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Protective Composite Silica/Polyelectrolyte Shell with

Enhanced Tolerance to Harsh Acid and Alkali Conditions

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ABSTRACT: Here we report a facile method to fabricate composite polymeric/inorgainc shells consisting of poly(allylamine hydrochloride) (PAH)/poly-(sodium 4-styrenesulfonate) (PSS) multilayers strengthed by the in situ formed silica (SiO₂) nanoparticles (NPs), achieving an enhanced stability under harsh acidic and basic conditions. While the unsiliconised PAH/PSS multilayers show a pH-dependent stability and permeability, the composite PAH/PSS/SiO₂ shells display significantly higher chemical tolerance towards a variety of harsh conditions ($1 \le pH \le 13$, high salinity). Upon treatment with either hydrochloric acid (HCl, pH=1) or 0.2 M ethylenediaminetetracetic acid disodium salt (EDTA, weak acid, chelator), the (PAH/PSS)₆/SiO₂ shells are able to maintain the integrity of most calcium carbonate (CaCO₃) particles, as the shells are tickened and densified by sufficient SiO₂ NPs. When treated with NaOH solution at pH=13, the (PAH/PSS)₆/SiO₂ shells also display an intact morphology and maintain the ability to intercept rhodamin B (Rh-B) molecules, which is quite different to that observed with the unsiliconised (PAH/PSS)₆ shells. Ultrasound is

proved to rapidly break the composite shells, hence can be used as a potential stimulus to

trigger the release of encapsulated substances. All the results demonstrate the fact that the

composite (PAH/PSS)₆/SiO₂ shells have a higher chemical stability, lower permeability for

small molecules and a greater sensitivity to ultrasound, which is promising for many

applications where protecting the activity of small molecules is required, such as the delivery

of encapsulated drugs in an unhindered form to their specific destination within the human

body.

KEYWORDS: enhanced acid/alkali tolerance, composite shell, in situ, SiO₂, ultrasound

responsiveness

1. Introduction

Microencapsulation techniques have generated considerable interest to scientists as they can

be widely used to protect substances with poor survival and stability under harsh

conditions. [1,2] For oil/gas recovery applications, improving the stability of surfactants under

high salinity or high temperature environments is of great importance to achieving high-yield

oil/gas but still presenting as a huge challenge. [3] For biological and biomedical applications,

chemical stability of pharmaceutical molecules, as well as live bacterial cells for therapeutic

purposes such as probiotics, is a matter of great concern as it affects the safety, efficacy and

therapeutic effects of the drug products. [4-6] Delivering the active ingredients via protective

carriers and releasing them at desired locations can significantly reduce the side effects on

normal tissues and increase their therapeutic effects. Hence, it has intrigued the researchers to

entrap active cargo in a protective shell and thereby keep them intact before being delivered to

the target area.^[7-9]

2

Porous CaCO₃ particles have shown good capability in encapsulating micro-molecules such as DNA,^[10] and siRNA,^[11] but they are unable to survive under the extreme pH conditions of stomach, which ultimately inhibits their application in oral delivery.^[12,13] The stomachs of most vertebrates operate at harsh acidic environments, i.e., with pH =2 generated by the gastric H+/K+-ATPase located in parietal cells,^[12] therefore requiring the acid-sensitive payloads to be coated with protective layers able to tolerate such harsh conditions. Similarly, if the payloads are unstable in alkaline conditions, a protective coating with resistance to alkaline solvent is needed. To ensure the chemical stability of the encapsulated materials, developing a type of protective shell with great tolerance to both harsh acidic and alkaline conditions is of great importance. This has the potential to benefit many areas of science from the field of biology to that of energy and the environment.

Various coating materials have been proposed to fabricate protective shells in the past few decades, [11,14,15] including polymers and inorganic NPs. Polymeric building blocks such as poly-L-arginine hydrochloride (PARG), dextran sulfate (DEXS), PAH, PSS, etc. can be simply coated on the particles (e.g., CaCO₃) via the Layer-by-Layer (LbL) method. [11,16] These kinds of polymeric multilayers have the advantages of tuneable thickness, good repeatability, variable compositions and functions, and can be used as drug carriers, biosensors, microcontainers, etc.. [16] However, such polymeric LbL shells often suffer from a few inherent limitations: (i) relatively high sensitivity to environmental fluctuation such as ionic strength, i.e., swelling or shrinking upon changing the pH value of the environment; [17,18] (ii) relatively high permeability due to the intrinsic porous structure of polymeric multilayers, which hinders the encapsulation of small molecules [16,19] and (iii) relatively weak mechanical strength because of the soft and film-like texture of polymer shells, which tend to collapse under the dry state. [20] For example, hollow PAH/PSS capsules are widely studied and show great potential as carriers for drugs, micro-/nano-particles, surfactants, etc., [20] but they are

unstable in harsh alkaline conditions and still struggle with the encapsulation of small molecules. [17] Two common methods employed to address those limitations include, increasing the layer number, [21] or crosslinking the multilayers either by high temperature treatment, [16] UV irradiation, [22] chemical reagents, [23] or ionization. [24] Increasing the layer number may help to some extent, but it brings the difficulty in releasing the cargo due to the electrostatic nature of polyelectrolytes. [16,25] The harsh treatment conditions for crosslinking the polymers may damage the pre-loaded molecules, bacterial cells or biological tissues. [25] UV irradiation, utilized for polymer crosslinking, is harmful to normal tissues. The UV irradiation on normal human skin may cause sunburn inflammation (erythema), tanning, and local or systemic immunosuppression. [26] Moreover, such approaches cannot significantly decrease shell permeability to enable small molecules encapsulation and cannot drastically increase the shell's resistance to high concentration of H⁺ or OH⁻.

