δ and the crowding coefficient q are varied. As
 222 above, we see that β^* increases with δ for all values of q . As we increase q for fixed δ , the infected
 223 population size decreases. We therefore expect the host to invest less in defence as q increases,

224 i.e. β^* to increase, which is exactly what we find for most values of δ . However, for very high
225 amplitudes we find that the level of defence has a more complicated relationship with q , and
226 in particular that defence is minimal (β^* maximum) for intermediate and very high values of
227 q . For low q , the average infected population decreases as q increases, hence the host invests
228 less in defence as for lower δ . However, there comes a point where the susceptible population is
229 relatively low due to the decreased resistance, and so the host invests more in defence rather than
230 births to increase the average susceptible population. As q continues to increase, the average
231 infected population becomes small enough that selection for defence is weakened, and so the
232 host returns to its previous behaviour and invests less in defence (β^* increases) for very high q .
233 We find similar results when the virulence α varies, figure 4(c). As α increases, the average
234 infected population decreases and the host can afford to invest less in defence, which is exactly
235 what we find for δ up to intermediate values. However, as for varying q , the trend becomes
236 more complicated for highly seasonal birth rates. In this region, we now have a large peak in β^*
237 for an intermediate value of α , followed by a trough and a small increase in β^* for high α . For
238 small and very large α , this behaviour is due to the average infected population decreasing and
239 therefore the host can afford to invest less in defence. However, the initial behaviour causes the
240 total population to decrease, and there is a region of α values where the host needs to evolve
241 in such a way that the population size increases. Therefore the host has to balance changes in
242 the infected and total population sizes, giving the more complicated evolutionary behaviour for
243 high amplitudes.

244 The results discussed above are for a parameter set where the host lifespan is equal to the period
245 of forcing (one year). The effects seen are dampened for longer lived hosts (smaller b), and there
246 can be no difference in the evolutionary behaviour with γ , q or α for different amplitudes (see
247 Appendix F). Hence the effect of the amplitude on the host's evolutionary behaviour with other
248 parameters depends on context, and in particular we cannot rely on the behaviour remaining
249 the same as the amplitude of the birth rate increases for short-lived hosts.

250 3.4. Varying the Period of the Forcing ϵ

251 The population dynamics have period determined by that of the forcing ϵ , as discussed in
252 section 3.1. We can investigate how changing this period over a wide range of values affects the
253 evolution of the host, figure 5(a) (although in many systems a 1-year cycle ($\epsilon = 1$) may be the

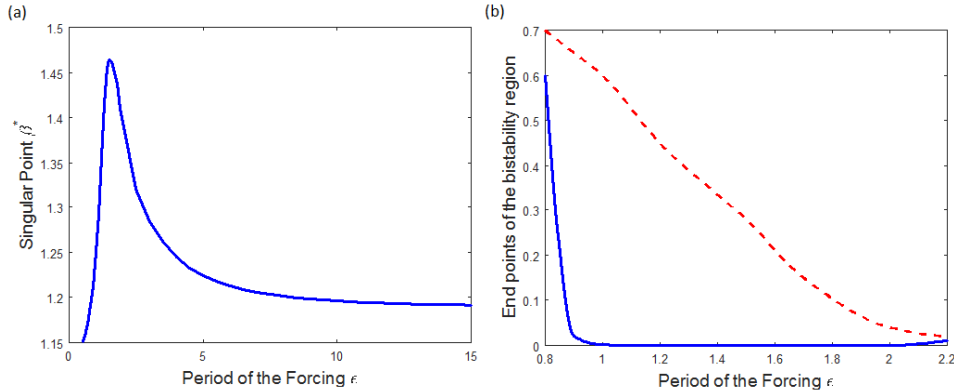


Figure 5: (a) Change in the CSS singular point β^* as ϵ varies for default parameters with $\delta = 0.5$ & $\gamma = 1$. (b) Change in the size of the bistability region in recovery rate γ as ϵ varies. Blue: γ value where bistability starts; Red dashed: γ value where bistability ends.

254 most appropriate). We found that there is a large peak in both the average infected population
 255 and the singular point β^* caused by resonance with the natural timescale of the model, after
 256 which they decrease slowly as ϵ is increased further. Hence for rapidly changing environments
 257 (ϵ low), any alteration to the period would have a significant impact on the host's evolution. In
 258 comparison, for slowly varying environments any change in the period barely alters the host's
 259 evolution. This behaviour with ϵ stays roughly the same for all parameters tested. Similarly,
 260 when both the period and other parameters are varied simultaneously, the period doesn't affect
 261 the evolutionary behaviour we find as other parameters change and vice versa.

