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**Article:**

Sherlock, Mark, Brodrick, Jonathan Peter and Ridgers, Christopher Paul orcid.org/0000-0002-4078-0887 (2017) A comparison of non-local electron transport models for laser-plasmas relevant to inertial confinement fusion. *Physics of Plasmas*. pp. 1-10. ISSN: 1089-7674

<https://doi.org/10.1063/1.4986095>

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# A comparison of non-local electron transport models for laser-plasmas relevant to inertial confinement fusion

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(Dated: 1st Nov 2016)

We compare the reduced non-local electron transport model developed by Schurtz *et al.* (Phys. Plasmas 7, 4238 (2000)) to Vlasov-Fokker-Planck simulations. Two new test cases are considered: the propagation of a heat wave through a high density region into a lower density gas, and a 1-dimensional hohlraum ablation problem. We find the reduced model reproduces the peak heat flux well in the ablation region but significantly over-predicts the coronal preheat. The suitability of the reduced model for computing non-local transport effects other than thermal conductivity is considered by comparing the computed distribution function to the Vlasov-Fokker-Planck distribution function. It is shown that even when the reduced model reproduces the correct heat flux, the distribution function is significantly different to the Vlasov-Fokker-Planck prediction. Two simple modifications are considered which improve agreement between models in the coronal region.

## I. INTRODUCTION

In laser-produced plasmas relevant to Inertial Confinement Fusion (ICF) the electron temperature gradients are often so strong that the scale-length of the temperature variation becomes comparable to the mean-free-path of the electrons which transport thermal energy. As a result, the heat flux can not be determined by the local conditions in the plasma and the finite electron mean-free-path must be taken into account (i.e. the thermal energy transport across the gradient is no longer purely diffusive). In these situations, the heat flux can be calculated with Vlasov-Fokker-Planck (VFP) models [1], which resolve the motion, scattering and acceleration of electrons, but with much greater computational cost in comparison to local thermal transport models.

A widely used alternative to the full VFP models is the reduced multi-group model proposed by Schurtz, Nicolaï and Busquet (the “SNB” model) [2]. Marrocchino *et al.* have also compared the SNB model to a VFP model which retains higher order terms in the expanded distribution function [3]. The purpose of this paper is to compare the SNB model to two further test cases relevant to ICF: (1) a “burn-through” problem in which a heat-front breaks out of a high density region into a lower density gas and (2) a physically realistic simulation of a laser-ablated plasma over hydrodynamic time-scales. These scenarios are typically more challenging for Vlasov-Fokker-Planck simulations codes (than the usual hotspot relaxation problems studied previously) due to the presence of large density gradients.

We are also interested in checking the applicability of SNB-like models to study transport effects other than heat flow, for example non-local Nernst advection of

magnetic field and non-local corrections to electron Landau damping rates (which are important for determining Stimulated Raman Scattering gain spectra). These effects rely on a correct representation of the electron distribution function, so we also present some comparisons of the SNB and VFP distribution functions for the simple case of a linear temperature gradient. We find that even when the SNB model predicts the correct heat flow, it may not predict the correct underlying electron distribution function (somewhat paradoxically).

## II. COMPUTATIONAL MODELS

The VFP model used in this paper (“K2”) solves the Vlasov-Fokker-Planck equation for the electron distribution function  $f(t, x, y, p_x, p_y, p_z) = \sum_{n,m} f_n^m(t, x, y, p) Y_n^m(\theta, \varphi)$  expanded in spherical harmonics in momentum-space  $(p, \theta, \varphi)$  to arbitrary order. The details of the K2 model are described in the Appendix, here we give a brief overview. The electron VFP equation is coupled to the equations of radiation hydrodynamics which account for ion motion, PdV work, electron-ion equilibration and radiative cooling. These effects are coupled to the electron VFP equation via heating and cooling operators, and the electron distribution function is advected with the ion background to maintain quasineutrality. The electron VFP part of the model accounts for thermal transport, including the determination of a self-consistent electric field and return current. Laser absorption is modelled by solving a simple ray equation, with the heating of electrons determined by an inverse-bremsstrahlung heating operator (which accounts for the distortion of the electron distribution function due to electron oscillation in the laser field).

We use the iterative/implicit algorithm proposed by

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Cao et al [11] to solve the SNB multi-group energy transport equations, with only two iteration cycles. We see no significant difference in results when using more iteration cycles in a few test runs, and this is probably due to the fact that the timestep used is significantly shorter than typical hydrodynamic simulation timesteps (as we resolve the electron-ion collision frequency). We have developed two SNB models independently and benchmarked them against each other, for a number of test cases.

Our implementation of the SNB model contains a subtle modification to the mean-free-path which is different to that in the original model. For a particle with energy  $\epsilon_g$  (where “g” is the energy group index), we use the geometrically averaged mean-free-path  $\lambda_g = 2\sqrt{2}(\epsilon_g/T_e)^2 \lambda_0$ , where (in cgs units):

$$\lambda_0 = \frac{T_e^2}{4\pi n_e \sqrt{Z} e^4 \ln \Lambda} \frac{1}{\sqrt{\phi}} \quad (1)$$

and  $\phi = (Z^* + 4.2) / (Z^* + 0.24)$ . Our definition can be compared to Equation 23 in the original SNB model [2]. We find that use of this mean-free-path improves agreement between the SNB and VFP models in high-Z plasmas. See [14] for a full discussion of this choice of mean-free-path (in the notation used in [14], our model is equivalent to the choice of “r=2”). The reason for this modification stems from the fact that the original SNB model employs a Krook electron-electron collision operator. Since a Krook operator is only an approximation to the Fokker-Planck operator, there is some degree of freedom in choosing the exact form of the collision frequency. The factor  $\phi$ , originally introduced in [15], is a common feature in VFP simulation models, allowing them to reproduce Spitzer’s thermal conductivity in the low-Z limit without the need for an anisotropic collision operator. This allows the SNB model to more accurately account for the effect of electron-electron collisions in the non-local contribution to the heat flow.

