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1 Original research

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3 **Phylogenetic linkages between composition and structure of tree**  
4 **communities shed light on historical processes influencing tropical rainforest**  
5 **diversity**

6

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## 40 **Abstract**

41 The separation of the Neotropics, Afrotropics and Madagascar has subjected their biotas to  
42 different histories. Based on current knowledge of these histories, we developed the following  
43 predictions about the phylogenetic structure and composition of rainforest tree communities:  
44 (1) isolation of Gondwanan biotas generated differences in phylogenetic composition between  
45 biogeographical regions; (2) greater angiosperm diversification in the Neotropics led to  
46 phylogenetic clustering in Amazonian communities; (3) major Cenozoic extinction events led  
47 to random phylogenetic structure in Afrotropical communities; (4) co-occurrence of magnoliids  
48 tracking conserved habitat preferences and recently diversified eudicot lineages led to  
49 phylogenetic overdispersion near the Andes. Using abundance data of tropical rainforest tree  
50 species from 94 sites in the Neotropics, Afrotropics and Madagascar, we computed net  
51 relatedness index (NRI) to assess local phylogenetic clustering vs. overdispersion relative to  
52 regional species pools, and principal coordinates of phylogenetic structure (PCPS) to assess  
53 variation in phylogenetic composition across sites. Using PCPS, we observed significant  
54 differences in phylogenetic composition among biogeographical regions. Moreover, we  
55 identified lineages linked to shifts in phylogenetic structure (NRI) among sites across  
56 biogeographical regions. Most of Central Amazonian communities were phylogenetically  
57 random, although some communities presented significant phylogenetic clustering. We  
58 observed phylogenetic overdispersion mainly near the Andes, and widespread phylogenetic  
59 randomness in the Afrotropics. Evaluating the phylogenetic linkages between community  
60 structure and composition clarified distinct historical processes influencing community  
61 structure in tropical rainforests in different regions. The Gondwanan vicariance, with the  
62 subsequent isolation of biotas subject to different climatic and orographic histories, influenced  
63 the history of diversification of different clades in different regions. The dominance of regional

64 pools by some lineages and the co-occurrence of early- and late-diversified lineages in a region  
65 explain current variation in phylogenetic composition and structure of rainforest tree  
66 communities across the Neotropics, Afrotropics and Madagascar.

## 67 **Introduction**

68 Historical factors influence the formation of regional species pools (MacArthur 1972, Ricklefs  
69 1987, Cracraft 1994), and, consequently, the structure of the local communities assembled from  
70 them (Parmentier and Hardy 2009, Leibold et al. 2010, Lessard et al. 2012a, Gerhold et al.  
71 2015). Studies have inferred macroevolutionary processes structuring local communities by  
72 evaluating how phylogenetic patterns differ across biogeographical barriers (Graham et al.  
73 2009, Kooyman et al. 2011, Kissling et al. 2012, Lessard et al. 2012b, Eiserhardt et al. 2013,  
74 Hawkins et al. 2014). Although these studies demonstrated that historical processes likely  
75 determine community structure, understanding the linkages between local phylogenetic  
76 structure and the variation in phylogenetic composition among regions remains a challenge.  
77 Commonly used measures of community phylogenetic structure enable the assessment of local  
78 phylogenetic clustering and overdispersion relative to a regional species pool (Webb et al.  
79 2002), but give no information on which lineages are associated with phylogenetic clustering  
80 or overdispersion. For instance, two communities with the same phylogenetic structure value  
81 may have very different species composition (Graham et al. 2009). Hence, studies usually infer  
82 historical processes affecting phylogenetic structure by evaluating both compositional beta  
83 diversity and phylobetadiversity, which permits linking current phylogenetic structure to  
84 information on the macroevolution for certain lineages (Graham and Fine 2008, Graham et al.  
85 2009). One way to link phylogenetic structure and composition is to measure both phylogenetic  
86 structure and phylobetadiversity using an approach that permits identification of the most  
87 common lineages across communities. While the net relatedness index (NRI; Webb et al. 2002)  
88 can be used to measure phylogenetic structure, the PCPS analysis (phylogenetic coordinates of  
89 phylogenetic structure; Duarte 2011, Duarte et al. 2012) can be used to assess  
90 phylobetadiversity. PCPS analysis synthesises phylobetadiversity into ordination vectors

91 (PCPS) representing gradients in phylogenetic composition across communities. By using  
92 PCPS, it is possible to identify the lineages linked to shifts in phylogenetic structure values  
93 (NRI) across biogeographical barriers, as those created by vicariance (see the methods for more  
94 information on PCPS). Observing how lineage composition differences among communities  
95 relate to phylogenetic co-occurrences may improve the inference of major macroevolutionary  
96 influences on current species co-occurrences (Gerhold et al. 2015).

