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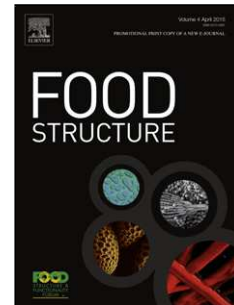
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**Insignificant impact of the presence of lactose impurity on formation
and colloid stabilizing properties of whey protein-maltodextrin
conjugates prepared via Millard reactions**

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Keywords

Whey protein-polysaccharide conjugates; maltodextrin; lactose impurity; Maillard reaction; Emulsion stability

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Abstract

We investigate the sensitivity of steric stabilising properties of Protein-polysaccharide conjugates, prepared via the Maillard reaction, to the presence of sugar impurity during synthesis. The sugar can also react with protein, thus rendering potential sites on protein unavailable for linkage with polysaccharide and severely reducing the efficiency of producing these types of food dispersants. We demonstrate that despite the presence of a relatively high molar ratio of lactose contaminant to maltodextrin (10:1), the covalent complexes between maltodextrin DE19 (MD19) and Whey Protein Isolate (WPI) are still formed and continue to show superior emulsifying and colloid stabilising properties compared to native protein. The improvement was particularly marked under unfavourable environmental conditions, such as pH \sim pI of protein, up to a storage time of 21 day. In contrast, the covalent complexes of lactose + WPI were found to have rather poor emulsion stabilising characteristics, under the same conditions. We also confirm this result by performing theoretical Self Consistent Field type calculations. The stability of emulsions was monitored using a variety of measures including the average droplet size (ADS), droplet-size distribution (DSD), rheological flow behaviours and confocal laser imaging microscopy. The suggestion that the WPI-MD19 (1:2 w/w) system is quite tolerant to the presence of lactose is of significance in future large scale industrial manufacturing of such food dispersants, due to less stringent requirements for the purity of raw material (WPI).

1. Introduction

1 Food proteins have been emulsifiers and colloid stabilisers of choice in many food colloid
2 formulations. Proteins are surface active by the virtue of their amphiphilic nature. When proteins are
3 adsorbed at the oil-water interface they contribute to both of the two major means of protecting
4 emulsion droplets against flocculation and coalescence. The two mechanisms in mind are the
5 electrostatic and steric stabilisations of colloids (Dickinson, 2010). However, these stabilising properties
6 can be detrimentally affected, or even completely eliminated, under the influence of certain
7 environmental conditions, such as pH, being too close to the pI of proteins, or at high ionic strength
8 (McClements, 2015; Walstra, 2003). In order to maintain and improve the emulsifying and stabilising
9 properties of proteins under these unfavourable conditions, native proteins are covalently bonded to
10 polysaccharide molecules (Akhtar & Dickinson, 2007; Dickinson & Semenova, 1992). The fundamental
11 concept underlying this modification is to synthesise a conjugate which can behave as a stabilising agent
12 with considerably enhanced steric stabilising property, not overtly sensitive to changes in pH or to
13 background electrolyte concentration. The hydrophobic segments of protein can strongly adsorb at the
14 oil-water interface, while the hydrophilic regions (i.e. now mainly polysaccharides) protrude away from
15 the interface, thus providing a thick surface layer and improved steric stabilisation (Dickinson, 2015).

16 One of the simple methods to prepare the conjugates is by heat treatment to induce the
17 necessary Maillard reactions between the protein and polysaccharide. This has to be achieved under
18 careful controlled conditions, such as relative humidity, temperature and the processing time. This can
19 be done either in powdered state or in an aqueous solution (Aoki et al., 1999; Dickinson & Galazka,
20 1991; Kato & Kobayashi, 1991; Kim & Shin, 2015; Qi, Liao, Yin, Zhu, & Yang, 2010; Zhu, Damodaran, &
21 Lucey, 2010). Moreover, the Maillard-type conjugates can be prepared under high pressure (Xu et al.,
22 2010), by microwave heating (Guan, Qiu, Liu, Hua, & Ma, 2006), or using pulsed electric fields (Sun, Yu,
23 Zeng, Yang, & Jia, 2011). Almost all of the previous studies in literature have suggested that the
24 emulsifying and stabilising properties of various food proteins are improved significantly through such
25 Maillard reactions with a polysaccharide. Furthermore, these conjugates also exhibit other potential

26 applications as foaming agents and heat-set gelling agents (Martinez-Alvarenga et al., 2014; Spotti et al.,
27 2013a, 2013b). The overall conclusion of these studies has been that the conjugates, prepared in this
28 manner, are excellent emulsifiers and stabilisers with significant possible potential for use in food
29 industry. This is especially the case as their synthesis involves no additional chemicals and due to their
30 relatively simple, if not exactly cheap, preparation method (Kato, 2002).

31 Before conjugates can be produced on an industrial scale, it is necessary to investigate a
32 number of crucial factors which can significantly influence the required functionalities of these
33 complexes. Examples are the ratio of protein to polysaccharide, processing conditions, and the
34 molecular weights of polysaccharides used, but to name a few (Oliver, 2011). It has been reported that
35 the emulsifying and stabilising properties of conjugates have a positive correlation with the length of
36 polysaccharides grafted on the protein (Shu, Sahara, Nakamura, & Kato, 1996). This finding supports the
37 stabilising model of conjugates which was first proposed by Dickinson and Semenova (1992). Based on
38 the evidence, it can be predicted that proteins attached to high-molecular-weight (HMW)
39 polysaccharides such as maltodextrin should have better stabilising properties than those modified by
40 low-molecular-weight (LMW) sugars, like lactose under the same processing conditions. Similar
41 conclusions are also supported by theoretical considerations where it has been shown that the
42 attachment of short polysaccharide chains, depending on the location of grafting, can result in
43 conjugates with an inferior stabilising property when compared to protein on its own (Akinshina,
44 Ettelaie, Dickinson, & Smyth, 2008). It may be expected then, that if both HMW and LMW
45 polysaccharides exist during the Maillard reaction, the stabilising properties of the conjugates may lie
46 somewhere between that of the complexes resulting from grafting by HMW polysaccharides and ones
47 produced by the Maillard reaction involving LMW sugars. The aim of the current work is to find out at
48 what level of lactose impurity, unavoidable in commercial whey protein, it is possible to produce
49 conjugates with interfacial properties comparable to those prepared by the Maillard reaction between
50 pure WPI and maltodextrin. To evaluate the critical molar ratio of lactose, we have chosen the whey
51 protein isolate-maltodextrin DE19 (WPI-MD19) conjugate as a model system. This conjugate is known to

52 have excellent emulsifying and stabilising properties, as has been demonstrated in our previous studies
53 (Akhtar & Dickinson, 2003; Akhtar & Dickinson, 2007).

54 The paper is organised as follows. In the next section we describe our method for preparation of
55 conjugates, that of emulsions and the evaluation of the emulsion stability. The results are presented
56 next and discussed in the light of our current understanding of the interfacial behaviour of covalently
57 bonded protein + polysaccharides. We provide a few preliminary theoretical calculations based on Self
58 Consistent Field theory (SCF), along the lines used in our previous work, to compare the emulsion steric
59 stabilising properties of WPI+MD19 with those of WPI+Lactose (Akinshina et al., 2008; R. Ettelaie &
60 Akinshina, 2014; Rammile Ettelaie, Akinshina, & Maurer, 2012). These calculations are useful in lending
61 further support to conclusions drawn from the experimental observations.

62

63 **2. Materials and Methods**

64 **2.1 Materials**

65 The lactose-free whey protein isolate powder was offered by Davisco Foods International (USA).
66 The lactose was purchased from Fisher Scientific Ltd., and maltodextrin DE19 ($M_w = 8.7$ kDa) was
67 offered by Roquette (UK) Ltd. The sunflower oil was purchased from local supermarket Morrison (Leeds,
68 UK). Other chemicals and reagents used in this project are of analytical grade.

69

70 **2.2 Conjugates preparation**

71 The whey protein isolate (WPI) and maltodextrin DE19 (MD19) were fully dissolved in 100ml
72 distilled water with gentle stirring under room temperature. Various recipes involving different ratios of
73 MD19 to lactose content, were prepared as shown in Table 1. The solutions were stored in the fridge (4
74 °C) overnight were frozen at -30 °C for 3 hours. These were freeze dried for a period of 24 hours. After
75 collection, the resulting powder of WPI and MD19 (and lactose were appropriate) was placed in a pre-

76 heated desiccator under 80 °C for 3 hours, with relative humidity controlled by saturated KBr solution.
77 The complex of WPI and MD19 was stored in a dark and dry place for further application. The
78 conjugates of WPI-MD19 (1:2 w/w) and WPI-Lactose (2:1 w/w) were similarly prepared as controls.