Introducing rigid inorganic NPs in shells opens up another avenue, and is receiving increasing interest. [27] The advantages of inorganic materials, i.e. high thermal/chemical stability and resistance to erosion under extreme conditions, are complementary to the soft nature of polymeric shells. [25] For example, Wang and Caruso synthesized solid composite microspheres to encapsulate enzymes in mesoporous silica spheres, and demonstrated an enhanced pH stability and recycling stability compaired to pure polymeric microcapsules. [28] To date, inorganic NPs, such as Au, Fe₃O₄, ZnO, were commonly introduced individually via a two-step method (i.e., the NPs were prefabricated and then incorporated in polymeric shells), [16,29,30] which would strengthen the mechanics of the capsules and increase their sensitivity to external stimuli. However in spite of this the shell permeability could not be significantly decreased. Recently, an in situ method was proposed to synthesize and assemble inorganic NPs in polymeric multilayers, which yielded the properties of composite shell much

superior to those constructed via the two-step method.^[16] For both approaches, however, the effects of inorganic NPs on the shell tolerance to harsh pH conditions are seldom studied.

By introducing the pre-fabricated inorganic NPs, the hybrid polymeric-inorganic shells would not be a continuous and integral shell, [25] which has been exemplified by G. B. Sukhorukov et al. [31,32] The electrostatic attractive force between the polymeric layers and inorganic NPs is likely to be reduced by the elevated ionic strength, leading to a destruction of the hybrid shell.^[32] In contrast, the inorganic NPs introduced by the in situ synthesis and growth method, act as additional robust buliding blocks in and around the polymeric multilayers, concrete the multilayer shell and thusly help creating a dense composite shell. As the solid NPs are formed in the free spaces (e.g., pores, concaves, etc.) in the flexible and soft polymer multilayers, they could inhibit the movement of soft polymers. The resistance to extreme pH conditions, as a result, is expected to be significantly improved. Herein the behaviour of polymericinorganic composite shells (fabricated by the in situ synthesis of inorganic NPs into the polymeric matrix) are investigated in detail. Our earlier study demonstrated that SiO₂ NPs can be formed in situ and assembled into the shell of hollow polyelectrolyte microcapsules, producing composite capsules with increased shell thickness, enhanced mechanics and reduced permeability. [16] In this work, silica NPs are still chosen as the property enhancer, and PAH/PSS is empolyed as polymeric building block. Porous CaCO₃ particles and rodamine-B (Rh-B) are used as the model cargoes that need to be protected. Robust polymeric-inorganic composite shells, i.e. PAH/PSS/SiO₂, are formed by the in situ synthesis of SiO₂ NPs in PAH/PSS shells based on the hydrolysis of tetraethyl orthosilicate (TEOS). For comparison, pure polyelectrolytes shells (PAH/PSS multilayers) and pure inorganic SiO₂ coating fabricated by the same in situ process were also produced. The performance of the different shells treated by HCl solution with pH=1 and 0.2 M EDTA solution were then investigated. The

capability of PAH/PSS/SiO₂ microcapsules on encapsulating and releasing small molecules under harsh alkaline environment (NaOH, pH=13) was also explored.

2 Experimental Section

- **2.1 Materials.** Poly(allylamine hydrochloride) (PAH, Mw = 56 kDa), poly(sodium 4-styrenesulfonate) (PSS, Mw =70 kDa), Tetraethyl orthosilicate (TEOS, Si-(OC₂H₅)₄, \geq 99.0 %), ammonium hydroxide solution (NH₄OH, 28.0-30.0% NH₃ basis), dextrantetramethylrhodamine isothiocyanate (TRITC-dextran, Mw =500 kDa, ~1 TRITC per 100 glucose residues), rhodamine B (Rh-B, Mw = 479, \geq 95.0 %), ethylenediaminetetraacetic acid disodium salt (EDTA, \geq 99.0%), and other salts including calcium chloride (CaCl₂), sodium carbonate (Na₂CO₃), HCl and NaOH were purchased from Sigma-Aldrich.
- 2.2 Composite shell preparation. CaCO₃ microparticles were freshly prepared as reported previously. [16] A 0.33 M CaCl₂ solution was mixed under magnetic stirring with the same volume of 0.33 M Na₂CO₃ solution. After 15 s, the CaCO₃ particles were then collected and washed three times with deionized water (DI-water). TRITC-dextran molecules were encapsulated by coprecipitating them together with the CaCO₃ during the formation process. PAH (positively charged, 2 mg/mL in 0.5 M NaCl) and PSS (negatively charged, 2 mg/mL in 0.5 M NaCl) were then assembled on the CaCO₃ particle via the LbL technique. Different layers of polyelectrolytes (8 and 12 layers) were assembled, to form CaCO₃/(PAH/PSS)₄ and CaCO₃/(PAH/PSS)₆ complex particles. Subsequently, these particles were coated with SiO₂ NPs by hydrolyzing TEOS. 0.5 mL CaCO₃ particle suspension, was mixed with 330 μL of ammonium hydroxide solution,1660 μL of H₂O and 8 mL ethanol, after which 46 μL of TEOS was introduced dropwise to the system under magnetic stirring at 700 rpm with the temperature kept at 50 °C for 30 mins. The solution was then stirred at a steady speed of 500 rpm for 20 h at 25 °C. Subsequently, the suspensions were centrifuged and washed with

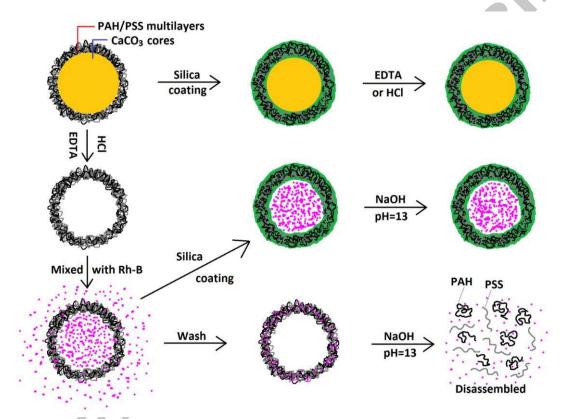
ethanol and distilled water several times. Finally, CaCO₃/(PAH/PSS)₄, CaCO₃/(PAH/PSS)₆, CaCO₃/(PAH/PSS)₄/SiO₂ and CaCO₃/(PAH/PSS)₆/SiO₂ were obtained.

