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1 **Fluvial-system response to climate change: the Paleocene-Eocene Tresp Group,**  
2 **Pyrenees, Spain**

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6

7 **Abstract**

8 The Tresp Group of the Tresp-Graus Basin (Southern Pyrenees, Spain) is a succession of  
9 predominantly continental origin that records the Paleocene-Eocene Thermal Maximum (PETM), a  
10 transient episode of extreme global warming that occurred across the Paleocene-Eocene  
11 boundary. For this succession, the stratigraphic position of the PETM is accurately determined, and  
12 histories of tectonic and sea-level controls are well constrained. Building upon previous studies,  
13 this work assesses changes in sedimentary architecture through the PETM in the Tresp Group,  
14 based on quantitative sedimentological analyses documented over a km-scale strike-oriented  
15 transect in the Arén area, with the scope to better understand the response of this alluvial system  
16 to the hyperthermal event. The analysed features represent a partial record of the geomorphic  
17 organization and processes of the system at the time of deposition, and are therefore interpretable  
18 in terms of geomorphic change in alluvial landscapes caused by the PETM.

19 The record of the PETM, as previously recognized, begins at a time when erosional  
20 palaeotopographic relief was developed and deposition was confined in valleys. A shift between  
21 valley back-filling and widespread aggradation is observed at the onset of the PETM interval, which  
22 demonstrates uniquely the impact of the hyperthermal on both depositional loci and interfluves.  
23 Compared to underlying strata, the interval that embodies the onset and main phase of the PETM  
24 is characterized by: (i) higher proportion of channel deposits; (ii) channel complexes of greater  
25 average thickness and width; (iii) barforms and channel fills that are slightly thicker; (iv) increased  
26 thickness of sets of cross-stratified sandstones; (v) similar values of maximum extraclast size, by

27 architectural element. An evident change in the facies organization of channel deposits is also  
28 seen through the stratigraphy, though this appears to predate the PETM.  
29 Increased channel-body density in the PETM interval can be explained in terms of increased  
30 channel mobility, which itself can be related to changes in the stream catchments (e.g., greater  
31 bedload delivery, increased water discharge or discharge variability), or to changes in the nature of  
32 the depositional basin that would permit the channels to be more mobile (e.g., increased bank  
33 erodibility due to variations in vegetation type and density). Interfluvial planation is inferred to have  
34 occurred immediately prior to, or penecontemporaneously with, accumulation of PETM deposits,  
35 which is in accord with inferences of increased erodibility of the interfluvial areas or increased stream  
36 erosive power. These observations offer insight into the potential geomorphic metamorphosis of  
37 river systems in mid-latitude regions experiencing conditions of rapid global warming.

38

39 **Keywords:** Paleocene-Eocene Thermal Maximum; PETM; fluvial channel; alluvial; fluvial  
40 architecture; global warming.

41

## 42 **Introduction**

43 A brief episode of extreme global warming related to the release of isotopically light carbon into the  
44 Earth's oceans and atmosphere is recorded across the Paleocene-Eocene boundary (ca. 56 Ma;  
45 Kennett & Stott 1991; Koch et al. 1992; Charles et al., 2011). This event is variably referred to as  
46 Initial Eocene Thermal Maximum, Latest Paleocene Thermal Maximum, or Paleocene-Eocene  
47 Thermal Maximum (PETM), and is believed to have been characterized by a rise in global  
48 temperatures of 5° to 9° C in 10 kyr, followed by a gradual decline to pre-PETM values that took  
49 between 100 and 200 kyr (Kennett & Stott 1991; Zachos et al. 2003; 2006; Tripathi & Elderfield  
50 2005; Sluijs et al. 2006; McInerney & Wing 2011). The stratigraphic expression of the PETM is  
51 often recognized by a characteristic negative shift in  $\delta^{13}\text{C}$  (carbon isotope excursion; CIE),  
52 detected in both marine and terrestrial strata at the Paleocene-Eocene boundary (Kennett & Stott

53 1991; Koch et al. 1992; McInerney & Wing 2011, and references therein), although recent evidence  
54 suggests that a warming trend was already established before the time recorded in the CIE  
55 (Secord et al. 2010; Bowen et al. 2015)

56 Recent research has concentrated on how the PETM global changes affected sediment routing  
57 systems, through controls on weathering (e.g., Bolle & Adatte 2001, and references therein;  
58 Dallanave et al. 2010; Dypvik et al. 2011), sediment-delivery processes and rates (e.g., Schmitz &  
59 Pujalte 2003; Minelli et al. 2013), and type, distribution and characteristics of sedimentary sinks  
60 (e.g., Schmitz & Pujalte 2007; Foreman et al. 2012; Foreman 2014). Research effort has also been  
61 dedicated to assessing the impact of the PETM hyperthermal event on the geomorphic change of  
62 terrestrial landscapes, as documented in the stratigraphic record. In particular, recent publications  
63 provide insight into the sedimentary record of the PETM for some continental successions in which  
64 the stratigraphic position of the PETM is readily identifiable (Foreman et al. 2012; Foreman 2014;  
65 Kraus et al. 2015). At the PETM, continental environments may have variably been affected by the  
66 controls exerted by climate change on the eustatic level (Sluijs et al. 2008), on regimes of water  
67 and sediment discharge to the river systems, and on characteristics of catchments, floodplains,  
68 and interfluves, which are all themselves related to a number of climatically controlled variables.

69 A sedimentary succession of predominantly continental origin in which the stratigraphic position of  
70 the PETM-related CIE is well constrained is the Tremp Group of the Tremp-Graus Basin, Spanish  
71 Pyrenees (Schmitz & Pujalte 2003; 2007; Pujalte et al. 2014). Stratigraphic changes observed in  
72 this succession across the CIE have been interpreted in terms of responses of river systems to the  
73 PETM (Schmitz & Pujalte 2007).

74 Building upon previous studies, the aim of this work is to assess changes in sedimentary  
75 architecture through the PETM in the Tremp Group, based on results from original facies and  
76 architectural-element analyses, with the scope to better understand the response of the alluvial  
77 landscapes to the hyperthermal event.

78 The analysed features are considered to reflect – in part – the geomorphic organization and  
79 processes of the fluvial system at time of deposition. Lithofacies and associations thereof are  
80 indicative of different processes, including primary depositional processes (e.g., deposition in  
81 upper versus lower flow-regime conditions), and sub-environments (e.g., channel versus  
82 overbank), respectively. Furthermore, architectural features of sedimentary bodies, such as the  
83 geometry and nature of external and internal bounding surfaces and the relative spatial  
84 arrangement of these bodies, bear a record of the original geomorphology of the depositional  
85 systems. They relate landform types, their modes and rates of evolution, and their genetic  
86 relationships, although not always in a straightforward manner (cf. Bridge 2003; and references  
87 therein). On the basis of observations of the depositional architecture, inferences are here made  
88 about the geomorphic change in alluvial landscapes associated with the PETM.

89 The specific objectives of this study are: (i) to provide a quantitative description of changes in  
90 sedimentary architecture across the Paleocene-Eocene boundary in the Tremp Group, particularly  
91 as documented over a km-scale strike-oriented transect; (ii) to discuss the significance of these  
92 changes in terms of interpreted variations in geomorphic processes, landforms and associated  
93 drivers.

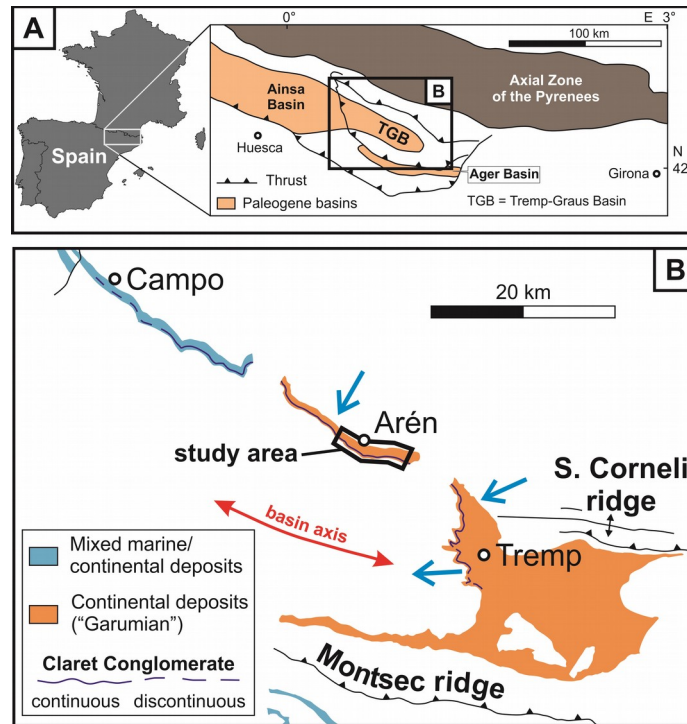
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## 95 **Geological setting**

96 The Pyrenees are an asymmetrical chain of mountains composed of doubly vergent thrust wedges  
97 that formed in response to the collision between the Iberian and the European plates, during the  
98 late Cretaceous to early Miocene (Puigdefàbregas & Souquet 1986; Vergés et al. 1995). The  
99 south-central Pyrenees (Spain) consist of a fold-and-thrust belt divided into three imbricate west-  
100 trending thrust sheets, which are referred to as the Bóixols, Montsec and Sierras Marginales, from  
101 north to south, respectively (Burbank et al. 1992; figure 1A). Foreland basins developed on the  
102 front of these thin-skinned thrust sheets. In the early Paleogene, one of these basins, the Tremp-  
103 Graus Basin, evolved as a piggy-back basin (*sensu* Ori & Friend 1984) on top of the Montsec

104 thrust sheet, south of the Bóixols thrust (Eichenseer & Luterbacher 1992; Puigdefàbregas et al.  
105 1992; Luterbacher 1998). The timing of thrust activity is reflected in the southward piggy-back  
106 propagation and dictates depocentre migration. The Bóixols thrust sheet was emplaced during the  
107 Late Cretaceous (Berástegui et al. 1990; Bond & McClay 1995; Fernández et al. 2012). Before the  
108 Paleocene-Eocene boundary, sedimentation in the Tremp-Graus Basin was contemporaneous with  
109 the incipient propagation of the Montsec thrust, although most of the activity of this lineament took  
110 place in the early Eocene (Williams 1985; Farrell et al. 1987; Puigdefàbregas et al. 1992; Sinclair  
111 et al. 2005; Fernández et al. 2012). At these times, the northern part of the Tremp-Graus Basin  
112 was being affected by the activity of underlying blind thrusts (Eichenseer & Luterbacher 1992;  
113 Luterbacher 1998). The Montsec frontal ramp and Bóixols thrust likely determined a basin  
114 physiography characterized by a steeper northern margin and a gentler southern one. The basin  
115 displayed a WNW-oriented axis, parallel to the orogen, and the drainage was consistently towards  
116 the Bay of Biscay to the west (Plaziat 1981; Cuevas 1992; Vergés & Burbank 1996; Whitchurch et  
117 al. 2011; figure 1).

118



120 **Figure 1:** A) Location of the Tresp-Graus Basin in the structural setting of the southern Pyrenees. B)  
 121 Location of the study area on outcrop map of the early Paleogene deposits of the Tresp-Graus Basin. The  
 122 arrows indicate general drainage directions. The trace of the Claret Conglomerate marks the position of the  
 123 PETM. Modified after Pujalte et al. (2014).

