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Smith, CJ [orcid.org/0000-0003-0599-4633](http://orcid.org/0000-0003-0599-4633), Bright, JM and Crook, R (2017) Cloud cover effect of clear-sky index distributions and differences between human and automatic cloud observations. *Solar Energy*, 144. pp. 10-21. ISSN 0038-092X

<https://doi.org/10.1016/j.solener.2016.12.055>

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# Cloud cover effect of clear-sky index distributions and differences between human and automatic cloud observations

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## Abstract

The statistics of clear-sky index can be used to determine solar irradiance when the theoretical clear sky irradiance and the cloud cover are known. In this paper, observations of hourly clear-sky index for the years of 2010–2013 at 63 locations in the UK are analysed for over 1 million data hours. The aggregated distribution of clear-sky index is bimodal, with strong contributions from mostly-cloudy and mostly-clear hours, as well as a lower number of intermediate hours. The clear-sky index exhibits a distribution of values for each cloud cover bin, measured in eighths of the sky covered (oktas), and also depends on solar elevation angle. Cloud cover is measured either by a human observer or automatically with a cloud ceilometer. Irradiation (time-integrated irradiance) values corresponding to human observations of “cloudless” skies (0 oktas) tend to agree better with theoretical clear-sky values, which are calculated with a radiative transfer model, than irradiation values corresponding to automated observations of 0 oktas. It is apparent that the cloud ceilometers incorrectly categorise more non-cloudless hours as cloudless than human observers do. This leads to notable differences in the distributions of clear-sky index for each okta class, and between human and automated observations. Two probability density functions—the Burr (type III) for mostly-clear situations, and generalised gamma for mostly-cloudy situations—are suggested as analytical fits for each cloud coverage, observation type, and solar elevation angle bin. For human observations of overcast skies (8 oktas) where solar elevation angle exceeds 10°, there is no significant difference between the observed clear-sky indices and the generalised gamma distribution fits.

*Keywords:* clouds, clear-sky index, statistics, ceilometer

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## Acronyms

AERONET	Aerosol Robotic Network
AFGL	Air Force Geophysics Laboratory
BADC	British Atmospheric Data Centre
BSRN	Baseline Surface Radiation Network
CDF	Cumulative Distribution Function
DNI	Direct Normal Irradiance
ECMWF	European Centre for Medium-range Weather Forecasts
GHI	Global Horizontal Irradiance
GLOMAP	Global Model of Aerosol Processes
IGBP	International Geosphere–Biosphere Programme
MIDAS	Met Office Integrated Data Archive System
PDF	Probability Density Function
RMSE	Root Mean Square Error
RO	Global Radiation Observations
UKMO	UK Meteorological Office
UTC	Coordinated Universal Time
WH	UK Hourly Weather Observations

## 1. Introduction

The most reliable way to determine the solar resource for a particular location, assuming there have been no detectable effects of climatic change, is to set up long-term pyranometer observations. For many sites of interest, pyranometer records are not frequently obtained for a sufficiently long period prior to installation of a solar energy system (Gueymard and Wilcox, 2011). Other meteorological variables such as sunshine hours (Ångström, 1924; Muneer et al., 1998; Prescott, 1940), diurnal temperature range (Bristow and Campbell, 1984; de Jong and Stewart, 1993; Hargreaves et al., 1985; Supit and van Kappel, 1998), precipitation (de Jong and Stewart, 1993), cloud type (Kasten and Czeplak, 1980; Matuszko, 2012) and fractional cloud cover (Brinsfield et al., 1984; Kasten and Czeplak, 1980; Matuszko, 2012; Muneer and Gul, 2000; Nielsen et al., 1981; Supit and van Kappel, 1998; Wörner, 1967) can be used to estimate solar irradiance. Temperature, pressure, cloud cover, cloud type, rainfall and sunshine hours are routinely measured at weather stations globally.

Since clouds are the largest attenuating factors of solar irradiance in large areas of the globe (Wacker et al., 2015), cloud cover is a useful predictor of solar resource (Kasten and Czeplak, 1980). If the sky is cloudless, irradiance can be predicted from the solar geometry, surface albedo, and optical properties of aerosols, ozone and water vapour using a radiative transfer calculation (Müller et al., 2012). Alternatively, several clear-sky models exist in the literature which are empirical relationships between one or more of these atmospheric variables (or of

## Nomenclature

$a$	Probability distribution scale parameter
$c$	Burr (type III) distribution shape parameter
$d$	Generalised gamma distribution shape parameter
$e_i$	Expected frequency of clear-sky index observations
$G$	surface global horizontal irradiation ( $\text{J m}^{-2}$ )
$G_0$	top-of-atmosphere global horizontal irradiation ( $\text{J m}^{-2}$ )
$G_{\text{cs}}$	clear sky surface global horizontal irradiation ( $\text{J m}^{-2}$ )
$k$	Burr (type III) distribution shape parameter
$K_c$	clear-sky index
$K_T$	clearness index
$N$	cloud cover (oktas)
$o_i$	Observed frequency of clear-sky index observations
$p$	Generalised gamma distribution shape parameter
$\Gamma(\cdot)$	Gamma function
$\theta_e$	solar elevation angle, $^\circ$
$\chi^2$	Goodness-of-fit statistic

20 their derived quantities) and clear-sky irradiance (Gueymard, 2012). When clouds are present,  
21 the fraction of time clouds obscure the sun, the optical thickness of the clouds, and secondary  
22 effects such as reflections from cloud sides and between cloud layers, can all have important  
23 effects on the proportion of irradiance that reaches the surface. Cloud transmission is therefore  
24 the most uncertain component of surface irradiance in most locations.

25 Typically, cloud cover is recorded at meteorological stations as an integer number of oktas,  
26 here denoted  $N$ , which is the number of eighths of the sky obscured by clouds (Met Office,  
27 2010). An additional okta code 9 is used for situations where the sky is obscured by fog, haze  
28 or other meteorological phenomena. For human observations, a convention is to reserve 0 oktas  
29 for completely cloudless sky and 8 oktas for completely overcast sky, so the limits of 1 okta and  
30 7 oktas are extended to almost clear and almost overcast respectively (Jones, 1992). In some  
31 automated algorithms a different convention may be followed, for example recording up to 1/16  
32 cloudiness as 0 oktas and greater than 15/16 cloudiness as 8 oktas (Wacker et al., 2015).

33 Clear-sky index,  $K_c = G/G_{\text{cs}}$ , estimates atmospheric attenuation due to clouds by measuring  
34 the ratio of surface solar irradiance or irradiation  $G$  to the corresponding amount that would be  
35 received under a clear (cloudless) sky,  $G_{\text{cs}}$ . It also accounts for the influence of surface albedo.  
36 Other cloudless-sky attenuators such as water vapour, ozone and aerosols are retained in the  
37 calculation of  $G_{\text{cs}}$ . The clear-sky index is less dependent on airmass than the commonly used  
38 clearness index  $K_T = G/G_0$ , where  $G_0$  is top-of-atmosphere solar irradiance. Some authors

39 have worked to reduce this dependence by introducing a rescaling of the clearness index, to  
40 either map the observed range of clearness indices into the interval 0–1 for each solar elevation  
41 angle class (Olseth and Skartveit, 1987) (i.e. a *normalised* clearness index), or to adjust for  
42 airmass based on clear-sky Linke turbidity values (Perez et al., 1990).

43 Previous relationships between  $N$  and  $K_T$ ,  $K_c$ , or  $G$ , have tended to provide a one-to-  
44 one correspondence between  $N$  and the variable of interest (Brinsfield et al., 1984; Kasten  
45 and Czeplak, 1980; Matuszko, 2012; Muneer and Gul, 2000; Nielsen et al., 1981; Supit and  
46 van Kappel, 1998; Wörner, 1967). On the other hand, several authors have described the  
47 distributions of clearness or clear-sky index parameterised by its longer-term mean (Bendt et al.,  
48 1981; Graham and Hollands, 1990; Graham et al., 1988; Hollands and Suehrcke, 2013; Jurado  
49 et al., 1995; Liu and Jordan, 1960; Olseth and Skartveit, 1984, 1987; Suehrcke and McCormick,  
50 1988) or by airmass (Moreno-Tejera et al., 2016; Tovar et al., 1998). We aim to bring these parts  
51 together by reporting clear-sky index distributions for each  $N$  class, and secondarily binned by  
52 solar elevation angle. A simplified distributional approach was provided by the authors in  
53 Bright et al. (2015) for clear sky and 6, 7 and 8 oktas to estimate cloud transmission in sun-  
54 obscured minutes and clear breaks, but did not group observations into human and automatic  
55 cloud retrievals or elevation angle bins, which as will be shown is important.

