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Article:

Flynn, M, Ford, JD, Pearce, T et al. (2018) Participatory scenario planning and climate change impacts, adaptation and vulnerability research in the Arctic. *Environmental Science & Policy*, 79. pp. 45-53. ISSN: 1462-9011

<https://doi.org/10.1016/j.envsci.2017.10.012>

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Participatory scenario planning and climate change impacts, adaptation, and vulnerability research in the Arctic

Flynn, M., J. Ford, T. Pearce, S. Harper, and IHACC Team

Abstract: Participatory scenario planning (PSP) approaches are increasingly being used in research on climate change impacts, adaptation, and vulnerability (IAV). We identify and evaluate how PSP has been used in IAV studies in the Arctic, reviewing work published in the peer-reviewed and grey literature (n=43). Studies utilizing PSP commonly follow the stages recognized as ‘best practice’ in the general literature in scenario planning, engaging with multiple ways of knowing including western science and traditional knowledge, and are employed in a diversity of sectors. Community participation, however, varies between studies, and climate projections are only utilized in just over half of the studies reviewed, raising concern that important future drivers of change are not fully captured. The time required to conduct PSP, involving extensive community engagement, was consistently reported as a challenge, and for application in Indigenous communities requires careful consideration of local culture, values, and belief systems on what it means to prepare for future climate impacts.

Key words: Participatory scenario planning, Arctic, climate change, impacts, adaptation, vulnerability

1. Introduction

Scenario planning approaches are increasingly used in climate change research to identify future vulnerabilities and examine adaptation options. This work builds on a long history of futures work in diverse areas including military planning (1), disaster risk reduction (2), climate change mitigation (3), social development (4,5), ecology and resource management (6–8), and health planning (9,10). Scenarios are defined broadly as an internally consistent description of a plausible or possible future state of a system (11,12).

The majority of scenarios work in the climate change impacts, adaptation, and vulnerability (IAV) field have been top-down in nature, led by the scientific community and typically engaging experts in academia, practitioners, consultants, and government to inform the creation of plausible futures at a regional or national scale (e.g. IPCC’s SREX scenarios (13)). Increasingly, however, ‘bottom-up’ scenarios that work with impacted or vulnerable communities are being developed to aid social learning, and plan for adaptation in-light of multiple stresses, uncertain climatic conditions, and competing policy priorities (6,14–17). Such approaches, commonly referred to as participatory scenario planning (PSP), offer additional benefits to top-down approaches, including increasing the local understanding of how climate change may impact local lives, enabling the identification of contextually appropriate adaptation options, encouraging multi-stakeholder evaluation of adaptation options, and promoting the incorporation of multiple forms of understanding, including both western science and traditional knowledge (4,15,18,19).

The Arctic is experiencing dramatic climate change and is the region where the most pronounced future warming is projected (20). These changes have implications for human livelihoods and are being experienced in the context of other social, economic, political, and environmental changes that influence how people understand and respond to climate change risks (20). To date, most IAV research in the Arctic has focused on identifying and describing current climate-related exposure-sensitivities and adaptive strategies (21). When future vulnerabilities have been considered, they have often been done so as hypothetical extrapolations of current conditions and responses (21,22). Limited work, however, has reviewed how future drivers of change in the Arctic have been captured in IAV research, or examined how / if scenario planning approaches have been used. Against this backdrop, we systematically review the peer-reviewed and grey literature to identify and evaluate how PSP is being used in community-based climate change IAV research across the Arctic.

50 **2. Methods**

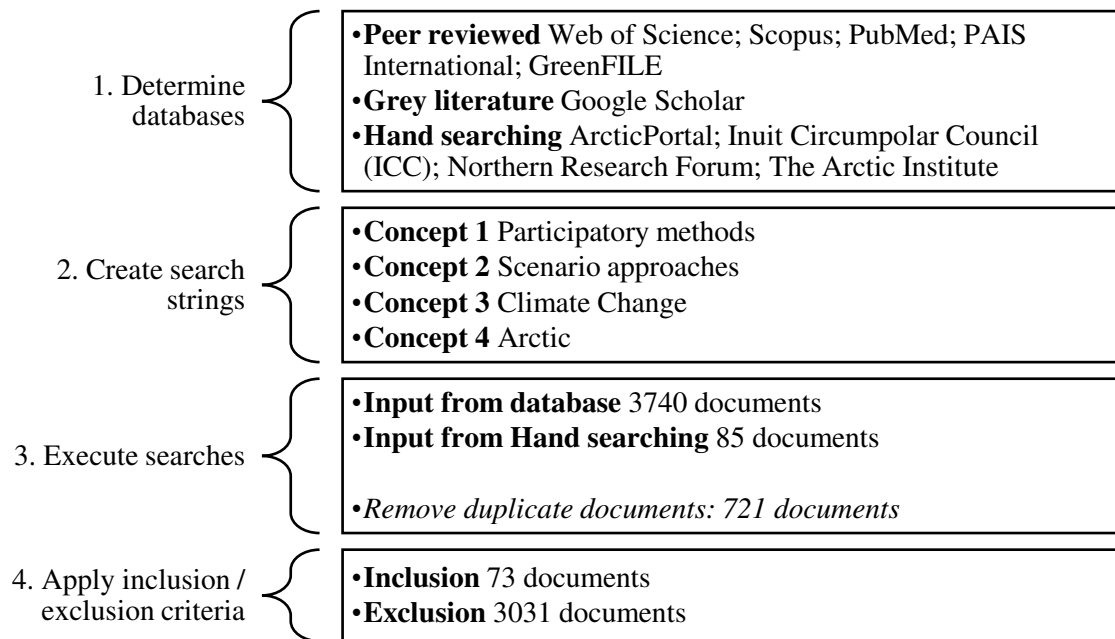
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52 **2.1. Systematic review methodology**

