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1 Title: Severe acute malnutrition in children aged under five years can be
2 successfully managed in a non-emergency routine community healthcare setting
3 in Ghana.

4 Running Title: Management of severe acute malnutrition in non-emergency contexts

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12

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23

24

25

26 **Conflict of interest**

27 No competing conflict of interest.

28

29 **Contribution of authors**

30 These authors contributed equally to this work. RA conceived the study, led in designing the
31 study and wrote the first draft of the manuscript. JH, LB and MH contributed to designing
32 the study, supervised data collection and writing of the manuscript. MC provided advice on
33 the data analysis, as well as editing of the manuscript. All authors read and approved the final
34 manuscript.

35

36 **Abstract**

37 This study investigated the performance of Community-based Management of Severe Acute
38 Malnutrition (CMAM) within routine healthcare services in Ghana.

39 A retrospective cohort study of n=488 children (6-59 months) who had received CMAM.

40 Data for recovery, default and mortality rates were obtained from enrolment cards in 56
41 outpatient centres in Upper East region, Ghana.

42

43 Satisfactory rates of recovery of 71.8% were reported. Children who were enrolled with
44 higher Mid-Upper Arm Circumference (MUAC) ≥ 11.5 cm had 7 times greater chance of
45 recovery compared with children who were enrolled with lower MUAC < 11.5 cm (OR=7.35
46 (95% CI: 2.56, 21.15, $p < 0.001$)). Children who were diagnosed without malaria at baseline
47 were 30 times (OR=30.39 (95% CI: 10.02, 92.13, $p < 0.001$)) more likely to recover compared
48 to those with malaria ($p < 0.001$). The average weight gain was 4.7g/kg/day, which was
49 influenced by MUAC status at baseline ($\beta = 0.78$ (95% CI: 0.46, 1.00, $p < 0.001$)), presence of
50 malaria ($\beta = -1.25$ (95% CI: -1.58, 0.92, $p < 0.001$)) and length of stay ($\beta = 0.13$ (95% CI: 0.08,

51 0.18, $p < 0.001$). The default rate (28.5%) was higher than international standards
52 recommendations by SPHERE. Mortality rate (1.6%) was lower than international standards.

53

54 Our findings suggest that community-based management of SAM can achieve similar success
55 when delivered in routine non-emergency settings. However, this success can be diluted by a
56 high default rate and the factors contributing to this need to be explored to improve
57 programme effectiveness within communities.

58

59

60

61 **Introduction**

62 Recent estimates suggest that around 19 million children globally are suffering from Severe-
63 Acute malnutrition (SAM), and the majority of these cases are concentrated in 36 countries
64 (UNICEF 2009; WHO 2009). More than 90% of the cases are in South Asia and Sub-Saharan
65 Africa (WHO 2009). For instance, in India alone, the number of children under 5 years who
66 suffer from acute wasting has doubled in the last decade (WHO 2009). If untreated, SAM can
67 have detrimental consequences on children's health and development, i.e. SAM limits
68 children's ability to respond to stress, and makes them more vulnerable to infectious diseases
69 (Golden 2000). The condition also exposes children to high risk of morbidity and mortality
70 (Golden 2000). According to Black and colleagues (Black et al. 2008) children who suffer
71 from SAM are up to 20 times more likely to die compared with children who are well
72 nourished. They estimated that severe malnutrition directly causes around 2 million deaths of
73 children under 5 years annually, and is responsible for nearly 22% of overall global disability
74 adjusted life years in children under 5 years. Those children who survive death from SAM are

75 more likely to be below average height when they reach adulthood, and to give birth to
76 smaller or low birth weight children (Black et al. 2013; Schubl 2010).

77

78 Despite the devastating consequences of this condition, SAM has not been well managed in
79 many low and middle-income countries (LMICs) until relatively recently (Collins 2004).

80 Previous interventions to address SAM have achieved very little success because biomedical

81 treatment models were mainly adopted, which limited treatment to hospital settings (Briend

82 2001; Collins 2006). A public health approach, known as Community-based Management of

83 Severe Acute Malnutrition (CMAM), which allows children to be identified early and treated

84 outside of the hospital setting was introduced in 2001 to expand therapeutic care to children

85 in local community contexts (Collins 2001; Collins 2004). Initially called the Community-

86 based Therapeutic Care model (CTC), CMAM has been widely implemented in emergency

87 contexts and there are enough available data to demonstrate that the model has achieved

88 successful outcomes in these contexts (Valid 2006). For instance, in many Sub-Saharan

89 African countries, e.g. Ethiopia, Malawi, Niger and South Sudan, the implementation of CTC

90 programmes in emergency situations has lowered mortality caused by SAM to <5% (Collins

91 2001). As a result, in 2007 the WHO called on national governments of LMICs to adopt and

92 integrate CMAM into routine health services (WHO, UNICEF, WFP and UNSCN, 2007).

