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Rational selection of syngeneic preclinical tumor models for immunotherapeutic drug discovery

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Murine syngeneic tumor models are critical to novel immuno-based therapy development but the molecular and immunological features of these models are still not clearly defined. The translational relevance of differences between the models is not fully understood, impeding appropriate preclinical model selection for target validation, and ultimately hindering drug development. Across a panel of commonly-used murine syngeneic tumor models, we showed variable responsiveness to immunotherapies. We employed array comparative genomic hybridization, whole-exome sequencing, exon microarray analysis, and flow cytometry to extensively characterize these models, which revealed striking differences that may underlie these contrasting response profiles. We identified strong differential gene expression in immune-related pathways and changes in immune cell-specific genes that suggested differences in tumor immune infiltrates between models. Further investigation using flow cytometry showed differences in both the composition and magnitude of the tumor immune infiltrates, identifying models that harbor ‘inflamed’ and ‘non-inflamed’ tumor immune infiltrate phenotypes. We also found that immunosuppressive cell types predominated in syngeneic mouse tumor models that did not respond to immune-checkpoint blockade, whereas cytotoxic effector immune cells were enriched in responsive models. A cytotoxic cell-rich tumor immune infiltrate has been correlated with increased efficacy of immunotherapies in the clinic and these differences could underlie the varying response profiles to immunotherapy between the syngeneic models. This characterization highlighted the importance of extensive profiling and will enable investigators to select appropriate models to interrogate the activity of immunotherapies as well as combinations with targeted therapies *in vivo*.

Introduction

Recent clinical successes treating tumors with immunotherapies, including approval of the immune-checkpoint blockade antibodies ipilimumab (anti-CTLA-4) and nivolumab and pembrolizumab (anti-PD-1), demonstrate the potential to transform treatment paradigms and improve patient outcomes (1-3). These treatments represent a shift in the approach to cancer therapy as they do not target the tumor cells but instead target the immune system to circumvent inhibitory pathways that attenuate effective antitumor immune responses. Despite these successes, responses to immunotherapy usually remain restricted to a subpopulation of patients (4, 5). In order to broaden the cancer patient population benefiting from immunotherapy, a greater understanding is needed of the factors that impact response and the potential for combination of different therapies. It is clear that T-cell infiltration varies greatly between individual tumors, patients and disease types, with some considered to harbor more immunogenic (e.g. 'hot'/'inflamed') tumors (6, 7), characterized by greater T-cell infiltration and Th1 cytokine expression, and overlapping drivers of immunosuppression. In contrast, other tumors may be characterized by a sparse T-cell infiltrate (i.e., immunologically 'cold'), potentially as a consequence of reduced immunogenicity. The phenotype of the tumor immune infiltrate correlates with both patient prognosis (8) and outcome following immunotherapy (9). Intrinsic tumor characteristics such as neoantigen load can also affect response to immunotherapy (10, 11), possibly by modulating tumor immunogenicity (12).

Human xenograft tumor models, in which human tumor cell lines are implanted in mice, have played a critical role in understanding traditional cytotoxic or targeted cancer therapies.

However, in the context of immunotherapy, these routinely used and well characterized models are not suitable, since they lack an intact immune system. Several immunocompetent

mouse model systems can be used to study immunotherapies but each brings with it a series of challenges and limitations (reviewed in (13, 14)). For example, genetically engineered mouse models (GEMMs) recapitulate the anatomical location and encompass some disease-specific mutations frequently observed in human cancer, but they require large colonies of mice, have extended latency periods and, in contrast to the clinical setting, often display limited mutational burden and minimal genetic mosaicism (15). Alternatively, models based on subcutaneous or orthotopic implantation of syngeneic tumor cell lines have short latency periods, are reproducible and high-throughput; on the basis of this they have been the workhorse of cancer immunology for several decades (13). These tumor models have been invaluable in providing preclinical proof of concept for candidate immunotherapeutic drugs (16, 17), as well as building an understanding of mechanism of action and evaluating potential biomarkers of response (18, 19). However, to date, the majority of studies have been performed in a small number of models (compared to xenografts (14)) and, despite their widespread use, surprisingly little is known about the genotypes and phenotypes of these syngeneic murine tumor models (14). Ultimately, a better understanding of these models is required to enable appropriate model selection and to permit both data interpretation and extrapolation to the clinic (13, 14).

Here, we describe a comprehensive characterization of the genomic, transcriptomic, and immunological composition of several murine syngeneic tumor models. Using flow cytometry, we characterized the tumor immune infiltrate, the spleen and tumor-draining lymph nodes (TDLN) in a panel of our most frequently-used models. This enabled us to examine whether the genotype and gene expression profile of the tumor cells associated with their immunophenotype *in vivo*, and determine how this related to response following immune-checkpoint blockade. This study highlights the need for extensive characterization of models used for preclinical immunotherapy research, and provides data that will, based on

the proposed mechanism of action of the therapy being evaluated, support investigators in selecting appropriate models using hypothesis-driven rationales. It also forms the basis of a dataset that will increase the translational relevance of studies, by allowing parallels to be drawn between models and human disease phenotypes. Moreover, these data can be readily applied to expedite the discovery and development of novel immunotherapies by increasing the efficiency of preclinical drug development.