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54 We employ a systematic review of the peer-reviewed and grey literature to identify and evaluate how
55 participatory scenario planning (PSP)—which also captures scenario building/development/analysis and
56 is occasionally referred to as participatory visualization/visioning or storytelling—is being used in
57 community-based climate change impacts, adaptation, and vulnerability (IAV) research in the Arctic,
58 following steps outlined by Berrang-Ford et al. (23) (supplementary material (SM) Table 2 for definitions
59 of key terms). Peer reviewed documents were identified through key academic databases (Web of
60 Science, Scopus, PubMed, PAIS International and GreenFILE) (SM Table 3 for search terms). To select
61 relevant grey literature, we first performed a search of Google Scholar, where the first 600 returned
62 results were loaded into the reference management software (Zotero, version 4.0), followed by hand
63 searching of key Arctic websites (see Figure 1) (24). Inclusion and exclusion criteria were used to identify
64 relevant studies (SM Table 4) and focused on capturing PSP studies that occurred in an Arctic
65 community. Reviewed studies had to utilize scenarios, visioning, or projections to assess future
66 vulnerability, impacts or adaptation strategies to climate change. The studies were also required to include
67 some form of participation from community members or local decision makers. Key methodological
68 limitations for this study include the limited ability to access information that is not publicly available
69 online and the English-centric focus of the articles covered. Thus the paper may underreport the
70 prevalence of studies based in European and Russian Arctic communities or specific regions such as,
71 Nunavik in Northern Quebec. Study selection took place in three stages. Firstly, after conducting the
72 initial web-based searches, duplicate sources were removed and the title of the source was reviewed. If
73 clear exclusion criteria could not be met at this stage the source would move through to stage two, where
74 a review of the paper abstract was used to determine suitability. Finally, a more in-depth review of the
75 source (e.g. journal article or government report) took place to determine if inclusion criteria were met
76 (Figure 1). The review process was iterative and following this first round of searches we believed that
77 some potentially key documents were still not captured. Consequently, snowball sampling of citations
78 from articles were also added to the referencing management software and reviewed. An additional search
79 of all academic databases and Google Scholar was also performed when the word “visioning” appeared in
80 several relevant articles. This search term had not been included in the original search cycle.

81



82
83

84 *Figure 1 Overview of systematic review methodology*

85 **2.2. Analysis**

86
87 **2.2.1. Descriptive analysis**

88 Seventy-three documents were retained for analysis. Of these documents a number referred to the
89 same original study, and so the data of these overlapping studies were combined to create 43 total studies
90 for review. A survey was created to systematically extract qualitative data, and information was extracted
91 based on four key themes: (1) key document information including title and authorship, (2) basic
92 information, including the location of the study and the date it took place, (3) methodology, including
93 information regarding scenario creation, degree of community participation and use of traditional
94 knowledge (TK), and (4) utilization of PSP approaches, which included the consideration of key drivers
95 explored in scenarios (both environmental and socio-economic) and which key sectors were utilizing PSP
96 (see SM for questionnaire). This database was exported into Microsoft Excel and used to calculate
97 descriptive statistics including distribution of studies and frequency of occurrence counts as an overview
98 of key trends and insight into methodologies.

99

100 **2.2.2. Evaluation rubric**

101 An evaluation rubric was then developed based on a review of the general PSP scholarship to
102 examine the extent to which Arctic PSP studies have incorporated ‘best practices’ and ‘participation’ into
103 research design. A review of nine key documents, from the general PSP literature, identified some best
104 practice for PSP methodologies (See SM Table 6). Six key stages were consistently reported to underpin
105 PSP work in diverse contexts:

- 106 1. **Context gathering.** Collecting background information on the current situation provides local
107 context. Participation at this stage facilitates knowledge co-production and is particularly important
108 where there are limited locally identified climate impacts based on broader climate projections.
109 2. **Identification of key trends and/or drivers.** The identification of key trends and/or drivers determines
110 those changes perceived as most important in the community. Such drivers can be climatic (e.g.
111 changes in precipitation) and/or non-climatic (e.g. loss of traditional land skills).

- 112 3. **Scenario creation.** The creation process is varied, ranging from community members creating their
113 own narratives of possible/desirable futures, to researchers completing this step and presenting it back
114 to the community.
- 115 4. **Scenario reviews.** A discussion of the impacts of the scenarios on locally relevant sectors and
116 consideration of the information included in them is widely reported to increase social learning and
117 understanding between stakeholder groups.
- 118 5. **Option identification.** Consideration of what options might be available to address the impacts
119 highlighted. Community identified options are often more contextually and culturally appropriate,
120 increasing acceptability of adaptation options and community buy-in.
- 121 6. **Option rating.** A system of determining the best options going forward, using option rating can
122 increase the transparency of policy choices and aid decision-making.