93 Available data show that between 2007 and 2011, around 10 countries, including Ghana, had

94 started CMAM programmes as part of routine healthcare delivery services (ENN 2011).

95 However, very little research evidence has been generated from these programmes for us to

96 understand the potential impact of the approach to address SAM in local communities.

97 Therefore, the aim of this study was to assess the performance of the CMAM approach to

98 treat SAM within routine community healthcare services in a non-emergency context.

99

100 **Materials and methods**

101 **Context and setting**

102 The Ghana Health Service (GHS), in collaboration with health development partners
103 (UNICEF, USAID and WHO), introduced the community-based management approach in
104 2010 in Ghana, as a strategy to address the high prevalence of SAM among under-fives. The
105 introduction of this approach was largely supported by an earlier study conducted in 2009, in
106 some selected sites in the Greater Accra region, which explored the feasibility and
107 applicability of integrating CMAM into the national healthcare system in order to prioritise
108 and treat children with SAM more pragmatically (GHS 2010a). Following recommendations
109 made by the earlier study, the GHS adopted the approach for implementation in high SAM
110 prevalence regions. Initially, three regions (Upper East, Upper West and Northern) were
111 selected to start CMAM programmes, however due to lack of resources and staff capacity
112 only the Upper East region commenced implementation in July 2010. This region is located
113 in the North-Eastern corridors of Ghana, and shares borders with Burkina Faso to the North,
114 Togo to the East, and the Upper West and Northern regions of Ghana. Administratively the
115 region is divided into eight districts (GHS 2011), three of which were prioritised to
116 implement the programme (Bolgatanga, Bawku West and Kassena-Nankana). According to
117 the GHS-Multi-Indicator Cluster Survey (GHS 2010b), the Upper East region has poor child
118 health and nutrition indicators. SAM, malaria and diarrheal diseases remain the main reason
119 for paediatric hospitals overcrowding (GHS 2010c). Culturally, the people in the three
120 regions share common beliefs about food and feeding practices of under-fives, some of which
121 impact negatively on women and children's nutritional status (LINKAGES 2000).

122

123 To facilitate the CMAM programme implementation process in Ghana, UNICEF and USAID
124 provided financial support, helped with procurement of peanut-based ready-to-use therapeutic

125 foods (RUTF), MUAC tapers, drugs or medicines, as well as they supported and supervised the
126 training of regional and district health workers and community volunteers. RUTF is designed
127 to meet the nutritional needs of severely malnourished children (Briend et al. 1999).

128

129 **The intervention and delivery procedure**

130 The intervention was delivered in an uncontrolled community environment, to children aged
131 6-59 months old who had MUAC ≤ 11.5 cm. They were identified at the community level
132 through searches conducted house-to-house by community based health volunteers who are
133 an integral part of the health care delivery system. The volunteers received special training on
134 the CMAM approach, in order to understand the concept of malnutrition in children and the
135 management of the condition using a community-based approach. After the training, the
136 volunteers went house-to-house to sensitise community members, and identify
137 malnourished children. The volunteers screened the children using a colour-branded MUAC
138 Tape, alongside looking for clinical signs of oedema. They referred all the children who met
139 the criteria for enrolment into the CMAM programme (< 11.5 cm) to a health centre for
140 examination by a qualified health worker to confirm SAM. At the health centre level, the
141 health worker (nurse) also received additional training on nutritional status assessment of
142 children < 5 years, measured the weight and MUAC of the referred children, and conducted
143 an assessment to identify any medical conditions, including bilateral pitting oedema. The
144 health worker measured the weight of the children unclothed or very light cloths and with no
145 necklaces using the Salter scale (Collins and Sadler 2012; Grellety et al 2012; Shewade et al
146 2012). The scale was calibrated before and after each measurement, using a standard weight,
147 and was adjusted to zero before each measurement. The health worker also measured the
148 MUAC of each child to the nearest 0.1 cm.

149

150 An appetite test was also performed on all the children who met the recruitment criteria
151 before any treatment commenced. The test involved giving children a small sample of RUTF
152 to taste. Children, who refused to eat the RUTF after 3 attempts were considered to have poor
153 appetite. They, together with the children who were diagnosed with severe medical
154 conditions such as hypoglycaemia, hypothermia, severe anaemia, anorexia dehydration as
155 having complicated SAM and referred to hospital to be managed clinically, according to the
156 national CMAM protocol (GHS, 2010d). Per the protocol, children who did not have a severe
157 medical condition, and passed an appetite test were admitted to receive treatment under the
158 CMAM programme. Children with MUAC <11.5cm, who were diagnosed with a co-
159 morbidity considered less severe (mild form of malaria, fever, diarrhoea and vomiting), were
160 also enrolled. The less severe co-morbidities were managed alongside nutritional therapy at
161 home as recommended in the national CMAM protocol. The children who had MUAC \geq
162 11.5cm but <12.5cm were classified as having moderate acute malnutrition (MAM), and
163 referred to an alternative supplementary feeding programmes supported by the World Food
164 Programmes.