97         In this study, we integrate NRI and PCPS approaches to test biogeographical  
98 hypotheses related to the fragmentation of Gondwana and its subsequent history. The  
99 Gondwanan vicariance started during the Cretaceous, ca. 112-106 Ma (Wilf et al. 2013),  
100 separating the Neotropical, Afrotropical, and Malagasy biotas. South America remained  
101 connected to Antarctica and Australia through the Early-Middle Eocene (Wilf et al. 2013). The  
102 Gondwanan breakup coincided with the early evolution of many extant lineages of  
103 angiosperms, and with the first appearance of the arboreal habit among angiosperms (Feild and  
104 Arens 2007). The major lineages of angiosperms, namely magnoliids, eudicots and monocots,  
105 appeared over a period of ca. 15 Ma (Aptian-Albian) from ca. 125 to 110 Ma (Feild and Arens  
106 2007), so that the ancestors of these lineages should have been present in the early flora of the  
107 two new continents in formation. Among angiosperms, magnoliids form a major clade that  
108 diverged before monocots and eudicots (APG 2009). Magnoliids are considered “southern wet  
109 forest survivors” because they currently co-occur with other “ancient” clades (e.g.  
110 Araucariaceae, Podocarpaceae, Proteaceae) in forests that bear high compositional similarity  
111 with the Gondwanan rainforests they were part of during the Cretaceous (Kooyman et al. 2014).  
112 These Gondwana-like rainforests are currently found in the South American highlands (Segovia  
113 and Armesto 2015). Magnoliid species largely conserved traits of early angiosperms (from ca.  
114 120 Ma) that limit water usage, which led to lower photosynthetic capacity compared to

115 eudicots (Feild et al. 2002, Boyce et al. 2009, Brodribb and Feild 2010). These features force  
116 magnoliids to be mostly associated with tropical upland, shady and wet forests (Feild and Arens  
117 2007), which suggests these plants track habitats across space and time (Duarte 2011; habitat  
118 tracking sensu Ackerly 2003).

119         The Gondwanan vicariance led to increasingly isolated biotas, subject to different  
120 climatic and orographic histories, which likely led to differences in net diversification  
121 (speciation minus extinction) of angiosperm clades between the Neotropics and Afrotropics  
122 (Gentry 1982, Parmentier et al. 2007, Ghazoul and Sheil 2010, Morley 2011). The separation  
123 of Neotropical and Afrotropical biotas increased during the Cenozoic (Morley 2011), when  
124 South America, Africa and Madagascar became totally separated from Antarctica and Australia  
125 (Wilf et al. 2013). A recent phylogeny based on molecular and fossil data points to a major  
126 diversification of angiosperm lineages after the Cretaceous-Paleogene (KPB) boundary  
127 (Silvestro et al. 2015). In the Neotropics, the persistence of a wet climate has maintained large  
128 areas of continuous rainforest across time, which propitiated the accumulation of angiosperm  
129 species due to high speciation (and low extinction) rates, especially monocots and eudicots  
130 (Gentry 1982, Colinvaux et al. 2000, Maslin et al. 2005, Morley 2011, Kissling et al. 2012, ter  
131 Steege et al. 2013). The accumulation of species in the Neotropics is consistent with the time-  
132 integrated species area effect (Fine and Ree 2006). Moreover, there is much stronger support  
133 for the role of major dry periods affecting floristic composition in the Afrotropics than in the  
134 Neotropics during the glacial ages of the late Cenozoic (Colinvaux et al. 2000, Parmentier et al.  
135 2007, Ghazoul and Sheil 2010). These dry periods led to rainforest retraction, which caused  
136 major extinctions during the Cenozoic (Parmentier et al. 2007, Morley 2011). Moreover, these  
137 climatic fluctuations reduced habitat availability across time, which reduced speciation rates in  
138 the Afrotropics (Kissling et al. 2012).

139           Within region, the uplift of the Andes during the Cenozoic (from ca. 40 Ma) has driven  
140 recent diversification of many taxa (e.g. hummingbirds; Graham et al. 2009), including rapid  
141 diversification of angiosperm lineages (Gentry 1982, Richardson et al. 2001, Hughes and  
142 Eastwood 2006). The uplift of the Andes created a spatial configuration of habitats that  
143 promoted speciation, e.g. island-like habitats isolated by valleys of different environmental  
144 conditions (Hughes and Eastwood 2006). Given the preference of magnoliids for tropical  
145 upland forests (Feild and Arens 2007), mountain ranges such as the Andes are also likely to  
146 serve as refugia for magnoliid species tracking conserved habitat preferences. The net result of  
147 the effect of historical climatic and orographic differences between the Neotropics and  
148 Afrotropics was higher net diversification of angiosperms in the former than in the later (Gentry  
149 1982, Parmentier et al. 2007).

150           Considering the major differences in angiosperm diversification between the  
151 Neotropics and Afrotropics, we expect to find major differences in phylogenetic composition  
152 between the Neotropics and Afrotropics (Hypothesis 1, Table 1). Moreover, given that  
153 magnoliids track conserved habitat preferences, we expect to observe magnoliids better  
154 represented in communities near mountain ranges such as the Andes (Hypothesis 1, Table 1).  
155 Considering the higher climatic stability and habitat availability during the Cenozoic and  
156 subsequent higher angiosperm diversification in the Neotropics, we expect to observe  
157 widespread phylogenetic clustering in the Central Amazon (Hypothesis 2, Table 1). Because of  
158 Cenozoic major extinction events likely have decreased net diversification in the Afrotropics,  
159 most of the divergences between extant lineages should be ancient, resulting in widespread  
160 random phylogenetic structure in Afrotropical rainforest communities (Hypothesis 3, Table 1).  
161 The co-occurrence of species belonging to recently-diversified lineages with species belonging

162 to early-diversified lineages tracking ancestral habitats (i.e. magnoliids) have likely led to  
163 phylogenetic overdispersion in communities near the Andes (Hypothesis 4, Table 1).