79

80 **2.3 Degree of conjugation**

81 The degree of conjugation (DC) of each protein-polysaccharide complex after the Maillard
82 reaction was determined by o-phthalaldehyde (OPA) tests. The OPA reagent was prepared based on the
83 previous literature (Nielsen, Petersen, & Dambmann, 2001). Each conjugate was dissolved into distilled
84 water with gentle stirring at a concentration corresponding to a WPI content of 1.0 mg/ml. For each
85 prepared solution, 0.4 ml of the sample was added to 3 ml OPA reagent mixing on a Topmix at 1600 rpm
86 for 5 seconds. The mixture was allowed to stand for exactly 2 mins at room temperature before its
87 absorbance at a wavelength of 340 nm was measured using a spectrophotometer. The baseline was
88 established by untreated pure WPI solution. The degree of conjugation for this complex can thus be
89 calculated as follows:

$$90 \text{ Degree of conjugation (DC) \%} = (C_{\text{WPI}} - C_{\text{nConj}}) \times 100\% / C_{\text{WPI}}$$

91 where C_{WPI} is the concentration of native WPI and C_{nConj} is the concentration of unreacted WPI in the
92 conjugate sample. The analysis of each sample was carried out in triplicate.

93

94 **2.4 O/W emulsion preparation**

95 Before homogenization process, the aqueous buffer (500 ml) at ionic strength 0.1 M and pH of
96 2.9, was prepared by mixing citric acid (3.125 g) and sodium chloride (2.920 g) into distilled water.
97 Sodium azide was also added to the aqueous buffer at the concentration 0.1 % (w/v) as a preservative.
98 The appropriate amount of protein-polysaccharide conjugates were dissolved into the aqueous buffer

99 by gentle stirring at room temperature. The concentration was chosen so as to ensure a protein (WPI)
 100 concentration of 2 % (w/v), taken account of the fact that conjugated biopolymers have a considerably
 101 larger molecular weight. This then will give the same molar concentration for all conjugates. When
 102 dissolution process was completed, the clear solution and sunflower oil were passed through the jet
 103 homogenizer under 350 bar at a volume ratio of 80 : 20. After emulsification, the pH of the emulsions
 104 was adjusted to 4.6 by addition of a few drops of 6 mol dm⁻³ NaOH before they were stored quiescently
 105 at 30 °C. Protein stabilized emulsions are least colloiddally stable at a pH corresponding to the pI of the
 106 stabilizing protein. Adjusting the pH of the emulsion to 4.6 here (iso-electric pH for WPI), insures that
 107 the electrostatic interactions are minimized and that the only contribution to repulsive forces are steric
 108 ones. WPI is known to form thin surface layers and thus not sufficient steric stability. Therefore, any
 109 advantages of conjugates as dispersants in comparison to WPI, are to be seen most clearly at this pH
 110 value.

111 2.5 Emulsion stability monitoring

112 The emulsion stability was assessed using several different measures, including average droplet
 113 size ($d[4,3]$) (ADS), droplet-size distributions (DSD), rheological flow properties, and images obtained
 114 from confocal laser scanning microscopy (CLSM). The measurements were conducted at various stages
 115 of storage. The particle sizing of emulsions was performed using a Malvern Mastersizer 3000. The
 116 average droplet size $d[4,3]$ is defined as

$$117 \quad d[4,3] = \frac{\sum_i n_i d_i^4}{\sum_i n_i d_i^3}$$

118 where n_i is the number of droplets with diameter d_i . The droplet-size distributions can also be obtained
 119 from the same Mastersizer. There is a major disadvantage in this kind of particle-sizing technique as it
 120 involves considerable dilution of the sample. This inevitably eliminates some of the possible instability
 121 mechanisms in the emulsion system, as for example the depletion flocculation. Therefore, other

122 techniques are necessary to complement and support the particle sizing results. Rheological
123 assessments are quite useful to analyse the stability of emulsions which are not diluted during tests. In
124 this work, the shear dependence behaviour of emulsions was investigated using a MCR102 Rheometer
125 from Anton Paar. The results were analysed according to the power-law model (Dickinson, 1992):

126
$$\tau = k\dot{\gamma}^n$$

127 where τ (shear stress) is the function of $\dot{\gamma}$ (shear rate), k is the consistency index and n is the flow
128 behaviour index, indicating a shear thinning fluid if $n < 1$, Newtonian behaviour where $n = 1$ and shear
129 thickening (dilatant) one if $n > 1$. The *apparent viscosity* μ defined as $(\tau/\dot{\gamma})$ was also investigated under
130 different shear rates.

131

132 We also took confocal laser scanning microscopy (CLSM) images of emulsions at freshly prepared and
133 after 28 days of storage to provide visual assessments of the stability of droplets. The emulsion samples
134 (2.5 g) were stained by Nile red (25 μ l of 0.01% w/v dye in polyethylene glycol) and gently mixed with a
135 glass rod at room temperature. Then the stained samples were placed in the plastic cell and covered
136 with the cover slip. A Leica microsystems was utilised to observe and record digital images.

137

138 **2.6 Statistical analysis**

139 Data obtained from ADS, DSD and rheological measurements were analysed by using MS Excel[®]
140 2013 for the average values and their standard deviations.

141

142

143

144

145 3. Results and discussion

146 3.1 Appearance of conjugates

147 The pure white mixture of WPI and MD19/lactose turned to yellow with a milky smell after
148 incubating in a desiccator under 80 °C for 3 hours. This indicates that the Maillard reaction occurred
149 during the heating treatment (see Figure 1). Furthermore, the texture of conjugates altered significantly
150 when the proportion of lactose was increased upwards to 1:6 and beyond to 1:10 (MD19 : lactose,
151 molar ratio). The structure of resulting conjugates at relatively lower lactose ratio was loose and porous,
152 whilst it became rigid and hard when the molar abundance ratio of lactose was increased to 1 : 6 and
153 higher.

154

155 3.2 OPA analysis of conjugates

156 It can be seen from Figure 3 that the DC increases with the amount of lactose added to the WPI-
157 MD19 system. As expected, with the much higher molecular weight of maltodextrin, the bigger the
158 proportion of lactose the larger is the extent of conjugation due to there being a larger number of
159 molecules available for reaction with protein. However, the overall DC increases only about 20%, from
160 60% to 80%, whilst the molar ratio of lactose is altered from no lactose impurity to 1:10 (MD19 :
161 lactose). There are two possible explanations for this incomplete reaction: 1) the major component
162 protein in WPI is β -lactoglobulin which has complicated secondary structure and a compact globular
163 nature. In such a structure, not all the potential amino groups capable of reaction may be accessible to
164 reducing sugars, even after partial denaturing due to heat treatment. 2) sugars can be polymerised
165 under high temperature in the dry condition, in what is known as caramelisation process. This greatly
166 reduces the number of sugar molecules available for covalent bonding with protein. When the amount
167 of lactose increases in the system, the extra sugars can react with each other to form a rigid and hard

168 structure, which indeed is what has been found here (Figure 1 in section 3.1). Similar results have been
169 reported from many other studies in the literature, even though different proteins and polysaccharides
170 were adopted (Markman & Livney, 2012; Mu, Zhao, Zhao, Cui, & Liu, 2011; Spotti et al., 2013b; Sun, Yu,
171 Yang, et al., 2011; Xu et al., 2010). Nevertheless, many researches focused on the positive relationship
172 between the loss of free amino groups in proteins and the reaction time to confirm the attachment of
173 polysaccharides (Mu et al., 2011; Spotti et al., 2013b; Xu et al., 2010). Compared to the results reported
174 from other researchers, the DC (> 60%) of the conjugates in our project are significantly better than
175 these other studies (typically < 50%) (Spotti et al., 2013b; Sun, Yu, Yang, et al., 2011). It must be noted
176 that the processing conditions are different from those other investigations and this may explain the
177 higher DC we obtain here in our work. We used a relatively higher temperature (80 °C) and shorter
178 reaction time (3 hrs) in a dry conditions, while low temperatures (usually around 60 °C) and longer
179 reaction times (often a couple of days) were adopted in many other reported experiments (Spotti et al.,
180 2013b).

181

182 **3.3 Stabilising properties of conjugates in emulsions**

183 The stabilising properties of WPI-MD19 (1:2 w/w) complexes, synthesised in absence of lactose
184 contaminant, and the conjugates with various levels of lactose present during the production, were
185 studied by preparing a basic O/W emulsions (20 oil : 80 aqueous phase vol.) at pH 4.6, and a background
186 ionic strength of 0.1 M. In parallel, the emulsion stabilised by glycoprotein WPI-lactose (2:1 w/w) made
187 with no MD19 present, was also prepared. These latter represent a reference for the very highly
188 contaminated systems, where one may assume that all available amino acid sites of WPI react with
189 sugar as oppose to long maltodextrin chains. The stability of each stabiliser was assessed according to
190 average droplet size, droplet size distribution, rheological flow properties and using CLSM images.