Materials and Methods

Tumor models. An overview of the experiments performed is shown in Supplementary Fig. S1. All *in vivo* experiments were performed in accordance with the UK Animal (Scientific Procedures) Act 1986 and the EU Directive 86/609, under a UK Home Office Project License and approved by the Babraham Institute Animal Welfare and Ethical Review Body, using guidelines outlined by Workman *et al.* (20). C57BL/6 and BALB/c mice were supplied by Charles River UK at 8–10 weeks of age and > 18g and housed under specific pathogen-free conditions in Tecniplast Green Line IVC Sealsafe cages holding a maximum of 6 animals with irradiated aspen chip bedding, Nestlets nesting material, a cardboard tunnel and wooden chew blocks. Mice were housed on a 12/12 light/dark cycle, with *ad libitum* UV-treated water and RM1 rodent diet.

100 μ L of cells in PBS were subcutaneously injected into the left flanks of mice (unless otherwise stated; details of the cell lines and cell numbers are in Supplementary Table S1). Cells did not undergo any *in vivo* passaging (except for the B16F10 AP-3 cell line) and were maintained under limited passage from original stocks (typically under 5). We did not undertake additional independent validation. Tumor volume was measured using the formula $(\text{width}^2 \times \text{length})/2$ and tumors were collected when reaching an average of 150 mm^3 . For the

Pan02 cell line, 50 μ L of cells in ice-cold matrigel (Corning) were surgically implanted into the pancreas tail. For tumor growth studies, mice were injected intraperitoneally with 10 mg/kg of either anti-CTLA-4 (mouse IgG2b, clone 9D9, Biolegend) or anti-PD-L1 (rat IgG2b, clone 10F.9G2, BioXCell) or the respective isotype controls (α NIP, MedImmune).

Array comparative genomic hybridization (aCGH). Microarray services were provided by Almac Diagnostics, UK. Genomic DNA was extracted from one sample of each mouse tumor cell line using the DNeasy Blood and Tissue Kit (Qiagen) and quality controlled (OD A260/230 ratio \geq 1.8) using a 2100 Bioanalyzer (Agilent). Samples were 2-color labelled using the genomic DNA labelling kit PLUS (Agilent), hybridized on Agilent Mouse genome CGH 1x224K oligo microarrays using the Oligo aCGH Hybridization kit (Agilent) and scanned on an Agilent Microarray scanner. Reference mouse genomic DNA (Merck) was used as a control. All microarray data are deposited into GEO (accession numbers GSE85509). After normalization to the control mouse genome, probe log₂ ratios for autosomal genes were converted to copy number variation (CNV) values (Supplementary Table S2 and Supplementary Dataset).

Whole-exome sequencing (WES). DNA was extracted from cell lines using the DNeasy Blood and Tissue kit (Qiagen). DNA samples were evaluated using an E-Gel (Invitrogen) and PicoGreen fluorometry to measure quality and quantity, respectively. DNA samples were then physically sheared to the desired size using a Covaris E220 Focused-ultrasonicator. Library preparation and enrichment were carried out using an Agilent SureSelectXT Mouse All Exon 49.6Mb design, followed by sequencing on an Illumina HiSeq 2000. Basecall files (*.bcl) were de-multiplexed and converted to fastq.gz format using CASAVA v1.8.2 (Illumina). CrossMap (21) was used to lift the BED files over to mm10 reference for variant calling. The reads were aligned using BWA (22) and variants were called using FreeBayes (23) and VarDict (24). Copy number was inferred from the exome data using Seq2C and

CNVKit (25) for approximately 1500 immune system and oncology-related genes. Variants were strain-specifically annotated using data downloaded from ftp://ftp-mouse.sanger.ac.uk/REL-1505-SNPs_Indels/strain_specific_vcfs/ for C57BL_6NJ, BALB_cJ, and DBA_1J. Sequencing data were deposited in the European Nucleotide Archive (accession PRJEB12925).

Targeted sequencing. DNA was isolated from fresh-frozen tumor tissue utilizing the Qiagen MagAttract kit and concentrations determined by Nanodrop spectrophotometry. Focused amplicon sequencing of 64 genes commonly mutated in cancer patients (based on proprietary datasets and the COSMIC database (26)) was carried out utilizing a custom AmpliSeq panel (Life Technologies) to confirm their mutation status. Two pools of amplicons were generated and 20 ng of DNA was utilized for each pool. The manufacturer's protocol was followed for target amplification, adapter ligation and purification. Libraries were sequenced on an Ion PGM instrument utilizing the 318 chip. Reads were aligned with Bowtie v2.0 (27) using the mouse reference version mm10 (B6 strain). Single nucleotide variants were called using VarScan v2.3.2 (28) with minimum average quality=30, minimum variant allele read count=5, and Fisher's exact t-test $p < 0.01$. Minimum variant allele frequencies of 7% were called with a minimum of 200X total depth at the locus. Annotation was conducted using Annovar (29).