165
166 RUTF was used in the therapeutic intervention for SAM. The efficacy of RUTFs in treating
167 children with SAM has been trialled in emergency programmes (Collins 2004; Briend 2004)
168 and RUTFs are now endorsed by the WHO as a therapeutic diet that can be used at
169 community level to treat children (WHO, UNICEF, WFP, UNSCN, 2007). RUTFs have a
170 good shelf life once opened, and are also resistant to bacterial contamination (Briend et al.
171 1999). Health workers distributed the RUTFs to caregivers weekly, the amount depending on
172 the child's body weight. Each week, the carer of the child was expected to attend a health and
173 nutrition reassessment session, where the nurse measured the child's weight, MUAC and
174 identified clinical signs of co-morbidity and oedema. The nurse used a rationing chart to

175 distribute RUTF to the carer to last for 7 days. The carer was advised to return to the care
176 centre on the 7th day for reassessment of the child's health and nutritional status, whether the
177 course of RUTF was completed or not. The mother also received counselling on malnutrition
178 and how to prevent and/or manage it, as well as education on the importance of RUTF to cure
179 the child's condition, at the point of receiving it. Children were treated at home by their
180 carers after receiving the RUTF, accompanied by advice on how to administer it to their
181 children. During treatment, children who developed medical complications (or were not
182 responding to treatment) were referred to hospital to receive hospital care, and returned to the
183 programme when they were declared clinically well, with a good enough appetite to consume
184 RUTF.

185

186 The children who were diagnosed with non-complicated co-morbidities prior to admission
187 received medical therapy in line with the recommendations outlined in the Integrated
188 Management of Childhood Illness (IMCI) guidelines and national CMAM protocol (GHS
189 2010d, WHO 1999). The health workers followed guidelines in these protocols and gave a 7
190 day course of antibiotics "Amoxicillin" (60mg/kg/day, 3 times daily) for children diagnosed
191 with a mild form of diarrhoea and other infections. A single dose of Artesunate-amodiaquine
192 combined therapy was given to treat children diagnosed with malaria, whereas paracetamol
193 syrup was given to control fever (temp >37.5°C). Vitamin A was given according to current
194 Vitamin A supplementation guidelines, i.e. a single dose of 100,000 IU for children <12
195 months and 200,000 IU for children aged \geq 12 months. The dose was given 4 weeks after
196 commencing nutritional therapy. An anthelmintic (Mebendazole) was given orally as a single
197 (250mg) dosage for children 12 - 24 months, and 500mg for children older than 24 months
198 after 2 weeks of commencing nutritional therapy. Children who showed signs of dehydration
199 were given Oral Rehydration Salt (ORS) solution, made from ReSoMal. Children were

200 followed until they achieved 15% weight gain of the initial weight and discharged, died or
201 defaulted. Children who completed the programme cycle of 16 weeks without meeting the
202 recovery criteria were transferred to hospital to continue treatment.

203

204 **Data collection**

205 The data used in this study were obtained by reviewing children's attendance cards, which
206 were originally collected by health workers following the treatment of children between July
207 2012 and January 2013. The cards were obtained from 56 outpatients' treatment centres
208 across the three districts where the programme was implemented. Three health workers were
209 recruited to help in the gathering of the children cards from the three districts, as well as in
210 the retrieval of relevant data from the cards. To ensure data quality, we trained the health
211 workers on how and what type of information needed to be collected. The cards contained
212 information recorded at baseline, during treatment and at discharge. A data collection form
213 was developed and used to extract relevant information based on the study objectives and the
214 outcome of interest. Key variables of interest were: baseline and end line anthropometric
215 measurements (weight and MUAC), medical history including information on oedema,
216 personal details of the child and socio-demographic information of parents, such as whether
217 mother and/or father were alive, and breastfeeding status. The main outcomes of interest for
218 this study were recovery, mortality, default and rate of weight gain. Data on children who
219 were first admitted to hospital before transfer to the community-based programme were
220 excluded because we could not find details of their baseline (hospital treatment) information.

