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1 RUNNING HEAD: Exclusion and mentalizing in typical and anxious children

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3 Putting ostracism into perspective: Young children tell more mentalistic stories after

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exclusion, but not when anxious

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6 Lars O. White, Annette M. Klein, Kai von Klitzing, Alice Graneist, Yvonne Otto,

7

University of Leipzig

8

Jonathan Hill

9

University of Reading

10

Harriet Over

11

University of York

12

Peter Fonagy

13

University College London

14

Michael J. Crowley

15

Yale Child Study Center

16

17 Lars O. White, Annette M. Klein, Kai von Klitzing, Alice Graneist, Yvonne Otto,  
18 University of Leipzig, Department of Child and Adolescent Psychiatry, Psychotherapy and  
19 Psychosomatics; Jonathan Hill, University of Reading, School of Psychology and Clinical  
20 Language Sciences; Harriet Over, University of York, Department of Psychology; Peter  
21 Fonagy, Research Department of Clinical, Educational and Health Psychology; Michael J.  
22 Crowley, Yale University Child Study Center.

23 Alice Graneist is now at Goethe University Frankfurt.

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32 Correspondence concerning this article should be addressed to Lars White, Universität  
33 Leipzig, Medizinische Fakultät Klinik und Poliklinik für Psychiatrie, Psychotherapie und  
34 Psychosomatik des Kindes- und Jugendalters Liebigstraße 20a, 04103 Leipzig. Email:  
35 [white@medizin.uni-leipzig.de](mailto:white@medizin.uni-leipzig.de), Fax: +49341 -9724019

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### **Abstract**

Much is known about when children acquire an understanding of mental states, but few, if any, experiments identify social contexts in which children tend to use this capacity and dispositions that influence its usage. Social exclusion is a common situation that compels us to reconnect with new parties, which may crucially involve attending to those parties' mental states. Across two studies, this line of inquiry was extended to typically developing preschoolers (Study 1) and young children with and without anxiety disorder (Study 2). Children played the virtual game of toss "Cyberball" ostensibly over the Internet with two peers who first played fair (inclusion), but eventually threw very few balls to the child (exclusion). Before and after Cyberball, children in both studies completed stories about peer-scenarios. For Study 1, 36 typically developing 5-year-olds were randomly assigned to regular exclusion (for no apparent reason) or accidental exclusion (due to an alleged computer malfunction). Compared to accidental exclusion, regular exclusion led children to portray story-characters more strongly as intentional agents (intentionality), with use of more mental state language (MSL), and more between-character affiliation in post-Cyberball stories. For Study 2, 20 clinically referred 4 to 8-year-olds with anxiety disorder and 15 age- and gender-matched non-anxious controls completed stories before and after regular exclusion. While we replicated the post regular-exclusion increase of intentional and MSL portrayals of story-characters among non-anxious controls, anxious children exhibited a decline on both dimensions after regular exclusion. We conclude that exclusion typically induces young children to mentalize, enabling more effective reconnection with others. However, excessive anxiety may impair controlled mentalizing, which may, in turn, hamper effective reconnection with others after exclusion.

KEY WORDS: Social exclusion, early childhood, theory of mind, mentalizing, prosocial behavior

63 **Putting ostracism into perspective: Young children tell more mentalistic stories after**  
64 **exclusion, but not when anxious**

65 The preschool years have long been noted for fundamental advances in mentalizing –  
66 the social-cognitive capacity to construe oneself and others in terms of intentional mental  
67 states (Dennett, 1978; Fonagy, Gergely, Jurist, & Target, 2002). The timetable of the  
68 development of mentalizing has received much attention over the past decades (see Wellman,  
69 2014). Yet, as mentalizing enters the child’s repertoire, the question arises as to when and  
70 which children make use of this new mental tool by mentalizing in varying social contexts.  
71 Despite the importance of such work for theories of mentalizing – particularly the interaction  
72 of mentalizing with motivational states and stress regulation (Fonagy & Luyten, 2009; Ickes  
73 & Simpson, 2001; Tomasello, Carpenter, Call, Behne, & Moll, 2005) – few if any  
74 experimental studies directly address the roles of context and disposition in mentalizing.  
75 Indeed, if mentalizing varies systematically as a function of context or arousal, it could be  
76 crucial to assess context-specific mentalizing capacities of clinical populations whose  
77 symptoms primarily appear under certain conditions, such as anxiety disorder.

78 Mentalizing may be relevant to a broad set of social interactions, from dyadic emotion  
79 regulation and caregiving to cooperative and competitive interactions, more broadly (Dennett,  
80 1987; Fonagy et al., 2002; Moore & Frye, 1991). Accordingly, individuals may be thought to  
81 mentalize in a wide variety of contexts with many authors proposing that mentalizing  
82 permeates our everyday social cognition (e.g., Wellman, 2014). Importantly, for the present  
83 purposes, the degree and cognitive control of mentalizing may still show cross-situational  
84 variation as the need and expectation to cooperate and compete with others fluctuates.

85 With this in mind, one important context for inducing shifts in social cognition may be  
86 exclusion from groups. As a fundamental process for humans, social exclusion blocks access  
87 to various group resources that, across phylogeny, were essential to survival, from group  
88 protection, to collaboration for provisions, to exchange of social information (Leary &

89 Cottrell, 2013). Potentially for this reason, threats of exclusion still act as powerful triggers  
90 for conformity. Serving as a deterrent for exploiting others, threats of exclusion therefore also  
91 stabilize and promote cooperation (Feinberg, Willer, & Schultz, 2014; Ouwerkerk, Kerr,  
92 Gallucci, & van Lange, 2005; Williams, 2009). Critically, to act on the first hints of and avoid  
93 further exclusion, excluded parties may potentially increase vigilance regarding social cues to  
94 promote more skillful re-affiliation (Pickett & Gardner, 2005; see below). Yet, few studies  
95 address such exclusion-responses early in development, especially with young children.

96 To date, the bulk of work on peer exclusion in early childhood has focused on risk  
97 factors for chronic peer rejection and its adverse developmental sequelae (e.g., Crick, Casas,  
98 & Ku, 1999; von Klitzing et al., 2014). Consequently, we know relatively little about typical  
99 and atypical responses to experimental social exclusion at this age. A handful of studies  
100 examining exclusion among preschoolers uses indirect primes where the child observes the  
101 exclusion of a third party. Even this simple manipulation leads some preschoolers to behave  
102 in a way that suggests a reconnection motive has been engaged, including more accurate  
103 imitation of others (Over & Carpenter, 2009b; Watson-Jones, Legare, Whitehouse, & Clegg,  
104 2014) and drawing pictures of themselves and friends standing closer to one another (Song,  
105 Over, & Carpenter, 2015). Consistent with these findings, a recent study exposed preschoolers  
106 to firsthand exclusion while playing the virtual ball-toss game, Cyberball, also finding  
107 increased fidelity of imitation post-exclusion (Watson-Jones, Legare, & Whitehouse, 2016).  
108 Overall, these findings in young children resemble research on adults, showing increased  
109 affiliative tendencies (e.g., conformity, generosity, mimicry) following exclusion compared to  
110 control conditions (see Molden & Maner, 2013).