123 The studies retained for full analysis were assessed in two ways: firstly, on their completion of
124 the methodology per the 6 stages of the evaluation rubric, and secondly on the level of participation.
125 Participation was determined by searching through study methodologies to identify stages where clear
126 input was provided by community stakeholders. A simple scoring scheme was developed through which
127 each reviewed study was scored per the rubric, with 1 point allocated for the completion of each of the 6
128 stages, with total scores thus ranging from 1-6 for each study and derived by adding up sub-component
129 scores. Studies were then classified as: “high” where it was evident that studies had completed five or
130 more stages; “medium” where 3-4 stages were evident; and “low” where two or fewer stages were
131 evident. A similar method was applied for determining a participation rating, although studies here were
132 allocated with one point for each stage completed in a participatory way (i.e. with community stakeholder
133 input into the stage). These two ratings are not combined but analyzed separately. While the approach
134 provides a systematic and simple way of evaluating the reviewed studies, we also note that the analysis is
135 only based on publicly available information for each study.

137 3. Results

138

139 3.1. Participatory scenario planning is increasingly common, but climate projections are 140 underutilized

141

142 Our review identified 43 studies over the past 15 years utilizing PSP approaches in an Arctic IAV
143 context. There has been increasing interest in PSP work over the last decade, with a peak number of
144 studies identified for 2015. Future climate change projections derived from global circulation models
145 (GCMs) or regional climate models (RCMs) are utilized in just over half of the studies reviewed (58%).
146 This included the use of GIS and mapping software to give specific details of impacts across the study
147 area; for example, Kvalvik (25) quantitatively projected changes in the length of agricultural growing
148 season for municipalities in northern Norway, using downscaled projections for future climatic variables
149 developed for each municipality. These showed historical and projected changes in temperature, growing
150 seasons, and precipitation conditions for 2021-2050 based on three emission scenarios. Another notable
151 example includes the Scenarios Network for Alaska and Climate Planning (26) which created a
152 community charts tool to provide temperature and precipitation projections for 4,000 communities across
153 the US and Canada up to 2099, including Alaska (n=444 communities) and Canada’s Northwest
154 Territories (n=47 communities).

155 In other studies, future projections were based on general trends or expected changes broadly
156 outlined by GCMs, for example, a consideration of high (4°C of warming) or low climate change futures
157 (2°C of warming) (6,27,28). Other studies utilized “if-then scenarios” to determine how community
158 members would cope with climate change impacts. Keskitalo and Kulyasova (29), for example, used
159 statements on future climate change impacts from international, regional, and national assessments in
160 their work with reindeer herders and the fisheries sector, using broad trends to explore with stakeholders

161 how they might be impacted (e.g. by larger variations in weather, warmer water temperatures and
162 northward shifts in fish species).

163 Studies that did not utilize climate change projections (42%) used alternative methods for
164 determining future drivers and impacts. A common approach involved focusing on specific impacts that
165 had been observed locally to stimulate discussion on future adaptation strategies (30–39). Some studies
166 used environmental modelling of hydrological, vegetation type or snow-cover to create future scenarios
167 based on current trends (40–44). Jones et al. (44) for example, used a baseline of observed climate
168 variability and then increased this variability by a factor of 1, 2, and 3 times to consider the impacts of
169 increased climate variability on driftwood harvest. In other studies, participants created scenarios based
170 on an axis with opposite sentiments at each end, for example, high cumulative impacts to low cumulative
171 impacts (see Text box 1 in SM) (45). Finally, some studies used future scenarios based on broad trends in
172 the literature, which were presented to workshop participants. In Van Oort (46), presentations were given
173 regarding expected climate change impacts, with participants deciding what key drivers of change and
174 applying the global shared socio-economic pathways to determine how these local drivers may behave in
175 different future scenarios.

176

177 **3.2. Participatory scenario planning is engaging with environmental and socio-economic drivers of** 178 **change in diverse sectors**