221

222 **Statistical analysis**

223 Extracted data were entered directly into an excel spreadsheet, cleaned and analysed using
224 STATA version 11. An exploratory analysis was first carried out to check for consistency,
225 missing data, presence of 'outliers', multicollinearity and normality. Possible outliers for

226 anthropometric data were checked using standard references for weights and MUAC
227 measurements for children <5 years. The aim was to ensure accuracy and reliability of the
228 data and the findings of the study. For example, units of weight and MUAC were all checked
229 to ensure that grams (g) or millimetres (mm) were not used for some children whereas
230 kilogram (kg) and 'centimetres (cm) for others. After these checks, variables were then coded
231 to conform to the STATA statistical software used. Missing data values were coded as
232 missing. The children who did not attend three consecutive treatment sessions, and their cards
233 labelled 'dropout' were coded as 'default'. Children who died whilst receiving the therapy
234 were coded as 'died'. The children whose cards were labelled as 'nonresponse to treatment'
235 and 'referred to hospital for medical care' were coded as 'nonresponse' and 'referred'
236 respectively, whilst the children who completed 16 weeks of the treatment but did not meet
237 the discharge criteria were coded as 'transfers'. Descriptive analysis was performed for the
238 entire study population of children to estimate the proportion of children who recovered, died
239 and defaulted during the intervention phase. Differences between continuous variables were
240 compared using the student t-test, whereas the Chi-Square test was used to compare
241 differences between categorical variables. The differences in outcome across more than two
242 groups were compared using the Extended Mantel Haenszel Chi-Square test for linear trends.
243 Relationships between outcomes and explanatory variables were identified using multivariate
244 regression modelling.

245

246 The rate of weight gain for the children who were discharged as recovered, as well as the
247 children who defaulted from the programme were computed separately using the formula:
248 $((\text{discharge weight (kg)} - \text{enrolment weight (kg)}) / (\text{enrolment weight (kg)})) / \text{number of days in}$
249 $\text{programme (Bahwere et al. 2006)}$. Multiple linear regression was carried out to identify
250 predictors of rate of weight gain, whereas binomial regression was performed to identify the

251 predictors of recovery and default. It did not make statistical sense to conduct multivariate
252 analysis for predictors of mortality because of the relatively small sample size. Because there
253 were multiple independent variables, a step-wise backwards regression approach was suitable
254 (Barry 2012; Nathans 2012).

255

256 **Ethics and consent**

257 The study was based on data collected as part of routine non-emergency community
258 healthcare services delivery in the Upper East region, and our study did not offer any
259 supplementary intervention to children. Prior to the recruitment of children into the
260 programme, the GHS had sought consent from parents/caregivers to use the data that will be
261 generated following the intervention for research/evaluation. As children were already
262 discharged from treatment before this study, it was difficult for us to obtain additional
263 consent directly from parents/caregivers to review and use the clinical information of their
264 children. Instead, we obtained consent or permission from the GHS - Upper East Region
265 health directorate, and in all the three implementing districts, as well as all the 56 health
266 centres where the children records/information was held. This was undertaken after the GHS
267 Ethics Review Board granted ethical clearance for the study to be conducted (REF NO: GHS-
268 ERC: 10/1/11). All information retrieved from the children clinical records were anonymized and
269 de-identified prior to analysis. We also ensured that the information was held securely under
270 password protection in an encrypted computer.

271

272 **Results**

273 **Baseline sample characteristics**

274 Records of 725 children who left the programme between July 2012 and January 2013 were
275 retrieved from the programme database in 56 outpatients'/community health centres. Of

276 these, 237 children were excluded from analyses because their record either had
277 incomplete/missing baseline and discharge information (n = 87) or they were aged above 59
278 months (n = 46) or below 6 months (n = 67) (**Figure 1**). Therefore the overall sample
279 included in the analysis was 488 children with accurate and complete information relevant to
280 measuring the outcomes of the intervention. Most children (n = 483) were admitted based on
281 their MUAC; the remaining 5 were enrolled with bilateral pitting oedema. Children were
282 characterised on the basis of their sex, age, birth category (singleton, twin, triplet), parental
283 status (mother is alive, father is alive), breastfeeding status, co-morbidity and nutritional
284 characteristics recorded at baseline for bivariate comparison of differences in proportions
285 and/or means where applicable (**Table 1**).

286

287 **Place Table 1**

288

289 More than half of children were females (57.2%). About 37% were enrolled with MUAC
290 <11cm, 59% were enrolled with MUAC of 11-11.5cm, and only 4% had their baseline
291 MUAC >11.5cm. The majority of children were breastfeeding at the time of enrolment. The
292 mean age was 17.3 months (**Table 1**). The most frequent co-morbidity diagnosed at baseline
293 was fever (18.0%), malaria (17.6%), and vomiting (14.0%). Diarrhoea (8.2%) and cough
294 (6.0%) were less common. Anaemia was the least common illness diagnosed.

