



UNIVERSITY OF LEEDS

This is a repository copy of *Understanding the mobilisation of metal pollution associated with historical mining in a carboniferous upland catchment*.

White Rose Research Online URL for this paper:
<http://eprints.whiterose.ac.uk/122941/>

Version: Accepted Version

Article:

Valencia-Avellan, M, Slack, R, Stockdale, A orcid.org/0000-0002-1603-0103 et al. (1 more author) (2017) Understanding the mobilisation of metal pollution associated with historical mining in a carboniferous upland catchment. *Environmental Science: Processes and Impacts*, 19 (8). pp. 1061-1074. ISSN 2050-7887

<https://doi.org/10.1039/C7EM00171A>

(c) 2017, Royal Society of Chemistry. This is an author produced version of a paper published in *Environmental Science: Processes and Impacts*. Uploaded in accordance with the publisher's self-archiving policy.

Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk
<https://eprints.whiterose.ac.uk/>

Understanding the mobilisation of metal pollution associated with historical mining in a carboniferous upland catchment

Published in Environmental Science: Processes & Impacts
DOI: 10.1039/c7em00171a

Magaly Valencia-Avellan¹, Rebecca Slack², Anthony Stockdale³, Robert John George Mortimer⁴

¹water@leeds, School of Geography, University of Leeds, Leeds LS2 9JZ, UK.

²The Royal Horticultural Society, Harlow Carr, Crag Lane, Beckwithshaw, Harrogate, North Yorkshire, HG3 1QB.

³School of Earth and Environment, University of Leeds, Leeds LS2 9JZ, UK.

⁴School of Animal, Rural and Environmental Sciences, Nottingham Trent University, Brackenhurst Campus, Southwell, Nottinghamshire, NG25 0QF, UK.

Abstract

Point and diffuse pollution from metal mining has led to severe environmental damage worldwide. Mine drainage is a significant problem for riverine ecosystems, it is commonly acidic (AMD), but neutral mine drainage (NMD) can also occur. A representative environment for studying metal pollution from NMD is provided by Carboniferous catchments characterised by a circumneutral pH and high concentrations of carbonates, supporting the formation of secondary metal-minerals as potential sinks of metals. The present study focuses on understanding the mobility of metal pollution associated with historical mining in a Carboniferous upland catchment. In the uplands of the UK, river water, sediments and spoil wastes were collected over a period of fourteen months, samples were chemically analysed to identify the main metal sources and their relationships with geological and hydrological factors. Correlation tests and principal component analysis suggest that the underlying limestone bedrock controls pH and weathering reactions. Significant metal concentrations from mining activities were measured for zinc (4.3 mg/l), and lead (0.3 mg/l), attributed to processes such as oxidation of mined ores (e.g. sphalerite, galena) or dissolution of precipitated secondary metal-minerals (e.g. cerussite, smithsonite). Zinc and lead mobility indicated strong dependence on biogeochemistry and hydrological conditions (e.g. pH and flow) at specific locations in the catchment. Annual loads of zinc and lead (2.9 and 0.2 tonnes/year) demonstrate a significant source of both metals to downstream river reaches. Metal pollution results in a large area of catchment having a depleted chemical status with likely effects on the aquatic ecology. This study provides an improved understanding of geological and hydrological processes controlling water chemistry, which is critical to assessing metal sources and mobilization, especially in neutral mine drainage areas.

39 **1. Introduction**

40 Anthropogenic activities have become an important driver of the global biogeochemical cycling
41 of metals. Present day and historical mining have caused the release of heavy metals into
42 fluvial environments. Globally, pollution from metal mining has led to severe damage to
43 riverine ecosystems in many catchments¹⁻⁶. In the United Kingdom, old mines from the 18th
44 and 19th centuries represent the major diffuse source of metals having an adverse effect on
45 aquatic ecosystems⁷⁻¹⁰. Rivers draining these mining areas are heavily affected by metal
46 pollution as mineral veins present elevated concentrations of lead and zinc ores with variable
47 concentrations of cadmium, barium and fluorine¹¹.

48
49 Water chemistry in surface waters are particularly vulnerable to biogeochemical and
50 hydrological processes which are controlled by seasonality¹²⁻¹⁴. In this context, knowledge
51 about metal mobility in natural water systems is extremely complex¹⁵. Biogeochemical
52 partitioning of metals results in a diversity of forms. Within the dissolved phase metals are
53 present as hydrated free ions, and associated with organic and inorganic complexes. Within
54 the suspended particulate phase, metals may be complexed with inorganic or organic particles
55 and biota or be present as discrete metal minerals. Adsorption and desorption of metals
56 depend on a number of factors including pH, redox conditions, mineral ore sources and the
57 composition of suspended particulate matter. For this reason, developing an improved
58 understanding of the mechanisms determining the mobility and toxicity of metals within aquatic
59 ecosystems is a key issue, which can in turn support efforts to manage or mitigate pollution
60¹⁶.

61
62 Several countries have developed guidelines to obtain good ecological and chemical status of
63 and ground waters¹⁷. In the European Union (EU), the implementation of the Water
64 Framework Directive (WFD) obliges member states to assess surface waters through
65 improved catchment scale management (River Basin Management Plans, RBMPs). However,
66 surface water bodies such as headwater streams have been excluded from early RBMPs due
67 to their small size. Studies from Freeman et al.,¹⁸ Dodds and Oakes,¹⁹ and Meyer et al.²⁰ have
68 shown the importance of these waterbodies as biodiversity richness, migration corridors, origin
69 of stream networks and diffuse source of chemicals. Consequently, sound management is
70 crucial for maintaining ecosystem health in higher order streams that are targeted by the WFD
71 aims.

72
73 A serious environmental hazard caused by mining is the generation of acid mine drainage
74 (AMD). Mine drainages, spoil wastes run-off and spoil erosion constantly discharge large
75 amounts of dissolved and particulate metals through AMD, representing a persistent and acute

76 pollution source and reducing water and sediment quality ^{13, 14, 21}. Studies of mine wastes
77 chemistry have identified two types of mine effluents, acid mine drainage (low pH and high
78 concentration of dissolved sulphate) and circumneutral mine drainage (major ion
79 concentrations reflect the mineralogy of the catchment bedrock) ^{22, 23}. Thus, mine drainage is
80 dependent on the geologic setting, local water chemistry, kinetic rates, and permeability of ore
81 and gangue minerals ²⁴⁻²⁸. Mine drainage flowing through Carboniferous limestone host rock
82 is consequently metal-rich but with a circumneutral pH ^{13, 14}. Research from Lindsay et al.²⁹
83 and Desbarats and Dirom³⁰ indicates that circumneutral mine drainage might support natural
84 attenuation of some metal-sulphides (e.g. ZnS, PbS) through the precipitation of secondary
85 minerals. Consequently, catchments under these conditions may be more vulnerable to
86 environmental harm due to changes in geochemical or hydrological conditions, producing high
87 pulses of dissolved metal concentrations or long leaching processes in response to decades
88 of chemical weathering ^{31, 32}.

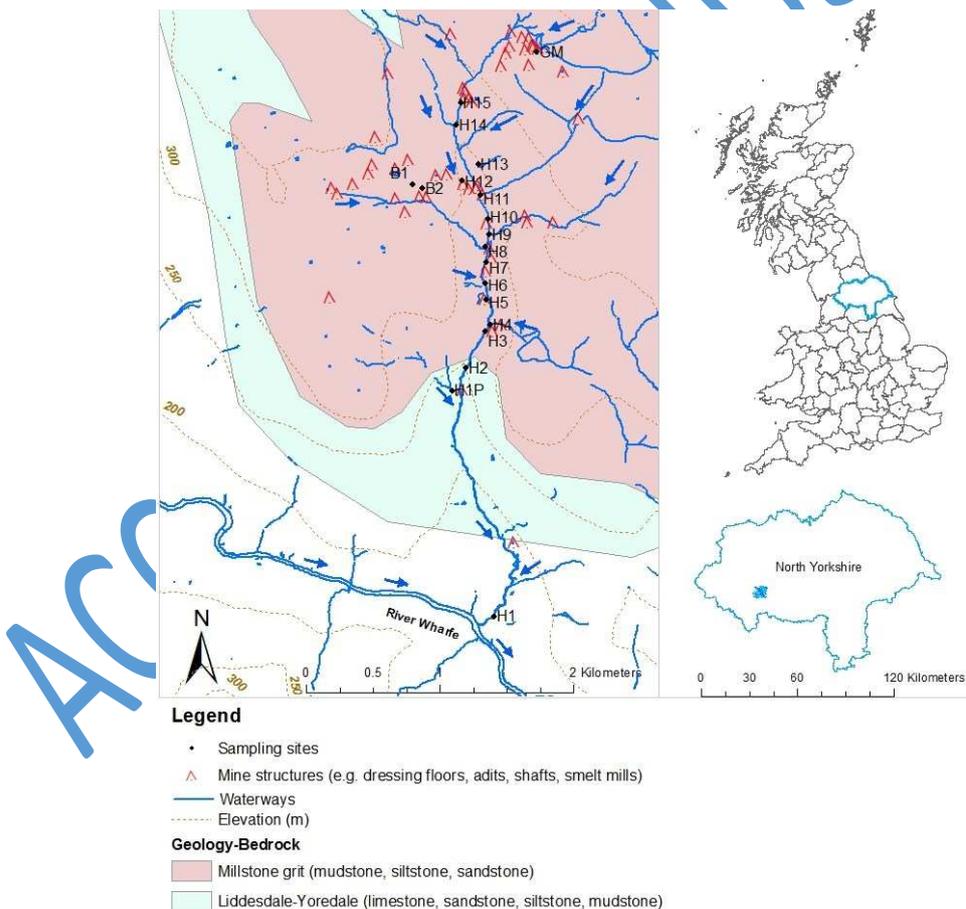
89
90 Another long-standing metal pollution problem is physical and chemical mobilisation of metals
91 through the passive dispersal and active transformation of abandoned tailings, spoil heaps,
92 bed sediments and contaminated floodplains. Studies on metal transportation from mine
93 wastes have reported the mobility of metals over long distances as free ions and complexed
94 forms within rivers. In addition, solid phases can be stored within floodplain deposits for
95 decades to millennia ^{8, 33-37}. In an area of the UK with Carboniferous bedrock, the north
96 Pennines, historical metal mining has directly affected surface and subsurface floodplain soils
97 with heavy metal concentrations above background levels ³⁸. Specifically, the Yorkshire Ouse
98 basin which drains the Pennine Orefield is estimated to contain 620 million tonnes of lead and
99 640 million tonnes of zinc stored within its floodplains ³. Given the large differences in
100 chemistry between acid and circumneutral mine drainage, particularly in the concentrations of
101 protons and of Fe and Al whose solubility is controlled by pH, there will be significant
102 differences in the degree of availability of metal forms that can interact with aquatic organisms.
103 This necessitates dedicated studies of such Carboniferous catchments.