124

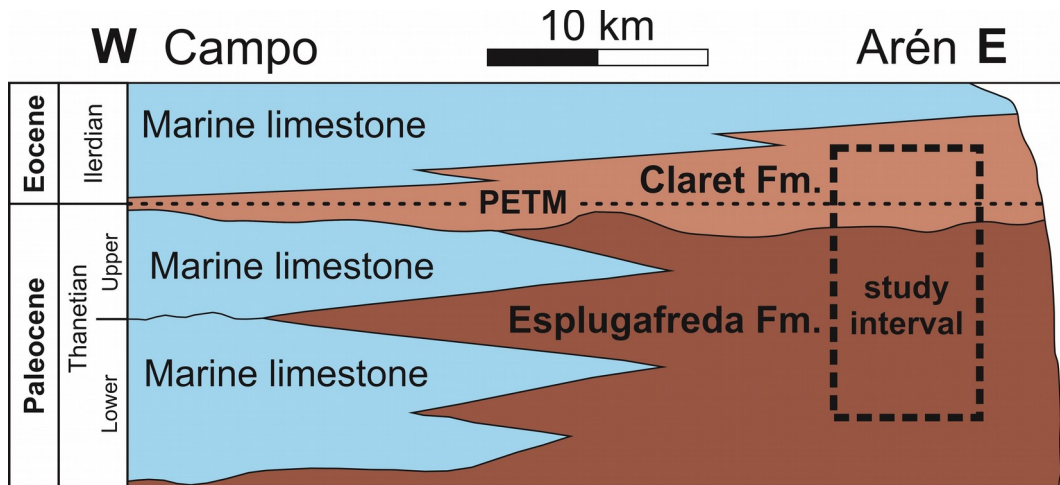
125 Successions of late Paleocene-earliest Eocene age comprise siliciclastic and carbonate strata that  
 126 interfinger laterally and alternate vertically, and are interpreted to be of shallow-marine and  
 127 continental origin. A suite of continental and paralic clastic deposits that takes the informal name of  
 128 “Garumian” is attributed to the Tresp Group, which is composed of the Thanetian to Ilerdian age  
 129 Esplugafreda and Claret formations (Cuevas 1992; Rosell et al. 2001; Pujalte & Schmitz 2005;  
 130 figure 2). The Esplugafreda Formation is 165-350 m thick, and largely consists of red mudstones  
 131 with intercalated arenaceous to conglomeratic bodies and evaporites of local occurrence, which  
 132 are interpreted to have accumulated in continental settings (Cuevas 1992; Dreyer 1993; Rosell et  
 133 al. 2001; Pujalte & Schmitz 2005; Pujalte et al. 2014, and references therein). A prominent erosive  
 134 surface, related to the incision of a network of lowstand valleys, defines the boundary between the

135 Esplugafreda and Claret formations (Pujalte et al. 2014). The Claret Formation is 10 to 70 m thick,  
136 and is composed of a varied suite of mudstones, sandstones, and conglomerates, with local  
137 gypsum accumulations, which are interpreted to have deposited in terrestrial to paralic settings  
138 (Pujalte & Schmitz 2005; Pujalte et al. 2014). Pujalte et al. (2014) describe five informal members  
139 within the eastern domain of the Claret Formation (cf. Baceta et al. 2011): member 1 consists of  
140 the infill of the basal valleys; member 2 consists of a dominantly conglomeratic unit (Claret  
141 Conglomerate); member 3 consists of yellowish pedogenized silty mudstones with intercalated  
142 sandstone bodies; member 4 is a gypsiferous unit; member 5 is mostly composed of red  
143 mudstone. The top of the Claret Formation is marked by a conformable contact with transgressive  
144 marine limestones above. Overall, the terrestrial deposits of the Garumnian strata record  
145 sedimentation in alluvial environments bordering ephemeral lakes and shallow seas; sedimentary  
146 bodies identified in the succession are interpreted as preserved geomorphic features such as  
147 channels, mid-channel bars, levees, crevasse splays, terminal frontal splays (Dreyer 1993;  
148 Schmitz & Pujalte 2007; Pujalte et al. 2014). Some of the sand-prone and conglomeratic bodies in  
149 this succession are interpreted by Mutti et al. (1996; 2000) as the preserved product of flood-  
150 dominated deposition at the termini of alluvial channels in shallow lakes. Garumnian outcrops  
151 indicate southward to westward alluvial drainage, from the structural reliefs in the north-east of the  
152 basin (Cuevas 1992; Vergés & Burbank 1996; Rosell et al. 2001; Pujalte et al. 2014). During the  
153 Paleocene, the Garumnian streams had their major source of sediment in catchments draining  
154 Variscan and Cadomian plutons of the Axial Zone of both the central and eastern Pyrenees, which  
155 were being exhumed at a rate of ca. 0.5 km/Myr (Whitchurch et al. 2011; Filleaudeau et al. 2012).  
156 These northern catchments were partly hosted in the advancing upland thrust sheets (Rosell et al.  
157 2001). Carbonate clasts of lower and upper Cretaceous affinity are abundant in north-easterly  
158 sourced Garumnian sandstones and conglomerates, and are related to source rocks uplifted 10 to  
159 20 km north of present-day outcrops (Schmitz & Pujalte 2007; cf. Teixel & Muñoz 2000; Gómez-  
160 Gras et al. 2016). The Montsec thrust sheet to the south represented only a minor source of

161 sediment for the Tremp-Graus Basin in the early Eocene (Williams & Fischer 1984; Williams 1985).  
 162 The clay-mineral composition of Garumnian palaeosols (Schmitz & Pujalte 2003) and of correlative  
 163 deep-marine deposits (Schmitz et al. 2001) is characterized by an increase in kaolinite content  
 164 recorded at the PETM, which is interpreted as related to enhanced rates of upland erosion that  
 165 mobilized buried kaolinite-rich Mesozoic sediments (Schmitz et al. 2001).

166

167



168 **Figure 2:** simplified stratigraphic scheme of the early Paleogene Garumnian along an east-west transect of  
 169 the Tremp-Graus Basin, between Campo and Arén. The stratigraphic coverage of the dataset is reported.  
 170 Modified after Pujalte et al. (2014).

171

172 In the Paleocene, the Tremp-Graus Basin, which connected westward to the sea, was subject to  
 173 relative sea-level fluctuations of various orders (Rasser et al. 2005), the stratigraphic record of  
 174 which is in part reflected in the sequence stratigraphic organization of the succession, as recorded  
 175 in the Campo, Navarri and Serraduy sequences of Luterbacher et al. (1991) (cf. Eichenseer &  
 176 Luterbacher 1992; Payros et al. 2000; Minelli et al. 2013). In the area where Garumnian deposits  
 177 crop out, two main marine transgressions occurred in the early and late Thanetian. A widespread  
 178 regression occurred in the latest Thanetian is expressed as the boundary between the  
 179 Esplugafreda and Claret formations. This was followed by a major transgression during the early

180 llerdian (Rasser et al. 2005; Pujalte et al. 2014). In the Garumian domain, one of the pre-PETM  
181 valley fills of the member 1 of Pujalte et al. (2014) contains co-occurring charophyte oogonia, small  
182 benthic foraminifera, rare gastropods and *Ophiomorpha* burrows, which collectively indicate fresh  
183 to brackish salinity (Pujalte et al. 2014). The PETM, which was associated with a period of eustatic  
184 rise (Miller et al. 2005; Sluijs et al. 2008), coincided with an interval of the early llerdian relative  
185 sea-level rise (Pujalte et al. 2014). However, despite the development of an overall early llerdian  
186 transgressive phase, conditions of depositional regression are observed for the PETM interval,  
187 supposedly in relation to a climate-driven increase in clastic supply (Minelli et al. 2013; Pujalte et  
188 al. 2014).

189 At the PETM, the Tremp-Graus Basin was situated at a palaeolatitude of ca. 35°N (Butterlin et al.  
190 1993), which corresponds with the position of present-day subtropical high-pressure cells.

191 Pedogenized mudstones of the pre-PETM Esplugafreda Formation are dominantly red and purple,  
192 display colour banding and mottling, contain well-developed 0.5 to 2 m-thick Bk horizons with  
193 abundant cm-sized calcite nodules, are typically rich in *Microcodium*, and locally show vertic  
194 features; several gypsiferous intervals also occur in the upper half of the Esplugafreda Formation  
195 (Eichenseer & Luterbacher 1992; Dreyer 1993; Schmitz & Pujalte 2003; 2007). Overall, these  
196 observations are interpreted as indicative of a well-drained substrate in a semiarid to arid  
197 palaeoenvironment (Eichenseer & Luterbacher 1992; Schmitz & Pujalte 2007), which is thought to  
198 have been associated with dominantly ephemeral discharge regimes (Dreyer 1993). Based on  
199 independent evidence provided by clay mineral associations, Garumnian palaeosols have been  
200 interpreted as indicative of a hot climate with seasonal rainfall for the early Thanetian (Arostegi et  
201 al. 2011). The PETM interval in the Claret Formation is characterized by palaeosols with colour  
202 varying from reddish-brown, grey-purple to yellowish, and by high calcite content and dispersed  
203 carbonate nodules, indicative of a cumulate soil profile (*sensu* Wright & Marriott 1996; Baceta et al.  
204 2011). A semiarid climate and variations from well to moderately or poorly drained conditions are  
205 inferred on the basis of these characteristics (Schmitz & Pujalte 2007; Dawson et al. 2014). The

206 development of poorly-drained, reducing conditions in relation to a general phreatic rise is in  
207 accord with the fact that portions of the Claret Conglomerate are locally abundant in coaly  
208 fragments, found in grey mudstones and silty sandstones in the Arén area (cf. Dreyer 1993;  
209 Schmitz & Pujalte 2003). However, carbonaceous material and grey palaeosol colours are also  
210 observed in parts of the member 1 of the Claret Formation predating the CIE (Dawson et al. 2014;  
211 original field observations), suggesting that if these characteristics recorded an overall wetting  
212 trend (cf. Schmitz & Pujalte 2003), this would have been established before the PETM. An increase  
213 in precipitation amounts and seasonality at the PETM has been postulated for the broader region  
214 on the basis of numerical simulations, which point to enhanced moisture transport from the Tethys,  
215 particularly in summer months (Winguth et al. 2010). Gypsum accumulations of the member 4 of  
216 the Claret Formation, supposedly contemporaneous with the PETM recovery phase (Domingo et  
217 al. 2009; Pujalte et al. 2014), have been related to deposition in saline lakes and surrounding mud  
218 flats, indicating that a relatively arid climate was established at that stage (García Veigas 1997;  
219 Pujalte et al. 2014).

220 Tropical forests dominated the Iberian region during the late Paleocene-early Eocene (Barrón et al.  
221 2010). In the Garumnian strata, rhizoliths are observed throughout the succession in muddy  
222 palaeosols, including the yellowish palaeosol of PETM age (Pujalte et al. 2014). Correlative deep-  
223 marine deposits in the down-dip Basque Basin display a turnover in the composition of the non-  
224 marine palynomorph assemblage at the PETM, suggesting that in the nearby continental areas  
225 pre-PETM permanent gymnosperm forests were replaced by a seasonal cover of angiosperms and  
226 ferns and allies, which grew during rainy episodes (Schmitz et al. 2001).

227 A chronological framework for the Garumnian is provided by data on the distribution of charophytes  
228 (Feist & Colombo 1983), palynomorphs (Médus & Colombo 1991) and mammal teeth (López-  
229 Martínez & Peláez-Campomanes 1999). For the Thanetian, a further chronological constraint is  
230 provided by tentative stratigraphic correlations with marine strata (e.g., Luterbacher et al. 1991).

231 The identification of the PETM is aided by recognition of the characteristic negative carbon isotope

232 excursion (CIE), which is recorded in palaeosol carbonates and organic matter contained in the  
233 Claret Formation. In the Arén area, a magnetostratigraphic section spanning the CIE records only  
234 reverse polarities (López-Martínez et al. 2006). Although discrepancies exist regarding the precise  
235 position of the PETM onset relative to the Claret Conglomerate (Schmitz & Pujalte 2007; Domingo  
236 et al. 2009; Manners et al. 2013; 2014; Adatte et al. 2014; Pujalte et al. 2014), the CIE can be  
237 identified in the members 2 and 3 of Pujalte et al. (2014). Although the lowest values of  $\delta^{13}\text{C}$  are  
238 recognized within the member 3 by Adatte et al. (2014), these authors interpret this particular  $\delta^{13}\text{C}$   
239 excursion as the preserved signal of the subsequent Eocene Thermal Maximum 2, and argue that  
240 the position of the record of the onset of the PETM is ca. 15 m below the top of the Claret  
241 Conglomerate. However, this interpretation contradicts existing stratigraphic constraints (cf. Pujalte  
242 et al. 2009, and references therein).