179

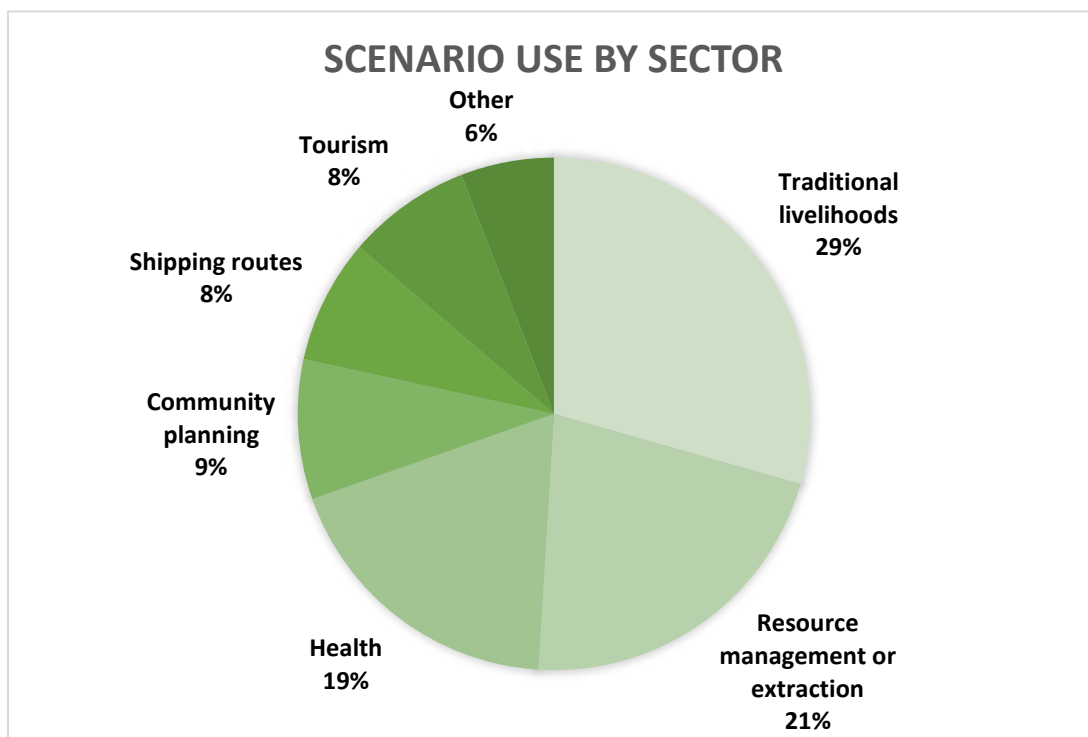
180 Consideration of both environmental and social drivers of change is particularly important when
181 examining future vulnerabilities and adaptation options in PSP work, and most studies reviewed included
182 both (95%). Environmental drivers used in studies included changes in temperature and/or precipitation,
183 climate variables most readily included in global circulation models (18%). For example, Carlsen et al
184 (47) used standard deviations of temperature in Paris during the 2003 heatwave imposed on a regional
185 projection for climate change in Umea, Sweden, in 2030 to calculate impacts on excess heat-related
186 mortality, hospital admissions, and emergency cases. Other studies used generic climate change
187 projections (20%), where a more general global average temperature increase was usually expressed in
188 terms of more climate change (4°C warming) or less climate change (2°C warming) (48). Other drivers of
189 future change examined in PSP work were wildlife and vegetation changes (19%), for example, the
190 availability of lichens for reindeer in Northern Europe (33,42,49) or the migration of fish populations in
191 Northern Europe and Russia (29,50); weather patterns (16%), such as the early arrival of spring
192 (25,29,50); oceans and coasts (15%) including a reduction in sea ice and increased storm surge (43) or
193 increase in ocean temperatures (36); permafrost (6%); and changes in freshwater availability (6%) (SM
194 Figure 6).

195 Socio-economic drivers of change examined in PSP studies (SM Figure 7) often focused on
196 economic influences (24%). Wesche and Armitage's (6,28) work in the Slave River Delta region of the
197 Northwest Territories, Canada, for instance, used climate change projections, local knowledge collected
198 through interviews, and historical information on resource extraction, to create scenarios for the year
199 2030. These scenarios were presented at a community workshop and used as a focal point to identify
200 community vulnerability to impacts, the implications for local livelihoods, and to identify anticipatory
201 adaptation options. Another driver often used in scenario creation related to changes in traditional
202 activities (23%), for example, in reindeer herding (42,51–54) or subsistence hunting (55–57). In Turunen
203 et al's (54) study in Northern Finland, models of future snow conditions were created based on current
204 snow patterns, weather data and climate projections for 2035-2064. Local reindeer herders were then
205 interviewed about coping strategies.

206 Drivers of change related to transportation and infrastructure (15%) included future marine
207 shipping routes as Arctic sea ice continues to melt at alarming rates (20,58–61). Brigham's (58) work on
208 Arctic shipping routes discusses a plausible future set in 2050, key impacts were increased resource
209 extraction, seasonal use of shipping routes, and a wildcard impact of the export and sale of Arctic
210 freshwater to water scarce countries. Finally, another key driver considered in scenario creation was

211 government policy (13%), for example, the consideration of national park management in Alaskan forests
212 (62), a reflection on alternative scenarios for mining in Sweden (46) and consideration of fishing quotas
213 in Norway and Russia (29). Of the studies reviewed, 9% identified other socio-economic indicators and
214 2% did not identify any socio-economic indicators, choosing instead to focus solely on environmental
215 drivers of change.

216 When considering how climate change might impact different sectors (Figure 2), the reviewed
217 studies focused primarily on three major sectors. Firstly, traditional livelihoods (29%), which was often
218 closely linked to health sector impacts (19%) or through increased travel costs or safety concerns for
219 subsistence hunting (55,56,63). Secondly, on resource management including the consideration of
220 forestry, fishing, and mining (21%) (27,36,38,50,62,64). Resource extraction is often discussed in the
221 context of climate change due to the expected increase in accessibility of northern resources (20,65).
222 Finally, impacts and options for community planning (9%) were linked with increasing demand for
223 housing in Canadian Arctic communities, including cases in Clyde River (31), Iqaluit (66) and
224 Whitehorse (48). Studies also explored impacts on shipping routes (8%) and the tourism sector (8%).
225



240 *Figure 2 Sectors engaged in PSP in the Arctic identified through this review*

241 **3.3. Studies followed ‘best practice’ for participatory scenario planning, but community** 242 **participation varied among cases**