111 Given the behavioral affiliation-inducing effect of social exclusion, we sought to  
112 examine whether young children would also attend to mental states more closely after  
113 exclusion. Indeed, some theorists propose that exclusion gives rise to a state of “social  
114 hunger” (Gardner, Pickett, & Brewer, 2000, p. 486) that stimulates social monitoring

115 processes, akin to increased attention to food stimuli after fasting. Among adults, social  
116 exclusion thus promotes attentional biases to relevant social information (Pickett & Gardner,  
117 2005), including others' perspectives (Knowles, 2014). Coping with social exclusion by  
118 attending to other's perspectives and mental states may enable more adept detection and  
119 selection of new partners likely to reciprocate while weeding out less promising partners.  
120 Many affiliative actions (e.g., helping) could also improve (in quality and quantity) if  
121 excluded parties attend to mental states of potential targets for re-affiliation so as to tailor  
122 affiliative actions to the needs, goals, and knowledge of those targets (Tomasello et al., 2005).  
123 Despite its clear potential for informing developmental theories on mentalizing, little or no  
124 work currently extends this work to social exclusion in young children. We therefore sought  
125 to address this gap in the literature with Study 1.

126 In a second Study, we moved beyond examining mentalizing in typically developing  
127 youth, to consider young children with elevated anxiety concerns. Deficits in social cognition  
128 and mentalizing have been linked to numerous childhood psychopathologies (Sharp, Fonagy,  
129 & Goodyer, 2008). However, in the case of anxiety disorder, one of the most prevalent  
130 conditions in childhood (Costello, Egger, Copeland, Erkanli, & Angold, 2011), the deficit in  
131 mentalizing has proven somewhat difficult to pin down (see Banerjee, 2008). While socially  
132 anxious young children have shown normal responses on standard false-belief tasks in most  
133 studies (Banerjee & Henderson, 2001; Broeren, Muris, Diamantopoulou, & Baker, 2013; but  
134 see Colonnesi, Nikolić, de Vente, & Bögels, 2016), they have exhibited impairments in social  
135 behaviors requiring insight into mental states, in self-presentational tactics towards peers as  
136 well as in understanding the causes and emotional effects of unintentional insults (Banerjee &  
137 Watling, 2010).

138 Arguably, this pattern of data could be at least partly accounted for by context-specific  
139 deficits in mentalizing under affectively charged conditions, such as social exclusion. Thus, it  
140 has been proposed that controlled mentalizing varies as a function of the arousal induced by a

141 specific context, following a trajectory of an inverted u-curve, i.e., first rising and then falling  
142 with increasing arousal (Fonagy & Luyten, 2009). Given the excessive negative arousal  
143 inherent in acute anxiety, deficits in stress-related mentalizing may typify anxious children  
144 (Nolte, Guiney, Fonagy, Mayes, & Luyten, 2011), much like what has been shown by pilot  
145 data in adults with panic disorder (Rudden, Milrod, Target, Ackerman, & Graf, 2006).  
146 Moreover, in acute anxiety, one's own and others' thoughts often take on an imminent and  
147 threatening quality, which may derive from insufficient distinctions between one's mental  
148 representation and reality, one of the hallmarks of a prementalizing mode (e.g., fear of  
149 imagined catastrophic separation outcomes, fear of negative evaluation by others; Fonagy et  
150 al., 2002). Thus, in Study 2 we examine young anxious children's usage of mentalizing in an  
151 acute stress-context, following social exclusion.

152         In the current pair of studies, we used the virtual ball-toss game "Cyberball"  
153 (Williams, Cheung, & Choi, 2000) to manipulate social exclusion. Children were ostensibly  
154 connected to the Internet to toss a ball back and forth with two peers. The peers eventually  
155 stopped passing the ball to the subject (exclusion). Initially, we demonstrated that 5-year-olds  
156 excluded in Cyberball report higher threat to relational needs and attribute more bad  
157 intentions to co-players on post-Cyberball puppet interviews, as well as more tattling to  
158 experimenters on co-players than included children (White, Crowley, von Klitzing, & Klein,  
159 in preparation).

160         Here, to capture young children's mentalizing and affiliative responses to exclusion,  
161 we adapted a widely-used narrative story-stem task that children completed before and after  
162 Cyberball. In this task, children are exposed to scripted story-beginnings and asked to show  
163 and tell the experimenter what happens next using toy figures (see Emde, Wolf, &  
164 Oppenheim, 2003). Story-completion measures have a long history of use in studies of typical  
165 and atypical child development. Many of these studies have focused on the way children  
166 portray characters in their stories (e.g., parents, children) as a window to their internal



167 representations of themselves and others (see Yuval-Adler & Oppenheim, 2014 for a review).  
168 Accordingly, studies suggest that the manner in which children portray the child- and parent-  
169 characters in their stories partly overlaps with actual real-world behaviors of these children  
170 and their caregiving experiences (Oppenheim, Emde, & Warren, 1997; Toth, Cicchetti,  
171 Macfie, & Emde, 1997). For example, the magnitude of children's affiliative and aggressive  
172 themes in such narratives is associated with the tendency to express similar behaviors in  
173 various social contexts, as reported by clinicians, parents, or teachers (e.g., Hill, Fonagy,  
174 Lancaster, & Broyden, 2007; Kochanska, Padavich, & Koenig, 1996; von Klitzing,  
175 Stadelmann, & Perren, 2007).

176         Recently, the story-stem approach has been broadened to assess children's tendency to  
177 mentalize in their stories (Hill et al., 2007; Hill, Murray, Leidecker, & Sharp, 2008; Luyten &  
178 Fonagy, 2014). More specifically, this approach assesses the degree to which children treat  
179 story-characters as intentional agents, i.e., portraying figures *as if* they have goals and mental  
180 states.<sup>1</sup> For story-stems with positive themes, previous research has documented an  
181 association between mentalizing, as indexed by the story-stem approach, and theory of mind,  
182 as indexed by a traditional false-belief measure (Hill et al., 2008). By contrast, for stories with  
183 distressing themes mentalizing was associated with the child's previous attachment history  
184 and their risk for externalizing disorders (Hill et al., 2007; Hill et al., 2008).

185         For the present studies, children completed scripted story beginnings, themed with  
186 peer exclusion and victimization. Importantly, and unlike most exclusion research to date (see  
187 Wesselmann, Ren, & Williams, 2015), the open-ended story-completion method offers  
188 subjects much latitude to express a range of post-exclusion responses. Specifically, we chose

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<sup>1</sup> Various dimensions of mentalizing have been operationalized (see Luyten & Fonagy, 2014, for an overview). Story-stem based measures primarily focus on the child's tendency to attribute cognitive-affective mental states to others (i.e., story-characters) starting from the portrayals in the story-beginning. Notably, unlike standard false belief tasks (e.g., Wimmer & Perner, 1983), story-stem based assessments focus on the child's spontaneous usage of mental state attribution rather than the accuracy of these attributions.

189 this measure as it enabled assessment of spontaneous prosocial and aggressive responses as  
190 well as children's tendency to mentalize before and after exclusion. Though rarely, if ever,  
191 used in the context of an experimental task such as Cyberball, the story-completion approach  
192 is particularly appealing for use with young children, who may otherwise struggle to verbalize  
193 their thoughts (Emde et al., 2003).

## 194 **Study 1**

195 Given the aforementioned links between affiliative and aggressive themes in  
196 children's story-completions and parallel behaviors in various social contexts, it seemed  
197 plausible that exclusion would affect children's play analogous to adults' affiliative responses  
198 to exclusion (e.g., Maner, DeWall, Baumeister, & Schaller, 2007). For typically developing  
199 children in Study 1, we predicted that compared to controls, excluded children would portray  
200 more affiliation between characters in stories. While studies report that social exclusion can  
201 elicit aggression (e.g., Twenge, Baumeister, Tice, & Stucke, 2001; Will, Crone, & Guroglu,  
202 2014), few if any child studies report such effects. Thus, we explored, but did not predict any  
203 effects of exclusion on aggression between characters.