243

## 244 **Methods and data**

245 Sedimentological data have been acquired from a ca. 7-km-long, near-strike-oriented transect from  
246 the Arén area (figure 1). Facies analysis and architectural-element analysis of fluvial deposits have  
247 been undertaken, with particular attention to fluvial channel deposits (cf. Dreyer 1993). For  
248 measured vertical sections and architectural panels, lithofacies have been described and classified  
249 in terms of textural and structural properties; Munsell colours were recorded for pedogenically  
250 modified mudstones. Palaeocurrent directions (N = 536) have been determined for individual  
251 lithofacies from cross bedding, cross lamination, clast imbrication and sole marks. The external  
252 geometry of some sedimentary units (depositional and architectural elements; see below) has  
253 been quantified through collection of data on maximum thickness and width of each unit. The  
254 values of width of the bodies are apparent; whereas the orientation of the outcrop transect is  
255 relatively constant and approximately directed along strike; stratigraphic changes might still reflect  
256 temporal or local variations in drainage direction. The internal stratal geometries of sand-prone and  
257 conglomeratic lithosomes, and the nature and hierarchical arrangement of associated bounding

258 surfaces have also been described. The spatial relationships between sedimentary units have  
259 been recorded. Data on maximum extraclast size (N = 91) and cross-set thickness (N = 132) have  
260 been recorded for several architectural elements. The sedimentological data have been collated  
261 into a relational database that permits the digitization of the sedimentary architecture of fluvial  
262 depositional systems (the Fluvial Architecture Knowledge Transfer System, FAKTS; Colombera et  
263 al. 2012; 2013). The classification schemes employed in FAKTS have been adopted, which apply  
264 to sedimentary units that belong to three scales of observation and are termed 'depositional  
265 elements', 'architectural elements' and 'facies units', in order of descending scale. On the basis of  
266 their facies associations and architectural characteristics, the sedimentary bodies of the Tresp  
267 Group have been classified according to interpretative depositional- and architectural-element  
268 types. Depositional elements are large-scale sedimentary bodies classified as 'channel-complex' or  
269 'floodplain' elements on the basis of the interpreted origin of their deposits, and the subdivision of  
270 the stratigraphy in these units is partly based on geometrical rules (cf. Colombera et al. 2012;  
271 2013). A channel complex is a discrete channelized body, and does not possess a particular  
272 genetic or palaeo-geomorphic significance: for example, a channel complex could correspond to  
273 the preserved product of a channel belt, of a channel, to a portion of valley fill, or to a compound  
274 amalgamated multi-storey body.

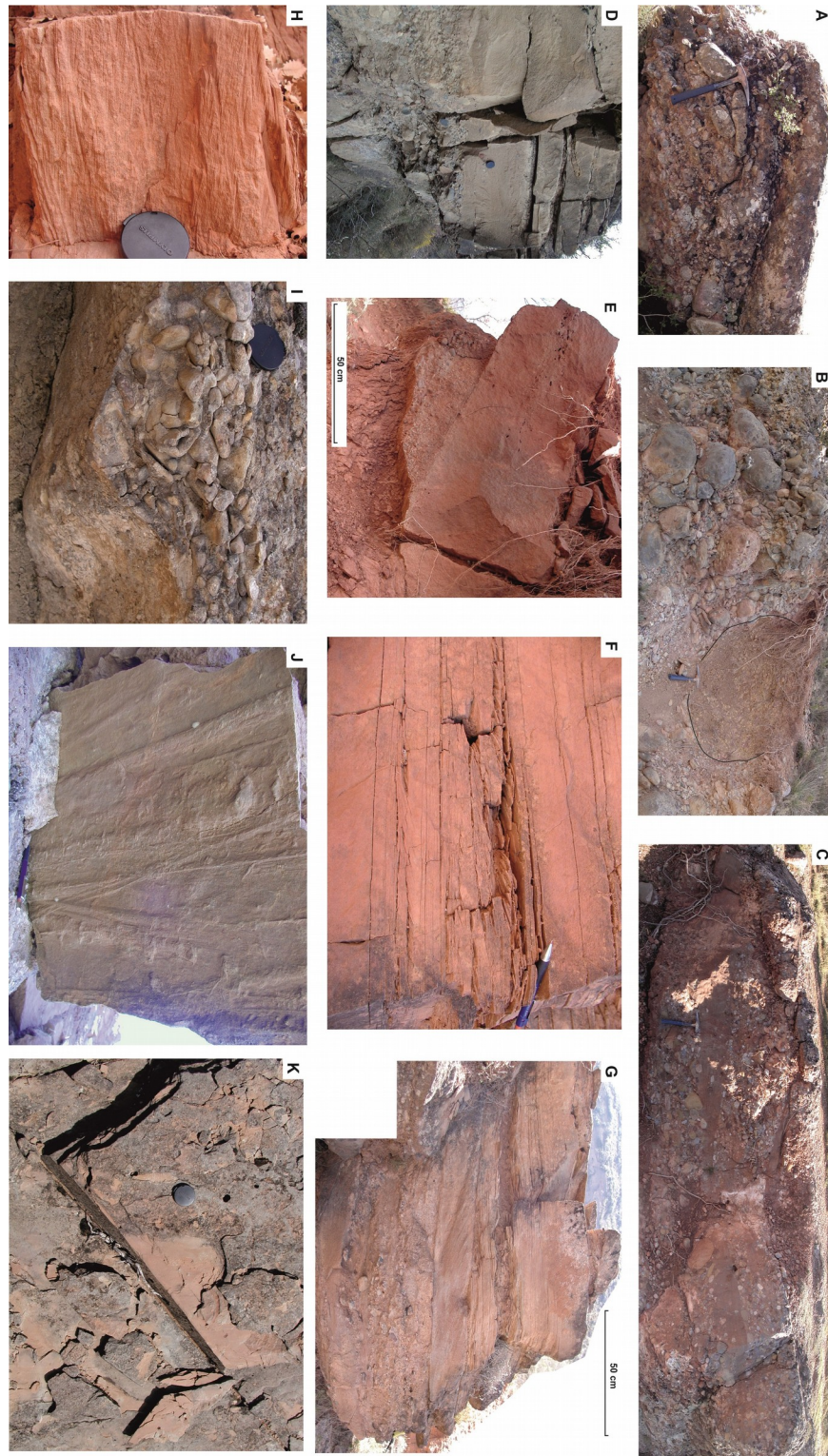
275 Architectural elements represent sedimentary bodies with characteristic facies associations and  
276 architectural properties that make them interpretable as types of sub-environments, which  
277 generally have a distinctive geomorphic significance and commonly record the morphodynamic  
278 evolution of a particular landform. The attribution of a particular element type follows criteria based  
279 on the characters of their bounding surfaces, their geometry, scale, internal organization, and – in  
280 some instances – their relationships with other elements (cf. Miall 1996; Colombera et al. 2013).

281 Architectural-element types include aggradational channel fills (either active or abandoned at the  
282 time of filling) and barforms (classified on dominant direction of accretion: lateral, downstream).

283 Facies units represent packages with sub-bed-scale resolution characterized by given textural and

284 structural properties on which they are classified. Facies units are delimited by bounding surfaces  
285 that mark a change in lithofacies, a major change in palaeocurrent, or erosional contacts (cf. 2<sup>nd</sup>-  
286 order surfaces of Miall, 1996; see Colombera et al. 2013). The adopted classification scheme of  
287 facies-unit types extends the scheme of Miall (1996). Facies types recognized in channel deposits  
288 of the study succession are summarized in table 1; photographic examples of the main lithofacies  
289 of channel deposits are presented in figure 3.

290



292 **Figure 3:** selected field photographs of lithofacies forming channel deposits in the Esplugafreda and Claret  
 293 formations. (A) Planar cross-stratified and crudely horizontally bedded conglomerates (syn-PETM Claret

294 Conglomerate). (B) Clast-supported, massive boulder conglomerate; a boulder-sized intraclast that exceeds  
 295 1 m in diameter is outlined in black (deposit from member 2 or uppermost member 1 of the Claret Formation  
 296 based on attribution of Pujalte et al., 2014). (C) Interbedded crudely horizontally bedded and cross-stratified  
 297 conglomerates and low-angle cross-stratified sandstones (Claret Conglomerate). (D) Crudely bedded cobble  
 298 conglomerates and massive sandstones (pre-PETM member 1 of the Claret Formation). (E) Crudely bedded  
 299 pebble conglomerates and massive sandstones (pre-PETM Esplugafreda Formation). (F) Interbedded planar  
 300 horizontally bedded and ripple cross-laminated sandstones (Esplugafreda Formation). (G) Facies forming an  
 301 aggradational ribbon channel fill of the Esplugafreda Formation, comprising beds of interbedded horizontally  
 302 crudely bedded conglomerates and massive sandstones, planar, trough and low-angle cross-stratified  
 303 sandstones, and planar horizontally bedded sandstones; view oriented along mean palaeoflow direction. (H)  
 304 Climbing ripple cross-laminated sandstone bed (Esplugafreda Formation). (I) Gutter cast from the base of a  
 305 channelized unit (Claret Conglomerate). (J) Groove casts from the base of a sandstone bed (Esplugafreda  
 306 Formation). (K) Plan view of a planar horizontally bedded sandstone with primary current lineation  
 307 (Esplugafreda Formation). The hammer is 35 cm long; the lens cap is 5 cm in diameter; the pen is 14 cm  
 308 long.

309  
 310 **Table 1:** facies types recognized in channel deposits of the study succession, based on the scheme of Miall  
 311 (1996). These facies are adopted in this work to describe channel deposits only, in the studied succession.

Code	Characteristics
Gcm	Clast-supported, massive conglomerate
Gh	Clast-supported, horizontally- or crudely-bedded conglomerate; possibly imbricated
Gt	Trough cross-stratified conglomerate
Gp	Planar cross-stratified conglomerate
St	Trough cross-stratified sandstone
Sp	Planar cross-stratified sandstone
Sr	Current ripple cross-laminated sandstone
Sh	Horizontally bedded sandstone
Sl	Low-angle (<15°) cross-bedded sandstone
Sm	Massive sandstone; possibly locally graded or faintly laminated
Fl	Interlaminated very-fine sandstone, siltstone and mudstone, locally with thin cross-laminated sandstone lenses

Fsm	Massive or laminated siltstone and mudstone
-----	---

312

313

314 Sedimentological change at the PETM is analysed quantitatively. Features that are compared  
315 include the geometry and proportion of channel complexes, architectural elements and facies units.  
316 Channel complexes are not related to any given geomorphic form by definition; thus, interpretation  
317 of changes in channel-complex properties in terms of geomorphic change can only be made in  
318 consideration of changes observed at the scale of the architectural elements. Estimation of the  
319 bankfull depth of formative channels is commonly attempted from measurement of the geometrical  
320 properties of preserved deposits (cf. Bridge & Tye 2000; Mohrig et al. 2000; Leclair & Bridge 2001;  
321 Bhattacharya & Tye 2004; Hajek & Heller 2012; Lunt et al. 2013). The thickness of barforms and  
322 channel fills provides a proxy for the maximum bankfull depth of their formative channels (cf.  
323 Bridge & Tye 2000; Mohrig et al. 2000; Bhattacharya & Tye 2004). However, such estimates can  
324 be affected by issues of partial preservation, related to erosional truncation of portions of  
325 sedimentary units, and compaction. Furthermore, the hydraulic geometry of a channel as inferred  
326 from preserved deposits is not necessarily indicative of the water discharge associated with a river  
327 system, as a multi-thread river pattern (braided, anastomosing), or local changes in drainage  
328 pattern (distributary as opposed to tributary) may have developed. Additional uncertainty is  
329 associated with the correct interpretation of architectural units as preserved geomorphic elements.  
330 For example, overestimates of channel depth could arise from the misinterpretation of scour fills  
331 (e.g., confluence scours) as channel fills (Miall & Jones 2003), whereas underestimates of bankfull  
332 depth could arise from the misinterpretation of the upper portions of barforms as overbank deposits  
333 (Latrubesse 2015).

334 Two-sample t-tests were performed to assess whether the differences between architectural  
335 parameters (log-transformed to meet the requirements of normality and homoscedasticity) of syn-  
336 PETM and pre-PETM deposits were statistically significant. Variations in the relative proportions

337 and grainsize of lithofacies types are employed to infer variations in the relative dominance of  
338 different depositional processes, such as the importance of bed-load, suspended-load or mass-  
339 flow deposition, or of upper versus lower flow-regime conditions. All results carry the fundamental  
340 uncertainty that is inherent in the methods of facies and architectural analysis, as applied to the  
341 rock record to infer past depositional and geomorphic processes.

342 Data on syn-PETM strata of the members 2 and 3 (Pujalte et al. 2014) of the Claret Formation are  
343 separately compared with corresponding data from the pre-PETM member 1 and Esplugafreda  
344 Formation.

345 Overall, the dataset comprises of data on:

- 346 • 247 channel complexes;
- 347 • 123 interpreted architectural elements, of which 108 are barforms and channel-fills;
- 348 • 1,397 facies units contained in channel complexes.