295 **The programme results**

296 Overall, 338 children (69.3%) completed the programme, with 150 (30.7%) failing to
297 complete. Completers were those who did not default from the programme, i.e. they were
298 either discharged from the programme because they attained nutritional recovery with a 15%
299 weight gain of initial weight or discharged after completing the 16 weeks programme cycle

300 (and either recovered or not recovered). Non-completers referred to children who defaulted
301 or died, as well as children who were lost to follow-up after they were referred to hospital.

302

303 The results of the study showed that overall 346 of the 488 children (71.0%) who received
304 therapeutic care recovered from SAM. They include n=332 who completed the programme,
305 n=8 for those who re-joined the programme (when traced) after defaulting (returned
306 defaulters) and n=6 for the children who achieved the recovery target but left the programme
307 undischarged (defaulted before discharged time) (see Figure 1). Of the 338 children who
308 completed the treatment, 6 (1.2%) failed to recover and were transferred to hospital for
309 inpatient care. The mean recovery time was 6.2 weeks (95% CI: 5.8, 8.2).

310

311 More than a quarter (28.5%; n=138) of children did not complete the programme and were
312 classified as defaulters or dropouts. Our analysis show that a small proportion (6%, n=20) of
313 the defaulting children had met the recovery criteria prior to default, i.e. they attained a $\geq 15\%$
314 weight gain from baseline weight during their stay in the programme. However, we did not
315 include these children in our calculation of the proportion of children that recovered from the
316 programme since they were not discharged as such. When the children who defaulted were
317 traced to their homes 66 children returned to the programme within an average time of 3.2
318 weeks after defaulting, and the rest were lost to follow up. Of the returnees, 48 children
319 recovered completely from SAM within 2 to 3 weeks after re-joining the programme. Four
320 children who were referred to hospital for medical treatment during the nutritional therapeutic
321 care failed to return to the programme, whilst 8 (1.6%) children enrolled died whilst receiving
322 treatment (**Table 2**). For the children who defaulted, the median length of stay was 2.3 weeks
323 (IQ: 2.0, 2.5), and for children who died, the median length of stay in the programme was 4.8
324 weeks (IQ: 3.7, 5.8). It took on average 5.4 weeks for children admitted without co-morbidity

325 to recover from SAM, whilst children enrolled with a medical condition or co-morbidity took
326 much longer. The average daily weight gain for all children was 4.7g/kg/day (95% CI: 4.5,
327 5.4).

328

329 **Place Table 2**

330

331 **Predictors of recovery, weight gain and default**

332 Children who were enrolled without malaria had a higher chance of recovery (OR=30 (95%
333 CI: 10.02, 92.13, $p<0.001$)) compared with those who had malaria (**Table 3**). The results also
334 show that children who were enrolled with higher MUAC (≥ 11.5 cm) had 7.35 times
335 (OR=7.35 (95% CI: 2.56, 21.15)) more chance of recovery compared with children who were
336 enrolled with lower MUAC (**Table 3**). The children who stayed in the programme up to 6
337 weeks, compared with children who defaulted <6 weeks had a greater chance of recovery
338 (OR=11.30 (95% CI: 3.46, 36.93, $p<0.001$)). Socio-demographic variables such as age sex
339 and age of child, parental status (father or mother alive) and breastfeeding and nutritional
340 status (weight, MUAC) recorded at baseline did not predict default rate.

341

342 **Place Table 3**

343

344 Staying longer in the programme ($\beta=0.13$ (95% CI: 0.08, 0.18, $p<0.001$)) and baseline
345 MUAC ($\beta=0.78$ (95% CI: 0.46, 1.00, $p<0.001$)) were strong predictors of weight gain (**Table**
346 **4**). It suggests that for every unit increase in MUAC (cm), weight gain increased by
347 0.78g/kg/day, and for every extra one week stay in the programme, weight gain increased by
348 0.13g/kg/day. The rate of weight gain was lower among children diagnosed with malaria at
349 baseline compared with children without malaria ($\beta= -1.25$ (95% CI: -1.58, 0.92, $p<0.001$)).

350

351 Results from bivariate analysis show that children >24 months achieved higher rates of
352 weight gain [5.0 (95% CI: 4.7, 5.4) g/kg/day] than children aged less than 24 months [4.6
353 (95% CI: 4.3, 4.8) g/kg/day, t-test $p < 0.03$].

354

355 **Place Table 4**

356

357 **Discussion and conclusions**

358 This study evaluated the potential effect of a community-based therapeutic care programme
359 delivered within routine community health services in a non-emergency context in Ghana.
360 Currently there is no agreed standard protocol for evaluating the therapeutic effectiveness of
361 community-based programmes delivered in this context. Many national protocols on the
362 CMAM approach, including the national CMAM guidelines for Ghana (GHS 2010d) are
363 currently based on the Sphere guidelines for nutrition therapeutic care in emergency contexts.
364 Therefore, we compared the programme observed outcomes with the current acceptable
365 Sphere indicators (**Table 2**).