191

192 3.3.1 Emulsion stability by visual assessment

193 Figure 2 shows four emulsions prepared with different stabilisers at pH4.6 after a storage time
194 of 28 days at 30 °C. As can be seen from the picture, the sample 4 is the most unstable system amongst
195 the four emulsions because it is stabilised by the untreated mixture of WPI and MD19. When the pH of
196 the environment is close to the pI of WPI, the net charge of the protein nearly equals zero. In this case,
197 the electrostatic stabilising effect was almost eliminated at pH 4.6. However, sample 1 and sample 2 are
198 quite homogeneous after the observation period, and they are stabilised by WPI-MD19 (1:2 w/w) and
199 WPI-MD19 with lactose contamination at molar ratio of 1:10 (MD19 : lactose), respectively. This
200 observation indicates that the lactose impurity has insignificant influence on the emulsifying and
201 stabilising properties of conjugates during the Maillard reaction. In terms of sample 3, it is stabilised by
202 WPI-lactose (2:1 w/w) and exhibit certain degree of stability. It is better than sample 4 but not as good
203 as sample 1 and 2 due to the clear cream layer on the top. Further characterisation of these emulsions
204 by various techniques is following.

206 3.3.2 Average droplet size (ADS)

207 Figure 4 shows that the ADS of most emulsions is under 1 μm , except the emulsion stabilised by
208 WPI-lactose (2:1 w/w) where it was closer to 3 μm . The average droplet size (ADS) seems to decrease
209 slightly, in the period 7 to 21 days, for some of the samples and in particular the WPI-lactose stabilised
210 emulsions. In reality, there was no further effort put into the emulsions to reduce their droplet size,
211 post jet homogenisation. We believe that this slight decrease is predominantly due to the creaming of
212 the larger droplets, and the bias towards the smaller remaining droplets in the dispersion during
213 sampling. For such WPI-lactose samples the initial average size of droplet was larger than the WPI-
214 MD19 based emulsions and by the end of 7 days it is larger than 7 μm (see Figure 4), exasperating
215 possible creaming at later stages beyond 7 days. Nonetheless, the initial rapid increase of ADS from 3

216 μm to $7 \mu\text{m}$ is an indication that WPI-lactose complex is not an efficient emulsion stabiliser. The ADS of
217 these emulsions remain around $7 \mu\text{m}$ for the rest of the monitoring period. In contrast, the emulsions
218 stabilised by other conjugates are quite stable throughout the whole period of observation. As
219 expected, WPI-MD19 (1:2 w/w) alone exhibits excellent emulsifying and stabilising properties, due to
220 the enhanced steric stability under unfavourable environmental conditions. This is line with previous
221 observations, including those of our own (Akhtar & Dickinson, 2007). Surprisingly, the WPI-MD19
222 systems, contaminated with different molar ratios of lactose, still presented acceptable stability
223 comparable to the WPI-MD19 conjugate itself, synthesised in absence of sugar. This remains true even
224 for a molar ratio of MD19 to lactose of 1:10. This result suggests that the WPI-MD19 conjugation system
225 is quite tolerant to the existence of lactose from the stability point of view. However, other further
226 assessments of emulsion stability are required to support this suggestion. These are presented next.

227 **3.3.3 Droplet-size distribution (DSD)**

228 The DSD of emulsions is another parameter to monitor the stability during storage, which has
229 been illustrated in Figure 5. At day 0, both of WPI-MD19 and WPI-MD19 with lactose (MD19 : lactose =
230 1 : 10 molar) show excellent emulsifying properties because most of the droplets sampled in these
231 emulsions are less than $1 \mu\text{m}$ whereas the droplets in the emulsion emulsified by WPI-lactose have a
232 clear peak in the range from 2.27 to $22.60 \mu\text{m}$. This suggests that the emulsifying properties of
233 conjugates involving MD19 are better than those of glycoprotein produced through reaction of lactose +
234 WPI, at a pH value of 4.6, close to the pI of WPI. After 28 days of storage, the DSD of emulsion with WPI-
235 MD19 maintains almost the same size distribution as that on day 0, with a slight shift in peak from 0.1
236 μm to $0.23 \mu\text{m}$. In contrast, there is a significant shift of the DSD for emulsions stabilised by WPI-lactose
237 from smaller sizes to larger ones, following storage. However, no large shift of the DSD in the emulsion
238 containing lactose (at the time of producing the conjugate) was observed, at MD19 to lactose molar
239 ratio of 1:10. Indeed, the DSD of emulsions containing various molar ratios of lactose from 1:1 to 1:6
240 were all similar to that for 1:10 system. These results further support the same conclusions as those

241 arrived at from examining ADS (see section 3.3.2). This indicates that the presence of lactose has little
242 influence on the emulsifying and stabilising properties of synthesised WPI-MD19 conjugate. On the
243 other hand, in the absence of MD19, the resulting WPI-lactose glycoprotein is not capable of providing
244 sufficient steric stabilisation, at least not at pH values close to pI of WPI.

245

246 **3.3.4 Rheological properties of emulsions**

247 Figure 6 and 7 show the rheological properties of emulsions stabilised by various conjugates,
248 during the storage time from 0 to 28 days. All the emulsions thus prepared showed some degree of
249 shear thinning behaviour over the entire range of shear rates considered. We fitted the equation for a
250 power law fluids to our rheological data and obtained the values of consistency index k and the flow
251 behaviour index n for each sample. The emulsions stabilised by WPI-MD19, or by WPI-MD19
252 synthesised in the presence of lactose (MD19 : lactose = 1 : 10), displayed relatively low values of k (<
253 0.1) during the entire storage period, apart from the emulsion with the conjugate (MD19 : lactose = 1 :
254 10) at day 28 which possessed a slightly larger consistency index. In contrast, the k value for emulsions
255 stabilised by WPI-lactose was found to be much higher compared to other systems. Similarly, the value
256 of n in emulsions prepared with WPI-MD19 stayed round 1 during the whole 4 weeks of observation
257 time. This indicates that these emulsions exhibit an almost constant apparent viscosity in the range of
258 studied shear rates and therefore a behaviour close to that of a Newtonian fluid. The emulsions
259 stabilised by WPI-MD19 conjugates, containing lactose contamination during the synthesis of the
260 complex, also had Newtonian flow properties during the initial 21 days, whilst this changed to a shear-
261 thinning fluid like behaviour ($n < 0.4$) on day 28. Compared to these two systems, the samples prepared
262 with WPI-lactose (i.e. no MD19) showed rather strong shear-thinning ($n < 0.6$) from the very onset,
263 remaining so throughout the period of observation. The viscosity was also found to be higher for these
264 samples, most likely due to flocculation and formation of clusters of emulsion droplets. This tends to
265 slow down the creaming but does not prevent coalescence and the increase in the average droplet size

266 (figure 5). Examples of the detected rheological behaviour after 28 days of storage are shown for some
267 of the studied samples in Figure 7. The shear-thinning behaviour is clearly displayed, except for the
268 emulsion stabilised by WPI-MD19. Furthermore, the emulsion with the lactose (MD19: lactose = 1:10)
269 shows the strongest shear-thinning character. Nonetheless, recall that the ADS and DSD results for this
270 emulsion suggests that there is no significant coalescence occurring after 28-days (see section 3.3.1 and
271 3.3.2). Therefore, the instability (indicated by shear-thinning behaviour) of this emulsion may be due to
272 the flocculation, especially depletion flocculation, without coalescence. This may be due to covalently
273 bonded WPI + lactose complex becoming too soluble. This reduces the adsorbed amount of the
274 glycoprotein on the surface of droplets, leaving a large portion of the chains in the solution. The
275 presence of such free biopolymers in bulk will induce depletion attraction between the droplets and
276 may account for the formation of flocs in such systems. Upon a significant dilution, required during the
277 ADS and DSD measurements, such flocs will most certainly fall apart, as the depletion effect is no longer
278 be present. This then accounts for the small size of droplets measured even for WPI+lactose stabilised
279 system. Yet, prior to any dilution, it is precisely the presence of these flocs that is responsible for the
280 strong shear thinning behaviour, observed even at low shear rates.

281 The comparison of the rheological properties of the three type of emulsion samples, suggest
282 that the emulsifying and stabilising capacity of conjugate WPI-MD19 with lactose, at a molar ratio of
283 MD19 : lactose = 1:10, and that without any impurity are quite similar to each other, both providing
284 stable well dispersed emulsions and a Newtonian type behaviour, at least over a reasonably period of
285 storage. It is also interesting then to note that the lactose, existing in WPI during conjugate synthesis,
286 does not seem to affect the emulsifying and stabilising properties of latter in any significantly way.
287 However, the stabilising property of conjugates produced lactose as opposed to MD19, is clearly not as
288 good as those of WPI-MD19, especially after 4 weeks.

289 The images from CLSM analysis can provide further evidence to support the conclusions drawn
290 from rheological measurements. These will be presented next.