164

## 165 **Methods**

### 166 **Study sites**

167 We gathered tree inventories for 115 sites from Neotropical and Afrotropical rainforests, i.e.  
168 Central American, Amazonian, Guineo-Congolian and Malagasy rainforests (not including  
169 Neotropical Atlantic rainforests): seven sites of the Tropical Ecology, Assessment and  
170 Monitoring Network (TEAM) (data sets available at <http://www.teamnetwork.org>), 74 Alwyn  
171 Gentry's forest sites (available at [http://www.wlbcenter.org/gentry\\_data.htm](http://www.wlbcenter.org/gentry_data.htm)), and 34 surveys  
172 published in the literature. TEAM's sites consisted in five to nine 1-ha plots per site. Alwyn  
173 Gentry's sites consisted of one 0.1 ha transect per site. The surveys obtained from the literature  
174 had variable sampling efforts. We compiled data from a total of 89 sites for the Neotropics, 23  
175 sites for the Afrotropics, and three sites for Madagascar. We used the data from the 115 sites to  
176 build the pool of species of each biogeographic region. See Supplementary material Appendix  
177 1 for the list of sites from surveys available from TEAM's and Gentry's data sets and published  
178 studies. Information on sampling design and data collection of the TEAM Network can be  
179 found in Supplementary material Appendix 1 and in TEAM Network (2010).

180 In this study, data on the identity and abundance of angiosperm species were pooled  
181 for each site (94 sites out of the 115 used for building the regional species pool). We obtained  
182 abundance data for 76 sites in the Neotropics, 15 sites in the Afrotropics, and three in  
183 Madagascar. To maintain consistency with TEAM's surveys and most of the surveys from  
184 published studies, we selected only the data from Gentry's transects for trees with diameter at

185 breast height  $\geq 10$  cm. The majority of individuals were identified to the species level. Each  
186 individual identified to the genus level at a given site was regarded as a species specific to that  
187 site. Unidentified individuals in TEAM Network's sites (2.8% of the individuals) were  
188 excluded from the data matrix. We standardised the lists by removing non-arboreal species and  
189 correcting for nomenclatural synonyms using the online tool Taxonomic Name Resolution  
190 Service v3.2 (Boyle et al. 2013).

191           Given that the different sources had different sampling sizes, we tested for the effect  
192 of data source on the variation of NRI (Supplementary material Appendix 2). Even though  
193 different data sources had different sampling efforts, NRI did not significantly differ between  
194 data sources (see analyses in Supplementary material Appendix 2).

195

## 196 **Phylogenetic tree**

197 We built a phylogenetic tree from the megatree R20120829 (available at  
198 <https://github.com/camwebb/tree-of-trees/blob/master/megatrees/R20120829.new>), which is  
199 based on the phylogenetic backbone (mostly resolved at the "order level") proposed by APG  
200 III (APG 2009) and on relationships among families according to Stevens (2001). Considering  
201 that we were interested in deep relationships in the phylogenetic tree, we did not need to build  
202 a high-resolution tree. Then, we standardised the resolution of the megatree by removing infra-  
203 family phylogenetic relationships, keeping the resolution at the "family level" for the whole  
204 tree, with polytomies linking species within genus and genera within family. The tree branch  
205 lengths were adjusted through the BLADJ algorithm in Phylocom 4.2 software (Webb et al.  
206 2008) following clade age estimates by Bell et al. (2010). Undated clades were evenly  
207 interpolated between dated clades. We used the module Phylomatic 2 in the software Phylocom

208 4.2 (Webb et al. 2008) to build a phylogeny with all the species present in our global species  
209 pool (6,056 species from the 115 compiled forest surveys), i.e. including the Neotropics,  
210 Afrotropics, and Madagascar. See the phylogeny in Supplementary material Appendix 3, Fig.  
211 A4. Finally, we calculated a matrix of phylogenetic distances (in millions of years) between  
212 pairs of terminal taxa for the entire phylogeny (containing 6,056 species).

213

#### 214 **Linking shifts in local phylogenetic structure to changes in phylogenetic composition across** 215 **sites**

216 For testing our hypotheses, we used two approaches. One approach is the net relatedness index  
217 (NRI; Webb et al. 2002), which measures phylogenetic structure in values representing  
218 clustering vs. overdispersion relative to a species pool. The other approach is the phylogenetic  
219 coordinates of phylogenetic structure (PCPS; Duarte 2011), which synthesises  
220 phylobetadiversity into ordination vectors representing changes in phylogenetic composition  
221 across communities. Using PCPS, we identified lineages linked to shifts in phylogenetic  
222 structure values (NRI) across biogeographical regions. Both of the methods are briefly  
223 explained next.

224 We evaluated phylogenetic structure (clustering vs. overdispersion) of local  
225 communities relative to regional species pool using the net relatedness index (NRI; Webb et al.  
226 2002), which is the standardised effect size of mean pairwise phylogenetic distances among co-  
227 occurring taxa in a community. Significant positive values of NRI indicate that taxa are more  
228 related than expected by chance (phylogenetic clustering), while significant negative values  
229 indicate that taxa are less related than expected by chance (phylogenetic overdispersion).  
230 Communities presenting NRI values that do not differ from the null expectation of phylogenetic

231 structure are interpreted as phylogenetically random. We used the null model phylogeny.pool,  
232 which controls for species richness and draws species without replacement from the phylogeny  
233 with equal probability of being included in the null communities (Kembel et al. 2010). We  
234 computed NRI values for each site using species pools defined by biogeographical region  
235 (Neotropics, Afrotropics or Madagascar). We did not use a global species pool comprising all  
236 the communities across all the three biogeographical regions for two reasons. First, the  
237 Neotropics had tree species richness three to five times higher than the Afrotropics. Second,  
238 our compilation was asymmetric, with more sites in the Neotropics (89) than in the Afrotropics  
239 (23) and Madagascar (3). This precluded us from properly interpreting differences between  
240 biogeographical regions regarding changes in NRI values when the size of the species pool  
241 increased from a regional to a global scale. We calculated NRI values by weighting species  
242 abundances. We computed NRI as  $-1 \times \text{ses.mpd}$  using the package Picante v. 1.6.2 (Kembel et  
243 al. 2010) in the statistical software R (R Core Team 2015).