349

## 350 **Results**

351 Variations in sedimentary architecture are evaluated quantitatively across the pre-PETM and syn-  
352 PETM intervals of the Claret Formation, and also relative to the older Esplugafreda Formation as a  
353 control of the importance of stratigraphic changes through the record of the PETM.

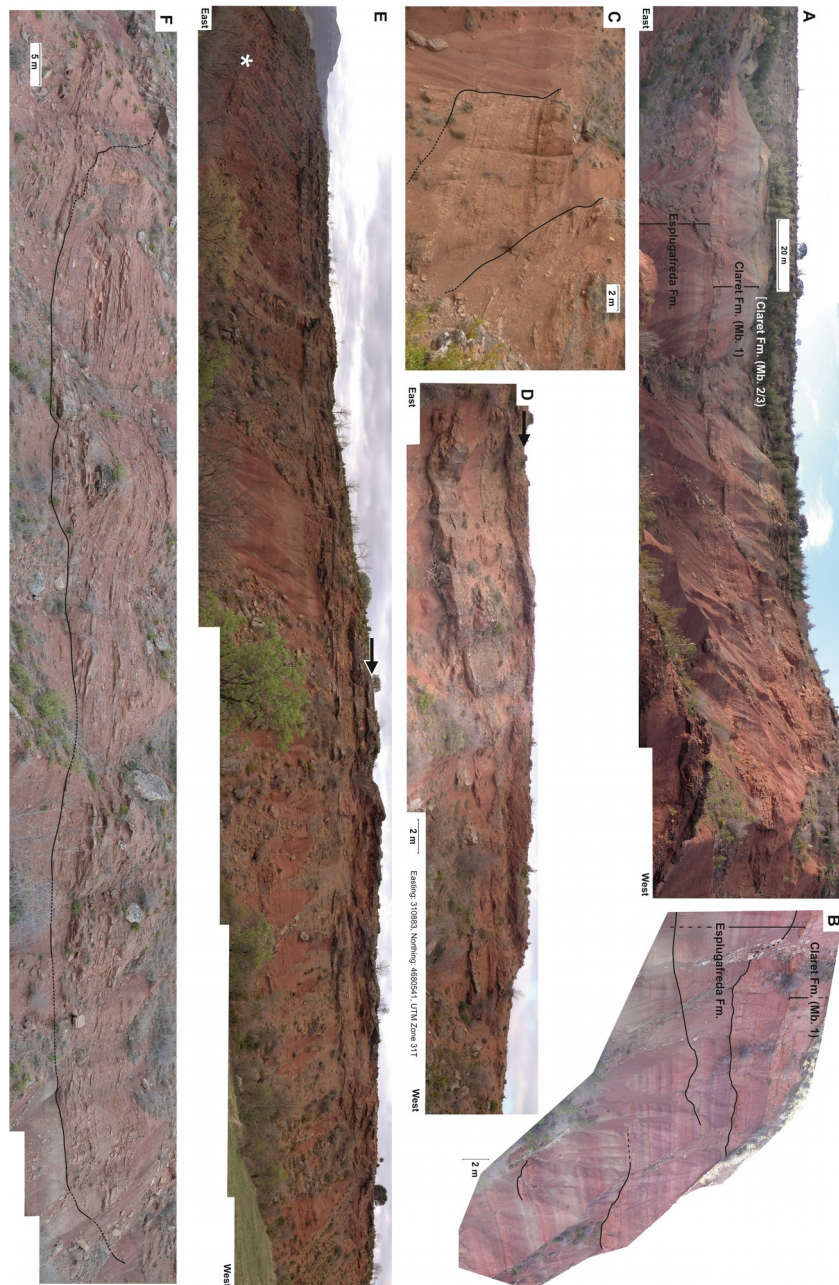
354 The pre-PETM interval of the Claret Formation (member 1) is recognized as the infill of lowstand  
355 valleys (Baceta et al. 2011; Pujalte et al. 2014). Three discrete depression fills are recognized in  
356 the study area by Pujalte et al. (2014), one of which, the easternmost, appears as the compound  
357 infill of multiple coalescing depressions. The existence of the single westernmost depression, at  
358 least in the extent mapped by Pujalte et al. (2014), is here disputed based on field evidence  
359 consisting of surface correlations walked out on outcrop and traced on panoramic outcrop  
360 photomosaics, aided by recognition of channel-body pinch-out positions and palaeosol intervals.

361 On this basis, it can be suggested that at least part of the stratigraphic interval indicated as  
362 'western depression' by Pujalte et al. (2014) may constitute the preserved expression of an

363 interfluvial – rather than a valley – rich in channel deposits that are more ancient than the member 1  
364 of the Claret Formation. This has implications concerning the stratigraphic attribution of deposits  
365 contained in this interval, which would predate the time of incision of the valleys at the base of the  
366 Claret Formation. However, in view of the current uncertainty and to avoid confusion with the  
367 existing stratigraphic scheme, these deposits are assigned to the member 1 of the Claret  
368 Formation following the usage of Pujalte et al. (2014).

369 In each of the three intervals considered, fluvial channel complexes are recognized. Each channel  
370 complex represents a discrete channelized unit, made of channel deposits (figure 4). The studied  
371 channel complexes are interpreted to relate to a range of formative processes and have variable  
372 geomorphic significance. For example, in the Esplugafreda Formation channel bodies are locally  
373 seen to form the complete aggradational infill of depressions, whereas other occurrences might  
374 just represent simple isolated channel fills, channel belts or amalgamated channel belts, locally  
375 positioned on valley floors in the member 1 of the Claret Formation.

376



378 **Figure 4:** selected field photographs documenting aspects of the large-scale sedimentary architecture of the  
 379 Esplugafreda and Claret formations. (A) Photopanel with view of the stratigraphy for the study interval on the  
 380 southern hillside of the Esplugafreda valley. The member 1 of the Claret Formation in this area forms a  
 381 palaeovalley fill, the ‘central depression’ of Pujalte et al. (2014). The stratigraphy dips into the hillside. (B)  
 382 Detailed view of the sedimentary architecture seen on the slope corresponding to the western side of the  
 383 photopanel in part A. The bases of cut-and-fill units from the Esplugafreda Formation are outlined in black.

384 The view is rotated to the approximate palaeo-horizontal. (C) Vertically stacked sand-prone channel bodies;  
385 their left-hand margins are outlined in black. (D) Conglomeratic bodies interbedded with red mudstones,  
386 seen across the transition between inferred pre- and syn-PETM deposits. The topmost conglomeratic unit  
387 corresponds to the syn-PETM Claret Conglomerate (black arrow). Some of the underlying cut-and-fill  
388 conglomeratic units are stratigraphically positioned in the pre-PETM member 1 of the Claret Formation  
389 according to the scheme of Pujalte et al. (2014), supposedly next to the western margin of the 'west  
390 depression' of the Arén area. In this area, surfaces that could unambiguously be identified as palaeovalley  
391 margins were not observed, and the variety of palaeosol colours seen in the member 1 further to the east is  
392 lacking. (E) Photopanel with view of the stratigraphy for the study interval, on a hillside of a ravine 1 km  
393 southwest of Arén. The lower section consists of deposits of the Esplugafreda Formation; the cliff is topped  
394 by deposits of the Claret Conglomerate (black arrow); the lower ledge-forming conglomeratic bodies  
395 contained in the upper section below the Claret Conglomerate are assigned to the member 1 of the Claret  
396 Formation ('western depression') of Pujalte et al. (2014). The asterisk marks the position of the channel body  
397 in figure 4F. (F) sand-prone channel body; the channel-body margins, which are expressed as erosional cuts  
398 of pedogenically modified mudstones, and its base are outlined in black.

399

400

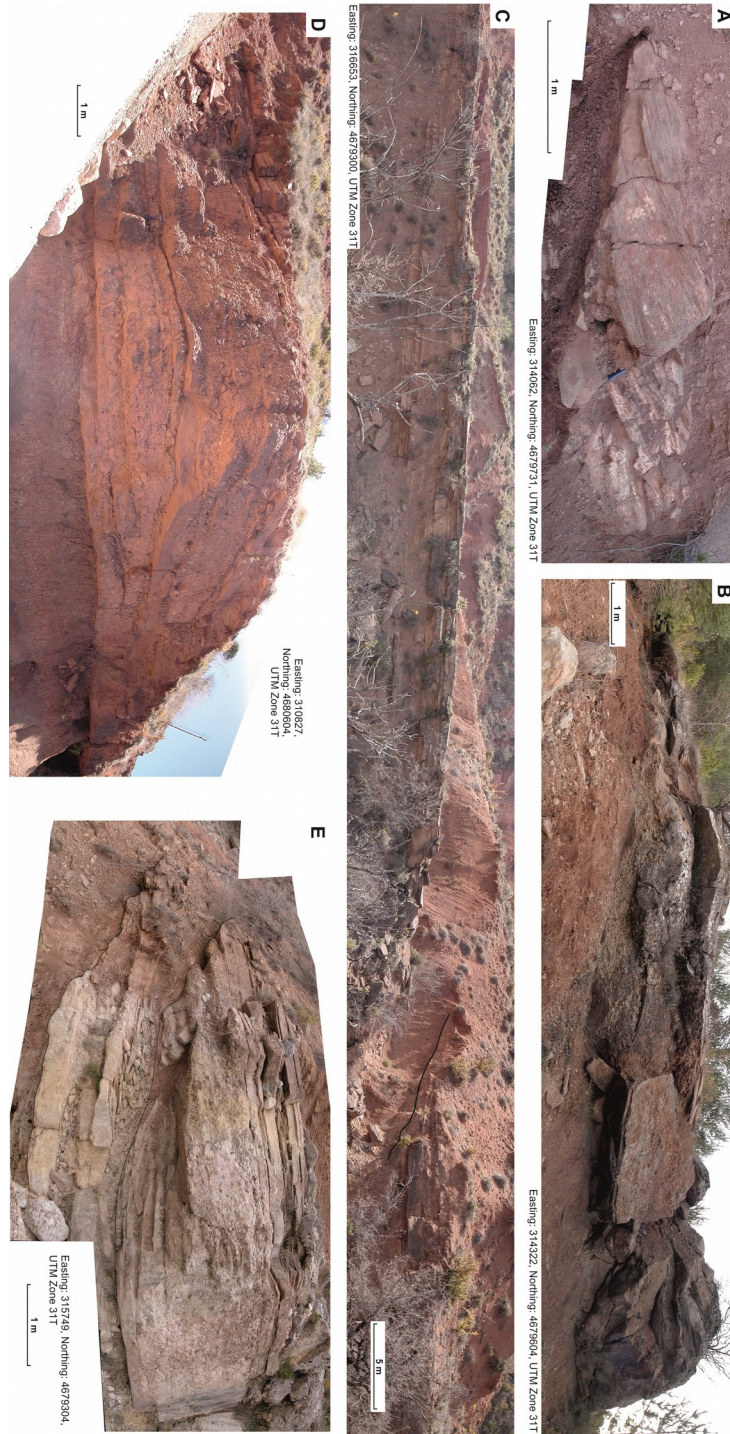
401

402 At a lower scale of observation, different types of architectural elements are documented in the  
403 channel complexes. These sedimentary bodies are interpreted as the product of infill of fluvial  
404 channels (figure 5) and of accretion of barforms of different types (figure 6).

405 Two main types of barforms are identified based on lithological and architectural characteristics. In  
406 some cases inferences of the direction of accretion of these bars are uncertain, being hampered by  
407 the limited occurrence of palaeocurrent indicators and/or by the nature of the outcrop exposures.