104
105 This study aims to understand metal occurrence and mobilisation in a Carboniferous limestone
106 upland catchment impacted by former lead and zinc mining. Comprehensive water monitoring
107 and analysis of sediment and spoil samples are used to describe the effects of historical
108 mining on a whole small river catchment in the northern Pennines region of North Yorkshire,
109 UK. We sought to provide a better understanding of how geochemical processes control the
110 concentration and mobility of dissolved metals in neutral metal-rich drainage. The results have
111 wider implications for management strategies of potential environmental harm in such
112 catchments.

113 **2. Site characteristics of Hebden Beck**

114 ***2.1. Lithology and geology***

115 Hebden Beck is a sub-catchment of the River Wharfe located in the northern Pennines region
116 of the United Kingdom and within the Yorkshire Dales National Park. Hebden Beck rises from
117 Grassington Moor and is joined by multiple tributaries including Coalgrove Beck, Bolton Gill
118 and Loss Gill before the confluence with the Wharfe. It is approximately 12 km long, with the
119 upper reach (6.4 km) of the main channel being the most heavily impacted by historic mine
120 working. It drains an area of 26 km² and subsequently flows into the River Wharfe which drains
121 the Wharfedale valley. The Wharfe flows into the River Ouse and ultimately reaches the sea
122 at the Humber Estuary which is one of the largest in the UK (24,750 km²). The geology of
123 Hebden Beck is dominated by Millstone Grit sandstone (approx. 20 km²) but crucially also
124 contains bands of Carboniferous limestone (approx. 6 km²) (Figure 1). The catchment cover
125 comprises 46% peatlands, predominantly in the upstream areas, 35% Carboniferous
126 limestone, mainly in the south and 19% glacial sediment, predominantly in the west³⁹.



127

128 Figure 1. Hebden Beck with sampling sites and mine structures located in the Millstone grit and
129 Liddesdale-Yoredale bedrock. Blue arrows indicate the direction of flow.

130 2.2. Ore processing and mine wastes

131 Hebden's orefield comprised coal and lead-zinc mineral deposits, where galena (PbS) is the
132 most common mineral, but with associated sphalerite (ZnS), chalcopyrite (CuFeS₂), barite
133 (BaSO₄), fluorite (CaF₂) calcite (CaCO₃) and witherite (BaCO₃)³⁹. These minerals occur mostly
134 in vertical veins along fault planes. Early mine workings were open cuts and shafts to extract
135 deeper layers of lead ore. In later years until around 1850, the hushing method was used to
136 scour away the soil using the erosive power of water to expose mineral veins. This method
137 required the construction of dams to control streams, and manmade channels to divert water.
138 Horizontal drainage levels (adits) were driven from the valley bottoms to enable deeper
139 working and easier removal of minerals. Lead ores were crushed, classified and bagged at
140 the dressing floors located at the surface close to the mines, then transported to the smelting
141 mills to be processed. Water power was also applied at the dressing floors and smelt mills,
142 therefore spoil tips or mine wastes are located next to rivers. Approximately, 124 mining
143 features exist in the Hebden Beck catchment area, however main features are 5 lead-zinc
144 mines, 15 adits, 7 spoil tips and 4 smelters. From 1700-1900, 1686.5 tonnes of lead were
145 extracted from these mines⁴⁰.

146 **3. Methods**

147 3.1. Sampling strategy

148 Sites were selected from the most impacted area, covering an area of 5 km² including point
149 and diffuse sources flowing downstream from mine sites. A total of sixteen sites were chosen
150 for water sampling including the main channel, minor and major tributaries, together with a
151 source pool feeding a tributary (Table 1). Eight of these sites (about 3.3 km) were part of a
152 water quality monitoring programme performed by the Environment Agency³⁹. The other
153 eleven sites were selected based on their proximity to mine wastes (e.g. tailings, spoils).
154 Monthly sampling campaigns were carried out from November 2013 to December 2014.

155 Table 1. Sampling sites along Hebden Beck. Table indicates type of sample, site elevation, coordinates,
156 and distance from River Wharfe. Sites are listed from upstream to downstream. Locations adopted from
157 the Environment Agency monitoring programme are indicated with (*).

Site ID	Site Description	Type of sample	Elev. (m)	Coordinates		From R. Wharfe (m)
				East	North	
H15	Head water reservoir (Next-smelt mill)	Water	368	402798	466766	4970
GM	Grassington moor, spoil wastes	Spoil	380	403014	466663	
H14	Perennial tributary (Coalgrove Beck)	water, sediment	294	402413	466106	4207
H13	Ephemeral tributary	Water	287	402443	465931	4030
B1	Beaver, spoil wastes (from heap)	Spoil	320	402087	465660	
B2	Beaver, spoil wastes (silt runoff)	Spoil	317	402163	465630	
H12	Ephemeral tributary (downstream-Yarnbury mine-Beaver spoil)	water, sediment	285	402451	465822	3921

H11	Perennial tributary (Loss Gill Dike)	Water	278	402597	465578	3637
H10*	Main channel	water, sediment	267	402656	465324	3377
H9*	Perennial tributary (Bolton Gill)	Water	266	402661	465285	3338
H8*	Ephemeral tributary (from-Yarnbury mine)	Water	268	402632	465176	3226
H7*	Perennial tributary (Adit) – Bolton Haw	Water	266	402648	465164	3206
H6*	Main channel	Water	257	402630	464916	2958
H5*	Perennial tributary (Duke's adit)	Water	256	402638	464793	2836
H4	Ephemeral tributary (Waterfall)	Water	254	402668	464604	2645
H3*	Perennial tributary (Laneshaw adit)	Water	246	402632	464550	2580
H2*	Main channel at gauging station	Water	235	402488	464275	2271
H1P	Perennial tributary	water	233	402382	464104	2071
H1	Main channel - Confluence R. Wharfe	water, sediment	152	402695	462400	140

158

159 3.2. Water sampling

160 Samples were taken from downstream to upstream (H1 to H15) in order to minimise
161 contamination of other sites by disturbance⁴¹. At each site, a sample was taken with a pre acid
162 washed (10% HNO₃, Nitric acid-Sigma Aldrich 69% and Milli-Q water) 750 ml polypropylene
163 bottle attached to a plastic pole. Four subsamples were then extracted from this bottle. For
164 total metals, unfiltered samples were placed individually into a pre-weighted 50 ml tube
165 (polypropylene) containing 1 ml of preservation solution (10% HNO₃) to reach 1% v/v of the
166 final volume and pH ≤ 2^{41, 42}. For dissolved metals analysis, samples filtered through syringe
167 filters (0.45 µm, polyethersulfone-hydrophilic, Sartorius) were placed individually into a pre-
168 weighted 50 ml tube (polypropylene). Then preservation solution (10% HNO₃) was added as
169 used for total metals. For quantifying major anions, the sample was filtered (Sartorius syringe
170 filters 0.45 µm, polyethersulfone-hydrophilic) and placed into polypropylene tubes. For
171 inorganic and organic carbon analysis the samples were passed through syringe filters (0.45
172 µm, nylon-polypropylene, Avonchem) and placed into polypropylene tubes. All samples were
173 kept in a cool box during sampling and transported the same day to the laboratory for storage.
174 Samples for major anions analysis were stored frozen at -20°C, while samples for all other
175 analyses were refrigerated at 4°C.

176 A carbon Analyser (Analytik Jena Multi N/C2100) was used for measuring carbon compounds
177 (dissolved inorganic carbon-DIC and dissolved organic carbon-DOC), Ion Chromatographer
178 for major cations (Ca, Mg) and anions (Cl⁻, NO₃⁻, SO₄²⁻) (Dionex ICS-3000), and SEAL
179 Analytical AA3 was used for orthophosphate quantification. For metal analysis, nine elements
180 were measured (Pb, Ba, Cd, Sr, Zn, Cu, Fe, Mn, Al), using inductively coupled plasma mass
181 spectrometry (ICP-MS; Thermo Fisher iCAPQc) with specific limits of detection (Pb: 0.0001
182 µg/l, Ba: 0.06 µg/l, Cd: 0.0001 µg/l, Sr: 0.08 µg/l, Zn: 67 µg/l, Cu: 0.05 µg/l, Fe: 0.11 µg/l, Mn:
183 0.04 µg/l, Al: 0.16 µg/l). Field blanks (n= 3) and replicates (n= 3) were collected at each
184 sampling campaign.

185 3.3. Sediment and spoil sampling

186 Most sites were dominated by large rocks and coarse sediment. Sediment samples were
187 collected during a single campaign at specific sites (H14, H12, H10 and H1) to assess the
188 evolution of mineral composition. Plastic scoops were used for their collection by wading along
189 a cross-section of the stream. Sediments were sieved through a <250 µm stainless steel mesh
190 and transferred into 50ml polypropylene tubes. Spoil sampling was carried out at single spoil
191 heaps at Grassington Moor (GM) and the Beaver spoil area (B1), in addition a further sample
192 was collected at the Beaver spoil area that represented material that had been subjected to
193 movement and size sorting by the actions of rainfall events (B2).

194 In the laboratory, sediment samples were centrifuged at 3200 rpm for 10 mins to allow removal
195 of the supernatant. The supernatant was decanted and the resulting slurries were placed in a
196 petri dish to air dry. After drying, sediments were placed into zip log bags for disaggregation.
197 Spoil samples (B1, B2, and GM) were dried to calculate percentage water composition. The
198 surface area was also measured to estimate the mineral area available for dissolution
199 reactions. This was performed using the Brunauer, Emmett and Teller method (BET;
200 Micromeritics Gemini VII 2390a) on 2 g of sample dried overnight under N₂ gas at 75 °C. In
201 addition, both sediment and spoil samples were analysed by X-ray Diffraction (XRD, Bruker
202 D8-Discover instrument) for determining mineralogy of the major constituents and X-ray
203 Fluorescence (XRF, Innovex X-5000) for chemical composition. The minimum mineral
204 fractions required for detection on this instrument is 2-3%. The standard reference material
205 STSD-3 (stream sediment) was used as XRF quality control.

206 3.4. In situ measurements

207 Pre-calibrated multiple sensor probes (Model HQ30d flexi 1032) were used in the field to
208 measure pH, dissolved oxygen (DO: mg/l) and conductivity (EC: µS/cm). Flow rate (m³/s) was
209 calculated from in situ flow velocity measurements (m/s) (flow meter: Global 800-876) together
210 with data from river depth (m) and width (m). Flow data from the UK Environment Agency
211 gauging station (H2) was also obtained from their continuous monitoring records. This flow
212 data together with metal concentrations were used for the calculation of annual metal loading
213 and comparison with Environmental quality standards (EQS) for freshwater in the UK ³⁹.