244 PCPS are ordination vectors expressing orthogonal gradients in phylogenetic  
245 composition across sites (Duarte 2011, Duarte et al. 2012, 2014a) and can be used to identify  
246 lineages that better represent different parts of environmental or biogeographical gradients  
247 (Brum et al. 2013, Duarte et al. 2014a). PCPS vectors were extracted by principal coordinates  
248 analysis (PCoA) on matrix **P** of phylogeny-weighted species composition (Duarte 2011) for  
249 each 1-ha and 400-m<sup>2</sup> subplot across all sites. In PCPS, lineage commonness across sites is  
250 evaluated using correlation of species with PCPS vectors (Duarte 2011). Labelling species  
251 according to their clades enables identification of the lineages better representing different sites.  
252 Shifts in phylogenetic structure likely reflect the variation in phylogenetic composition across  
253 communities. Then, subsequently correlating PCPS scores with NRI values enable  
254 identification of the lineages related to phylogenetic clustering or phylogenetic overdispersion.

255 The PCPS with the highest eigenvalue describes major changes in phylogenetic composition  
256 between sites related to the split of deep tree nodes (e.g. nodes splitting magnoliids from  
257 eudicots); as the eigenvalues of other PCPS vectors decrease, changes in phylogenetic  
258 composition related to splits of shallower nodes appear (Duarte et al. 2014a).

259 We opted to use species abundances rather than species occurrences in the community  
260 matrix of species per site, because the latter generated a strong arch effect (Legendre and  
261 Legendre 2012) on PCPS ordination, which would jeopardise inferences about phylogenetic  
262 composition across communities and regions. Moreover, abundances are better descriptors of  
263 species performance at the local scale than occurrences are. Our sampling units describe local  
264 communities of a particular habitat where species co-occur, differently from lists of species at  
265 coarser spatial grains such as 110 km x 110 km cells, for which occurrences would maybe be  
266 more appropriate. We computed PCPS using the package PCPS v. 1.0.1 (Debastiani and Duarte  
267 2014) in the software R. For details on the calculation of matrix **P** see Pillar and Duarte (2010).  
268 See Duarte et al. (2014) for a flow chart of the phylogenetic fuzzy-weighting method, and  
269 Duarte et al. (2012) for a flow chart of the PCPS analysis.

270 We used a coarse-resolution phylogenetic tree because our historical hypotheses deal  
271 with deep relationships in the phylogenetic tree. NRI is little affected by loss of resolution  
272 terminally in the phylogeny, especially in phylogenies with a great number of species (Swenson  
273 2009), as ours. Moreover, the lack of phylogenetic resolution is more likely to generate false  
274 negative than false positive results in phylogenetic dispersion analyses (Swenson 2009). The  
275 PCPS vectors used (PCPS I and II) captured the deep relationships in the phylogenetic tree,  
276 which deals with a temporal scale consistent with our historical hypotheses. Furthermore, a  
277 recent study has shown that terminal lack of resolution in the phylogeny is not likely to affect  
278 the first and second PCPS vectors (Maestri et al. 2016).

279 In order to test whether the phylogenetic structure and composition of rainforest tree  
280 communities differed between the Neotropics, Afrotropics, and Madagascar, we compared NRI  
281 values between biogeographical regions and PCPS scores between biogeographical regions,  
282 respectively. We used ANOVA to test for the significance of these comparisons.

283 We used Pearson's correlation to test for the association between shifts in local  
284 phylogenetic structure (NRI values) and the variation in phylogenetic composition (PCPS  
285 scores) across sites. We used Dutilleul's correction (Dutilleul 1993) to account for the influence  
286 of spatial autocorrelation on the number of degrees of freedom. Spatial analyses were performed  
287 using SAM (Rangel et al. 2010).

288

## 289 **Results**

290 We compiled 6,056 species for 115 sites across the Neotropics, Afrotropics, and Madagascar.  
291 The Neotropics had the highest species richness (4,668), followed by the Afrotropics (1,095)  
292 and Madagascar (347). Considering the 94 sites with species abundances separately, we  
293 compiled 5,506 species – 4,346 species for the Neotropics, 857 species for the Afrotropics, and  
294 347 for Madagascar. The actual species pool of the Amazon rainforest harbours between 16,000  
295 and 25,000 tree species (ter Steege et al. 2013, Slik et al. 2015), while the Afrotropical rainforest  
296 has between 4,500 and 6,000 tree species (Slik et al. 2015). Then, our global species pool would  
297 represent approximately 19-30% of the actual pool of tree species of the rainforests of the  
298 Neotropics, Afrotropics and Madagascar (not considering the Brazilian Atlantic forest). This  
299 sample is likely a good representation of the phylogenetic composition of trees in these  
300 biogeographic regions.