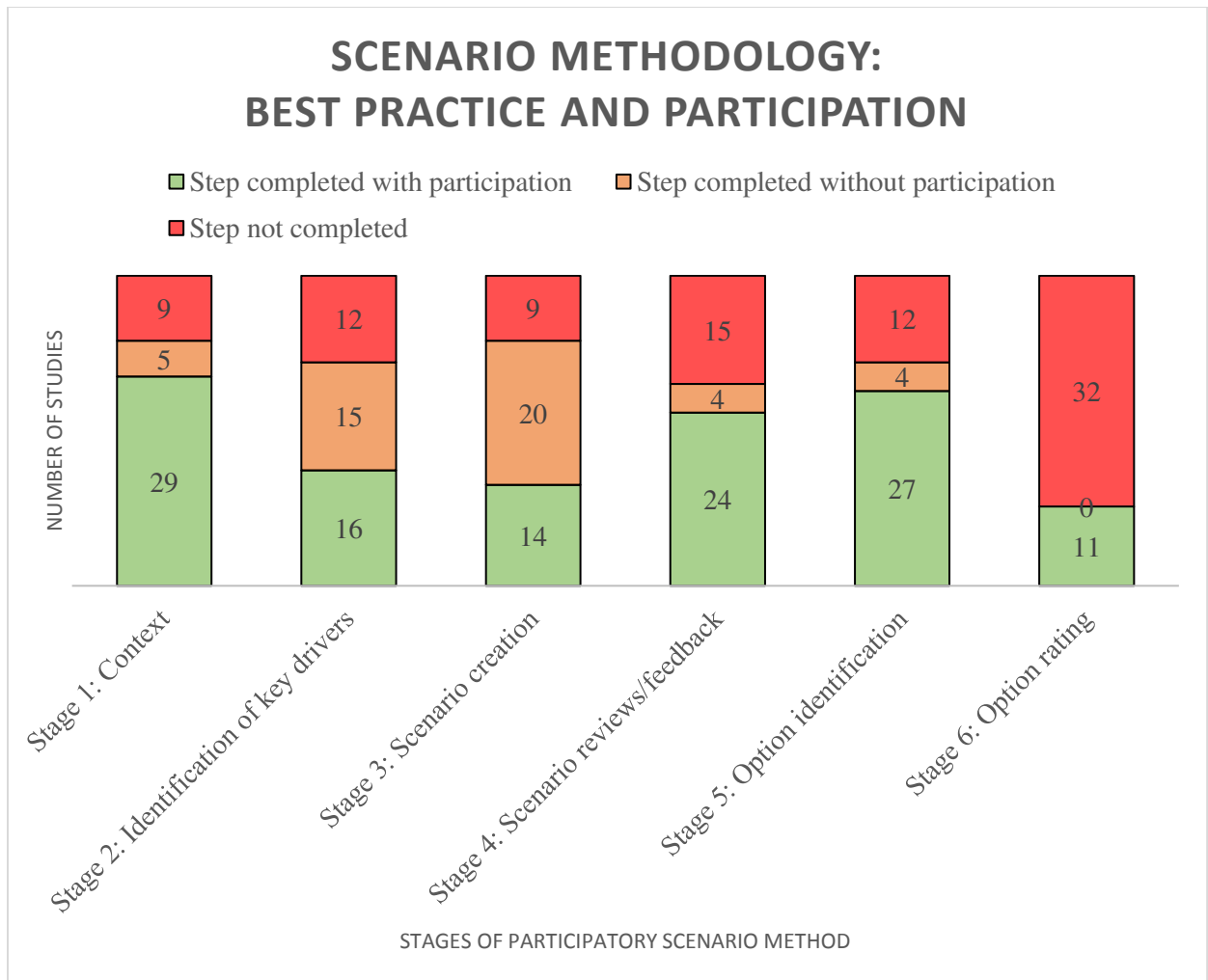
243
244 Participatory scenario planning necessitates active involvement and collaboration with
245 community members and local, regional, and national organizations that use this research to inform
246 decision-making. The 43 studies reviewed were evaluated against six components of an evaluation rubric
247 (see section 2.2.2.) (Figure 3). Many studies (56%) were found to have completed either five or six of the
248 proposed stages of best practice in PSP and were thus ranked high. Components involving context
249 gathering (79%), creation of scenarios (79%), identification of key drivers (72%), and exploring
250 adaptation options (72%) were most commonly completed, compared to reviewing the scenarios created
251 (65%) and the rating of adaptation options (26%). By sector, studies performing highly were tourism,

252 where 75% of studies were rated as high, community planning (60%), resource management (55%),
253 traditional livelihoods (50%), and health and wellness (43%). Studies looking at the shipping sector did
254 not have any studies utilizing 5 or more stages of the process.

255 In addition to examining the completion of key PSP components in the reviewed studies, a
256 participation rating, determined through the evaluation rubric, was also provided. Herein, 14% studies
257 were ranked as high participation, 46% received a medium rating, 33% a low rating, and three studies
258 (7%) were not given a score as it was unclear at which stages participation occurred. There has been an
259 increase in participation over time (SM Figure 9). Sectors with the highest participation ratings were
260 community planning (22%), followed by studies focused on climate change adaptation and traditional
261 livelihoods (17%), and tourism (12%).