56 The hourly statistics of clear-sky index grouped by  $N$  and solar elevation angle would be  
57 useful in situations where long-term irradiation data were not available, but measurements of  
58 hourly  $N$  were (assuming the hourly solar elevation angle was known or could be determined).  
59 The probability of transitioning from one  $N$  state to the next  $N$  state can then be simulated  
60 with a Markov chain model (e.g. Bright et al. (2015); Ehnberg and Bollen (2005)), and the  
61 cloud transmission for each hour selected as a random variable from each  $K_c$  distribution for  
62 that  $N$  class.

## 63 **2. Determining the clear-sky index**

### 64 *2.1. Relationships between clear-sky index and cloud cover*

65 Kasten and Czeplak (1980) found an empirical relationship between hourly  $K_c$  and hourly  
66  $N$  using 10 years of data for Hamburg, Germany, for solar elevation angles above 5°:

$$K_c = 1 - 0.75(N/8)^{3.4} \tag{1}$$

67 where the clear-sky irradiance [ $\text{W m}^{-2}$ ] is modelled as

$$G_{\text{cs}} = 910 \sin \theta_e - 30. \quad (2)$$

68 where  $\theta_e$  is solar elevation angle in degrees. The attenuation coefficient of 0.75 in eq. (1) is  
69 an overall average over all cloud types, and varies from 0.39 for cirriform clouds to 0.84 for  
70 nimbostratus. This relationship was later found to be valid for 5 UK sites by Muneer and Gul  
71 (2000), where slightly better fits can be obtained by tuning coefficients for each site. Other,  
72 more complex relationships for  $G$  as a function of cloud cover were developed by Nielsen et al.  
73 (1981) and Brinsfield et al. (1984). Matuszko (2012) tabulated observed 10-minutely irradiance  
74 by okta class and solar elevation angle band for Krakow, Poland.

75 Cloud cover can indicate how likely it is that the sun is obscured by clouds (e.g. Muneer  
76 and Gul (2000)). It does not however provide any information as to how opaque the clouds  
77 are to solar irradiance. Clear-sky index can take a wide variety of values for each  $N$  class. For  
78 example, a sky could be overcast ( $N = 8$ ) with thin cirrus clouds or thick nimbostratus clouds.  
79 In this case,  $K_c$  has been observed to vary from 0.07 for overcast nimbostratus to 1.00 for  
80 overcast cirrus (Matuszko, 2012). Kasten and Czeplak (1980) reported long-term averages of  
81 0.16 for nimbostratus and 0.61 for cirriform clouds. Although Brinsfield et al. (1984) considers  
82 opaque clouds in their formulations, the various optical depths of both translucent and opaque  
83 clouds that are observed may still produce a distribution of results. As shown in Bright et al.  
84 (2015), the distributions of  $K_c$  for 6, 7 and 8 oktas can take a wide range of values. For these  
85 reasons, the distributional spread of  $K_c$  for a particular cloud coverage of  $N$  oktas can be more  
86 useful than its mean or median value.

## 87 *2.2. Observational data*

88 The meteorological observations of cloud cover and solar irradiation are taken from four  
89 years (2010–2013) of the network of UK Met Office Integrated Data Archive System (MIDAS)  
90 stations (Met Office, 2012). Several datasets are available to registered users at the British  
91 Atmospheric Data Centre (<http://badc.nerc.ac.uk>). The UK Hourly Weather Observation  
92 data (WH) and Global Radiation Observations (RO) were used. Included within the WH data,  
93 amongst several other meteorological variables, are observations of hourly  $N$ , and whether the

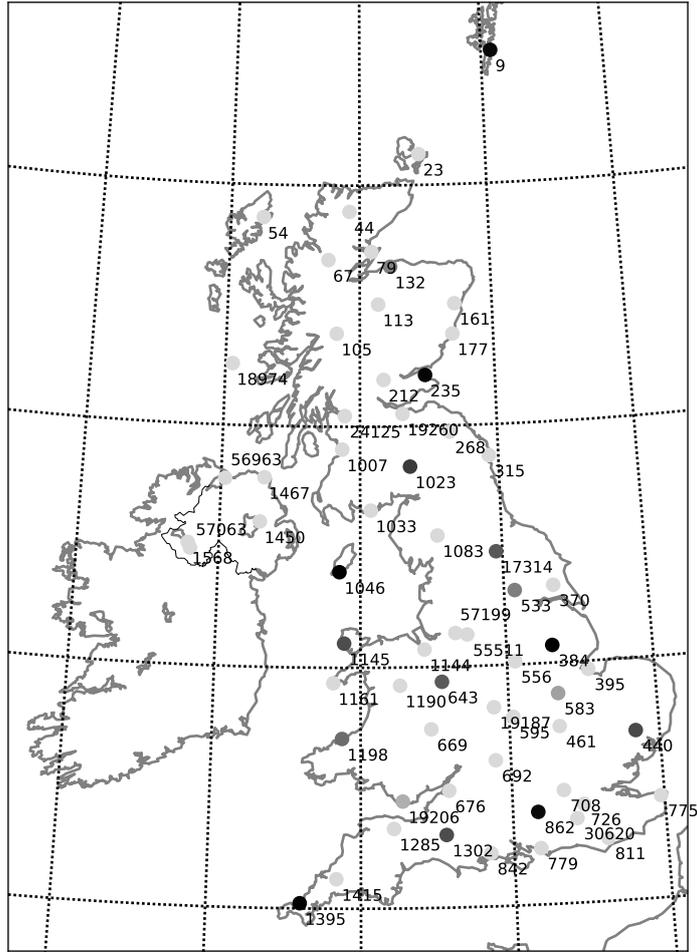


Figure 1: MIDAS stations that provide quality-controlled hourly irradiation and cloud cover observations for 2010–2013. Station numbers refer to MIDAS station IDs. The strength of shading indicates the proportion of observations that were observed by a human (15% grey corresponds to 0% human observations, scaling linearly to 100% black representing 100% human observations). The lines of longitude and latitude mark the boundaries of each GLOMAP aerosol climatology grid cell.

94 observation was automatic or human-observed. The hourly irradiation  $G$  is taken from the  
 95 RO data. Both datasets indicate the date and time of the observation and the station ID  
 96 code. Data were used when observations of  $G$  and  $N$  exist for the same station and hourly  
 97 timestamp, and both pass internal Met Office quality control checks as indicated by state flags  
 98 for each observation. An additional screening procedure was implemented to remove duplicate  
 99 observations. One station contained only two hours of valid data for the four years, and this  
 100 station was also disregarded. Further checks removed observations with unrealistically high  
 101 clearness index values as described in section 2.4.5. A total of 1,121,334 hourly observations  
 102 were retained from 63 MIDAS stations across the UK. The locations of these stations are shown  
 103 in fig. 1.

104 *2.3. Cloud cover observational practice*

105 Cloud cover observations can either be made by a human observer or a cloud ceilometer,  
106 which uses a laser to detect cloud bases automatically (WMO, 2014). In recent years, the  
107 UK Met Office has moved towards fully automated weather measurements at most stations,  
108 but human observers are still present at some research stations and airfields during operational  
109 hours<sup>1</sup>. This reflects observational practice in many other countries (Dai et al., 2006; Perez et al.,  
110 2001; Wauben et al., 2006). A previous study has found that human and automated methods  
111 can produce quite different results, with agreements in  $N$  between human and automated  
112 observations occurring for 39% of hours and agreements within  $\pm 2$  oktas occurring for 88% of  
113 hours in the Netherlands (Wauben et al., 2006). Wacker et al. (2015) found that ceilometer  
114 observations of cloud cover tend to be biased low compared to those observed by a human in  
115 Switzerland. A human observer typically makes a subjective judgement of the cloud-obscured  
116 proportion of the entire visible sky dome at the end of a reporting period (e.g. every hour in  
117 the WH data), while a cloud ceilometer consists of a zenith-pointing device that records the  
118 amount of time that a laser beam was intercepted by clouds divided by the length of the period  
119 (Dai et al., 2006).

120 The solar irradiation data collected by MIDAS stations are hourly totals. Solar irradiation  
121 is measured using Kipp & Zonen CMP10 and CMP11 pyranometers, with cleaning, level-  
122 checking and recalibration performed on a regular basis including at fully automated sites<sup>2</sup>. As  
123 irradiation is recorded hourly, there can be a timing mismatch between the dominant conditions  
124 of the hour and the cloud amount recorded at the end of the hour by a human observer if clouds  
125 accumulate or disperse during the hour. The automatic ceilometer method assumes that the  
126 clouds overpassing the zenith during the hour are representative of the entire sky conditions,  
127 which are not always case if clouds are localised in one part of the sky, giving a spatial mismatch  
128 between recorded clouds and actual cloud cover. Furthermore, thin cloud is sometimes not  
129 detected by the laser and fog can be mistaken for low-level overcast conditions. The distinction  
130 of whether an observation was made by a human or was automatic is an important one and is  
131 taken into account in the analysis.

