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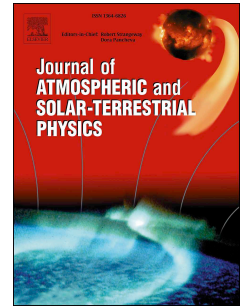


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# Accepted Manuscript

The fate of meteoric metals in ice particles: Effects of sublimation and energetic particle bombardment

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1 **The fate of meteoric metals in ice particles: Effects of Sublimation**  
2 **and Energetic Particle Bombardment**

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7 **Keywords:**

8 Polar mesospheric clouds; Meteoric metals; Meteoric smoke particles; Energetic  
9 particle bombardment.

10 **Abstract**

11 The uptake and potential reactivity of metal atoms on water ice can be an important  
12 process in planetary atmospheres and on icy bodies in the interplanetary and  
13 interstellar medium. For instance, metal atom uptake affects the gas-phase  
14 chemistry of the Earth's mesosphere, and has been proposed to influence the  
15 agglomeration of matter into planets in protoplanetary disks. In this study the fate of  
16 Mg and K atoms, incorporated into water-ice films prepared under ultra-high vacuum  
17 conditions at temperatures of 110 to 140 K, was investigated. Temperature-  
18 programmed desorption experiments reveal that Mg- and K-containing species do  
19 not co-desorb when the ice sublimates, demonstrating that uptake on ice particles  
20 causes irreversible removal of the metals from the gas phase. This implies that  
21 uptake on ice particles in terrestrial polar mesospheric clouds accelerates the  
22 formation of large meteoric smoke particles ( $\geq 1$  nm radius above 80 km) following  
23 sublimation of the ice. Energetic sputtering of metal-dosed ice layers by 500 eV Ar<sup>+</sup>

24 and  $Kr^+$  ions shows that whereas K reacts on (or within) the ice surface to form KOH,  
25 adsorbed Mg atoms are chemically inert. These experimental results are consistent  
26 with electronic structure calculations of the metals bound to an ice surface, where  
27 theoretical adsorption energies on ice are calculated to be  $-68 \text{ kJ mol}^{-1}$  for K,  $-91 \text{ kJ}$   
28  $\text{mol}^{-1}$  for Mg, and  $-306 \text{ kJ mol}^{-1}$  for Fe. K can also insert into a surface  $H_2O$  to  
29 produce KOH and a dangling H atom, in a reaction that is slightly exothermic.

30

31

## 32 1. Introduction

33

34 In the terrestrial atmosphere, polar mesospheric clouds (PMCs) form in the summer  
35 high latitude mesopause region at altitudes between 82 and 88 km (Thomas, 1991).  
36 The clouds form at temperatures below 145 K and contain nanometre scale H<sub>2</sub>O ice  
37 (Hervig et al., 2001). The ice particles typically sediment to the base of the ice layer  
38 (82 – 85 km) and grow to a median radius of 51 nm (von Cossart et al., 1999; Hervig  
39 et al., 2001), where the larger ice particles scatter sufficient sunlight to become  
40 optically visible. Mesospheric ice particles > 3 nm in radius are also responsible for  
41 radar echoes, known as polar mesosphere summer echoes (Rapp and Lubken,  
42 2004).

43 In the PMC-forming region, metal layers formed via meteoric ablation are also  
44 present, peaking between altitudes of 85 to 93 km depending on the metal in  
45 question (Plane, 2003). Meteoric smoke particles (MSPs) formed from the  
46 condensation of ablated meteoric material are thought to act as nuclei for  
47 heterogeneous nucleation of the PMC ice particles (Saunders and Plane, 2006;  
48 Saunders et al., 2010; Hervig et al., 2012). As well as being involved in the initial  
49 nucleation of the ice particles, it has been shown that some metals can be efficiently  
50 removed from the gas phase in the vicinity of the clouds, because of efficient uptake  
51 on the ice surface (Murray and Plane, 2005). In the case of the Fe layer at the South  
52 Pole (which extends between 80 and 95 km with a peak around 87 km), substantial  
53 bite-outs in the layer were observed within strong PMCs (Plane et al., 2004). The  
54 significant removal of Fe and Na throughout the summer months at this location was  
55 attributed largely to removal on ice particles, although the temperature-dependence  
56 of the gas-phase chemistry also plays an important role (Gardner et al., 2005; Viehl

57 et al., 2015). Lidar measurements at Spitzbergen (78°N) of the K layer (which peaks  
58 at 90 km and extends between 85 and 100 km), along with PMSE and PMC  
59 observations, showed a reduction in the underside of the layer in the presence of  
60 PMCs (Lübken and Höffner, 2004; Raizada et al., 2007). Satellite observations have  
61 also highlighted the correlation between PMC occurrence and depletion of the  
62 underside of the K layer at high latitudes (Dawkins et al., 2015). The Mg layer peaks  
63 at an altitude of 90 km and extends between 85 and 95 km (Langowski et al., 2015).  
64 Spaced-based measurements from the SCHIAMACHY spectrometer on the Envisat  
65 satellite showed an anti-correlation between Mg density and PMC radiance at high  
66 latitudes, which suggests that Mg may also be depleted by PMCs (Langowski et al.,  
67 2015).

68 Ice-metal interactions are also relevant to icy satellites, comets, asteroids, ice-  
69 covered dust particles in the interstellar medium (ISM), and the early stages of planet  
70 formation in protoplanetary discs (Pollack et al., 1994; Lewis, 2004; Campins et al.,  
71 2010; Filacchione et al., 2016; Driss et al., 2017). In the solar system, the terrestrial  
72 planets were formed primarily by the agglomeration of metal silicate and other metal-  
73 containing particles such as oxides and hydroxides. However, in the case of  
74 planetary formation in the outer solar system, the snow line (145 - 170 K) was where  
75 H<sub>2</sub>O condensed into “dirty” ice particles on which metal uptake could occur (Podolak  
76 and Zucker, 2004). It has been proposed that these icy particles sufficiently  
77 enhanced the surface density available during accretion to form the gas giant planets  
78 of the outer solar system (Ciesla and Cuzzi, 2006; Pont, 2014). A model using Mg as  
79 a representative metal showed that accretion rates would have peaked at a radius in  
80 the protoplanetary disc where the Mg “freezes out” at temperatures between 150 K  
81 and 100 K (Dzyurkevich et al., 2013). The sublimation of dirty icy particles travelling

82 inward past the snow line may also have been important for introducing gas-phase  
83 species to the terrestrial planets (Cuzzi and Zahnle, 2004; Sato et al., 2016).