All of the following test problems use  $f_0$  and  $f_1$  only (i.e. higher order harmonics are not included), except those in section IV, which also include  $f_2$ . We verified in each case that the inclusion of higher order terms did not produce any significant differences in the results. Although the code is 2-dimensional, the test cases considered in this paper are 1-dimensional (and only require a Legendre expansion in momentum-space). Note that the same Coulomb logarithm is used in both the SNB model and the K2 VFP model in each simulation (see below).

### III. SIMPLE HEAT-BATH PROBLEM

We are interested in comparing the electron velocity distribution function (EDF) in the SNB model to that given by the K2 VFP model, as this gives us insight into how useful the SNB model could be in determining transport effects other than non-local heat flow in the unmagnetized regime. For example, heat flow in

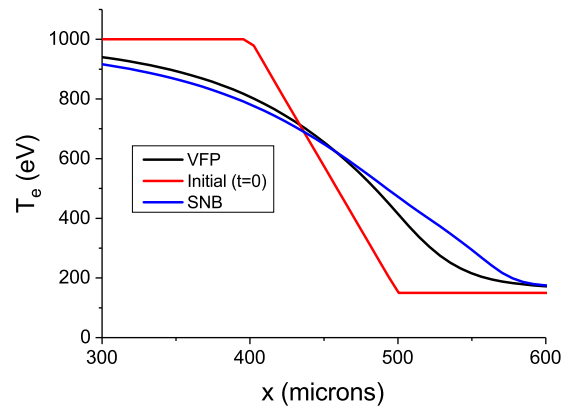


Figure 1. *Heat Bath Problem*: The electron temperatures at  $t=80$ ps. The initial temperature profile is also shown. (Note that the simulation box extends from  $0\mu m$  to  $700\mu m$ , but we reduced the range of the x-axis in the plot to allow the gradient’s features to be more visible).

magnetized plasmas involves thermoelectric coefficients which are not the same velocity moments of the EDF as the thermal conductivity coefficients, and the damping of electrostatic waves is determined by the shape of the EDF near the wave phase velocity. These thermoelectric coefficients, along with other moments of the EDF, also contribute to the electric field (which in turn affects the magnetic field) through an effective Ohm’s Law.

Our first test case is a simple non-linear heat-bath problem, in which the initial temperature (plotted in Figure 1) is  $1000\text{eV}$  for  $x < 400\mu m$ , with a linear ramp over  $100\mu m$  down to  $100\text{eV}$  (over 100 computational spatial cells). The typical ratio of the electron mean-free-path to temperature-gradient scale-length is  $\lambda_{ei}/L_T \approx 0.013$ . The total computational box size is  $700\mu m$ . The electron density and charge state are fixed at  $2.47 \times 10^{26} m^{-3}$ ,  $Z^* = 50$ , and the coulomb logarithm was held fixed throughout,  $\log \Lambda = 7.1$ . The relatively high value of  $Z^*$  was chosen to compliment the comparisons in [3] (which used the values  $Z^* = 1, 2, 4$ ). We plot the electron temperatures after 80ps in Figure 1 and the VFP heat flux is compared to the SNB heat flux in Figure 2, showing reasonably good agreement for the characteristic reduction of the peak relative to Spitzer. In this test-case, we do not include harmonics above  $f_1$  ( $f_2$  is set to zero). Simulations including  $f_2$  do not show any significant differences in the heat flux. The temperature profiles in Figure 1 indicate that the SNB model tends to overpredict the preheating. This type of simulation comparison is not new (hotspot relaxation was simulated and compared to SNB in [3]), but we address the question of how well the SNB model predicts the energy distribution of heat-flux-carrying electrons in simple scenarios such as this.

The distribution of heat flux for this test case,  $dq_x \propto v^5 f_1(v)$ , is plotted in Figure 3 at  $x = 500\mu m$ , where

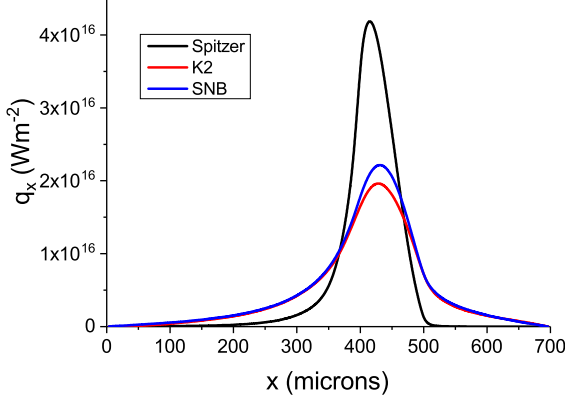


Figure 2. *Heat Bath Problem*: The electron heat fluxes at 10ps.

the K2 and SNB heat fluxes are in very good agreement. However, the underlying distributions that give rise to this heat flux are significantly different. This indicates some modifications to the SNB model may be required in order for it to reproduce other aspects of transport (mentioned above). In particular, we note the heat flux according to K2 is carried by higher energy electrons on average, and K2 predicts a significant return heat flux (associated with the return current). Although return electrons do not contribute significantly to delocalization, their population is enhanced when the heat flux exceeds the local value due to the enhanced current carried by the energetic forward electrons.

In the original paper [2], no way of recovering  $f_1$  is given explicitly. In general, if  $f_1$  is known, the heat flux can be calculated with the following relation:

$$\mathbf{q} = \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} \int_0^\infty f_1(v) v^5 dv \quad (2)$$

where  $m$  is the electron mass and  $v$  the velocity magnitude. This integral is numerically represented as a sum:

$$\mathbf{q} = \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} \sum_g f_1(v_g) v_g^5 \Delta v_g \quad (3)$$

(where  $g$  numbers the velocity group  $v_g$  and  $\Delta v_g$  is the velocity bin size of group  $g$ ). The SNB model expresses the heat flux,  $\mathbf{q}_{SNB}$ , in terms of the Spitzer value ( $\mathbf{q}_{SH}$ ) and a non-local correction:

$$\mathbf{q}_{SNB} = \mathbf{q}_{SH} - \sum_g \frac{\lambda'_g}{3} \nabla H_g \quad (4)$$

where  $H_g$  is the principle function to be solved for in the SNB model, defined in Equation 27 of [2], and  $\lambda'_g$  is

the electron mean-free-path of energy group  $g$ , defined in Equation 23 of [2] (and adjusted in our formulation, as mentioned in the previous chapter).