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<sup>1</sup>Personal communication with a member of the British Atmospheric Data Centre team.

<sup>2</sup>Personal communication with a member of the Met Office surface radiation team.

## 132 2.4. Generation of clear sky solar irradiance

133 For this study,  $G_{cs}$  is simulated using a radiative transfer simulation with prescribed atmo-  
134 spheric constituents. The advantages of this are that climatological values of the main clear-sky  
135 solar attenuators can be input into the model to quickly generate an estimate of clear-sky ir-  
136 radiance that is location- and month-dependent. For 0 oktas, this also gives an indication of  
137 natural variability in atmospheric transmission of clear skies around the climatological mean  
138 value. A further reason for this approach that is shown in section 3 is that the cloud cover ob-  
139 servation method (human or automated) determines the shape of each cloud cover observation  
140 bin, including 0 oktas.

### 141 2.4.1. Atmosphere

142 The two-stream solution to the discrete-ordinate radiative transfer method (Kylling et al.,  
143 1995), implemented in the libRadtran software package (Mayer and Kylling, 2005), is used to  
144 calculate clear-sky irradiance. The background atmosphere for mixed gases concentration is  
145 provided by the Air Force Geophysics Laboratory (AFGL) mid-latitude summer atmosphere  
146 for April–September and mid-latitude winter for October–March (Anderson et al., 1986). Air  
147 temperature, and ozone and water vapour mass mixing ratios, on 60 model levels for each  
148 month of 2010–2013 from the European Centre for Medium-range Weather Forecasts (ECMWF)  
149 ERA-Interim reanalysis data, provide the climatological atmospheric conditions. These data  
150 are taken on a spatial grid of  $1.5^\circ \times 1.5^\circ$ . A pseudo-spherical correction is implemented in  
151 the radiative transfer code, which accounts for the curvature of the earth’s atmosphere and  
152 improves the accuracy of clear-sky irradiance calculations at low sun.

### 153 2.4.2. Aerosols

154 Aerosols are highly spatially and temporally variable and may lead to the highest uncertainty  
155 in the calculated clear-sky irradiance values. Point measurements of aerosol conditions are made  
156 by the AERONET network, but are only possible under favourable conditions and some sites  
157 experience several months without a valid observation. Another technique considered was to  
158 estimate aerosol conditions based on retrieved values of horizontal visibility from the WH data,  
159 but this was found to consistently underestimate clear-sky irradiance and actually increased,  
160 rather than reduced, the ranges of  $K_c$  observed. Therefore, aerosol optical properties are taken  
161 from the Global Model of Aerosol Processes (GLOMAP) model (Scott et al., 2014; Spracklen

162 et al., 2005), which provides aerosol optical depth, single scattering albedo and asymmetry  
163 factor in 6 solar shortwave bands on 31 atmospheric levels for each month. The native GLOMAP  
164 spatial grid of  $2.8^\circ \times 2.8^\circ$  is used without interpolation, which divides the UK into 11 aerosol  
165 zones (shown in fig. 1).

### 166 *2.4.3. Surface albedo*

167 Surface albedo from the International Geosphere-Biosphere Programme (IGBP) library at  
168 a resolution of  $\frac{1}{6}^\circ \times \frac{1}{6}^\circ$  has been used (Belward and Loveland, 1996). One issue with using  
169 the same surface type for the full year may be to underestimate the albedo from snow-covered  
170 surfaces in winter. Radiative transfer simulations performed by the authors suggest that a  
171 perfectly reflecting surface predicts about 13% higher downwards irradiance than a perfectly  
172 absorbing surface due to multiple reflections between atmosphere and the ground under clear  
173 sky. This result is consistent for all solar elevation angles. Real surfaces are not totally absorbing  
174 and snow-covered surfaces are not totally reflective. The errors introduced for global horizontal  
175 radiation (GHI) by using an incorrect surface albedo are therefore likely to be smaller than 13%  
176 under clear sky conditions. The overall impact is expected to be small as this phenomenon will  
177 only affect a few winter days each year.

### 178 *2.4.4. Solar position*

179 To match the clear-sky simulation to observation as accurately as possible, an accurate  
180 representation of solar elevation angle is required. Met Office data recording conventions state  
181 that the observation recorded for each UTC hour (SYNOP climate message) is taken 10 minutes  
182 before the hour (Met Office, 2015a). For solar irradiation (HCM climate message), the time  
183 period of data collection runs from 70 minutes to 10 minutes before the observation time stamp  
184 (at the end of every UTC hour). libRadtran provides the Blanco-Muriel et al. (2001) algorithm  
185 for calculating solar elevation angle, which provides long-term accuracy for solar elevation  
186 within  $0.1^\circ$ . The effective solar elevation angle is calculated centred at 40 minutes prior to  
187 each hour of each day at each MIDAS station by taking a sum of 61 minutely samples of the  
188 solar elevation angle between 70 and 10 minutes before the observation time stamp, inclusive.  
189 Solar elevation angles below  $0^\circ$  are excluded from the sum, and the sum of the minutely sines  
190 of elevation angle are divided by the number of minutes in which the sun is above the horizon  
191 to obtain the effective sine of elevation angle. This calculation is again performed internally in

192 libRadtran.

193 This procedure of obtaining an effective solar elevation angle corresponds to practice A3 of  
194 Blanc and Wald (2016). It is found that this practice predicts direct normal irradiance (DNI)  
195 with a RMSE of 4% for all elevation angles and 24% for elevation angles below  $15^\circ$  (Blanc  
196 and Wald, 2016) at the high-quality BSRN site at Payerne, Switzerland. This is better than  
197 assuming that the elevation angle corresponding to the middle of the hour is representative,  
198 however a more accurate practice (A5) involves taking the inverse sine of the ratio of direct  
199 horizontal irradiation to direct normal irradiation (Blanc and Wald, 2016). This practice has  
200 not been implemented in this work as the hourly DNI is not available in libRadtran.

#### 201 *2.4.5. Additional quality control check*

202 After calculating  $K_c$  and obtaining  $\theta_e$  for each valid hour, an additional screening procedure  
203 was implemented to remove all observations where the clearness index  $K_T$  exceeded 0.85. This  
204 is on the basis that hourly clearness indices exceeding 0.85 are very rarely, if ever, observed  
205 in high-quality data (NREL, 1993; Vignola et al., 2012). This additional constraint excluded  
206 0.34% of observations, the majority of which were at very low elevation angles where small  
207 errors in the calculated solar position can cause large errors in the ratios of  $K_c$  and  $K_T$ .

### 208 **3. Distributions of clear-sky index**

#### 209 *3.1. Aggregated observations*

210 Figure 2 shows the overall distribution of clear-sky index from all 63 weather stations in  
211 all cloud conditions. The distribution is bimodal with contributions from cloudless hours near  
212  $K_c = 1$  and cloudy hours near  $K_c = 0.3$ . There are a lower number of observations for  
213 intermediate clear-sky indices. Bimodal behaviour for hourly normalised (scaled to the range  
214 0–1) clearness index observations has been observed in Norway and Vancouver (Olseth and  
215 Skartveit, 1987), and it is reasonable to expect a similar pattern for clear-sky index would also  
216 occur in the similar maritime climate of the UK. The clear sky mode at  $K_c = 1$  shows that  
217 the radiative transfer simulation with prescribed albedo, aerosol,  $H_2O$  and  $O_3$  climatologies  
218 provides a good estimate of irradiation in cloudless skies.