204 Beyond affiliation and aggression, story-completion narratives are well-placed to  
205 examine post-exclusion attention to mental states. Thus we assessed the degree to which  
206 children treat story-characters as intentional agents, i.e., portraying figures *as if* they have  
207 goals and mental states (Hill, et al., 2008). In line with enhanced post-exclusion social  
208 monitoring (Pickett & Gardner, 2005), we predicted that exclusion, compared to a control  
209 condition, would lead children to portray characters using more mental state language (MSL)  
210 and with more intentionality. Because social monitoring is thought to enhance reconnection  
211 (Molden & Maner, 2013), we also predicted that increases in mentalizing would mediate the  
212 effect of exclusion on affiliative story-themes.

213 Aside from testing our main hypotheses, in Study 1 we also employed character-  
214 specific codes to assess whether or not children selectively describe mental states of some

215 story-characters and direct affiliation towards some characters over others (i.e., victims vs.  
216 perpetrators in the story). Social monitoring putatively helps to select good *and* weed out poor  
217 targets for affiliation (Pickett & Gardner, 2005). Accordingly, we predicted that a social  
218 exclusion condition would result in increased references to both the story-victim's and -  
219 perpetrators' mental states compared to a control condition. Regarding affiliative portrayals,  
220 we expected that excluded children would favor victims over perpetrators, as victims should  
221 qualify as more promising sources of affiliation.

222 Finally, in selecting an appropriate control condition for Study 1, we were aware that  
223 inclusion cues can also promote both prosocial and antisocial responses (see Over &  
224 Carpenter, 2009a; Waytz, 2013) and that inclusion also activates fewer behavioral responses  
225 compared to exclusion (e.g., tattling; White et al., in preparation). Also, we aimed to ensure  
226 that children are responding to the perceived intentions of excluders. We therefore opted for  
227 an accidental exclusion control condition in which children were informed afterwards that  
228 exclusion occurred due to a computer malfunction. This maps onto procedures in adult studies  
229 showing that affiliative responses are reliably elicited by rejecting departures compared to  
230 accidental departures (e.g., Maner et al., 2007). As a manipulation check for this control  
231 condition, we assessed whether or not children attributed more bad intentions to regular vs.  
232 accidental excluders on a puppet interview, after learning about the alleged computer  
233 malfunction.

## 234 **Method**

### 235 **Sample**

236 Thirty-six 5-year-olds with a mean age of 68.26 months (SD = 2.43 months; 18  
237 females) were recruited drawing on a database of families volunteering to participate in  
238 development studies. All subjects were native speakers. No ethnicity or SES data were  
239 available. Boys and girls were separately randomized to exclusion and accidental conditions.  
240 Ethical approval was obtained from Leipzig University's institutional review board.

**241 Procedure**

242 Children initially completed a warm-up story themed with a Birthday party to  
243 acclimatize children to storytelling (Emde et al., 2003). After completing the story, they were  
244 informed that they could tell some more stories later. Next, children were furnished with a  
245 real-life glove and baseball, which they tossed back and forth with the experimenter. After a  
246 few throws, they were told that they would now play this game on the computer over the  
247 Internet. In the event that children were unfamiliar with the Internet, the experimenter  
248 explained that the Internet would allow them to play on the computer with two other children  
249 who were playing the game on a computer in different places, just like they were. Next,  
250 children played a first inclusion round of Cyberball, followed by an experimenter  
251 administering the first set of baseline story-stems. Then the child played a second  
252 experimental round of Cyberball during which they were initially included and then  
253 eventually either excluded or accidentally excluded (see section on Cyberball for  
254 manipulation details). Following either exclusion condition, a second set of story-stems was  
255 administered (stems counterbalanced to pre- and post-test). Puppet interviews were collected  
256 after administration of the second set of story-stems to assess attribution of bad intentions to  
257 co-players. Afterwards, all children were over-included in Cyberball. An over-inclusion phase  
258 was deemed more suitable than debriefing for 5-year-olds in keeping with ethical guidelines  
259 for young children (see Thompson, 1990). Parents were fully debriefed after their child  
260 entered the lab, providing ample time to withdraw from the study before the child played  
261 Cyberball (no parents withdrew). Experimenters were blind to all research questions.

**262 Measures**

263 **Cyberball (see Figure 1).** Cyberball is a computerized ball-toss game designed for  
264 adults (Williams et al., 2000) that was adapted for use with children (Crowley, Wu, Molfese,  
265 & Mayes, 2010; see below). Subjects ostensibly played online with two other peers using a  
266 response pad. In fact, subjects were the only ones playing the game. Peers were computer-

267 generated and their throws adhered to a pseudo-random event script. An initial inclusion  
268 period comprised of 30 trials, aimed to acclimatize children to the game interface. To help  
269 with comprehension of the task, an experimenter initially sat beside the child explaining the  
270 task and, if necessary, demonstrating the first throw before inviting children to try for  
271 themselves. After the eighth trial (third subject throw), experimenters complimented children  
272 on their performance and told them they had to do some paper work, taking a seat behind the  
273 child (while children completed the acclimatization round). The “acclimatization” round  
274 alternated between 9 “my turn” events (ball is thrown to participant), 9 “ball-toss” events  
275 (participant throws the ball) and 12 “not my turn” events (ball is passed between co-players).

276 For the second experimental round of Cyberball, the experimenter immediately took a  
277 seat behind the child, pretending to work. The round was divided into a brief initial inclusion  
278 period of 9 trials for all children (3 “my turn”, 3 “ball toss”, 3 “not my turn” events)  
279 seamlessly transitioning into exclusion (2 “my turn” events, 2 “throw events”, and 35 “not my  
280 turn” events). The exclusion and accidental conditions only differed in the two final  
281 screenshots appearing after the final ball-pass in the accidental condition. In the accidental  
282 condition, a first screenshot suggested that an error had occurred in red capital letters.  
283 Experimenters read this information out loud to children and terminated screenshots using the  
284 spacebar. The second screenshot showed a figure holding two disconnected ends of a red  
285 cable. To match this screenshot, response pads were connected to computers with a red  
286 sparkling USB cable and experimenters tampered with this cable when the second screenshot  
287 appeared. They also asked children if they had only received few balls, and told them that the  
288 other players could not toss the ball to them because the cable was disconnected. After the  
289 second set of story-stems and the puppet interview, all children played a third 38-trial over-  
290 inclusion round (16 “my turn”, 15 “ball toss”, and 6 “not my turn” events).

291 Crowley et al.’s (2010) version of Cyberball adds a number of child-friendly features.  
292 For example, a pre-recorded female narrator asks the child to pick their favorite from a

293 selection of six baseball gloves before the game commences. For each throw the ball travels  
294 in one of many arcs from player to player (e.g., curved line), accompanied by a variety of  
295 swoosh sounds. Names and pictures of co-players were displayed above their gloves. Pictures  
296 of co-players were age and gender-matched, drawing on a picture bank of neutral child faces.  
297 Besides adding a new narrator to this version, we aimed to scaffold understanding of game  
298 controls. Thus, each time the subject caught the ball, names of co-players changed colors  
299 from white to red and blue to match the color of the respective button children had to press to  
300 throw the ball to that player (see **Figure 1**).