- **2.3 Harsh acid and alkali treatment.** To test the pH-stability, CaCO₃ particles with different coating shells was treated with EDTA (0.2 M) and HCl (pH=1). (PAH/PSS)₆ and (PAH/PSS)₆/SiO₂ capsules were incubated in NaOH (pH=13) for various time (0-24h). After the treatment, the corresponding suspensions were centrifuged, washed and collected for further characterization. It shall be mentioned that all samples were prepared and treated at least 3 times to ensure the repeatability.
- **2.4 Ultrasonic treatment.** Ultrasonic treatment was performed by an ultrasonic processor GEX 750 (Sonics & Materials, Inc., USA) operating at 20 kHz, 50 W, and 30% amplitude. The probe of the ultrasonicator was inserted into the particle suspension in a plastic tube. An ice bath was used to ensure that the temperature change of the microcapsule suspensions was less than 5 °C.
- 2.5 Instrument and Measurement. Scanning electron microscopy (SEM) (FEI Inspect-F) was used to measure the morphologies of the samples. The observation was carried out using an accelerating voltage of 10 kV, a spot size of 3.5, and a working distance of approximately 10 mm. Element mapping was characterized by the Energy dispersive spectrometer (EDX) attached to the SEM. Transmission electron microscopy (TEM) measurements were carried out using a JEOL 2010 transmission electron microscope with a LaB6 filament, operated at 200 kV. Samples were prepared by dropping the diluted sample suspension on a copper grid with holey carbon film and leaving them dry for 5 minutes. Energy dispersive spectrometer (EDX) attached to the TEM was employed for the elemental analysis. Infrared spectroscopy (FTIR spectrometer 100, PerkinElmer) was used to measure the FTIR spectra of vacuum-dried samples, collecting data at a spectral resolution of 4 cm⁻¹. Confocal laser scanning

microscopy (**CLSM**) images were obtained with a Leica TS confocal scanning system (Leica, Germany) equipped with a 63×/1.4 oil immersion objective.

3. Result and Discussion

3.1 Schematic of Protective Shell Synthesis and Harsh Acid/Alkali Treatment



Scheme 1. Schematic diagram of the synthesis of PAH/PSS/SiO₂ composite shell and the possible response upon hash acid/alkali treatment.

Composite polymer/SiO₂ shells were synthesized via a synergy of LbL self-assembly and solgel method. The scheme of the shell formation and treatment is depicted in Scheme 1. CaCO₃ microparticles were assembled with PAH and PSS alternatively, forming flexible PAH/PSS multilayers, which further served as a scaffold with many nucleating points for the silicification reaction. The formation and growth rates of the incorporated silica are greatly dependant on the system parameters including reaction temperature, concentration of the precucors, reaction time, etc., thus directly affecting the formed composite shell. The

influence of these key parameters was investigated in detail as is highlighted by reference 16. In this study, the best reaction conditions for in situ silica incorporation were chosen and implemented.

Two experiments were carried out to investigate the properties of the composite shell. The formed CaCO₃/PAH/PSS particles were directly coated with silica NPs upon addition of TEOS, forming a complete, uniform, concrete-like outer shell via processes of hydrolyzation and condensation. The capability of the composite PAH/PSS/SiO₂ shells to withstand CaCO₃ dissolution upton treatment with HCl or the weak acid EDTA (great chelating effect for Ca²⁺) were then studied. For the reserach on the shell's stability in basic conditions, CaCO₃ is not an ideal model material as it does not dissolve in NaOH, thus making it difficult to indentify any defects or breakages of the shell encasing the solid CaCO₃ particles. A fluorescent dye, Rh-B, was used instead. Without silica shielding, CaCO₃ cores were easily removed by incubating CaCO₃/PAH/PSS particles in EDTA solution or acid solution, as has been demonstrated elsewhere. [16] The PAH/PSS capsules can not seal small molecules within their cavities due to the intrinsic high shell permeability. [20] If the PAH/PSS capsules are dispersed in a solvent together with Rh-B, and modified them with in situ formed silica NPs, the resultant PAH/PSS/SiO₂ capsules are expected to be able to seal Rh-B within their cavities. It is expected that, the harsh condition tolerance, mechanical strength and long-term storage stability of composite capsules are all notably improved due to the shielding effect of the inorganic NPs, compared to pure polymeric multilayers. [16,25] The effects of high pH on PAH/PSS/SiO₂ capsules can be analyzed by detecting whether Rh-B molecules were able to escape to the outside. Hence, the stability and permeability of pure PAH/PSS capsules and the composite PAH/PSS/SiO₂ capsules under alkaline conditions were investigated in the manner illustrated in Scheme 1. It is believed that the composite shell would keep an intact

morphology and maintain the capacity to encapsulate Rh-B. The detailed results and investigation are discussed as follows.

3.2 Performance Under Acid Treatment

In order to clearly characterize CaCO₃ particles by fluorescent images, TRITC-dextran molecules (500 kDa) were loaded into the CaCO₃ cores via co-precipitation process and the formed particles were characterized by SEM and CLSM, as shown in Figure S1. SEM image in Figure S1 A (Supporting Information) indicates that the freshly synthesized CaCO₃ particles are microsized and show quite rough surfaces. Due to the diffusion-limited permeation inside the CaCO₃ particles and the adsorption of TRITC-dextran molecules on pore surfaces, TRITC-dextran is expected to be effectively loaded in the CaCO₃ particles.^[33] CLSM images in Figure S1 B and C (Supporting Information) confirm that TRITC-dextran molecules are embedded inside the CaCO₃ particles and display an uniform distribution.