84 The uptake of Na, K and Fe atoms on low-temperature ice has been investigated  
85 experimentally. An uptake coefficient ( $\gamma$ ) was measured for Na and K over the  
86 temperature range 80 - 150 K, with lower limits of  $\gamma_{\text{Na}} = > 0.05$  and  $\gamma_{\text{K}} = > 0.09$ . The  
87 lower limits arise because when  $\gamma$  is large the loss of metal atoms becomes diffusion  
88 limited. In fact, electronic structure calculations show that Na and K bind strongly to  
89 ice, so  $\gamma$  is probably very close to unity (Murray and Plane, 2005). For Fe,  $\gamma_{\text{Fe}}$  also  
90 appears close to unity between 135 and 150 K, explaining the significant metal  
91 depletion observed in the vicinity of PMCs. More recently it was shown that Fe reacts  
92 on the ice surface to form  $\text{Fe}(\text{OH})_2$ , and that it is sputtered efficiently from the ice  
93 surface by relatively low energy  $\text{Ar}^+$  ions ( $< 500$  eV) (Frankland and Plane, 2015).

94 Photoelectric emission from K, Na and Li deposited at low coverage on an ice  
95 surface has also been studied (Vondrak et al., 2006; Vondrak et al., 2009). The  
96 presence of these alkali metal atoms on the ice surface substantially reduces the  
97 photoelectric work function; however, the effect is short-lived (hundreds of seconds  
98 time scale at 92 K), presumably because the metals react in the ice to form  
99 hydroxides. Photoelectric emission of K doped ice layers (100 - 140 K) was also  
100 investigated by Yakshinskiy and Madey (2001) using X-ray photoelectron  
101 spectroscopy. They attributed a shoulder feature in the peak of the O 1s spectra as a  
102 reaction of K with the ice, suggesting KOH formation. However, there has not been a  
103 direct determination of the reaction products of K on ice.

104 Mg is the most abundant metal in chondritic meteorites, and the global injection flux  
105 of atomic Mg into the terrestrial atmosphere is predicted to be about  $1.0 \text{ t d}^{-1}$ ,  
106 compared with  $2.6 \text{ t d}^{-1}$  of Fe (Carrillo-Sánchez et al., 2016). However, there do not

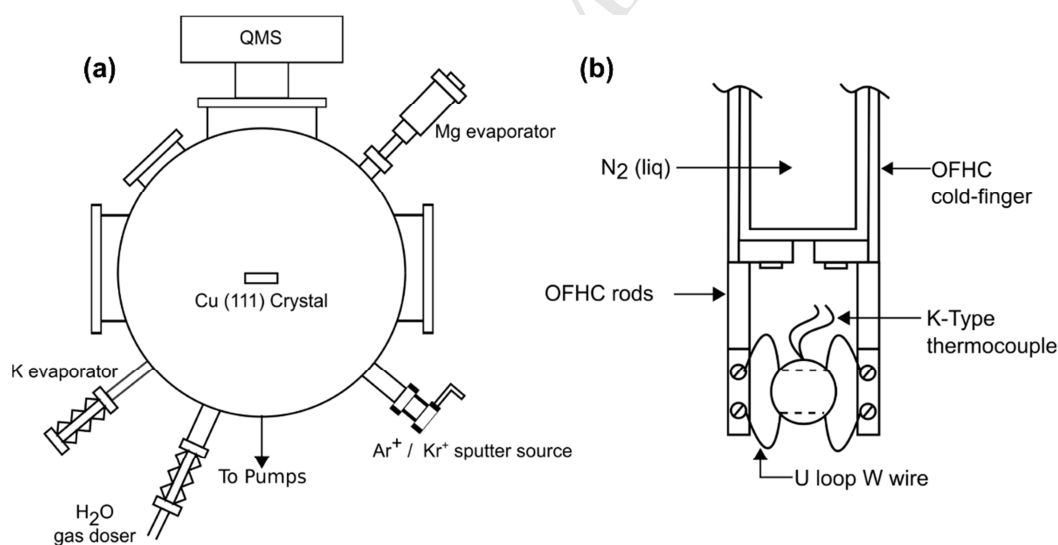
107 appear to have been previous experimental studies of the uptake and reactivity of  
 108 Mg on ice. Here we report a laboratory study using an ultra-high vacuum (UHV)  
 109 chamber to investigate the effects of thermal desorption and energetic ion sputtering  
 110 of thin ice films on which Mg or K has been deposited, at temperatures between  
 111 110 – 140 K. Electronic structure calculations are then used to interpret the  
 112 contrasting behaviour of these two metals. The role of uptake on ice particles as a  
 113 route for effectively coagulating MSPs, metal atoms, and small metal-containing  
 114 molecules (e.g. hydroxides) is then considered.

115

## 116 2. Experimental

### 117 2.1 Metal-Ice layer formation

118



119

120 **Figure 1.** (a) Top down view of the UHV chamber; (b) front view of the Cu(111)  
 121 sample mount.

122

123 Figure 1 is a schematic diagram of the UHV chamber and Cu(111) sample used in  
 124 this study. This system has been described in part previously by Vondrak et al.