262 The engagement of community members in the different stages was analyzed to examine how
263 community participation in PSP varied over the stages of the process. The stages concerned with ‘context
264 gathering’ and ‘option identification’ were the most often completed in a participatory way (67% and
265 63% respectively), followed by ‘scenario review or feedback’ (56%). Fewer studies utilized participatory
266 methods in the ‘identification of key drivers’ (37%) and the ‘creation of the scenarios’ (33%). Wesche
267 and Armitage (6) explain why this was the case in their work, where initial plans included the
268 participatory creation of scenarios but the process was altered to have the researchers create the scenarios
269 due to constraints on time, funding, and local capacities. Ernst and van Riemsdijk’s (62) study scored
270 highly on participation and focused on sustainable forest management in Alaska’s national parks in the
271 context of climate change. The process began with two preliminary webinars where background
272 information was provided, with workshops taking place over four days and included participants from
273 National Park Services, local community members, federal agencies, and Alaskan Native representation.
274 Participants were divided into two groups and workshop facilitators encouraged them to discuss future
275 climate possibilities and effects. Following this, groups selected two effects and wrote narratives for two
276 scenarios, which were then discussed and management options created to deal with the future scenarios.
277 Increased participation by multiple stakeholders was found to strengthen climate adaptation options
278 through providing local context and empowering local community members.

279



280

281 *Figure 3: A chart to show best practice in PSP methodology. Shows key stages in the process, stages completed and utilization of*
 282 *participatory methods for each stage.*

283 **3.4 Most studies utilized the forecasting approach; though a back-casting approach resulted in**
 284 **higher local participation**

285

286 Scenario creation follows two approaches, either considering the future from the vantage point of
 287 the present (forecasting) or through creating a desirable future situation and determining the required
 288 steps needed at present to reach that future (back-casting) (67). The decision on which vantage point to
 289 create scenarios from is influenced by the purpose of the scenario workshops. Of the studies reviewed,
 290 84% created scenarios beginning from the vantage point of the present (forecasting), with the remainder
 291 utilizing the back-casting approach where a desirable future situation was created and participants
 292 identified the required steps to create that future scenario.

293 The identification of adaptation options was undertaken in most studies reviewed (72%) (Table
 294 1), implying that decision making and planning were key PSP goals. Adaptation options identified in
 295 forecasting scenarios were generally narrower in scope than those using back-casting, focusing mainly on
 296 adaptation towards a specific projected climate change impact. The majority of the back-casting scenarios
 297 took place in the North American Arctic (n=5) with one study in Finland (68) and one regional study
 298 focusing on Norway, Sweden, and Russia (53). Local community members were generally more engaged
 299 in these studies than in forecasting: 85% of back-casting studies were ranked as either high or medium
 300 participation score (compared to 56% of studies using the forecasting approach). Many of the scenarios

301 utilizing this approach were linked to community planning, including the creation of community
 302 development plans in Clyde River, Nunavut, using visualizations of a future community to help consider
 303 energy use, future natural hazards, and desirable housing types (31). Alternatively, a community
 304 sustainability plan created a vision for Iqaluit, Nunavut (66), and did not outline specific adaptation
 305 options *per se*, instead identifying action points through which the desired end goal could be achieved
 306 despite climate change impacts. The vision was created through community meetings and the collection
 307 of ideas through a community exhibition.

308 Utilizing a combined approach to scenario creation is becoming more common in climate change
 309 adaptation and allows the production of “local scenarios embedded in global pathways” (69), meaning
 310 that local scenarios are consistent with the global drivers and boundary conditions influencing local
 311 futures (69,70). For example, a purely bottom-up approach may see a community addressing permafrost
 312 erosion through the construction of a community dock. However, incorporating the Arctic marine
 313 shipping assessment scenarios may identify increased future shipping routes close to the community,
 314 representing new opportunities for tourism/trade in the community and making a larger port a viable and
 315 potentially lucrative adaptation option.

316

317 *Table 1 Example of adaptation options identified in reviewed scenarios*

Authors	Examples of identified adaptation options
Beach, D. M., & Clark, D. A.	- Manage population numbers of wood bison. - Regulate movement of bison through the Yukon.
Chapin, F. S., Knapp, C. N., Brinkman, T. J., Bronen, R., & Cochran, P.	- Clarify subsistence rights to access culturally appropriate and affordable food. - Document flood history and erosion monitoring
Douglas, V., Chan, H. M., Wesche, S., Dickson, C., Kassi, N., Netro, L., & Williams, M.	-Improve food storage and food conservation through traditional education.
Ernst, K. M., & van Riemsdijk, M.	- Coordinate communication with other agencies. - Tune planning process to account for multiple possibilities.
Hawley, M., Booth, P. I., Foster, D., Foster, D., Norton, R., Sage, R., & others.	- Build an evacuation road. - The community needs to be located near marine subsistence resources.
Hennessey, R.	- Establish multi-year infrastructure funding to accommodate climate variability. - Develop a residential fire strategy.
Johnson, K., Solomon, S., Berry, D., & Graham, P.	- Annually replenish the shore bank with gravel and sand. - Control development in shoreline erosion risk area.
Käyhkö, J., Horstkotte, T., Kivinen, S., & Johansen, B.	-Management of reindeer herding practices to sustain government.
Muir, D., Cooper, J. a. G., & Petursdottir, G.	- Conduct a climate vulnerability analysis for all exposed buildings.
Ogden, A. E., & Innes, J. L.	- Minimise fragmentation of habitat and maintain connectivity. - Protect climate refugia at multiple scales.
Pearce, T., Ford, J. D., Caron, A., & Kudlak, B. P.	- Extend participation in land camps to older generation community members. - Review and update emergency response plans in light of new risks associated with climate change.