301 **Story-stem administration.** Following the MacArthur Story-Stem method  
302 (Bretherton & Oppenheim, 2003; Emde et al., 2003), standardized story-completions, enacted  
303 with Lego® DUPLO® figures, were used to elicit narratives from each child. Trained  
304 experimenters presented story beginnings to children following a standardized script before  
305 they asked children to “tell and show me what happens next”. Experimenters employed  
306 standardized prompts if children failed to address the problem presented in the stem. Before  
307 playing the acclimatization round of Cyberball, children completed a positively themed  
308 warm-up stem about a child’s birthday to check engagement and introduce all characters  
309 (Emde et al., 2003). Before and after the experimental Cyberball round children first  
310 completed a stem themed with peer-exclusion (“Sandbox”, “Snowman”) followed by a stem  
311 themed with peer-victimization (“Fight with a friend”, “Favorite Chair”; Hill et al., 2007;  
312 Warren, 2003). Exclusion-themed stems were newly developed for this study (see  
313 **Supplement**). We counterbalanced stems to baseline and experimental phases, so that each  
314 stem occurred equally often before and after exclusion. To standardize temporal gaps between  
315 stories and Cyberball, children were allowed to narrate stories for up to three minutes each.

316 **Story-stem coding.** All stories were transcribed and scored drawing on two different  
317 coding manuals and extensions of these systems (Hill et al., 2009; Robinson, Mantz-  
318 Simmons, Macfie, Kelsay, & The MacArthur Narrative Group, 2002). All ratings were

319 completed individually for each narrative from verbatim transcripts. Raters remained blind to  
320 the condition of subjects, other narratives of that child, order in which the stems were  
321 administered, and all other subject information. Raters received training from authors and/or  
322 experts of the respective coding systems. A second rater double-coded a random sample of  
323 25% of stories (ICCs: .61 –.93).

324         Based on the first manual (Robinson et al., 2002) and in line with previous studies  
325 (von Klitzing et al., 2007), a composite of affiliative themes was formed for each story,  
326 involving empathy or helping (e.g., character puts band aid on other character), affection (e.g.,  
327 characters hug), sharing (e.g., characters share items), reparation (e.g., character apologizes)  
328 and affiliation (e.g., characters play together) between characters. The presence of each theme  
329 was coded in a story and summed to a maximum score of five per story (*affiliation*). Each  
330 instance of affiliation was also coded in a new character-specific fashion. Two separate  
331 character-specific affiliative codes were derived by identifying the beneficiaries or recipients  
332 of each affiliative action, to create two separate affiliative codes. Affiliative actions were  
333 summed with the victimized party as recipients (*victim-directed affiliation*) and peers who  
334 perpetrated victimization as recipients (*perpetrator-directed affiliation*).

335         Based on a second coding manual (Hill et al., 2009), we coded the extent to which  
336 children globally portrayed characters as intentional agents (*intentionality*), i.e., as if they  
337 were goal-directed and had mental states (see Hill et al., 2007; Hill et al., 2008). Extending  
338 Hill et al.'s manual, we summed explicit intentional or mental state words children used to  
339 describe story-characters (e.g., “She *wants* to play with her in the snow.”) to create a score for  
340 *mental state language* (global MSL) per story. To create a new set of character-specific scores  
341 we determined whether the child described a mental state of the victimized character (*victim-*  
342 *focused MSL*) or the characters perpetrating the victimization (*perpetrator-focused MSL*).

343         Additionally, we scored *aggression* between characters (Hill et al., 2009). Aggression  
344 assesses the extent to which children portray characters as acting aggressively towards one

345 another, with higher scores reflecting more severe aggression. For example, verbal aggression  
346 usually scores in the lowest range (1-3), minor physical aggression in the intermediate range  
347 (4-6) while severe aggression resulting in injuries or even death rate in the high (7-9) or  
348 highest range (10-12), respectively.

349 To gain a more complete picture of narratives, we also scored story-quality  
350 (*coherence*) following a coding manual (Hill et al., 2009) and derived *word counts* from  
351 transcripts using a standard software package (Pennebaker, Booth, & Francis, 2007).  
352 **Preschool Ostracism Puppet Interview (POPI; White et al., in preparation).** We used a  
353 puppet interview protocol informed by the Berkeley Puppet Interview (Ablow & Measelle,  
354 1993) to assess the extent to which children attributed bad intentions to their fellow players.  
355 Puppets claimed they had played the game as well and made opposing attributional statements  
356 regarding motives of their co-players (4 items; “I think the other boys/ girls wanted to tease  
357 me” vs. “I don’t think the other boys/ girls wanted to tease me”). Interviews were videotaped  
358 and coded on 7-point scales (higher scores indicating stronger attribution of bad intentions;  
359 Cronbach’s  $\alpha = .92$ ). Over 25% of interviews were double-coded ( $n = 12$ ; ICC = 1.00). Due to  
360 time-constraints, two children did not complete the interview.

### 361 **Data-analysis**

362 We compared attribution of bad intentions by children in the exclusion and accidental  
363 conditions using analysis of variance (ANOVA). To compare conditions in regard to changes  
364 in global narrative codes from pre- to post-Cyberball on affiliation, MSL, aggression,  
365 intentionality, coherence, and word-count, we conducted a series of mixed-design ANOVAs,  
366 with time (pre- to post-Cyberball) as within-subject factor, and condition as between-subject  
367 factor. To analyze character-specific affiliation and MSL, we conducted two mixed-design  
368 ANOVAs, with time (pre- to post-Cyberball) and story-character (victim, perpetrator) as  
369 within-subject factors, and condition as between-subject factor. For all analyses, we averaged  
370 scores on peer-exclusion and peer-victimization stories before and after the manipulation after



371 ensuring absence of Time by Condition by Story Type interactions. In a final step, we entered  
 372 pre-post change in word count as a covariate in analyses of global narrative codes that yielded  
 373 Condition X Time interactions, to ensure their independence of changes in story-length. The  
 374 PROCESS macro (Hayes, 2013) was used to assess if changes in intentionality or MSL  
 375 mediated effects of regular vs. accidental exclusion on changes in affiliative themes. Post-  
 376 Cyberball affiliation and intentionality/ MSL scores were entered as independent and  
 377 mediator variables, respectively, while pre-Cyberball scores functioned as covariates. We  
 378 conducted ordinary least squares (OLS) path analyses using 10,000 bootstrapping samples, a  
 379 bias-corrected 95% confidence interval (CI), and omitted covariates to compute Preacher and  
 380 Kelley's (2011)  $\kappa^2$  as an effect size (small: .01 - .089, intermediate: .09-.249, large:  $\geq .25$ ).

## 381 Results

### 382 Manipulation check

383 An ANOVA revealed that excluded children attributed more bad intentions to their co-  
 384 players, compared to children in the accidental condition,  $F(1, 32) = 7.436, p = .010, \eta_p^2 =$   
 385  $.189; M_{excl}=4.094; SD_{excl}=1.837; M_{accid}=2.625; SD_{accid}=1.284$ ). This finding provides validity  
 386 information regarding the accidental condition, supporting that preschoolers make distinctions  
 387 between types of exclusion based on intentions of excluders.

### 388 Effects of exclusion on story-completions

389 To test our hypotheses that exclusion would give rise to an increase in affiliation,  
 390 intentionality, and mental state language compared to the accidental condition, a series of 2  
 391 (Condition) by 2 (Time) repeated measures ANOVAs were performed (see **Table 1** for  
 392 descriptives,  $F$ -values and effect sizes). No main effects of Condition or Time emerged for  
 393 affiliation, intentionality, or MSL ( $p > .12$ ). Confirming our hypotheses, Condition X Time  
 394 interactions were detected indicating greater increases after exclusion for affiliation ( $p < .001$ )  
 395 as well as MSL ( $p = .004$ ) and intentionality ( $p = .001$ ) compared to the accidental condition  
 396 (see **Figure 2**). Condition X Time Interaction effects on affiliation, MSL, and intentionality

397 were robust to controlling for pre- to post-word count changes ( $ps < .014$ ). The same analyses  
398 were conducted for coherence, aggression, and word count. Coherence yielded a main effect  
399 of time ( $p = .025$ ), but neither an effect of condition ( $p = .652$ ), nor a Condition X Time  
400 interaction ( $p = .593$ ). No Condition X Time interactions emerged for word count ( $p = .131$ )  
401 or aggression ( $p = .626$ ; see **Table 1**).