301 We identified major changes in phylogenetic composition correlated to shifts in  
302 phylogenetic structure across communities in different biogeographical regions (Fig. 1). Figure  
303 2 provides maps of PCPS eigenvalues and NRI values to aid in the spatial interpretation of  
304 phylogenetic composition and structure patterns. The first PCPS eigenvector synthesised a  
305 gradient in phylogenetic composition across communities represented by major angiosperm  
306 lineages: magnoliids, monocots, and eudicots (see Supplementary material Appendix 3, Fig.  
307 A4 to locate clades in angiosperm phylogeny). Monocots were better represented in Andean  
308 and Western Amazonian communities (Fig. 1, 2a, c). Magnoliids were better represented in  
309 some of the Andean communities (Fig. 1, 2a, c). The second PCPS eigenvector described a  
310 gradient in phylogenetic composition characterised mostly by monocots being more  
311 represented in the Neotropics, while magnoliids and eudicots being well represented in the three  
312 biogeographic regions (Fig. 1, 2c). The phylogenetic composition differed between Neotropics  
313 and Afrotropics for both PCPS I and II (Fig. 2a-d).

314 Phylogenetic structure (NRI) values did not differ between biogeographical regions  
315 (Fig. 2f). Rather, phylogenetic structure varied within the Neotropics, with communities near  
316 the Andes showing phylogenetic overdispersion (Fig. 2e). Phylogenetic overdispersion was  
317 associated with communities near the Andes with high representation of magnoliids or  
318 monocots (Fig. 1), which mathematically means that there were more pairwise phylogenetic  
319 distances (for NRI calculation) being computed down to basal nodes when comparing eudicots  
320 to magnoliids or to monocots (see Supplementary material Appendix 3, Fig. A4 for angiosperm  
321 phylogeny). Values of NRI were significantly correlated with PCPS I ( $r = 0.791$ ,  $F_{30,2} = 50.35$ ,  $P$   
322  $<.001$ ; see Supplementary material Appendix 4, Fig. A5). Negative PCPS I scores were related  
323 to negative NRI values (Fig 1, Fig. A5), which means that high representation of magnoliids,  
324 especially in the Andes, was related to phylogenetic overdispersion. Association of NRI values

325 with PCPS II was also significant ( $r = 0.28$ ,  $F_{52,1} = 4.42$ ,  $P = 0.04$ ; Fig. A5), although their  
326 relationship was not linear. Negative PCPS II scores were related to negative NRI values (Fig  
327 1, Fig. A5), which means that high representation of monocots near the Andes was related to  
328 phylogenetic overdispersion. Positive PCPS II scores were related to both negative and positive  
329 NRI values (Fig 1, Fig. A5), which means that high representation of magnoliids near the Andes  
330 was related to phylogenetic overdispersion, while high representation of eudicots in some  
331 Central Amazonian and some Afrotropical communities was related to phylogenetic clustering.  
332 Phylogenetic randomness predominated in Afrotropical and Malagasy, communities, and in  
333 part of Central Amazonian communities (Fig. 2e). It is important to note that random  
334 phylogenetic structure in Madagascar may be in part a result of the low number of sites we were  
335 able to compile for the region, given that small species pools may present less clustering than  
336 big species pools (Swenson et al. 2006).

337 In summary, we observed widespread phylogenetic overdispersion near the Andes  
338 associated with magnoliids and monocots, phylogenetic clustering in Central Amazon related  
339 to high representation of eudicots, and phylogenetic randomness in in Central Amazonian and  
340 Afrotropical communities (Fig. 1, 2).

341

## 342 **Discussion**

### 343 **Linkages between phylogenetic structure and regional composition in Neotropical and** 344 **Afrotropical rainforest tree communities**

345 By evaluating the linkages between phylogenetic structure and phylobetadiversity in light of  
346 the Gondwanan biogeographical history, we were able to test our four hypotheses. As predicted,  
347 we identified major differences in phylogenetic composition with magnoliids better represented

348 near mountain ranges (Andes). The variation in phylogenetic composition was accompanied by  
349 shifts in phylogenetic structure across biogeographical regions. Phylogenetic overdispersion  
350 was related to the high representation of magnoliids and monocots in communities near the  
351 Andes. The nodes that separate magnoliids and eudicots and monocots and eudicots are deep  
352 in the phylogeny, which increase phylogenetic diversity in places where magnoliids or  
353 monocots co-occur with eudicots (or the three lineages co-occur). Extant magnoliids are usually  
354 associated with upland, shady and wet habitats, (Feild and Arens 2007), which suggest  
355 magnoliids such as Lauraceae, Winteraceae and Annonaceae track this kind of habitats across  
356 space and time (Duarte 2011, Debastiani et al. 2015). Indeed, magnoliids have conserved traits  
357 that limit their establishment in open and drier habitats other than forest understoreys (Feild and  
358 Arens 2007). In addition, magnoliids appear to track ancestral-like habitats in Andean highlands  
359 (e.g. *Drimys* spp. that today occur in high elevations of the Andes; Colinvaux et al. 2000), which  
360 is consistent with the information that early-diverged lineages conserved austral Gondwanan  
361 niches in high latitudes and elevations of the Andes (Segovia and Armesto 2015). Besides  
362 providing refugia for lineages with conserved habitat preferences (e.g. magnoliids), the Andes  
363 appears to have promoted the recent diversification of many angiosperm lineages, especially of  
364 eudicots and monocots (Richardson et al. 2001, Kissling et al. 2012). Therefore, phylogenetic  
365 overdispersion in some of Andean communities is consistent with the co-occurrence of  
366 magnoliid species tracking conserved habitat preferences with eudicot species of lineages that  
367 diversified recently in the Andes. In a similar line, Diniz-Filho et al. (2007) argued that bird  
368 species accumulation in the Neotropics was the combined result of conservatism of ancestral  
369 tropical niches and recent diversification of lineages composed of small-ranged species in the  
370 Andes. The co-occurrence of eudicots and monocots is another feasible explanation for the  
371 phylogenetic overdispersion near the Andes, because monocots would increase phylogenetic  
372 diversity in presence of eudicots due to its deeper node in phylogeny. This result is consistent