219 There are a number of observations from hours where  $K_c$  is much larger than 1 indicating  
220 significantly more solar irradiation than would be expected under cloudless conditions for a

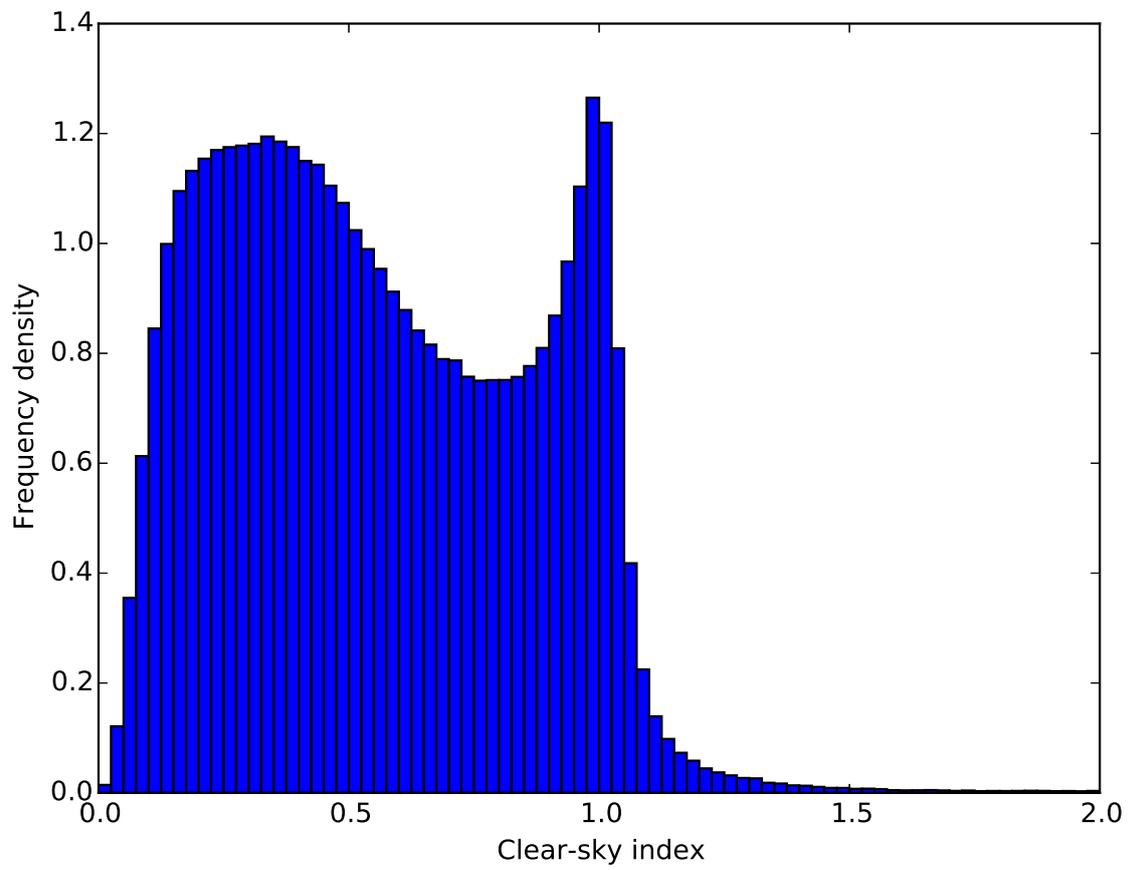


Figure 2: Histogram of all hourly  $K_c$  observations from 63 UK weather stations, 2010–2013

221 number of hours, despite rejection of values where  $K_T > 0.85$ . For hourly data, it is expected  
222 that the averaging time would cause short-term cloud enhancement effects to cancel out. It is  
223 however possible that cloud enhancement effects could influence the hourly  $K_c$  value if clouds  
224 tend to group in, or avoid, one region of the sky due to geographical features, such as mountains  
225 or coastlines.

### 226 *3.2. Distribution by solar elevation angle*

227 In fig. 3, the clear-sky index histograms are grouped into bins of elevation angle from 0–10°,  
228 10–20° and so on up to the top group of 50–63°. These histograms reveal different characteristics  
229 of the clear-sky index distribution in each elevation angle bin. The  $\theta_e \leq 10^\circ$  bin is unimodal  
230 showing the greatest accumulation of  $K_c$  values around 0.3–0.4. The spread of values is the  
231 largest for any solar elevation class, and this group is also responsible for a large majority of  
232 the extremely high,  $K_c > 1.2$ , observations. For the  $10^\circ < \theta_e \leq 20^\circ$  bin, the bimodal shape of  
233 the distribution starts to become apparent. Low  $K_c$  values are still more common, and there  
234 is a lower frequency of extremely high observations. As elevation angle increases, the  $K_c \approx 1$   
235 “spike” of the distribution becomes sharper and higher than the low  $K_c$  “hump”, which starts  
236 to flatten out and become more uniform, and instances of  $K_c > 1.2$  virtually disappear. In the  
237 top elevation angle group the greatest value of  $K_c$  barely exceeds 1.1.

238 It is therefore shown that high  $K_c$  values are more likely to occur at low solar elevation angle  
239 bins, and that  $K_c$  is not independent of solar elevation angle for the choices of inputs used in  
240 the radiative transfer model. There are several reasons why a large spread, including some very  
241 large,  $K_c$  values can occur for  $\theta_e \leq 10^\circ$ . At low sun under scattered clouds, reflections from  
242 the undersides of clouds can enhance diffuse irradiance, or clouds near the horizon in the solar  
243 direction can forward-scatter sunlight. If this happens due to clouds preferentially grouping in  
244 one part of the sky, this may lead to consistently high  $K_c$  values for low solar elevation angles as  
245 a result of non-cancelling cloud enhancement effects. The effect of snow in winter and how this  
246 enhances surface clear-sky irradiance has been described previously. Under clouds, multiple  
247 reflections between snow-covered ground and cloud bases may enhance irradiance under all-sky  
248 conditions, and this effect may be greater than the 13% calculated for clear-sky conditions. One  
249 reason for the lack of high  $K_c$  spike is that where clouds are present, transmitted irradiance  
250 may be lower at low solar elevations as both solar beam path through the cloud is longer, and