318 4. Discussion

319 While participatory scenario planning (PSP) has been widely promoted in the general literature,
320 its utilization remains nascent in the Arctic. With the Arctic projected to experience accelerated climate
321 change this century, PSP is important for informing decision making to manage expected future risks and
322 take advantage of new opportunities. Here, we discuss opportunities and challenges for the application of
323 PSP in IAV work in the Arctic.

324 First, the importance of involving community members and decision makers in IAV is widely
325 recognized, with PSP work cited as having many potential advantages in creating outcomes that are
326 locally relevant and appropriate for adaptation planning (6,16,47). However, few studies reviewed here
327 were fully participatory in nature, with several challenges to effective participatory methodologies
328 reported, including significant time commitments. Workshop sessions, for instance, in the reviewed
329 studies were recorded to last from four hours (17% of studies) to up to a week (8% of studies), with 42%
330 of studies utilizing a one-day workshop format, and 17% of studies using three-day workshops. An
331 increased number of workshops was linked to higher participation and reported to underpin effective
332 collaboration of communities. These multiple day workshops are a substantial time burden and require a
333 high degree of community buy-in, resources, and logistical organization.

334 Second, the scenarios created during PSP are highly contextual ‘snapshots’. The inclusion of
335 different stakeholders (even from the same recognized stakeholder group) or the timing of a workshop
336 during a moment of political upheaval, or after a major local event, such as a flood or fire, can influence
337 the outcome of the scenario workshop. Decision making and adaptation planning occur in a world of
338 imperfect knowledge and where a stakeholder’s socio-economic status, experiences, and ideological
339 views will influence their risk perception and decision making. Additionally, the vulnerability of
340 individuals and even entire communities also fluctuates over time (71,72). Despite this lack of
341 reproducibility in scenario creation, the approach still offers a robust process for incorporating
342 stakeholders into decision making, which can improve trust and social learning between researchers and
343 local community members. Treating this process as iterative and flexible can also go some way to
344 minimizing this challenge.

345 Third, future climate change projections are underutilized in Arctic PSP work, with 42% of the
346 reviewed studies not including them. In some cases, for example, if scenarios are created for the short
347 term (the next 30 years or less) utilizing climate projections may not make sense. However, in the
348 reviewed studies, those cases which did not utilize climate projections were not clearly divided into
349 categories where short-term projections (the next 30 years) did not use projections and long term (the next
350 51-100 years) scenarios did use projections. We found that 11 studies creating short and medium-term
351 scenarios (those considering up to 50 years in the future) did in fact utilize projections in their work.
352 Thus, we do not believe that a short-term scenario timeline is the limiting factor in the limited use of
353 projections. Instead, we believe this underutilization likely reflects several factors, including: i).
354 Uncertainties surrounding climate projections, which increase dramatically at a local scale, exacerbated
355 by an absence of long-term reliable datasets on local climatic conditions in many Arctic regions and wide
356 variation in factors affecting local climatology (73); ii). Limited capacity to utilize projections, reflecting
357 a lack of technical expertise to work with and interpret the output of climate models, or limited capacity at
358 the local level to consider the global drivers which may affect local impacts (6,28). Notwithstanding this
359 challenge, user-friendly climate projections are available for some Arctic regions (e.g. Scenarios Network
360 for Alaska and Arctic Planning (SNAP) which are freely available online); iii). Reticence to discuss
361 possible future events by some Arctic Indigenous populations (see below) (74–76); and iv). Climate
362 projections are still limited in producing future projections on key Arctic environmental factors including,
363 future extreme weather and storms and wind strengths and directions (36). It is also noteworthy that
364 alternative approaches to the use of climate projections have been used in Arctic PSP work, including
365 extrapolating current trends and using observations of present-day vulnerabilities as indicative of future
366 risks and drivers. While offering important insights, focusing on the present-day risks potential
367 maladaptation given the magnitude of climate change projected for the Arctic (e.g. investment in coastal
368 defenses to combat current erosion which may be overwhelmed by future sea level rise). Fourth, difficulty