As it is well-known that CaCO₃ can be dissolved by acid, a protective shell is needed to preserve their stability in acidic solvents for some real cases. Here, three types of shells with different compositions were used to coat the CaCO₃ loaded with TRITC-dextran: (i) pure polymeric shells, (PAH/PSS)₆; (ii) pure inorganic shells, SiO₂; and (iii) polymeric-inorganic composite shells, (PAH/PSS)₆/SiO₂. CaCO₃ particles with 6 PAH/PSS bilayers and composite (PAH/PSS)₆/SiO₂ shell before and after acid treatment were characterized by SEM and CLSM. Figure 1 A shows that the surface roughness of CaCO₃/(PAH/PSS)₆ reduces significantly compared with that of bare CaCO₃ particles (Figure S1 A, Supporting Information), indicating that the polymeric buliding blocks are successfully assembled on their surfaces. The filled red spheres in CLSM images in Figure 1 A2 reveal that the encapsulated TRITC-dextran molecules are sealed well during the coating process and still distribute uniformly in the cores.

After incubating these CaCO₃/(PAH/PSS)₆ in weak acid solution, i.e., 0.2 M EDTA, the CaCO₃ cores are completely removed, as verified by the SEM image in Figure 1 B1, showing film-like (PAH/PSS)₆ microcapsules with a lot of wrinkles and folds. Without the support of CaCO₃ cores, (PAH/PSS)₆ multilayers are soft and collapsed during the drying process due to the interior water evaporation (Figure 1 B1), which suppports the theory provided in the previous reports. [16,20] CLSM image in Figure 1 B2 shows hollow bright red rings, indicating that the CaCO3 are dissolved and that most of the TRITC-dextran molecules are enriched around the interior PAH/PSS shells. The inset in Figure 1 B2 confirms this point, as the caspules exhibite near zero unit inside the cavities and relative high intensity in the shells. The absorption of TRITC-dextran in shells can be explained by the possible interaction between PAH and dextran, which can be facilitated by both hydrogen bonds and hydrolyzable covalent cross-links resulted from aldehydes and primary amines coupling. [35] The results indicate that pure PAH/PSS (6 bilayers) cannot inhibit the weak acid with small molecular weight, i.e., EDTA, which can penetrate into the porous CaCO₃, chelate Ca²⁺ ions and thereby disolve them completely. SEM images of CaCO₃ particles coated directly with SiO₂ NPs before and after EDTA treatment are given in Figure S2 (Supporting Information). SiO₂ NPs covered on the CaCO₃ surfaces can be clearly seen from Figure S2 A (Supporting Information). Notably, pure SiO₂ coatings are not dense enough to protect the CaCO₃ cores, which is proved by the shrink morphology with folders after EDTA treatment (Figure S2 B, Supporting Information). Based on the above dicussion, it is apparent that neither the pure polymeic multilayers nor the pure silica coatings are able to protect the CaCO₃ from being dissolved in acidic condition.

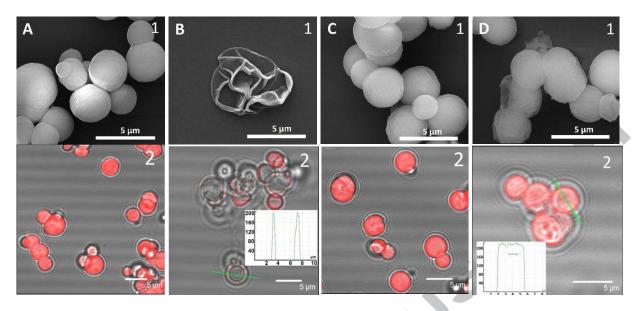


Figure 1. SEM (top row) and CLSM (bottom row) images of CaCO₃/(PAH/PSS)₆ without and with silica coating, before and after EDTA treatment: (A) CaCO₃/(PAH/PSS)₆; (B) CaCO₃/(PAH/PSS)₆, EDTA; (C) CaCO₃/(PAH/PSS)₆/SiO₂; (D) CaCO₃/(PAH/PSS)₆/SiO₂, EDTA. The line scan inset shows relative fluorescent intensity in capsules.

Previous reports have demonstrated that one polyelectrolyte bilayer is soft, porous, and only less than 3 nm in thickness. [34] however, the decoration of in situ synthesized inorganic SiO₂ NPs in the polymeric matrix can empower the formed composite shell with enhanced reduced permeability. [16] Hence, increased thickness and mechanics, shell the polymeric/inorgainc composite shell is expected to be able to protect CaCO3 upon acid treatment. Figure 1 C1 reveals that the particles with a spherical shape are fully covered by the composite (PAH/PSS)₆/SiO₂ shells. CLSM images in Figure 2 C2 prove a uniform distribution of the captured TRITC-dextran. Around 80% of the particles are found in SEM image (Figure 2 D1) and they still keep 3D free-standing morhporlogy without collapse after the EDTA treatment. However this is insufficient to prove that these spherical particles maintain solid CaCO₃ cores inside, because previous reports have demonstrated that hollow composite capsules may aslo display a free-standing strucutre under dry state due to the increased mechanics and shell thickness.^[16] Therefore, CLSM and TEM images were