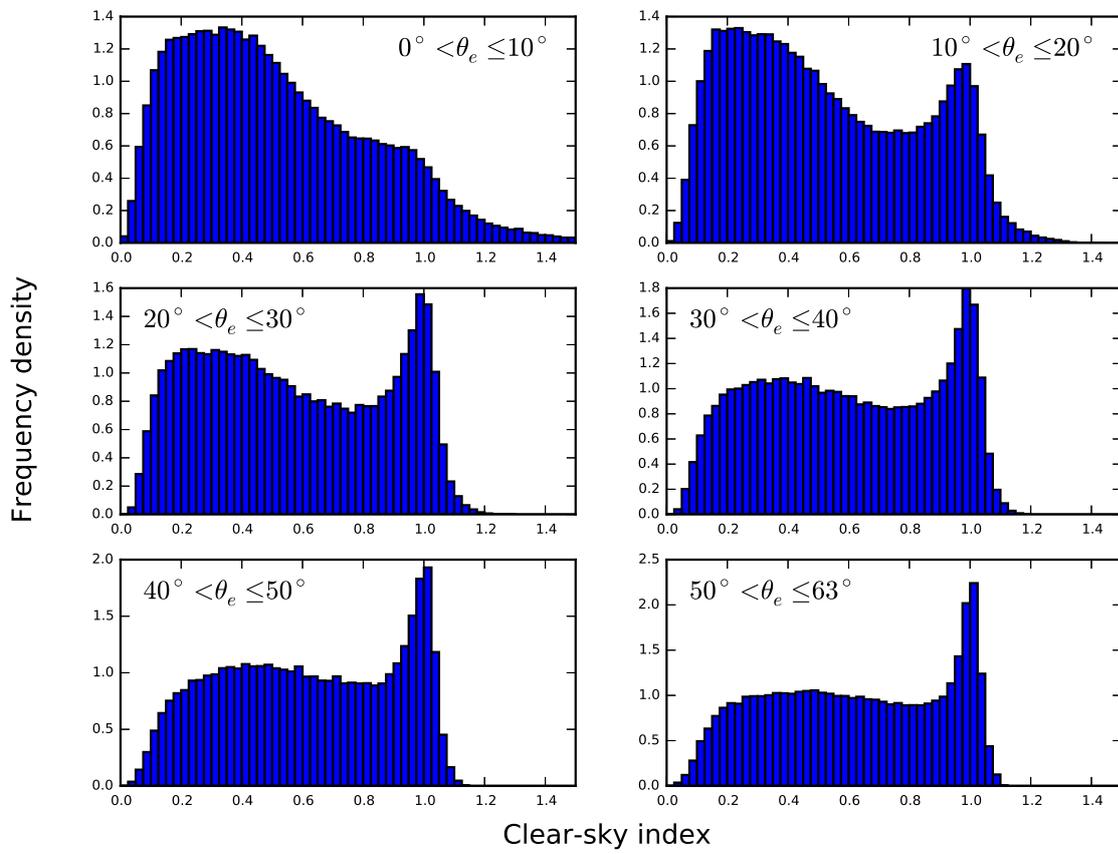


Figure 3: Histograms of observation of clear-sky index by solar elevation angle

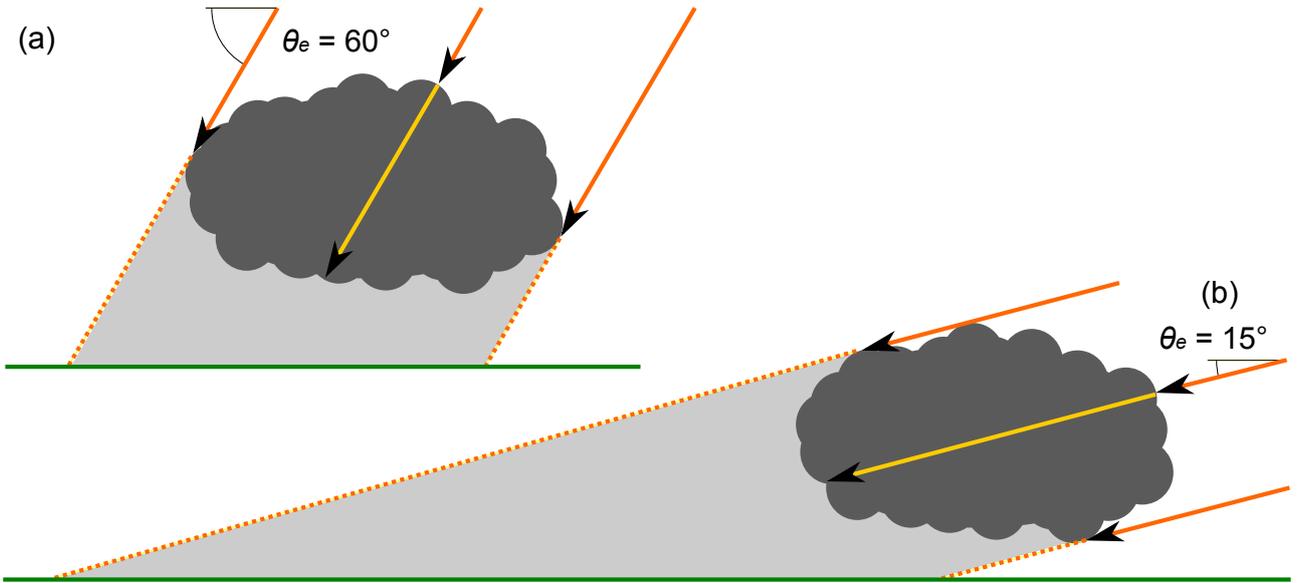


Figure 4: Schematic of cloud shading for the same (fictional) cloud for solar elevation angle of (a)  $60^\circ$  and (b)  $15^\circ$ . Both the shaded area (light grey) and the maximum path length of the solar beam (arrow through cloud) increases at low solar elevation angles.

251 cloud shadows project a greater area (fig. 4). None of these effects are sources of error and  
 252 represent real-world phenomena; they must therefore be included in the distributions.

253 Extreme high values of  $K_c$  could also be due to errors either in measurement or calcula-  
 254 tion. DNI reported by pyranometers becomes less reliable at low solar elevations due to cosine  
 255 response errors (Vignola et al., 2012). When generating  $K_c$  values, the hourly sine-weighted  
 256 mean elevation angle may not be adequately representative of all conditions during the hours  
 257 of sunrise and sunset. Furthermore, UK Met Office practice of recording measurements at 10  
 258 minutes before the hour may not have been observed at all stations, or errors in the clock time  
 259 at the MIDAS site may be present<sup>3</sup>. Large differences between  $\sin \theta_e$  at the start and end of the  
 260 hour can account for this. Although the pseudo-spherical correction for the curvature of the  
 261 earth's atmosphere is made in the radiative transfer code, all instances where  $\theta_e < 0^\circ$  are set to  
 262 zero in the hourly averaging of zenith angle. In reality a small amount of diffuse irradiance at  
 263 dusk and dawn is present and would contribute to the total received by a pyranometer. Finally,  
 264 the impact of horizon obstructions can cause instances of otherwise clear sky receiving a low  
 265  $K_c$  value.

<sup>3</sup>The datasets were originally analysed without the 10-minute offset where it was observed that the distribu-  
 tional spread was much greater, indicating that the practice has been implemented at the majority of MIDAS  
 stations if not all.

## Clear-sky index distributions for 63 UKMO MIDAS stations

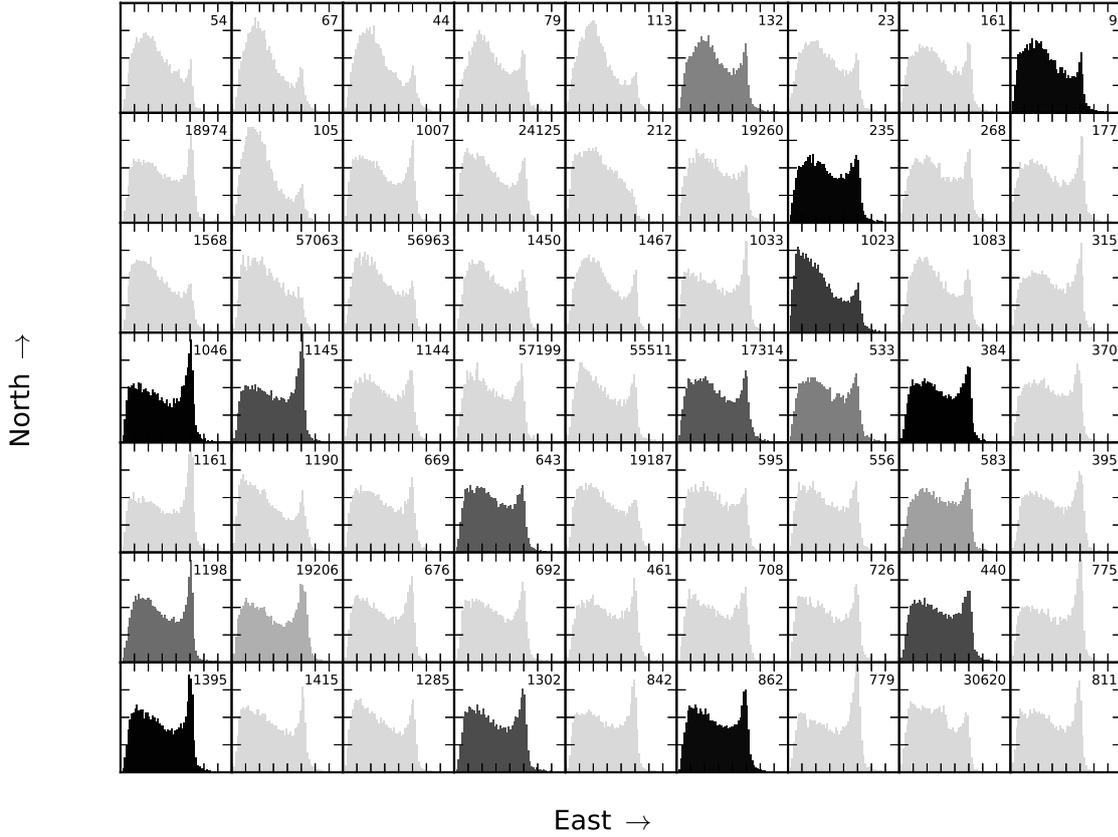


Figure 5: Histograms of  $K_c$  for each individual MIDAS station. The shading of the histogram denotes the proportion of human observations, with light (15%) grey denoting fully automated and black denoting fully human-observed. The  $x$ -axis runs from 0 to 1.6 with tick intervals of 0.2 and the  $y$ -axis is the probability density running from 0 to 2 in tick intervals of 0.5. Station ID numbers are in the top-right of each histogram. For station locations, refer to fig. 1.

### 266 3.3. Distribution by MIDAS weather station

267 Owing to the influence of weather systems from the Atlantic and the rain-shielding effect  
 268 of hills and mountains such as the Pennines, the western side of the British Isles typically  
 269 experiences more rainfall than the eastern side (Met Office, 2015b). To investigate whether  
 270 this pattern is prevalent in cloud transmission, the  $K_c$  distribution from each of the 63 MIDAS  
 271 stations in fig. 1 is investigated individually.

272 The 63 stations are grouped into a  $7 \times 9$  grid by sorting the station latitudes in order from  
 273 south to north and then from west to east across each band. In fig. 5, the distribution of  $K_c$  for  
 274 each weather station is shown. The proportion of human observations at each station is denoted  
 275 by the strength of the shading. A total of 17 stations have at least some human observations,  
 276 ranging from 19% to 99% of the total for that station.