402 To test our hypothesis that excluded children, but not controls, would preferentially  
403 direct affiliation towards the victim of the story a 2 (Time) by 2 (Condition) by 2 (Character:  
404 victim or perpetrator) mixed-design ANOVA was performed. For affiliation, we detected a  
405 Condition X Time interaction,  $F(1,34) = 11.900, p = .002, \eta_p^2 = .259$ , which was further  
406 moderated by Condition X Time X Character interaction,  $F(1, 34) = 5.100, p = .030, \eta_p^2 =$   
407  $.130$ . Two follow-up 2 (Time) by 2 (Condition) ANOVAs, revealed Condition X Time  
408 interactions for affiliation that was victim-directed ( $p = .001$ ), but only at trend-level for  
409 affiliation that was perpetrator-directed ( $p = .057$ ). This pattern of results suggested that  
410 excluded children increased victim-directed affiliation, but not perpetrator-directed affiliation  
411 compared to children in the accidental condition (see **Figure 3, left-hand panels**). For MSL,  
412 we also performed a 2 (Time) by 2 (Condition) by 2 (Character: victim or perpetrator) mixed-  
413 design ANOVA. Here, we detected a Condition X Time interaction,  $F(1,34) = 9.047, p =$   
414  $.005, \eta_p^2 = .210$ , but no evidence for a Condition X Time X Character interaction,  $F(1,34) =$   
415  $.468, p = .499, \eta_p^2 = .014$ . This pattern of results indicated that excluded children increased  
416 victim-directed and perpetrator-directed MSL to a comparable extent relative to children in  
417 the accidental condition (see **Figure 3, right-hand panels**).

418 From simple mediation models employing OLS path analysis, we found evidence that  
419 regular vs. accidental exclusion generated an increase in affiliation through their indirect  
420 effects on intentionality (CI for indirect effect:  $-.416$  to  $-.017$ ) as well as MSL (CI for indirect  
421 effect:  $-.385$  to  $-.044$ ). The mediation effects were medium to large for intentionality ( $\kappa^2 =$   
422  $.201$ ; CI =  $.053$  to  $.395$ ) and MSL ( $\kappa^2 = .165$ ; CI =  $.052$  to  $.332$ ).

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**Study 2**

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**Sample**

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In Study 2 aimed to test the proposal that childhood anxiety may coincide with stress-induced deficits in mentalizing (e.g., Nolte et al., 2011). Accordingly, we predicted that children with anxiety disorders would exhibit a decline in depicting story-characters using MSL and intentionality after exclusion compared to controls. In this study, we thus exposed all children to regular exclusion and examined its effect as a function of anxiety. Concerning affiliative themes, we did not make specific predictions because the research is inconsistent, with some work suggesting that anxious children are highly motivated to be accepted by others (Banerjee, 2008), but other research indicating that individuals with (social) anxiety have trouble enacting reconnection behaviors after exclusion (Mallott, Maner, DeWall, & Schmidt, 2009). For this study, we also broadened our age-range as compared to Study 1. We did this, first, because we aimed to provide initial evidence that the patterns documented in Study 1 are not circumscribed to preschoolers, but also generalize to older children. Second, pragmatic reasons also played a role as the recruitment of clinically referred young children with diagnosed anxiety disorders also posed a challenge.

Twenty clinically referred 4 to 8-year-olds with anxiety disorder (AD) participated in this study prior to enrollment in a treatment-evaluation study (see Göttken, White, Klein, & von Klitzing, 2014). Following referral by a senior child psychologist of the outpatient services, presence of AD was independently established by a trained researcher using a diagnostic interview with the parent (see below). As a control group, 15 non-referred age- and gender-matched children were recruited via telephone from a group of volunteers for studies of child development. All children of the comparison group scored below the clinical cut-off of the emotional symptoms subscale of the Strengths and Difficulties Questionnaire (SDQ; Goodman, 1997; see below), which assesses anxiety and mood symptoms. The control group (hereafter referred to as nonanxious children or controls) was also comparable to the AD group in regard to

449 years of parental schooling as well as rate of parental separation (**see Table 1**). All children in the  
450 AD group were recommended for enrollment in a treatment-evaluation study (see Göttken, White,  
451 Klein, & von Klitzing, 2014). Ethical approval was obtained from Leipzig University's  
452 institutional review board.

### 453 **Procedure**

454 All steps matched the regular exclusion condition of Study 1, with the following  
455 exceptions: AD children completed a puppet interview on their symptoms (not analyzed herein)  
456 prior to engaging in the procedure. To minimize the time-burden for AD children, the POPI was  
457 omitted after completion of the second set of story beginnings.

### 458 **Measures**

459 **Cyberball.** The identical set-up was used as for the exclusion condition in Study 1.

460 **Story-stem narratives.** Administration (e.g., counterbalancing) and coding procedure of  
461 child narratives matched Study 2 in all regards, except the following: Coding was limited to  
462 hypothesis-related dimensions of affiliation, aggression, coherence, intentionality, and mental  
463 state language (MSL). A random sample of 20% of the present stories were double-coded by  
464 trained coders (ICCs: .66-.86).

### 465 **Psychiatric disorders and symptoms**

466 **Preschool Age Psychiatric Assessment (PAPA).** The interviewer-based *Preschool Age*  
467 *Psychiatric Assessment* (PAPA; Egger & Angold, 2004) was administered to mothers of the AD  
468 group. The PAPA is a 2-3 hour structured clinical interview to assess DSM-IV criteria of  
469 preschool and young school-age children below age 9 (Egger, 2012, personal communication).  
470 Across a three-month primary period, mothers report frequency, duration and onset of child  
471 psychiatric symptoms to the interviewer. After entering all data into the electronic interview  
472 interface of the PAPA, algorithms designed by the developers of the PAPA and implementing  
473 DSM-IV criteria generate symptom scores and categorical diagnoses. The PAPA was translated  
474 and adapted between 2009-2010 by a research group at the University of Leipzig, assisted by the  
475 US PAPA authors. PAPA modules included in this study were: Oppositional Defiant Disorder

476 (ODD), Conduct Disorder (CD), Depression (D), Social and Specific Phobia (SOP; SP), General  
477 Anxiety Disorder (GAD), and Separation Anxiety Disorder (SAD). A high degree of inter-rater  
478 reliability was established on primary diagnoses and subthreshold diagnoses (kappa coefficient =  
479 .92; range: .62-1.00; Göttken et al., 2014). The PAPA has shown good test-retest reliability and  
480 construct validity (Egger & Angold, 2006; Egger et al., 2006).

481 **Strengths and Difficulties Questionnaire.** All caregivers completed the 25-item  
482 Strengths and Difficulties Questionnaire (SDQ; Goodman, 1997) – a commonly used child-  
483 psychiatric screener that yields symptom scores for emotional symptoms (i.e., anxiety and mood  
484 symptoms), conduct problems, hyperactivity, and peer problems. Validity and adequate reliability  
485 for English and German versions were established in several studies (Goodman, 2001; Klein,  
486 Otto, Fuchs, Zenger, & von Klitzing, 2013), for example, showing significant overlap between  
487 clinician-rated emotional disorders and parent-rated emotional symptoms (Becker, Woerner,  
488 Hasselhorn, Banaschewski, & Rothenberger, 2004). To screen the control group negative for  
489 anxiety symptoms, the Emotional symptoms subscale was checked to ensure that all controls  
490 scored below the clinical cut-off of 5, established within a representative German sample  
491 (Woerner, Rothenberger, & Becker, 2004).

