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Olumayegun, O., Wang, M. and Kelsall, G. (2017) Thermodynamic analysis and preliminary design of closed Brayton cycle using nitrogen as working fluid and coupled to small modular Sodium-cooled fast reactor (SM-SFR). Applied Energy, 191. pp. 436-453. ISSN 0306-2619

https://doi.org/10.1016/j.apenergy.2017.01.099

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Thermodynamic Analysis and Preliminary Design of Closed Brayton Cycle Using Nitrogen as Working Fluid and Coupled to Small Modular Sodium-cooled Fast Reactor (SM-SFR)

5

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10

11 Abstract

12 Sodium-cooled fast reactor (SFR) is considered the most promising of the Generation IV 13 reactors for their near-term demonstration of power generation. Small modular SFRs (SM-14 SFRs) have less investment risk, can be deployed more quickly, are easier to operate and are 15 more flexible in comparison to large nuclear reactor. Currently, SFRs use the proven Rankine 16 steam cycle as the power conversion system. However, a key challenge is to prevent dangerous 17 sodium-water reaction that could happen in SFR coupled to steam cycle. Nitrogen gas is inert 18 and does not react with sodium. Hence, intercooled closed Brayton cycle (CBC) using nitrogen 19 as working fluid and with a single shaft configuration has been one common power conversion 20 system option for possible near-term demonstration of SFR. In this work, a new two shaft 21 nitrogen CBC with parallel turbines was proposed to further simplify the design of the 22 turbomachinery and reduce turbomachinery size without compromising the cycle efficiency. 23 Furthermore, thermodynamic performance analysis and preliminary design of components 24 were carried out in comparison with a reference single shaft nitrogen cycle. Mathematical 25 models in Matlab were developed for steady state thermodynamic analysis of the cycles and 26 for preliminary design of the heat exchangers, turbines and compressors. Studies were 27 performed to investigate the impact of the recuperator minimum terminal temperature 28 difference (TTD) on the overall cycle efficiency and recuperator size. The effect of 29 turbomachinery efficiencies on the overall cycle efficiency was examined. The results showed 30 that the cycle efficiency of the proposed configuration was comparable to the 39.44% efficiency of the reference cycle. In addition, the study indicated that the new configuration 31 32 has the potential to simplify the design of turbomachinery, reduce the size of turbomachinery 33 and provide opportunity for improving the efficiency of the turbomachinery. The findings so 34 far revealed that the proposed two-shaft CBC with nitrogen as working fluid could be a 35 promising power conversion system for SM-SFRs near-term demonstration.

36

37 Keywords

- 38 Sodium-cooled fast reactor
- 39 Closed Brayton cycle
- 40 Nitrogen working fluid
- 41 Thermodynamic analysis

- 42 Heat exchanger design
- 43 Turbomachinery design

44 Highlights

- Nitrogen closed Brayton cycle for small modular sodium-cooled fast reactor studied
- Thermodynamic modelling and analysis of closed Brayton cycle performed
- Two-shaft configuration proposed and performance compared to single shaft
- Preliminary design of heat exchangers and turbomachinery carried out

49 Nomenclature and Units

50 Abbreviations

2-D	Two-dimensional
ASTRID	Advanced Sodium Technological Reactor for Industrial Demonstration
CBC	closed Brayton cycle
CDT	compressor-driving turbine
FPT	free power turbine
Gen IV	Generation IV
GIF	Gen IV International Forum
HPC	high pressure compressor
IHX	intermediate heat exchanger
LMTD	logarithmic mean temperature difference
LPC	low pressure compressor
Na/N ₂ IHX	sodium/nitrogen intermediate heat exchanger
NIST	National Institute of Standards and Technology
PCHE	Printed Circuit Heat Exchanger
PCS	power conversion system
s-CO ₂	supercritical carbon dioxide
SFR	sodium-cooled fast reactor
SM-SFR	small modular sodium-cooled fast reactor
SMR	small modular reactor
TTD	terminal temperature difference

51

52 Symbols

Α	Area (m ²)
AR	Aspect ratio
b_H	Blade height (m)
С	Blade chord (m)
С	Absolute velocity (m/s)
C_L	Lift coefficient
Ср	Specific heat capacity at constant pressure
D	Diameter (m)
DF	Diffusion factor
dHaller	de Haller number
d_s	Specific diameter
f	Darcy friction factor
g	Gravitational acceleration (m/s ²)

Н	Head (m)
h	Specific enthalpy (kJ/kg) or convective heat transfer coefficient [W/(m ² .K)]
k	Thermal conductivity [W/(m.K)]
L	Length (m)
ln	Natural logarithm
'n	Mass flow rate (kg/s)
min	Minimum
ns	Specific speed
N _h	Number of blade
Nu	Nusselt number
ор	Optimum value
P	Pressure (Pa or N/m^2)
Pr	Prandtl Number
Ò	Volumetric flow rate (m^3/s)
õ	Heat duty (watt or J/s)
r	Radius (m)
Re	Reynold number
S	Blade spacing (m)
Т	Temperature (K)
t	Conduction length (m)
U	Overall heat transfer coefficient $[W/(m^2.K)]$ or blade velocity (m/s)
V	Velocity (m/s)
W	Power (W or J/s) or relative velocity (m/s)
α	Absolute velocity angle (degree)
β	Relative velocity angle (degree)
Δ	Change in quantity
δ	Fluid deflection through blade
Е	Effectiveness or pipe roughness
η	Efficiency
Λ	Reaction
μ	Viscosity (Pa-s)
ξ	Relative pressure loss or blade nominal loss coefficient
π	Pressure ratio or pi
ρ	Density (kg/m^3)
σ	Blade solidity
ϕ	Flow coefficient
$\dot{\psi}$	Stage loading coefficient
ω	Rotational speed (rev/s)

54 Subscripts

0	Stagnation property
1	Turbine or compressor stage inlet
2	Turbine rotor or compressor stator inlet
3	Turbine or compressor stage exit
ad	adiabatic
С	Compressor
С	Cold stream
elec	Electrical
ex	Exit
gen	Generator
s ad C c elec ex gen	adiabatic Compressor Cold stream Electrical Exit Generator

h	Hot stream or hydraulic
HX	Heat exchanger
i	inlet
is	Isentropic
N ₂	Nitrogen
Na	Sodium
т	Melting or mean-line
max	Maximum
0	Outlet
Р	Pump
RX	Reactor
Т	Turbine or Temperature
tt	Total-to-total
x	Axial component
θ	Tangential component

56 **1** Introduction

57 Generation IV nuclear reactors (Gen IV reactors) are the next step in the deployment of nuclear power generation to meet the world's future energy demand [1]. Of all the six Gen IV reactors, 58 59 sodium-cooled fast reactor (SFR) has been identified as the most matured and hence the most suitable for near-term demonstration [2-4]. In addition to the larger SFRs, Small Modular 60 61 Sodium-cooled Fast Reactors (SM-SFRs) with plant size ranging from 50 to 300 MWe are also under consideration by Gen IV International Forum (GIF) [5]. Generally, small modular 62 63 reactors (SMRs) are viewed to have less financial risk, cheaper when mass produced, could be 64 deployed faster, and are easier to operate and maintain compared with larger nuclear reactor [6, 7]. Most of the components could be factory-built and then assemble on site. In addition, 65 SMRs are more flexible with respect to their generation and location due to their lower 66 capacity. Therefore, SMRs could help cope with the challenge of intermittent renewable 67 68 energy by rapidly increasing or decreasing power output [8-11]. Also, it can be sited in offgrid areas requiring small power and future growth can be accommodated by simply adding 69 70 extra units.

71 The power conversion system (PCS) implementation is critical to the successful 72 commercialization of the SM-SFR power plant technology. The current SFRs (e.g. Phenix, SuperPhenix, BN 600, BN 800, e.t.c.) adopt the proven Rankine steam cycle as PCS [12, 13]. 73 74 However, there are concerns over the coupling of steam cycle to SFR. The challenges include: 75 (1) safety concern because of the possibility of hazardous sodium-water reaction (2) high 76 capital cost because of additional secondary sodium circuit and large plant footprint, and (3) 77 low efficiency. Therefore, closed Brayton cycle (CBC) is considered as a promising alternative 78 PCS for SFRs. Recently, Olumavegun et al carried out a review of the research activities and 79 studies performed worldwide on CBC [14]. Use of CBC has the potential to simplify the design, 80 reduce technical risk, reduce the amount and size of equipment and improve efficiency. 81 Working fluids consider for the CBC include supercritical CO₂ (s-CO₂), helium and nitrogen.