measured to study whether CaCO₃ particles are intact. The corresponding CLSM results show that most of the particles are solid red balls and the line scan inset reveals the fluorescence intensity of ~ 220 units inside the capsule, which confirms the existence of CaCO₃ cores with pre-loaded TRITC-dextran (Figure 1 D2). In addition, to further prove that most of CaCO₃ are protected from dissolving, TEM of CaCO₃/(PAH/PSS)₆/SiO₂ after incubating in EDTA solution was measured, as shown in Figure 2 A and B. Only one hollow particle is found, and other particles are solid and fully coated by PAH/PSS/SiO₂ shells. The thickness of the composite shell is around 295 nm as illustrated in Figure 2 B, which is more than 10 times thicker than that of pure (PAH/PSS)₆ multilayers. [34] EDX spectra obtained from different particles are give alongside the TEM images in Figure 2 C and D. No Ca peak is found for the hollow particle in Figure 2 C. However, for solid particle, there is a very strong Ca peak originating from CaCO₃ in Figure 2 D where other peaks are attributed to C, O, S, Cu and Si. Among them, the peak of S originates from the original PSS and the peaks of Cu comes from the copper TEM grid. Moreover, the peaks of Si further verify the formation of SiO₂ NPs. The element mapping of CaCO₃ coated with (PAH/PSS)₆/SiO₂ after soaking in EDTA is shown in Figure S3 (Supporting Information), which further confirms that most of the particles are solid particles with CaCO₃ core inside.

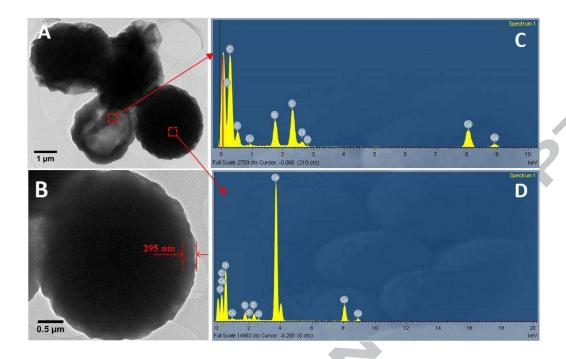


Figure 2. TEM images (A, B) and EDX spectra (C, D) of CaCO₃/(PAH/PSS)₆/SiO₂ after EDTA treatment. Image C corresponds to the EDX spectrum obtained from the hollow particle and image D corresponds to the solid particle.

It shall be mentioned that the layer number of the polyelectrolytes remarkably affacts their stability under harsh conditions. The results of CaCO₃ with 4 PAH/PSS layers are shown in Figure S4-S6 (Supporting Information). Figure S5 A indicates that the composite shell with 8 polymer layers is only around 130 nm, which is much smaller than that of the CaCO₃/(PAH/PSS)₆/SiO₂ shell. Even though the (PAH/PSS)₄/SiO₂ composite shell shows an increased protective effects for CaCO₃ when compared with pure (PAH/PSS)₄ multilayers, nearly half of the cores are removed by EDTA, as indicated by the CLSM images in Figure S4 D, Figure S5 and Figure S6 (Supporting Information).

The FTIR spectra of CaCO₃ and the CaCO₃/(PAH/PSS)₆/SiO₂ after EDTA treatment were recorded, Figure 3. For pure CaCO₃, three main absorption bands appears at ~1400, ~870 and ~712 cm⁻¹, which are characteristic bands of calcite. These bands obviously exist in the

sample of CaCO₃/(PAH/PSS)₆/SiO₂, and display a relatively higher intensity than those of CaCO₃/(PAH/PSS)₄/SiO₂ after EDTA treatment (Figure S6, Supporting Information). The bands at around 1100 cm⁻¹, 945 cm⁻¹ as well as 800 cm⁻¹ for the composite particles are attributed to the Si–O–Si and Si–OH bonds, which also confirm the formation of SiO₂ NPs. [15,25]

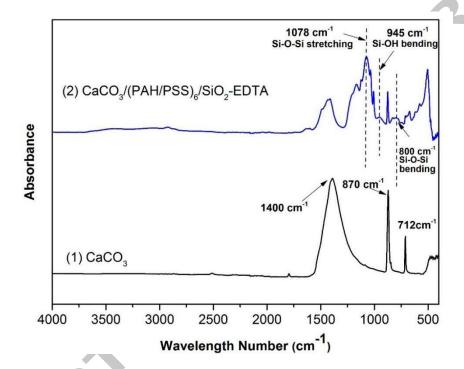


Figure 3. FTIR spectrums pure CaCO₃ and CaCO₃/(PAH/PSS)₆/SiO₂ after EDTA treatment.

As demonstrated above, with 12 layers of polymer and one cycle of SiO₂ coating, the CaCO₃ particles can be protected from being dissolved by EDTA. Additional investigation were carried out to study their tolerance to extreme acidic condition (HCl solution with pH=1). The CaCO₃/(PAH/PSS)₆/SiO₂ particles were incubated in 1 M HCl (pH=1) for 2 minutes and characterized by SEM and TEM. Figure 4 A shows that most of the particles are spheres with a slightly rough surface after HCl treatment. If the particles were cut randomly by knife, some broken particles can be found, as shown in Figure 4 B and C. The porous structures of the undissolved CaCO₃ cores are demonstrated in Figure 4 B. Broken hollow particles in Figure 4

C reveal the thick composite shell, around 300 nm, which is similar with that calculated from the TEM images in Figure 2 B and Figure 4 F. Solid particle found in the TEM images confirms that most of the CaCO₃ cores protected by (PAH/PSS)₆/SiO₂ shells are not removed by HCl. Therefore, we can conclude that CaCO₃ with (PAH/PSS)₆/SiO₂ coating possesses a good stability under acidic environment, since most of the CaCO₃ particles are protected by the thick and dense composite shells. In addition, it is worth pointing out the existence of some hollow particles in the system, as indicated in the SEM, TEM and CLSM images in Figure 1, Figure 2 and Figure 4. This can be explained by the occurrence of defects in the composite shells for small proportiaonal particles, resulted from some possible alteration or fluctuation of the reaction parameters such as the environment temperature during the silica coating process. The hybrid shells with defects can not intercept small molecules and prevent them from going through the shell, thereby cannot effectively protect the CaCO₃ cores.