291

292 **3.3.5 Images of emulsions from CLSM**

293 There are three CLSM images in Figure 8 showing the emulsions stabilised by WPI-MD19 (1:2
294 w/w), those stabilised by WPI-MD19 (1:2 w/w) with lactose impurity present at a molar ratio 1:10
295 (MD19 : lactose), and finally WPI-lactose (2:1 w/w). All three images were taken after 28 days of
296 storage at 30 °C. For the emulsion system stabilised by WPI-MD19, it is clearly seen that the droplets
297 are well dispersed and still separated from each other after 4 weeks. Additionally, the diameter of the
298 largest droplet in this image is less than 5 µm, with majority of droplets much smaller than this. This
299 observation is in agreement with the ADS values for this emulsion system, where on day 28 $d[4,3] < 1$
300 µm (see section 3.3.1). Images taken at the same time indicate many flocs formed by droplets in the
301 emulsion stabilised by WPI-MD19, when lactose was present at the time of conjugate synthesis. Such
302 flocs, though were not seen at earlier times (images for earlier time not shown), did develop at later
303 stages of storage. There are still some individual droplets seen in the image for this sample. More
304 significantly, the diameter of the largest droplet is quite similar to that in the emulsion system stabilised
305 by WPI-MD19 alone. The CLSM image of the emulsion stabilised by WPI-MD19 (1:2 w/w) with lactose
306 (MD19 : lactose 1 : 10) give further support that the ADS of this emulsion system is close to that of the
307 emulsion stabilised with WPI-MD19 only. However, the difference between the two is that the latter
308 still exhibits clear shear-thinning character during the rheological analysis. When the samples are
309 introduced in Mastersizer 3000, they are considerably diluted and this eliminates the depletion
310 flocculation effect, present under original concentrations (Chang & McClements, 2015; Asakura &
311 Oosawa, 1954).

312 Compared to the above two stable emulsions, the sample stabilised by WPI-lactose shows few
313 individual droplets in the CLSM image very soon after homogenisation. However, even though most of
314 the droplets stick to each other to form clusters, there is no oil layer creaming on the top of the
315 emulsion after 28 days of storage. We believe this is due to the much enhanced viscosity seen for this

316 sample. The CLSM images support the explanation of shear-thinning character, arising from breakup of
317 aggregates, seen in section 3.3.4.

318

319 **3.4. Preliminary theoretical results**

320 Behaviour of protein + polysaccharide conjugates have also been studied using a number of
321 different theoretical techniques. In one of the earliest such studies, Dickinson and Euston carried out
322 Monte Carlo simulations of conjugates in presence of a hydrophobic-hydrophilic interface, performed
323 on a discretised lattice (Dickinson & Euston, 1992). Their results highlighted the existence of an
324 optimum number of attached polysaccharide chains per protein, where the maximum adsorption to
325 interface (and hence the thickest surfaces layers) were observed.

326 Akinshina et al (2008) used Self Consistent Field (SCF) numerical calculations, also represented
327 on a lattice model, to evaluate and compare the strength and the nature of steric interactions mediated
328 by adsorbed interfacial layers of various protein + polysaccharide conjugates. In particular, they showed
329 that for sufficiently large attached polysaccharide chains, the induced forces were always strongly
330 repulsive, irrespective of the position of covalent bond along the protein backbone. The picture alters
331 considerably when the size of polysaccharide is smaller than protein. Now, the numerical calculations
332 predicted that the position of attachment is critical. The steric repulsion continues to be strong for
333 conjugates with the linkage bond occurring at or near either ends of the protein. However, when the
334 attachment was more central, then the stabilising performance of conjugates was found to suffer. In
335 fact, in such cases it was found to be less effective than the unreacted protein. The protein model used
336 in these studies was based on primary structure of α_{s1} -casein, which itself had already been studied
337 using the same type of technique (Dickinson, Horne, Pinfield, & Leermakers, 1997; Dickinson, Pinfield,
338 Horne, & Leermakers, 1997). As compared to a globular protein such as β -lactoglobulin, the bovine milk

339 protein α_{s1} -casein is thought to be a more disordered and coil-like molecule. Thus as such, it is better
340 suited to treatment by SCF type calculations used in these studies.

341 Given the above results, it is of some interest in the current work to extend the SCF calculations
342 to cases involving reaction of protein with sugar moieties (i.e. lactose). In particular, we would like to
343 explore the possibility that an improvement in steric stabilisation can arise from increased solubility of
344 the protein, as caused by the attachment of lactose, without the necessity of grafting a long hydrophilic
345 polysaccharide chain to the molecule. In order to make our results comparable to those earlier studies
346 mentioned above, and also due to inherent limitation of SCF calculations in dealing with globular
347 proteins, we continue to consider α_{s1} -casein, as oppose to β -lactoglobulin, as our model protein here.
348 We shall refrain from providing a detailed account of SCF calculations and the model, as these can be
349 found in our previous work (Ettelaie et al., 2012; Ettelaie, Dickinson, & Pugnali, 2014) and others
350 (Dickinson, Horne, et al., 1997; Leermakers, Atkinson, Dickinson & Horne, 1996) and will also be
351 provided in greater detail elsewhere. It suffices to say that we divide the amino acid residues making up
352 our model α_{s1} -casein into six separate categories along the same line as Leermakers et al. (1996). The
353 short range interactions between amino acids in different groups, as well as those with surface, ions and
354 solvent molecules, are specified by a set of Flory-Huggins χ parameters. It is these parameters that
355 reflect the hydrophobic, polar or charged nature of amino acid residues in each category. Attachment of
356 sugar groups was made at proline or lysine sites, as these are the residues most vulnerable to Millard
357 reaction. As well as the short range interactions, the charge carrying residues and free ions in the
358 solution also interact with each other through the longer range Columbic forces, also accounted for in
359 the model.

360 The SCF calculation involves an iterative procedure, performed numerically, in which the density
361 profile of the biopolymer, solvent and ions in the gap between two approaching surfaces are altered
362 systematically in a way that reduces the free energy of the system. Convergence is obtained when the
363 set of density profiles with the lowest free energy is achieved. It is this set of density profiles, having

364 the lowest free energy that dominates the thermodynamic behaviour of the system. In particular, by
365 repeating the calculations at different gap sizes, and by monitoring the resulting changes in the free
366 energy of the system, one can evaluate the colloidal interactions between the two interfaces (Ettelaie &
367 Akinshina, 2014; Ettelaie, Khandelwal, & Wilkinson, 2014), as induced by the overlap of adsorbed
368 interfacial layers of biopolymer.

369 The results of the above calculations, performed for the reacted and unmodified model
370 α_{s1} -casein, show that the addition of a single lactose moiety to any of the proline or lysine sites does not
371 produce a drastic improvement beyond the stabilising capability of the protein itself. In many cases,
372 depending on the location of the covalent bond between lactose and protein, one finds that there is
373 actually a detrimental impact. The predicted interactions between two emulsion droplets of size 1 μm ,
374 stabilised by a variety of such α_{s1} -casein + lactose complexes are displayed for some of the studied cases
375 in Fig. 9. The graphs also include the curves for the unmodified α_{s1} -casein, as well the protein + lactose
376 complex that leads to the best result. This occurs when lactose is attached to a proline residue, situated
377 at position 12 on the protein primary sequence. All data were obtained at a pH=4.5, close to pI of our
378 model casein, and at a relatively high electrolyte concentration of 0.4 mol/l. We have also included the
379 van der Waals component of the attraction, present independently of the adsorbed layers, in our
380 interaction curves. For this latter, we took the Hamaker constant to be $1k_B T$ for emulsion droplets,
381 where k_B denotes the Boltzmann constant and T the temperature. This value is typical of those reported
382 for edible food oils (Dickinson, 1992).

383 It is clear from the graphs in Fig. 9 that all the interaction potentials possess deep minima. When
384 lactose was covalently bonded to proline amino acid at either position 102 or 73, the depth of the
385 minima in the mediated interaction potential increased, becoming $-20k_B T$ and $-27k_B T$, respectively.
386 These values are to be compared with $-16k_B T$ for the unmodified α_{s1} -casein. Slight improvement was
387 obtained when the location of the reacted proline was chosen closer to the N-terminus end of the
388 protein, as can be seen from the graphs for pro-2 and pro-12 in Fig. 9. In particular, the attachment of

389 lactose to the proline residue, residing at position 12 along the protein backbone, produced the
390 shallowest energy well, less deep than all the other possible modifications involving a single lactose
391 moiety. Nonetheless, even in such a case, at a value of $-9k_B T$, the resulting potential is still attractive
392 enough to cause aggregation and therefore possible coalescence of the emulsion droplets.