In 1966, Feher patented the supercritical cycle heat engine and the possibility of using s-CO₂ cycle for nuclear power generation was later investigated [14, 15]. Recent times has witnessed a renewed interest in s-CO₂ cycle [16] as PCS for nuclear power [17-19] and other heat sources such as concentrated solar power [20-22], fuel cell [23], coal [24] and waste heat[25]. In literature, s-CO₂ cycle has been investigated as alternative to steam cycle for SFR application [3, 26-32]. All the studies agreed on the benefits of s-CO₂ CBC power conversion system for SFR including higher efficiency (about 44%) and smaller footprint. However, sodium-CO₂

89 reaction could be of safety concern at some temperatures and requires further investigation to

- 90 understand the nature of the chemical reaction [29, 33]. Also, s-CO₂ CBC still requires major
- technological developments for the turbomachinery and heat exchangers [3, 29]. Helium gas
- 92 does not react with sodium but helium CBC is not promising for SFRs due to its low thermal
- 93 efficiency [12]. The nitrogen CBC option is attractive because nitrogen is inert, thus
- 94 eliminating the risk of sodium-water or sodium- CO_2 reactions. Furthermore, design of
- nitrogen PCS is anticipated to be less challenging since years of experience from air gas turbine
 engines can be applied [34]. After all, nitrogen properties are similar to those of air. Hence,
- 97 the nitrogen cycle was perceived as the only potential option for short-term demonstration
- while the s-CO₂ cycle could be a suitable option for long-term applications [12, 35].
- 99 The nitrogen gas Brayton cycle is mainly been developed in France under the ASTRID 100 (Advanced Sodium Technological Reactor for Industrial Demonstration) SFR project [35-37]. 101 Cachon et al. [37] presented different feasibility studies and heat exchanger design for innovative power conversion systems for ASTRID SFR. The result led to the selection of 102 103 nitrogen gas Brayton cycle. Alpy et al. [35] performed a comparison in terms of the thermodynamic performance and preliminary components sizing between nitrogen and s-CO₂ 104 105 cycle for the ASTRID SFR. The s- CO_2 cycle has a higher efficiency (about 44%) than the 106 nitrogen cycle (about 38%). However, the nitrogen cycle was chosen for near-term 107 demonstration of electricity generation from CBC coupled to SFR. Ahn and Lee investigated several CBC designs for SM-SFR as alternatives to the Rankine steam cycle [7]. CBC using 108 109 s-CO₂, helium and nitrogen as working fluids was compared in term of thermodynamic performance and physical size of the components. Recently, Seo et al. [13] investigated the 110 111 adoption of nitrogen power conversion system for a SM-SFR. Nitrogen working fluid was chosen ahead of s-CO₂ and helium considering both safety and thermal performance as well 112 as the elimination of intermediate sodium loop. Sensitivity studies were performed to optimise 113 114 the system and the effect of the elimination of intermediate (secondary) sodium loop on the 115 thermodynamic efficiency of the plant was studied. The study showed that the elimination of 116 the intermediate loop increased the thermodynamic efficiency by 3% point.
- 117 The aim of this paper is the thermodynamic analysis and preliminary design of components of CBC using nitrogen as working fluid and coupled to SM-SFR for near-term demonstration of 118 119 electricity generation from Gen IV reactors. The often suggested configuration for the nitrogen 120 cycle is the intercooled CBC with single shaft, in which all the compressors, turbine and generator rotates at the grid frequency. However, preliminary design of the turbomachinery 121 122 indicated that the design of the turbine is especially difficult [38]. One solution for simplifying 123 and improving the design of turbomachinery is to change the shaft rotational speed. This 124 requires the use of either frequency converter or gearbox, both of which will incur efficiency 125 penalty. Moreover, maximum practical power output for which a gearbox is feasible is about 80 MW [39]. Another option is to employ a two-shaft configuration in which the generator 126 127 and a free power turbine (FPT) rotate at the grid frequency while the compressors and a 128 compressor-driving turbine (CDT) rotate at an independent shaft speed. The free selection of 129 a higher compressors shaft speed can then be used to optimise the design of the compressors 130 and the driving turbine. This will result in reduced stage numbers and more compact 131 turbomachinery as well as possible improvement of turbomachinery efficiency. Two layouts are possible for the two-shaft configuration. One is to have the FPT and the CDT in series and 132 133 the other is to have them in parallel. The two-shaft with parallel turbines layout is adopted in 134 this study as the series turbines layout is known to result in loss of overall cycle efficiency 135 [40].

To the best of our knowledge, no one has investigated a two-shaft configuration option for nitrogen cycle coupled to SFR. Also, most previous studies of CBC tend to be limited to thermodynamic performance analysis [3, 6, 13]. In summary, the main novel contributions of this article are: (a) a new two-shaft nitrogen CBC with parallel turbines was proposed as a way to further simplify the design of the turbomachinery and reduce turbomachinery size without 141 compromising the cycle efficiency (b) thermodynamic performance analysis were carried out 142 in comparison with a reference single shaft nitrogen cycle (c) preliminary design of 143 components were performed for the proposed and the reference configurations. Further effort 144 in this work to estimate the sizes of components through preliminary design can be crucial to 145 the economic assessment of the plants. The effect of the recuperator minimum terminal 146 temperature difference (TTD) on the cycle efficiency and recuperator size was investigated. 147 The impact of changes in turbomachinery efficiency on the overall cycle efficiency was 148 examined. The thermodynamic performances and preliminary designs were evaluated using 149 the Matlab codes developed for cycle analysis, heat exchanger design, axial compressor design 150 and axial turbine design. The performance calculation and preliminary design were performed 151 for nitrogen Brayton cycles coupled to a SFR with thermal power of 500 MW and reactor exit 152 temperature of 545 °C.

The structure of the paper is as follows. Section 2 describes the layout of the reference singleshaft and the proposed two-shaft with parallel turbines configuration. Section 3 outlines the methodology for cycle thermodynamic modelling and performance analysis. In Section 4 the methodology for the preliminary design of the heat exchangers and turbomachinery is presented. Section 5 presents the results and discussion of the thermodynamic analysis and preliminary design for the nitrogen cycles. Finally, conclusions are drawn in Section 7.

2 Plant configurations and description

160 In this study, two nitrogen CBC configurations have been considered: a reference single-shaft 161 intercooled closed-cycle gas turbine configuration and a two-shaft with parallel turbines 162 configuration. The single-shaft intercooled configuration seems to be the most popular design 163 choice for nitrogen CBC. Hence it will be used as reference case for comparison with the 164 suggested alternative two-shaft configuration. A more detailed description of the two 165 configurations will be presented in this section.

166 2.1 Reference single-shaft intercooled closed Brayton cycle

The schematic flow diagram of the reference single-shaft intercooled CBC is shown in Figure 167 168 1. In this configuration, all the turbomachinery rotates on a single shaft. The plant features a 169 500 MWth SFR coupled indirectly to the PCS through the sodium/nitrogen intermediate heat 170 exchanger (Na/N₂ IHX). The primary circuit is made up of the SFR reactor, the primary side 171 of Na/N₂ IHX and the sodium pump. Sodium coolant at 545 $^{\circ}$ C and 1.15 bar exits the reactor 172 core and flows through the primary side of the IHX. The pump is used to circulate the liquid 173 sodium in the primary circuit. Thus the reactor core heat is transferred to the PCS via the Na/N₂ 174 IHX. The PCS is connected to the secondary side of the Na/N₂ IHX and uses nitrogen as 175 working fluid. The Brayton cycle consists of two compressors referred to as low pressure 176 compressor (LPC) and high pressure compressor (HPC), a turbine and four heat exchangers 177 (Na/N₂ IHX, recuperator, precooler and intercooler).

178 The temperature-entropy (T-S) diagram of the closed Brayton PCS is illustrated in Figure 2. 179 High temperature nitrogen leaving the Na/N₂ IHX at 530 $^{\circ}$ C is expanded in the turbine to 180 produce mechanical power. The power produced by the turbine is used to drive the electrical 181 generator, the LPC and the HPC connected to the same shaft. The shaft rotates at the grid 182 synchronous speed of 3000 rpm since the generator is directly connected to the grid. Part of 183 the residual heat energy in the low pressure nitrogen exiting the turbine is recovered in the 184 recuperator. The nitrogen gas then enters the precooler where the remaining heat energy is rejected to the surrounding through the cooling water. The cooled nitrogen at 27 °C is 185 compressed in the LPC, cooled again in the intercooler to 27 °C and compressed to the 186 187 maximum cycle pressure of 180 bar by the HPC. It then enters the high pressure side of the recuperator where it is preheated with the heat energy recovered from the fluid leaving the 188 189 turbine. After recuperation, the fluid passes through the secondary side of the Na/N₂ IHX. At

190 the outlet of the Na/N₂ IHX the nitrogen gas achieves the highest temperature within the cycle 191 after absorbing heat from liquid sodium flowing through the primary side. The hot nitrogen

192 gas is then routed to the turbine to repeat the thermodynamic cycle.

193 2.2 Two-shaft with parallel turbines closed Brayton cycle

The schematic diagram of the proposed alternative two-shaft configuration is shown in Figure 194 195 3. It is similar to the reference case except that (a) it uses two parallel turbines referred to as 196 compressor-driving turbine (CDT) and free power turbine (FPT), and (b) it employs two independent rotor shafts referred to as compressor shaft and generator shaft. The CDT drives 197 198 the LPC and the HPC through the compressor shaft while the FPT drives the electrical 199 generator through the generator shaft. The main nitrogen flow exiting the Na/N₂ IHX is split 200 into two streams at the turbines inlet. The first stream is expanded in the CDT rotating at speed higher than 3000rpm. The flow through this turbine is just enough to drive the LPC and the 201 202 HPC. The second stream flow through the FPT rotating at 3000 rpm to match the grid 203 frequency and generate electric power.

A significant feature of the two-shaft parallel turbines configuration is that the compressor shaft speed can be selected to minimise the technical design challenges of the turbomachines as their design could be optimised for non-grid rotational speed. Also the use of two parallel turbines instead of series arrangement helps to maintain the thermal efficiency of the PCS [40]

as well as reduce the volumetric flow through the turbines.