#### 492 **Verbal competence**

493 Receptive verbal ability was assessed using the picture-based Peabody Picture Vocabulary Test-  
494 Revised (PPVT-R; Dunn & Dunn, 1981) to ensure that groups were comparable in terms of verbal  
495 competence.

#### 496 **Data-analysis**

497 First, to confirm successful matching, anxiety-disordered children and controls were  
498 compared on all demographic factors and verbal competence using  $\chi^2$  and a series of one-way  
499 analyses of variance (ANOVA). For the main analyses, a series of two-way 2 (Time: Pre- vs.  
500 Post-exclusion) by 2 (Group: AD group vs. Controls) mixed-design analyses of variance  
501 (ANOVA) were conducted to assess group by time interactions on intentionality, mental state

502 language, coherence, aggression and affiliation.<sup>2</sup> Significant interactions were followed up with  
 503 separate one-way repeated measures ANOVAs in both groups to analyze whether effects of time  
 504 (Time: Pre- vs. Post-exclusion) in the AD or the control group or both accounted for the results.

### 505 **Results**

506 Children with anxiety disorders were comparable to non-anxious controls on child age,  
 507 gender, verbal competence, rate of parental separation, and parental education (all  $p$ s > .10; see  
 508 Table 2). To compare AD children with controls on pre- to post-exclusion changes in narrative  
 509 dimensions (prosociality, aggression, coherence, intentionality, MSL), a series of mixed-design  
 510 ANOVAs were conducted (see **Table 3** for means, standard deviations, and test statistics). For  
 511 intentionality and MSL, no main effects of group or time were observed, but, as predicted, an  
 512 interaction between group and time emerged for intentionality ( $p < .001$ ) and MSL ( $p < .006$ ),  
 513 showing that intentionality and MSL decreased from baseline to post-exclusion in the AD group,  
 514 but increased for controls (see **Figure 4**). To check whether the interaction effect mainly derived  
 515 from the decrease in the AD group or the increase among controls, a post-hoc repeated measures  
 516 ANOVA was conducted separately for each group with time as within-group variable. This  
 517 revealed an increase in the non-anxious control group on intentionality,  $F(1, 14) = 13.55, p = .002,$   
 518  $\eta_p^2 = .492$ , and MSL,  $F(1, 14) = 6.175, p = .026, \eta_p^2 = .306$ , as well as decrease in the AD group on  
 519 intentionality,  $F(1, 19) = 10.322, p = .005, \eta_p^2 = .352$ , and trend for a decrease on MSL,  $F(1, 19) =$   
 520  $3.048, p = .097, \eta_p^2 = .138$ . Similarly, coherence also revealed a significant interaction effect ( $p <$   
 521  $.001$ ). Again, separate post-hoc repeated measures ANOVAs were conducted for each group with  
 522 time as within-group variable. This revealed both an increase in the control group,  $F(1, 14) =$   
 523  $11.455, p = .004, \eta_p^2 = .450$ , as well as a decrease in the AD group,  $F(1, 19) = 5.93, p = .022, \eta_p^2 =$   
 524  $.246$ . No main effects of group or time, or interactions between time and group emerged for  
 525 affiliation ( $p$ s > .23) and aggression ( $p$ s > .11).

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<sup>2</sup> Including story-type in a three-way 2 (Time: Baseline vs. Post-exclusion) by 2 (Group: AD group vs. Controls) by 2 (Story Type: exclusion vs. peer-conflict) mixed-design ANOVA, yielded no evidence of a three-way interaction. Therefore, as in Study 1, we collapsed children's scores across stories (i.e., using mean scores at baseline and post-exclusion).

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**Discussion**

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This research is the first to show that exclusion leads young children to shift how much they attend to others' mental states and that the extent to which they do so depends on their level of anxiety. Thus, exclusion, but not accidental exclusion, led typically developing preschoolers to tell stories that portrayed characters as intentional agents, with more references to characters' mental states, and increased affiliation between characters (Study 1). Conversely, young children with anxiety disorders were less likely to portray characters as intentional agents and made fewer references to story-characters' mental states after exclusion compared to a non-anxious control group who showed similar increases on these dimensions as in the first study (Study 2).

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Across Studies 1 and 2, we provide the field with first experimental data documenting young children's systematic moment-to-moment fluctuations in attention to others' mental states. During this crucial stage of development in understanding mental states, children already appear capable of flexibly increasing or decreasing mentalizing to meet the needs of a given situation. Indeed, exclusion may compel children to increase mentalizing, paving the way towards more effective reconnection (Pickett & Gardner, 2005), as suggested by the parallel increase in affiliative story-themes and their mediation by intentionality and MSL in Study 1. Moreover, considering the character-specific findings, children appear to monitor other minds broadly (victims and perpetrators alike), but direct their affiliative motivation specifically to those targets who are most open to cooperation (victims).<sup>3</sup> Excluded children's contemplation of the mental states of those around them may thus help them navigate towards target individuals who are most worthwhile to approach in order to restore a sense of

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<sup>3</sup> The victim-directed affiliation may also reflect an "attraction" to story-characters who share the subject's plight (i.e., victimization), resembling classic findings reporting that subjects expecting a novel threat preferred to wait with similarly threatened others, rather than others in a dissimilar situation (Gump & Kulik, 1997; Schachter, 1959). Potentially other excluded parties may afford especially promising targets for reconnection, as they may share the subject's desire to reconnect, given their equally excluded state.

548 connection. In turn, closely attending to a target's mental states may also facilitate post-  
549 exclusion affiliative behaviors by the excluded party, given that genuinely prosocial and  
550 cooperative actions demand that the actor keeps the needs and goals of the recipient in mind  
551 (Tomasello, 2014). In that sense, excluded children may be thought of as adopting a  
552 "cooperative mindset".

553 A distinct, but related interpretation of our data may suggest that exclusion prompted  
554 children to more strongly anthropomorphize story-characters in an attempt to cope with  
555 exclusion. Indeed, other studies have documented that exclusion or a dispositionally high  
556 need for inclusion leads individuals to anthropomorphize ambiguous or inanimate agents, thus  
557 augmenting the perception of social connection (Epley, Akalis, Waytz, & Cacioppo, 2008;  
558 Powers, Worsham, Freeman, Wheatley, & Heatherton, 2014). Scholars have speculated that  
559 these patterns may assist excluded individuals in seeking solace in imaginary "parasocial"  
560 relationships or reflect adjustment of information-processing thresholds after exclusion to  
561 seek out new partners in more places (Knowles, 2013; Molden & Maner, 2013). We would  
562 suggest that this account complements the view that excluded children adopt a "cooperative  
563 mindset", in that increased mentalizing (or anthropomorphizing) after exclusion may prepare  
564 children should opportunities for reconnection arise.

565 However, adopting a "cooperative mindset" does not appear to be a universal response  
566 to exclusion. Indeed, young children with anxiety disorders instead showed a decline in  
567 attending to mental states after exclusion. This deficit in mentalizing upon social threat  
568 therefore provides one potentially important reason why anxious children may have trouble  
569 applying their intact mentalizing skills to affectively charged social situations (see Banerjee,  
570 2008). Excessive negative arousal, typical of childhood anxiety, may interfere with controlled  
571 mentalizing, potentially resulting in a more automatic mode of mentalizing after exclusion,  
572 coinciding with reflexive assumptions about others' internal states (Fonagy & Luyten, 2009).