The Spitzer heat flux  $\mathbf{q}_{SH}$  appearing in the above equation can be expressed in terms of the Spitzer expression for  $f_1$  (denoted  $f_1^{mb}$ ) as:

$$\mathbf{q}_{SH} = \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} \int_0^\infty f_1^{mb}(v) v^5 dv \quad (5)$$

where

$$f_1^{mb}(v) = \frac{v}{3\nu_{ei}(v)} \left\{ \frac{mv^2}{2T_e} - 4 \right\} f_0^{mb}(v) \frac{\nabla T_e}{T_e} \quad (6)$$

In the above,  $T_e$  is the electron temperature,  $f_0^{mb}(v)$  is the Maxwell-Boltzmann distribution and  $\nu_{ei}$  is the electron-ion collision frequency[15].

Again, the integral in Equation 5 is represented numerically as a sum:

$$\mathbf{q}_{SH} = \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} \sum_g f_1^{mb}(v_g) v_g^5 \Delta v_g \quad (7)$$

This expression can be substituted into Equation 4, allowing us to rewrite it as:

$$\mathbf{q}_{SNB} = \sum_g \left( \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} f_1^{mb}(v_g) v_g^5 \Delta v_g - \frac{\lambda'_g}{3} \nabla H_g \right) \quad (8)$$

In order to find an expression for  $f_1$  according to the SNB model, we note that Equations 8 and 3 refer to the same heat flux and can therefore be equated:

$$= \sum_g \left( \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} f_1(v_g) v_g^5 \Delta v_g - \frac{\lambda'_g}{3} \nabla H_g \right) \quad (9)$$

and we therefore infer a possible definition for  $f_1(v_g)$  could be the expression:

$$= \hat{\mathbf{x}} \frac{4\pi}{3} \frac{m}{2} f_1(v_g) v_g^5 \Delta v_g - \frac{\lambda'_g}{3} \nabla H_g \quad (10)$$

In other words, we have assumed that the quantity which is integrated to get the heat flux is  $\propto f_1 v^5$ . This definition for  $f_1$  is consistent with the Vlasov-Fokker-Planck derivation of the SNB equations, which give  $\hat{\mathbf{x}} \nu'_{ei} \Delta f_1 = -v \nabla (\Delta f_0) / 3$  (see Equation 56 of [2] and the text preceding it).

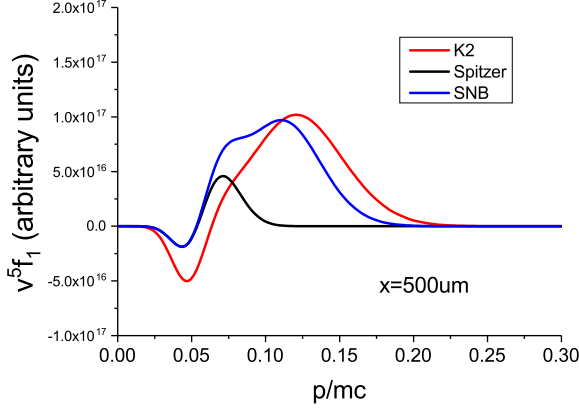


Figure 3. *Heat Bath Problem*: The “heat flux distribution function”,  $dq_x \propto v^5 f_1(v)$ , at  $x = 500\mu\text{m}$  and  $t = 10\text{ps}$ , which indicates how the heat flux is carried by each velocity group. Although K2 and the SNB model predict the same total heat flux, the underlying distribution function is different.

#### IV. BURN-THROUGH PROBLEM

In this test case we consider a thin “target” with peak density  $= 2 \times 10^{28} \text{m}^{-3}$  ( $\sim 2 \times n_{\text{crit}}$  for  $3\omega$  light) which is heated on the RHS by a laser ( $0.35\mu\text{m}$  wavelength), with a linear 1ns rise time up to a peak intensity of  $10^{15} \text{Wcm}^{-2}$ . On the LHS of the target, the electron density drops to a constant low value  $= 1.45 \times 10^{26} \text{m}^{-3}$ , representing a gas fill. The density is held fixed throughout the simulation (hydrodynamic motion is turned off). The initial electron temperature was  $50\text{eV}$ , and the coulomb logarithm  $\log \Lambda = 7.1$  and charge state  $Z^* = 2$  were held fixed throughout the simulation. Harmonics up to and including  $f_2$  were used in this test case. The initial density profile is shown in Figure 4, along with the temperature (according to K2) at  $70\text{ps}$ , showing the hot coronal plasma on the RHS. Again we use 100 computational spatial cells.

The temperatures according to K2 and the SNB model are plotted at  $170\text{ps}$  (after the heat front has penetrated the shell and entered the gas) in Figure 5.

The SNB model overpredicts the preheat of the shell (similarly to the “linear ramp” test-case), as is evident from the increased temperature at  $x \approx 220\mu\text{m}$ . The initial preheat of the gas, close to the gas-shell interface at  $x \approx 170\mu\text{m}$ , is also overpredicted by the SNB model. The dip in temperature at  $x \approx 200\mu\text{m}$ , apparent in both models, is due to hot electrons traversing this region without depositing much energy. Interestingly, the K2 model predicts enhanced long-range heating of the gas (in the region  $x \lesssim 100\mu\text{m}$ ), probably indicating a breakdown of diffusive transport. This is consistent with the fact that we find  $f_2$  makes significant contributions to the heat flux in this region, which will allow for a strong departure from equilibrium. As a result of this, the heat flux

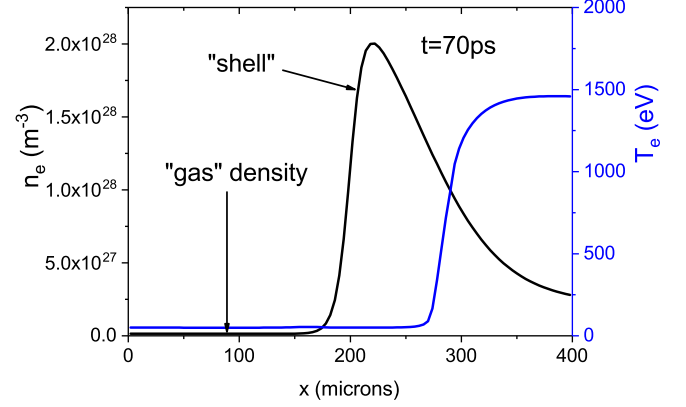


Figure 4. *Burn-Through Problem*: The initial density profile and electron temperature (according to the K2 code) at  $t = 70\text{ps}$ .