366

367 Our findings suggest that a community-based therapeutic care approach, which has achieved
368 successful outcomes in a humanitarian context, can also achieve similar success when
369 delivered in developmental non-emergency healthcare contexts. The mortality rate (1.6%)
370 reported in the programme was within the Sphere acceptable standard of <10% (Sphere
371 2011). We found that, although the upper limit of the CIs of our results (95% CI: 68% to
372 76%) is well within the acceptable recovery rate of >75% (Sphere 2011), the overall average
373 recovery rate was lower (71.0%) compared with the SPHERE rate (>75%). Our analysis of
374 the data also shows a higher default and a lower rate of weight gain compared with the rates

375 stipulated in the Sphere guidelines. These rates were also lower compared with the CMAM
376 programmes delivered in emergency contexts (e.g. Defourny et al. 2009; Sadler et al. 2007;
377 Amthor et al. 2009).

378

379 The current findings corroborate well with existing literature that are based on CMAM
380 programmes delivered in routine health service contexts (Gebremedhin et al. 2013; UNICEF
381 2012). For example in Ethiopia, Gebremedhin and others (2013) reported a low mortality
382 (3.0%) and recovery rate (61.8%) following analysis they performed on routine data collected
383 prospectively on children treated for SAM in Northern Ethiopia. Even though the recovery
384 rate they reported is slightly lower than the Sphere standard, it met the requirement for
385 effectiveness in CMAM programmes delivered in routine health services contexts according
386 to the Ethiopian national CMAM protocol (Gebremedhin et al. 2013; Ethiopia Federal
387 Ministry of Health 2007). Our results should be interpreted with caution since the Ghanaian
388 National CMAM protocol is based on what is stipulated in the Sphere emergency
389 management guidelines. We argue that conditions for delivering CMAM programmes are not
390 the same in non-emergency and emergency contexts, and for this reason using the Sphere
391 guidelines to measure programme performance may not be appropriate. In developmental
392 non-emergency contexts where interventions are mainly coordinated by government health
393 agencies, resources are usually limited, including insufficient skills and motivated staff to
394 achieve results (Schubl 2010; Collins 2007; Collins 2001; Defourny et al. 2009; ENN 2011).
395 Where these resources are adequately in place, success rates have been reportedly high (e.g.
396 Collins 2007, Manary et al. 2006; UNICEF 2012; Collins and Sadler 2002). For instance, the
397 literature has consistently indicated that the successes achieved by programmes implemented
398 in emergency situations were largely influenced by availability of adequate resources

399 including human resource capacity and expertise to carry out regular supervision and
400 monitoring (Collins 2007; Collins 2001; Defourny et al. 2009).

401

402 Although our findings suggest that having a lower MUAC and co-morbidity before joining
403 the programme were factors contributing to poor recovery and weight gain (**Table 3**), we
404 believe that sharing of the therapeutic food with other siblings at home is a potential
405 explanation. Available qualitative information collected by the health workers delivering the
406 programme provides evidence for this (Akparibo 2013). Sharing of food is a common cultural
407 practice in Ghana, especially among rural traditional communities in the north where this
408 study was conducted. We could argue that because each sachet of the therapeutic diet
409 provided 500kcal of energy even if sharing did take place, this was less likely to impact on
410 the nutritional recovery and weight gain of the children. However this conclusion can only be
411 made if the exact amount of RUTF the children consumed per day was known. Unfortunately
412 daily consumption of RUTF data were not analysed because of lack of data.

413

414 Data were also limited for us to be able to ascertain the main reasons for the high default rate
415 reported. Socio-demographic, clinical and nutritional status variables did not predict default.
416 However, in previous studies long duration of treatment has been identified as a strong
417 predictor for dropouts when children are admitted to hospital for treatment of SAM (Briend
418 2001; Collins et al. 2006; Collins and Sadler 2002). It is also reported in the literature
419 (Collins and Sadler 2002; Guerrero 2013; Puett et al. 2013) that caregivers (mothers) are
420 usually overburdened with multiple tasks at home because of lack of support from their
421 spouses, thus impacting on their ability to access CMAM services regularly, especially if the
422 duration of treatment is longer than they anticipated.