210 Figure 1 Reference single-shaft closed Brayton cycle [7, 35]



211

212 Figure 2 Temperature-Entropy diagram





214 Figure 3 Proposed two-shaft closed Brayton cycle with turbines in parallel

3 Thermodynamic analysis and cycle modelling

3.1 Thermodynamic modelling of cycle components and

217 performance evaluation

Using Matlab, a cycle analysis code was developed for CBC coupled to SFR. Steady state thermodynamic performance of the nitrogen CBC was evaluated with the Matlab code. The cycle calculation code consists of models of the SFR reactor, pump, IHX, recuperator, precooler, intercooler, compressors, turbines and pipes. Models of the individual component were derived based on steady state mass and energy balances, thermodynamic relations and characteristic equations of the components.

224 Assumed known were: reactor thermal power; core outlet temperature and pressure; cycle 225 maximum pressure; hot side outlet temperatures of intermediate heat exchanger (IHX), 226 precooler and intercooler; turbomachinery isentropic efficiencies; minimum TTD or 227 effectiveness of heat exchangers; generator efficiency; and relative pressure losses of pipes 228 and heat exchangers. Consequently, the mass flows, fluid thermodynamic states, heat 229 transferred, mechanical power delivered or absorbed, generator output and cycle efficiency 230 were evaluated. The whole cycle calculation process begins with initial guesses for the LPC 231 and HPC pressure ratios. The iteration is continued until optimum values of compressor ratios 232 in term of the maximum cycle efficiency are obtained. Note that any thermodynamic property 233 can be obtained from the fluid thermodynamic property sources if two independent properties 234 are known.

The reactor was modelled as a heat source. For the given reactor thermal power, the primarycircuit sodium mass flow rate was calculated using equation (1)

$$Q_{RX} = \dot{m}_{Na}(h_{RXo} - h_{RXi}) \tag{1}$$

Where,

238	Q_{RX} is reactor thermal power
-----	-----------------------------------

239 \dot{m}_{Na} is the mass flow rate of sodium coolant 240 h_{RXo} is the specific enthalpy of sodium at reactor outlet

- 241 h_{RXi} is the specific enthalpy of sodium at reactor outlet h_{RXi} is the specific enthalpy of sodium at reactor inlet
- 242 The liquid sodium is recycled by the pump. The external power input in the pump is given as:

$$W_P = \dot{m}_{Na}(h_{Po} - h_{Pi}) \tag{2}$$

243 Where,

- $\begin{array}{lll} 244 & W_P \text{ is pump power} \\ 245 & h_{Po} \text{ is the specific enthalpy at pump outlet} \\ \end{array}$
- 246 h_{Pi} is the specific enthalpy at pump inlet
- 247 The pump isentropic efficiency is:

$$\eta_{P,is} = \frac{h_{Po,is} - h_{Pi}}{h_{Po} - h_{Pi}}$$
(3)

- Where,
- 249 $\eta_{P,is}$ is the pump isentropic efficiency
- 250 $h_{Po,is}$ is the ideal specific enthalpy at pump outlet with isentropic pressure rise
- For an isentropic process in the pump, it follows that the first law for closed system undergoing reversible process becomes:

$$h_{Po,is} - h_{Pi} = \frac{P_{Po} - P_{Pi}}{\rho_{Pi}}$$
(4)

253 Where,

- 254 P_{Po} is the pump outlet pressure
- 255 P_{Pi} is the pump inlet pressure
- 256 ρ_{Pi} is the density of sodium at pump inlet
- The compressors were modelled using their pressure ratios and isentropic efficiencies. The compressor outlet conditions were computed from equation (5) and (6):

$$P_{Co} = P_{Ci}\pi\tag{5}$$

- 259 Where,
- 260 P_{Co} is the compressor outlet pressure261 P_{Ci} is the compressor inlet pressure262 π is the pressure ratio

$$\eta_{C,is} = \frac{h_{Co,is} - h_{Ci}}{h_{Co} - h_{Ci}} \tag{6}$$

263 Where,

- 264 $\eta_{C,is}$ is the isentropic efficiency of the compressor265 $h_{Co,is}$ is the ideal specific enthalpy at compressor outlet with isentropic compression266 h_{Ci} is the specific enthalpy at compressor inlet267 h_{Co} is the specific enthalpy at compressor outlet
- 268 The power consumption of the compressors, W_C is calculated as the product of nitrogen mass 269 flow, \dot{m}_{N2} and enthalpy rise between the inlet and outlet of the compressors.

$$W_{C} = \dot{m}_{N2}(h_{Co} - h_{Ci}) \tag{7}$$

270

Similarly, the turbines were modelled using the pressure ratios and isentropic efficiencies. The pressure, enthalpy and power were calculated by using equation (8), (9) and (10).

$$P_{To} = \frac{P_{Ti}}{\pi} \tag{8}$$

273	Where,	
274	P_{To} is the turbine outlet pressure	

275 P_{Ti} is the turbine inlet pressure

$$\eta_{T,is} = \frac{h_{Ti} - h_{To}}{h_{Ti} - h_{To,is}}$$
(9)

- Where,
- 277 $\eta_{T,is}$ is the isentropic efficiency of the turbine
- 278 $h_{To,is}$ is the ideal specific enthalpy at turbine outlet with isentropic expansion
- 279 h_{Ti} is the specific enthalpy at turbine inlet
- 280 h_{To} is the specific enthalpy at turbine outlet

$$W_T = \dot{m}_{N2} (h_{Ti} - h_{To}) \tag{10}$$

281 Where W_T is the power delivered by the turbine

The IHX, recuperator, precooler and intercooler were modelled as counter flow heat exchangers. Two calculation options are available. The first option is to assume that the minimum TTD is known while the second option is to assume that the effectiveness is known.
Using the TTD approach, the minimum TTD can occur either at the hot end (hot stream inlet/cold stream outlet) or at the cold end (cold stream inlet/hot stream outlet). As an initial guess, the TTD was assumed to occur at the hot end, then:

$$T_{co} = T_{hi} - TTD \tag{11}$$

Where,

289 T_{co} is the cold stream outlet temperature 290 T_{hi} is the hot stream inlet temperature

291 Therefore, the heat exchanger duty (heat transferred), Q_{HX} is:

$$Q_{HX} = \dot{m}_c (h_{co} - h_{ci}) \tag{12}$$

293	\dot{m}_c is the cold stream mass flow rate
294	h_{co} is the cold stream outlet specific enthalpy
295	h_{ci} is the cold stream inlet specific enthalpy
296	

297 Then the hot stream outlet enthalpy is:

$$h_{ho} = h_{hi} - \frac{Q_{HX}}{\dot{m}_h} \tag{13}$$

Where,

299 \dot{m}_h is the hot stream mass flow rate

300 h_{ho} is the hot stream outlet specific enthalpy

301 h_{hi} is the hot stream inlet specific enthalpy

If the temperature difference at cold end is discovered to be lower than the TTD, equation (11)
 is replaced with equation (14). Then the above calculation is repeated but starting with cold
 end.

$$T_{ho} = T_{ci} + TTD \tag{14}$$

305 Where,

306 T_{ho} is the hot stream outlet temperature 307 T_{ci} is the cold stream inlet temperature

308 However, if the effectiveness approach is to be used, the exchanger effectiveness, ε_{HX} is 309 defined as:

$$\varepsilon_{HX} = \frac{\dot{m}_c(h_{co} - h_{ci})}{Q_{max}} = \frac{\dot{m}_h(h_{hi} - h_{ho})}{Q_{max}}$$
(15)

310 The maximum theoretical heat transfer rate in counter flow heat exchanger of infinite heat 311 transfer surface area, Q_{max} , is given as follows:

$$Q_{max} = min\{(\dot{m}_{c}(h_{co_{Thi}} - h_{ci})); (\dot{m}_{h}(h_{hi} - h_{hoTci}))\}$$
(16)

312 Where,

313 $h_{co_{Thi}}$ is the outlet enthalpy of cold stream at the temperature of the hot stream inlet 314 h_{hoTci} is the outlet enthalpy of the hot stream at the cold stream inlet temperature

315 Inlet or outlet pressures of heat exchangers and pipes were calculated from the relative pressure 316 losses defined as:

$$\xi = \frac{P_i - P_o}{P_i} \tag{17}$$

317 Where,

318 P_i is the inlet pressure

319 P_o is the outlet pressure

320 The cycle thermodynamic states of pressure, temperature and enthalpy at all component inlet

and outlet were obtained by solving the equations (1) - (17). Then the electrical power supplied to the grid, W_{elec} was calculated as:

$$W_{elec} = \eta_{gen} \left(\sum W_T - \sum W_C \right) - W_P \tag{18}$$

- 323 Where η_{gen} is the electrical generator efficiency
- 324 Note that pump power was not considered negligible in the cycle calculation. This will reduce
- the plant efficiency. The cycle efficiency, η_{cycle} is defined as the ratio of electrical power
- 326 output to the reactor thermal power:

$$\eta_{cycle} = \frac{W_{elec}}{Q_{RX}} \tag{19}$$

327

The cycle analysis code is further integrated with the heat exchanger preliminary design 328 329 code/program. Heat exchanger design was performed based on the mass flows and fluid 330 conditions determined through the cycle calculation, and a chosen maximum pressure loss 331 constraint. Then the initial heat exchanger pressure losses used for cycle calculation is replaced 332 with the actual pressure losses obtained from the preliminary design code. The process is 333 repeated iteratively until there is convergence of the mass flows and fluid conditions. On the 334 other hand, the turbomachininery design code determined the number of stages and size of the turbines and compressors. 335

336 3.2 Fluid thermodynamic and transport properties

The liquid sodium in the primary circuit carries the heat energy to be transferred to the PCS, nitrogen gas is the working fluid in the PCS while liquid water in the cold side of the precooler and intercooler is used for heat rejection to the environment. Hence the cycle analysis and the preliminary design codes must be able to simulate the fluid properties of liquid sodium, nitrogen gas and liquid water. Fluid thermodynamic properties to be simulated include: pressure, temperature, enthalpy, density, heat capacity and speed of sound. Transport properties include the dynamic viscosity and thermal conductivity.