573           Notably, we recently reported neural data suggesting that insecure attachment  
574 strategies lead children to respond to the Cyberball paradigm with more excessive and  
575 enduring negative expectations regarding re-inclusion than securely attached children (White,  
576 Wu, Borelli, Mayes, & Crowley, 2013; White et al., 2012). The present anxiety-related drop  
577 in mentalizing could set the stage for an over-extension of these negative expectations to other  
578 encounters after exclusion. Specifically, anxious children might effectively be making  
579 unjustified, reflexive, and sweeping assumptions about the mental attitudes of others towards  
580 themselves (automatic mentalization) that promotes generalization of their own negative  
581 views of themselves, others, and the world (“Nobody will ever let me back in”). Inasmuch as  
582 reduced mentalizing may then, in turn, impede affiliation after exclusion, it may partly  
583 explain why childhood anxiety is associated with increased risk for peer rejection in many  
584 studies (e.g., von Klitzing et al., 2014; Perren, von Wyl, Stadelmann, Bürgin, & von Klitzing,  
585 2006). Indeed, given that most individuals get exposed to exclusion at some point or another  
586 (Nezlek, Wesselmann, Wheeler, & Williams, 2012) – perhaps especially so in early childhood  
587 when children are less socially skilled and exclusion may even occur accidentally (Monks,  
588 2011) – much may depend on the capacity to recover from exclusion once it has transpired.

#### 589 *Limitations and future directions*

590           First, it may seem surprising that anxious children did not also evidence diminished  
591 affiliative themes in their story-completions in Study 2. However, scholars frequently caution  
592 against equating portrayals in story-completions with the actual experiences they denote (e.g.,  
593 Bretherton & Oppenheim, 2003). The exclusion-induced increase in affiliative portrayals in  
594 Study 1 may thus potentially signify a behavioral disposition of the excluded child or a wish  
595 for such behavior from others, rather than the behavior or experience itself. Perhaps anxious  
596 children preserve their wish and motivation to be accepted by others, despite a failure to act  
597 accordingly to reach this goal (Banerjee, 2008), which would reconcile our findings with data  
598 showing diminished post-exclusion reconnection behaviors among socially anxious adults

599 (Mallott et al., 2009). Given that we have shown that social exclusion impacts what children  
600 “think about”, future work may examine how attention to mental states relates to what they  
601 actually do, for instance, if given an opportunity to “reunite” (White et al., 2013) or if  
602 aggressive options are available (Warburton, Williams, & Cairns, 2006).

603         Second, our data also raise important questions regarding the exclusion-specificity of  
604 the observed changes in mentalizing for typically developing and anxious children. To draw  
605 conclusions on this issue, we would need to compare effects of various types of stressors  
606 (e.g., negative pictures, tackling unsolvable tasks, losing a game). However, we speculate that  
607 other social-evaluative stressors (e.g., giving a presentation to an audience) would also  
608 generate similar results. Indeed, even non-social threat may sometimes kindle an affiliative  
609 motivation (Schachter, 1959), and may therefore, by extension, also lead to elevated  
610 mentalizing among healthy individuals. Future research could attempt to disentangle the  
611 effects of arousal and affiliative motivation in different populations.

612         Third, in a related vein, future research should also aim to specify the dispositional  
613 factors that influence context-dependent shifts in mentalizing. Indeed, in other work using the  
614 story-completion method, conduct disorders and externalizing symptoms have also been  
615 associated with reduced portrayals of characters as intentional agents, but only in stories with  
616 distressing themes (Hill et al., 2007; Hill et al., 2008). In keeping with recent proposals,  
617 stress-induced mentalizing deficits may therefore reflect a transdiagnostic vulnerability to  
618 mental disorder, rather than a vulnerability specific to anxiety (see Fonagy et al., 2016).  
619 Future work could examine children with other clinical problems that promote high arousal  
620 under challenge (e.g., aggression), likely impeding children in bouncing back from rejection.

621         Fourth, it is also noteworthy that unlike some behavioral data in adults (Twenge et al.,  
622 2001), we did not observe any increases in aggressive story-themes in our data either among  
623 typical or anxious young children. Interestingly, this corresponds to a finding in our previous  
624 study, showing that preschoolers in contrast to adults do not feel threatened in their subjective

625 sense of control by exclusion (White et al., in preparation). Notably, control-threat has been  
626 identified as the single-most important mediator of aggressive responses to exclusion, as  
627 excluded individuals act aggressively to regain a sense of agency and influence over events  
628 (Gerber & Wheeler, 2009). Potentially, during this early period when children are still gaining  
629 familiarity with peer interactions and may show greater generosity than at later stages (Fehr,  
630 Bernhard, & Rockenbach, 2008), peer exclusion may serve as a stronger suppressant of  
631 aggression than at later stages (Barner-Barry, 1986). More generally, this null-finding  
632 additionally strengthens our conclusion that the increases in mentalizing observed here  
633 primarily occurred in the context of a motivation to reconnect. Yet, a sample which included  
634 dispositionally aggressive children may potentially yield increases in aggressive story-themes.

635 Fifth, in this study we used a story-completion measure to assess the degree to which  
636 children engage in mentalizing following exclusion. However, it is conceivable that other  
637 measures of mentalizing, such as standard false belief tasks that tap into the capacity to infer  
638 beliefs that contrast with the child's own knowledge (Wellman, 2014), may yield divergent  
639 results. For a more complete picture, researchers should also aim to administer such tasks  
640 before and after exclusion in future studies.

641 Sixth, future work should also assess healthy and anxious children's responses to  
642 inclusion conditions. For the present study, an inclusion condition was primarily deemed less  
643 appropriate, given that previous studies document that inclusion cues may also promote  
644 cooperation and trust (Hillebrandt, Sebastian & Blakemore, 2011; Over & Carpenter, 2009a).  
645 Therefore, inclusion may prove suboptimal as a control condition to examine reconnection  
646 responses to exclusion. However, inclusion responses may be of interest in their own right.

647 Finally, a set of alternative interpretations also deserve attention. Thus, it might be  
648 suggested that children merely ponder mental states of others after exclusion because they are  
649 wondering why they were excluded. Indeed, Cyberball is a causally ambiguous task  
650 (Williams & Zadro, 2005), i.e., participants are not informed why their co-players stopped

651 passing them the ball. However, if increased mentalizing merely reflected a wish to  
652 understand the reasons for exclusion in Cyberball, excluded children would be expected to  
653 focus their attention more narrowly on mental states of perpetrators in their stories. Yet, we  
654 did not find evidence for this in Study 1. A second account might suggest that Cyberball gives  
655 children a firsthand experience of exclusion that leads to a better understanding of mental  
656 states of story-characters facing similar situations. However, if this were the sole explanation,  
657 excluded children might primarily be expected to better understand mental states of the story-  
658 victim. Instead, we observed an increase in mentalizing in relation to victims *and* perpetrators.  
659 Notably, we are not claiming that neither of these social-cognitive processes operate after  
660 exclusion. Rather, we are suggesting that they are unlikely to fully explain our pattern of  
661 findings. Indeed, neither of these *lean* interpretations of our data are easily reconciled with the  
662 fact that intentionality and mental state language mediated the effect of exclusion on  
663 affiliative story-themes in Study 1, suggesting that mentalizing in this context provides a  
664 means for reconnection and that young children may already flexibly adapt their level of  
665 mentalizing to match their affiliative goals.