277 Most individual stations exhibit the bimodal characteristic of clear-sky index that is a

278 feature of the aggregated distribution in fig. 2. Some individual stations, typically located in  
279 Scotland and Northern Ireland, have a low or non-existent clear-sky spike showing a tendency  
280 for cloudiness. From south to north, there is a slight trend for a decrease in overall cloud  
281 transmission by comparing the frequency densities of the low  $K_c$  humps, but this varies from  
282 station to station, and could be an consequence of the annually averaged lower solar elevation  
283 angles at these latitudes. There does not appear to be an overall trend in the west to east  
284 direction. It should be borne in mind that differences in instrumental response and local  
285 microclimates may affect the  $K_c$  values produced from individual stations. On the whole,  
286 there are no clear systematic differences between stations by observation method for total  $K_c$   
287 distributions.

### 288 *3.4. Distribution of cloud cover by solar elevation angle*

289 The differences in the shape of the  $K_c$  distributions for each elevation angle bin could be  
290 an indication of generally fairer weather conditions at higher solar elevation angles, or could  
291 be a result in the reduction of the variance in  $K_c$  values in genuinely clear hours that cause  
292 observations to contract towards  $K_c = 1$ . The cloud cover habits for each elevation angle class  
293 have been investigated. It is confirmed that clearer conditions are not generally more likely at  
294 higher solar elevation angle bins as shown in fig. 6.

295 Figure 6 shows there is a significant difference between cloud cover reporting for the human  
296 and automatic methods across all solar elevation angles. Automated cloud systems are much  
297 more likely (14–19% of hours) to record 0 oktas than human observers (1% of hours). There  
298 is also a tendency for the automated recording system to record 8 oktas more commonly than  
299 the human observers (33–40% of the time compared to 19–24%). For both 8 oktas and 0 oktas,  
300 there is an elevation angle dependency for automated observations, with these classes more  
301 likely to be recorded at lower elevation angle bins. For human observations, this pattern is seen  
302 with 1 okta and 8 oktas. Conversely, for human observers 7 oktas is most commonly recorded  
303 with 36% or 37% of observations (no detectable elevation angle dependency), whereas 7 oktas  
304 is recorded only 18–22% of the time in the automated observations, increasing with elevation  
305 angle. Intermediate ( $1 \leq N \leq 6$ ) cloudiness is more likely to be noted by human observers  
306 across all elevation angle bins. The differences in  $N$  frequency between the two methods may  
307 be partially due to the recording convention for human observers of 0 oktas representing totally

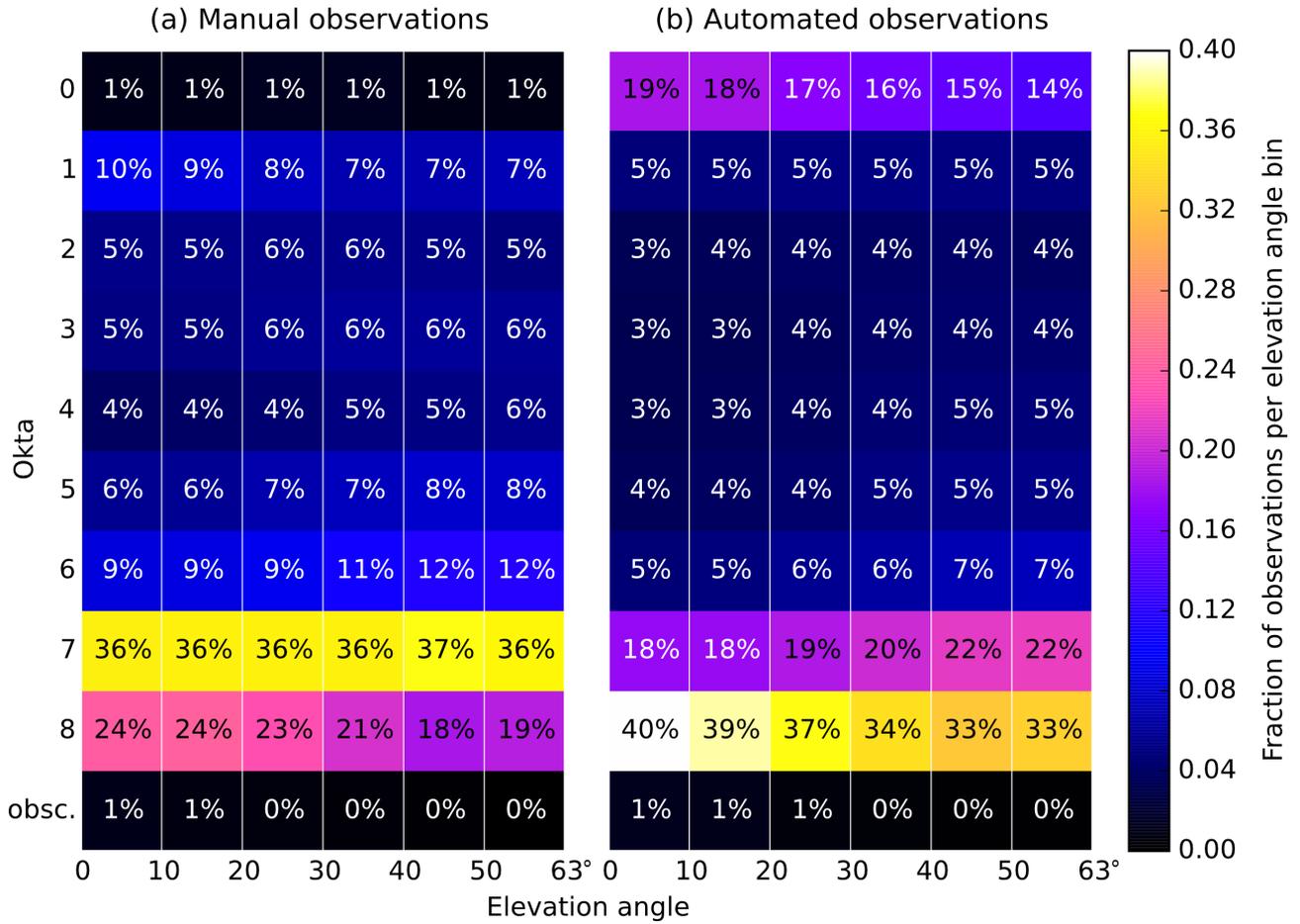


Figure 6: Heat map of okta frequency count for each elevation angle bin for (a) human and (b) automated cloud cover observations. Percentages and shading colour relates to the fraction of each elevation angle class (column) assigned to each cloud okta class. Columns may not sum to 100% due to rounding.

cloudless skies and 8 oktas representing fully overcast skies. Any cloud presence, however small, should be recorded as 1 okta, and likewise a small break in an otherwise overcast sky should be recorded as 7 oktas. It is unlikely that a ceilometer would “hit” a small isolated cloud or cloud-break over the course of an hour, therefore classifying more “true” 1 okta hours as 0 oktas, and “true” 7 okta hours as 8 oktas.

The lack of  $K_c \approx 1$  spike for the  $\theta_e \leq 10^\circ$  bin is unlikely to be due to significantly higher cloudiness for these observations in both the human-observed and automated cases. Separate analysis shows that the seasonal distribution shapes are similar to the annual ones in fig. 3, with a slightly greater tendency to low  $K_c$  values in winter where okta 8 is observed more frequently.

### 3.5. Distribution by okta and elevation angle

The distributions at each okta class were subdivided by elevation angle group (fig. 7), with separate results provided for human and automated observations. It is seen that this division is a necessary one, particularly at low okta classes. The 0 oktas distribution for human

321 observations is slightly left-skewed at low solar elevation angles, becoming more symmetric  
322 around  $K_c = 1$  at higher elevations. In contrast, the histograms of automated observations for  
323 0 oktas exhibit more left skew that does not vanish at the highest elevation angle class. This  
324 implies that humans are more able to detect cases of genuine clear sky and that the spatial  
325 mismatch between the observation of  $N$  by the ceilometer and the rest of the sky is more serious  
326 than the temporal mismatch of  $N$  recorded by a human at the end of the hour and irradiance  
327 measured over the course of the hour. For automated observations, it is clear that a significant  
328 number of hours that are not cloudless are being reported as 0 oktas. This results in the left  
329 skew present at 0 oktas and the heavier weight of the left tails for 1–3 oktas compared to the  
330 human observations. The left-skew for 0 oktas is still present for human observations, albeit  
331 smaller.

332 When cloud coverage is between 1 and 6 oktas, more of the mass of the distributions  
333 are located to the left for automated observations than for human observations in all solar  
334 elevation angle bins. This indicates that the automatic method tends to attribute cloudier  
335 observations to a particular okta value than a human would for intermediate cloudiness. The  
336 7 okta distributions are roughly similar to first order. However, a large difference occurs in  
337 “overcast” skies (8 oktas), where humans tend to record a greater proportion of low  $K_c$  hours  
338 than the ceilometer. This would suggest that humans are generally more able to correctly  
339 identify genuine instances of overcast sky than ceilometers.