125 (2006) and is briefly described here with some modifications relevant to this study.  
126 The UHV chamber is a cylindrical stainless steel chamber with a diameter of 30 cm  
127 and a volume of approximately 25 L. This system is pumped down to pressures of  
128  $10^{-9}$  mbar by a  $550 \text{ L s}^{-1}$  turbomolecular pump (Varian, TV551 Navigator), which in  
129 turn is backed by a high vacuum rotary pump (Varian, DS302). The chamber is  
130 equipped with a Quadrupole mass spectrometer (QMS) (Hiden, HAL 3F 301 RC  
131 PIC), a needle valve (NUPRO, SS4BK) for dosing  $\text{H}_2\text{O}$  and an inert ion ( $\text{Ar}^+$  or  $\text{Kr}^+$ )  
132 sputter source (PSP Technology Ltd., ISIS3000). Mg was dosed using a Mg wire  
133 (Goodfellows, 99.9+ % purity) inserted into a monolayer deposition source (MDC  
134 vacuum, e-Vap 100), and K was dosed from a shrouded alkali metal dispenser (Saes  
135 Getters, K/NF/4.5/25 FT10+10).

136 Within the centre of the UHV chamber (Figure 1b) is a cylindrical  $\text{Cu}(111)$  crystal  
137 substrate, 12 mm in diameter and 2.5 mm thick, polished to 1 mm and oriented to  
138  $0.5^\circ$  of the (111) plane. The  $\text{Cu}(111)$  crystal is mounted via tungsten (W) heating  
139 wires onto an oxygen free high conductivity (OFHC) cold finger, which is controlled  
140 by an  $\text{xyz}\theta$  manipulator. The substrate is liquid nitrogen cooled and heated  
141 resistively by the W wires embedded in the perimeter of the crystal. The temperature  
142 of deposited samples is monitored using a K-type thermocouple positioned in the  
143 side of the  $\text{Cu}(111)$  crystal. Prior to each experiment the  $\text{Cu}(111)$  crystal was  
144 cleaned by heating to 800 K for 30 min and when necessary sputtered by 500 eV  $\text{Ar}^+$   
145 or  $\text{Kr}^+$  ions to remove any residual Mg or K.

146 In a typical experiment an  $\text{H}_2\text{O}$  ice film was deposited on the  $\text{Cu}(111)$  crystal using a  
147 purified source of  $\text{H}_2\text{O}$ , directed by the needle valve at the substrate (held at either  
148 110 or 140 K) as an effusive collimated beam. To determine surface coverage, the  
149 pressure-dependent beam flux for  $\text{H}_2\text{O}$  was calibrated using the method described in

150 Mangan et al. (2015); for this study it was  $5.5 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{s}^{-1}$ . For a 7200 s  
151  $\text{H}_2\text{O}$  dose under the temperature conditions of this study (110 – 140 K), and using a  
152  $\text{H}_2\text{O}$  sticking coefficient of 0.97 and ice density of  $0.9 \text{ g cm}^{-3}$  (Brown et al., 1996), a  
153  $1.3 \mu\text{m}$  thick ice film was deposited. At 110 K, amorphous ice was formed, while at  
154 140 K a crystalline ice was formed. The crystal structure of the crystalline ice under  
155 these conditions may have been either a metastable stacking disordered state (with  
156 trigonal symmetry) or stable hexagonal ice (Malkin et al., 2015; Murray et al., 2015).  
157 An Mg or K layer was then dosed on top (surface) or in the middle (sandwich) of the  
158 ice film using the appropriate dosing apparatus. In the case of the sandwich  
159 experiments, a  $0.65 \mu\text{m}$  ice film was first deposited on the Cu substrate, followed by  
160 the metal dose. Another  $0.65 \mu\text{m}$  ice layer was then deposited on top of the metal.  
161 The metal layers deposited in these experiments were adsorbed for at least 60  
162 minutes on the ice surface. This metal-ice layer was then subject to analysis via two  
163 different thin film techniques. The first was Temperature Programmed Desorption  
164 (TPD), which was used to investigate the thermal release from the ice layer of Mg-  
165 and K-containing species using a linear heating ramp of  $0.5 \pm 0.02 \text{ K s}^{-1}$ . The second  
166 technique was energetic ion sputtering by 500 eV  $\text{Ar}^+$  or  $\text{Kr}^+$  ions directed at the  
167 sample. The energetic sputtering removes layers of the  $\text{H}_2\text{O}$  ice film and adsorbed  
168 metal species, effectively burrowing through the ice layer to provide a profile of the  
169 metal species present throughout the ice. The QMS was set at an electron impact  
170 ionization energy of 70 eV for all the results presented in this study, unless otherwise  
171 stated. The mass spectra shown of Mg-ice and K-ice sputtering were background  
172 corrected against a corresponding sputter profile of pure ice. These sputter profiles  
173 were also normalized in terms of fluctuations in the ion beam, measured as a change  
174 in the current to the sample via a pico-ammeter connected to the substrate.