373 with recent finding that Western Amazonian tree communities tend to be phylogenetically  
374 overdispersed (Honorio Coronado et al. 2015).

375           Although most of the communities in the Central Amazon presented phylogenetic  
376 random values, we found support for our hypothesis of phylogenetic clustering in Central  
377 Amazon for some communities. This clustering is related to the dominance of eudicots in these  
378 communities, as elucidated by PCPS analysis. Dominance of eudicot species in local  
379 communities may be a reflection of the Amazonian pool of species, which is dominated by  
380 species of this clade. Eudicots underwent high diversification in the Amazon (Gentry 1982).  
381 Eudicot-dominated communities tend to be clustered because they are composed of many  
382 closely-related species, i.e. these communities have high phylogenetic redundancy. The  
383 Amazonian species pool is also well represented by monocot species, especially palms  
384 (Arecaceae) (Kissling et al. 2012). Previous studies observed phylogenetic clustering in  
385 Amazonian palm assemblages (Kissling et al. 2012, Eiserhardt et al. 2013). The likely reason  
386 why we did not find phylogenetic clustering associated with monocots is that we considered  
387 communities composed by not only monocots, but also by eudicots and magnoliids. Thus, we  
388 found monocots either related to phylogenetic overdispersion (in the Andes) or to phylogenetic  
389 randomness (in Central Amazon).

390           As hypothesised, we found phylogenetic randomness in Afrotropical communities,  
391 which is consistent with what was previously found for monocots in the region (Kissling et al.  
392 2012). Phylogenetic randomness in the Afrotropics might be a result of major extinctions that  
393 occurred during the Cenozoic (Kissling et al. 2012).

394

395 **Linking alpha phylogenetic structure with phylobetadiversity; what do we gain by identifying**  
396 **lineages responsible for observed patterns?**

397 While many studies have assessed patterns of phylogenetic composition and species  
398 composition (phylobetadiversity and compositional beta diversity, respectively), this has not  
399 been enough to identify the lineages responsible for shifts in phylogenetic structure across  
400 regions. If historical biogeography inferences deeply rely on the information of how lineages  
401 evolved across space and time, it is essential to identify which lineages are responsible for  
402 differences in phylogenetic structure across biogeographical barriers to clarify the historical  
403 processes influencing current community structure. While species composition at the local scale  
404 may be ephemeral, lineage composition in regional species pools is likely to persist for millions  
405 of years (Gerhold et al. 2015). The knowledge on which lineage is linked to a given  
406 phylogenetic structure provides insight on the historical processes that were important for the  
407 formation of the regional species pool and, consequently, the structuring of local communities.  
408 For instance, we were able to relate the observed phylogenetic overdispersion near the Andes  
409 (as shown by NRI) to high representation of monocot and magnoliid species in the region (as  
410 shown by PCPS), which caused phylogenetic overdispersion when co-occurring with eudicots.  
411 By knowing that magnoliids tracked conserved habitat preferences, and that there was recent  
412 diversification of eudicot and monocot lineages during the uplift of the Andes, we were able to  
413 discuss the historical processes influencing phylogenetic structure of communities of the  
414 region. Therefore, integrating PCPS and NRI unifies phylogenetic structure and  
415 phylobetadiversity in a straightforward framework.

416

## 417 **Conclusions**

418 By linking phylogenetic structure with regional phylogenetic composition, we were able to  
419 identify how differences in lineage composition are related to phylogenetic co-occurrences at  
420 the local scale across communities in biogeographical regions that have been undergoing a  
421 major vicariance process during the past 100 Myr. By doing so, we were able to infer how  
422 macroevolutionary processes influenced current species co-occurrences. We observed both  
423 Mesozoic and Cenozoic imprints on the phylobetadiversity and phylogenetic structure of  
424 rainforest tree communities in the Neotropics and Afrotropics. Our results suggest that  
425 Gondwanan vicariance and the uplift of mountain ranges and their subsequent effect on  
426 angiosperm diversification explain current variation in phylogenetic composition and structure  
427 of rainforest tree communities across the Neotropics, Afrotropics and Madagascar. By  
428 identifying the linkages between lineage composition and phylogenetic structure across sites in  
429 the Neotropics, Afrotropics and Madagascar, we hope to contribute to the discussion on the  
430 historical and ecological processes that shaped the structure of rainforest tree communities in  
431 these regions with different biogeographical histories.

432

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452 P.M. and J.L. collected the data; M.B.C., G.D.S.S. and L.D.S.D. analysed the data; M.B.C. led  
453 and G.D.S.S., D.S., V.D.P. and L.D.S.D. contributed to the writing.