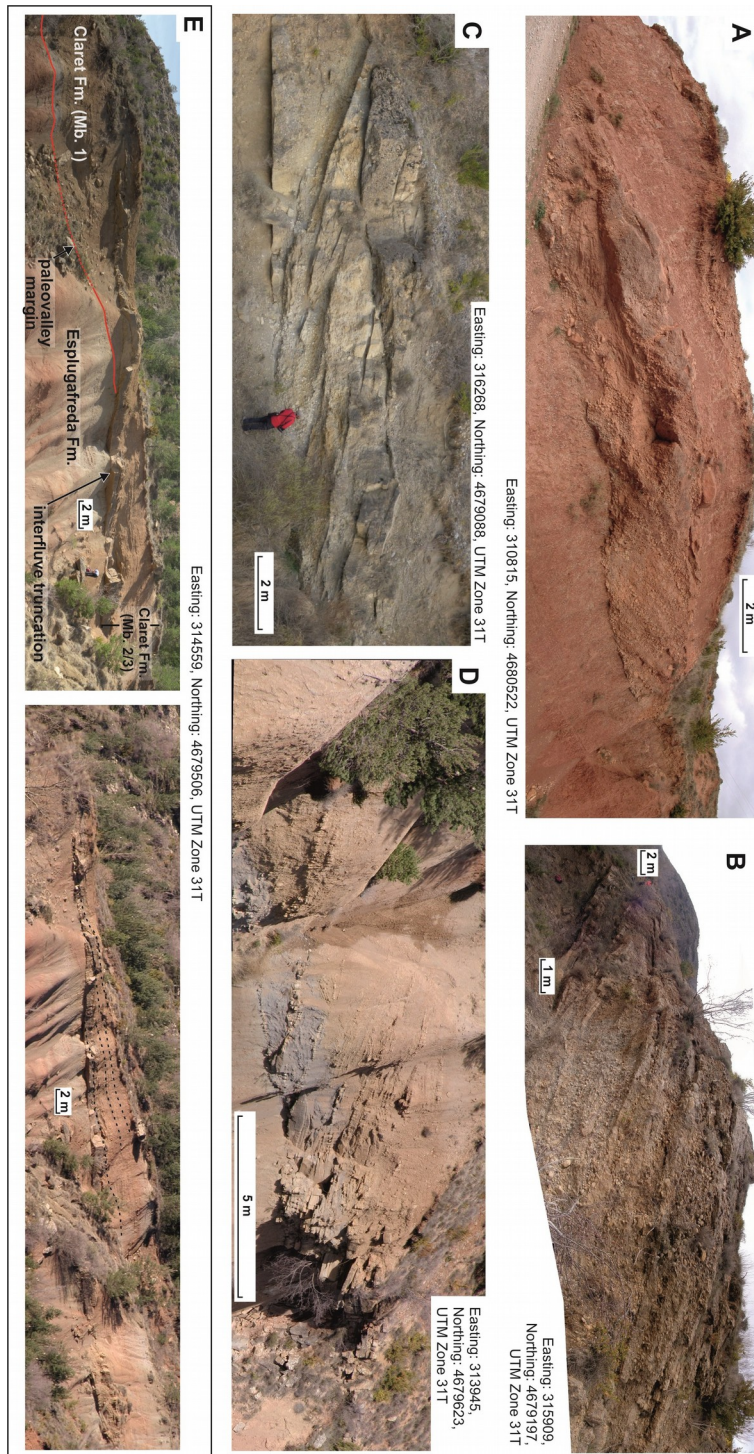
408 Conglomeratic barforms that appear to be dominantly accreting downstream (figure 6A-C) are  
409 seen in all three stratigraphic intervals. Some of these deposits are interpretable as bank-attached  
410 bars because of their adjacency to preserved cut-banks. Barforms that are variably gravel-, sand-,  
411 and silt-prone and that locally demonstrate lateral accretion are recognized in the syn-PETM

412 interval of the Claret Formation, in accordance with observations by Schmitz & Pujalte (2007).  
413 Characteristic differences in facies architecture are seen between the architectural-element types  
414 that compose the channel complexes (figure 7).  
415



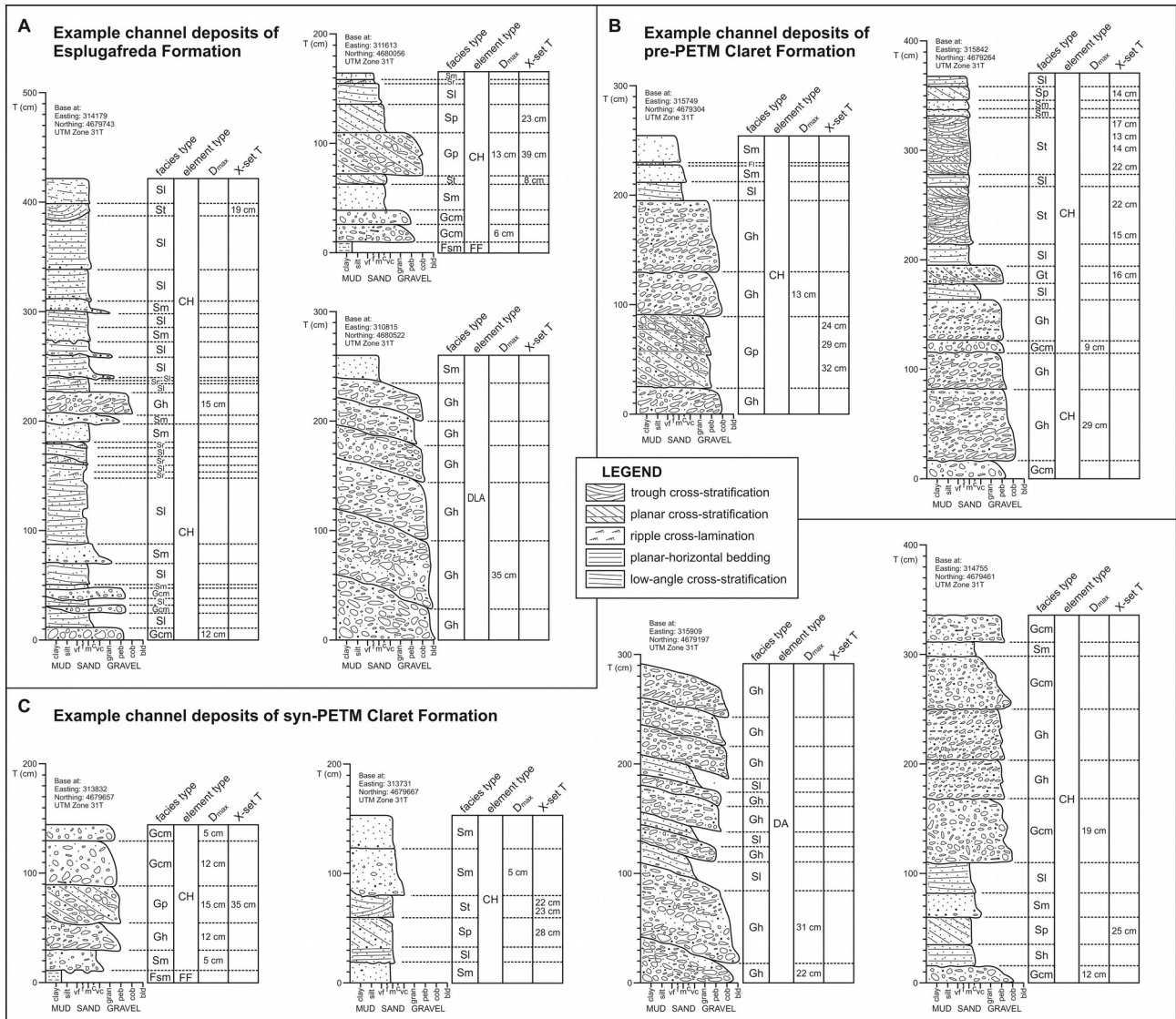
417 **Figure 5:** selected field photographs of the sedimentary architecture of aggradational channel fills. (A) Detail  
 418 of the margin of a sand-prone channel-fill (CH) architectural element. (B) Detail of a channel-body margin  
 419 from the Claret Conglomerate interval. The channel-body top is contained within grey mudstones of the syn-  
 420 PETM member 2 of the Claret Formation; the base of the body is incised into red mudstones of the member

421 1. The mean palaeoflow is oriented obliquely into the outcrop. (C) Aggradational ribbon channel fill, seen to  
422 be cut both perpendicularly (to the right) and longitudinally (to the left) relative to its axis; the left-hand  
423 channel-fill margin is outlined in black. (D) Dominantly conglomeratic channel fill, with overall fining-upward  
424 trend. (E) Vertically stacked channel fills from the pre-PETM member 1 of the Claret Formation. The bases of  
425 the channel bodies are outlined in black. The mean palaeoflow is oriented approximately into the outcrop.  
426



428 **Figure 6:** selected field photographs of the sedimentary architecture of barforms. (A) Gravelly barform  
 429 embedded in red palaeosols from the uppermost part of the Esplugafreda Formation; see figure 2 of Mutti et  
 430 al. (2000) for alternative interpretation. (B) Oblique view of a multistorey channel body from the syn-PETM

431 Claret Conglomerate interval. The central part of the channel body consists of a package of clinothems made  
432 of interbedded sandstones and conglomerates that is seen to record accretion at low-angle with the  
433 palaeoflow direction. The mean palaeoflow is oriented obliquely into the outcrop and to the right-hand side.  
434 (C) Multistorey channel body from the syn-PETM Claret Conglomerate interval. The central part of the  
435 channel body consists of a package with clinofolds marked by interbedded sandstones and conglomerates;  
436 this package is seen to record accretion at low-angle with the palaeoflow. The mean palaeoflow is oriented to  
437 the right-hand side. (D) Package of sandy clinothems from the syn-PETM interval of the Claret Formation  
438 (members 2 and 3). The buff-coloured upper portion of the body corresponds to the member 3 (“yellowish  
439 soils” of Pujalte et al., 2014, and references therein). (E) Outcrop expression of the relationships between the  
440 Esplugafreda Formation and the members 1 and 2 of the Claret Formation; this is the same outcrop  
441 documented in the Supplementary figure 3C of Schmitz & Pujalte (2007) and in the supplementary figure 3B  
442 of Pujalte et al. (2014). The base of the Claret Formation, consisting in the palaeovalley wall interpreted by  
443 Pujalte et al. (2014), is traced in red. Thus, the syn-PETM part of the Claret Formation is seen to rest on both  
444 the valley fill and the associated interfluvial deposits, which consists of deposits of the Esplugafreda Formation and  
445 appears as sharply truncated by deposits that mark the onset of the PETM. The ledge-forming conglomeratic  
446 unit attributed to the Claret Conglomerate may be interpreted as a basal lag; the overlying deposits consist of  
447 massive silty very fine sandstones alternating with locally pebbly, massive or faintly laminated, fine to  
448 medium sandstones; these beds are possibly genetically related to the basal lag, on which they appear to be  
449 downlapping, rather than onlapping (cf. Schmitz & Pujalte 2007 for alternative interpretations).  
450



452 **Figure 7:** vertical logged sections of selected examples of in-channel architectural elements from different  
 453 stratigraphic intervals: Esplugafreda Formation (A), member 1 of the Claret Formation (B), and members 2  
 454 and 3 of the Claret Formation (C). These examples have been chosen to illustrate the variability in facies  
 455 organization seen in channel deposits of the studied succession of the Tremp-Graus Basin. The data  
 456 contained in quantified form in the article refers to a total of 87 architectural elements, characterized through  
 457 field data collection. The represented logs do not comprise all the qualitative information recorded in the field,  
 458 but only what is directly made use of in this article and presented in quantified form therein. See table 1 for  
 459 explanation of lithofacies codes in the 'facies type' column.

460

461

462 Descriptive statistics of the geometry of channel complexes from the different intervals are  
463 summarized in tables 2 and 3, and in figure 8. Channel complexes from the members 2 and 3 (N =  
464 33) return higher values of mean, median and maximum axial thickness, compared to channel  
465 complexes from the Esplugafreda Formation (N = 186) and from the palaeovalley fills of the  
466 member 1 of the Claret Formation (N = 28). The difference in mean channel-complex thickness  
467 seen between the pre- and syn-PETM intervals is statistically significant at the 0.01 level if  
468 determined for the entire succession (two sample t-test of log-transformed data,  $T=-4.16$ ,  $df=45$ ,  
469  $P=0.000$ ), but only at the 0.1 level if evaluated across the members of the Claret Formation only  
470 (two sample t-test of log-transformed data,  $T=-1.71$ ,  $df=58$ ,  $P=0.093$ ). The difference in mean  
471 channel-complex width seen between the pre- and syn-PETM intervals and determined for the  
472 entire succession is statistically significant at the 0.01 level (two sample t-test of log-transformed  
473 data,  $T=-4.79$ ,  $df=9$ ,  $P=0.001$ ).

474

475 **Table 2:** descriptive statistics of channel-complex thickness for the study intervals of the Tremp-Graus Basin succession.