### 175 **3. Results**

176

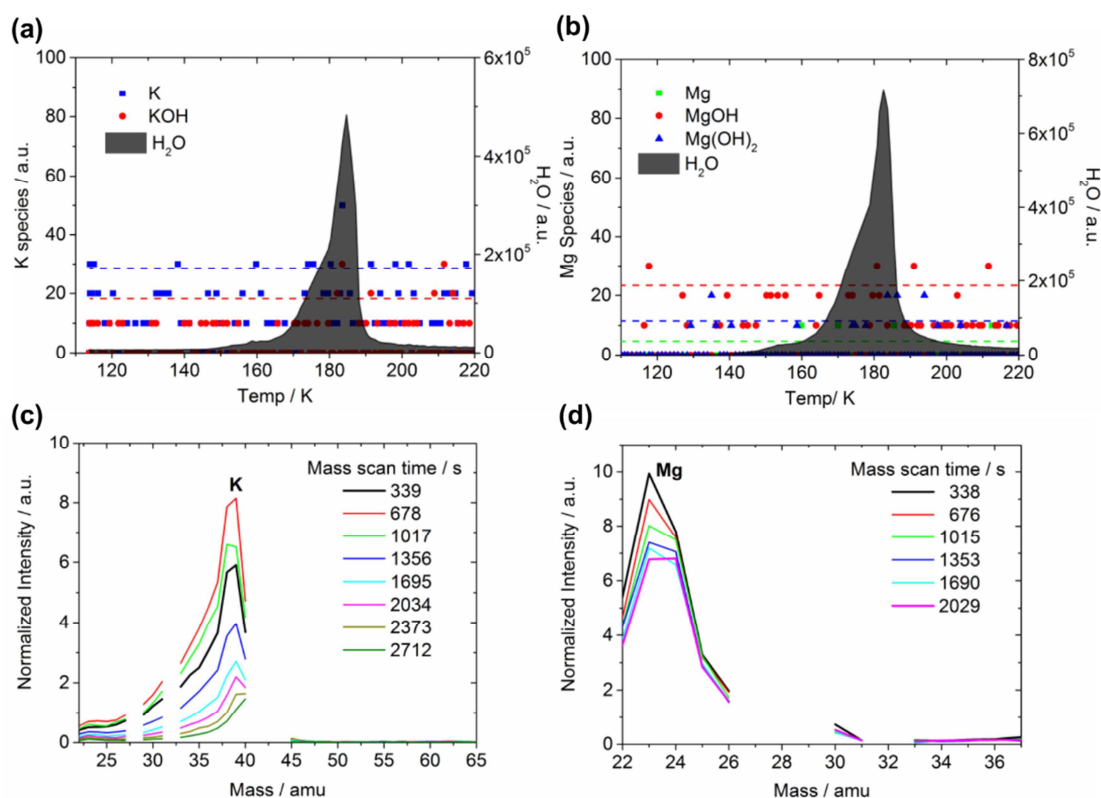
177 Before describing the results, it should be noted that the K and Mg metal dose rates  
178 could not be monitored during the dose, and were thus inferred by sputtering metallic  
179 species from the ice after the dosing was complete and any metal-ice processes had  
180 occurred. The dose rates were observed to be quite variable from day to day,  
181 presumably due to erosion of the metal targets inside the doser, so that up to an  
182 order-of-magnitude change in the total sputtered K or Mg signal could be observed  
183 between repeat experiments (where the cold stage temperature, water dose, sputter  
184 energy etc. are held constant). The experimental results presented here are  
185 therefore taken from the subset of experiments that did produce a comparable  
186 sputter signal for each metal, defined as the integrated sputter peak areas agreeing  
187 within 25%. Because of the variability in the metal dose between experiments it was  
188 not viable to explore aspects such as diffusion of the metallic species in the ice film.  
189 This issue does not affect the focus of the paper on residual formation after ice  
190 sublimation, and the reactivity of the metals within the ice.

191

#### 192 **3.1 Effect of ice sublimation on the adsorbed metals**

193

194



195

196 **Figure 2:** TPD traces of (a) K and (b) Mg that were dosed onto the surface of 1.3  $\mu\text{m}$   
 197 layers of H<sub>2</sub>O ice at 140 K are shown, including associated compounds. The dashed  
 198 lines indicate the  $3\sigma$  noise for the corresponding species. The lower panels show  
 199 histogram mass spectra of (c) K at  $m/z = 39$  and (d) Mg at  $m/z = 24$  signals observed  
 200 during energetic ion sputtering (by either 500 eV Ar<sup>+</sup> or Kr<sup>+</sup>) of the Cu substrate after  
 201 sublimation of the ice layer.  $m/z$  gaps in the sputter profiles correspond to the  
 202 removal of background signals for N<sub>2</sub><sup>+</sup> ( $m/z = 28$ ), O<sub>2</sub><sup>+</sup> ( $m/z = 32$ ) and doubly-charged  
 203 Kr<sup>2+</sup> ( $m/z = 42$ ). A peak shift towards higher  $m/z$  at the later times in (c) is due to the  
 204 increasing influence of the leading edge of the Kr<sup>2+</sup> ion peak at  $m/z = 42$ .

205

206 Mg and K dosed onto ice were subject to TPD by linearly heating the metal-ice  
 207 samples and monitoring the resulting release of species during ice sublimation using  
 208 the QMS. TPD traces for ice at 140 K are shown in panels (a) and (b) of Figure 2

209 (the corresponding traces for ice at 110 K are almost identical and so not included  
210 here). TPD traces of the 1.3  $\mu\text{m}$   $\text{H}_2\text{O}$  films exhibit a profile that is consistent with  
211 previous studies (Fraser et al., 2001). In the case of  $\text{H}_2\text{O}$  at 140 K (subsequently  
212 cooled to 110 K just before TPD), a crystalline ice is formed (Sack and Baragiola,  
213 1993; Safarik and Mullins, 2004). As the temperature of the sample was increased  
214 from 110 K, sublimation of the ice was detectable at approximately 140 K, peaking at  
215 183 K. If the adsorbed Mg and K did desorb from the ice layer, co-desorption would  
216 have peaked at approximately the same temperature as the crystalline ice. Co-  
217 desorption has been previously observed for loosely-bound gaseous species such  
218 as  $\text{CO}_2$  in  $\text{H}_2\text{O}$  ice (Galvez et al., 2008; Mangan et al., 2015). However, as can be  
219 seen in panels (a) and (b) of Figure 2, the TPD traces for K and Mg are primarily  
220 within the background noise ( $3\sigma$ ) suggesting that desorption of the metals was  
221 negligible. We also monitored other K and Mg species (KOH, MgO, MgOH,  
222  $\text{Mg}(\text{OH})_2$ ) during the TPD experiments, but these displayed no detectable co-  
223 desorption peaks. This lack of co-desorption was also observed in TPD traces of Mg  
224 and K dosed onto amorphous ice at 110 K.

225 Panels (c) and (d) of Figure 2 show mass spectra of energetic ion sputtering (500 eV  
226  $\text{Kr}^+$  for K, 500 eV  $\text{Ar}^+$  for Mg) of the Cu substrate carried out after the TPD. In both  
227 the case of Mg ( $m/z = 24$ ) and K ( $m/z = 39$ ), clear broad peaks were detected  
228 corresponding to the respective metal species. This observation reveals that instead  
229 of co-desorbing with the ice, Mg and K species are instead left behind as a residual  
230 on the substrate after the ice layer has sublimated, a process that has been  
231 observed previously in the case of Fe (Frankland and Plane, 2015).