Transcriptomics. Microarray services were provided by Almac Diagnostics, UK. RNA was extracted from the tumor, spleen and lymph node (LN) tissues from each model using RNASat 60 (Amsbio) and quality of total RNA was determined using a 2100 Bioanalyzer (Agilent). After amplification using the WT-Ovation Pico amplification kit (NuGEN Technologies), cDNA was generated using WT-Ovation Exon module. After quality testing, cDNA was fragmented, labelled and hybridized using the FL-Ovation cDNA Biotin Module V2 (NuGEN Technologies) to Mouse Exon 1.0 ST arrays (Affymetrix). All microarray data

are deposited into GEO (accession numbers GSE85509). Microarray data underwent Robust Multichip Algorithm pre-processing and normalization in Omicsoft ArrayStudio. 1192934 exon probes remained with a probe detection p value ≤ 0.05 . Quality control excluded 2 outliers. \log_2 transcript expression values were determined as the median of detected core probes.

To enable cross-comparison of tumor samples between models, five simulated pooled samples (SPS) were generated by proportional resampling with replacement of the \log_2 expression intensities for each gene (R and Omicsoft ArrayStudio). The SPS tumor control group was compared to tumor samples from each model to identify differentially-expressed genes (DEGs) using empirical Bayesian analysis (including vertical (within a given comparison) p-value adjustment for multiple testing) (Fios Genomics). LN and spleen samples were compared with their tissue, strain and sex-matched controls. DEGs were selected using both FDR Adjusted p-value ≤ 0.05 (Benjamini and Hochberg method) and fold change ≥ 2.0 or ≤ -2.0 .

DEGs underwent functional enrichment and network analyses using Ingenuity Pathway Analysis (IPA, Ingenuity® Systems). Enrichment analysis results were cross-compared using the IPA comparison tool. The differentially-regulated non-disease canonical pathways with the top ten highest and lowest activation Z scores per model were ranked by summed absolute Z score for all 15 models and plotted in a heatmap. Normalized \log_2 gene expression intensity per tumor sample for 96 cell type-annotated genes from the Nanostring nCounter® PanCancer Immune Profiling Panel gene-list underwent hierarchical cluster analysis (HCA) (normalized, linkage = Ward and distance = Euclidean) to cluster samples only, with genes ordered by immune cell type annotation (Matlab).

Flow cytometry. Tumors were disaggregated using the GentleMACS Mouse Tumor Dissociation kit (Miltenyi Biotech). Splens and TDLNs were dissociated through a 70 μ m nylon cell strainer. Splens were resuspended in red blood cell lysis buffer (Sigma) for 1 minute. All cells were then stained with a viability dye (ThermoFisher) and blocked with antibodies to CD16/CD32 (eBioscience) before staining with fluorescence-conjugated antibodies (Supplementary Table S4) in flow cytometry staining buffer with Brilliant Stain Buffer (BD Biosciences). Intracellular staining was performed using the FoxP3/transcription factor staining buffer set (eBioscience) and cells were fixed in 3.7 % formaldehyde/PBS. Counting beads (123Count eBeads, eBioscience) were added to the samples before acquisition on an LSRFortessa (BD Biosciences) and analysis using FlowJo (Tree Star). Gating strategies are shown in Supplementary Fig. S2. To enable comparisons between tumors, cell counts were normalized by dividing the cell count obtained using the counting beads by the tumor volume.

Cytokine quantification. Tumors were snap-frozen in liquid nitrogen then lysed on a TissueLyser II (Qiagen) in 1% Triton-X/PBS with phosphatase inhibitors (PhosSTOP, Roche), protease inhibitors (cOmplete, Roche) and tungsten carbide beads (Qiagen). Lysates were freeze-thawed, centrifuged and diluted to 0.5mg/mL before analysis of 10 cytokines using the mouse Proinflammatory Panel 1 V-PLEX immunoassay (Meso Scale Discovery). Data was log transformed and plotted using Matlab.

Statistical analyses. Flow cytometry data was analyzed in GraphPad Prism using One-Way ANOVA with Tukey's correction for multiple comparisons. For tumor growth studies, group sizes were determined using power analyses based on the variability of the models in pilot studies. Tumor growth data were log₁₀ transformed and the effectiveness of the therapy (Tr) with respect to the baseline treatment performance was assessed with a linear mixed-effect model (30, 31). The Y_{ij} , representing log₁₀-transformed i th tumor volume observed at j th

assessment point (T), follows the linear growth model: $Y_{ij} = a_{0i} + a_{1i} * T_j + e_{ij}$, where a_{0i} and a_{1i} denote individual intercept and slope parameters, respectively, and $e_{ij} \sim N(0, \sigma)$ represents model error. Both intercept and slope are assumed to express random effects:

$a_{0i} = g_{00} + g_{01} * Tri + u_{0i}$, $a_{1i} = g_{10} + g_{11} * Tri + u_{1i}$, with $u_{0i} \sim N(0, \sigma_0)$ and $u_{1i} \sim N(0, \sigma_1)$.