214 3.5. Data analysis

215 Geochemical modelling

216 The PHREEQC code (version 3)^{43, 44} was used for modelling main geochemical reactions
217 occurring in aqueous solutions. This software allows the prediction of mineral precipitation that
218 potentially controls the composition of the aqueous phase. Equilibrium reactions and
219 thermodynamic constants were retrieved from the built-in WATEQ4F database ⁴⁵⁻⁴⁷. Mineral

220 saturation indices and metal free ion activities for hydroxide, carbonate and sulphate minerals
 221 were calculated for the pH range 3.5-9 and based on mean values across our field sites and
 222 all sampling dates: temperature 10 °C, SO_4^{2-} (\bar{x} : 13592 $\mu\text{g/l}$) and Cl^- (\bar{x} : 7730 $\mu\text{g/l}$). With
 223 calculations for carbonate minerals the $p\text{CO}_2$ was fixed at three times the atmospheric
 224 concentration (0.0012 atm), consistent with typical supersaturation of this gas in streams.
 225 These model predictions are compared with metal free ion activities calculated for each
 226 sampling site and date to investigate the controlling mineral phases.

227 Principal Component Analysis

228 Principal component analysis (PCA) was conducted to identify the main factors influencing
 229 metal distribution. Linear correlation analysis was applied to evaluate the relationships among
 230 the studied metals, other compounds and in situ parameters. Results of Pearson and
 231 Spearman tests showed no significant difference between them. As such, we report the
 232 Pearson correlation, as this test is more sensitive for the identification of outliers. Both test
 233 were performed using Rstudio (version 3.1.0).

234 4. Results

235 4.1. Characterising metal pollution in the catchment

236 In water samples, metal concentrations occurred in the following order for total:
 237 $\text{Zn} > \text{Fe} > \text{Sr} > \text{Ba} > \text{Pb} > \text{Al} > \text{Mn} > \text{Cd} > \text{Cu}$ and dissolved forms: $\text{Zn} > \text{Fe} > \text{Sr} > \text{Ba} > \text{Al} > \text{Pb} > \text{Mn} > \text{Cd} > \text{Cu}$
 238 (Table 1-SI). Two metals, Zn and Pb were chosen as the focus for this study based on their
 239 significant concentrations derived from mining activities (Table 2). Annual pH averages
 240 reflected the considerable contribution of the underlying limestone bedrock showing a
 241 dominant circumneutral pH (mean= 6.8) in 80% of the studied sites. Some sites (e.g. H4 and
 242 H13) represent moorland runoff with little interaction with underlying rock, thus pH is lower
 243 (<6.3) due to high DOC and no carbonate buffering.

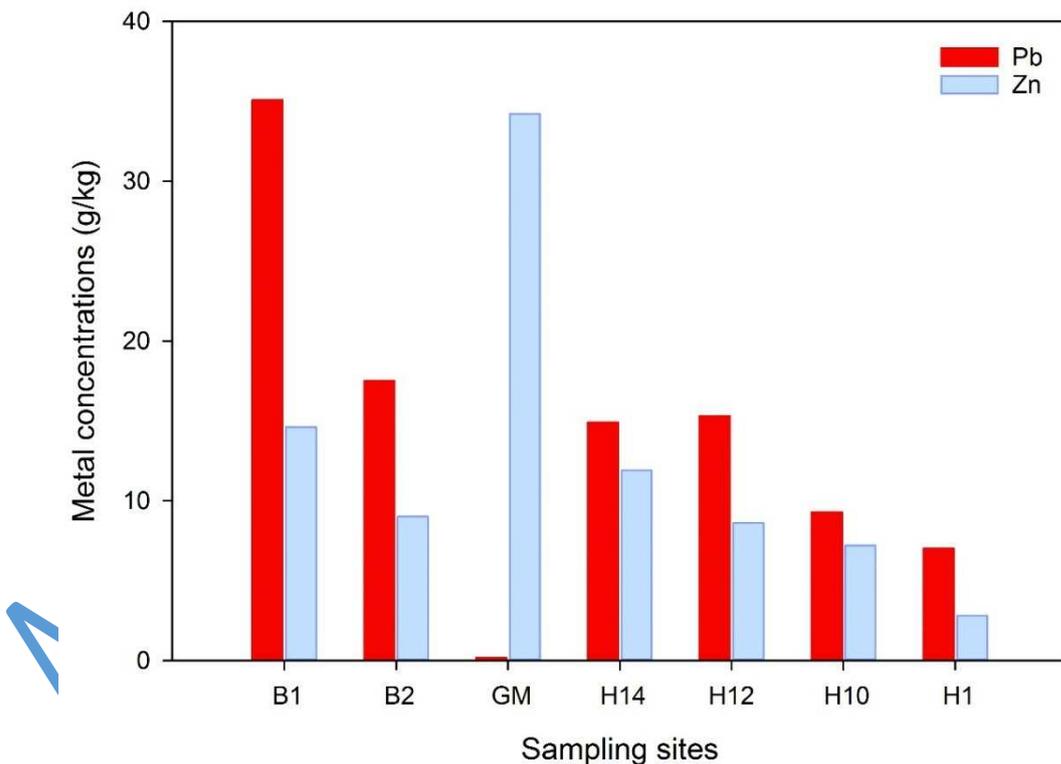
244 Table 2. Mean, maxima and minima from water chemical analysis. Metal forms are denoted as total (T)
 245 and dissolved (D). Description of sites are indicated as main channel (MC), ephemeral tributaries (ET)
 246 and perennial tributaries (PT). Units are in $\mu\text{g/l}$. Values below the detection limit are represented by
 247 (b/d).

Site	Description		Pb_T	Pb_D	Zn_T	Zn_D
H15	Reservoir	Ave	316.7	279.6	2058.8	2028.0
		Max	411.9	423.6	2542.4	2759.5
		Min	96.3	103.6	722.4	1080.7
H14	PT	Ave	178.3	157.6	1318.6	1397.9
		Max	292.3	375.5	1778.9	2193.1
		Min	106.9	75.7	864.7	900.3
H13	ET	Ave	227.1	205.9	260.4	207.4
		Max	331.3	283.4	1619.8	1435.5
		Min	141.2	138.0	b/d	b/d
H12	ET	Ave	686.4	284.2	5168.8	4252.3

		Max	2701.2	439.9	12619.3	7438.4
		Min	261.8	184.1	66.5	73.6
H11	PT	Ave	64.0	31.6	295.7	410.5
		Max	765.6	355.2	3493.9	5276.2
		Min	3.7	b/d	b/d	b/d
H10	MC	Ave	108.1	80.7	468.8	444.4
		Max	268.1	145.2	787.4	777.0
		Min	11.8	3.1	b/d	b/d 33.5
H9	PT	Ave	28.5	20.8	125.2	95.7
		Max	171.9	94.3	572.2	518.6
		Min	12.7	2.0	b/d	b/d
H8	ET	Ave	38.1	19.0	318.5	269.0
		Max	123.5	28.2	438.3	435.2
		Min	12.2	5.3	b/d	b/d
H7	PT	Ave	7.7	4.3	3440.2	3220.5
		Max	21.1	47.7	5425.8	4312.3
		Min	0.8	b/d	2062.0	1936.7
H6	MC	Ave	60.4	49.4	537.6	510.2
		Max	102.0	85.6	664.1	674.1
		Min	20.5	13.8	468.3	390.2
H5	PT	Ave	60.4	54.3	883.5	867.2
		Max	132.3	157.8	1216.5	1206.0
		Min	17.1	10.0	688.0	613.5
H4	ET	Ave	8.3	4.4	b/d	b/d
		Max	26.7	6.6	68.6	68.6
		Min	3.2	b/d	b/d	b/d
H3	PT	Ave	2.8	0.2	b/d	b/d
		Max	10.6	0.7	98.6	84.7
		Min	0.05	b/d	b/d	b/d
H2	MC	Ave	46.7	39.4	515.0	485.9
		Max	93.5	87.4	765.4	606.9
		Min	17.0	4.2	194.5	360.5
H1P	PT	Ave	3.0	1.9	b/d	b/d
		Max	5.2	8.3	68.6	68.5
		Min	0.8	b/d	b/d	b/d
H1	MC	Ave	31.1	16.6	217.5	158.9
		Max	146.8	43.7	375.9	302.9
		Min	5.1	b/d	77.9	67.8

248 Across the catchment, Zn_D was the most abundant pollutant with concentrations ranging from
249 95.7 to 3220.5 µg/l in perennial tributaries. Ephemeral tributaries also showed high
250 concentrations up to 4252.3 µg/l while sites along the main channel had Zn_D concentrations
251 from 158.9 to 510.2 µg/l. The second toxic pollutant of concern was Pb_D, where main
252 contributions were observed in ephemeral tributaries with ranges from 4.4 to 284.2 µg/l, and
253 perennial tributaries ranged from 1.9 to 157.6 µg/l. The main river channel showed
254 concentrations of Pb from 16.6 to 80.7 µg/l. Major cations were dominated by calcium, with
255 concentrations from 2.6-54.9 mg/l, and major anions comprised sulphate (3-24.9 mg/l), nitrate
256 (1-17.8 mg/l), phosphate (0.002 to 0.1 mg/l) and chloride (7.2-10.5 mg/l). Dissolved inorganic
257 carbon concentrations ranged from 0.9 to 42.7 mg/l and dissolved organic carbon from 1.2 to
258 16.8 mg/l (Table 2-SI). Field blanks measurements shown concentrations below limit for all
259 the elements and replicates a standard deviation of ≤ ±0.5 µg/l (Cd and Cu), ≤ ±3.6 µg/l (Mn
260 and Sr), ≤ ±12 µg/l (Pb, Al, Ba).

261 From mineralogical analysis of spoil and sediment samples, the most abundant minerals were
 262 quartz (SiO_2) and fluorite (CaF_2). Spoil samples (B1, B2 and GM) included barite (BaSO_4) as
 263 an additional dominant mineral. Other secondary minerals like muscovite
 264 ($\text{KAl}_2(\text{Si}_3\text{AlO}_{10})(\text{OH})_2$) and kaolinite ($\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$) were present at B1 and GM. Furthermore,
 265 the mineral cerussite (PbCO_3) was detected at B1. In sediments (H14, H12, H10, H1), the
 266 mineralogy of H14 was similar to B2 (principally the presence of quartz, fluorite and barite)
 267 whereas H12 and H10 contained calcite (CaCO_3), and H1 (140 m from the confluence with
 268 the River Wharfe) presented mainly quartz and calcite. Chemical composition analysis
 269 detected significant fractions of Pb in spoils B1 (35.1 g/kg) and Zn in GM (34.2 g/kg), while for
 270 sediments, major Pb concentrations were present in H12 (15.3 g/kg) and Zn in H14 (11.9
 271 g/kg). Total Pb plus Zn in the sediment samples showed a decrease the further downstream
 272 the sample origin (Figure 2). Analysis of water composition and surface area in spoil samples
 273 showed higher percentages of water composition in GM (34.29%) followed by B2 (18.77%)
 274 and B1 (18.6%), while surface area values were: GM (20.6 m^2/g), B1 (5.82 m^2/g), B2 (1.34
 275 m^2/g).