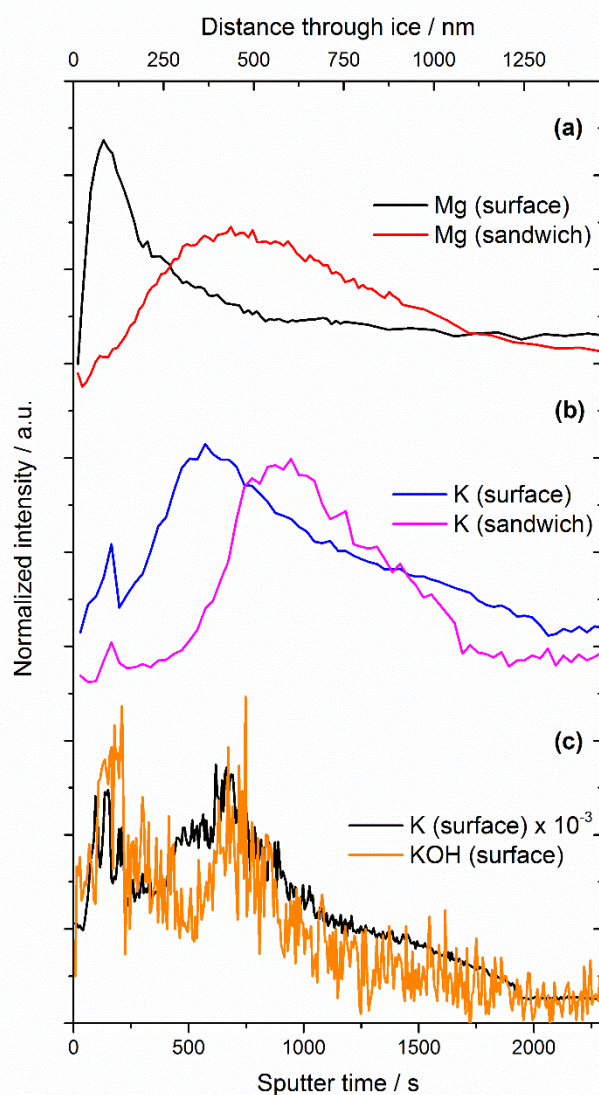
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233

234 **3.2 Effect of ion-sputtering on the adsorbed metals**

235

236



237

238 **Figure 3.** Vertically offset profiles of the normalized (a) Mg and (b) K signals

239 produced by energetic sputtering of metal-ice layers deposited at 110 K using

240 500 eV of Ar<sup>+</sup> or Kr<sup>+</sup> ions. The sputtering commenced 60 minutes after deposition was

241 complete. “Surface” profiles indicate metal adsorbed onto the 1.3 μm ice layer, while

242 “sandwich” profiles indicate when the metal was adsorbed between two 0.65 μm ice

243 layers. The QMS was set at an electron impact ionization energy of 70 eV for the

244 experiments shown in (a) and (b). (c) KOH is observed when the QMS was set to a  
245 lower electron impact ionisation energy of 20 eV to reduce fragmentation of the KOH  
246 product from the “surface” metal layer.

247 The normalized signals of gas-phase Mg and K species removed from H<sub>2</sub>O ice via  
248 energetic ion sputtering are shown in Figure 3. By monitoring the H<sub>2</sub>O sputtering at  
249  $m/z=18$  and knowing the H<sub>2</sub>O ice thickness (1.3  $\mu\text{m}$ ), it was possible to convert the  
250 sputter time (assuming a linear sputter rate) to an estimate of the distance through  
251 the ice layer, shown on the top abscissa in Figure 3. It is clear from the profiles that  
252 energetic sputtering by 500 eV Ar<sup>+</sup> and Kr<sup>+</sup> readily removes Mg and K from the ice  
253 surface. The metal signals decrease to background levels by the time the energetic  
254 sputtering has worked through the H<sub>2</sub>O ice film and begun to sputter the Cu(111)  
255 substrate below.

256 In the case of Mg (Figure 3a), the profiles of surface- compared to sandwich-  
257 adsorbed Mg are quite different. The surface-adsorbed Mg exhibits a sharp peak ~80  
258 nm below the surface of the ice layer. The roughly exponential decay in the signal  
259 deeper into the ice film presumably results from diffusion of the Mg atoms through  
260 the ice during the 60 minutes after deposition and before sputtering commenced.  
261 The sandwiched H<sub>2</sub>O-Mg-H<sub>2</sub>O layer peaks closer to the middle of the ice layer  
262 (~500 nm deep) as would be expected based on the deposition conditions, but is a  
263 broader peak resulting from diffusion both towards the ice surface and the Cu(111)  
264 substrate.

265 In the case of the profiles of K (Figure 3b), differences compared to the position of  
266 the Mg within the ice layer are apparent: generally the K species migrate deeper in to  
267 the ice, so that the surface-adsorbed K displays a broader primary peak that occurs  
268 almost 300 nm deeper compared with the surface Mg. The peak K signal from the

269 sandwiched H<sub>2</sub>O-K-H<sub>2</sub>O layer is deeper into the ice than the respective Mg  
270 experiment, but shows a peak profile similar to the surface adsorbed K. A smaller  
271 secondary peak in the signal near the surface of the ice is observed at the same ice  
272 depth (~100 nm) in both K experiments. This comparable K ejection from different  
273 deposition conditions could be caused by K ejected during sputtering from a different  
274 surface such as the W mounting wires or Cu support arms where H<sub>2</sub>O may also have  
275 been present. The reasons for these differences between the K and Mg peaks are  
276 unclear, and warrant investigation in the future with a better controlled metal doser  
277 that would permit time-resolved studies so that diffusion coefficients could be  
278 measured. Nevertheless, the results clearly demonstrate that both Mg and K are  
279 trapped in ice, are both reasonably mobile, and are released by energetic sputtering.