340 The general pattern for both observation types where  $\theta_e > 10^\circ$  is for severe left-skew at 0  
341 oktas, which becomes gradually milder up to 6 oktas. The distribution for 7 oktas shows a mild  
342 right-skew, and 8 oktas and the sky-obscured state are more heavily right-skewed. Except for  
343  $N = 8$  and the obscured sky state, the distributions of observed  $K_c$  is qualitatively different  
344 for the  $\theta_e \leq 10^\circ$  group than for other elevation angles.

345 One explanation for the differences in distribution shape by elevation angle class for cloud  
346 coverages of 0–7 oktas are the relative probabilities of the solar beam being obscured by cloud  
347 (assuming that some observations of 0 oktas have been incorrectly classified as clear). At low  
348 solar elevations, the solar path length through the atmosphere is longer than at high elevations,  
349 and the probability of the sun being obscured by a cloud increases. This is true for both  
350 human and automated observations, but as the ceilometer method only records the conditions

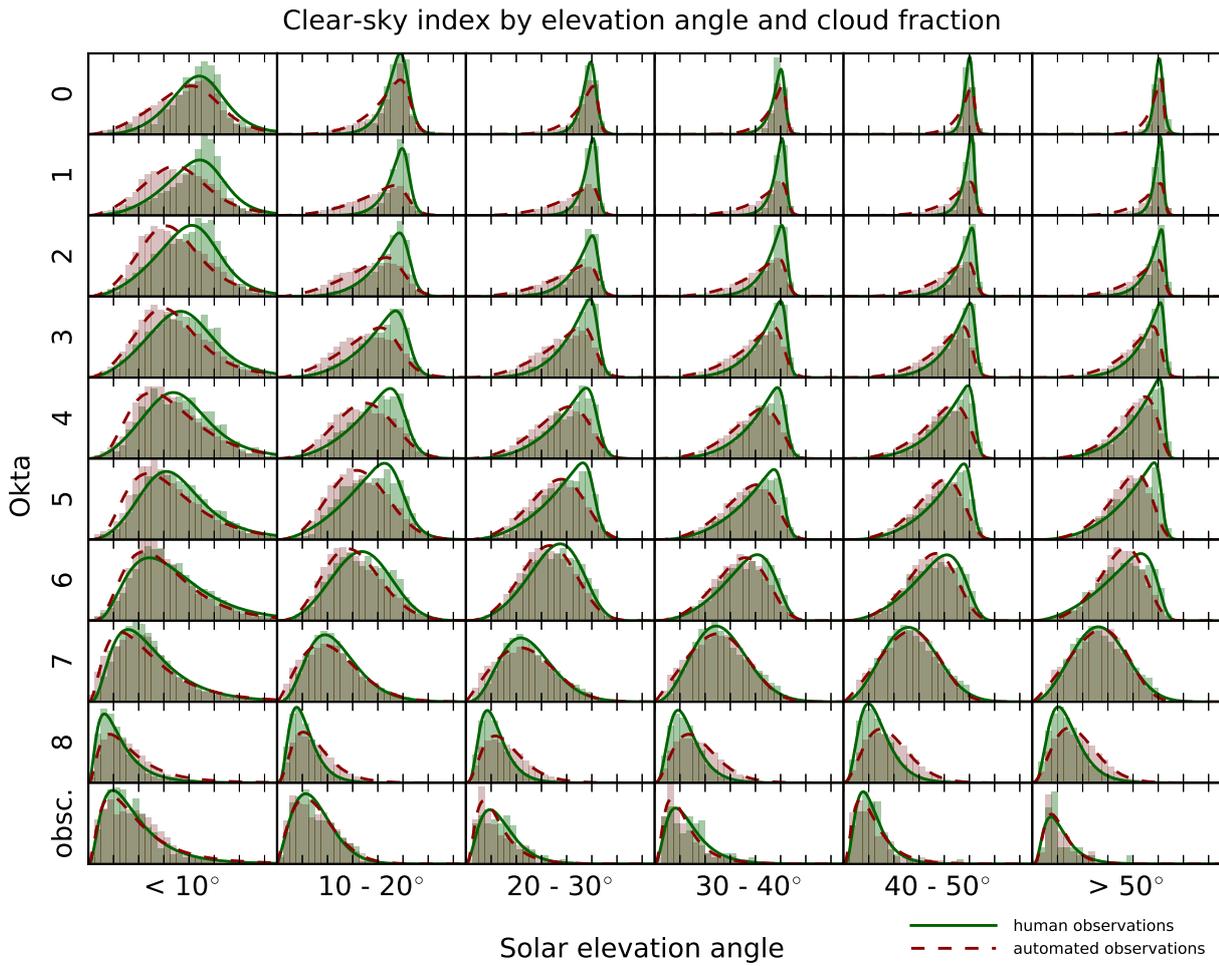


Figure 7: Matrix of histograms of  $K_c$  values for each okta class and solar elevation angle band for human and automated cloud observations. The  $x$ -axes run from 0 to 1.5 with ticks in intervals of 0.2; the  $y$ -axes are probability density which has not been standardised between subplots for clarity. Marked fits correspond to the distributions described in sections 3.6.1 and 3.6.2.

351 in the zenith direction, the probability of a cloud not being detected is much higher. A related  
 352 effect was noticed by Muneer and Gul (2000) who found that the relationship between hourly  
 353 sunshine fraction and cloud coverage was dependent on solar elevation and was not linear. Low  
 354 observed values of  $K_c$  at 0 oktas for  $\theta_e \leq 10^\circ$  could be effects from horizon obstruction, ground  
 355 reflection, small errors in zenith angle for sunrise/sunset hours, or other differences as described  
 356 in section 3.2.

### 357 3.6. Fitting statistical distributions

358 The aim of fitting statistical distributions to each okta, elevation angle class and observation  
 359 type histogram is to be able to use each distribution to generate random variables of clear-sky  
 360 index. Such a method can be used in a Markov chain model of hourly cloud coverage (Bright  
 361 et al., 2015; Ehnberg and Bollen, 2005). The highly negatively-skewed low okta classes pro-  
 362 vide a particular challenge as positively-skewed distributions tend to appear more commonly  
 363 in natural processes (McLaughlin, 2014). A candidate distribution that fits all okta and el-  
 364 evation classes fairly well is the four-parameter skew- $t$  distribution (Azzalini and Capitanio,  
 365 2003), which can handle both severe positive and negative skew as well as high kurtosis. A  
 366 computational drawback of the skew- $t$  distribution is the lack of an analytic form for the cumu-  
 367 lative distribution function which prevents fast computation of random variables. Therefore,  
 368 to promote distributions where analytic forms were possible, the cases of “mostly clear”, where  
 369 distributions are typically and sometimes extremely left-skewed, and “mostly cloudy”, where  
 370 distributions are approximately symmetric to mildly right-skewed, are considered separately.  
 371 The boundary between cases depends on the method used to retrieve the cloud cover observa-  
 372 tion, and “mostly clear” is defined as 5 oktas or less for human observations and 3 oktas or less  
 373 for automated observations (approximately 30% of observations in both cases).

#### 374 3.6.1. “Mostly clear” hours: the Burr distribution

375 The probability density function (PDF) of the Burr (type III) distribution is given by (Burr,  
 376 1942; Tadikamalla, 1980)

$$f(x) = \frac{ck}{a} \left(\frac{x}{a}\right)^{-c-1} \left(1 + \left(\frac{x}{a}\right)^{-c}\right)^{-k-1} \quad (3)$$

377 where  $c$  and  $k$  are positive shape parameters and  $a$  is a positive scale parameter.

378 3.6.2. “Mostly cloudy” hours: the generalised gamma distribution

379 The generalised gamma is a superset of several common distributions used in mathemat-  
 380 ics and engineering, and includes the gamma, exponential, Weibull, chi-squared, normal and  
 381 lognormal distributions as special or limiting cases. The PDF is given by (Stacy, 1962)

$$f(x) = \frac{px^{d-1} \exp(-(x/a)^p)}{a^d \Gamma(d/p)} \quad (4)$$

382 where  $a$  is a positive scale parameter,  $d$  and  $p$  are shape parameters, and  $\Gamma(\cdot)$  is the gamma  
 383 function that generalises factorials to all real numbers.