423

424 Besides the duration, the high default rate might also be related to distance from health
425 centres, travel cost and/or opportunity cost (Guerrero et al. 2013). It could also be attributable
426 to unacceptability of the treatment by children and/or their parents (Sadler et al. 2007) and/or
427 quality of care (Puett et al. 2013). Theoretically when children drop out from a freely
428 provided community-based intervention, it is either a signal of unacceptability of the
429 programme due to perceived quality of the services or issues with accessibility or both
430 (Sadler et al. 2008). We could not determine whether these were associated with default in
431 this intervention because of lack of data. However, a recent study in Kenya (Hauenstein
432 2013) shows that mothers withdrew their children, or were not accessing CMAM services
433 because of geographical accessibility problem (distance). The study also highlighted quality
434 of the service as a major contributory factor for non-uptake. Other possible reasons are:
435 beliefs held by parents about malnutrition and its treatment and lack of supervision and
436 monitoring due to weakness in the health system.

437

438 There were several limitations in this study. The first is that the variables analysed did not
439 include socio-cultural, economic and geographical factors for us to determine whether these
440 were also associated with the high default rate. Although the programme designers made
441 provision for information such as distance covered to access treatment to be collected, the
442 health workers failed to complete this portion of children's attendance form. The second
443 limitation of this study is that there might have been inaccuracy in the anthropometry
444 measurements of children, which could have led to misclassification of children for inclusion
445 or exclusion in the programme. As this study is a retrospective analysis of routinely collected
446 data, the researchers were unable to supervise the anthropometric measurements.
447 Furthermore, the researchers are unsure of the full compliance with therapeutic care at home,
448 especially consumption of plumpy'nut by children. Although health workers recorded the

449 number of sachets they gave to each child per week, we do not know the actual amount of
450 plumpy'nut each child consumed per day for their body weight. If sharing did occur, this was
451 likely to impact on the daily recommended nutrient intake needed for a child to recover and
452 gain adequate weight. The lower weight gain reported could also be explained using this
453 assumption. Lastly we are unsure what might have happened to the children who defaulted
454 and never returned to the programme - whether they survived or died. For that reason our
455 estimation of mortality rate might be an underestimation of reality. The generalisation of the
456 findings therefore should be undertaken with caution.

457

458 Notwithstanding the limitations, we enhanced the reliability of our data through the quality
459 checks applied to the data before analysis, as well as the approach to the data analysis, i.e.
460 outliers for anthropometric data were checked using standard references for weight and
461 MUAC measurements. The units of weight and MUAC were all checked to ensure that the
462 correct unit of measurements were used.

463

464 In summary, the outcomes measured following the implementation of the CMAM
465 programme in Ghana have partially met the Sphere acceptable minimum standards (Sphere
466 2011), even if default rates were high and weight gain was low. Mortality rate was within
467 what the Sphere guidelines stipulates, as well as the limits of the CIs of recovery rate fall
468 within the acceptable minimum. We can therefore, conclude that the implementation of a
469 CMAM approach in non-emergency contexts can achieve success but this can be diluted by a
470 higher default rate. The factors associated with higher default rates need to be explored from
471 the parents' perspective. This could help programme stakeholders to understand what needs
472 to be addressed in order to improve effectiveness and to sustain the programme within
473 community contexts. Furthermore, although there was no data to ascertain whether or not

474 sharing of the RUTF occurred at home, previous analysis of routine monitoring data
475 (Akparibo 2013) did suggest that this was likely to occur. Therefore, we recommend that the
476 awareness of parents and carers be created about the potential impact of sharing the RUTF on
477 the nutritional recovery of their children suffering from SAM.

478

479 **Key messages**

- 480 • Community-based management of children with SAM programmes can be delivered
481 in routine non-emergency healthcare settings with high success rates.
- 482 • The success of Community-based management programme can be diluted by a high
483 default rate.
- 484 • Further research on what needs to be done to address the high default rate to achieve
485 maximum impact of community based SAM management programmes is needed.

486

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Table 1: Baseline demographic and nutritional characteristic of children aged 6-59 months enrolled into the community-based SAM management programme

Variables	n	(%)	Mean (\pm SD)
Sex			
Female	279	57.2	
Male	209	42.8	
Age (months)			17.4 \pm 2.5
6 to 23	381	78.1	
24-36	107	21.9	
Multiple birth			
single	462	94.7	
twin	20	4.2	
triplet	6	1.1	
Breastfeeding			
Yes	409	83.8	
No	79	16.2	
Mother alive			
Yes	473	96.9	
No	15	3.1	
Father alive			
Yes	475	97.3	
No	13	2.1	
Appetite			
Yes	476	97.5	
No	12	2.5	
Baseline MUAC (cm)			11.1 \pm 0.1
<11.0	182	37.3	
11.0 to <11.5	289	59.2	
\geq 11.5	17	3.5	
bilateral pitting Oedema			
Yes	5	1.4	
No	483	98.6	
Baseline weight (kg)	488		7.0 \pm 0.1
Co-morbidity/symptoms			
Fever			
Yes	88	18.0	
Malaria			
Yes	86	17.6	
vomiting			
Yes	67	13.7	
cough			
Yes	28	5.7	
diarrhoea			
yes	40	8.2	
Anaemia			
Yes	3	0.6	
Multiple co-morbidity (2 or more)			
Yes	86	13.6	

669 Data are in Mean (M) \pm Standard Deviation), numbers (N) and Percentages (%).

670 **Table 2:** The community-based programme outcomes compared with international
 671 acceptable standards (Sphere Project, 2011).