393 All lysine and proline residues of α_{s1} -casein are susceptible to Millard reaction with lactose. Hence, it is
394 also useful to consider situations where a greater number of lactose molecules react with the protein.
395 For the majority of cases, our SCF calculations seem to suggest that the steric stabilising power of the
396 modified complex is reduced when further lactose moieties, in addition to that at location 12, are
397 attached to α_{s1} -casein. This is especially the case when these additions are made at central locations on
398 the chain. It was reported by Akinshina et al (2008) that the attachment of a short polysaccharide chain
399 to α_{s1} -casein, made at positions away from the two ends of the protein, promoted a higher level of
400 bridging attraction between two approaching surfaces covered by such conjugates. This also seems to
401 be the true here. In Fig. 10 we show a selection of our results, involving conjugates with one or two
402 more lactose molecules, beside the one already bonded to proline at position 12 (optimum location for
403 a single connection), also attached to the protein. Once again, interactions curves for unmodified
404 protein (dashed line) and the one with only a single lactose attached at position 12 (long dashed line)
405 have been included for comparison. With one more bond made with the lysine residue at location 7,
406 the depth of minimum in the interaction potential increases (dotted line compared to long dashed line).
407 We believe that this is due to the fact that lysine possesses a positive charge. When a covalent bond
408 with lactose is made, lysine loses its ability to carry charge. At a pH=4, slightly below pI of the protein,
409 this further reduces the net positive charge of the adsorbed conjugates on the surface of the droplets.
410 The subsequent reduction in the electrostatic repulsion manifests itself as a deeper energy well in the
411 interaction potential. This is to be contrasted with the case when the additional lactose attachment is
412 made on a proline residue at position 5 (dash-dotted line). Now there is a slight improvement with the
413 depth of energy well calculated to be $-8 k_B T$. This of course is still deep enough to cause aggregation of
414 droplets. It is also seen that the conjugate with three lactose molecules attached at Pro-5, Lys-7 and

415 Pro-12 (solid line), has almost an identical behaviour to one with single modification at position 12. It
416 seems that the detrimental effect of lactose attachment to lysine at location 7 is compensated by the
417 improvement of doing so with pro-5.

418 To summarise the overall conclusion of the SCF calculations in this section then, it is predicted that the
419 attachment of small sugar molecules at various locations along the protein backbone, largely result in
420 no improvement in colloidal stabilising power of the protein. In a few cases where the attachments are
421 seen to be beneficial, the predicted improvement is still not sufficient to prevent aggregation and
422 possible coalescence of emulsion droplets at $\text{pH} \sim \text{pI}$ of protein. This conclusion is clearly borne out and
423 supports the experimental observations in previous sections.

424 **4. Conclusions**

425 Protein-polysaccharide conjugates prepared via simple dry-heating treatment have excellent
426 emulsifying and stabilising properties under unfavourable environmental conditions such as pH values
427 close to pI of protein. Sugar impurity, present during synthesis, can compete with polysaccharide for
428 reaction with protein. This can hinder the attachment of the two biopolymers. Large disparity between
429 the molecular weight of small sugar molecules and large polysaccharide chains will mean that even a
430 small amount of contamination may be problematic in achieving good efficiency in producing these
431 complexes. In large scale industrial production of conjugates for use in food industry, such impurities
432 are unavoidable. We have studied the impact of the presence of sugar contaminant on interfacial
433 behaviour of the conjugates. We show that the Whey Protein Isolate + Maltodextran system (WPI-MD19
434 (1:2 w/w)) is not overtly sensitive to the existence of lactose during the Maillard reaction, even at a
435 relatively high molar ratio (1:10 MD19 : lactose). The protein-polysaccharide covalent complexes,
436 formed in presence of lactose, remain effective emulsifiers and stabilisers of emulsion droplets, as
437 demonstrated by the study of the stability of 20 vol% oil in water emulsion systems here.

438 The usual argument for the improved colloidal stabilising power of conjugates is attributed to
439 the presence of large polysaccharide chains. It is assumed that through their linkage with protein, the
440 otherwise hydrophilic polysaccharide chains are enticed to adsorb on the surface of droplets. This
441 provides thick interfacial layers, with good provision of repulsive steric force that keeps the droplets
442 apart. However, one may also attribute some of this improvement to the higher solubility of the
443 covalent complex, especially at pH values close to pI of the protein. It is known that sufficient levels of
444 solubility remain an important parameter in determining the suitability of dispersants in colloidal
445 formulations in general (Dickinson, 1992). To examine this proposition, we have also studied both
446 theoretically and experimentally the emulsifying/stabilising properties of WPI-lactose complexes. We
447 have found the results to be inferior to that of WPI-MD19 systems. Theoretical calculations show that
448 slight improvement in emulsion stabilising properties of protein may be possible, but this is only
449 achievable by careful and selected choice of the position of sugar attachments on the protein backbone.
450 Therefore, from a practical point of view, we conclude that covalent complexes of small sugars with
451 proteins are not particularly advantageous compared to protein itself.

452 The relatively small influence of lactose on the preparation of WPI-MD19 conjugates indicates
453 that the Maillard reactions are biased towards the larger MD19 chains. This is somewhat surprising, as
454 the smaller sugar moieties are expected to have a higher degree of mobility, particularly in the relatively
455 dry environment required by Maillard reactions. One possible reason for this may be that the sugar
456 molecules undergo caramelisation which leads to much larger polymer like structure. Even a degree of
457 polymerisation of say 10 is enough to reduce the molar ratio of lactose : MD19 from 10:1 down to 1:1.
458 This then gives the dextran chains a reasonable chance of reacting with protein. Further experiments
459 are needed to verify this possibility. In meantime, the insensitivity of protein + polysaccharide
460 conjugates to the presence of sugar at the time of their synthesis, implies a less stringent level of purity
461 of whey protein, thus reducing the possible cost of making these superior food dispersants.

462

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467

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572

573 **Figure captions**

574

575

576 **Figure 1.** An illustration of WPI, MD19 and lactose mixture before **(A)** and after **(B)** heating
 577 treatment; (1) MD19 : lactose (molar) = 1:1; (2) MD19 : lactose (molar) = 1:2; (3) MD19 : lactose
 578 (molar) = 1:4; (4) MD19 : lactose (molar) = 1:6; (5) MD19 : lactose (molar) = 1:10

579

580 **Figure 2.** Photograph of O/W emulsions stabilised at pH4.6 by different complexes, after 28
 581 days of storage, kept at 30 °C. In each case the emulsifier was synthesised as follows: (1) WPI-
 582 MD19 (1:2 w/w) with no sugar contamination; (2) WPI-MD19 with lactose impurity present at a
 583 molar ratio of 1:10 (MD19 : lactose); (3) WPI-lactose (2:1 w/w) with no maltodextrin present;
 584 (4) a mixture of WPI and MD19 (1:2 w/w) without any heat treatment. In Fig. 2 (3), the position
 585 of cream layer is indicated by an arrow.

586

587 **Figure 3.** Degree of linkage for protein-polysaccharide/sugar conjugates, with lactose at various
 588 molar ratios to MD19. Sample in column 1 is a mixture of WPI and lactose solutions that has
 589 not undergone any heat treatment.

590 **Figure 4.** The change of average droplet size (ADS) of emulsions (20 vol% oil, pH 4.6) stabilised
 591 by different conjugates during 28 days of storage, kept at 30 °C.

592

593 **Figure 5.** The comparison between droplet-size distribution (DSD) of emulsions (20 vol% oil, pH
 594 4.6) freshly prepared and after 28 days.

595

596 **Figure 6.** The flow behaviours, as characterised by k and n index for a power law fluid, for
 597 various emulsions stabilised by three different conjugates: WPI-MD19 (1:2 w/w), WPI-MD19
 598 (1:2 w/w) with lactose impurity present during synthesis at a molar ratio of 1:10
 599 (MD19 : lactose) and WPI-lactose (2:1 w/w), respectively. All results are for emulsions kept at
 600 30 °C for 4 weeks.

601

602 **Figure 7.** The relationship between shear rates and apparent viscosity of emulsions stabilised
 603 by WPI-MD19 (1:2 w/w), WPI-MD19 (1:2 w/w) with lactose present at a mole ratio of 1:10
 604 (MD19 : lactose) and WPI-lactose (2:1 w/w) without maltodextrin, after a storage period of 28
 605 days.

606

607 **Figure 8.** The CLSM images of emulsions stabilised by WPI-MD19 (1:2 w/w), WPI-MD19 (1:2
 608 w/w) with lactose impurity at the molar ratio 1:10 (MD19 : lactose) and without maltodextrin
 609 at WPI-lactose (2:1 w/w), after emulsion preparation and after four weeks of storage.

610

611 **Figure 9.** Colloidal interaction potential between a pair of 1 µm droplets, covered by various
 612 protein + lactose covalently bonded complexes, adsorbed on their surface. There is only a

613 single sugar moiety bonded to a proline residue of α_{s1} -casein in each case, but the position of
614 the linkage is different for each graph, as follows: pro-2 (dash-dotted line), pro-12 (long dashed
615 line), pro-73 (dotted line), pro-102 (solid line) and the dashed line represents casein without
616 modification.

617

618 **Figure 10.** As in Fig. 9, but now with some of the conjugates involving multiple bonds with
619 several lactose molecules, as follows: Lys-7 + Pro 12 (dotted lone), Pro-5 + Pro-12 (dash-dotted
620 line), Pro-5 + Lys-7 + Pro-12 (solid line). The graphs for unmodified casein (dashed line) and
621 that with best single modification, at Pro-12 (long dashed line) are also included for
622 comparison.