The parameters g_{00} , g_{10} , and g_{01} , g_{11} represent the parameter's fixed-effects; σ , and σ_0 , σ_1 correspond to intra- and inter-tumor variance, respectively. Models were defined as 'responsive' if the growth kinetics of the treated group compared to the control group was significantly different ($P < 0.05$).

Results

Model-dependent differences in anti-tumor response to anti-CTLA-4 and anti-PD-L1.

During our preclinical investigation of CTLA-4 and PD-L1 as targets for immune-checkpoint blockade, we tested the antitumor activity of surrogate antibodies in several murine syngeneic tumor models (32). Significant tumor growth inhibition was seen following anti-CTLA-4 treatment in the CT26 ($P \leq 0.0001$) and RENCA ($P \leq 0.0001$) models (Fig. 1A), whereas PD-L1 demonstrated activity only in the CT26 model ($P \leq 0.0001$) (Fig. 1B). This highlighted a need to better understand the underlying immuno-biology of murine syngeneic tumor models in order to identify potential drivers of response and enable rational selection of appropriate models for preclinical activity testing.

Genomic analysis reveals a high degree of diversity in copy number variations. We performed genome-wide aCGH analysis on 16 *in vitro* murine tumor cell lines to gain an understanding of broad amplification and deletion events. CNV levels were not affected by

the method of tumor cell line generation since CNV frequency of carcinogen-induced CT26, genetically-induced TRAMP-C2 and spontaneous LL/2 cell lines were not markedly dissimilar (5.74%, 5.75% and 5.04% respectively) (Fig. 2). No difference in the overall burden of genomic aberrations was seen in the CT26 cell line compared to the others (5.74% of genes studied had CNV in CT26 vs. a mean of 6.05% across the other 15 cell lines). In order to assess CNVs against a paired background strain as opposed to a reference genome, we also investigated somatic gene copy number using whole-exome sequencing (Fig. 3A-D). Gain of a single gene copy was frequent (>5% of genes studied) in the RENCA and P815 lines, but amplifications of more than one extra copy much less so. We observed few deletions in the cell lines, although the most common feature across the models was the heterozygous or homozygous deletion of the *Cdkn2a* tumor suppressor gene (found in 9 of 11 cell lines) (Fig. 3B).

Comparing mutational status permits model selection for tumor-targeted therapies. We also characterized the somatic mutational status of these genes using WES on a subset of the murine *in vitro* cell lines and further investigated 64 prominent cancer genes using targeted deep sequencing (Supplementary Table S3 and Supplementary Fig. S3). Our analysis revealed that several tumor cell lines did not carry mutations prevalent in the matched clinical disease. For example, Pan02 is often used as a pancreatic cancer model but lacked mutations in the *Kras* gene, which are observed in >90% of human pancreatic cancers (33) so it may only be a relevant model for a rare subtype of the disease. CT26 cells harbored mutations in the *Apc* and *Kras* genes, which are frequently observed in human colorectal cancer (34), but lacked the frequent mutation in *Trp53*. In contrast, LL/2 had many of the major mutations found in lung cancer, such as *Trp53* and a *Cdkn2a* deletion (35). The B16 lines bore a mutation in the *Braf* gene, as is frequently observed in human melanoma (36), however this

mutation was not analogous to the V600E mutation that underlies sensitivity to BRAF inhibitors (37). Comparison of the mutational profile of the murine tumor cell lines with frequently-mutated genes found in the cancer type from the same tissue of origin revealed that, although the cell lines carried at least one recurrent mutation seen in the corresponding cancer type, 4 out of 9 models analyzed lacked mutations in the gene most frequently mutated in the corresponding human cancer type (Supplementary Fig. S4). The mutational status of immune-relevant genes was also evaluated across the cell lines to investigate whether cell-intrinsic genetic changes were present that could affect the ability of cell lines to recruit an immune response (Fig. 3D). We found that the most prevalent aberrations affected the complement system, including 6 of the top 50 most mutated or amplified genes in the dataset. Tumor-derived complement has been previously shown to both promote tumor growth but also contribute to the immune surveillance of tumors (reviewed in (38)) and even impact the tumor immune infiltrate by affecting the number of infiltrating CD8⁺ T-cells and myeloid cells, although these immunomodulatory effects are very context-dependent (39, 40). Several other genes with aberrations in the cell lines have also been shown to affect tumor growth such as fibronectin (*Fn1*) (41), *Cd40* (42) or *Nfatc3* (43). This analysis also revealed that CT26 and MC38 (both derived from carcinogen-induced colorectal tumors) had the highest somatic nonsynonymous mutational burden, with 2955 and 3018 mutations respectively (Fig. 3E). ID8, derived from a spontaneous tumor, had the lowest mutational burden (102 mutations), followed by TRAMP-C2, derived from a tumor in a genetically-engineered mouse (104 mutations). Thus, mutational burden appeared to be associated with the method of cell line generation in this panel, with cell lines derived from carcinogen-induced tumors having on average a higher mutational burden (1827 mutations) than spontaneous (1220 mutations) or GEMM-derived tumors (104 mutations).