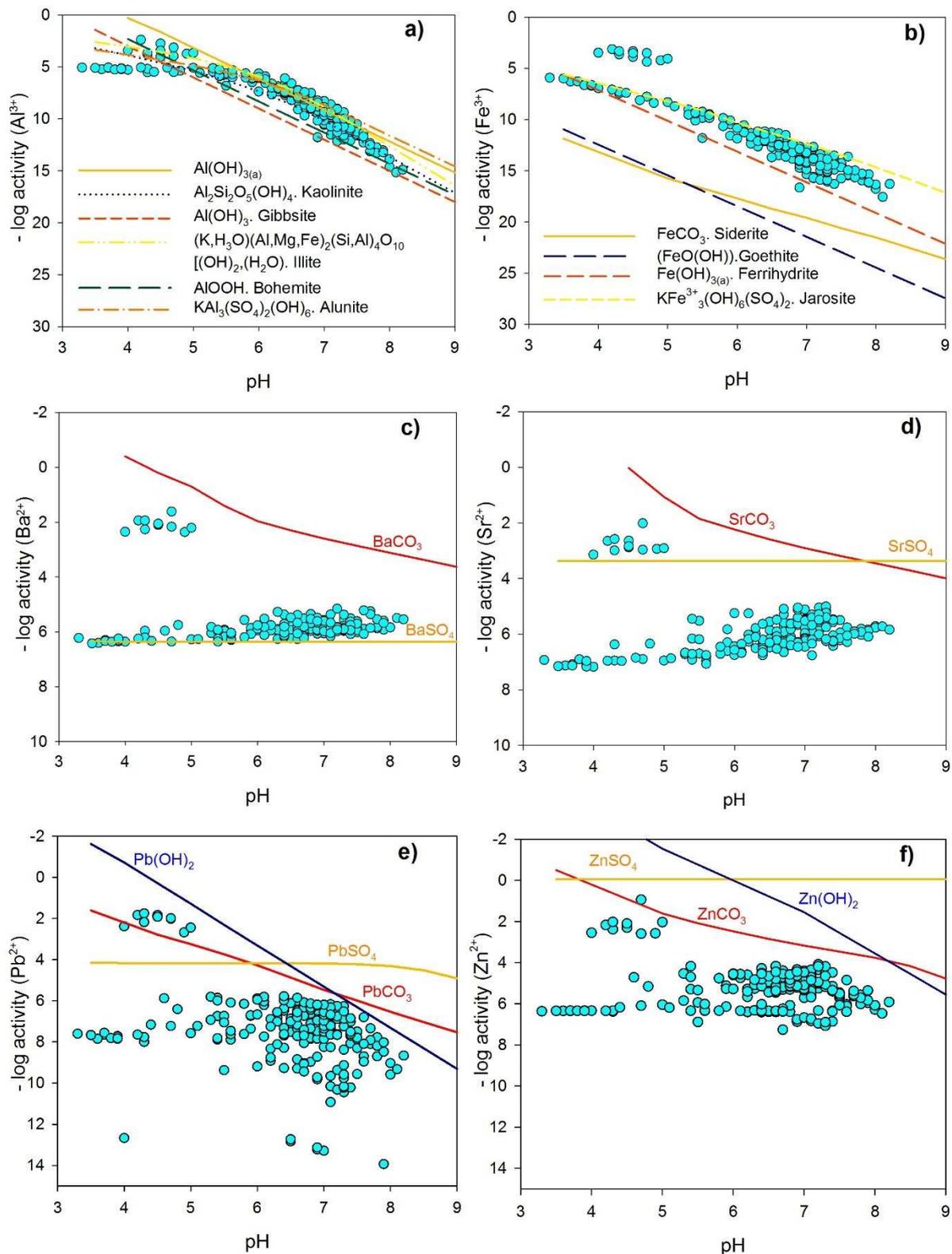
276
 277 Figure 2. Lead and zinc composition in spoils and sediments.

278 **4.2. Mineral phases controlling dissolved metal concentrations**

279 The metals Zn and Pb are the most significant toxic pollutants derived from mining activities.
 280 However, we modelled the geochemical behaviour of the additional metals Al, Fe, Ba and Sr
 281 as they are present in significant concentrations in the catchment. Geochemical modelling

282 predicted that kaolinite ($\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$) may account for the Al source with the solubility being
283 controlled mainly by amorphous Al oxide phases ($\text{Al}(\text{OH})_3$). Concentrations of Fe are more
284 likely derived from secondary minerals. Iron solubility is controlled by amorphous phases such
285 as ferrihydrite ($\text{Fe}(\text{OH})_3$). The supersaturation of the Al and Fe phases may suggest the
286 presence of some colloidal metal measured as part of the dissolved fraction. Barium
287 concentrations are controlled by barite (BaSO_4), while Sr activity was too low to infer a
288 controlling phase, perhaps being controlled by a mineral where it is present as a secondary
289 metal. Lead and Zn concentrations are largely regulated by secondary minerals as metal-
290 carbonates, cerussite (PbCO_3) and smithsonite (ZnCO_3), respectively (Figure 3).

ACCEPTED MANUSCRIPT



291

292 Figure 3. Aluminium, Fe, Ba, Sr, Pb and Zn activity as a function of pH, SO_4^{2-} (13592 $\mu\text{g/l}$), Cl^- (7730
 293 $\mu\text{g/l}$) and $p\text{CO}_2 = 0.0012$ atm. Theoretical saturation of mineral forms are represented by solid lines
 294 and calculated metal free ion activity of experimental data by dots.

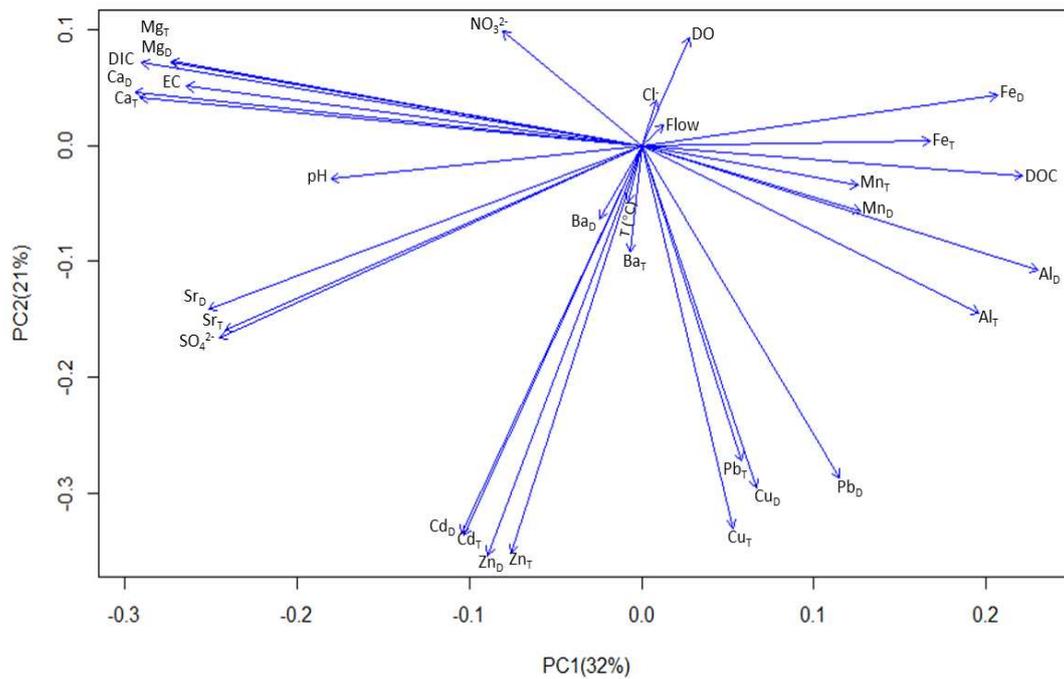
295 4.3. Key chemical relationships

296 Table 3 summarises correlation analysis of metals derived from mining activities (Pb, Zn) and
 297 bedrock weathering (Ca) with major water chemistry parameters (pH, SO₄²⁻, DIC and DOC).
 298 Zinc presented good correlation with SO₄²⁻ (r= 0.6), Ca showed very strong association with
 299 DIC (r= 0.9), while Pb presented poor correlations (r≤ 0.3). Principal component analysis
 300 (PCA) shows key geochemical processes in influencing the water chemistry of Hebden Beck
 301 (Figure 4). The first component (PC1) with a 32% of variance indicates strong correlations
 302 between dissolved and particulate forms of calcium, magnesium, with DIC, EC and pH,
 303 reflecting weathering of the bedrock, while moderate correlation between iron and DOC refers
 304 to the transport of metals through colloidal matter in aquatic systems. Furthermore, the second
 305 component (PC2) with 21% of variance shows good correlations between strontium, zinc and
 306 cadmium with SO₄²⁻, reflecting the oxidation of sulphide minerals. Other in situ parameters like
 307 temperature, DO, flow and anions (NO₃⁻ and Cl⁻) were not significantly associated with metals
 308 when considering all sites.

309 Table 3. Relationships between metals (Pb, Zn, and Ca) with pH, SO₄²⁻, DIC and DOC. Pearson
 310 correlation coefficient is denoted as r, p-value as p and confidence interval (95%) as CI.

	Stats	pH	SO ₄ ²⁻	DIC	DOC
Pb_D	r	-0.1	-0.1	-0.4	0.3
	p	0.084	0.194	<0.001	<0.001
	CI	[-0.257 0.016]	[-0.228 0.047]	[-0.517 -0.284]	[0.135 0.393]
Zn_D	r	0.2	0.6	0.04	-0.2
	p	0.008	<0.001	0.588	0.022
	CI	[0.051 0.319]	[0.517 0.692]	[-0.101 0.176]	[-0.295 -0.024]
Ca_D	r	0.6	0.7	0.9	-0.6
	p	<0.001	<0.001	<0.001	<0.001
	CI	[0.526 0.698]	[0.571 0.730]	[0.963 0.978]	[-0.701 -0.529]