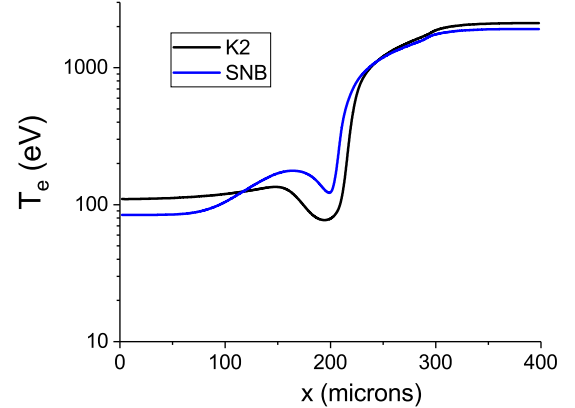


Figure 5. *Burn-Through Problem*: The temperatures at  $t = 170\text{ps}$  (after the heat front has penetrated the shell and entered the gas region). The SNB model overpredicts the preheat of the shell, and the initial preheat close to the gas-shell interface, but it underpredicts the long-range heating of the gas. The initial temperature was  $50\text{eV}$ .

in this region ( $x \approx 100\mu\text{m}$ ) is about twice as large as that predicted by the SNB model (at  $t = 170\text{ps}$ ). Contributions from  $f_3$  have been checked-for and found to be negligible.

Although this test case bears many similarities with the ICF scenario of capsule gas preheat, we caution against drawing too many conclusions regarding their similarity because the two scenarios differ in important ways: (a) the ICF shell has a much higher  $\rho R$ , so the spectrum of hot electrons (generated by LPI in the corona) that reach the gas may be different, and (b) the gas is initially much colder than in our simulation, so a Fokker-Planck/Spitzer transport treatment is not valid (which is the reason we did not choose to tackle

the full problem with our models). However, this problem represents an important benchmark for reduced non-local models, and highlights the interesting discrepancy in both short- and long-range heating.

## V. HOHLRAUM ABLATION PROBLEM

In this test case, we consider the ablation of a Au-like wall next to a He gas, including hydrodynamics. We use an artificial material to represent the Au wall, which has a reduced solid mass density of  $3.9g/cc$  (to enable us to run with a shorter timestep) and the ionization state is artificially capped at  $Z^* \leq 40$  (which allows us to take into account the fact that the Thomas-Fermi ionization level is too high compared to non-LTE models). The solid Au material initially occupies the region  $x < 100\mu m$ , and He occupies the region  $100 \leq x \leq 700\mu m$ . The laser pulse shape is shown in Figure 6. The hydrodynamic part of the K2 model is run alone (i.e. with VFP and SNB transport turned off and Spitzer transport turned on) for the first 1500ps to produce realistic density and temperature conditions (shown in Figure 7), after which we allow the hydrodynamics to evolve with the heat flux calculated from the VFP solver. The SNB heat flux and temperatures are self-consistently evolved separately from the VFP temperature, although both “temperature” models use the same hydrodynamics heating/cooling terms (PdV work, radiation cooling etc). The previous test cases were somewhat artificial/simplified in their design - here we attempt to give an indication of how the models compare in a more realistic scenario. This scenario is similar to the 2D test case compared against in the original SNB work (taken from Epperlein’s work[12]), except we include the effects of hydrodynamic motion, electron-ion equilibration, ionization and radiation cooling etc, and simulate the Au-gas interface and longer pulse lengths, which should be more directly relevant to hohlraum transport. Since simulations in this section are designed to be more physically realistic than the previous cases, the Coulomb logarithm is calculated locally at each time-step using the NRL prescription [13].

The heat flux profiles are compared at  $t=1640ps$  (i.e. 140ps after kinetic effects were turned on) in Figure 8. The SNB model predicts the peak heat flux very well near the ablation surface ( $x \approx 110\mu m$ ), but overpredicts the heat flux into the corona by a factor of  $\approx 2$ . We find this type of behavior persists over 100’s of picoseconds. The overestimation of heat flux in coronal regions was predicted and commented on by Schurtz *et al.* in their original paper[2] and also observed in [14].

The coronal (He gas) temperature in this simulation is relatively low ( $\sim 2keV$ ), so we also performed a simulation over a longer timescale and at higher laser intensity ( $\sim 4ns$  foot, peaking at  $\sim 10^{15}Wcm^{-2}$ ), giving typical peak gas temperatures  $\sim 3.5keV$ . The initial conditions for the K2 simulation (i.e. just after the thermal transport is switched from the hydrodynamic model to the

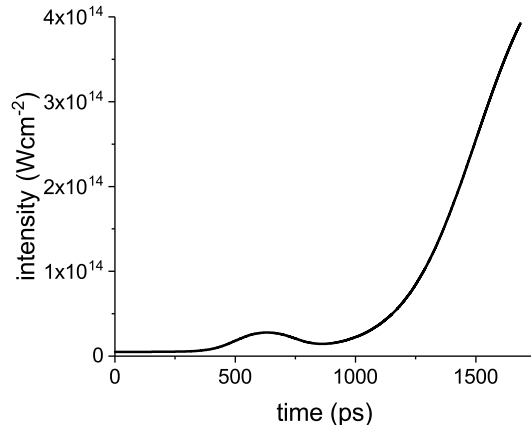


Figure 6. *Hohlraum Ablation Problem*: The laser pulse shape for the hohlraum ablation test case.