Given that clonal selection and evolution can take place during *in vivo* tumor development, we performed whole-exome sequencing of CT26 cells grown *in vitro* and *in vivo* (Fig. 3F and G). In the tumor, we detected 2580 out of 2955 (87.3%) somatic mutations found in the cell line. A high correlation ($r = 0.766$) was observed between the mutant allele frequency *in vitro* vs. *in vivo* (Fig. 3F). Disparities in these data may be due to the use of an unfractionated tumor preparation for this analysis. There were genomic alterations in 57 cancer and immune-relevant genes found in the CT26 cell line which were not detected in the tumor, but these were mostly copy number gains that are more difficult to accurately quantify in heterogeneous *in vivo* samples (Fig. 3G). There were only four somatic mutations detected in the tumor which were not in the cell line (*ErbB3*, *Ikbkb*, *Mnx1* and *Notch2*). These could have arisen due to selective pressures at play in the tumor microenvironment.

Transcriptomic comparison reveals striking immunological heterogeneity. To investigate the baseline characteristics of the syngeneic tumor models that could underlie their differential responsiveness to immune-checkpoint blockade, we analyzed the transcriptomes of untreated established *in vivo* tumors. Pathway enrichment of differentially-expressed genes in tumors revealed activation of multiple immune pathways with a high degree of heterogeneity across the models. These included innate immune-related pathways, such as pattern recognition receptors, IL8, TREM1, phagocytosis, and IL6 signaling (Fig. 4A). These pathways revealed reduced activation in tumors from B16 cell lines compared to other models. However, a limited number of pathways (PPAR, RXR, LXR, and PTEN) were more highly activated in the B16 tumors compared to the other models. These data supported the hypothesis that tumors from B16 cell lines are more immunologically ‘silent’ compared to other tumor types, potentially underlying their reduced responsiveness to immune-checkpoint blockade. Hierarchical cluster analysis (HCA) revealed that the pathway activation profile in

CT26 tumors was not substantially different from those of other tumor types, suggesting that transcriptomic differences do not completely define response to immune-checkpoint inhibitors (Fig. 4A). We also specifically investigated the expression of MHC class I and II pathway genes in the tumors and found that the B16 tumors, TC1 and LL/2 had less expression of these genes compared to the other models. The TRAMP tumors had low expression of MHC class I pathway genes, whereas the MC38 tumors had low expression of MHC class II pathway genes (Fig. 4B).

To determine whether differences in the tumor immune infiltrate could be affecting responsiveness to immune-checkpoint blockade, we investigated the expression of immune cell type-specific genes. Unsupervised HCA revealed clustering by tumor model and clear changes in expression, suggesting variation in tumor immune infiltrates across the models (Fig. 5). Several findings supported the validity of this approach, such as the elevated expression of a subset of mast cell-specific genes including *Kit* (20 fold increase compared to the SPS tumor control group), *Ctsg* (22 fold increase) and *Cma1* (2.5 fold increase), which occurred only in the P815 mastocytoma tumors where these genes are expected to be expressed in the tumor cells, as well as potentially in the immune infiltrate. B16 tumors again appeared immunologically 'silent' with low expression of immune cell type-specific genes, apart from some NK cell-specific genes. Elevated gene expression was observed for cytotoxic cell-specific genes *Gzma* (5.2 fold increase) and *Klrd1* (1.8 fold increase) in the CT26 tumors, potentially linking effector function of tumor-infiltrating immune cells and responsiveness to immune-checkpoint inhibitors.

Flow cytometric immune profiling reveals heterogeneity in tumor immune infiltrates across models. Given the diversity in the expression of immune-related genes, we further