280 With the QMS set at an electron energy of 70 eV, no reactive products of Mg or K on  
281 the ice surface were detected. This could be due to a lack of reactivity between the  
282 metals with the ice, or because reaction products were fragmented within the QMS  
283 and not detected. To test if any reaction products were being fragmented at 70 eV,  
284 the electron impact ionization energy of the QMS was decreased to 20 eV. Once  
285 again, no reaction products for Mg were detected across a deposition temperature  
286 range of 110 – 140 K, suggesting Mg is unreactive when adsorbed on ice under  
287 these conditions. In contrast, for K adsorbed on ice (Figure 3c) a peak at  $m/z=56$   
288 was observed in the sputter signal, assigned as KOH. The corresponding K signal  
289 (also taken at an electron impact ionization energy of 20 eV) has been scaled to  
290 highlight the similar profile shape of K and KOH through the ice layer. Both the  
291 primary and secondary peaks of K and KOH occur at similar distances into the ice,  
292 suggesting KOH is formed wherever K is present. In the previous photoelectric  
293 emission study, the K signal on the ice decayed with an e-folding time of 200 s at 92

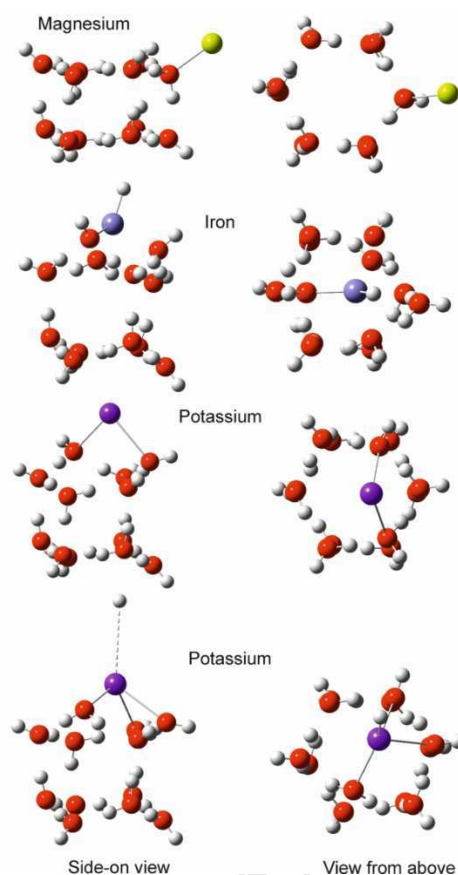


294 K (Vondrak et al., 2009). Given that the ice temperature in the present study was 110  
295 K and the reaction rate is likely to have a positive temperature dependence by  
296 analogy with Na (Vondrak et al., 2006), and that there was a 60 minute delay  
297 between deposition of the K and sputtering in our experiments, it is very likely that  
298 complete conversion of K to KOH in the ice layer was occurring. The observed K  
299 signal at 20 eV would then be attributed to the majority of KOH formed on the ice still  
300 fragmenting under these conditions (an energy of 20 eV is the lower limit for stable  
301 operation of the QMS electron impact source). The differences between the K  
302 profiles at 70 eV (Figure 3b) and 20 eV (Figure 3c) are probably caused by the lack  
303 of reproducibility of the K atom doser, or possibly the different QMS settings  
304 employed to optimise the signal at each electron impact energy.

305

#### 306 **4. Electronic structure calculations**

307



308

309 **Figure 4.** Structures of Mg, Fe or K bound to a model ice surface of 2 hexagonal  
 310 rings of 6 H<sub>2</sub>O molecules, calculated at the B3LYP/6-311+G level of electronic  
 311 structure theory. Colour code: H, white; O, red; Mg, yellow; Fe, blue/grey; K, purple.  
 312 The left-hand panels show the side-on view of the structures, and the structure  
 313 viewed from above are shown in the right-hand panels. In the case of K, the  
 314 additional panels at the bottom show the structure after the K atom inserts into a  
 315 surface H<sub>2</sub>O to form KOH and a dangling H atom. Without the metals present the 12  
 316 H<sub>2</sub>O molecule system in Figure 4 would be observed as two perfectly mirrored  
 317 hexagonal rings. Due to the strong binding of the metals to the ice (equivalent of 3+  
 318 hydrogen bonds), distortion of the H<sub>2</sub>O rings occurs. Less distortion would be  
 319 expected for a real ice surface, in which the H<sub>2</sub>O rings are locked into sheets of  
 320 hexagons.

321 To understand the experimentally observed reactivity of K and the inert nature of Mg  
322 bound to the ice surface as shown in Figure 3, electronic structure calculations of the  
323 metals binding to a model ice were undertaken. These were carried out at the  
324 B3LYP/6-311+G level of theory using the Gaussian 09 suite of programs (Frisch et  
325 al., 2009). We also include here calculations on Fe adsorbed on ice, in order to  
326 compare with our recent experimental study (Frankland and Plane, 2015). Figure 4  
327 shows the optimized structures of Mg, Fe and K adsorbed on a model ice surface  
328 consisting of 12 H<sub>2</sub>O molecules arranged in two stacked hexagonal rings. There are  
329 significant differences in the way the three metal atoms adsorb. In the case of Mg,  
330 the adsorption energy is -91 kJ mol<sup>-1</sup>; however, the Mg is bound to the lone electron  
331 pair on a *single* H<sub>2</sub>O molecule and is positioned on the outside of the hexagonal ring.  
332 Such a configuration would not be possible on a perfect sheet of hexagonal rings,  
333 but could occur on an imperfection such as a growth step or some other imperfection  
334 in the crystal structure. The Mg is therefore unreactive, consistent with the energetic  
335 particle bombardment results, but adsorbs relatively strongly at appropriate sites.  
336 This may explain the observation that surface deposited Mg remains closer to the ice  
337 surface than surface deposited K (see Figure 3a). Also, if the density of appropriate  
338 adsorption sites is low or the Mg cannot migrate across the surface to find such a  
339 site before desorbing again, it might be expected that the uptake coefficient of Mg on  
340 ice would be smaller than that of K and Fe.