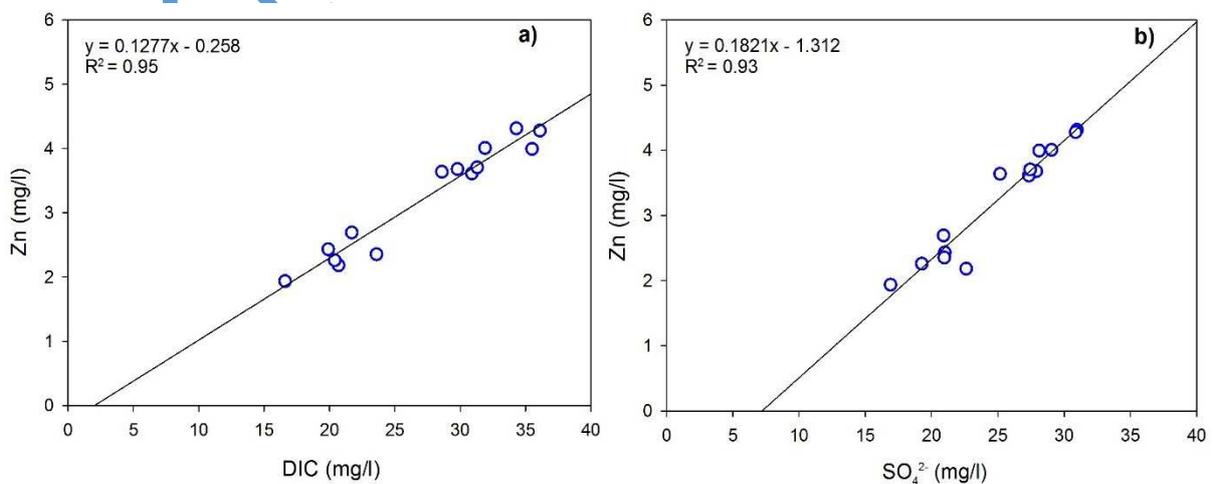
311



312

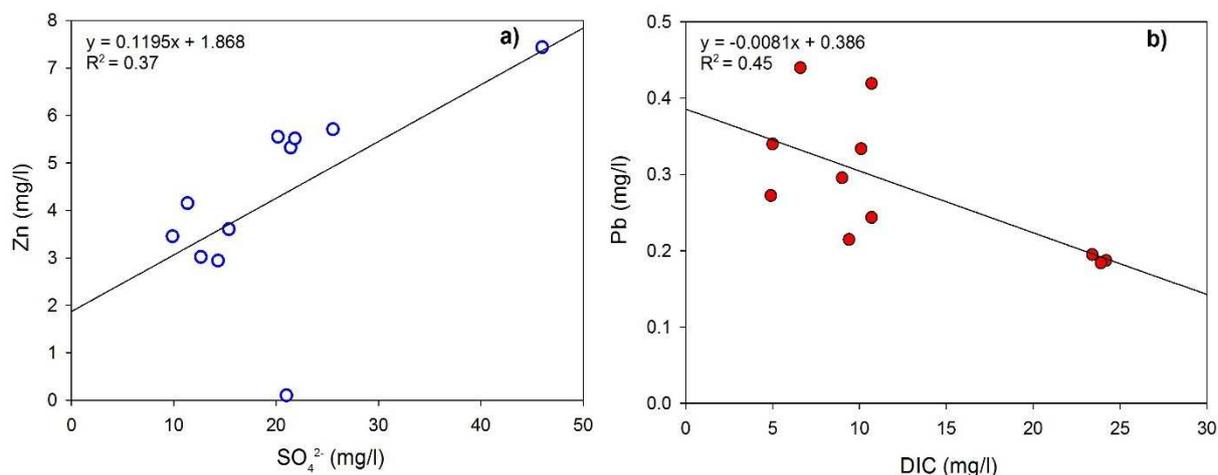
313 Figure 4. Scree plot from PCA analysis, horizontal axis shows projections of the first principal
 314 component PC1 which represents 32% of the total variance and the vertical axis the second component
 315 PC2 representing the 21% of variance.

316 Complementary linear regression analysis was carried out at sites with highest concentrations
 317 of Zn_D and Pb_D (H15, H14, H12 and H7) for evaluating their relationships with DIC and SO_4^{2-} .
 318 Since H15 is a reservoir with lower variance in chemical concentrations, it was not considered
 319 for this and subsequent analyses. Strong correlations between Zn_D and DIC ($R^2= 0.95$) and
 320 SO_4^{2-} ($R^2= 0.93$) were identified at H7. Moderate correlations between Zn_D and SO_4^{2-} ($R^2= 0.4$)
 321 and between Pb and DIC ($R^2= 0.5$) were present at site H12 (Figure 5 and 6). No significant
 322 correlation was evident at site H14, therefore results are not shown.



323

324 Figure 5. Relationships in tributary H7 between Zn_D and DIC (left panel) and SO_4^{2-} (right panel).



325

326 Figure 6. Relationships in tributary H12 between Zn_D with SO₄²⁻ and Pb_D with DIC.

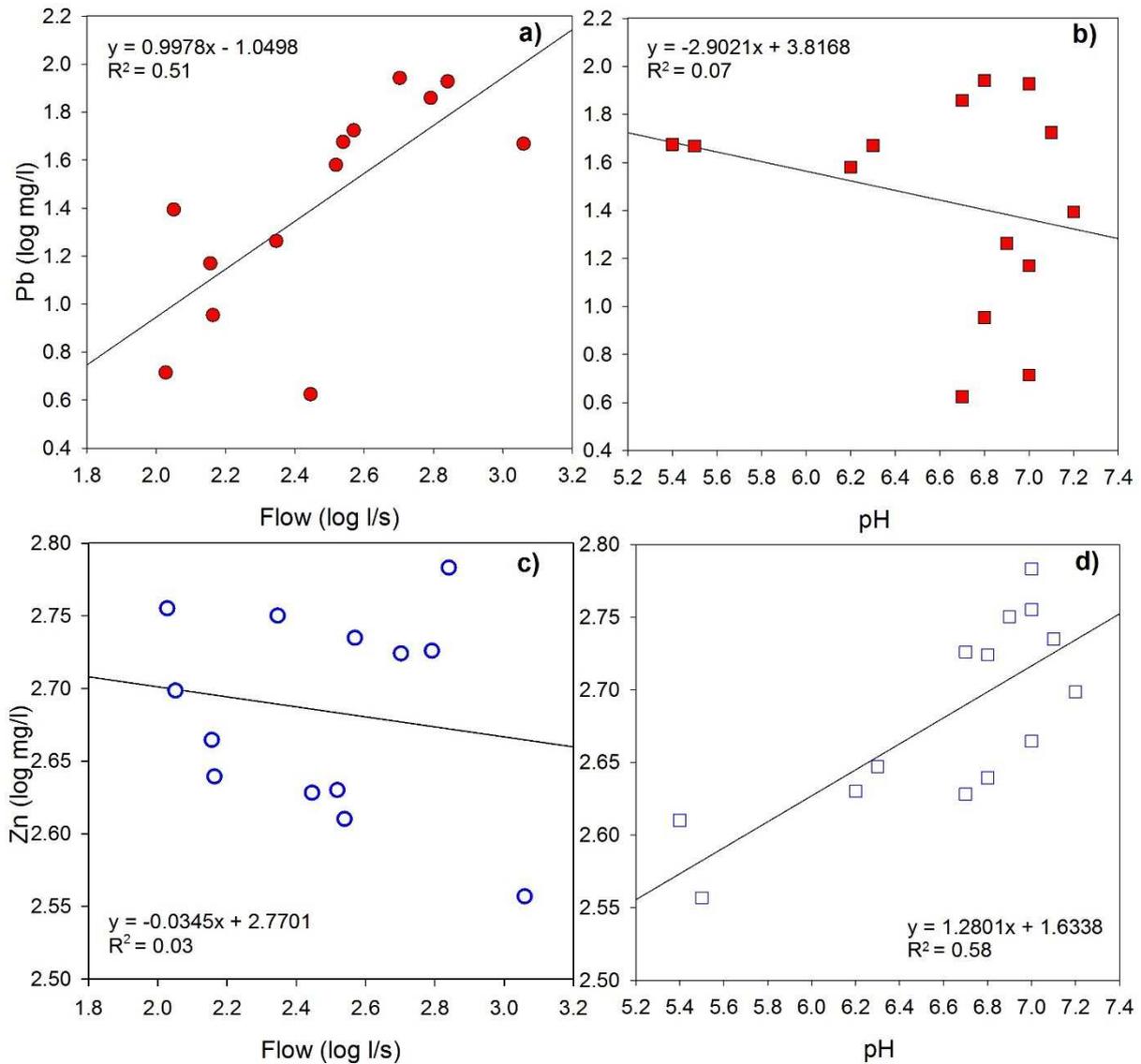
327 4.4. Seasonality and trends

328 Across the sampling campaigns, water temperature ranged from 3.6 to 15.6 °C, with higher
 329 values in summer (July) and lowest in winter (February). Consistent with temperature, low
 330 monthly average of EC values were recorded in winter (78 μS/cm) and high average values
 331 during summer (209 μS/cm). Dissolved oxygen levels showed similar values, ranging from
 332 10.2-14.0 mg/l, with lower concentrations recorded in winter (December) and highest levels in
 333 autumn (September). For flow, highest annual rates were recorded in November (2666.1 l/s)
 334 and lowest rates in July (54.0 l/s) (Table 3-SI).

335 Fluctuations were also dependent on the type of tributaries (e.g. perennial and ephemeral)
 336 (Table 4-SI). Flow values in perennial tributaries ranged from 3.0 to 192.7 l/s and in ephemeral
 337 from 9.0 to 57.0 l/s when flow was measurable, as some tributaries were dry for 5 or 6 months.
 338 Across all tributaries, two significant sites were identified as metal contributors of Zn_D (H12:
 339 4252.3 μg/l and H7: 3220.5 μg/l) and Pb_D (H12: 284.2 μg/l) (Table 1). Across all sites, mean
 340 values of pH were highest in September (7.1) and lowest in November 2013 (5.6) (Table 3-
 341 SI). In individual sites, pH means ranged from 3.9 to 7.5, showing large monthly variations
 342 (SD ≥ ±0.7) in sites H15, H11, H9, and H1P. Despite these variances a circumneutral pH (6.2-
 343 7.4) was predominant in the catchment.

344 Trends of flow and pH were considered to analyse their influence on metals concentration.
 345 Regression analysis was performed using all sampling sites. However, the results
 346 demonstrate the site specific nature of trends, with no catchment wide trends revealed (Figure
 347 2-SI). We investigate further the trends for H2, as the chemistry is representative of a
 348 significant distance of the downstream reach and it has limited dilution before entering the

349 River Wharfe. Strong positive relationships were present for Pb_D-flow (R²= 0.51) and Zn_D-pH
 350 (R²= 0.58) and no clear relationships for Pb_D-pH (R²= 0.06) and Zn_D-flow (R²= 0.02) (Figure
 351 7).



352
 353 Figure 7. Trends of metals in function of flow and pH in H2. Panel a and b show trends of Pb and panel
 354 c and d indicate Zn trends. Solid lines represent regression lines.

355 4.5. Annual metal load

356 The contribution of Pb_D and Zn_D in the catchment was estimated through the annual metal
 357 load (tonne/year). Only site H2 was considered for this calculation due to the availability of
 358 flow data and the lack of significant additional mine runoff downstream. Table 4 shows the
 359 average annual load of Pb is 0.2 tonne/year and for Zn 2.9 tonne/year. These values were
 360 compared with well-established EQS metals and showed maximum exceedances of 12-fold
 361 for Pb and Zn.

362

363 Table 4. Estimation of annual Pb and Zn load by using flow records from Environment Agency (EA)
 364 gauging station at H2 (main channel).

Stats	H2-Flow (l/s) from EA station	Pb _D (µg/l)	Pb _D (tonne/year)	Zn _D (µg/l)	Zn _D (tonne/year)
Average	189	39.4	0.2	485.9	2.9
Maximum^(a)	556	87.4	1.5	606.9	10.0
Minimum^(b)	36	4.2	<0.1	360.5	<0.9
<i>EQS-Hardness based</i>		7.2		50.0	

365 a) Maximum values recorded in February 2014.

366 b) Minimum values recorded in July 2014.