profiled the tumor immune infiltrate across both our immune-checkpoint blockade responsive and nonresponsive models by flow cytometry. Tumors were collected at an average volume of 150 mm³, as this often corresponds to the tumor volume at initiation of dosing in activity studies. The immune infiltrate was profiled by staining for 9 non-overlapping innate and adaptive cell phenotypes and their frequency determined both as a proportion of the CD45⁺ cells and as absolute cell count per mm³ of tumor. Immune cell numbers per mm³ of tumor revealed striking variations across models (Fig. 6A and B). B16F10 AP-3 tumors contained the smallest immune infiltrate with roughly 800 CD45⁺ cells per mm³ whereas LL/2 tumors had the largest infiltrate with 5500 CD45⁺ cells per mm³ ($P < 0.0001$). Moreover, the relative proportions of immune cell types also differed profoundly. For example, 4T1 tumors predominantly contained granulocytic myeloid-derived suppressor cells (gMDSCs, > 40% of their immune infiltrate), whereas LL/2 and MC38 tumors contained mainly monocytic MDSCs (mMDSCs, 33% and 47%, respectively). Notably, CT26 tumors contained the highest number of NK-cells (520 per mm³) and an increased proportion of granzyme B⁺ NK cells compared to other models (Fig. 6C). Additionally, the CT26 and RENCA models contained the highest proportions of T cells. Within the CD8⁺ T-cell population, the proportion of reinvigorated cells (expressing markers of T-cell exhaustion (PD-1 and Eomes) but having undergone a reversal of this exhaustion leading to upregulation of Ki67 and granzyme B) (44) was highest in CT26 tumors (Fig. 6D). The absolute cell counts revealed that CT26, and to a lesser extent RENCA, tumor immune infiltrates were rich in cytotoxic immune cells whereas 4T1, B16F10 AP-3, LL/2 and MC38 are predominantly composed of cell types considered to be immunosuppressive.

In tumor lysates, the highest expression of several pro-inflammatory cytokines such as IL2, IFN γ , TNF α and IL1 β were found in the CT26, MC38, and RENCA models (Fig. 6E). In contrast, higher levels of Th2-associated cytokines such as IL4 and IL10 were found in the

MC38, 4T1 and B16F10 AP-3 models. Notably, KC/GRO, a chemoattractant for neutrophils and MDSCs, was elevated in the MDSC-rich 4T1, MC38 and LL/2 tumors.

To expand our observations, we also assessed spleens and tumor-draining lymph nodes from these animals (tissues from non-tumor bearing mice were included to highlight changes from baseline). An > 8-fold increase in splenic gMDSCs/neutrophils was observed in 4T1 tumor-bearing mice compared to tumor-naïve mice ($P = 0.0028$) (Fig. 7A), mirroring the predominance of these cells in the tumor (Fig. 7E). A common finding was that spleens from tumor-bearing mice had smaller percentages of T-cells (30%) relative to tumor-naïve controls (42%) ($P < 0.0001$) (Fig. 7A and B). In tumor-naïve animals, a comparison of both genetic strains showed that BALB/c LNs had a larger proportion of CD4⁺ T-cells compared to C57BL/6 LNs (49.7% vs. 32.3% $P < 0.0001$), which had more B cells (13.9% vs. 23.6% $P = 0.0003$) (Fig. 7C and D). In TDLNs, an expansion of B cells was seen vs. control tumor-naïve LNs ($P = 0.0003$) and this was most marked in the LL/2 and MC38 models, where it was accompanied by a corresponding decrease in the proportions of T cells and NK cells. Despite the striking contrasts in the composition of the tumor immune infiltrate (Fig. 7E and F), this was not mirrored in the TDLNs.

Discussion:

Murine syngeneic tumor models play a central role in the advancement of novel immunotherapies, however, there is a need to fully elucidate their distinct molecular and immunological characteristics. In the present study we provide a resource to rationalize the selection of syngeneic models to test specific hypotheses; increasing the value of such studies and reducing the numbers of animals used in scientific research. In addition to characterizing the tumor microenvironment, we provide a molecular annotation of these models to describe

the specific driver mutations that can be leveraged to guide immunotherapy combinations with molecularly-targeted therapies. Indeed, such combinations hold considerable promise to broaden the patient population benefiting from immunotherapy.

We have identified models in which the dominant immunological phenotype of the tumor is associated with myeloid immunosuppression, e.g., increased gMDSC and mMDSC infiltration in the 4T1, MC38, and LL/2 models. We have also identified models, particularly the B16F10 AP-3, that are poorly infiltrated by immune cells. In contrast, other models are both rich in tumor immune cell infiltration but also harbor more balanced populations of effector and suppressive immune cell populations, e.g., CT26 and RENCA. This immunological diversity broadly recapitulates the diversity of tumors observed clinically and therefore provides a powerful resource to evaluate different immunotherapies in the context of these distinct microenvironments. An example of this could be the selection of an immunologically ‘cold’ tumor model such as B16F10-AP3 to evaluate the capacity of treatment to transform the tumor microenvironment leading to enhanced immune priming and immune cell infiltration into tumors, e.g., with radiotherapy, agonism of pattern-recognition receptors, or through vaccine approaches. Likewise, modelling of immunologically ‘hot’ tumors is also translationally relevant and the CT26 or RENCA models may provide suitable settings to evaluate experimental therapeutics.

Our analysis of the somatic mutation profile of the murine cell lines reveals genetic disparities when compared to the prevalent mutations in the analogous clinical disease. Examples of these include *Trp53* in CT26 or *Kras* in PAN02. However, many of the genes that are commonly mutated in the clinical setting are also mutated across the preclinical models tested, offering opportunities to understand the impact of these mutations in a syngeneic setting. In particular these data will enable researchers to identify models with both the relevant pathway addiction for a defined molecular target, e.g., MEK, BRAF (45), and

overlay this with a characterized microenvironment to permit pertinent and appropriate combinations of immunotherapy and small molecule inhibitors to be evaluated.