344 Since Matlab does not have any thermodynamic and transport property function, a Matlab 345 code was written to compute the properties of liquid sodium. The computations were based on correlations recommended by Sobolev [41]. A summary of the correlations used to generate 346 347 property values of liquid sodium is given in Table 1. The effect of pressure on the 348 thermodynamic and transport properties of liquid sodium was neglected. However, properties 349 of nitrogen and liquid water were obtained from REFPROP (version 9.1) program of the 350 National Institute of Standards and Technology (NIST). The REFPROP program has been reported to be accurate and widely applicable to a variety of pure fluid and mixtures [42, 43]. 351 352 Any unknown properties can be requested from the REFPROP program by supplying two 353 known independent properties. Both the Matlab code for liquid sodium property and the REFPROP program were used as subroutines in the cycle analysis and preliminary design 354 355 codes.

356 Table 1

Property	Correlations (T is in Kelvins)	Units
Enthalpy	$ \begin{split} h &= 164.8(T-T_m) - 1.97 \times 10^{-2}(T^2 - T^2_m) + 4.167 \times 10^{-4}(T^3 - T^3_m) + \\ 4.56 \times 10^5(T^{-1} - T^{-1}_m); \ T_m = melting \ temperature \end{split} $	J/kg
Density	ho = 1014 - 0.235T	kg/m^3
Specific heat capacity	$Cp = -3.001 \times 10^6 T^{-2} + 1658 - 0.8479T + 4.454 \times 10^{-4} T^2$	J/kgK
Viscosity	$\ln \mu = \frac{556.835}{T} - 0.3958 \ln T - 6.4406$	Pa−s
Thermal conductivity	$k = 110 - 0.0648T + 1.16 \times 10^{-5}T^2$	W/mK

357 Correlations for computing liquid sodium properties

358

359 3.3 Model validation

The model for the nitrogen CBC was verified with results of numerical model reported by Ahn and Lee [7]. The input parameters used for the validation are shown in Table 2. In Table 3, the main results of the cycle model are compared with the literature values. The results of the cycle model agreed well with the results obtained from literature to within 0.86%. The small dissimilarities in the results could be due to the thermodynamic properties calculations and the round-off error in the input parameters. Therefore, the developed Matlab cycle model is deemed accurate enough for simulating the performance of the nitrogen CBC.

367 Table 2

³⁶⁸ Input parameters for the validation of the nitrogen CBC. Data taken from Ahn and Lee [7]

Parameters	Value
Cycle maximum pressure	181.5 bar
LPC/HPC inlet temperature	27 °C
Turbine inlet temperature	500 °C
IHX Na side inlet temperature	526 °C
IHX Na side outlet temperature	450 °C
Recuperator minimum TTD	14.2 °C
Turbine efficiency	90%
LPC/HPC efficiency	85%
Thermal work	150 MW

369

- 370
- 371
- 372 373

Table 3 375

376 Validation of cycle model against literature value

Parameters	Literature value [7]	Simulation value	Relative difference (%)
Precooler inlet temperature	90.7 °C	90.6 °C	0.11
LPC outlet temperature	59.4 ^o C	59.4 ^o C	0
HPC outlet temperature	76.5 ^o C	76.5 ^o C	0
IHX N2 side inlet temperature	348.7 ^o C	348.6 °C	0.03
Turbine outlet temperature	373.3 ^o C	373.4 °C	0.03
Recuperator effectiveness	95%	95.1%	0.11
Nitrogen mass flow	2510.0 kg/s	2508.9 kg/s	0.04
Thermal efficiency	34.9%	35.2%	0.86

377

393

394

395

378 3.4 Assumptions and settings

Some boundary conditions and parameters have to be set in order to evaluate the thermodynamic performance of the cycles. In this study, the selection of the boundary conditions and parameters were done within the limits allowed by the state-of-the-art in component technologies (e.g. turbine and compressor) and values obtained in open literatures [3, 7, 35, 44].

- 384 Therefore, the following assumptions and settings were used for the thermodynamic 385 performance calculation:
- Steady state full power rating conditions were assumed
- Negligible heat losses to the surrounding except through the cooling water in precooler
 and intercooler
- The heat source was assumed to be a SM-SFR with a constant reactor thermal input of 500 MW
- A reactor core outlet temperature of 545 °C and pressure of 1.15 bar were selected while IHX Na side outlet temperature was set to 395 °C
 - Since pipe design is outside the scope of this study, the pressure losses along the pipes were set to zero
 - Pressure loss through the reactor core was set at 3.74 bar
- Turbomachinery were assumed to be adiabatic with isentropic efficiencies of 93%,
 89%, 88% and 82% for the turbines, LP compressors, HP compressors and pump respectively
- LPC and HPC inlet temperatures were set at 27 °C
- Heat exchangers were designed as Printed Circuit Heat Exchanger (PCHE) type.
- Heat exchanger models were based on the TTD (or pinch) approach. Specifying heat
 exchanger performance in term of minimum TTD or pinch, instead of effectiveness,
 is considered to be a more realistic measure of what is achievable [45]
- Turbine inlet temperature was set to 530 °C. This has been selected higher than the values reported in most literature since this study eliminates the use of intermediate sodium loop
- 407 Recuperator minimum TTD was set to 15 °C
- Precooler and intercooler cooling water inlet temperatures were assumed to be available at 20 °C. Hence the precooler and intercooler TTD was about 7 °C
- Generator efficiency was taken to be 98.7%
- Maximum cycle pressure at HPC outlet was set at 180 bar

The compressors inlet pressures were defined by the optimum pressure ratios, which
 were determined by optimisation to the cycle efficiency

414 These assumed baseline conditions and parameters only represent a realistic starting point for 415 cycle performance calculation and comparison. Hence for sensitivity analysis, some of these 416 values could be varied to examine their effects on the cycle performance and component 417 design.

418 4 Preliminary design of heat exchangers and 419 turbomachinery

420 The main components having significant impact on the performance and size of CBC are the 421 heat exchangers and turbomachinery. Therefore, this section describes the methodology for 422 their preliminary design and sizing. Design of the primary circuit components such as the 423 reactor and sodium pump was not considered. Also piping design was not examined.

424 4.1 Heat exchanger design and sizing methodology

425 Preliminary design and sizing was done for the following heat exchangers: Na/N_2 IHX, 426 recuperator, precooler and intercooler. Appropriate selection and design of heat exchangers 427 for CBC is important because [46]: (1) The volume of the heat exchangers will largely 428 determine the footprint of the CBC and hence the capital cost (2) The effectiveness and 429 pressure losses through the heat exchangers will impact the cycle efficiency and hence the 430 operating cost (3) Reliable heat exchangers that is able to withstand the CBC's high pressure 431 and temperature will guarantee the safety of the plant.

432 All the heat exchangers in this work were assumed to be of the PCHE type. Most previous 433 studies settled on the PCHE as the heat exchanger of choice for CBC [17, 44, 47]. This is due 434 to its compactness, reliable mechanical characteristics at high pressure and temperature and 435 the high effectiveness [48]. Heatric Ltd (UK) has been the sole manufacturer of PCHE since 436 1985. PCHEs are constructed from flat metal plates into which fluid flow channels are photochemically etched into one side of the plate. The etched-out plates are then stacked and 437 diffusion bonded together to form strong, compact, all-metal heat exchanger module as shown 438 439 in Figure 4. The etched channels are usually semi-circular in cross-section with typical 440 diameter of 1.0 - 5.0 mm and depth of 0.5 - 2.5 mm [49]. According to Heatric, it is possible to manufacture PCHE module with size up to 900 mm (width) by 900 mm (height) by 2500 441 442 mm (length) if desired [35]. The calculations in this work were based on the standard plate 443 and flow channel specifications shown in Table 4. The hot and cold plate specifications were 444 assumed to be the same. Straight flow channels with counter-current flow arrangement was 445 also assumed in the design. Depending on the required thermal duty, a number of identical 446 modules are then welded together to form the complete heat exchanger unit [50].





a. PCHE plate stacking

b. Micrograph section through diffusion bonded core

448 Figure 4 Printed circuit heat exchanger construction (courtesy of Heatric)

449 **Table 4**

450 Selected PCHE specifications

Specification	Value
Material	316L Stainless steel
Channel diameter	1.5-2 mm
Channel pitch	1.9 - 2.4 mm
Plate thickness	1-1.5 mm
Module width	900 mm
Module height	about 900 mm
Module length	<= 2500 mm