	<b>Mean thickness (m)</b>	<b>Median thickness (m)</b>	<b>Maximum thickness (m)</b>	<b>Thickness standard deviation (m)</b>	<b>N</b>
<b>Esplugafreda Fm.</b>	2.3	2.1	9.4	1.5	186
<b>Mb. 1 Claret Fm.</b>	2.7	2.7	5.0	1.1	28
<b>Mb. 2/3 Claret Fm.</b>	3.6	2.9	11.0	2.2	33

476

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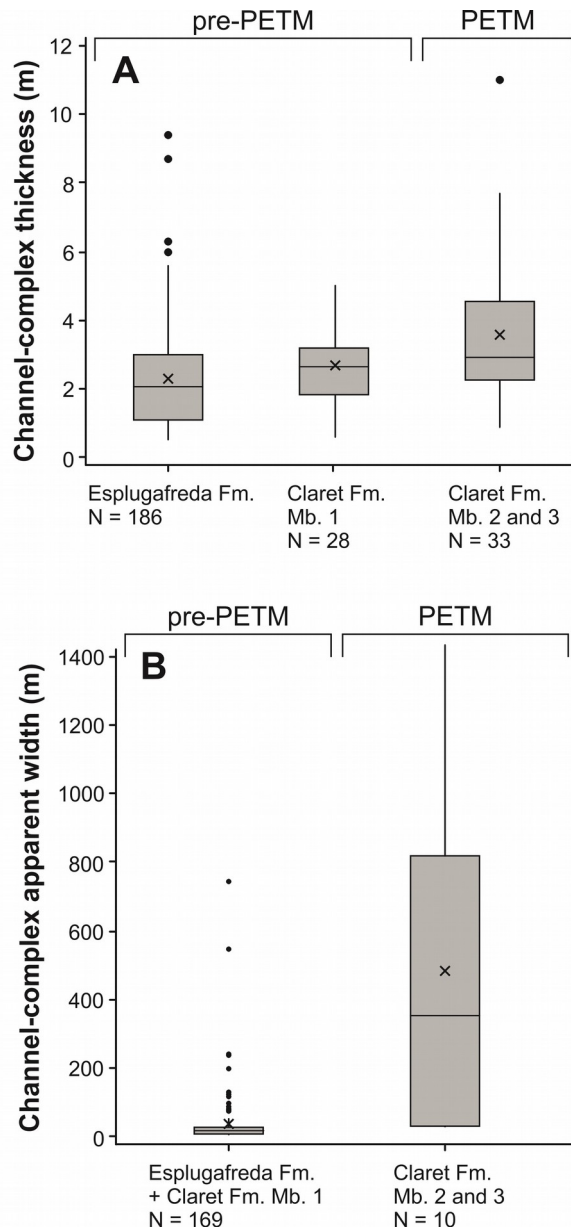
478 **Table 3:** descriptive statistics of channel-complex width for the pre- and syn-PETM intervals of the Tremp-Graus Basin  
479 succession. Widths are measured along a direction that approximates depositional strike, but might be apparent relative  
480 to the drainage direction of each channel complex.

	<b>Mean width (m)</b>	<b>Median width (m)</b>	<b>Maximum width (m)</b>	<b>Width standard deviation (m)</b>	<b>N</b>
--	-----------------------	-------------------------	------------------------------	---	----------

<b>Esplugafreda Fm.</b>	35.2	15.7	747.4	77.3	169
<b>+ Mb. 1 Claret Fm.</b>					
<b>Mb. 2/3 Claret Fm.</b>	484.0	352.0	1432.0	508.0	10

481

482



484 **Figure 8:** box plots that describe the distribution of channel-complex thickness (A) and apparent width (B) for  
 485 the stratigraphic intervals considered. Boxes represent interquartile ranges, horizontal bars within them  
 486 represent median values, crosses (x) represent mean values, and spots represent outliers.  
 487  
 488  
 489 Descriptive statistics of the geometry of architectural elements contained in channel complexes  
 490 and interpreted as channel fills or barforms, which are present in this succession as both laterally

491 and downstream accreting macroforms, are summarized in table 4 and figure 9. In-channel  
 492 architectural elements from the syn-PETM members 2 and 3 of the Claret Formation (N = 31)  
 493 return marginally higher values of mean and standard deviation of axial thickness, compared to  
 494 elements from the Esplugafreda Formation (N = 45) and from the member 1 (N = 25). The  
 495 difference in mean thickness for the channel fills and barforms of the pre- and syn-PETM intervals  
 496 is not statistically significant (two sample t-test of log-transformed data,  $T=-0.82$ ,  $df=50$ ,  $P=0.416$ ).  
 497 A significant increase in standard deviation of channel-fill and barform thickness is seen across the  
 498 pre- and syn-PETM members of the Claret Formation (Bonett's test,  $P=0.015$ ; Bonett 2006).  
 499 Whereas aggradational channel fills seem to dominate in the Esplugafreda Formation, over 20% of  
 500 the studied in-channel architectural elements in the Claret Formation are classified as barforms:  
 501 this is likely a conservative percentage, as the orientation of outcrop exposures in the Arén area  
 502 commonly hinders recognition of accretion geometries.

503

504 **Table 4:** descriptive statistics of in-channel architectural-element (barform and channel fill) maximum thickness for the  
 505 study intervals of the Tremp-Graus Basin succession.

	<b>Mean thickness (m)</b>	<b>Median thickness (m)</b>	<b>Maximum thickness (m)</b>	<b>Thickness standard deviation (m)</b>	<b>N</b>
<b>Esplugafreda Fm.</b>	2.1	2.0	5.6	1.1	45
<b>Mb. 1 Claret Fm.</b>	2.2	1.9	3.7	0.7	25
<b>Mb. 2/3 Claret Fm.</b>	2.4	1.9	6.5	1.4	31

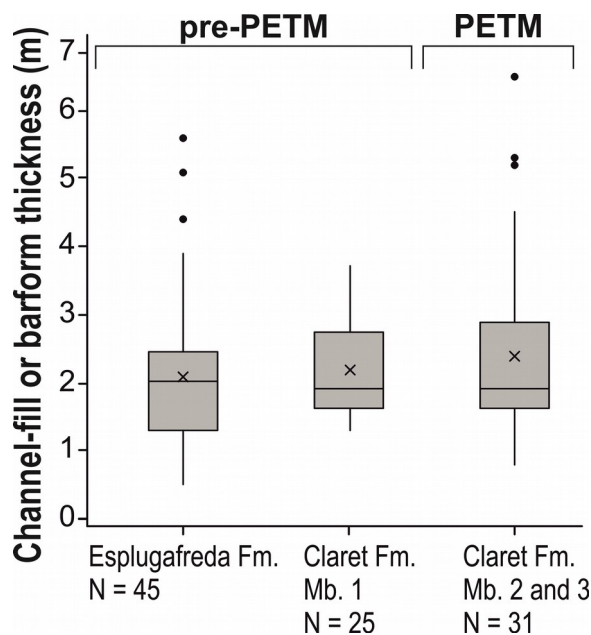
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508

509 **Figure 9:** box plots that describe the distribution of in-channel architectural-element thickness for different  
 510 stratigraphic intervals of the Tremp-Graus Basin. Boxes represent interquartile ranges, horizontal bars within  
 511 them represent median values, crosses (x) represent mean values, and spots represent outliers.

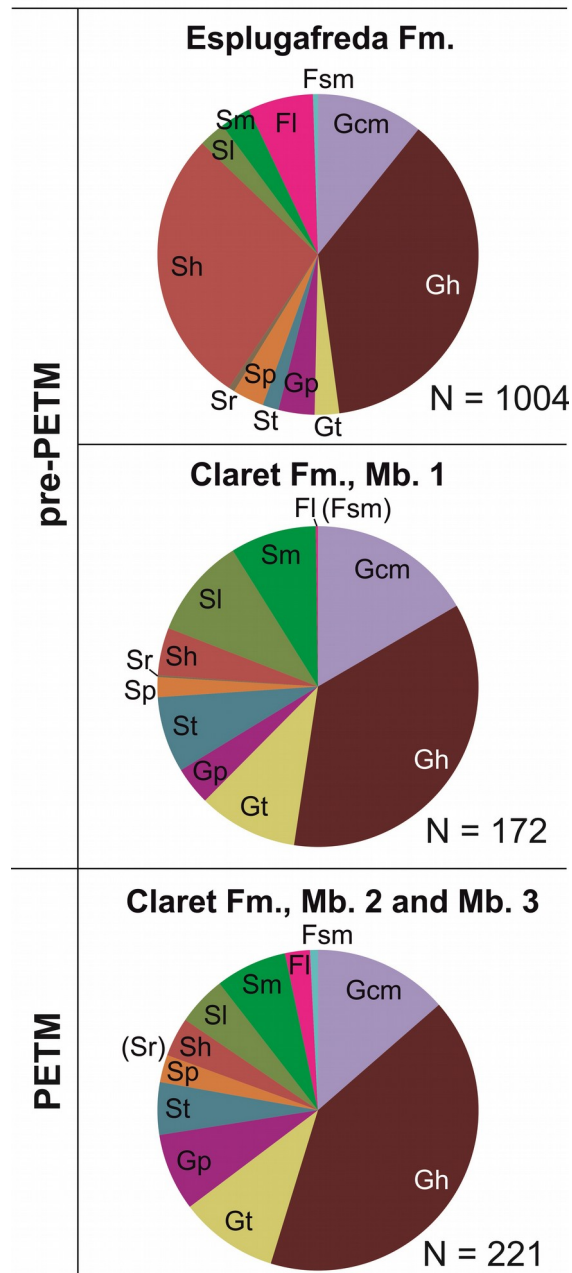
512



513 Information on the facies architecture of the channel complexes is obtained in the form of total  
514 proportions of different facies types in channel deposits, based on summed thicknesses, for the  
515 study intervals (figure 10). As compared to the Esplugafreda Formation, channel complexes from  
516 both the pre- and syn-PETM Claret Formation exhibit a larger proportion of gravelly deposits (70%  
517 versus 53%) and a smaller proportion of fine-grained deposits (2% versus 7%). In the Claret  
518 Formation, channel deposits in the syn-PETM interval display a higher proportion of conglomerates  
519 (73% versus 66%) compared to those in the pre-PETM member 1. A progressive increase (11% in  
520 the Esplugafreda Formation, to 23% in member 1, to 26% in members 2 and 3) in the proportion of  
521 cross-stratified units (Gt, Gp, St, Sp) and decrease (30% to 15% to 9%, respectively) in the  
522 proportion of plane-bedded or low-angle cross-stratified sandstones (Sh, Sl) are recorded through  
523 the three intervals. The decrease in the fraction of horizontally bedded sandstone is particularly  
524 significant across the Esplugafreda and Claret formations (28% to 4%). Through these intervals, an  
525 increase in the amount of massive sandstone in channel complexes is also recorded (3% to 8%);  
526 the proportion of massive sandstones that appear bioturbated shows modest change (12% to 14%  
527 of 'Sm' facies). Sandstones with soft-sediment deformation are notably absent from the sampled  
528 channel complexes.

529

### Proportion of facies types in channel complexes



531 **Figure 10:** pie charts of the proportion of facies unit types in channel complexes from the stratigraphic  
 532 intervals considered.

533

534 Descriptive statistics of measured values of maximum extraclast size by architectural element is

535 reported in table 5 and figure 11. The largest extraclasts in architectural elements of the members

536 2 and 3 (N = 42) return values of central tendency and dispersion comparable with figures from the  
 537 Esplugafreda Formation (N = 21) and the member 1 of the Claret Formation (N = 28). Quantitative  
 538 data on intraclast size are lacking.

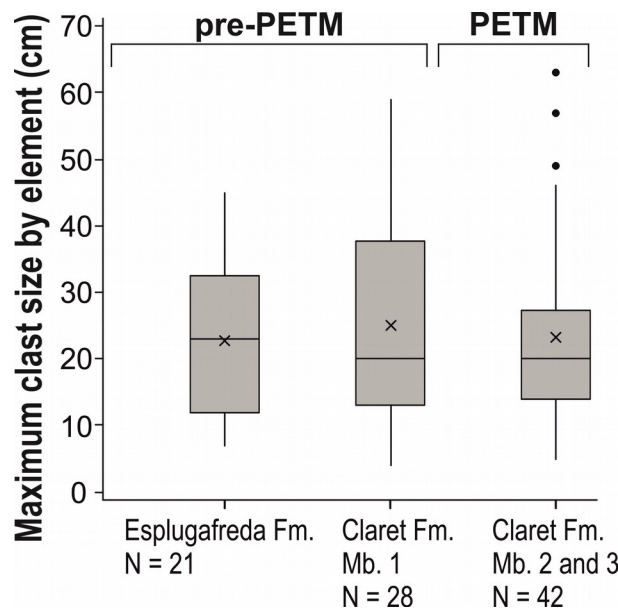
539

540 **Table 5:** descriptive statistics of maximum extra-clast size for in-channel architectural elements (channel fills, barforms)  
 541 for the studied intervals.

	extraclast $D_{max}$ mean (cm)	extraclast $D_{max}$ median (cm)	extraclast $D_{max}$ maximum (cm)	extraclast $D_{max}$ standard deviation (cm)	N
<b>Esplugafreda Fm.</b>	22.9	23.0	45	11.4	21
<b>Mb. 1 Claret Fm.</b>	25.0	20.0	59	13.8	28
<b>Mb. 2/3 Claret Fm.</b>	23.2	20.0	63	13.6	42

542

543



545 **Figure 11:** box plots of the distribution of maximum extra-clast size for in-channel architectural elements  
 546 (channel fills, barforms) for the studied stratigraphic intervals. Boxes represent interquartile ranges,  
 547 horizontal bars within them represent median values, crosses (x) represent mean values, and spots  
 548 represent outliers.