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624 **Figure 1**

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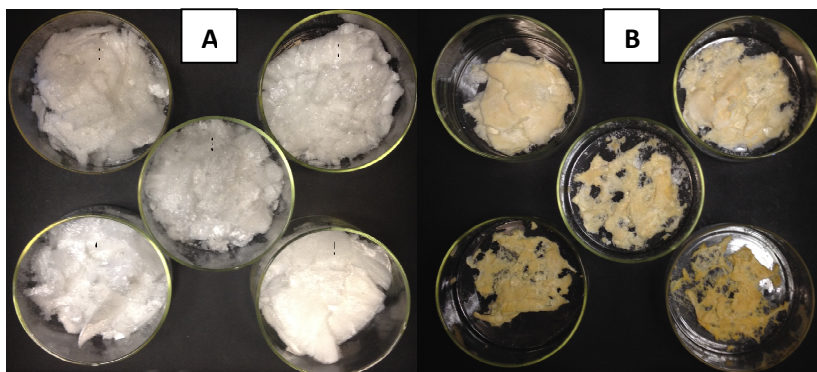
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642 **Figure 2**

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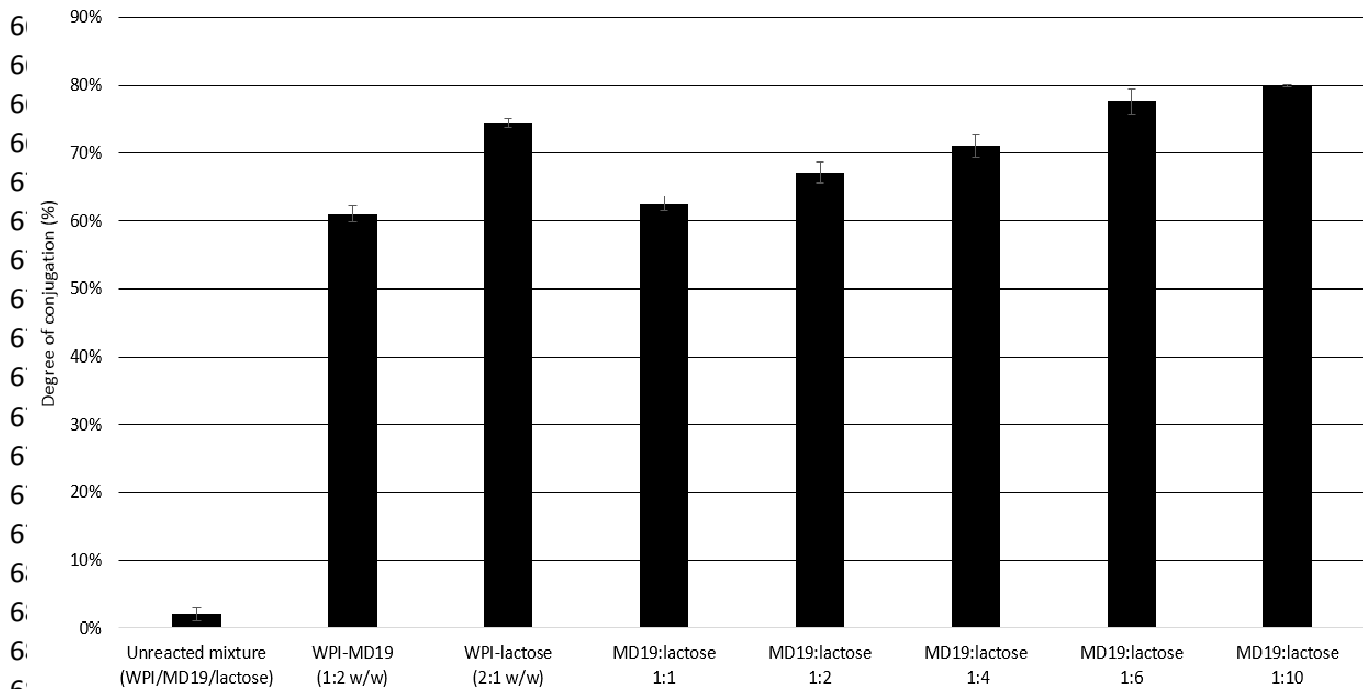


662 **Figure 3**

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688 **Figure 4**

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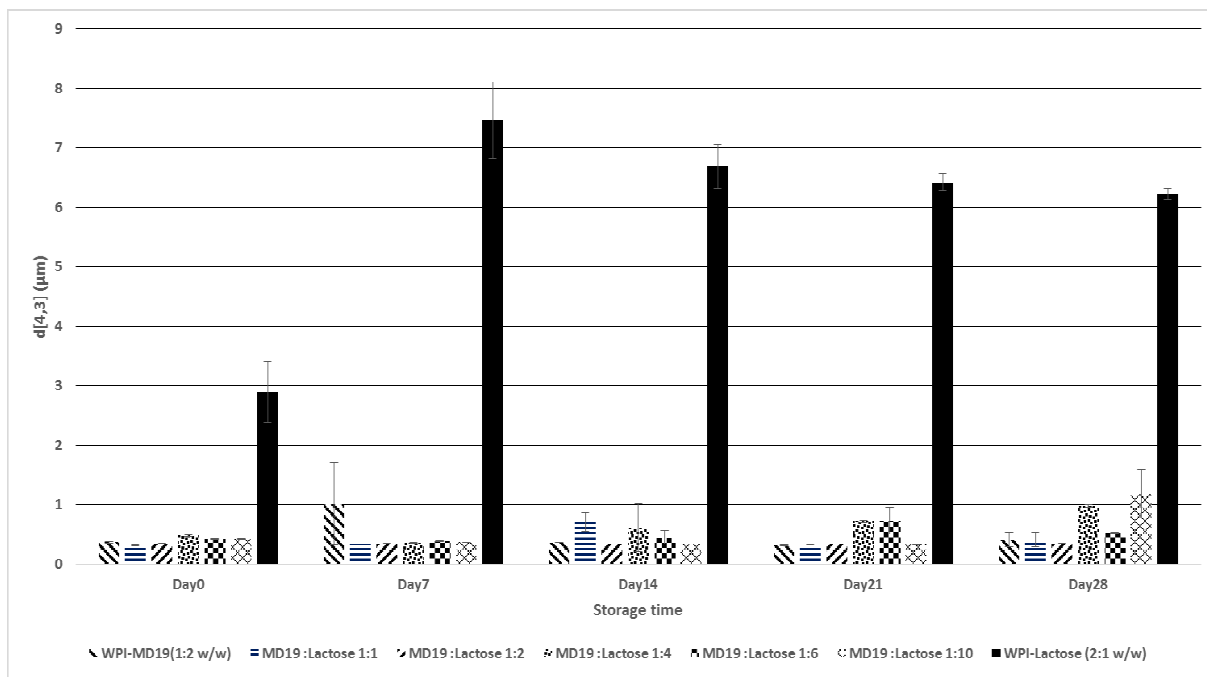
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708 **Figure 5**