454

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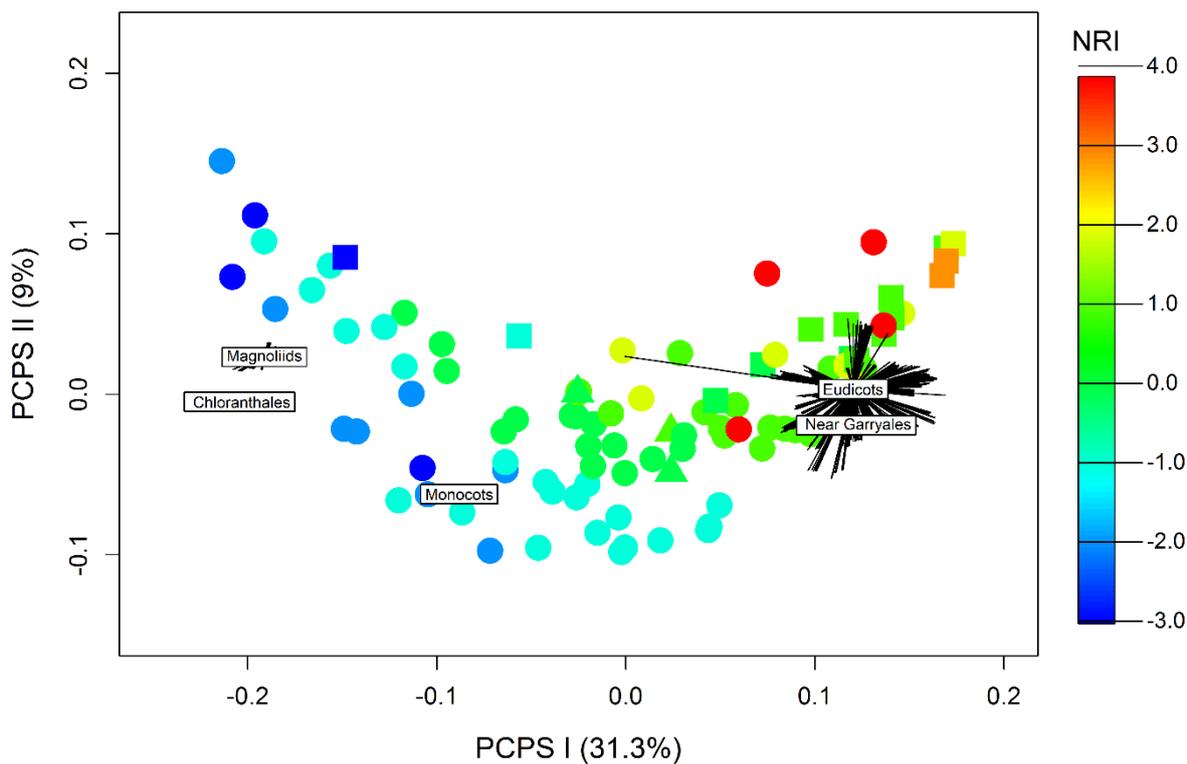
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- 590 Supplementary material (Appendix EXXXXX at <[www.oikosoffice.lu.se/appendix](http://www.oikosoffice.lu.se/appendix)>).
- 591 Appendix 1–4.

592 **Table 1.** Hypotheses of the study, showing the predictions about the influence of historical factors on  
 593 the structuring of rainforest tree communities in the Neotropics, Afrotropics, and Madagascar.

	Historical processes	Predictions about phylogenetic composition and structure	References
Hypothesis 1	The breakup of Gondwana led to increasing isolation of biotas. The biogeographic regions were completely separated in the Cenozoic, when great differences in diversification rates between regions occurred due to different climatic and orographic histories.	Major differences in phylogenetic composition between biogeographical regions, with eudicots well represented throughout study sites and magnoliids better represented near mountain ranges.	Ghazoul and Sheil 2010, Morley 2011, Wilf et al. 2013, Duarte et al. 2014b, Silvestro et al. 2015
Hypothesis 2	High speciation and low extinction rates of eudicots due to persistence of large areas of rainforest through the Cenozoic led to a regional species pool dominated by this clade in the Neotropics, especially for Central Amazonian communities.	Eudicot species would be more likely to be drawn from the regional species pool during community assembly, leading to widespread phylogenetic clustering in Central Amazonian communities.	Gentry 1982, Fine and Ree 2006
Hypothesis 3	Major extinction events during the Cenozoic resulted in a regional lineage pool with a lower number of recently-diverged lineages in the Afrotropics.	The low number of recently-diverged lineages in the regional species pool would lead to random phylogenetic structure in Afrotropical communities.	Parmentier et al. 2007, Kissling et al. 2012
Hypothesis 4	At a regional scale the uplift of the Andes promoted recent diversification of eudicots, as well as gave rise to montane, moist and shady habitats, similar to those that existed in Gondwana. Magnoliids generally show conserved preferences for these Gondwana-like habitats, and seem to have tracked them northwards coming from southern Andes and Patagonia. Then, magnoliids tracking conserved habitat preferences met eudicot lineages that diversified during the Cenozoic in northern Andes.	The node splitting magnoliids to other angiosperms, including eudicots, is deep in the phylogeny. Thus, the co-occurrence of magnoliids tracking conserved habitat preferences with recently-diverged eudicots increase phylogenetic diversity, leading to phylogenetic overdispersion in northern Andean communities.	Feild and Arens 2007, Graham 2009, Wilf et al. 2013, Segovia and Armesto 2015