451

452 A preliminary heat exchanger design code, which can be integrated with the cycle calculation 453 code, was developed in Matlab. The cycle calculation provided some of the initial design 454 conditions such as the fluid types, mass flow rates, inlet and outlet enthalpies, inlet pressures 455 and effectiveness. The heat exchanger design code then uses the given initial design conditions, the PCHE plate specifications and the desired maximum pressure drop to estimates the size 456 457 and mass of the heat exchanger. The code calculates the flow frontal cross section area (width 458 X height) and the length of the heat exchanger that meet the required effectiveness while 459 satisfying the maximum pressure loss requirement. The design was carried out based on the 460 logarithmic mean temperature difference (LMTD) method. For proper determination of fluid properties within the heat exchanger, the flow paths along the heat exchanger is discretised 461 462 into N number of thermal nodes as shown in Figure 5. The specific heat of the fluid can be assumed to be constant within the thermal nodes such that the LMTD can be calculated as 463 464 follows:

$$LMTD = \frac{(T_{ho} - T_{ci}) - (T_{hi} - T_{co})}{\ln\frac{(T_{ho} - T_{ci})}{(T_{hi} - T_{co})}}$$
(20)

465

466 The heat transferred is given by:

$$Q = \dot{m}_c (h_{co} - h_{ci}) = \dot{m}_h (h_{hi} - h_{ho}) = U.A.LMTD$$
(21)

468 The overall heat transfer coefficient, U was determined from the convective heat transfer 469 coefficients and conduction through the heat exchanger material as follows:

$$\frac{1}{U} = \frac{1}{h_h} + \frac{t}{k} + \frac{1}{h_c} \tag{22}$$

470 Where,

471 h_h is the convective heat transfer coefficient on the hot side

472 h_c is the convective heat transfer coefficient on the cold side

473 Convective heat transfer coefficients were determined based on the Nusselt number formula:

$$Nu = \frac{hD_h}{k} \tag{23}$$

474 Where D_h is the hydraulic diameter



475

476 Figure 5 Nodalization of Heat exchanger

For Nitrogen and water, the heat transfer behaviour was estimated by using the Hesselgraves'
recommendation for laminar flow and Gnielinski's correlation for turbulent flow as follows[51,
52]:

480 • Laminar flow (Re<=2300)

$$Nu = 4.089$$
 (24)

481

• Turbulent flow (Re>=5000)

$$Nu = \frac{\frac{f}{8}(Re - 1000)Pr}{1 + 12.7(Pr^{2/3} - 1)\sqrt{\frac{f}{8}}}$$
(25)

483

484 Where f is the friction factor that can be obtained from the Moody chart or the 485 Colebrook-White correlation:

$$\frac{1}{\sqrt{f}} = -2.0 \log\left(\frac{\varepsilon/D_h}{3.7} + \frac{2.51}{Re\sqrt{f}}\right)$$
(26)

• Transition region (2300<Re<5000)

$$Nu = 4.089 + \frac{Nu_{Re=5000} - 4.089}{5000 - 2300} (Re - 2300)$$
(27)

488

489 For liquid sodium, the Nusselt number was calculated from the Lockart-Martinelli correlation:

$$Nu = 5.0 + 0.025 (RePr)^{0.8}$$
⁽²⁸⁾

490

491 Pressure loss, ΔP inside the channel of length L and hydraulic diameter D_h is defined as:

$$\Delta P = f \frac{L}{D_h} \frac{\rho V^2}{2} \tag{29}$$

492

493 Where the Darcy friction factor, f for laminar flow is given by:

$$f = \frac{64}{Re} \tag{30}$$

494

495 For fully turbulent flow (Re>4000), the Darcy friction factor is given by the Colebrook-White496 correlation in equation (26).

497 The heat exchanger thermal-hydraulic design is an iterative process done to achieve the 498 specified effectiveness (or thermal duty) while ensuring that the desired pressure loss was maintained. The total thermal duty is divided equally among the thermal nodes and uniform 499 heat flux is assumed in each node. The calculation can start from either the cold end or the hot 500 501 end with an initial guess of flow frontal area. Equation (20) to (30) are then applied to determine the fluid conditions, heat transfer coefficients, pressure losses and length of each 502 503 node. Subsequently, the heat exchanger length and pressure losses on the hot and cold sides are calculated. The calculated pressure loss is compared to the desired pressure loss and if 504 505 different, a new guess value for the frontal area is selected. The calculation process is repeated until the desired pressure loss is obtained. However, if the calculated length is more than the 506 507 maximum permissible channel length (2500 mm in this case), a new desired pressure loss is 508 set. Finally, the height, number of module, volume, surface area and mass of the heat 509 exchanger are calculated.

510 4.2 Turbomachinery design and sizing methodology

The boundary conditions and component parameters selected for the thermodynamic cycles 511 512 will influence the characteristics and size of the turbomachinery. Hence, preliminary design and sizing was done for the compressors and the turbines in order to highlight the effects of 513 the cycle specifications on the turbomachinery, besides their impact on cycle efficiency. For 514 the nitrogen cycles considered, all the turbomachinery were assumed to be of the axial type 515 due to the large volume flow. Thermodynamic cycle calculation results and specifications such 516 517 as shaft power or mass flow rate, inlet temperature and pressure, pressure ratio and isentropic 518 efficiency will serve as input design requirements.

519 The similarity concept is a very common approach for conceptual/preliminary design of 520 turbomachinery [28, 47, 53-56]. It is based on the selection of two dimensionless numbers,

- 521 specific speed (n_s) and specific diameter (d_s) , in conjunction with the use of Balje's n_s -d_s
- 522 diagrams [57]. n_s and d_s can be determined from equation (31) and equation (32):

$$n_s = \frac{\omega \sqrt{\dot{Q}}}{(gH_{ad})^{3/4}} \tag{31}$$

$$d_s = \frac{D(gH_{ad})^{1/4}}{\sqrt{\dot{Q}}} \tag{32}$$

525 Where ω is shaft rotational speed, \dot{Q} is the volumetric flow rate, g is acceleration due to gravity, H_{ad} is stage adiabatic head and D is the wheel diameter. From the n_s-d_s diagrams, the 526 values of n_s and d_s needed to achieve the desired turbomachinery efficiency can be determined. 527 528 Since the volumetric flow rate and total adiabatic head are already fixed by the thermodynamic 529 cycle specifications, the only potential for optimising the turbomachinery design lies with the 530 choice of rotational speed and stage adiabatic head (or number of stages). For the grid 531 connected single shaft configuration, the rotational speed is also fixed and only the number of 532 stages is available for influencing the specific speed. Moreover, there is restriction on the 533 number of stages that can be utilized for turbomachinery design. On the contrary, the two shaft 534 configuration have the advantage to greatly influence the specific speed and hence optimise 535 the efficiency by changing the rotational speed of the compressor shaft.

536 Even though the similarity concept provides a means to rapidly size the turbomachinery, the 537 ns-ds diagrams can only predict approximate value of efficiency. Also, it is only available for 538 single stage compressors and turbines. Therefore, in this study, a more exact but basic and 539 rational preliminary design methodology based on two-dimensional (2-D) mean-line approach 540 was employed. This is because it is not necessary at this initial stage to pursue a detailed design 541 of the turbomachinery. 2-D mean-line analysis means that the flow through the 542 turbomachinery is described by the magnitude and direction of gas velocity in the axial-543 tangential coordinate at the mean blade height without considering any radial variation in gas 544 flow. Similarly, the thermodynamic properties of the working fluid were specified only at the 545 mean blade height. Thus, fast design solutions can be obtained at the initial phase of 546 turbomachinery design with the 2-D mean-line approach. It is considered a reasonable first 547 approximation for axial-flow turbomachinery with high hub-to-tip ratios greater than 0.8 [58, 548 59].

549 The stator-rotor arrangements for axial-flow turbine and compressor are shown in Figure 6a 550 and Figure 7a respectively. Axial-flow turbine extracts energy from the working fluid by first 551 increasing the tangential velocity of the gas in a row of stator (or nozzle) blades then followed 552 by a row of rotor blades that convert the gas swirl into torque for the rotating shaft. On the 553 other hand, axial-flow compressor compresses the working fluid by first imparting kinetic energy to the fluid by a row of rotor blades then followed by diffusion in a row of stator blades 554 555 to convert a part of the kinetic energy into static pressure. Several stages are usually needed in 556 axial-flow turbomachinery to attain the required pressure ratio. The relationship among the 557 velocities and flow angles at the inlet and outlet of the rotor is best illustrated with the velocity 558 diagrams at the mean blade height shown in Figure 6b and Figure 7b. The fluid enters the 559 turbine rotor row with a relative velocity, W_2 at an angle, β_2 and leaves with a relative 560 velocity, W_3 at an angle, β_3 . The rotor blade tangential velocity at the mean blade height is U. Vectorial addition of the relative velocities and blade velocity yields the absolute velocities C_2 561 562 and C_3 at rotor inlet and outlet respectively. For the compressor, the fluid enters the rotor with a relative velocity, W_1 at an angle, β_1 and leaves with a relative velocity, W_2 at an angle, β_2 . 563 The corresponding absolute velocities are C_1 and C_2 respectively. 564





566 Figure 6 Axial turbine stator-rotor arrangement and velocity diagram



568 Figure 7Axial compressor rotor-stator arrangement and velocity diagram

- 569 Subscript x is used to represent the axial component of the gas velocities while subscript θ 570 represents the tangential components.
- 571 Turbomachinery design was performed with the following assumptions:
- The process through the rotor and stator is assumed to be adiabatic