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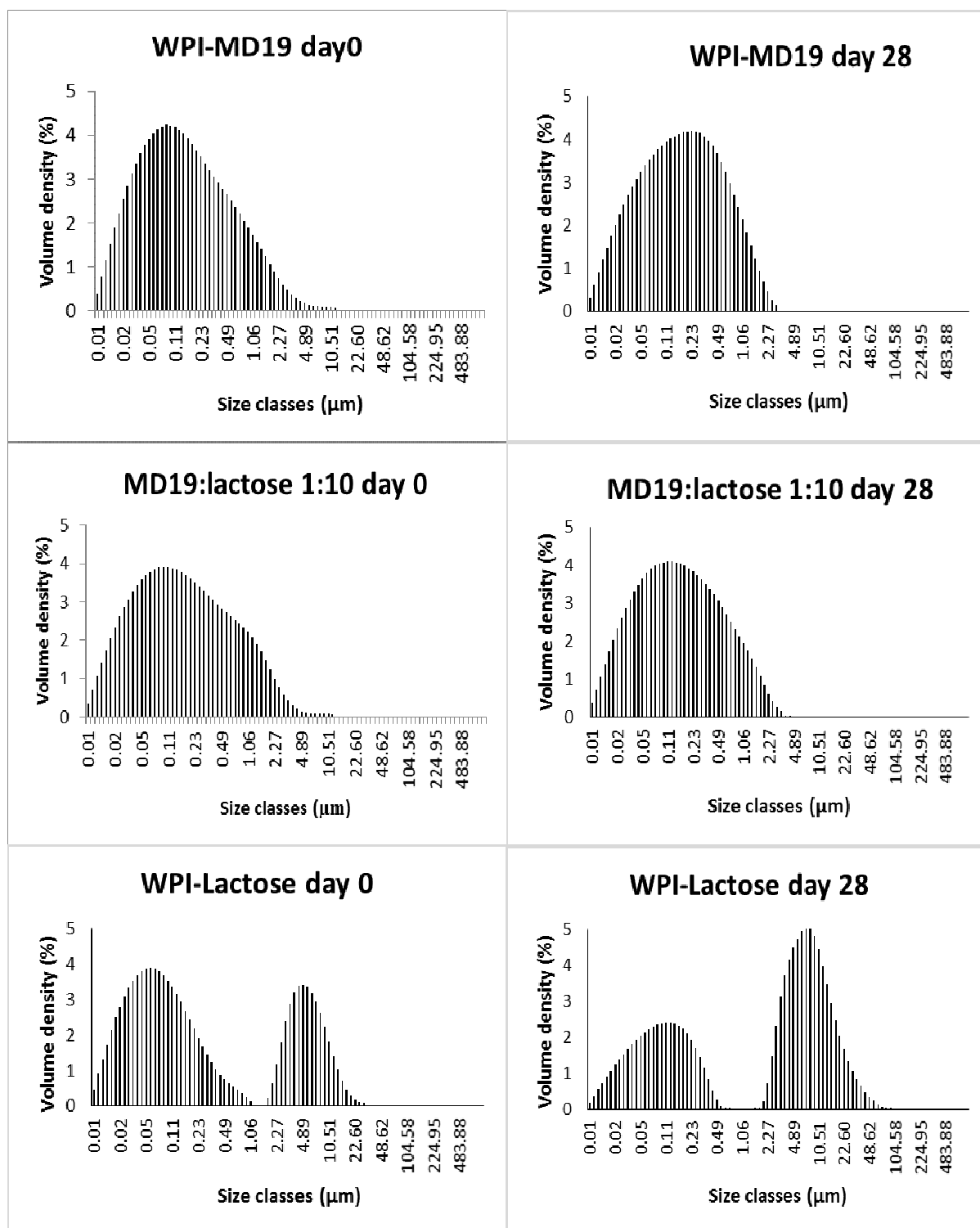
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736 **Figure 6**

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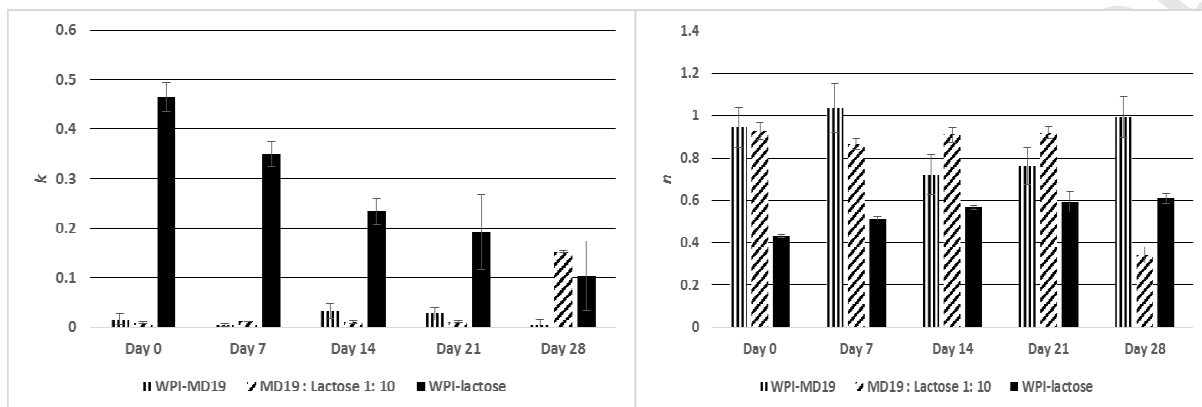
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751 **Figure 7**

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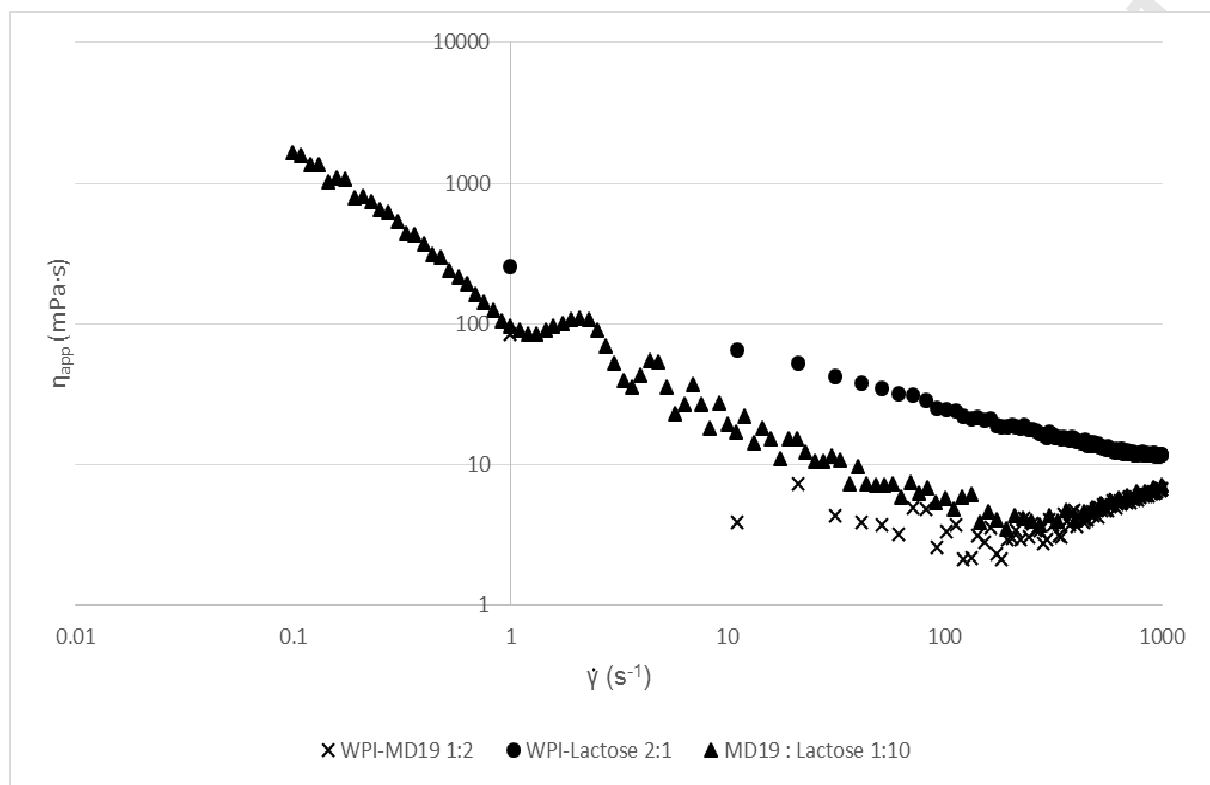
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771 **Figure 8**

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773 **Day 0**

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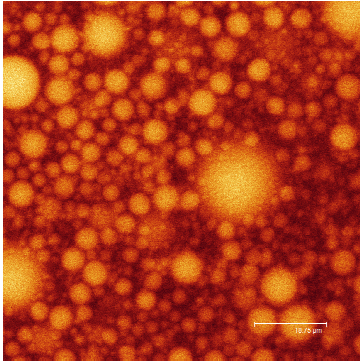
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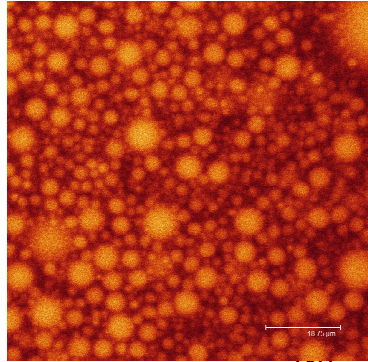
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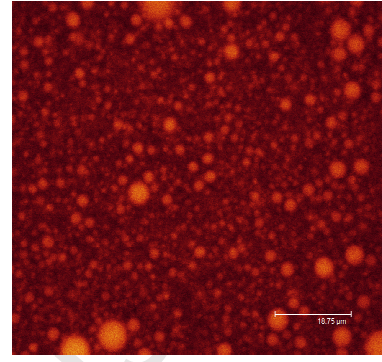
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Stabiliser:
WPI-MD19