341 The K adsorption energy is -68 kJ mol<sup>-1</sup>. However, once adsorbed the K atom can  
342 insert into a surface H<sub>2</sub>O, producing KOH embedded at the ice surface with a  
343 dangling H atom (bottom panels of Figure 4). This state is only 60 kJ mol<sup>-1</sup> higher in  
344 energy than the initially adsorbed K atom. Thus, the overall process to form KOH is -  
345 8 kJ mol<sup>-1</sup> i.e. slightly exothermic or perhaps thermoneutral, within the uncertainty at

346 this level of theory (Foresman and Frisch, 1996). In contrast, the *gas-phase* reaction  
347  $K + H_2O \rightarrow KOH + H$  is  $171 \text{ kJ mol}^{-1}$  endothermic (at the B3LYP/6-311+g(2d,p)  
348 level), illustrating the significant solvation of polar KOH on the ice surface. Once  
349 KOH has formed, the dangling H atom can easily migrate across the surface to find  
350 another dangling H and form  $H_2$ . The energy involved in converting the adsorbed K  
351 to KOH is consistent with the decay rate of K of  $5 \times 10^{-3} \text{ s}^{-1}$  on ice at 92 K (Vondrak  
352 et al., 2009).

353 The Fe adsorption energy is  $-306 \text{ kJ mol}^{-1}$ , because the Fe has inserted into a  $H_2O$   
354 molecule to form the very stable HFeOH molecule bound to the surface. This is  
355 consistent with the observation of  $Fe(OH)_2$  in the sputtering experiment of Frankland  
356 and Plane (2015). Note that in that earlier study we used electronic structure  
357 calculations to show that when Fe is bound to an  $H_2O$  trimer, there was a barrier of  
358  $70 \text{ kJ mol}^{-1}$  for the Fe to insert into one of the  $H_2O$  molecules. Finding a free energy  
359 barrier to insertion into a  $H_2O$  molecule on the  $Fe-(H_2O)_{12}$  system in the present  
360 study is computationally prohibitive. However, during the geometry optimization  
361 starting with a loosely adsorbed Fe, there was no indication of a barrier to insertion,  
362 probably because of additional stabilization from the  $H_2O$  ring structure. After the  
363 formation of HFeOH, further rearrangement with an adjacent  $H_2O$  on the surface  
364 should produce  $Fe(OH)_2 + H_2$  without a significant activation barrier (see Figure 7 in  
365 Frankland and Plane (2015)).

366

## 367 **5. Implications of metal uptake on PMCs - MSP coagulation**

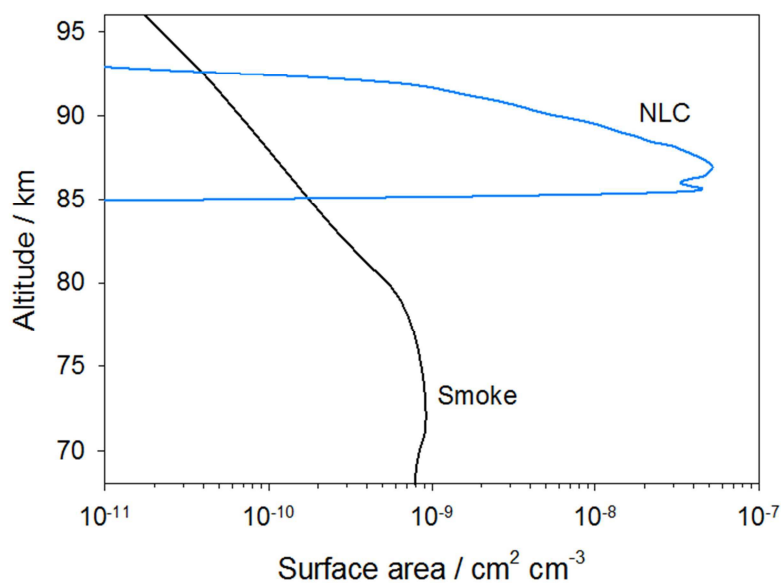
368

369 The present results show that Mg and K do not co-desorb with the ice layer during  
370 sublimation and instead the metals are left as residuals on the substrate surface.  
371 This has also been observed for Fe on ice (Frankland and Plane, 2015). The fact  
372 that these metals – whether atoms or compounds – do not sublime but form a  
373 residual implies that the accumulation of meteoric compounds in PMC particles could  
374 leave relatively large residual particles when the ice sublimates, thus providing a  
375 distinct route to the formation of large MSPs in the vicinity of PMCs.

376 In the experiments in the present study, the 1.3  $\mu\text{m}$  thick  $\text{H}_2\text{O}$  film was evaporated  
377 during the TPD runs in  $\sim 100$  s, so that the film desorbed at a rate of  $\sim 13$   $\text{nm s}^{-1}$  (at a  
378 heating ramp of  $0.5 \pm 0.02$   $\text{K s}^{-1}$ ). This rate is much faster than the maximum  
379 desorption rate of PMCs in the mesosphere, estimated by Gadsden (1982) to be  
380 about  $0.6$   $\text{nm s}^{-1}$  (for a  $50$   $\text{nm}$  radius ice particle at  $170$   $\text{K}$ ). It is unlikely that adsorbed  
381 metals on PMC particles undergoing this relatively slow evaporation rate would co-  
382 desorb, so that the conclusion from the present experiments should also hold for the  
383 sublimation of PMC particles. The sublimation of a metal-containing ice particle in  
384 the interplanetary or interstellar medium would typically be subject to even lower  
385 heating rates ( $\leq 0.1$   $\text{nm s}^{-1}$  for a  $1$   $\mu\text{m}$  radius ice particle at  $\sim 170$   $\text{K}$  and  $1$   $\text{AU}$   
386 (Patashnick and Rupprecht, 1975)) compared to our experimental system, and so  
387 evaporation of the ice should also produce a metal-rich residual particle.