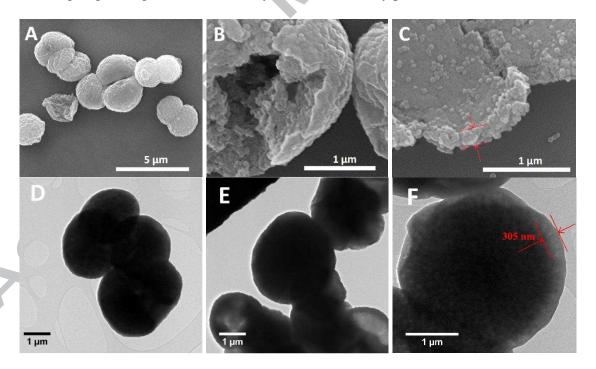


Figure 4. SEM (A, B, C) and TEM (D, E, F) images of CaCO₃/(PAH/PSS)₆/SiO₂ after 2 mins of incubation in 1 M HCl treatment.

3.3 Performance Under Alkaline Treatment

The (PAH/PSS)₆ multilayers without and with SiO₂ incorporation were subjected to harsh alkali conditions to test their stability and responsive behaviour. As mentioned above, the possible damage of (PAH/PSS)₆ and (PAH/PSS)₆/SiO₂ induced by NaOH are hardly recognized and characterized by SEM and CLSM measurement if they were coated on solid CaCO₃ particles, because NaOH can not dissolve CaCO₃ cores. Therefore, hollow (PAH/PSS)₆ microcapsules without and with SiO₂ decoration were employed to study the tolerance to extreme basic conditions. Figure 5 shows the SEM images of original (PAH/PSS)₆ capsules and the ones after soaking in NaOH solution (pH=13). The results indicates that with only 2 seconds of treatment, all the capsules are completely disassembled into flocculated sludge (Figure 5 B). Such results proved that pure PAH/PSS multilayers are not stable in solvents with a high pH value.

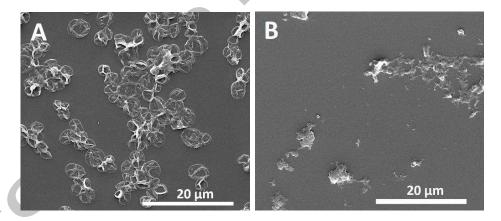


Figure 5. SEM images of pure (PAH/PSS)₆ capsules before (A) and after (B) being soaked in NaOH (pH=13) for 2 seconds.

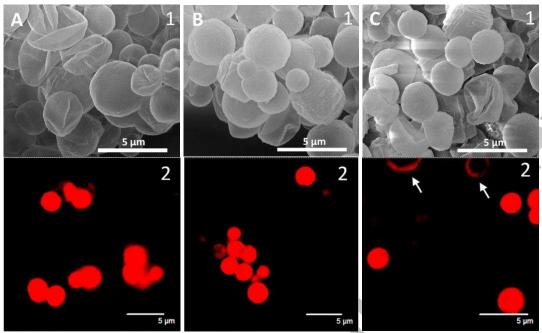
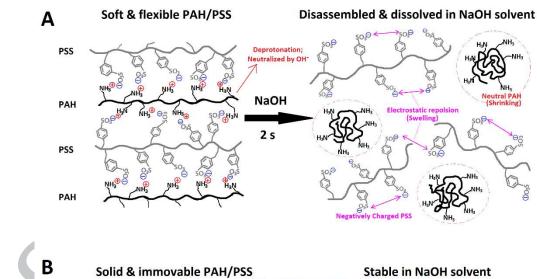


Figure 6. SEM (top row) and CLSM (bottom row) images of (PAH/PSS)₆/SiO₂ capsules loaded with Rh-B and treated with NaOH solution (pH=13) for (A) 0 min, (B) 10 mins and (C) 1 h.

Subsequently, the performance of composite shell in NaOH solution (pH=13) was investigated by employing (PAH/PSS)₆/SiO₂ capsules loaded with small molecules, Rh-B. As shown in Scheme 1, Rh-B were sealed inside their cavities during the silica coating process. Different from the flattened (PAH/PSS)₆ microcapsules without SiO₂ coating, Figure 6 A1 shows that (PAH/PSS)₆/SiO₂ capsules are strong enough to be intact and remain their spherical morphology under the dry state. Their CLSM image in Figure 6 A2 confirms the efficient encapsulation of Rh-B molecules. Rh-B should diffuse outside easily if any defects or cracks appear which might induced by NaOH soaking. After 10 mins of soaking with NaOH, no change in morphology is found in the SEM image, Figure 6 B1. It seems that all the Rh-B molecules still sealed firmly inside the capsules as most of the capsules in the CLSM image are filled red balls, as illustrated in Figure 6 B2. When prolonging the time to 1 h, no significant changes in morphology appear, as verrified by the SEM image in Figure 6

C1. For the encapsulation behaviour, the CLSM image in Figure 6 C2 indicates that some of the capsules lose the encapsulation property, showing as hollow red ring indicated by arrows. But there are still more than 50% of the capsules maintained Rh-B molecules. When further prolonging the soaking time to 4 h, some morphology changes can be identified from the SEM image in Figure S7 B (Supporting Informaiton), showing big aggregated particles on the shell surface. The composite capsules collapse and are destroyed to a large extent after 24 h of incubation in NaOH, Figure S7 C (Supporting Informaiton). Compared with poor stability in extreme basic solution for PAH/PSS multilayers, it is obvious that the incorporation of in situ formed SiO₂ NPs into the (PAH/PSS)₆ matrix significantly improves its stability in basic condition, demonstrated by the 4 hours maintenance of morphology and structure in NaOH solution (pH=13).