368 5. Discussion

369 In the catchment, biogeochemical actions such as weathering and erosion are significant
 370 processes in the generation of dissolved metals, which are likely derived from bedrock
 371 weathering and oxidation or dissolution of mineral ores. Carboniferous limestone bedrock,
 372 mainly composed of calcite (CaCO₃) is weathered, releasing significant amounts of calcium
 373 and carbonate, and creating a neutralizing capacity and circumneutral pH in environments
 374 surrounded by sulphide ore wastes. The influence of the geology in the catchment was shown
 375 by strong relationships between Ca_D with DIC ($r = 0.9$, $p < 0.001$) and pH ($r = 0.6$, $p < 0.001$). For
 376 metals derived from mining activities, a potential primary source of Zn_D is the oxidation of
 377 sphalerite (ZnS) as a good correlation was observed between Zn_D and SO₄²⁻ ($r = 0.6$, $p < 0.001$)
 378 (Table 3). However, additional Zn_D concentrations may be attributed to the presence of
 379 secondary zinc minerals (e.g. smithsonite)⁴⁸. Contributions of Pb_D are associated with
 380 dissolution of metal-carbonate compounds (cerussite) rather than oxidation of metal-sulphide
 381 as no correlation between Pb_D with SO₄²⁻ was identified ($r \leq -0.1$, $p < 0.001$) (Table 3). The
 382 presence of secondary minerals such as metal-carbonate might affect the solubility and
 383 mobility of metals as they present slower dissolution kinetics than primary minerals⁴⁹⁻⁵².

384
 385 Geochemical modelling has revealed the importance of secondary minerals such as
 386 carbonates, sulphates and hydroxides in the control of dissolved metals (Figure 3). For
 387 instance, Pb and Zn concentrations are greatly influenced by the dissolution of metal-
 388 carbonate forms (e.g. cerussite and smithsonite)^{49, 53}. Carbonates released from the
 389 dissolution of metal-carbonate also contribute to the river alkalinity, enhancing the buffering
 390 capacity of the system. In addition, the source of Al can be associated with the presence of
 391 kaolinite, which was identified by the XRD analysis in most of the spoils/sediments. The
 392 presence of Fe concentrations can be associated with jarosite, and although this mineral was
 393 not detected by XRD analysis, it is a common secondary Fe mineral in mining areas^{27, 28}.
 394 Figure 3 shows that Fe activity follows closely the prediction for jarosite, which at pH > 3 tends

395 to dissolve and release sulphate ions and Fe^{3+} ⁵⁴. In alkaline environments the activity of Fe^{3+}
396 is likely controlled by hydrous ferrous oxides, the presence of colloids in the dissolved fraction
397 may explain the supersaturation. Aluminium and Fe solubility are controlled by amorphous
398 phases, specifically $\text{Al}(\text{OH})_3$ and $\text{Fe}(\text{OH})_3$ (known as ferrihydrite). The presence of hydroxide
399 compounds in the catchment could affect metal mobility, as they might sorb or co-precipitate
400 with metals like Pb and Zn, acting as natural scavengers of these toxic elements⁵⁵. Nordstrom
401⁵⁶ indicated that hydrology is another factor influencing metals mobility as concentrations of
402 constituents in natural waters depend to a large extent on the rate of dissolution relative to
403 flow rate. This condition was observed in the upper site of the catchment (a pond) as
404 concentrations of metals were closer to saturation due to longer residence time of the water
405 than under stream flow conditions (Figure 1-SI). Clustered sites with high metal free ion
406 activities were identified and associated with sites with low pH values (<5), possible caused
407 by high DOC concentrations (>8 mg/l), which are not considered in the modelling. The
408 presence of metal-organic complexes will reduce the activities of metal free ions.

409
410 Analysis of sediments and spoils were consistent with water chemistry results showing Zn
411 (11.9 and 34.2 g/kg) and Pb (15.3 and 35.1 g/kg) as major metals present in spoils due to
412 lower extraction efficiency methods common in historical mining⁴⁰. Preliminary sequential
413 batch leaching experiments of spoil samples into deionised water showed consistent Zn and
414 Pb concentrations of at least one order of magnitude above other metals across several
415 leaching cycles (except Pb in GM where it is not present in significant concentrations). For
416 leaching with acid (0.1 M HCl) most Zn was solubilised in the first two batches. Similar
417 concentrations of Pb and Ca were leached but over five acid addition cycles, suggesting
418 different dissociation kinetics of Pb and Zn minerals⁵⁷. Future work will further explore the
419 kinetics of leaching from the mineral forms in the spoils and sediments. Mineralogical results
420 revealed the presence of secondary minerals such as cerussite (PbCO_3) as a source of Pb.
421 Current chemical characterisation is in agreement with previous studies of water quality, metal
422 composition and flux in the Yorkshire Pennine Orefield¹⁴.

423
424 The impact of former metal mining on water quality has been evidenced by Pb, Zn and Cd
425 pollution in the Yorkshire Pennine region, particularly in Hebden Beck^{14, 39}. In expanding the
426 range of Hebden Beck tributaries from earlier works, we have included sites close to mine
427 wastes (e.g. tailings, spoils) for the identification of principal sources of metals. Major
428 contributions of Zn_D and Pb_D were identified from mine water discharges (H7) and spoil wastes
429 (H12)¹⁴. For these two sites there are statistically significant relationships between Zn and Pb
430 with SO_4^{2-} and DIC inferring the composition of their respective mineral sources. At site H12,
431 an extended area covered by spoil wastes from Yarnbury mines, moderate correlations were

432 identified between Zn_D with SO_4^{2-} ($R^2= 0.37$), and Pb_D with DIC ($R^2= 0.45$) reflecting the Zn_D
433 contribution from the oxidation of sphalerite and Pb_D contribution from the dissolution of
434 cerussite (Figure 6). These correlations revealed the type and grade of ores mined during the
435 eighteen and nineteenth centuries, producing spoils with different particle sizes and
436 permeabilities, influencing their capacity to form secondary minerals⁵⁸. At site H7, a mine
437 channel from Bolton Haw, strong correlations were observed between Zn and SO_4^{2-} ($R^2= 0.93$)
438 and Zn and DIC ($R^2= 0.95$) (Figure 5). Both correlations suggest the oxidation of sphalerite as
439 the main Zn source, however, if all sulphate was from ZnS the expected molar ratio between
440 Zn_D and SO_4^{2-} should be 1:1 instead of the observed value of 5:1. Furthermore, the
441 mineralogical and geochemical results did not show extensive evidence of pyrite mixed in
442 mineral veins (correlation of Fe/SO_4^{2-} $r= -0.5$; $p= 0.076$). Likewise, Dunham and Wilson⁵⁹ have
443 reported that although pyrite, marcasite (FeS_2) and bravoite ($(Fe, Ni, Co)S_2$) are abundant in
444 the orefield, they are found in minor amounts in veins, representing small quantities in relation
445 with adjacent deposits rich in Fe discharges. Thus, secondary zinc minerals such as
446 smithsonite ($ZnCO_3$), hydrozincite ($5ZnO \cdot 2CO_2 \cdot 3H_2O$) and hercynite
447 ($Zn_4[Si_2O_7](OH)_2 \cdot H_2O$), should be considered as possible sinks⁴⁹. No correlation for Pb was
448 observed in this site, probable due to the presence of low concentrations ($4.3 \mu g/l$) as
449 consequence of aging⁶⁰ or the sorption effect of biofilms, becoming a significant sink for Pb
450⁶¹.

451
452 In Hebden Beck, flow events can alter the river water chemistry and metal concentrations¹⁴.
453 During base flow conditions, the circumneutral pH and buffering capacity are maintained by
454 groundwater rather than surface water. This condition contributes to the presence of
455 secondary zinc minerals and other carbonate minerals that sequester zinc, also influences the
456 complexation of Pb with carbonate and organic matter, and affects its transformation to other
457 forms like hydroxide, oxyhydroxide, hydroxysulfate minerals, limiting solubility and further
458 weathering^{49, 52, 56, 62}. Flow fluctuations caused by drought or heavy rainfall allowed the
459 identification of major point and diffuse sources (H7 and H12) and their metal contributions
460 under different flow events. At the point source H7 (mine adit-Bolton Haw), metal
461 concentrations were generally constant at both flow conditions, therefore this site can be
462 considered as a continuous source of metals (particularly for Zn: $3220.5 \mu g/l$). At the diffuse
463 source H12 (ephemeral tributary-draining spoil wastes from Yarnbury mine), metal
464 concentrations become more significant during high flow, but greater contributions were also
465 observed after dry periods (e.g. July [$0 l/s$, Zn_D and Pb_D below detection limits], August [8.4
466 l/s , $5709.0 \mu g Zn_D/l$, $419.2 \mu g Pb_D/l$]). This might be explained by the capacity of soluble
467 sulphate minerals to store metals (e.g. Zn) during dry seasons and release them into the

468 environment during wet seasons⁶³. In addition, Byrne et al.⁶⁴ and Cánovas et al.⁶⁵ have
469 indicated the influence of runoff produced by storms in increasing metals dissolved from
470 weathered metal salts (smithsonite, cerussite) located in superficial mine spoils. Rothwell et
471 al.⁶⁶ showed differences in metal concentrations not only between base and high flow
472 conditions but also within and between storm events. Thus, further studies of metal
473 concentrations and fluxes under a range of hydrological conditions are pertinent since the
474 frequency and magnitude of floods are increasing the transport of dissolved and particulate
475 metal forms from sources to river channels and floodplain soils, which are often used for
476 agriculture⁶⁷.

477 Seasonal variations of pH and flow were considered to assess metal mobility. In the main
478 channel (H2) strong correspondence occurred between pH-Zn_D (r= 0.7) and flow- Pb_D (r= 0.6)
479 while relationships for Pb_D-pH and Zn_D-flow were unclear. The absence of a relationship of Zn
480 with flow suggests that dissolution of zinc minerals is not kinetically limited (Figure 7), although
481 solubility has been shown to depend on mineral composition in some cases⁵⁸. The Pb
482 relationship with flow may be related to greater flushing of areas where minerals have had
483 longer to leach Pb into waters (e.g. H15 or the ephemeral pond feeding H12) (Figure 2-SI).
484 Sims et al.⁶⁸ have also reported the role of flow in the generation of suspended matter,
485 affecting the transport Pb forms. Once they enter into the aquatic system they tend to be
486 adsorbed to suspended matter, while for the case of carbonate minerals they are likely to
487 break down in acid waters, liberating significant quantities of Pb to sediments further down the
488 river⁶⁹. Thus, understanding the chemical tendencies of Zn and Pb under local pH and flow
489 conditions is extremely important for estimating the potential fate and extent of pollutants.