384 3.6.3. Discussion of statistical fits

385 Two additional advantages of the Burr (type III) and generalised gamma models compared  
 386 to the skew- $t$  is the use of one less parameter, and the imposition of  $K_c = 0$  as a lower bound,  
 387 which represents physical reality. In contrast, the skew- $t$  distribution is defined on  $(-\infty, \infty)$ .  
 388 For all distribution histograms, the probability functions were fit using the method of maximum  
 389 likelihood estimation.

390 In fig. 7, the histograms have been fit with the Burr (type III) distribution where the cloud  
 391 coverage is 5 oktas or less for human observations and 3 oktas or less for automated observations,  
 392 and the generalised gamma distribution for higher okta classes. In general, the distribution fits  
 393 visually appear to be satisfactory for all solar elevation angle bins excluding the lowest.

394 To assess the quality of the fit to the proposed distribution, Pearson’s  $\chi^2$  test can be per-  
 395 formed to determine whether the hypothesis that data fits the given distribution is appropriate.  
 396 To perform this, the  $K_c$  values from each okta and elevation angle class are binned into deciles,  
 397 so that each decile contains a number of observations,  $o_i$ , that is 10% (to within rounding) of  
 398 the total. The ranges of the bottom and top deciles are extended to  $K_c$  values of 0 and  $+\infty$   
 399 respectively. Then, for the  $K_c$  ranges covered in each decile, the number of observations that  
 400 would be expected in each decile according to the distribution,  $e_i$ , is calculated from the CDF  
 401 of the distribution. The  $\chi^2$  statistic is calculated from

$$\chi^2 = \sum_{i=1}^{10} \frac{(o_i - e_i)^2}{e_i}. \quad (5)$$

402 The  $\chi^2$  test is most reliable when both the observed and expected frequency in a bin is

	Solar elevation angle, human observations					
	< 10°	10°– 20°	20°– 30°	30°– 40°	40°– 50°	> 50°
0 oktas	.0011	<b>.1207</b>	<b>.5332</b>	.0000	.0009	.0108
1 okta	.0000	.0000	.0000	.0000	.0000	.0000
2 oktas	.0000	.0000	.0000	.0000	.0000	.0000
3 oktas	.0001	.0001	.0043	.0054	.0219	.0001
4 oktas	.0000	.0069	<b>.0821</b>	.0285	.0044	<b>.4008</b>
5 oktas	<b>.0548</b>	.0000	.0000	<b>.0727</b>	.0202	.0000
6 oktas	.0000	.0000	.0000	.0000	.0000	.0000
7 oktas	.0000	.0000	.0000	.0000	.0000	.0000
8 oktas	.0000	<b>.5297</b>	<b>.3607</b>	<b>.3520</b>	<b>.4012</b>	<b>.3652</b>
Sky obscured	<b>.1345</b>	.0131	<b>.2497</b>	<b>.0725</b>	<b>.6485</b>	.0004

Table 1:  $p$ -values for  $\chi^2$  goodness-of-fit tests for the distributions shown in fig. 7 for human observations (solid lines). Bold values indicate where there is no evidence to reject the hypothesis that the stated distribution (Burr type III for  $N \leq 5$ , generalised gamma for  $N \geq 6$ ) is appropriate.

at least 5; this criterion was met for all oktas  $\leq 8$ , but not for some sky-obscured bins which had a total lower number of total observations. The value of  $\chi^2$  calculated in eq. (5) is then compared to a  $\chi^2$  distribution with 6 degrees of freedom<sup>4</sup>. High values of  $\chi^2$  indicate large differences between the observed and expected bin frequencies. The  $p$ -value indicates how much of the  $\chi^2$  distribution lies to the right of the calculated statistic, and can be interpreted as how likely a  $\chi^2$  value that is at least as high as that calculated could occur by random chance if the distribution was indeed appropriate. Conventionally, a  $p$ -value of 0.05 is used to determine whether the distribution fit is acceptable, with values below this implying that there is evidence to suggest that the proposed distribution is not acceptable.

The  $\chi^2$  values calculated from each okta and elevation angle bin are shown in tables 1 and 2. It can be seen that instances where the  $p$ -value exceeds 0.05 are limited, and as such the suggested distribution fits may not be appropriate. However, for human observations, it should be noted that for all solar elevation angle classes above 10° and cloud coverage of 8 oktas, the generalised gamma distribution does provide an appropriate fit using the  $\chi^2$  test. This suggests that where cloud transmission is purely a function of cloud thickness (and is not affected by gaps in the clouds), a generalised gamma model is appropriate.

<sup>4</sup>10 degrees of freedom for each  $K_c$  interval, subtract one degree of freedom for the constraint that the sum of  $o_i$  equals the total number of observations, and subtract another 3 degrees of freedom for each of the parameters fitted by maximum likelihood estimation.

	Solar elevation angle, automated observations					
	< 10°	10°– 20°	20°– 30°	30°– 40°	40°– 50°	> 50°
0 oktas	.0000	.0000	.0000	.0000	.0000	.0000
1 okta	.0000	.0000	.0001	.0000	.0000	.0000
2 oktas	.0000	.0000	.0001	<b>.3944</b>	.0020	.0256
3 oktas	.0000	.0000	.0000	.0001	.0012	.0069
4 oktas	.0000	.0000	.0003	<b>.1144</b>	<b>.5354</b>	.0053
5 oktas	.0000	.0000	.0217	<b>.0912</b>	<b>.3566</b>	.0037
6 oktas	.0000	.0000	.0000	.0003	.0011	.0028
7 oktas	.0000	.0000	.0000	.0000	.0001	.0000
8 oktas	.0000	.0000	.0000	.0000	.0000	.0000
Sky obscured	.0000	.0000	.0000	.0001	<b>.9826</b>	.0130

Table 2:  $p$ -values for  $\chi^2$  goodness-of-fit tests for the distributions shown in fig. 7 for automated observations (dashed lines). Bold values indicate where there is no evidence to reject the hypothesis that the stated distribution (Burr type III for  $N \leq 3$ , generalised gamma for  $N \geq 4$ ) is appropriate.

#### 419 4. Conclusion

420 The hourly clear-sky index distribution for each cloud cover and solar elevation angle bin  
421 can be a useful tool to predict the distribution of irradiance where long-term data is unavailable  
422 but knowledge of cloud cover and solar elevation angle is. The hourly cloud transmission of  
423 solar irradiance due to clouds in the UK is found to follow a bimodal distribution that can be  
424 attributed to hours that are mostly cloudless (clear-sky index close to 1) and hours that are  
425 mostly overcast (clear-sky index of 0.2–0.4).

426 The clear-sky index distribution for each okta class, and overall cloud coverage distribution,  
427 is useful to characterise the expected solar irradiance at a site of interest. For low cloudiness,  
428 the  $K_c$  distributions follow a left-skew distribution and for high cloudiness they resemble an  
429 approximately symmetric to right-skew distribution. For human observations of 8 oktas, with  
430 solar elevation angle greater than 10°, there is no evidence to reject the hypothesis that the  
431 clear-sky index follows a generalised gamma distribution.

432 The most reliable cloud observations are from those sites where a human observer is present.  
433 This can be determined by the fact that the distribution shapes are more symmetric and  
434 grouped nearer to  $K_c = 1$  for 0 oktas, whereas there is a heavier left tail present for the 0  
435 okta distributions from automated observations. Figures 6 and 7 show that the ceilometer  
436 method probably overestimates the occurrences of 0 oktas and 8 oktas and underestimates  
437 intermediate cloud coverages. As meteorological observations are increasingly likely to be made  
438 automatically in the future, it is important that a distinction be made to classify observations

439 as human-observed or automated, or that algorithms are developed to consistently convert  
440 automated observations to an equivalent value that a human would estimate. The differences  
441 in distribution values for human and automated observations would suggest that the overall  
442 distribution of okta observations have changed over time as the network has become more  
443 automated (Dai et al., 2006). This would be an interesting hypothesis to pursue.

444 Although clear-sky index is less airmass (elevation angle) dependent than clearness index,  
445 some dependence remains. Future work could investigate correcting for the effect of solar  
446 elevation angle in cloudy skies, so that the clear-sky index distribution is a function only of  
447 cloud cover and cloud optical thickness.

## 448 **Notes**

449 The distribution parameters used for the plots in fig. 7 are available as an electronic ap-  
450 pendix.

## 451 **Acknowledgements**

452 The authors thank the UK Met Office for providing the MIDAS RO and WH data through  
453 the British Atmospheric Data Centre. This work was financially supported by the Engineering  
454 and Physical Sciences Research Council through the University of Leeds Doctoral Training  
455 Centre in Low Carbon Technologies (grant number EP/G036608/1). The authors also thank  
456 two anonymous reviewers for their constructive comments which has resulted in an improved  
457 manuscript.

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