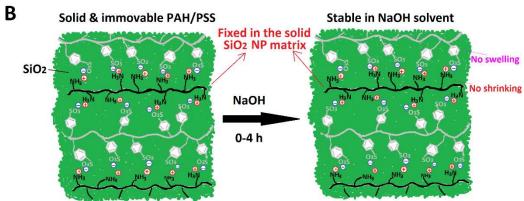


Figure 7. Mechasism models of (A) disassembly of PAH/PSS upon NaOH treatment and (B) intact behaviour of PAH/PSS/SiO₂ upon NaOH treatment. The incorporated silica NPs concrete together as a solid and dense matrix, fixing the soft PAH and PSS inside it.

Figure 7 depicts the possible mechanism models for the capsules without and with SiO₂ NPs coating under NaOH treatment. For the pure PAH/PSS capsules, the pH of the solution affects the interaction (attractive or repulsive) between the two oppositely charged PAH and PSS and consequently changed the multilayer structure. [17,36] Specially, as shown in Figure 7 A, at pH 7 (DI-water as solvent) both polyelectrolytes are highly charged, and there is a global compensation of charges between the polycation and the polyanion. The PAH and PSS molecules are assembled together and form stable but soft multilayer capsules. When the PAH/PSS multilayers were incubated in NaOH solvent with pH=13, which is higher than the pKa of polybases, i.e. PAH (pKa=10.8), the assembled PAH becomes uncharged and coiled thus resulting in the disassembly of the capsules. [18,36] In addition, due to the high concentration of OH ions, the intramolecular and intermolecular electrostatic repulsion of the assembled PSS molecules increases, leading to their swelling and dissociation of PSS molecules in the solvent from the multilayers, which also promotes the disassembly process. [37] In terms of the composite PAH/PSS/SiO₂ shells, the SiO₂ inorganic NPs are in situ formed and introduced into the polymer multilayers by a one-step sol-gel approach. Different from the addition of pre-prepared inorganic NPs in polymeric multilayers, the incorporated silica NPs integrate with each other as well as the soft polymer, forming a continuous, homogenous, dense and intact hybrid shell.^[16] As shown in Figure 7 B, the soft PAH and PSS moleculers are coagulated and fixed by the solid SiO2 building blocks, and thus could not rotate or move. Therefore, the changes of the electrostatic attractive forces between the polymeric layers, i.e., PAH and PSS, and that of the force between the polymeric building blocks and inorganic SiO₂ particles is likely to be negligible when the external environmental

ionic conditions change. It is demonstrated by the intact morphology and structure of the composite capsules upon modifying the pH value in the range from 1 to 13 with a certain period of treatment time. Notably, when treating the (PAH/PSS)₆/SiO₂ with concentrated NaOH (pH=13) for 1 h, some capsules lose the small molecule encapsulation property (Figure 6 C2) even though they still keep their morphology unchanged. Because the hydroxyl ions (OH) in the solvent are given plenty of time to attack the incroporated non-crystalline silica, reacting with Si-O-Si and the hydroated silica (e.g., Si-OH). Possible reactions are shown as following: [38,39-41]

$$Si - O - Si + H_2O \rightarrow 2Si - OH$$
 (1)

$$Si - OH + NaOH \rightarrow Si - O^- + Na^+ + H_2O$$
 (2)

$$Si(OH)_4 + 2 NaOH \rightarrow Na_2H_2SiO_4 + 2H_2O$$
 (3)

Usaully, when the the composite shell contacts with water, hydrolysis reaction (1) happens and results that the surface of silica particles is covered with a thin layer of Si-OH. [38,39-41] Reaction (1) occurs in water, but it is catalysed by hydroxyl ions and is completed only in sufficiently alkaline solutions. [40] Hence, with base catalysis, more and more silica hydrolysizes into Si-OH, Si(OH)₂, Si(OH)₃ and Si(OH)₄. Such hydroated silica will quickly react with hydroxyl ions, as exemplified in reaction (2) and (3), which may promote the connection and merge between individual silica NPs and result a swelling to some degree. [39,41] The deprotonation of hydroated silica and the consequent formation of dissolvable Si-O and Na₂H₂SiO₄ facilitates the detachment of silica into the solution. In this study our results demontrate that the composite capsules do not show any obvious change in their morphology and permeability, when incubated in NaOH solution at 25 °C for less than 4 h. This is due to that the hybird structure of polymers and silica is condensely packed and integrated. The polymeric buliding blocks inhibit the hydrolysis and dissolve process of silica particles upon the attack of hydroxyl ions. Simultaneously, the densely packed silica NPs provent the rotation and disassembly of PAH/PSS upon high OH ions attack. It shall be noted,

however, that some defects may appear in the composite shell during the reaction processes, which results in the release of Rh-B molecules as demonstrated by the red rings in the CLSM image in Figure 6 C2. With proloning the treatment time, more silica NPs react with NaOH. When the time approaching 24 h, the amount of the soluble sodium silicates such as Na₂H₂SiO₄ is increased significantly, leading to the appearance of obvious defects and even complete disruption of the composite shells. Hence, nearly no intact capsules are found in Figure S7 C (Supporting Information).

3.4 Ultrasound Responsive Properties

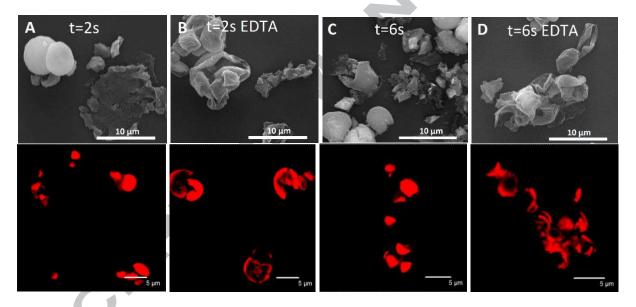


Figure 8. SEM images (top row) and confocal fluorescent images (bottom row) of CaCO₃/(PAH/PSS)₆/SiO₂ with 2 s (A, B) and 6 s (C, D) of ultrasonication before (A, C) and after (B, D) EDTA treatment.