Our study also highlights differences between the activity of immunotherapies in a preclinical setting and clinical activity in the analogous human cancer type. An example of this is the contrast between the strong preclinical responses to anti-CTLA-4 and anti-PD-L1 treatment in the CT26 model, derived from a murine colorectal tumor, and clinical responses in colorectal cancer in which, with the exception of patients with mismatch repair-deficient disease, limited activity with immune-checkpoint blockade has been observed to date (46). Poor responses to treatment with either anti-PD-L1 or anti-CTLA-4 were observed in the B16 model, derived from a murine melanoma, which contrasts with the encouraging responses reported for melanoma in the clinic (47). However, when these responses are assessed in the context of the distinct tumor microenvironments observed across the preclinical models, our results are broadly in agreement with clinical findings, which demonstrate an association between CD8⁺ T-cell infiltration into the tumor and outcome following immune-checkpoint blockade (9, 48, 49). Indeed, our work also revealed that tumors such as CT26, in which the immune infiltrate contains greater numbers of cytotoxic immune cells such as CD8⁺ T cells and NK cells, were more responsive to immune-checkpoint blockade than those with comparatively little immune or predominantly immunosuppressive infiltrate. Thus, we conclude that the power of the models lies in recapitulating both a distinct phenotype of tumor microenvironment and mutation profile, rather than directly relating to the analogous human disease setting.

An interesting observation was that although the composition of the tumor microenvironment across the syngeneic models tested was strikingly varied, this contrasted starkly with the relative homogeneity in the immune phenotype observed in secondary lymphoid organs such as the tumor-draining lymph nodes and spleens. This homogeneity was also reflected by gene

expression, with markedly fewer differentially-expressed gene pathways observed in these tissues when compared to those in the tumors (Supplementary Fig. S5). Overall, these data suggest that the greatest differences between models may result from the preferential chemoattraction, retention, and or differentiation of immune cells within the tumor microenvironment rather than from skewing of the systemic immune response, and therefore the likely factors that are driving response reside within the tumor rather than within the peripheral immune compartments. Again, this finding is also in keeping with the majority of data from the clinic to-date, where the most promising predictive biomarkers have been found in tumor tissue, rather than in peripheral blood (49).

Several of our findings are concordant with previous observations, such as the predominant loss of *Cdkn2a* among the cell lines, which has been described to result from cell culture selective pressures (50) and is also prevalent across multiple human cancer types (51). Previous studies have also shown that different syngeneic tumor models can respond differently to treatment (16, 49, 52). It has been hypothesized that these differences could be due to variability in immunogenicity of the models (13) but, until now, the similarities and differences between these models have not been comprehensively characterized. The most extensive study, to our knowledge, included 6 commonly-used syngeneic models with analysis limited to the expression of 27 immune-related genes and staining for 4-6 immune cell types by immunohistochemistry and flow cytometry (52). We also saw a high level of agreement in somatic mutation results with previous genetic characterization of the CT26 cell line, with >60% of mutated genes matching those in the study by Castle *et al.* (53, 54). Although another study described fewer somatic mutations in CT26 and 4T1, 80-90% of those mutated genes matched our results (54) and the relative proportions of somatic mutations was conserved, with >10 fold more mutations in CT26 compared to the 4T1. Likewise, comparison of our somatic mutation results in the ATCC B16F10 line to those in

Castle *et al.* (55) showed over 90% concordance in the mutated genes found in both studies including *Brca2*, *Trp53*, *Jak3*, *Atm*, *Pten* and *Mdm1*. Disparities in somatic mutations between studies could be due to differences in cut-off levels, sensitivity and methodology, as well as cell line divergence. Our profiling of CNV, using both aCGH and WES analysis paired with the BALB/c mouse background, did not show the same large regions of triploidy and tetraploidy in CT26 seen by Castle *et al.* (53). However, for such multichromosomal regions it is unlikely that this would be due to divergence in the CT26 cell lines, but rather due to methodological differences such as stringency of CNV calling.

In conclusion, we provide extensive characterization of a range of commonly used murine syngeneic models to rationalize model selection based on the biology of the tumor cell and the tumor microenvironment. Moreover, a greater understanding of model biology allows more robust alignment between response, mechanism of action, and the biology of human cancer subtypes that may ultimately improve the efficiency of drug discovery.

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Figure legends:

Figure 1: The antitumor activity of anti-CTLA-4 and anti-PD-L1 treatment varies across a range of syngeneic models

Tumor growth curves for 6 subcutaneous murine syngeneic tumor models treated with either (A) CTLA-4 antibody clone 9D9 (10 mg/kg) or (B) PD-L1 antibody clone 10F.9G2 (10 mg/kg). Treatment was given by intraperitoneal injection on the days marked with ticks on

the x axis of the graphs. $n \geq 6$ mice per group. *** $P < 0.0001$, ns: non-significant $P \geq 0.05$, linear mixed effect model test. Data is representative of at least 2 independent experiments.