Stabiliser:
MD19: lactose 1:10



Stabiliser:
WPI-lactose

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785 **Day 28**

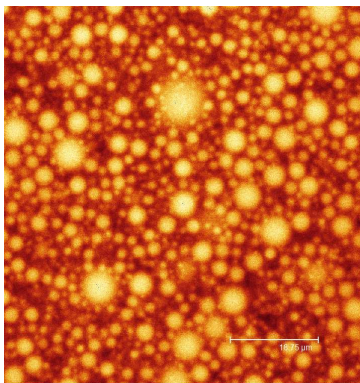
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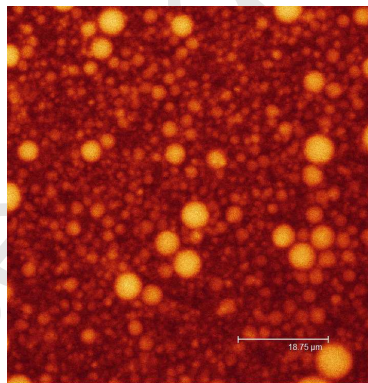
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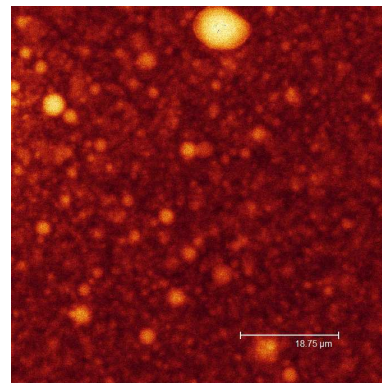
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Stabiliser:
WPI-MD19



Stabiliser:
MD19: lactose 1:10



Stabiliser:
WPI-lactose

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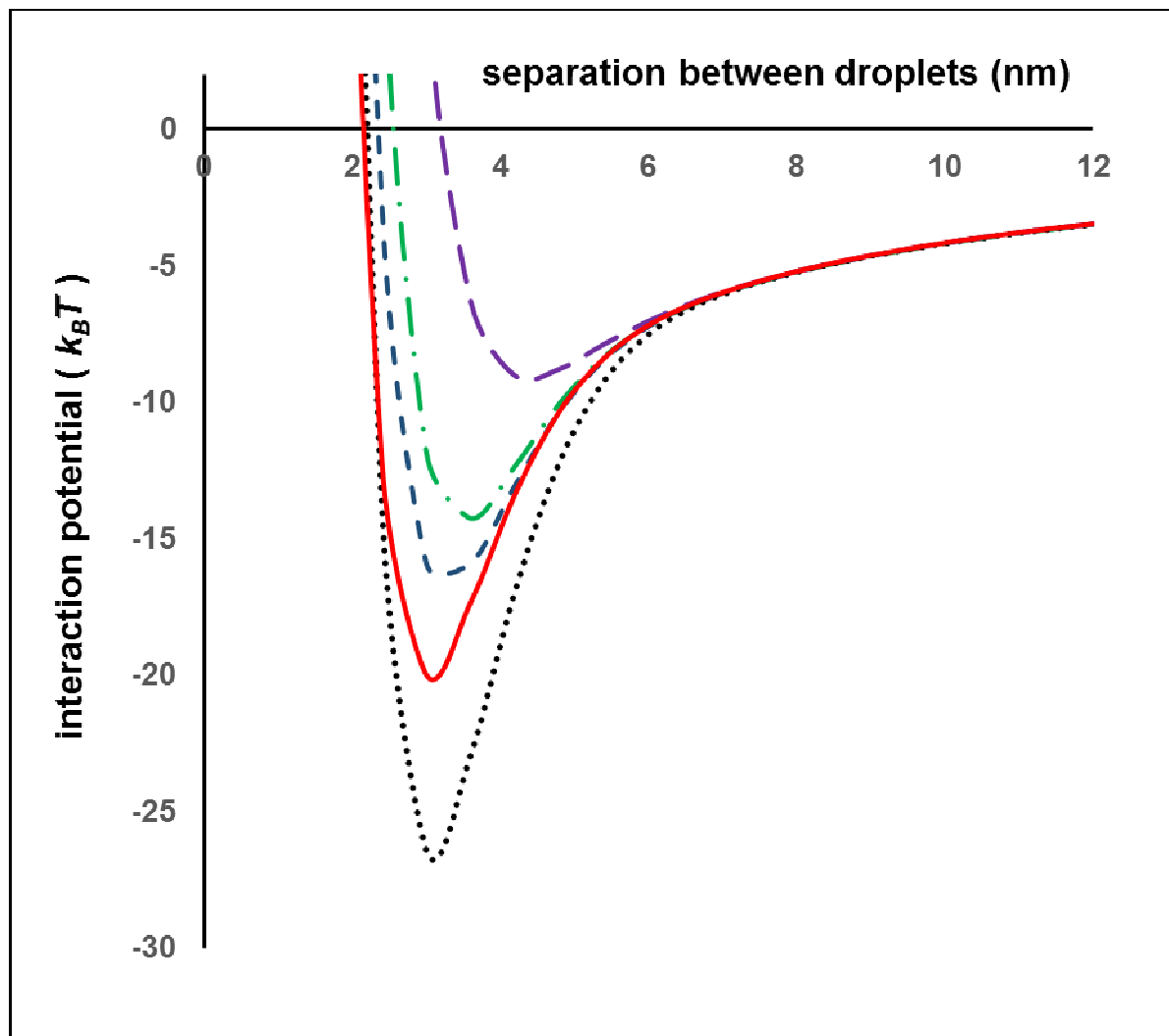
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796 **Figure 9**

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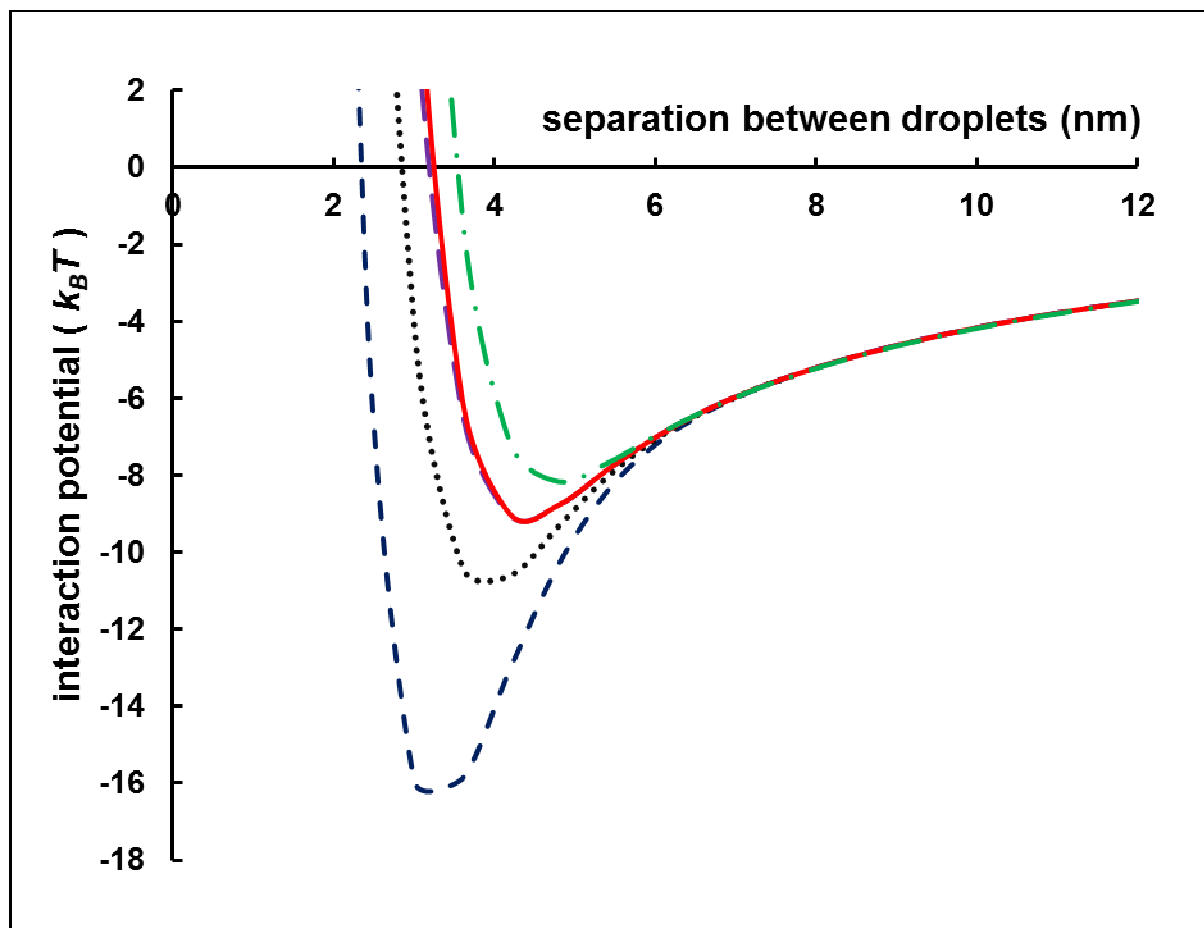
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801 **Figure 10**

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813 **Table 1.** Recipe of WPI-MD19 conjugate with various molar ratios of lactose before the Maillard
 814 reaction

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WPI (g)	MD19 (g)	Lactose (g)	MD19 : Lactose (molar) *
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1	2	0.0787	1:1
1	2	0.1574	1:2
1	2	0.3135	1:4
1	2	0.4703	1:6
1	2	0.7839	1:10

816 * MD19 (Mw = 8.7 kDa); Lactose (Mw = 342.3 g/mol)

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