490 Metal contributions from point and diffuse sources decreased downstream (H2) (Zn_D: 479.4
491 µg/l, Pb_D: 35.1 µg/l), indicating a dilution effect from non-mine affected tributaries. Two dilution
492 behaviours were observed in the main river, an abrupt reduction of Zn_D after high
493 concentrations were converged with relatively clean tributaries (from 3220.5µg/l (H7) and
494 4252.3µg/l (H12) to 444.4µg/l (H10)), and a gradual decrease of Pb_D (284.2µg/l (H12) to
495 80.7µg/l (H10)) as dilution is likely to be related to the distribution of particulate matter from
496 sediments⁷⁰. Pb forms showed a higher fraction present as particulate (≥50%) in certain
497 tributaries (e.g. H12, H11, H8, H3, H1) where dissolved organic carbon (H12 and H11>16
498 mg/l) and other complexing compounds like bicarbonates (DIC in H8, H3 and H1>22 mg/l) or
499 hydroxides (e.g. Fe(OH)₃ and Al(OH)₃) may bind Pb. Thus, knowing solubility and speciation
500 properties of Zn and Pb could help in the explanation of their mobility. Low solubility of Pb
501 conceals high concentrations released at diffuse sources, due to binding to particulates⁷¹. In

502 addition, sorption properties also affect metal dynamics, for instance, Pb has a greater affinity
503 for binding to dissolved organic matter and surface reactive mineral complexes, as reflected
504 in higher fractions present as particulate forms. These mechanisms are fundamentally
505 associated with metal speciation, bioavailability and toxicity. The bioavailability of Zn and Pb
506 in Hebden Beck has been assessed, revealing quality standard failures of Pb and Zn
507 throughout the catchment at all monitoring sites ³⁹.

508 Calculations of the dissolved Zn and Pb being transported downstream to the River Wharfe
509 indicate annual loads of 0.2 tonnes/year of Pb and 2.9 tonnes/year of Zn. Although, these
510 loads might increase depending on physical or chemical conditions caused by seasonal
511 variations or particular flow conditions. Several studies in river systems have reported that
512 metals associated with suspended sediments can make a major contribution to the total load
513 of metals ^{72, 73}. Horowitz⁷⁴ compared and contrasted metal concentrations in suspended and
514 bottom sediments versus dissolved levels, results indicated that bottom sediment
515 concentrations were more than 100,000 (5 orders of magnitude) times higher than dissolved
516 levels. Applying this approach in a downstream site (H1), higher results were observed for Pb
517 (>430,000) and to a lesser extent for Zn (>17,000). Considering the importance of sediments
518 in the transport and cycling of metals further work is needed in Hebden Beck. Comparisons
519 between maximum measured concentrations of total dissolved metal concentrations in the
520 main channel (site H2) with established regulatory limits for metals indicated that maximum
521 annual concentrations of Al_D (188 µg/l), Fe_D (657 µg/l), Ba_D (306 µg/l) and Sr_D (356.4 µg/l)
522 were within established regulatory limits (e.g. Al: 200 µg/l; Fe: 1000 µg/l; Ba: 1000 µg/l; Sr:
523 1500 µg/l). Conversely, maximum annual concentrations of Pb_D (87 µg/l) and Zn_D (607 µg/l),
524 when compared with environmental quality standards (EQS) showed maximum exceedances
525 of 1200% with likely ecological effects ^{75, 76} (Table 4).

526 Metal toxicity and bioavailability are mainly controlled by metal concentrations, pH conditions
527 and concentration of organic matter. Although the last two factors might have a stronger effect
528 on biotic communities. For example, Ramsey⁷⁷ reported that soil acidity and organic matter
529 concentration exerted stronger effects on plant and microbial community than metals. Thus,
530 discriminating the influence of these key factors in biological processes is important from the
531 perspective of dealing ecotoxicological effects of metals and potential restoration efforts ^{5, 78}.
532 In this context, environmentally friendly and cost-effective techniques such as bioremediation
533 have been developed for heavy metal removal/recovery where microbial remediation is
534 particularly used in mine drainages due to the ability of microorganisms to generate alkalinity
535 and immobilise metals ⁷⁹. For instance, in the UK the sulphur-reducing bacteria (e.g.
536 *Desulfovibrio vulgaris*) has been used in the treatment of mine drainage due to its diverse

537 metabolic strategies to reduce sulphate (SO_4^{2-}) to hydrogen sulphide (H_2S), other elements
538 like iron (Fe(III)), oxygen and compounds like nitrate and nitrite and fumarate⁸⁰. Aquatic
539 organisms such as diatoms and invertebrates have an important role as biomonitors and
540 bioindicators for assessing the impact of metal pollution. These organisms together with
541 established EU-WFD classification tools and diversity indices (e.g. ASPT, N-TAXA) were used
542 in a preliminary assessment of this catchment, however the effects of elevated metal levels
543 were unclear⁸¹. Studies in neutral mine drainage carried out by Byrne et al.⁸² indicated that
544 the use of standard macroinvertebrate biotic and diversity indices (EU-WFD tools) could lead
545 to erroneous classifications of aquatic ecosystem health. These results revealed that failure
546 in the interpretation of biogeochemical interactions could lead to inaccurate analysis of
547 organisms at risk of exposure, hence ineffective management decisions. Thus, the
548 assessment of metal effects on living organisms is complex since biota might have different
549 responses according to physiological processes, and because metals are subject to a range
550 of factors affecting their level of reactivity, toxicity and bioavailability¹⁵. Considering WFD
551 goals, more nuanced approaches are needed for assessing metals and their ecological
552 effects. In this context, current chemistry data and updated chemical speciation tools will be
553 used in future work to assess metal availability and toxicity and advising improvements to river
554 basin management plans.

555 6. Conclusions

- 556 • Underlying limestone bedrock controls pH and weathering reactions, and therefore metal
557 mobility within such catchments.
- 558 • Mobilisation of Zn and Pb have a strong dependence on site specific biogeochemistry and
559 hydrological conditions. No dependence of Zn with flow suggests that Zn_D has no kinetic
560 limitations on Zn mineral dissolution, whereas Pb_D varied according with flow variations,
561 reflecting its tendency to be complexed with colloidal or particulate forms.
- 562 • Point sources are regular contributors of Zn_D despite flow fluctuations, while diffuse sources
563 like spoil wastes produced higher contribution of Zn_D and Pb_D in overflow conditions after
564 dry periods.
- 565 • Not all contributions of Zn_D and Pb_D are derived directly from oxidation of sphalerite and
566 galena. Mineralogical and geochemical analysis revealed the contribution of secondary
567 minerals such as smithsonite and cerussite, which are continuously leaching into the river
568 and represent an added complexity for future remediation.
- 569 • Metal pollution results in a large area of catchment having a depleted chemical status with
570 likely effects on the aquatic ecology.

571 **7. Acknowledgements**

572 This research was funded by the National Secretariat for Higher Education, Sciences,
573 Technology and Innovation of Ecuador (SENESCYT in Spanish) through a PhD scholarship
574 granted to M. Valencia-Avellan in June 2013 (Grant No. 82-ARG5-2013). The authors express
575 their gratitude to the Yorkshire Dales National Park for granting the sampling permission in
576 Hebden Beck and to the UK-Environmental Agency for sharing scientific reports and flow data
577 (gauging station-F1960). We also thank the anonymous reviewers for their helpful comments.

578

579 **8. References**

- 580 1. A. J. Horowitz, K. A. Elrick and R. B. Cook, *Hydrol. Process.*, 1993, **7**, 403-423.
- 581 2. M. G. Macklin, in *Floodplain processes.*, ed. M. G. Anderson, Walling, D. E. and Bates,
582 P. D., John Wiley & Sons, Inc., Chichester, England., 1996, pp. 441-460.
- 583 3. K. A. Hudson-Edwards, C. Schell and M. G. Macklin, *Applied Geochemistry*, 1999, **14**,
584 1015-1030.
- 585 4. S. A. Nagorski, J. N. Moore and D. B. Smith, *Mine Water and the Environment*, 2002,
586 **21**, 121-136.
- 587 5. J. R. Miller, K. A. Hudson-Edwards, P. J. Lechler, D. Preston and M. G. Macklin,
588 *Science of The Total Environment*, 2004, **320**, 189-209.
- 589 6. G. W. Fernandes, F. F. Goulart, B. D. Ranieri, M. S. Coelho, K. Dales, N. Boesche, M.
590 Bustamante, F. A. Carvalho, D. C. Carvalho, R. Dirzo, S. Fernandes, P. M. Galetti Jr,
591 V. E. G. Millan, C. Mielke, J. L. Ramirez, A. Neves, C. Rogass, S. P. Ribeiro, A. Scariot
592 and B. Soares-Filho, *Natureza & Conservação*, 2016, **14**, 35-45.
- 593 7. M. G. Macklin, in *Gregory, K. J. Fluvial Geomorphology of Great Britain*, ed. J. N. C.
594 Committee, Chapman and Hall, London, 1997, vol. 201-238.
- 595 8. K. A. Hudson-Edwards, *Mineralogical Magazine*, 2003, **67**, 205-217.
- 596 9. M. Olías, J. M. Nieto, A. M. Sarmiento, J. C. Cerón and C. R. Cánovas, *Science of The*
597 *Total Environment*, 2004, **333**, 267-281.
- 598 10. L. J. Oulton, M. P. Taylor, G. C. Hose and C. Brown, *Ecotoxicology*, 2014, **23**, 1022-
599 1029.
- 600 11. K. Hudson-Edwards, M. Macklin and M. Taylor, *Science of The Total Environment*,
601 1997, **194**, 437-445.
- 602 12. L. A. Warren and E. A. Haack, *Earth-Science Reviews*, 2001, **54**, 261-320.
- 603 13. P. Byrne, P. J. Wood and I. Reid, *Critical Reviews in Environmental Science and*
604 *Technology*, 2012, **42**, 2017-2077.