For the controlled release of cargo from the composite shells, ultrasound, which is already employed as a diagnostic and therapeutic method for many diseases, is a promising trigger due to its long penetration depth and low invasive nature. As demonstrated previously, composite shells with inorganic NPs possess a higher sensitivity to ulrasonication when

compared with soft polymer shells. This is due to the fact that inorganic NPs empower the formed shells with an increased stiffness, therefore increasing the density gradient across the water/shell interface, consequently improving the absorption of acoustic energy. [16,29] Here, we treated the CaCO₃/(PAH/PSS)₆/SiO₂ particles with ultrasound (GEX 750, Sonics & Materials, Inc., USA, 20 kHz, 50 W, 30% amplitude). SEM images of the particles after different sonication times are displayed in Figure 8, demonstrating that the ultrasound waves can break the composite (PAH/PSS)₆/SiO₂ shells and even rupture the solid CaCO₃ cores. With 2 s of ultrasonication, some particles still show an intact morphology, as indicated by the arrows in Figure 8 A. The solid fluorescent balls in CLSM images further prove the existence of CaCO₃ cores. However, such results can not prove that the composite shells covering the intact CaCO₃ cores are not destroyed by the ultrasound treatment. Incubating them in EDTA solution was then carried out. All particles collapse and it seems that no cores maintain intact after EDTA treatment, Figure 8 B. The corresponding CLSM image indicates that there are only broken shells and no filled fluorescent spheres are found. If prolonging the ultrasonication time to 6 s, the size of fragments decreases and almost no particles are found with an intact spherical shape, as proved by the SEM image in Figure 8 C. After EDTA treatment, the broken composite (PAH/PSS)₆/SiO₂ shells are left without any CaCO₃ particles, which can be clearly seen from the CLSM image in Figure 8 D. However, with only 6 PAH/PSS bilayers of coating, the CaCO₃ particles are not broken by 6 s of ultrasonicaiton, as shown in Figure S8 A (Supporting Information), which indicates that the polymeric shells possess a lower sensitivity to ultrasound compared with the composite shells. After soaking with EDTA, all CaCO3 cores are removed, leading to (PAH/PSS)6 microcapsules with some defects as indicated by the arrows in Figure S8 B (Supporting Information). In general, here we demonstrate that the composite shells have the ability to resist chemical attack, especially to the extreme acidic (pH=1) and basic (pH=13) conditions, which is important for the design drug carriers, biosensing systems, and composite NPs for oil/gas recovery

applications.^[12,42,43] Ultrasound waves can be used as a tool to open the composite shell and enable release of the entrapped particles or molecules. Thereby, the polymeric-inorganic composite (PAH/PSS)₆/SiO₂ shells present a promising platform for stable and tunable microcontainers for encapsulation of small molecules, large macromolecules, nanoparticles, cells, and other species, for protection during their delivery process, and for their controlled release in areas of interest upon external ultrasound treatment.

4. Conclusion

Our study demonstrates a combined LbL assembly and sol-gel method for synthesizing polymeric/inorganic composite shells with excellent tolerance to harsh acidic and alkali conditions (1 \leq pH \leq 13). The (PAH/PSS)₆/SiO₂ composite shells are denser, thicker and more stable than (PAH/PSS)₆ shells, showing the ability to prevent the encapsulated CaCO₃ particles from being dissolved by EDTA and HCl, and the feasibility to seal small molecules (e.g., Rh-B) inside to protect them under extreme basic condition with pH=13 for a relatively long time. The thickness of the (PAH/PSS)₆/SiO₂ shell reaches to ~ 300 nm. The SEM, TEM and CLSM data reveal that most of the CaCO₃/(PAH/PSS)₆/SiO₂ particles are maintained an intact morphology and solid structure after acid treatment. Under the harsh basic environment, (PAH/PSS)₆ multilayers are found to quickly disassemble after only 2 s of incubation in NaOH (pH = 13) due to deprotonation of amino groups at PAH. In contrast, the composite shells, (PAH/PSS)₆/SiO₂, display an intact morphology for 4 h upon NaOH treatment, which demonstrates their enhanced stability and excellent tolerance to harsh basic conditions. In addition, CLSM images prove the ability of composite shells to encapsulate Rh-B and to protect them for 4 hours long. To break the composite shells and release the encapsulated substance, ultrasound can be used as one potential tool. Such composite (PAH/PSS)₆/SiO₂ shells with a higher chemical stability and a lower permeability could be potentially used for various applications where protecting the activity of small molecules in extreme conditions

and allowing their controlled and triggered release is required. Further works will focus on encapsulation and delivery of pH-sensitive drugs by such composite shells, or developing controlled surfactant delivery systems which are tolerance to subsurface conditions.

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Supplementary Materials

SEM and CLSM images of CaCO₃ particles loading with TRITC-dextran; SEM images of CaCO₃ particles coated with pure silica NPs; EDX mapping profiles of CaCO₃/(PAH/PSS)₆/SiO₂ after EDTA treatment; SEM, CLSM and TEM images of CaCO₃/(PAH/PSS)₄ and CaCO₃/(PAH/PSS)₄/SiO₂ before and after EDTA treatment; FTIR profiles of CaCO₃/(PAH/PSS)₄/SiO₂ after EDTA treatment; Morphology charaterizaiton of (PAH/PSS)₄/SiO₂ capsules after incubating in NaOH (pH=13) for different time: 5 mins, 4 h and 24 h; SEM and CLSM images of CaCO₃/(PAH/PSS)₄ treated by 6 s of ultrasound before and after EDTA treatment.

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Notes

The authors declare no competing financial interest.

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Graphical abstract