- Constant mean-line blade radius, r_m
- Constant axial-flow velocity, C_x throughout the turbomachinery stages
- Equal enthalpy changes per stage
- Repeating stages used except the first stage of the turbine and final stage of the compressor
- 578 Euler turbomachinery equation governing the energy transfer in a turbine stage is given as:

$$\Delta h_0 = U(C_{\theta 2} + C_{\theta 3}) \tag{33}$$

579 For compressor stage, the Euler equation is given as:

$$\Delta h_0 = U(C_{\theta 2} - C_{\theta 1}) \tag{34}$$

580 Where,

581	Δh_0 is the difference in stagnation enthalpy between the stage inlet and exit
582	$C_{\theta 1}$ is the tangential component of absolute velocity at stage inlet
583	$C_{\theta 2}$ is the tangential component of absolute velocity at stator exit for turbine or roton
584	exit for compressor

585 $C_{\theta 3}$ is the tangential component of absolute velocity at stage exit

- 586 The velocity diagrams can be defined by three parameters: flow coefficient, stage loading 587 coefficient and reaction.
- 588 The flow coefficient, ϕ is defined as the ratio of axial flow velocity, C_x to the blade velocity, 589 U:

$$\phi = \frac{C_x}{U} \tag{35}$$

590

591 The stage loading coefficient, ψ which is a measure of the work done in a stage is defined as:

$$\psi = \frac{\Delta h_0}{U^2} \tag{36}$$

592

593 Degree of reaction, Λ shows the fraction of the expansion or compression which occurs in the 594 rotor. It is defined as:

$$\Lambda = \frac{\Delta h_{rotor}}{\Delta h_{stage}} \tag{37}$$

595 Where,

596 Δh_{rotor} is the difference in static enthalpy between rotor inlet and exit

597 Δh_{stage} is the difference in static enthalpy between the stage inlet and exit

598 Turbine stage performance is specified by total-to-total stage isentropic efficiency and the 599 stator loss coefficient. Turbine stage isentropic efficiency is defined as the ratio of actual work 600 per unit mass to the ideal work per unit mass between the same total pressures:

$$\eta_{T,tt} = \frac{h_{01} - h_{03}}{h_{01} - h_{03,is}} \tag{38}$$

601 Where,

602 $\eta_{T,tt}$ is the turbine stage total-to-total isentropic efficiency

 h_{01} is the stagnation enthalpy at stage inlet

- 604 $h_{\theta 3}$ is the stagnation enthalpy at stage exit
- $h_{03,is}$ is the ideal stagnation enthalpy at stage exit with isentropic expansion or compression
- 607 The loss coefficient of turbine nozzle blade is determined by the Soderberg's correlation of 608 nominal loss coefficient, ξ :

$$\xi = 0.04 + 0.06 \left(\frac{\delta}{100}\right)^2$$
(39)

- 609 Where δ is the fluid deflection through the blade.
- 610 The loss coefficient is then defined in terms of kinetic energy from the nozzle blade row as 611 [60]:

$$h_2 - h_{2,is} = \frac{1}{2} C_2^2 \xi \tag{40}$$

612 Where,

613 h_2 is the static enthalpy at blade exit

614 $h_{2,is}$ is the ideal static enthalpy at blade exit with isentropic process

- 615 C_2 is the absolute velocity at blade exit
- 616 Compressor stage total-to-total efficiency, $\eta_{C,tt}$ is defined as the ratio of the ideal work to the 617 actual work:

$$\eta_{C,tt} = \frac{h_{03,is} - h_{01}}{h_{03} - h_{01}} \tag{41}$$

618

619 Since the stage pressure ratios approach unity in this design, the stage efficiency was assumed 620 the same as the polytropic efficiency of the turbomachinery [61].

The compressor blade loading is assessed by the Liebelin's diffusion factor and de Hallernumber given in equation (42) and equation (43) respectively:

$$DF = 1 - \frac{V_o}{V_i} + \frac{\Delta V_\theta}{2\sigma V_i}$$
⁽⁴²⁾

623

$$dHaller = \frac{V_o}{V_i} \tag{43}$$

624 Where,

- 625 V_i and V_o are the blade inlet and outlet velocity respectively 626 ΔV_{θ} is the change in tangential velocity
- 627 σ is the blade solidity, which is the ratio of the blade chord to spacing (c/s)

To prevent excessive flow diffusion and potential separation, the diffusion factor should be restricted to below 0.6 and/or the de Haller number should be kept above 0.72. The diffusion factor is used to select the blade solidity which is then used together with the aspect ratio to determine the blade numbers. Aspect ratio, AR is defined the ratio of blade height, b_H to blade chord, c:

$$AR = \frac{b_H}{c} \tag{44}$$

For turbine, Zweifel's criterion for optimum lift coefficient, $C_{L,op}$ is used to determine the solidity as follows:

$$C_{L,op} = \left| \frac{2}{\sigma_x} \cos^2 \alpha_o (\tan \alpha_i - \tan \alpha_o) \right|$$
⁽⁴⁵⁾

636 Where,

 σ_x is solidity based on axial blade chord

638 α_i and α_o are the flow angles at blade inlet and outlet respectively

- 639 A value of 0.8 is selected for the optimum lift coefficient.
- 640 Annulus flow area, A and blade height, b_H can be calculated with the help of mass continuity 641 in equation (46) and equation (47).

$$\dot{m} = \rho A C_x \tag{46}$$

642

637

$$A = 2\pi r_m b_H \tag{47}$$

643 The mean radius, r_m is obtained from:

$$U = r_m \omega \tag{48}$$

644

645 The number of blade, N_b is determined from:

$$N_b = \frac{2\pi r_m}{s} \tag{49}$$

646

647 Two separate axial-flow turbomachinery design codes were developed in Matlab for the meanline aerothermodynamic design of the compressors and turbines using the above equations. 648 649 The design was able to estimate the turbomachinery flowpath geometry, blade heights, gas 650 velocities and flow angles, stage number and volume based on the desired input design requirements obtained from cycle analysis. The main design variables included rotational 651 speed, flow coefficient, stage number, mean blade velocity and inlet flow angle. 652 653 Thermodynamic properties of the working fluid were obtained from NIST REFPROP property program. Static conditions of the fluid were calculated from the stagnation conditions based 654 655 on the fundamental principle rather than ideal gas approximation. Similarly, calculations for expansion and compression processes were based on enthalpy instead of the use of constant 656 657 or average specific heat capacity value. Hence, the codes can be applied to working fluid with real gas properties such as s-CO₂. The turbomachinery design outcome can provide a basis for 658 659 comparison among different cycles as well as highlighting the impact of various choices of design variables. Also in future work, the preliminary design code can be improved further 660 with the capability for blade profile design, span-line design and generation of performance 661 map for off-design analysis and dynamic modelling. 662

663 **5 Results and discussion**

664 CBC using nitrogen as working fluid and coupled to SM-SFR was investigated through two 665 cycle configurations: a reference single shaft configuration and a two-shaft configuration with 666 parallel turbines. This section presents the comparison of the two configuration in terms of 667 thermodynamic performance and component design variables.

668 5.1 Thermodynamic performance

Results of the thermodynamic analysis at the baseline boundary conditions and cycle
parameters for each of the two cycle configurations studied is the main output of this section.
Hence this will form the basis of thermodynamic performance comparison between the two
cycle configuration options.

673 The Matlab cycle analysis code was used to build a thermodynamic model of the CBCs 674 coupled to SFR using the equations listed in Section 3. A summary of the baseline boundary 675 conditions and parameters used to evaluate the thermodynamic performance of both the reference single-shaft configuration and the proposed two-shaft alternative is shown in Table 676 677 5. The boundary conditions (or input variables) include reactor thermal power, reactor outlet 678 temperature and pressure, Na/N2 IHX primary side outlet temperature, turbine inlet 679 temperature, HPC outlet pressure, LPC inlet pressure, LPC and HPC inlet temperature and cooling water temperature. Typical design parameters such as minimum TTD, heat exchanger 680 and reactor pressure losses, turbomachinery isentropic efficiencies and generator efficiency 681 were used. The input variables and cycle parameters were selected to be the same values for 682 both the single-shaft configuration and the two-shaft alternative. This will ensure a reasonable 683 684 comparison between the two cycles.

685 **Table 5**

686 Boundary conditions and cycle parameters

Parameter/Variables	Value	
Reactor thermal power (MW)	500	
Core outlet temperature (°C)	545	
Core outlet pressure (bar)	1.15	
Core pressure loss (bar)	3.74	
IHX Na side outlet temperature (°C)	395	
Turbine inlet temperature (°C)	530	
HPC outlet pressure (bar)	180	
LPC and HPC inlet temperatures (°C)	27	
Cooling water temperature (°C)	20	
Recuperator TTD (°C)	15	
Turbomachinery efficiency (%):		
Turbines	93	
LP compressor	89	
HP compressor	88	
Pump	82	
Generator efficiency (%)	98.7	

687

688 As far as possible, heat balance calculation should aim at achieving the maximum cycle efficiency. Only the compressors' pressure ratios are left as variables for optimising the cycle 689 690 efficiency. Therefore, optimum pressure ratios of the LPC and HPC which make each cycle to reach the maximum thermal efficiencies were determined under the constraints of the specified 691 692 input variables and cycle parameters. In Figure 8, the cycle efficiency as a function of the LPC inlet pressure and the LPC outlet is plotted. The cycle efficiency shows a maximum value at a 693 LPC inlet pressure of 92.11 bar and a LPC outlet pressure of 125.19 bar (i.e. LPC pressure 694 695 ratio of 1.36 and HPC pressure of 1.44 after taking into consideration the intercooler pressure loss). The optimum pressure ratios are the same for the two configurations. The Matlab code 696 provides the mass flow rate, pressure, temperature and enthalpy of the working fluid at the 697 698 inlet and outlet of all the cycle components. Also, the heat transferred and power produced or absorbed in each component were calculated. Then the cycles' thermal efficiencies werecalculated.