549

550 Descriptive statistics of cross-set thickness have been considered for medium-scale cross-bedded  
 551 conglomerates (Gp, Gt) and cross-bedded sandstones (Sp, St), and are reported in table 5 and  
 552 figure 12. Mean cross-set thickness is 19.1 cm, median cross-set thickness is 15 cm, and  
 553 maximum cross-set thickness is 68 cm (standard deviation = 13.1 cm). Cross-bedded sandstones  
 554 from the syn-PETM members 2 and 3 of the Claret Formation (N = 54) return higher values of  
 555 mean and median cross-set thickness than sandstones from the Esplugafreda Formation (N = 21)  
 556 and the member 1 of the Claret Formation (N = 24). The difference in mean cross-set thickness  
 557 seen in channel sandstones of the pre- and syn-PETM intervals is statistically significant (two  
 558 sample t-test of log-transformed data, T=-3.51, df=96, P=0.001).

559

560 **Table 6:** descriptive statistics of cross-set thickness for cross-stratified conglomerates (CGL) and sandstones (SST) in  
 561 channel deposits from the studied intervals.

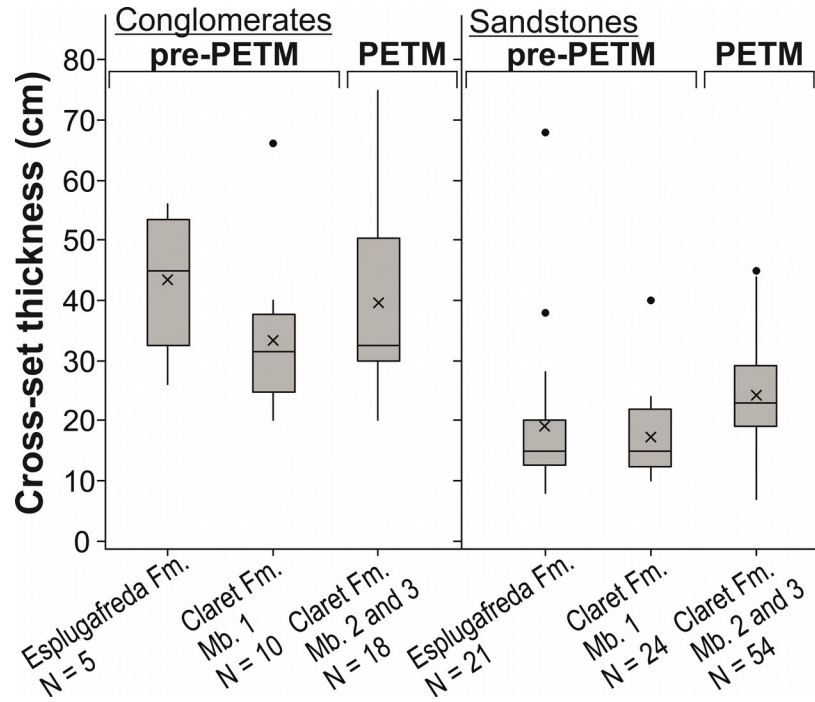
		<b>x-set thickness mean (cm)</b>	<b>x-set thickness median (cm)</b>	<b>x-set thickness maximum (cm)</b>	<b>x-set thickness standard deviation (cm)</b>	<b>N</b>
<b>CGL</b>	<b>Esplugafreda Fm.</b>	43.4	45.0	56	11.6	5
	<b>Mb. 1 Claret Fm.</b>	33.9	31.5	66	12.8	10
	<b>Mb. 2/3 Claret Fm.</b>	39.6	32.5	75	15.6	18
<b>SST</b>	<b>Esplugafreda Fm.</b>	19.1	15.0	68	13.1	21
	<b>Mb. 1 Claret Fm.</b>	17.2	15.0	40	6.6	24
	<b>Mb. 2/3 Claret Fm.</b>	24.1	23.0	45	9.2	54

562

563

564

565 **Figure 12:** box plots of the distribution of cross-set thickness for cross-stratified sandstones and  
 566 conglomerates in channel deposits from the studied stratigraphic intervals. Boxes represent interquartile



## 570 Discussion

### 571 Revisiting previous interpretations

572 An analysis of the significance of sedimentological change observed across the PETM in the

573 Tremp-Graus Basin was made by Schmitz & Pujalte (2003; 2007), who also discussed the

574 potential importance of tectonics and relative sea level. As noted by Schmitz & Pujalte (2007), the

575 intervals that embody the onset and main phase of the PETM (i.e., the members 2 and 3 of the

576 Claret Formation) are characterized in the Arén area by an overall higher proportion of channel

577 deposits compared to underlying strata, and a significant reduction in channel-body density is

578 observed vertically between the interval of members 2/3 and member 4, the latter embodying the

579 recovery phase of the PETM (Pujalte et al. 2014).

580 The hypotheses that channel-body amalgamation at the PETM resulted from either subsidence

581 reduction or relative sea-level fall can be discarded on the basis of knowledge of the inferred

582 regime of tectonic quiescence and relative sea-level rise at the Paleocene-Eocene boundary  
583 (Pujalte et al. 2014).  
584 Schmitz & Pujalte (2007) interpreted the increase in channel-body density seen in the member 2  
585 (Claret Conglomerate) as representing the progradation of a braidplain that formed the proximal  
586 portion of a megafan. However, this geomorphic interpretation contrasts with converging  
587 palaeoflow directions away from the catchments (cf. Pujalte et al. 2014), and no data are available  
588 to indicate that the Claret Conglomerate represents a suite of deposits associated with a single fan,  
589 rather than with coalescing landforms. A scenario invoking a single megafan appears unlikely in  
590 consideration of the complex topography on which the member 2 accumulated, as expressed in  
591 the geometry and lateral discontinuity of the member 1. Given that these valley fills are believed to  
592 record a single phase of incision and infill (Pujalte et al. 2014), and in consideration of the limited  
593 distance between the catchments and the palaeoshoreline, the presence of multiple valley fills  
594 suggests the persistence of multiple entry points in the neighbouring mountain front during phases  
595 of widespread aggradation; the spacing of these valley fills might reflect the spacing of long-lived  
596 feeder valleys . Additionally, the deposits of the Claret Conglomerate are locally interpretable as  
597 the product of accumulation of gravelly channel lags, in relation to which overlying sand-prone  
598 deposits of the member 3 – which is largely established on pedogenic characteristics – are  
599 genetically related and synchronous (cf. figure 6E). Thus, the Claret Conglomerate alone, as a  
600 lithostratigraphic unit, cannot be interpreted in palaeogeomorphic terms.

601

#### 602 Controls on environmental change

603 Intervals that encompass the PETM are also characterized by channel complexes that are, on  
604 average, slightly thicker than channel complexes from pre-PETM units. This observation likely  
605 reflects the increased degree of channel-body amalgamation, which is also expressed by a higher  
606 proportion of multi-storey and multi-lateral channel complexes in the syn-PETM member 2 of the  
607 Claret Formation, as compared to the pre-PETM member 1.

608 The thickness of channel fills and barforms is relatively uniform across the studied stratigraphy,  
609 which can be interpreted in terms of largely unchanged maximum bankfull depth of formative fluvial  
610 channels. If the existence of rivers with comparable size and channel-forming discharges across  
611 the PETM is assumed, the observed channel-body amalgamation could then be explained by  
612 enhanced channel mobility through faster lateral migration or more frequent avulsion (Bristow &  
613 Best 1993). However, it must be considered that the exhibited characters may also emerge in  
614 relation to the development of a network of roughly equally sized channels that form a multi-thread  
615 wandering or braided river, as opposed to a single-thread fluvial system. A braided river could  
616 accommodate a larger total water discharge and would be characterized by wider channel belts,  
617 the latter character being typically incorporated in the rock record in the form of wider channel  
618 complexes, by comparison with single-thread counterparts (cf. Schumm 1985; Gibling 2006;  
619 Colombera et al. 2013).

620 The hypothesis that channel-body amalgamation at the PETM resulted from increased channel  
621 mobility can be related to two fundamentally different categories of environmental change, which  
622 are not mutually exclusive:

623 1) changes in the drainage catchments that would drive an increase in channel mobility in the  
624 basin; such a change in river behaviour might have been caused by greater bedload delivery or  
625 reduced fine-grained suspended load delivery, which could have resulted in higher channel erosive  
626 power (cf. Nanson & Hickin 1986), faster in-channel deposition (cf. Howard 1992; Wickert et al.  
627 2013), and perhaps decreased bank stability resulting from changes in stream-bank texture (e.g.,  
628 reduced clay content; cf. Thorne 1991); increased water discharge or discharge variability could  
629 also have played a role by increasing transport flux and avulsion frequency (cf. Howard 1992;  
630 Jones & Schumm 1999).

631 2) changes in the nature of the depositional basin, which would permit the channels to be  
632 more mobile in relation to increased bank erodibility, for example through variations in vegetation  
633 type and density (cf. Gyssels et al. 2005), in organic-matter content (controlling soil aggregation;

634 Morgan 2005), or in soil drainage (positive pore water pressures reduce the effective cohesion of a  
635 soil; Thorne 1991).

636 Although the average thickness of barforms and channel fills shows limited change across the  
637 stratigraphy, a significant increase in thickness variability is seen across the members 1 and 2/3,  
638 which could signify more variable channel-forming water discharge during the PETM interval.

639 Again, in view of the multi-storey and multi-lateral character of many channel complexes in the  
640 members 2 and 3, this may be related to the development of a network of variably sized channels  
641 within the braidplain setting envisaged by Schmitz & Pujalte (2007) and Dreyer (1993).

642 Indicative values of mean dune height and formative flow depth can be derived from cross-set  
643 thickness distributions of cross-stratified sandstones using existing empirical relationships (Allen  
644 1970; Bridge & Tye 2000; Leclair & Bridge 2001): this approach returns estimated mean bankfull  
645 depths of 4.0 m for the Esplugafreda Formation, 3.6 m for the member 1, and 5.2 m for the  
646 members 2/3. However, the coefficient of variation of cross-set thickness suggests that the  
647 empirical relationships used are unreliable in application to the Claret Formation dataset (cf. Bridge  
648 & Tye 2000), and hence results, which would suggest increased bankfull depth during the PETM,  
649 are uncertain.

650 A significant change in the facies organization of channel deposits is recorded across the transition  
651 between the Esplugafreda and Claret formations. The facies associations and sedimentary  
652 characteristics of the Esplugafreda Formation channel bodies have been interpreted to be typical  
653 of a system subject to an ephemeral discharge regime (Dreyer 1993): the Esplugafreda Formation  
654 channel complexes are characterized by pause planes, a dominantly aggradational channel-fill  
655 style connected with lack of evident barform development, and a relatively high proportion of plane-  
656 bedded or low-angle cross-stratified sandstones (Sh, SI), which can be related to transcritical to  
657 supercritical flow conditions (Fielding 2006). A shift from ephemeral to more perennial conditions  
658 may be recorded at the transition between the Esplugafreda and Claret formations (cf. Dreyer  
659 1993), as evidenced by decreased frequency of pause planes (which appear to be absent from

660 member 2), enhanced bar-form development, increase in the presence of structures relating to  
661 two- and three-dimensional dunes, and observation of cross-set thickness of sandy units being  
662 less variable and on average thicker – which may reflect dune height increase from the upper-  
663 stage plane bed to the dune stability field (Leclair & Bridge 2001). However, proportions of facies-  
664 unit types within the Claret Formation appear to vary little when the member 1 and the members 2  
665 and 3 are compared: this suggests that the most significant change in channel-filling processes  
666 may have predated the onset of the PETM, and that the Garumnian system evolved relatively little  
667 in terms of in-channel depositional processes at the PETM compared to its immediate past. Based  
668 on inferences regarding the span of time embodied by the member 1 valley fills (i.e., in the order of  
669  $10^4$  yr; Pujalte et al. 2014), the change in fluvial-channel facies observed across the Esplugafreda  
670 Formation and the member 1, and the concurrent change in palaeosol characteristics, might  
671 represent a response to the warming trend that is thought to have immediately preceded the  
672 carbon release recorded in the CIE (Secord et al. 2010; cf. Bowen et al. 2015).