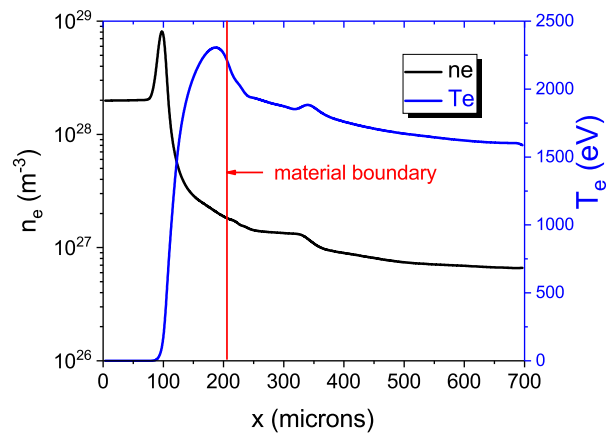


Figure 7. *Hohlraum Ablation Problem*: The density and temperature profiles at the time ( $t=1500ps$ ) at which the VFP thermal transport model is turned on in the K2 code (for the hohlraum ablation test case). This represents the initial conditions (as provided by pure radiation-hydrodynamics) for the study of thermal transport with the SNB and VFP models. The vertical line shows the location of the Au-He boundary (He is on the right).

Fokker-Planck model) in this case are shown in Figure 9. In this case, we find reasonable agreement between the K2 and SNB heat fluxes at the ablation surface (the SNB peak ablation heat flux is around 10-20% higher than K2 throughout the simulation), though not as good as at lower laser intensity (see Figure 10). Again, the peak heat flux into the corona predicted by K2 is a factor of  $\approx 2$  lower than the SNB prediction.

In all hohlraum-relevant cases, we find the peak flux is typically  $\approx 0.035$  of the free-streaming value, as illustrated in Figure 11 (also at  $t=5300ps$ ). However, we caution against interpreting the value of  $q/q_{FS}$  as corresponding to the value of the flux-limiter that could be

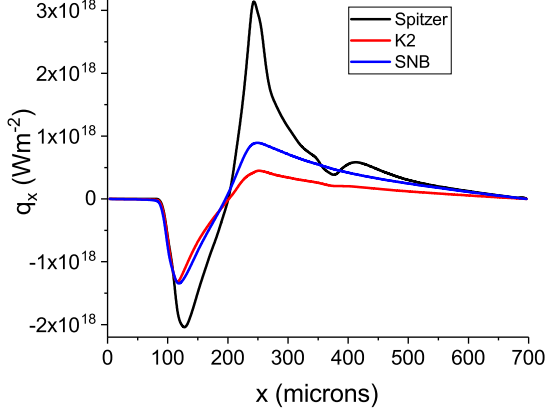


Figure 8. *Hohlraum Ablation Problem*: The heat fluxes at  $t=1640\text{ps}$ . The SNB model predicts the peak flux very well near the ablation surface, but overpredicts the flux into the corona.

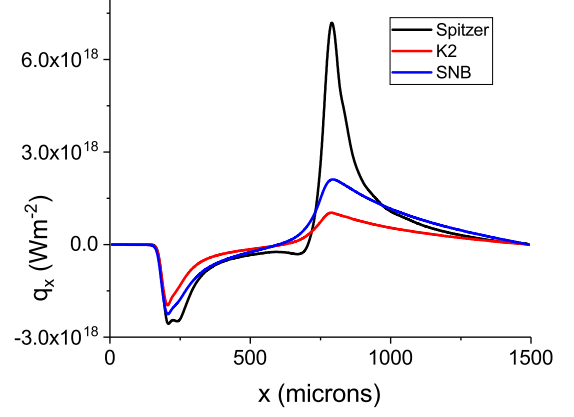


Figure 10. *Hohlraum Ablation Problem (higher intensity)*: The heat fluxes at  $t=5300\text{ps}$ . The SNB model predicts the peak flux reasonably well near the ablation surface, but overpredicts the flux into the corona by a factor of around 2.

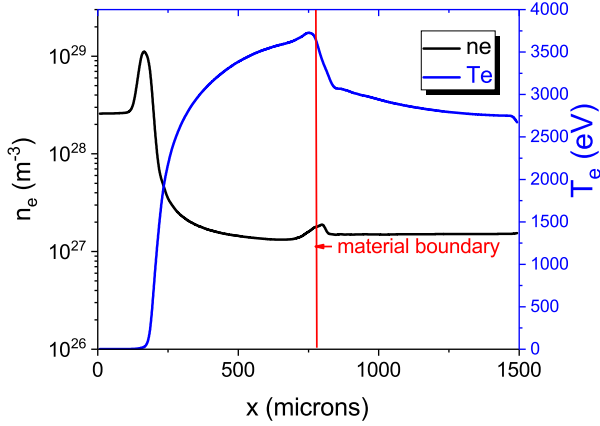


Figure 9. *Hohlraum Ablation Problem (higher intensity)*: The density and temperature profiles at the time ( $t=5160\text{ps}$ ) at which the VFP thermal transport model is turned on in the K2 code (for the higher-intensity hohlraum ablation test case). This represents the initial conditions (as provided by pure radiation-hydrodynamics) for the study of thermal transport with the SNB and VFP models. The vertical line shows the location of the Au-He boundary (He is on the right). The laser intensity has a  $\sim 4\text{ns}$  foot, peaking at  $\sim 10^{15}\text{Wcm}^{-2}$  at  $t = 5\text{ns}$ .

used with a flux-limited Spitzer model (necessary to reproduce the actual VFP heat flux). We also mention in passing that we have postprocessed data from fully integrated 2D radiation-hydrodynamics simulations of NIF-scale hohlraums and find there that the peak flux may be a higher fraction of the free-streaming value than the factor 0.035 in these simulations.

Note that in this section we computed the SNB heat flux from the VFP temperature profile, since the aim

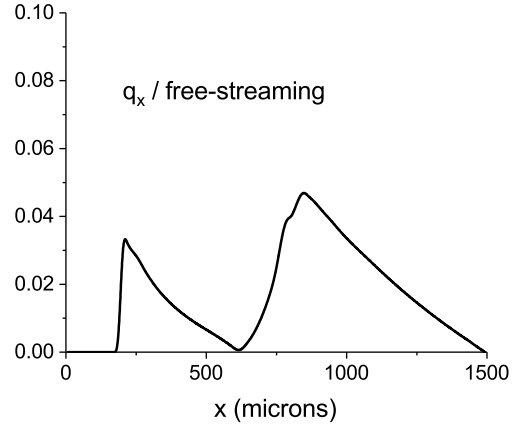


Figure 11. *Hohlraum Ablation Problem (higher intensity)*: The ratio of the VFP heat flux to the free-streaming value ( $q/q_{FS}$ ), at  $t=5300\text{ps}$ .

was to focus on the difference between the predicted heat fluxes for a given temperature and density profile. In the next section we explore how the heat fluxes actually affect the temperature profiles.