262 The bistability region studied in section 3.3 changes in size for varying period ϵ . Figure 5(b)
 263 shows this, indicating that the bistability region is largest (in γ) for $\epsilon \approx 1$, slightly lower than
 264 the peak seen in figure 5(a). Above and below this value the bistability region decreases in size
 265 and quickly disappears. The period of the seasonality therefore has a large impact on whether
 266 or not these bistability regions occur.

267 4. Discussion

268 We have shown that seasonality in the ecological dynamics, specifically the birth rate, has a
 269 clear quantitative and qualitative effect on the evolution of host resistance against a parasite
 270 in our model. The relative size and nature of the impact depends crucially on the underlying
 271 epidemiological model, and particularly on the potential for recovery from infection. We found
 272 regions of parameter space where there is bistability between two distinct evolutionary strategies
 273 (CSS points), which can occur alongside a switch between attractors in the population dynamics.

274 In these regions, evolution could drive the population to a different attractor, fundamentally
275 altering the population dynamics the host experiences. Crucially, we also found that well known
276 patterns for the host's evolutionary strategy in a constant environment don't necessarily hold
277 for variable birth rates, particularly when the amplitude of fluctuations is high.

278 We found that the amplitude of the seasonality and the recovery rate are key processes affecting
279 the evolution of the host's defence for a seasonal birth rate in our model. When recovery is
280 absent, the host invests more in defence as the amplitude of seasonality increases as this leads
281 to an increase in the average infected population and thus selection for increased defence. The
282 trends observed were weak, but are consistent with existing theory on the evolution of avoidance
283 in the absence of recovery (Boots & Haraguchi, 1999; Donnelly et al., 2015). When the host
284 can recover from the parasite, the evolutionary dynamics become more complicated. The trend
285 of host investment with the amplitude of seasonality switches direction at a low recovery rate,
286 above which the host decreases its defence as the amplitude increases, since the host is now
287 balancing reduced transmission against the increased contribution to fitness made by infected
288 hosts through recovery. These results emphasise the importance of recovery in host-parasite
289 infections as they prevent the parasite from being a 'functional predator' (Boots, 2004; Donnelly
290 et al., 2015; Best et al., 2017). We also note that our results with recovery for host evolution are
291 similar to the findings of Donnelly et al. (2013) for parasite evolution, where the parasite invests
292 more in infectivity as the amplitude of seasonality increases. This suggests a robust result that
293 in many systems increased seasonal amplitude will lead to higher transmission, though a full
294 coevolutionary study that includes recovery would be needed to confirm this.

295 There has been a lack of attention to how seasonality might affect host evolution in theoretical
296 studies, even though it has been shown that epidemiological dynamics can be greatly impacted
297 by a variable environment (Altizer et al., 2006; Grassly & Fraser, 2006). In addition, it is well
298 known that a wide range of species reproduce seasonally due to environmental fluctuations, for
299 example in bats (Stawski et al., 2014), killifish (Furness, 2016) and birds (Ketterson et al., 2015).
300 The theoretical studies that do consider seasonality are generally co-evolutionary with a gene-
301 for-gene based infection interaction (Nuismer et al., 2003; Mostowy & Engelstädter, 2011; Poisot
302 et al., 2012). Of particular relevance to our study, Poisot et al. (2012) include explicit ecological
303 dynamics in their model, using an additional resource variable with discrete fluctuations to
304 implement seasonality, as well as a partial gene-for-gene infection mechanism. Despite these

305 different underlying assumptions, they too find that the host invests more in defence when the
306 amplitude of the seasonality is high and there is no recovery. Moreover, in an experimental study,
307 Blanford et al. (2003) showed that pea aphids, *Acyrthosiphon pisum*, evolved higher resistance
308 against a fungal pathogen, *Erynia neoaphidis*, when periodically exposed to higher temperatures.
309 Since the fecundity of aphids varies with temperature (Ramalho et al., 2015) and aphids lack
310 many of the genes associated with immune response to microbes (Gerardo et al., 2010), these
311 results agree with the theoretical results found here and by Poisot et al. (2012), that increased
312 seasonality leads to increased resistance in the absence of recovery.