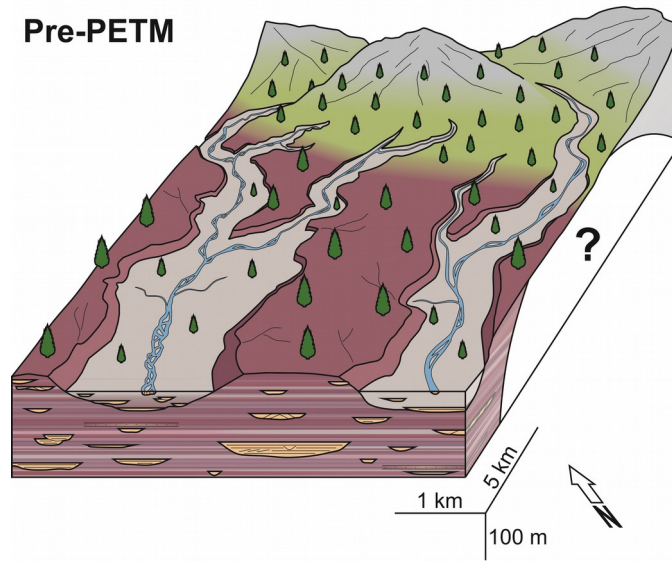
673 Also, in contrast to what is stated by Schmitz & Pujalte (2007), no dramatic change is observed in  
674 the distribution of maximum extraclast size in channel fills and barforms across the Claret  
675 Formation members 2 and 3, relative to the pre-PETM member 1, suggesting that the Garumnian  
676 streams in the Arén area did not undergo any major variation in flow competence through the  
677 Paleocene-Eocene boundary. This is not entirely unexpected given that the member 1 channel  
678 complexes sit inside base-level-controlled incised-valley fills (Pujalte et al. 2014), and were  
679 therefore probably associated with a higher gradient than the member 2 and 3 channel complexes.  
680 Thus, the most evident architectural change across the PETM is in the degree of channel-body  
681 amalgamation, which can be related to both intra- and extra-basinal factors on formative-channel  
682 network characteristics (number of active channels, channel pattern) and mobility (in relation to  
683 channel and bank characteristics).

684 Variations in sediment supply calibre and rate may be associated with climate-driven changes in  
685 weathering mechanisms, rates and erodibility. A scenario of transient PETM wetting seems in

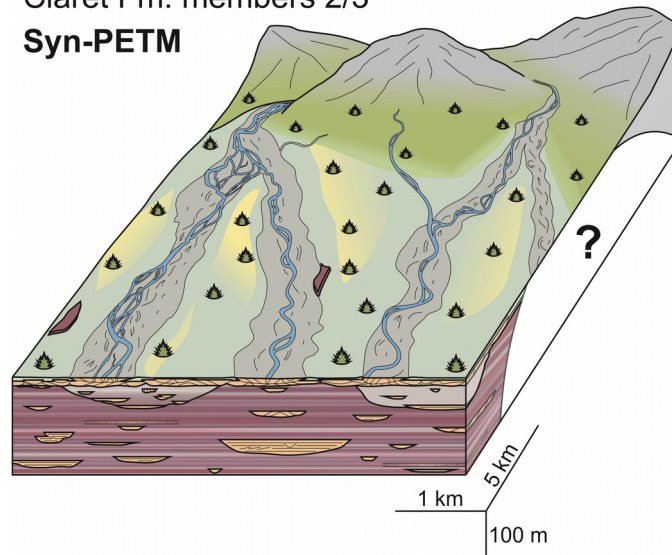
686 accord with the presence of grey low-chroma deposits with coaly fragments in parts of the member  
687 2 of the Claret Formation, transitional upwards to the yellowish palaeosol of member 3, and  
688 possibly representing the product of gleying related to waterlogged conditions connected to a  
689 water-table rise of climatic origin; however, the first occurrence of grey palaeosols in the study  
690 interval is recognized in the valley fills of the member 1. Intensified land erosion related to both  
691 seasonal extreme precipitation and sparser vegetation cover was postulated by Schmitz & Pujalte  
692 (2003; cf. Schmitz et al. 2001), in part on the basis of increased accumulation rates of terrigenous  
693 detritus in marine strata that are correlative to the members 2 and 3. It is significant that, whereas  
694 member 1 of the Claret Formation comprises deposits that onlap the palaeotopography that marks  
695 the base of member 1 itself, the same palaeotopography appears instead to be sharply truncated  
696 by the base of member 2, as evident near the palaeovalley margins and expressed in the  
697 horizontal continuity of the Claret Conglomerate (figure 6E). The relationship between the  
698 interfluves of the pre-PETM valleys and the PETM deposits, and in particular the geometry of the  
699 contact, have been previously described (Baceta et al. 2011; Pujalte et al. 2014), but its  
700 significance has been in part overlooked. The planation of the interfluves can be inferred to have  
701 occurred immediately prior to, or penecontemporaneously with, accumulation of member 2 (figure  
702 13); this suggests either an increase in the erodibility of the interfluves, or in the erosive power of  
703 the Garumnian streams, at the onset of the PETM. The rapid erosional demolition of the interfluves  
704 would account for the increase in the rate of supply of fine-grained terrigenous sediment and  
705 progradation of clastic facies belts that are inferred to have occurred basinwide (Pujalte et al. 2015;  
706 2016). The availability of sediment from neighbouring interfluves, together with the proximity of the  
707 drainage areas, might have resulted in a limited lag time between PETM onset and response of the  
708 river system in the Arén sector (cf. Manners et al. 2013; 2014; Pujalte & Schmitz 2014). Greater  
709 delivery of coarse-grained sediment to the Garumnian streams during the PETM may have  
710 enhanced channel erosive power and flow splitting and accelerated in-channel deposition, thereby  
711 increasing channel instability. However, it is unclear whether increased river mobility drove an

712 increase in sediment supply by eroding interfluvial areas, or rather the increased sediment yield  
713 determined faster river mobility that in turn favoured interfluvial erosion. Additionally, the onset of  
714 seasonality in water discharge advocated by Schmitz & Pujalte (2003) could also be considered in  
715 relation to its control on avulsion frequency (cf. Jones & Schumm 1999; Leier et al. 2005; Plink-  
716 Björklund 2015). However, sedimentological evidence indicates that if a more peaked discharge  
717 regime characterized by high-magnitude events existed for the PETM, this left no distinctive  
718 signature in the lithofacies of channel deposits (e.g., in the form of variations in proportions of  
719 sedimentary structures that may represent the record of conditions transitional to or within the  
720 upper flow-regime, thought to be frequent under seasonal climates; cf. Fielding 2006; Fielding et al.  
721 2009; Plink-Björklund 2015), and was likely established on a background of more perennial base  
722 discharge for the Claret Formation rivers as compared to rivers of the Esplugafreda Formation.  
723

Claret Fm. member 1  
Pre-PETM



Claret Fm. members 2/3  
Syn-PETM



725 **Figure 13:** block diagrams that illustrate the interpreted palaeogeography for the pre- and syn-PETM  
 726 intervals of the Claret Formation in the Arén area, and how the geomorphic evolution of the system across  
 727 the PETM is now expressed in the stratigraphic architecture of the succession. Note the rapid transition from  
 728 a stage of valley backfilling to erosional demolition of valley interfluvies and widespread aggradation.  
 729  
 730 In terms of intra-basinal controls, a reduction in stream-bank stability may have resulted in  
 731 response to positive pore water pressures, which reduce soil effective cohesion, or to sparser

732 riparian vegetation. Inferences of increased channel instability and change in dominant planform  
733 morphology for the Garumnian streams at the PETM is compatible with the vegetation changeover  
734 indicated by the palynological record of the Basque Basin catchments, and consisting in the  
735 inferred replacement of permanent conifer forests with a seasonal cover (Schmitz et al. 2001).

736

#### 737 Comparison with other fluvial systems

738 Other continental successions that contain a record of the PETM and of the response of mid-  
739 latitude river systems to the event are recognized in the Piceance and Bighorn basins, USA (Koch  
740 et al. 1995; Bowen et al. 2001; Burger 2012; Foreman et al. 2012). Although a detailed analysis is  
741 beyond the scope of this work, detecting commonalities between these depositional systems is  
742 useful for assessing whether variations in sedimentary architecture observed through the PETM in  
743 the Tresp-Graus Basin might reflect global or local environmental change. It is particularly  
744 significant that increased channel-body density is also seen in both the Piceance and Bighorn  
745 basins in intervals that correspond to or contain the PETM, and that lag times in the responses are  
746 similarly identified, albeit of variable duration (Foreman et al. 2012; Foreman 2014). Recognition of  
747 this particular stratigraphic signature in the different basins may reflect similar responses of fluvial  
748 landscapes and associated geomorphic processes to analogous climate-driven environmental  
749 change. Whereas a similar evolution is seen in terms of channel-body density and geometries in  
750 the Tresp-Graus, Piceance and Bighorn basins, data on facies organization (grainsize,  
751 sedimentary structures) indicate that the response of channel-filling processes to the PETM was  
752 variable in terms of both magnitude of change and type of depositional processes involved (cf.  
753 Foreman et al. 2012; Foreman 2014). This fact suggests that if a common control determined the  
754 emergence of similar large-scale stratigraphic trends, this should be a factor that dominates in  
755 controlling river mobility and size, but may be overridden by other processes in controlling  
756 depositional mechanisms. Given the current knowledge of basin histories, as constrained by  
757 available proxies on tectonic, climatic, and sea-level controls, a number of potential factors may

758 have plausibly determined an increase in the mobility, number and/or size of fluvial channels at the  
759 PETM across all these systems: hydrological change, increased clastic influx, and variations in  
760 type and density of vegetation cover – which are themselves partially inter-related.

761

## 762 **Conclusions**

763 A quantitative sedimentological analysis has been carried out on outcrops of sedimentary deposits  
764 of the Tremp-Graus Basin to assess the geomorphic response of an alluvial system to environ-  
765 mental change through the PETM. Outcrops in the Arén area offer insight into the geomorphic  
766 change of a fluvial landscape characterized by a complex topography before the PETM. As previ-  
767 ously recognized, the onset of the PETM marks a transition between a phase of deposition within  
768 the confines of a valley network to a phase of widespread aggradation; this transition is here inter-  
769 preted to be caused by the rapid destruction of valley interfluves by syn-PETM streams, rather than  
770 by complete valley backfilling. This inference is compatible with scenarios of increased substrate  
771 erodibility or increased erosive power of the streams, at the PETM, and will likely have resulted in,  
772 and might in part have been determined by, higher rates of sediment supply. Whereas the propor-  
773 tion, thickness and width of fluvial channel complexes is seen to increase through the PETM strati-  
774 graphy, the thickness and maximum grain size of channel fills and barforms does not change signi-  
775 ficantly, suggesting limited variations in maximum bankfull depth and competence of fluvial chan-  
776 nels, and indicating that the observed channel-body amalgamation might be due to higher rates of  
777 lateral migration, higher avulsion frequency, or development of a braided network. Recognition of  
778 this particular stratigraphic signature may reflect a response of the fluvial landscape and processes  
779 to types of climate-driven environmental change in accordance with what has previously been sug-  
780 gested for this basin, i.e., in relation to enhanced hydrological cycle, increased seasonality, and ve-  
781 getation loss. However, the facies organization of syn-PETM channel deposits of the Claret Forma-  
782 tion does not appear to be significantly different from that seen in the immediately preceding pre-  
783 PETM channel bodies of member 1.

784 Because the analysed characteristics of fluvial sedimentary architecture represent a record of river  
785 processes and landforms, the studied succession might represent an analogue with which to pre-  
786 dict the geomorphic metamorphosis of river systems in certain mid-latitude regions under condi-  
787 tions of rapid global warming. However, further research on the Tresp Group is required to better  
788 constrain the relative importance of specific factors and to elucidate the behaviour of the river net-  
789 work at the scale of the entire basin.

790

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799

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