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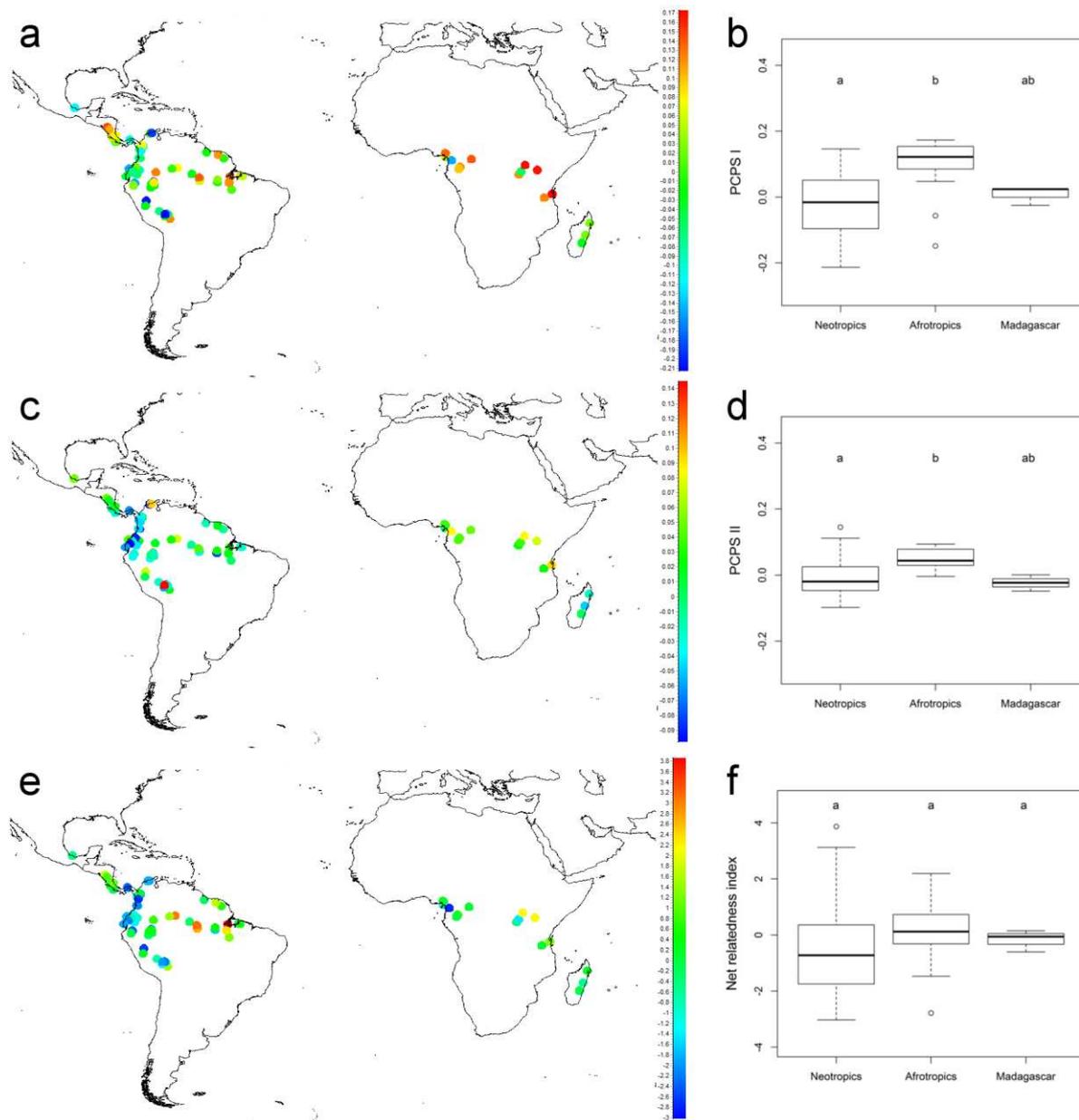
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596

597 **Figure 1.** Scatter diagram of the two main ordination vectors representing gradients in phylogenetic  
 598 composition of angiosperm trees across Neotropical, Afrotropical and Malagasy rainforest communities.  
 599 Ordination vectors were computed using principal coordinates of phylogenetic structure (PCPS)  
 600 approach (Duarte 2011). Phylogenetic structure was assessed using net relatedness index (NRI; Webb  
 601 et al. 2002) computed according to regional species pools (Afrotropics, square; Neotropics, circle; and  
 602 Madagascar, triangle). High positive values indicate phylogenetic clustering (reddish colours), values  
 603 near zero indicate random phylogenetic structure (greenish colours) and high negative values indicate  
 604 phylogenetic overdispersion (bluish colours). For the geographical location of sites, see Fig. 2.

605



606

607 **Figure 2.** Spatial variation in phylogenetic composition (a, c) and structure (e) of angiosperm trees  
 608 across Neotropical, Afrotropical and Malagasy rainforest communities. Phylogenetic composition and  
 609 structure were assessed using principal coordinates of phylogenetic structure (PCPS) and net relatedness  
 610 index, respectively. Phylogenetic composition differed between Neotropics and Afrotropics for the two  
 611 main PCPS vectors (b, d). Phylogenetic structure did not differ between biogeographical regions (f), but  
 612 presented an apparent gradient from Andes to Amazon in the Neotropics. Different letters over the  
 613 boxplots represent significant comparisons under  $P < 0.001$  for ANOVA and Tukey tests. The variation  
 614 in phylogenetic structure (NRI) values (e) is represented by the same colours used in Fig. 1.