313 Interestingly, we found that evolutionary bistability can exist between two convergence stable
314 strategies for small recovery rates. When the amplitude of the birth rate is high, the host may
315 evolve towards either of two levels of defence depending on initial conditions. This bistability
316 only occurs for a finite range of amplitudes, meaning that a small change in the amplitude could
317 lead to a large change in the level of defence the host evolves. Furthermore, the bistability
318 can occur in conjunction with a switch between attractors with different cycle lengths, with the
319 higher level of defence (lower transmission) giving a regime of two-year cycles in the population
320 dynamics, whereas the lower defence (higher transmission) is in a one-year regime, meaning that
321 evolution can in fact drive the population dynamics into a cycle with a different period. This
322 effect of evolution moving host-parasite systems into regions of qualitatively different population
323 dynamics has also been shown in systems which assume a constant environment but population
324 cycles occur naturally (Hoyle et al., 2011; Best et al., 2013). These results emphasize that
325 ecology/epidemiology and evolution are involved in a two-way feedback, as not only does ecology
326 drive selection, but evolution can determine the nature of the population dynamics.

327 There have been many studies considering the evolution of host defence against parasites that
328 did not include seasonality (van Baalen, 1998; Boots & Bowers, 1999; Boots & Haraguchi, 1999).
329 We have shown here that many classic results are likely to be true in a weakly seasonal system,
330 but may not hold for an increasingly variable birth rate. For example, as virulence varies,
331 investment in resistance decreases as found previously (Boots & Haraguchi, 1999; Best et al.
332 2017) for low amplitudes of seasonality, but at high amplitudes is maximized at either minimum
333 or relatively high virulence. We see similar behaviour for varying crowding factor, in that our
334 results agree with those found by Boots & Haraguchi (1999) for low amplitudes, but disagree for
335 high amplitudes. These differences are a result of complicated feedbacks between seasonality,

336 population sizes and selection which alter the costs/benefits of resistance and births. However,
337 we have shown that this effect is dampened for hosts with longer lifespans, returning to the
338 behaviours seen in previous work for all amplitudes of the seasonality (see Appendix F). It is
339 clear that while many results found for constant environments remain true when the birth rate
340 is variable in time, this may not be the case when the amplitude is particularly high, especially
341 for short-lived hosts.

342 We also investigated the impact of changing the period of the forcing on the evolution of the
343 host's defence. We found that changing the period induces a peak in the infected density, caused
344 by resonance in the population dynamics with the unforced system. Naively we would expect
345 this to lead to a maximum level of investment in defence, however, as with varying amplitude
346 in the presence of recovery, the host evolves towards a minimum level of defence in order to
347 maintain a large overall population size through increased birth rate. Near the peak, small
348 alterations in the period will lead to relatively large changes in the evolutionary investment in
349 defence. Away from the peak, the curve is almost flat and so the host's evolution is barely
350 affected by changes in the period when it is already large. In an experimental study, Harrison
351 et al. (2013) found that resistance of *P. fluorescens* SBW25 to a phage was constrained most
352 strongly in rapidly fluctuating environments, while Duncan et al. (2017) showed that resistance
353 of the same bacteria evolved more quickly in rapidly fluctuating environments. It is unclear to
354 what extent our results agree with these experimental studies, in part due to these systems being
355 co-evolutionary with genetic specificity, and in part because it is difficult to ascertain which side
356 of the resonance peak these studies may be focusing on. It is clear, though, that the time-frame
357 of the fluctuations has important consequence to the evolutionary outcome.

358 Temporal heterogeneity, including seasonal fluctuations, are a fundamental aspect of all natural
359 ecological systems. However, both experimental and theoretical studies have rarely investigated
360 the impact of fluctuating environments on evolutionary patterns. Here we have shown that a
361 seasonal birth rate has a significant qualitative impact on the evolution of host defence in an
362 SIS model, which is highly dependent on the presence and size of recovery. It is clear that
363 key features of evolutionary dynamics may be missed by assuming a constant environment, and
364 therefore important for us to consider how seasonality may impact host-parasite evolution more
365 widely. There is clearly scope for further theoretical and experimental work to explore the
366 impacts of seasonality on host-parasite evolution.

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