Figure 2: Copy number variations strongly differ between the cell lines

CNVs for 16 cell lines determined using aCGH analysis. Genomic regions are sorted by chromosome, and copy number changes of roughly 10 genes displayed, with amplifications ≤ 5 copies as light red lines, amplifications > 5 copies as dark red lines, loss of one copy as light blue lines and deletions of both copies as dark blue lines.

Figure 3: Profiling of somatic mutations reveals heterogeneity across syngeneic models

Profiling by aCGH, whole-exome and targeted sequencing across 11 cell lines showing (A) the percentage of altered genes from 332 cancer-associated genes (selected from the COSMIC database where both copy number and mutational status data was available), (B) the top 50 most altered cancer-associated genes, (C) the percentage of altered genes from 252 immune-related genes (from the Nanostring nCounter® Mouse Immunology panel gene list, excluding those already present in the cancer-associated gene list, and where both copy number and mutational status data was available), and (D) the top 50 most altered immune-related genes. Somatic mutations and CNVs are marked for each gene with the percentage of samples altered along the side of the heatmap. Sideway bargraphs show the total number of genomic alterations across samples, with genes ordered genes from most to least altered. Human homolog genes are stated in brackets beside the mouse gene names where these differ. (E) Number and type of somatic mutations in protein-coding regions across cell lines. (F) Somatic mutant allele frequency of protein-coding genes in the CT26 tumor compared to the

CT26 cell line. Pearson correlation coefficient is also reported. **(G)** Somatic mutations and CNVs (determined using Seq2C from WES data) of 177 cancer or immune system-associated genes in the CT26 cell line and tumor. Gene aberrations that are not found in both the cell line and tumor are in the enlarged display.

Figure 4: Transcriptomic analysis of *in vivo* tumors reveals predominance of immune pathways among differentially-expressed genes and reduced immune involvement in B16 tumors

Transcriptomic analysis of murine syngeneic *in vivo* tumor samples showing **(A)** a clustergram of differentially-expressed gene-sets per model (FC +/- 2.0, FDR \leq 0.05) where the top ten highest and lowest pathway Z scores (IPA comparison tool) per model were selected (sorted for non-disease canonical pathways). HCA (normalized, Ward linkage and Euclidean distance) for models only, with pathways ranked by absolute activation score (summed absolute Z score across all 15 lines). **(B)** Clustergram of murine syngeneic *in vivo* tumor samples based on differential expression of MHC class I and II pathway genes. HCA (normalized, Ward linkage and Euclidean distance) for models only, of normalized log₂ gene expression intensity.

Figure 5: Differences in immune cell type-specific gene expression suggest marked heterogeneity in the tumor immune infiltrate between models

Clustergram of murine syngeneic *in vivo* tumor samples based on differential expression of immune cell type-annotated genes from the Nanostring nCounter® PanCancer Immune Profiling Panel gene-list. HCA (normalized, Ward linkage and Euclidean distance) of

normalized \log_2 gene expression intensity with each column representing one individual mouse, $n \geq 4$ replicates per tumor model. Clustered for samples, with genes ordered by mouse cell type annotation.

Figure 6: Immune phenotyping reveals profound differences in the tumor micro-environment across different syngeneic models

Flow cytometric analysis of the tumor immune cell infiltrate in (A) 3 BALB/c models (CT26, RENCA and 4T1) and in (B) 3 C57BL/6 models (MC38, LL/2, and B16F10 AP-3) showing cell counts per mm^3 of tumor for 9 immune cell types and their frequencies (as percentages of CD45+ cells). $n \geq 6$ mice per group. C: Percentages of NK cells that were positive for granzyme B in each model. D: Percentages of CD8⁺ T cells expressing Eomes, PD-1, Ki67 and granzyme B in each model. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, **** $P < 0.0001$, Tukey's multiple comparisons test. E: Heatmap of the logged values for expression of 10 cytokines in the lysates of 6 tumors. Data is representative of trends observed in at least 2 independent experiments.

Figure 7: Frequencies of immune cell types show much stronger variations between tumor types in the tumor infiltrate compared to the spleen and tumor-draining lymph nodes

Flow cytometric analysis of the immune cell composition of (A and B) the spleen, (C and D) the tumor-draining lymph node (or inguinal lymph nodes in naïve mice) and (E and F) the tumor of mice bearing (A, C and E) 3 BALB/c models (CT26, RENCA and 4T1) or control naïve Balb/c mice and of mice bearing (B, D and F) 3 C57BL/6 models (MC38, LL/2, and

B16F10 AP-3) or control naïve C57BL/6 mice, showing frequencies of 9 immune cell types (as percentages of CD45⁺ cells). $n \geq 6$ mice per group. Data is representative of trends observed in at least 2 independent experiments.