388 For PMCs this suggests that any metals removed from the gas phase onto the ice  
389 particle surface would be locked into the solid phase. As the region of the  
390 mesosphere warms, or the PMC particles sediment into a warmer and/or  
391 unsaturated region of the mesosphere, sublimation of the  $\text{H}_2\text{O}$  will commence. The  
392 metallic species will migrate deeper into the particle by diffusion until sublimation of  
393 the PMC particle is complete, leaving behind a residual particle composed of

394 adsorbed metal atoms, metal compounds, and MSPs, including the particle on which  
395 the ice originally condensed. This process means that PMC particles in the Earth's  
396 mesosphere will compete with MSP growth via coagulation and condensation of  
397 metallic species from the gas phase, which in turn may influence the growth and  
398 transport of MSPs during summer at high latitudes. Uptake of metals on PMCs is  
399 therefore a distinct mechanism for creating MSPs, which could produce particles of  
400 different morphology, density and composition compared to MSPs produced by  
401 coagulation of existing "background" MSPs. Figure 5 is a comparison of the surface  
402 area available for growth and coagulation on existing MSPs (taken from modelling  
403 the distribution of charged particles measured by a rocket payload (Plane et al.,  
404 2014)), compared with the surface area available on ice particles during a strong  
405 PMC event (modelled from lidar backscatter measurements at South Pole (Plane et  
406 al., 2004)). A strong PMC is classified as a cloud with a maximum backscatter  
407  $\geq 1.3 \times 10^{-9} \text{ m}^{-1} \text{ sr}^{-1}$  at 532 nm (Fiedler et al., 2003), which corresponds to an ice  
408 surface area of  $\sim 5 \times 10^{-8} \text{ cm}^2 \text{ cm}^{-3}$  (Baumgarten et al., 2008). At the cloud peak, the  
409 strong PMC provides at least 2 orders of magnitude greater available surface area  
410 for metal uptake compared to the background MSPs.



411

412 **Figure 5.** Comparison of available surface area from MSPs measured by a rocket-  
 413 borne dust detector (Plane et al., 2014), and lidar measurements of a strong PMC  
 414 (Plane et al., 2004).

415

416 In fact, Hervig et al. (2012) used observations from the Solar Occultation For Ice  
 417 Experiment (SOFIE) spectrometer on the AIM satellite to deduce that PMC particles  
 418 contain between 0.01 and 3% by volume of meteoric smoke. This implies that if an  
 419 ice particle had a typical radius of 50 nm, then the residual MSP particle left after  
 420 sublimation would have a radius of between 2.4 and 15.8 nm (assuming a density of  
 421  $2 \text{ g cm}^{-3}$ ). This can be compared to the predictions of a 1-D microphysical model  
 422 where MSP growth occurs by coagulation, and the global input of ablated cosmic  
 423 dust is  $5 \text{ t d}^{-1}$  (Plane et al., 2014): the concentration of particles larger than 2 nm is  
 424  $64 \text{ cm}^{-3}$ , and larger than 15 nm is only  $0.2 \text{ cm}^{-3}$ . Since the typical concentration of  
 425 PMC ice particles in a strong cloud is  $200 \text{ cm}^{-3}$  (Plane et al., 2004), this would

426 represent a dramatic ice-catalyzed coagulation if the PMC particles contained  
427 meteoric smoke close to 3% by volume.

428 It is worth considering what conditions would be required to build up these MSP-  
429 enriched ice particles. We have recently shown by modelling the different cometary  
430 and asteroidal sources of cosmic dust entering the Earth's atmosphere that the  
431 ablation input is around  $8 \text{ t d}^{-1}$  (Carrillo-Sánchez et al., 2016). If a strong PMC was 1  
432 km thick with a  $200 \text{ cm}^{-3}$  concentration of 50 nm ice particles (corresponding to the  
433 cloud in Figure 5 (Plane et al., 2004)), then it would take  $\sim 1.4$  days of ablation input  
434 to build up a 0.01% volume of meteoric material in the ice, *if* all the ablated vapours  
435 directly entered the ice particles, and so is a lower limit to the time required. In fact,  
436 the average lifetime of individual ice particles in a strong PMC appears to be only  
437 around 5 hours (Kiliani et al., 2013), which implies that the same meteoric material  
438 would build up through several cycles of ice particle nucleation, growth and  
439 sublimation. This is possible because the Stokes settling velocity of a "typical" MSP  
440 with a radius of 2 nm and a density of  $2 \text{ g cm}^{-3}$  is on the order of  $10^{-3} \text{ m s}^{-1}$  at 1 Pa,  
441 which is much smaller than the vertical wind during polar summer (Plane et al.,  
442 2015). Thus, the net motion of these MSPs can be upward during PMC season, back  
443 into a region where nucleation could occur. Note that in order to build up 3% by  
444 volume of smoke in the ice particles (the upper end of the range deduced from  
445 satellite observations (Hervig et al., 2012)), would take 407 days which is more  
446 difficult to explain.

447

## 448 **6. Summary and conclusions**

449



450 In this study we have used experiments on ice films within a UHV chamber to  
451 investigate the binding and reactivity of Mg and K on ice at temperatures of 110 –  
452 140 K. Both metals were readily adsorbed under these conditions but were found not  
453 to co-desorb with the ice layer upon sublimation. Experimental sputtering showed  
454 that the metals are left as residuals after the ice sublimates, suggesting that in the  
455 case of PMC ice particles, or icy particles in space, the metals would remain in the  
456 solid phase after ice sublimation. We hypothesise that the depletion of metal during a  
457 PMC event would lead to the formation of a population of large ( $\geq 2$  nm radius)  
458 MSPs after the cloud sublimated. The effects on the mesosphere of this process  
459 require future modelling to account for cloud microphysics and competing MSP  
460 formation mechanisms. Energetic sputtering by 500 eV  $\text{Ar}^+$  and  $\text{Kr}^+$  of metal dosed  
461 ice layers also showed that Mg was unreactive, while K formed KOH on the ice  
462 surface. These observations were supported by electronic structure calculations,  
463 with an Mg atom shown to be unreactive and only binding at an irregularity in the ice  
464 structure, while an initially adsorbed K atom requires relatively little energy to insert  
465 into a surface  $\text{H}_2\text{O}$  to produce KOH and a dangling H atom. The calculations also  
466 explain a previous observation that adsorbed Fe reacts on low-temperature ice to  
467 produce  $\text{Fe}(\text{OH})_2$ .

468

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474

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- 601

## Highlights

- Mg and K species deposited on ice do not co-desorb when the ice sublimates
- Mg is unreactive on ice surface, while K reacts to form KOH
- An effective and distinct MSP coagulation mechanism occurring in PMCs is proposed