701 Remarkably, the proposed two shaft configuration is able to maintain the thermodynamic performance of the nitrogen cycle in addition to the potential for turbomachinery design 702 703 optimisation with the free compressor shaft speed. It should be noted that previous studies indicated that two shaft configuration with series turbines usually leads to a deterioration of 704 705 thermodynamic performance compared to single shaft configuration due to pressure loss in the connecting duct between the HP turbine and the LP turbine [40]. Figure 9 shows the 706 707 thermodynamic state points of the reference single shaft intercooled configuration for the selected optimum conditions while Figure 10 shows those calculated for the proposed two 708 709 shaft alternatives. Table 6 presents the major output variables of the thermodynamic 710 performance analysis. The thermodynamic performance results indicated that the two 711 configuration are similar in every respect except that the two shaft configuration employed 712 two parallel turbines with the total flow divided between them.





Figure 8 Cycle efficiency as a function of LPC inlet pressure and LPC outlet pressure for both configurations



- 717 Figure 9 Single shaft configuration thermodynamic state points



723 Figure 10 Two shaft configuration thermodynamic state points

726 **Table 6**

727 Thermodynamic performance result

Description	Single shaft	Two shaft
Mass flow rates		
Reactor mass flow	4046.47 kg/s	4046.47 kg/s
FPT	-	1442.42 kg/s
CDT	-	1584.80 kg/s
Total cycle mass flow	3027.23 kg/s	3027.23 kg/s
Precooler cooling water	1384.33 kg/s	1384.33 kg/s
Intercooler cooling water	1168.19 kg/s	1168.19 kg/s
Heat exchanger duty		
Na/N ₂ IHX	502.22 MW	502.22 MW
Recuperator	1103.63 MW	1103.63 MW
Precooler	185.70 MW	185.70 MW
Intercooler	114.45 MW	114.45 MW
Heat exchanger effectiveness		
Na/N ₂ IHX	94.28 %	94.28 %
Recuperator	95.51 %	95.51 %
Precooler	88.06 %	88.06 %
Intercooler	81.41 %	81.41 %
Turbine power		
CDT	-	222.02 MW
FPT	-	202.07 MW
Total turbine power	424.09 MW	424.09 MW
Compressor power		
LPC	98.88 MW	98.88 MW
HPC	123.14 MW	123.14 MW
Total compressor power	222.02 MW	222.02 MW
Pump load (MW)	2.22 MW	2.22 MW
Pressure ratio (-)		
LPC	1.36	1.36
HPC	1.44	1.44
Turbines	1.92	1.92
Net electrical output	197.22 MWe	197.22 MWe
Cycle efficiency	39.44 %	39.44 %

728

5.2 Results of heat exchanger design

730 Heat exchangers of the nitrogen cycle include the Na/N₂ IHX, recuperator, precooler and 731 intercooler. Input design conditions such as the inlet and outlet flow conditions, effectiveness 732 and heat exchanger duties used for the preliminary sizing were obtained from the result of 733 thermodynamic performance analysis given in Figure 9 or Figure 10, and Table 6. Since these values were the same for the single shaft and the two shaft configuration, the heat exchangers 734 735 design will also be similar. The heat exchangers were discretised into ten thermal nodes. The 736 results of the preliminary design calculations for the heat exchangers are given in Table 7. The 737 temperatures of the heat exchangers' hot and cold streams at the inlet and outlet of the thermal 738 nodes are shown in Figure 11.

The volume of the recuperator alone constitute about 68% of the total volume of the heat exchangers, notwithstanding that the compactness of the recuperator has been improved by using smaller channel diameters, pitch and plate thickness. Any effort to reduce plant size and

hence cost should therefore consider the selection and design of the recuperator. The relative

143 large volume of the recuperator is due to the large amount of recuperation and poor heat 144 transfer coefficient between nitrogen gas on both side of the recuperator compared with 145 sodium to nitrogen in the IHX or nitrogen to water in the precooler and intercooler. Also, in 146 this study, conservative design approach was adopted with respect to the channel type, heat 147 conduction length and heat transfer correlation. Thus generally, the sizes of the heat 148 exchangers are likely to be reduced further with a different selection of channel type and a 149 more aggressive design assumption.

The 15 °C baseline minimum TTD chosen for the recuperator seems to be a good compromise 750 751 between the effect of TTD on recuperator volume and overall cycle efficiency. This is because 752 a slight increase in cycle efficiency by reducing the TTD below 15 °C comes at the cost of very large increase in recuperator volume. The effects of changes in the TTD (or effectiveness) 753 754 of the recuperator on the overall cycle efficiency and volume of the recuperator are shown in 755 Figure 12. It can be seen that lower TTD causes higher cycle efficiency. A reduction of the recuperator TTD from the baseline value of 15 °C to 5 °C results in a cycle efficiency increase 756 of about 3.3% point. However, the TTD has a significant effect on the volume and hence cost 757 758 of the recuperator. Decreasing the TTD has a non-linear effect on the recuperator size. The 759 same reduction of TTD from 15 °C to 5 °C results in a recuperator volume increase of about 760 986% point above the baseline value. On the other hand, an increase in TTD from 15 °C to 761 25 °C results in about 62% point reduction in recuperator size.

762 Table 7

763 Design parameters of heat exchangers

Description	IHX	Recuperator	Precooler	Intercooler
Heat transfer duty (MW)	502.22	1103.63	185.70	114.45
Fluid, hot side/cold side	Na/N ₂	N_2/N_2	N ₂ /Water	N ₂ /Water
Channel diameter (mm)	2	1.5	2	2
Channel pitch (mm)	2.4	1.9	2.4	2.4
Plate thickness (mm)	1.5	1	1.5	1.5
Number of modules	29	63	23	23
Module width (mm)	900	900	900	900
Module height (mm)	883.56	894.04	885.42	885.05
Module length (mm)	959	2341.9	967.3	878.4
Free flow area (m^2)	5.03	11.79	4	4
Surface area density (m^2/m^3)	714.11	1014.8	714.11	714.11
Thermal density (MW/m ³)	22.70	9.30	10.47	7.11
Hot side pressure loss (kPa)	12	52	67	46
Cold side pressure loss (kPa)	56	28	6	4
Total core volume (m ³)	22.12	118.72	17.73	16.09
Total core mass (kg)	99736	508080	79954	72574



766 Figure 11 Fluid temperature along heat exchanger length (all counter-current flow)



765

768 Figure 12 Effects of recuperator TTD on overall cycle efficiency and recuperator volume

769 5.3 Results of turbomachinery design

In this section, the result of the preliminary design and sizing of the turbomachinery based on 2-D meanline design is presented. The result gives the stage numbers and annular gas flow path geometry of the turbomachinery for the specified input design condition. This provides the basis for assessing the turbomachinery's contribution to the physical size of the plant as well as comparison between the single shaft and the proposed two shaft configuration. Table 775 8, Table 9 and Table 10 summarise and compare the respective design parameters for the
776 turbines, the LPCs and the HPCs. These tables provide the number of stages, dimensionless
777 design parameters, blade lengths, maximum diameters and other main features for all the
778 turbomachinery.

779 The approach taken in this work was to design for approximately the same dimensionless 780 parameters of flow coefficient, loading coefficient and reaction for the turbomachinery of the single shaft and two shaft configuration while maintaining the hub-to-tip ratio within 781 782 acceptable limit. The target flow coefficient, stage loading coefficient and stage reaction for 783 the turbines are about 0.6, 1.1 and 0.5 respectively while the respective values for the compressors are about 0.5, 0.3 and 0.55. The turbine dimensionless parameters were selected 784 to be consistent with operation in the 93% efficiency and 60° nozzle outlet angle region of the 785 786 ϕ - ψ turbine plot. The ϕ - ψ plot was obtained from Saravanamuttoo et al. [62]. In the case of 787 compressor, no such plot was found. Hence design data from literature was used as a guide in 788 selecting the compressors' dimensionless parameters [63]. Low hub-to-tip ratio will increase secondary losses while too high hub-to-tip ratio will increase the impact of tip clearance losses. 789 790 Therefore, as much as possible, the hub-to-tip ratio should be kept between 0.75 and 0.90. For 791 the compressors, a de Haller number greater than 0.72 and a diffusion factor lower than 0.4 792 are sought.

793 The main reasons for proposing two shaft configuration was to simplify the design of 794 turbomachinery, to reduce turbomachinery size and to provide opportunity for improving cycle 795 efficiency by increasing the efficiency of the turbomachinery.

796 **5.3.1 Turbomachinery design simplification**

797 A shaft speed of 8000 rpm was established as the optimum compressors/CDT rotational speed 798 for the proposed two shaft configuration. The LPC, the HPC and the CDT of the two shaft 799 layout can be freely designed since there is no requirement for a fixed rotational speed. For the reference single shaft configuration, the rotation speed was set to synchronise with the 800 801 generator speed of 3000 rpm for grid frequency of 50 Hz. Therefore, its turbomachinery all rotate at this speed. Also for the proposed two shaft configuration, the rotational speed of the 802 FPT is fixed at 3000 rpm. The fixing of the generator drive shafts at the synchronous speed 803 will eliminate further losses from the use of gearbox to reduce shaft speed to 3000 rpm or 804 805 electrical frequency converters to supply electric power at the grid frequency of 50 Hz.