## VI. INLINE COMPARISON

We showed in the previous section that in hot hohlraums, the SNB peak heat flux near the ablation surface is overpredicted by 10-20%. However, simply computing heat fluxes from given temperature profiles may not be a fair comparison of how well the SNB model performs when run inline (compared to an inline simulation with VFP). The argument can be made that if the SNB

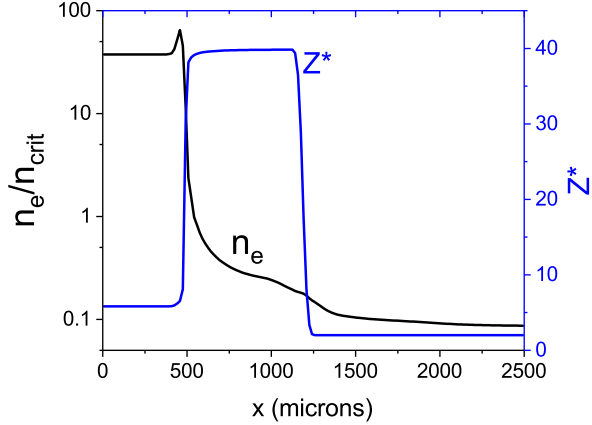


Figure 12. The electron density (in units of critical density for  $3\omega$  light) and ionization state profiles at  $t=11.8\text{ns}$  for the inline hohlraum VFP and SNB simulations.

model overpredicts the heat flux in a region, the temperature will drop there at a faster rate, which will in turn lead to a reduction in the local temperature gradient and hence a reduction in the heat flux. Therefore small errors in the model may be “self-correcting”. To explore this possibility we have made a comparison between fully inline SNB and VFP (by “inline” we mean that the heat flux is used to update the temperature during the simulation). For this case we freeze the hydrodynamic motion after a significant amount of ablation has occurred, resulting in the density profile shown in Figure 12. After hydrodynamic motion is turned off, the laser continues to heat the plasma which then evolves to an approximately steady-state via a combination of thermal transport and radiative cooling over  $\sim 100\text{ps}$ . The corona becomes hot enough in this steady-state ( $\sim 5\text{keV}$ ) to drive significant non-local transport.

The temperature profiles corresponding to this steady-state are shown in Figure 13 for each of the two models. As can be seen, the temperature profiles are in good agreement in the ablation/absorption region and only differ by  $\sim 300\text{eV}$  in the coronal region. This suggests that typical errors on the order of 10-20% in the peak ablative heat-flux predicted by SNB can effectively “self-correct”. We expect that the improvements discussed in the next section will further reduce the coronal error.

We have not performed all SNB calculations inline in this paper due to the very large computational demands of resolving the electron collision time in the high density regions of the plasma over the long timescales needed for an inline comparison - the results in this section simply serve to bring attention to the possibility of “self-correction” in a relatively simple scenario. Improvements to the standard computational techniques used in VFP codes will be necessary in order to allow them to overcome the timestep limitation. This highlights the fact that one of the major design advantages of the SNB

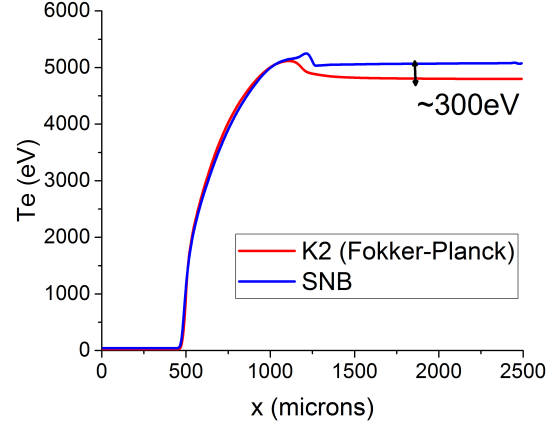


Figure 13. The electron temperatures at  $t=11.8\text{ns}$  for the inline hohlraum simulations, according to the SNB model and the K2 VFP model.

model is its natural ability to relax to Spitzer transport in regions of high collisionality (which is due to its expansion of  $f$  about the Spitzer distribution function). A similar expansion (or equivalent relaxation process) of the VFP equation should be possible. A related problem is the difficulty of maintaining quasineutrality in the high density regions in VFP simulation models without resolving the plasma period: implicit electric field solvers do not guarantee quasineutrality over long (hydrodynamic) timescales, but this problem can be largely overcome by the fully-implicit simulation technique employed by the IMPACT model [17].

## VII. IMPROVEMENTS TO THE SNB MODEL

We have found it is possible to increase the agreement between the SNB model and the VFP model in the coronal regions by simply modifying the mean-free-path definitions. The SNB model employs a somewhat artificial combination of electron-electron ( $\lambda_{ee}$ ) and electron-ion ( $\lambda_{ei}$ ) mean-free-paths into a single mean-free-path (“ $\lambda_e$ ”). This is only possible when the ionization  $Z^*$  is assumed to be constant. The use of separated mean-free-paths (considered in detail in [14] and alluded to in [16]) is a straightforward modification of the original model, and it correctly accounts for ionization gradients. The resulting heat flux is shown in Figure 14 for the higher intensity case described in Section V. The heat flux is significantly improved in the coronal region, with the error in the peak coronal heat flux reducing from about 90% to about 27%.