Primary outcomes measures	n	Mean (95% CI)	Standards (Sphere indicators)
Recovery rate (%)	346	71.0 (68.0 - 76.0)	>75
Death rate (%)	8	2.0 (1.0 – 3.0)	<10
Default rate (%)	138	28.0 (24.0 - 32.0)	<15
Total	488		
Secondary outcomes			
Average rate of weight gain for recovered (g/kg/day)	346	4.73 (4.50 -4.80)	≥8
Average length of stay (weeks)	488	6.02 (5.86 -6.21)	4-6

672 Data in Confidence Intervals (CI), Mean (M), Numbers (n) and Percentages (%). Total recoveries n=346
 673 include n=332 of 338 children who complemented the programme, n= 8 for those who re-joined the programme
 674 (when traced) after defaulting (returned defaulters) and n=6 for the children who achieved the recovery target
 675 but left the programme undischarged (defaulted before discharged time).
 676

677 **Table 3:** Predictors of recovery from severe acute malnutrition following treatment

Variables	Recovery		Univariate analysis		Multivariate analysis	
	Yes (n=349)	No (n=139)	OR (95% CI)	p	aOR (95% CI)	p
Sex						
Male	150(42.98)	59 (42.45)	1.02(0.69-1.52)	0.91
Age (months)						
6 to 23	272 (80.0)	109 (80.1)	Reference	
24-36	68 (20.0)	27(19.9)	1.01(0.61-1.66)	0.97		
Breastfeeding						
Yes	294(84.2)	115(82.7)	1.12(0.66-1.89)	0.68
Mother alive						
Yes	338(96.8)	135(97.1)	0.91 (0.28-2.91)	0.87
Father alive						
Yes	341(97.7)	134(96.4)	1.59(0.51-4.95)	0.42
Default	20 (5.7)	118(84.9)	0.01(0.01-0.02)	<0.001	** 11.30 (3.4636.93)	<0.001
Co-morbidity						
Fever						
Yes	51(14.6)	37(26.6)	0.47(0.29-0.76)	0.002
Malaria						
Yes	50(14.3)	36(25.9)	0.48(0.30-0.78)	0.003	**30.39 (10.02-92.13)	<0.001
Vomiting						
Yes	44(12.6)	23(16.5)	0.73(0.42-1.26)	0.26	
Cough						

Yes	20(5.7)	8(5.8)	1.00(0.43-2.32)	0.99
Diarrhoea						
Yes	25(7.2)	15(10.8)	0.64(0.33-1.25)	0.19
Anaemia						
Yes	2(0.6)	1(0.7)	0.80(0.07-8.84)	0.85
Length of stay (weeks)	6.0(5.0-6.0)	2.0(1.0-3.0)	4.14(3.22-5.32)	<0.001	3.28 (2.22-4.86)	<0.001
Admission weight (kg)	6.8(6.0-7.7)	7.0(6.0-7.6)	1.0(0.87-1.16)	0.98
Baseline MUAC (cm)	11.2(10.9-11.4)	11.1(10.8-11.3)	1.72(1.05-2.81)	0.03	7.35 (2.56-21.15)	<0.001

678 Notes: Data are in CI=Confidence Intervals, OR=Odd Ratios, aOR=adjusted Odd Ratios and p=Probability
679 values. Length of stay, Admission weight and MUAC are in interquartile range (IQ). aOR with corresponding CI were
680 calculated using step-wise multiple logistic regression model. Only variables showing significant associations
681 are reported. aOR and CIs (***) reported denote 'No malaria at enrolment' and 'Not defaulted'.
682

683

684

685 Table 4: Predictors of weight gain for children discharged as recovered

Predictors variable	Average change in weight gain (g/kg/day)	
	β (95% CI)	p-value ^a
Malaria	-1.25(-1.58 - 0.92)	<0.001
Admission MUAC	0.78(0.46 - 1.00)	<0.001
Length of stay	0.13(0.08 - 0.18)	<0.001

686 Data in Beta (β) co-efficient, Confidence Intervals (CI) and Probability (p). ^ap-values, CIs and ORs were
687 calculated using step-wise multiple logistic regression model. Only variables showing significant associations
688 are reported.
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691 FIGURE 1

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