369 in integrating traditional and local knowledge was listed as a barrier in 18% of cases and necessitates
370 careful consideration and reflection. Arctic governance involves a broad range of stakeholders, at the
371 local level this includes Arctic Indigenous peoples, who can be considered rightsholders rather than
372 merely stakeholders. Their participation in the decision making processes is required and they hold these
373 rights based on national treaties, such as The Nunavut Land Claims Agreement (77) and international
374 agreements, such as the United Nations Declaration on the Rights of Indigenous People (78). In addition
375 to Indigenous Arctic peoples, other key stakeholders include, non-Indigenous Arctic residents, municipal
376 or community governments, federal organizations (e.g. National Park staff), international organizations
377 such as the Arctic Council and private businesses with Arctic interests including fisheries and shipping
378 companies. These diverse stakeholders have contrasting priorities for the region and participatory
379 scenario planning outcomes are likely to vary significantly based on the make-up of the participant
380 workshop. Ernst and van Riemsdijk (62), for example, discuss the implications of having a limited mix
381 of Arctic stakeholders in workshops, noting that when the majority of the group belonged to one
382 particular stakeholder group, this led to a less varied discussion of options, and a tendency to defer to
383 those stakeholders who were perceived as authorities (in this case, National Park officials). Other studies
384 discussed strategies to manage the power differentials that can exist within these stakeholder groups, in
385 the Sustainable Iqaluit City Plan, addressed the potential for power imbalances in local group dynamics
386 by hosting separate stakeholder group meetings. Balancing local level input with broader goals of PSP
387 was also reported to be challenging in a climate change context, with local stakeholders more likely to
388 identify local drivers which directly impacted their day to day lives, and to the neglect external and longer
389 term drivers of change, which were more abstract and less clearly linked to local impacts (6). Van Oort
390 (46) countered this by integrating global context into the scenario process but participant feedback
391 described this process as challenging and some felt it took away from the discussion of pertinent local
392 issues.

393 Finally, while many PSP studies focused on integrating western science and traditional
394 knowledge (TK), cultural factors may impact the utility and appropriateness of the approach in
395 Indigenous communities. Integration of knowledge is acknowledged as a key strength of PSP (18,79,80).
396 The majority of studies reviewed (67%) had some evidence of the inclusion of TK through stakeholder
397 discussions with Elders and other community members who were asked to provide context and
398 background for baseline information prior to scenario creation (e.g. in identifying availability and use of
399 freshwater sources, discussing subsistence hunting patterns to provide model validation through focus
400 groups), were consulted on how development should occur in their town or hamlet, and/or were asked to
401 review and add insight to researcher created scenarios. In many cases, however, it was difficult to
402 determine what components of TK were included in the study (see Usher 2000) and to what extent. This
403 is problematic as studies often claim to engage TK, but participation is not necessarily synonymous with
404 knowledge sharing. Thought needs to be given to the design of PSP to avoid creating a structure and
405 approach that is incongruent with the sharing of TK. For example, sector-specific workshops held in
406 abstract environments (e.g. meeting rooms) may not be suitable to capture the holistic nature of TK that is
407 generated and shared through stories and interactions with the natural environment. Researchers may need
408 to re-consider their approach and methods for PSP if they wish to include TK, including providing
409 communities with the necessary information and resources to facilitate PSP processes themselves.

410 Although the inclusion of TK is encouraged in PSP work and IAV research more generally, there
411 are also tensions associated with this in an Arctic context. A number of Arctic Indigenous populations
412 perceive and record time as a cyclical process, usually reflecting the passing of the seasons in one year
413 periods with limited consideration given to long-term futures, contrasting to Western understandings of
414 time as linear (75). This has implications for futures research and can create situations where researchers
415 are imposing Western worldviews and perspectives on communities. In the context of Inuit communities,
416 Bates (74) identifies contrasting philosophies in Inuit and Western understandings of planning for the
417 future. Inuit philosophies are often based on knowledge and understanding of current conditions and an
418 acceptance that the future will be uncertain, where an overreliance on planning can be seen as reducing
419 the ability to prepare and react flexibly to situations. There are also taboos that can impact the discussion

420 of future environmental conditions and the creation of scenarios. For some Inuit philosophies, for
421 instance, it can be seen as arrogant to assume you can predict the behavior of animals and the
422 environment (74). Additionally, some Indigenous cultures have belief systems which interpret some
423 natural phenomena as sentient (75,81), where thoughts and words are believed to be able to influence the
424 future, and therefore, people are reluctant to ‘tempt fate’ by talking about negative future possibilities.

425 Whilst these contrasting ways of knowing present significant difficulties in navigating PSP in
426 Indigenous communities, this review has identified several successful strategies to ensure meaningful and
427 respectful participation in futures research. Successful approaches include the utilization of Inuit artists in
428 the visualization of scenarios (6,28), the utilization of positive visioning exercises where communities are
429 asked to express hopes and wishes for the future (31,66). Additionally, community-based research can
430 help in addressing potential tensions in Worldviews through ensuring that Indigenous researchers and
431 community members are involved from the planning stages of the process (34,39).

432 **5. Conclusion**

433 In this paper, we systematically identify and evaluate how participatory scenario planning (PSP)
434 is being used in community-based climate change impacts, adaptation, and vulnerability (IAV) research
435 in the Arctic. We find that PSP work is increasingly being used as studies begin to examine future drivers
436 of change in-light of significant climate impacts. The studies that have been conducted generally perform
437 well in terms of following recognized steps for conducting PSP, although many do not incorporate
438 projections of future climate impacts. Participation levels across studies varied by PSP stage, with the
439 lowest participation noted in the identification of key drivers of change and the scenario creation stage.
440 There are opportunities for expanding PSP work in the Arctic, and studies reviewed here illustrate
441 examples of methodologies with wide-ranging application. However, ensuring the local acceptability of
442 PSP work is critical and research with Indigenous community members should carefully consider the
443 cultural context and local worldviews.

444

445 **Acknowledgments**

446 Funding for this work was provided by CIHR, NSERC, SSHRC, IDRC, ArcticNet, and a CIHR Applied
447 Public Health Chair.

448

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