A very similar improvement in the heat-flux is obtained by simply neglecting the “electric field correction” to the mean-free-path. The original SNB paper [2] distinguishes between the standard collisional mean-free-path  $\lambda_g$  and an electric-field-corrected mean-free-path  $\lambda'_g$  related via:



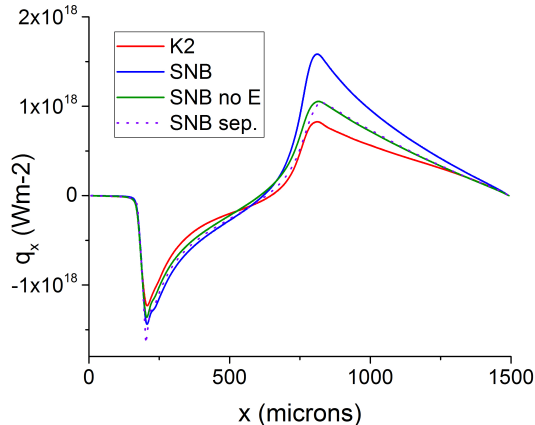


Figure 14. *Hohlräum Ablation Problem (higher intensity)*: The improved heat fluxes at  $t=5120$ ps. The curve labelled “SNB no E” is the SNB model without the electric field correction to the mean-free-path. Neglect of this correction significantly improves the agreement of the SNB model with VFP in the coronal region. The dashed curve labelled “SNB sep.” corresponds to the SNB model rewritten to make use of separate electron-electron and electron-ion mean-free-paths.

$$\frac{1}{\lambda'_g} = \frac{1}{\lambda_g} + \frac{|eE|}{\epsilon_g} \quad (11)$$

which serves to further limit the particle stopping distance in regions where the electric field may be the dominant cause of electron stopping (see the discussion in [2]). In the above expression,  $E$  is the electric field and  $\epsilon_g$  is the energy of the electron (in energy group “g”). The green curve in Figure 14 shows the heat flux when the electric field is neglected in the definition of the mean-free-path (i.e. by simply setting  $\lambda'_g = \lambda_g$ ) - the level of improvement is similar to the use of separated mean-free-paths.

## SUMMARY

We have compared the SNB non-local transport model to fully kinetic Vlasov-Fokker-Planck simulations in a variety of realistic situations relevant to inertial confine-

ment fusion. In hohlraum-like situations, we find good agreement between the SNB model and VFP simulations at the ablation front (where the heat flux peaks). The heat flow out into the coronal region, however, is typically overpredicted by the SNB model by a factor of  $\approx 2$ . This overprediction can be substantially reduced by ignoring electric field corrections to the mean-free-path or properly separating the electron-electron and electron-ion mean-free-paths. However, the distribution function predicted by the SNB model may be significantly different to the VFP distribution function even when the total heat fluxes are in good agreement, suggesting improvements need to be made in order to make the SNB model more generally useful as a predictive tool for a wider variety of kinetic effects. In the more demanding case of hot electron penetration through a thin shell into a gas, the SNB model significantly overpredicts the short-range heat flux while underestimating the long-range heat flux.

## ACKNOWLEDGEMENTS

This work was performed under the auspices of the U.S. Department of Energy by LLNL under Contract DE-AC52-07NA27344.

CPR and JB’s contribution to this work was funded by EPSRC grant EP/K504178/1. CPR’s contribution was also funded by EPSRC grant EP/M011372/1. CPR’s contribution has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053 (project reference CfP-AWP17-IFECCE-01). The views and opinions expressed herein do not necessarily reflect those of the European Commission.

We would like to thank M.Rosen for stimulating discussions regarding flux limiters.

## APPENDIX

The VFP model used in this paper (“K2”) solves the Vlasov-Fokker-Planck equation for the electron distribution function  $f(t, x, y, p_x, p_y, p_z) = \sum_{n,m} f_n^m(t, x, y, p) Y_n^m(\theta, \phi)$  expanded in spherical harmonics in momentum-space  $(p, \theta, \phi)$  to arbitrary order. The expansion coefficients  $f_n^m(t, x, y, p)$  evolve as [4]:

$$\begin{aligned}
& \frac{\partial f_n^m}{\partial t} + \left( \frac{n-m}{2n-1} \right) v \frac{\partial f_{n-1}^m}{\partial x} + \left( \frac{n+m+1}{2n+3} \right) v \frac{\partial f_{n+1}^m}{\partial x} \\
& + \left( \frac{1}{2n-1} \right) \frac{v}{2} \left\{ \frac{\partial f_{n-1}^{m-1}}{\partial y} - (n-m)(n-m-1) \frac{\partial f_{n-1}^{m+1}}{\partial y} \right\} + \left( \frac{1}{2n+3} \right) \frac{v}{2} \left\{ -\frac{\partial f_{n+1}^{m-1}}{\partial y} + (n+m+1)(n+m+2) \frac{\partial f_{n+1}^{m+1}}{\partial y} \right\} \\
& - F_x \left\{ \left( \frac{n-m}{2n-1} \right) G_{n-1}^m + \left( \frac{n+m+1}{2n+3} \right) H_{n+1}^m \right\} \\
& - \frac{F_y}{2} \left\{ \left( \frac{1}{2n-1} \right) [G_{n-1}^{m-1} - (n-m)(n-m-1) G_{n-1}^{m+1}] + \left( \frac{1}{2n+3} \right) [-H_{n+1}^{m-1} + (n+m+1)(n+m+2) H_{n+1}^{m+1}] \right\} \\
& - \frac{iF_z}{2} \left\{ \left( \frac{1}{2n-1} \right) [-G_{n-1}^{m-1} - (n-m)(n-m-1) G_{n-1}^{m+1}] + \left( \frac{1}{2n+3} \right) [H_{n+1}^{m-1} + (n+m+1)(n+m+2) H_{n+1}^{m+1}] \right\} \\
& + i \frac{eB_x}{m_e} m f_n^m + \frac{ieB_y/m_e}{2} \{ (n-m)(n+m+1) f_n^{m+1} + f_n^{m-1} \} + \frac{eB_z/m_e}{2} \{ (n-m)(n+m+1) f_n^m - f_n^{m-1} \} \\
& = -\frac{n(n+1)}{2} \nu_{ei}(v) f_n^m + C_{ee} + C_{IB} + C_{MHD}
\end{aligned} \tag{12}$$

where  $v$  is the electron velocity magnitude relative to the ion velocity,  $\nu_{ei}(v)$  is the electron-ion scattering frequency [10],  $F_x, F_y, F_z$  are the components of the electric force,  $B_x, B_y, B_z$  are the components of the magnetic field and the functions  $G_n^m$  and  $H_n^m$  are defined as:

$$G_n^m = p^n \frac{\partial}{\partial p} \left( p^{-n} \frac{\partial f_n^m}{\partial p} \right) \tag{13}$$

and

$$H_n^m = p^{-(n+1)} \frac{\partial}{\partial p} \left( p^{n+1} \frac{\partial f_n^m}{\partial p} \right) \tag{14}$$

where  $p = \gamma m v$  is the magnitude of electron momentum. The electron-electron collision term ( $C_{ee}$ ) is composed of isotropic ( $C_{ee}^{iso}$ ) and anisotropic ( $C_{ee}^{ani}$ ) components:

$$\frac{1}{\Gamma_{ee}} C_{ee}^{iso} = \frac{1}{v^2} \frac{\partial}{\partial v} \left\{ C_0(v) f_0^0 + D_0(v) \frac{\partial f_0^0}{\partial v} \right\} \tag{15}$$

where  $D_0(v) = \frac{v}{3} \{ I_2(f_0^0) + J_{-1}(f_0^0) \}$  and  $C_0(v) = I_0(f_0^0)$ , and (using Tzoufras' notation [5]):

$$\begin{aligned}
\frac{1}{\Gamma_{ee}} C_{ee}^{ani} = & 8\pi f_0^0 f_n^m + \frac{I_2(f_0^0) + J_{-1}(f_0^0)}{3v} \frac{\partial^2 f_n^m}{\partial v^2} + \frac{-I_2(f_0^0) + 2J_{-1}(f_0^0) + 3I_0(f_0^0)}{3v^2} \frac{\partial f_n^m}{\partial v} - \frac{n(n+1)}{2} \frac{-I_2(f_0^0) + 2J_{-1}(f_0^0) + 3I_0(f_0^0)}{3v^2} f_n^m \\
& + \frac{1}{2v} \{ C_1 I_{n+2}(f_n^m) + C_1 J_{-n-1}(f_n^m) + C_2 I_n(f_n^m) + C_2 J_{1-n}(f_n^m) \} \frac{\partial^2 f_0^0}{\partial v^2} \\
& + \frac{1}{v^2} \{ C_3 I_{n+2}(f_n^m) + C_4 J_{-n-1}(f_n^m) + C_5 I_n(f_n^m) + C_6 J_{1-n}(f_n^m) \} \frac{\partial f_0^0}{\partial v}
\end{aligned} \tag{16}$$

where

$$\begin{aligned}
C_1 &= \frac{(n+1)(n+2)}{(2n+1)(2n+3)} & C_6 &= \frac{n(n+1)/2 - n}{(2n+1)(2n-1)} \\
C_2 &= -\frac{n(n-1)}{(2n+1)(2n-1)} & \text{and} & \\
C_3 &= -\frac{n(n+1)/2 + (n+1)}{(2n+1)(2n+3)} & I_j(f_n^m) &= \frac{4\pi}{v^j} \int_0^v f_n^m(u) u^{j+2} du \\
C_4 &= -\frac{n(n+1)/2 + (n+2)}{(2n+1)(2n+3)} & J_j(f_n^m) &= \frac{4\pi}{v^j} \int_v^\infty f_n^m(u) u^{j+2} du \\
C_5 &= \frac{n(n+1)/2 + (n-1)}{(2n+1)(2n-1)} & \Gamma_{ee} & \text{is defined in [5]. The term } C_{IB} \text{ represents the} \\
& & & \text{inverse bremsstrahlung heating term, which is solved in} \\
& & & \text{the form given by Weng [6], and can be added in to } C_{ee}^0. \\
& & & \text{The laser intensity } I(x) \text{ is modelled in 1D by solving the} \\
& & & \text{1D ray equation:}
\end{aligned} \tag{17}$$

where  $\kappa(x)$  is the inverse bremsstrahlung absorption coefficient, which is self-consistently calculated from the energy deposited by the term  $C_{IB}$ . Similarly, in 2D the ray equations are solved by actually propagating rays, but without the self-consistent calculation of  $\kappa$ .

The term  $C_{MHD}$  represents energy exchange between the MHD model and the VFP model, and includes hydrodynamic  $PdV$  work, electron-ion equilibration, ionization energy loss/gain and radiation emission/absorption. These are computed by the MHD model and assumed to heat the electrons while maintaining a thermal (i.e. Maxwellian) distribution: deviations from this assumption for ionization have been discussed by Robinson [7], and for  $PdV$  work by Matte [8]. We have found no deviation from a Maxwellian distribution when including the full electron-ion energy exchange terms (except in extreme conditions not relevant to this study). Our implicit assumption is that all hydrodynamic terms operate on time-scales significantly longer than the electron-electron thermalization time. In non-Cartesian geometry (cylindrical or spherical), the equations need to be modified to include the correct geometric factors as in [9].

We follow the KALOS formalism [4], time-splitting the VFP equation and integrating the advection and acceleration terms to second-order accuracy in time, space and momentum, including polynomial expansions of the  $f_n^m$

close to  $p = 0$ . The electron-ion scattering, isotropic electron-electron collision operator, and magnetic field terms are solved fully implicitly. The anisotropic collision operator is solved semi-implicitly. The irrotational electric field is found from an implicit solution of Gauss' Law and the solenoidal component from an implicit solution of the  $f_1^m$  equations (i.e. a generalized Ohm's Law). We find the use of Gauss' Law improves quasineutrality in the presence of large density gradients. Faraday's Law is solved for the magnetic field.

In this paper, we do not use the anisotropic electron-electron collision operator, but instead implement the simple electron-ion collision frequency multiplier given by Epperlein [15], since this is used in many VFP models as well as the SNB model. We use 180 energy groups in both the SNB and VFP models, and reflective boundary conditions in all cases.

K2 differs from SPARK [10] and IMPACT [17] by being fully explicit in space (which allows efficient parallelization), being capable of using an arbitrary number of polynomials in the momentum-space expansion, and by its inclusion of laser propagation and MHD. It also contains a number of other features, such as anisotropic collisions, EM wave propagation, 3D magnetic fields and coupling to Vlasov ions, but they are not relevant to the work presented here.

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