806 The FPT was designed with one more stage numbers than the stages of the single shaft turbine 807 in order to avoid a hub-to-tip ratio greater than the maximum limit. At a given rotational speed, 808 the number of stages is proportional to the pressure ratio. Thus, the FPT and the single shaft 809 turbine would normally be expected to have the same number of stages since they have the 810 same pressure ratio and rotational speed. However, the hub-to-tip ratio and annular flow area 811 are determined by the flow rate and axial velocity (or blade speed). The FPT's flow rate is lower than the single shaft turbine's flow rate. Therefore, FPT blade speed was reduced to 812 813 keep the hub-to-tip ratio within acceptable limit while the stage number was increased to bring 814 the loading coefficient to the target value.

815 **5.3.2 Size reduction of the turbomachinery**

B16 Design calculation indicated that the total turbine volume is reduced from 3.24 m³ in the single shaft configuration to 2.2 m³ in the two shaft configuration due to the reduced tip diameters, although the total number of turbines stages is more for the two-shaft configuration. The size of turbomachinery is a function of both the stage numbers and the tip diameters. For the two shaft CDT, the rotational speed offers extra degree of freedom for design. Hence, the number of stages was reduced to one while appropriate selection of rotational speed was used to maintain the hub-to-tip ratio within the limits. Also, the high rotational speed of the CDT and 823 the reduced blade speed of the FPT lead to reduced tip diameters of the two-shaft configuration 824 turbines compared to the single-shaft turbine.

825 For the compressors, the total compressors volume is reduced from 1.16 m³ in the single shaft 826 configuration to 0.2 m^3 in the two shaft configuration. The high rotational speed of the 827 proposed two-shaft configuration resulted in reduced number of compressor stages and 828 reduced tip diameters. In addition, the stage loading coefficient of the two shaft HPC is reduced further in order to keep the hub-to-tip ratio above the minimum limit. 829

830 5.3.3 Efficiency improvement

Favourable conditions exist in the proposed two shaft Brayton cycle for improving the 831 832 turbomachinery efficiencies of the compressors and the CDT. The isentropic efficiencies of 833 the LPC, the HPC and the turbines were assumed in the cycle calculation as 89%, 88% and 834 93% respectively. These values were also used for the design of the turbomachinery. However, increasing the number of stages and changing the rotational speed are two methods for 835 improving turbomachinery efficiency in a fixed cycle layout [7]. Therefore, the LPC, the HPC 836 837 and the CDT of the two shaft cycle can be redesigned for a higher efficiency by increasing the number of stages and/or by changing the rotational speed. Better turbomachinery efficiencies 838 will further improve the cycle performance. The effects of isentropic efficiencies of the LPC, 839 the HPC and the CDT on the overall cycle efficiency are shown in Figure 13. The two shaft 840 841 Brayton cycle shows about 0.29% point rise in cycle efficiency for each 1% point rise in CDT 842 efficiency, about 0.22% point rise in cycle efficiency for each 1% point rise in LPC efficiency, and about 0.27% point rise in cycle efficiency for each 1% rise in HPC efficiency. 843

844

845

Table 8 846

847 Turbines design parameters and main features for the nitrogen cycles

Parameters	Single shaft	Two shaft	
		CDT	FPT
Number of stages in turbine	3	1	4
Flow coefficient	0.6	0.6	0.6
Stage loading coefficient	1.08	1.08	1.13
Reaction	0.50	0.50	0.53
Rotational speed, rpm	3000	8000	3000
Maximum tip diameter, mm	1460	926	1210
Maximum tip speed, m/s	229	388	190
Blade height, mm (min/max)	85/135	41/66	56/89
Hub/Tip ratio (min/max)	0.81/0.88	0.86/0.90	0.85/0.90
Blade numbers, (1 st stage stator/rotor)	20/76	25/79	26/103
Blade chord, mm (1 st stage stator/rotor)	264/284	139/177	173/260
Axial length, mm	2007	304	1785
Volume, m ³	3.24	0.2	2.0
Aspect ratio	3	3	3
Solidity	1.25	1.25	1.25
Pressure ratio (-)	1.92	1.92	1.92
Stage efficiency, %	92.60	93	92.55

850 851 Table 9

LPCs design parameters and main features for the nitrogen cycles.

Parameters	Single shaft	Two shaft
Number of stages in LPC	3	1
Flow coefficient	0.5	0.5
Stage loading coefficient	0.29	0.29
Reaction	0.55	0.55
Rotational speed, rpm	3000	8000
Maximum tip diameter, mm	1315	880
Maximum tip speed, m/s	207	369
Blade height, mm (min/max)	67/82	63/79
Hub/Tip ratio (min/max)	0.88/0.90	0.82/0.85
Blade numbers, (1 st stage rotor/stator)	64/66	45/50
Blade chord, mm (1 st stage rotor/stator)	73/71	68/61
Axial length, mm	440	140
Volume, m ³	0.59	0.08
Aspect ratio	1.1	1.1
Solidity	1.21	1.21
Pressure ratio (-)	1.36	1.36
Stage efficiency, %	89.32	89
de Haller number	0.75	0.75
Diffusion factor	0.39	0.38

852

853

854 855 Table 10

HPCs design parameters and main features for the nitrogen cycles

Parameters	Single shaft	Two shaft
Number of stages in HPC	4	2
Flow coefficient	0.5	0.5
Stage loading coefficient	0.29	0.25
Reaction	0.55	0.55
Rotational speed, rpm	3000	8000
Maximum tip diameter, mm	1257	760
Maximum tip speed, m/s	197	318
Blade height, mm (min/max)	65/52	62/79
Hub/Tip ratio (min/max)	0.90/0.92	0.79/0.83
Blade numbers, (1 st stage rotor/stator)	77/80	37/39
Blade chord, mm (1 st stage rotor/stator)	58/48	70/66
Axial length, mm	461	279
Volume, m ³	0.57	0.12
Aspect ratio	1.1	1.1
Solidity	1.21	1.21
Pressure ratio (-)	1.44	1.44
Stage efficiency, %	88.46	88.31
de Haller number	0.75	0.78
Diffusion factor	0.39	0.34



857

858 Figure 13 Effect of turbomachinery efficiencies on overall cycle efficiency

859 6 Conclusions

In this study, thermodynamic analysis and preliminary design of nitrogen CBCs coupled to a 500 MWth SM-SFR have been presented. A reference single-shaft configuration and a proposed two- shaft configuration with parallel turbines were investigated. Thermodynamic performance assessment of the cycles, preliminary sizing of the heat exchangers and 2-D mean-line aerodynamic design of the turbomachinery were performed using models developed in Matlab.

866 As an outcome of this investigation the following main conclusions can be highlighted:

- Thermodynamic analysis of the cycles indicates that the proposed two shaft configuration with parallel turbines have the same cycle thermodynamic efficiency of 39.44% as the reference single shaft configuration. In contrast, two shaft configuration with turbines in series is known to result in loss of cycle efficiency.
- Heat exchangers preliminary sizing shows that the recuperator constitute a major percentage of the total size. Therefore, any further effort to reduce the plant footprint should focus on the selection and design of the recuperator.
- As expected, cycle efficiency decreases almost linearly with increase in the minimum TTD of the recuperator while recuperator size decreases non-linearly with increase in TTD. Hence, any reduction in volume obtained by increasing the TTD will be at the cost of reduced cycle efficiency. A TTD of 15 °C appears to be a good compromise between cycle efficiency and recuperator size.
- Preliminary design of the turbomachinery seems to reveal that the proposed two shaft configuration could favour simplification of the design and reduced size as well as increased cycle efficiency by improving the turbomachinery efficiency. The design of the LPC, the HPC and the CDT of the two shaft configuration can be optimised with the shaft rotational speed. An optimum compressors shaft speed of 8000 rpm is established. Total compressors volume is reduced from 1.16 m³ in the single shaft

887 In the light of these findings, the proposed two-shaft CBC with nitrogen as working fluid could 888 be a promising PCS for near-term demonstration of electricity generation from SFR. The 889 current preliminary study neglected the impact of the pressure losses in the connecting pipes on the thermodynamic performance. Also the sizing of the heat exchangers is limited to the 890 core, the sizes of the headers are not included. All these can be delayed till the detailed design 891 892 phase. Nevertheless, this study provides considerable insight into the thermodynamic 893 performance and preliminary sizing of heat exchangers and turbomachinery for nitrogen CBC 894 coupled to SM-SFR.

Future studies should investigate opportunities for improving the heat transfer performance of the recuperator and hence reduction in recuperator size by (1) using wavy channel instead of straight channel type (2) assuming a shorter heat conduction length and (3) using a more radical heat transfer relationship obtained from experimental validation. On the other hand, more compact heat exchanger type such as the plate fin heat exchanger [64] should be investigated as substitute for the PCHE. However, this could be at the expense of the reduced risk of development offered by PCHE.

902

903 Acknowledgement

904 The authors would like to acknowledge GE Power (formerly ALSTOM Power Ltd) for 905 financial support of this research.

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