- 605 14. A. Jones, M. Rogerson, G. Greenway, H. A. B. Potter and W. M. Mayes, *Environmental*
606 *Science and Pollution Research*, 2013, **20**, 7570-7581.
- 607 15. S. Luoma, Rainbow, P., *Metal contamination in Aquatic Environments: Science and*
608 *Lateral Management*, Cambridge University Press, New York, United States., 2008.
- 609 16. J. F. Elder, *Metal biogeochemistry in surface-water systems; a review of principles and*
610 *concepts*, Report 1013, 1988.
- 611 17. S. E. Apitz, M. Elliott, M. Fountain and T. S. Galloway, *Integrated environmental*
612 *assessment and management*, 2006, **2**, 80-85.
- 613 18. M. C. Freeman, C. M. Pringle and C. R. Jackson, *JAWRA Journal of the American*
614 *Water Resources Association*, 2007, **43 (1)**, 5-14.
- 615 19. W. K. Dodds and R. M. Oakes, *Environmental Management*, 2008, **41**, 367-377.
- 616 20. J. L. Meyer, D. L. Strayer, J. B. Wallace, S. L. Eggert, G. S. Helfman and N. E. Leonard,
617 *JAWRA Journal of the American Water Resources Association*, 2007, **43 (1)**, 86-103.
- 618 21. W. M. Mayes, D. Johnston, H. A. B. Potter and A. P. Jarvis, *Science of The Total*
619 *Environment*, 2009, **407**, 5435-5447.
- 620 22. D. Banks, V. P. Parnachev, B. Frengstad, W. Holden, A. A. Vedernikov and O. V.
621 Karnachuk, *Alkaline mine drainage from metal sulphide and coal mines: examples*
622 *from Svalbard and Siberia*, Geological Society, London, Special Publications, 2002,
623 vol. 198, pp. 287-296.
- 624 23. A. Akcil and S. Koldas, *Journal of Cleaner Production*, 2006, **14**, 1139-1145.
- 625 24. A. Navarro, X. Font and M. Viladevall, *Mine Water and the Environment*, 2015, **34**,
626 329-342.
- 627 25. G. Plumlee, K. Smith, M. Montour, W. Ficklin and E. Mosier, *The environmental*
628 *geochemistry of mineral deposits. Part B: case studies and research topics*, 1999, **6**,
629 373-432.
- 630 26. C. A. Cravotta lii, *Applied Geochemistry*, 2008, **23**, 166-202.
- 631 27. C. A. Cravotta lii, *Applied Geochemistry*, 2008, **23**, 203-226.
- 632 28. J. Sánchez España, E. López Pamo, E. Santofimia, O. Aduvire, J. Reyes and D.
633 Baretino, *Applied Geochemistry*, 2005, **20**, 1320-1356.
- 634 29. M. B. J. Lindsay, P. D. Condon, J. L. Jambor, K. G. Lear, D. W. Blowes and C. J.
635 Ptacek, *Applied Geochemistry*, 2009, **24**, 2212-2221.
- 636 30. A. J. Desbarats and G. C. Dirom, *Applied Geochemistry*, 2007, **22**, 415-435.
- 637 31. P. M. Heikkinen, M. L. Räsänen and R. H. Johnson, *Mine Water and the Environment*,
638 2009, **28**, 30-49.
- 639 32. B. Lottermoser, *Mine Wastes: Charaterization, Treatment and Environmental Impacts.*
640 *3rd Edition*, Springer-Verlag Berlin Heidelberg, Germany, 2010.
- 641 33. J. R. Miller, *Journal of Geochemical Exploration*, 1997, **58**, 101-118.

- 642 34. M. Gosar, S. Pirc and M. Bidovec, *Journal of Geochemical Exploration*, 1997, **58**, 125-
643 131.
- 644 35. K. A. Hudson-Edwards, H. E. Jamieson, J. M. Charnock and M. G. Macklin, *Chemical*
645 *Geology*, 2005, **219**, 175-192.
- 646 36. R. R. Cave, J. E. Andrews, T. Jickells and E. G. Coombes, *Estuarine, Coastal and*
647 *Shelf Science*, 2005, **62**, 547-557.
- 648 37. M. P. Taylor and K. A. Hudson-Edwards, *Environmental Pollution*, 2008, **152**, 193-204.
- 649 38. D. Johnston, H. Potter, C. Jones, S. Rolley, I. Watson and J. Pritchard, *Abandoned*
650 *mines and the water environment. Science project: SC030136-41*, UK-Environment
651 Agency, Bristol, UK, 2008.
- 652 39. J. Barber, *Humber River Basin District: Hebden Beck: WFD Investigation. Mining*
653 *Pollution: Catchment Characterisation Report*, UK-Environment Agency, pp(37). 2014.
- 654 40. M. C. Gill, *The Grassington Mines*, Northern Mine Research Society, 1993.
- 655 41. A. Apha, *Standard methods for the Examination of Water and Wastewater*, 1995.
- 656 42. US Environmental Protection Agency and Office of Research and Development,
657 *Environmental Monitoring and Support Laboratory. Handbook for sampling and*
658 *sample preservation of water and wastewater*, Ohio, United States, 1982.
- 659 43. U.S. Geological Survey (USGS), PHREEQC (Version 3) - A Computer Program for
660 Speciation, Batch-Reaction, One-Dimensional Transport, and Inverse Geochemical
661 Calculations https://wwwbrr.cr.usgs.gov/projects/GWC_coupled/phreeqc/, (accessed
662 June 2, 2017).
- 663 44. D. L. Parkhurst and C. A. J. Appelo, *User's guide to PHREEQC (Version 2) : a*
664 *computer program for speciation, batch-reaction, one-dimensional transport, and*
665 *inverse geochemical calculations*, Report 99-4259, U.S. Geological Survey : Earth
666 Science Information Center, Open-File Reports Section.
667 <http://pubs.er.usgs.gov/publication/wri994259>, 1999.
- 668 45. D. K. Nordstrom, L. N. Plummer, D. Langmuir, E. Busenberg, H. M. May, B. F. Jones
669 and D. L. Parkhurst, *Revised chemical equilibrium data for major water—mineral*
670 *reactions and their limitations*, ACS Publications, 1990.
- 671 46. J. W. Ball and D. K. Nordstrom, *User's manual for WATEQ4F, with revised*
672 *thermodynamic data base and test cases for calculating speciation of major, trace, and*
673 *redox elements in natural waters*, U.S. GEOLOGICAL SURVEY, California, USA,
674 1991.
- 675 47. J. I. Drever, *The Geochemistry of Natural Waters: Surface and Groundwater*
676 *Environments*, 436 pp, third ed. Prentice Hall, Englewood Cliffs, NJ., 1997.
- 677 48. C. Tame, K. A. Hudson-Edwards and H. A. B. Potter, *Procedia Earth and Planetary*
678 *Science*, 2017, **17**, 284-287.
- 679 49. C. A. Nuttall and P. L. Younger, *Secondary minerals in the abandoned mines of*
680 *Nenthead, Cumbria as sinks for pollutant metals.*, Geological Society, London, Special
681 Publications, 2002, vol. 198, pp. 241-250.

- 682 50. S. A. Carroll, P. A. O'Day and M. Piechowski, *Environmental Science & Technology*,
683 1998, **32**, 956-965.
- 684 51. D. M. Carmona, Á. Faz Cano and J. M. Arocena, *Geoderma*, 2009, **150**, 150-157.
- 685 52. H. E. Jamieson, *Elements*, 2011, **7**, 381-386.
- 686 53. X. Li and I. Thornton, *Applied Geochemistry*, 2001, **16**, 1693-1706.
- 687 54. V. Ettler, Z. Johan and D. Hradil, *Comptes Rendus Geoscience*, 2003, **335**, 1013-1020.
- 688 55. L. S. Balistrieri, S. E. Box, A. A. Bookstrom and M. Ikramuddin, *Environmental Science*
689 *& Technology*, 1999, **33**, 3347-3353.
- 690 56. D. K. Nordstrom, *Applied Geochemistry*, 2011, **26**, 1777-1791.
- 691 57. B. Osbourn and A. Stockdale, unpublished work.
- 692 58. B. Palumbo-Roe, J. Wragg, M. R. Cave and D. Wagner, *Environmental Science and*
693 *Pollution Research*, 2013, **20**, 7699-7710.
- 694 59. K. C. Dunham and A. A. Wilson, *Geology of the Northern Pennine orefield, Stainmore*
695 *to Craven. Economic Memoir of British Geological Survey*, H.M. Stationery Off.,
696 London, 1st edn., 1985.
- 697 60. P. Iavazzo, P. Adamo, M. Boni, S. Hillier and M. Zampella, *Journal of Geochemical*
698 *Exploration*, 2012, **113**, 56-67.
- 699 61. A. S. Templeton, T. P. Trainor, S. J. Traina, A. M. Spormann and G. E. Brown,
700 *Proceedings of the National Academy of Sciences of the United States of America*,
701 2001, **98**, 11897-11902.
- 702 62. D. K. Nordstrom, *Aqueous pyrite oxidation and the consequent formation of secondary*
703 *iron minerals*, Soil Science Society of America, 1982.
- 704 63. H. E. Jamieson, C. Robinson, C. N. Alpers, R. B. McCleskey, D. K. Nordstrom and R.
705 C. Peterson, *Chemical Geology*, 2005, **215**, 387-405.
- 706 64. P. Byrne, I. Reid and P. J. Wood, *In: Proceedings of the International Mine Water*
707 *Conference, 19-23 October 2009, Pretoria, South Africa, ISBN 978-0-09802623-5-3,*
708 *124-129.*, 2009.
- 709 65. C. Cánovas, C. Hubbard, M. Olías, J. Nieto, S. Black and M. L. Coleman, *Journal of*
710 *Hydrology*, 2008, **350**, 25-40.
- 711 66. J. J. Rothwell, M. G. Evans, S. M. Daniels and T. E. H. Allott, *Journal of Hydrology*,
712 2007, **341**, 90-104.
- 713 67. S. F. Lynch, L. C. Batty and P. Byrne, *Minerals*, 2014, **4**, 52-73.
- 714 68. D. B. Sims, P. S. Hooda and G. K. Gillmore, *Environment and Pollution*, 2013, **2**, 22.
- 715 69. K. A. Hudson-Edwards, M. G. Macklin, C. D. Curtis and D. J. Vaughan, *Environmental*
716 *Science & Technology*, 1996, **30**, 72-80.
- 717 70. P. E. T. Douben, *Environmental Pollution*, 1989, **61**, 211-226.

- 718 71. D. Langmuir, *Aqueous environmental geochemistry*, Prentice Hall, Upper Saddle
719 River, N.J, 1997.
- 720 72. I. D. L. Foster and S. M. Charlesworth, *Hydrol. Process.*, 1996, **10**, 227-261.
- 721 73. A. J. Horowitz, K. A. Elrick, J. A. Robbins and R. B. Cook, *Journal of Geochemical*
722 *Exploration*, 1995, **52**, 135-144.
- 723 74. A. J. Horowitz, *A primer on sediment-trace element chemistry*, Report 2331-1258, US
724 Geological Survey; Books and Open-File Reports Section [distributor], 1991.
- 725 75. UK-Environment Agency, Chemical Standards Data Base.
726 <http://evidence.environment-agency.gov.uk/ChemicalStandards/Home.aspx>,
727 (accessed June 5, 2017).
- 728 76. Department of Environmental Protection and State of New Jersey, Division of Water
729 Monitoring and Standards, <http://www.state.nj.us/dep/wms/>, (accessed June 2, 2017).
- 730 77. P. W. Ramsey, The relationship between microbial communities processes and mine
731 waste contamination in upper Clark Fork River alluvial soils. University of Montana.
732 Theses, Dissertations, Professional Papers. 9582, 2006.
- 733 78. I. A. Dennis, T. J. Coulthard, P. Brewer and M. G. Macklin, *Earth Surface Processes*
734 *and Landforms*, 2009, **34**, 453-466.
- 735 79. C. N. Mulligan, R. N. Yong and B. F. Gibbs, *Engineering Geology*, 2001, **60**, 193-207.
- 736 80. A. Jarvis, C. Gandy, M. Bailey, J. Davis, P. Orme, J. Malley, H. Potter and A.
737 Moorhouse, 2015.
- 738 81. Environment Agency, *Report on ecological sampling to assess the impacts of metal*
739 *pollution: Hebden Beck and Ashfoldside Beck*, UK-Environment Agency, Bristol,
740 United Kingdom, 2014.
- 741 82. P. Byrne, I. Reid and P. J. Wood, *Environmental Science: Processes & Impacts*, 2013,
742 **15**, 393-404.
- 743
- 744