#### 666 *Conclusion*

667 A developmental theory of mental state understanding is incomplete as long as we  
668 know relatively little about the circumstances and dispositions that determine the extent to  
669 which children actually use this competence or not. Our findings show that social exclusion  
670 offers an important stimulus for the usage of mentalizing from preschool age onwards. As  
671 excluded children weigh the benefits of reconnection (promotion) against the cost of potential  
672 further rejection (prevention; Molden & Maner, 2013), attending to others' mental states may  
673 provide a useful "mental reconnection tool" to vigilantly filter, approach, and re-engage with  
674 potential social partners. However, this "mental reconnection tool" may not be readily  
675 available to all children facing social exclusion. Thus, we showed that children with anxiety  
676 disorders exhibit a drop in mentalizing following exclusion. Given a general model of

677 mentalization and regulation of negative affect (Fonagy et al., 2002), it is likely that the  
678 process of impaired mentalizing under the social challenge of exclusion reflects a  
679 transdiagnostic vulnerability factor that more broadly lies at the core of developmental  
680 psychopathology.

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920 *Table 1.* Means and ANOVA results testing effect of condition (exclusion, accidental  
 921 exclusion) on global codes in pre- and post-Cyberball doll-play narratives in Study 1.

	Mean narrative score		ANOVA (df = 1, 34)					
	Pre	Post	Condition (C)		Time (T)		C x T	
	M (SD)	M (SD)	F	$\eta^2$	F	$\eta^2$	F	$\eta^2$
<i>Affiliation</i>			0.09	.003	2.56	.070	15.07***	.307
Exclusion	0.94 (0.70)	1.61 (1.09)						
Accidental	1.33 (0.79)	1.06 (0.97)						
<i>Aggression</i>			0.10	.003	3.41 <sup>†</sup>	.091	.24	.007
Exclusion	1.86 (0.98)	2.17 (1.70)						
Accidental	1.86 (1.00)	2.39 (1.12)						
<i>Mental state language</i>			2.09	.058	2.27	.063	9.52**	.219
Exclusion	0.67 (0.84)	1.56 (1.49)						
Accidental	0.83 (1.14)	0.53 (0.55)						
<i>Intentionality</i>			2.17	.014	2.07	.057	13.61***	.286
Exclusion	8.36 (1.54)	9.50 (1.99)						
Accidental	8.83 (1.70)	8.33 (1.27)						
<i>Coherence</i>			.208	.006	5.47*	.139	.29	.008
Exclusion	7.56 (1.68)	8.22 (1.99)						
Accidental	7.42 (1.95)	7.83 (1.86)						
<i>Word count</i>			.463	.013	1.65	.046	2.393	.066
Exclusion	46.44 (39.36)	56.06 (44.17)						
Accidental	43.86 (31.01)	42.97 (26.92)						

922 <sup>†</sup> p < .10. \* p ≤ .05. \*\* p ≤ .01. \*\*\* p ≤ .001.



924 *Table 2.* Demographic data of children with and without anxiety disorder in Study 2.

	<i>Anxiety Disorder</i> ( <i>n</i> = 20)	<i>Non-anxious Controls</i> ( <i>n</i> = 15)	<i>AD vs. NAC</i>	
<b>Demographic data</b>			<b>Test-statistic</b>	<b><i>p</i></b>
Mean child age in months (SD)	82.80 (15.41)	86.33 (13.52)	$F(1,33) = .50$	.485
% females	50.00	46.67	$\chi^2(1) = 1$	.845
% single parents	45.00	26.67	$\chi^2(1) = 1.23$	.267
Parental education (Median)	High School Diploma	University Degree	$U(33) = 78$	.107
Mean verbal score	75.80 (13.27)	81.55 (7.89)	$F(1,33) = 2.22$	.146

925

926 *Table 3.* Means and ANOVA results testing effect of group (anxious, non-anxious) on global  
 927 codes in pre- and post-Cyberball doll-play narratives in Study 2.

	Mean narrative score		ANOVA (df = 1, 33)					
	Pre	Post	Condition (C)		Time (T)		C x T	
	M (SD)	M (SD)	F	$\eta^2$	F	$\eta^2$	F	$\eta^2$
<i>Affiliation</i>			.82	.024	1.47	.043	.078	.002
Anxious	1.38 (.84)	1.50 (.74)						
Non-anxious	1.60 (1.00)	1.80 (.98)						
<i>Aggression</i>			2.05	.059	1.60	.046	2.59	.073
Anxious	3.30 (2.63)	3.20 (2.51)						
Non-anxious	1.90 (1.00)	2.73 (1.27)						
<i>Mental state language</i>			.12	.003	.24	.007	8.52**	.205
Anxious	1.32 (1.24)	.77 (.72)						
Non-anxious	0.75 (.68)	1.53 (1.36)						
<i>Intentionality</i>			1.63	.047	.82	.024	17.69***	.349
Anxious	9.95 (1.69)	8.40 (2.19)						
Non-anxious	9.27 (1.05)	10.27 (.98)						
<i>Coherence</i>			2.56	.072	.10	.003	15.45***	.319
Anxious	8.43 (2.00)	7.40 (2.74)						
Non-anxious	8.33 (1.29)	9.53 (1.56)						
<i>Word count</i>			1.28	.037	2.93 <sup>†</sup>	.082	.133	.004
Anxious	97.00 (61.72)	107.88 (87.20)						
Non-anxious	70.97 (44.58)	87.73 (44.58)						

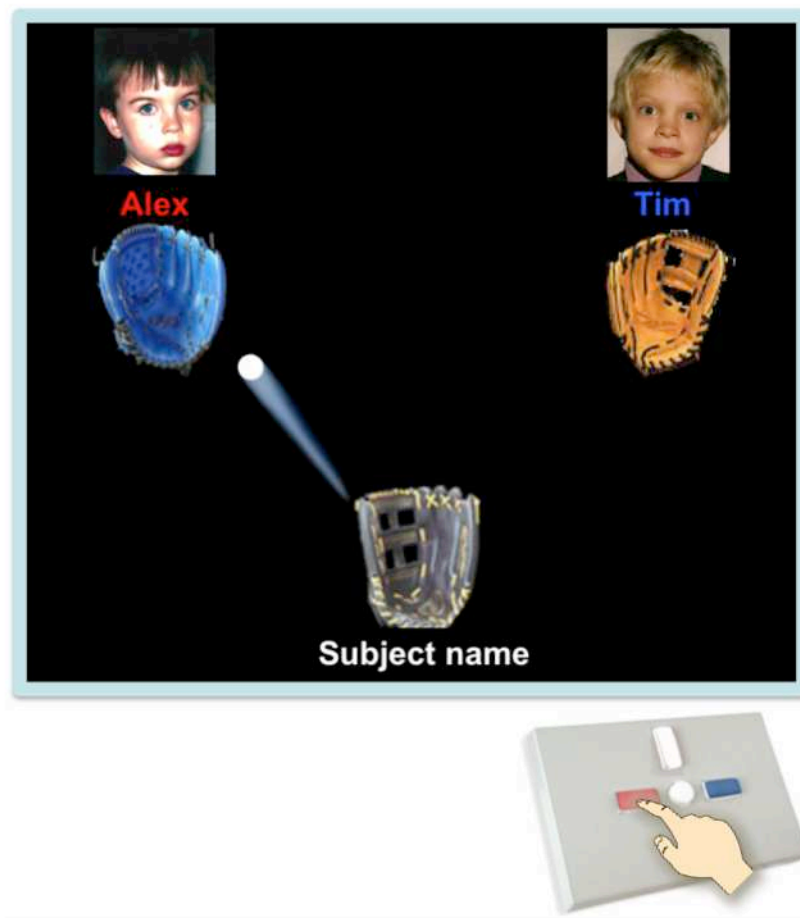
928 <sup>†</sup>p < .10. \* p ≤ .05. \*\* p ≤ .01. \*\*\* p ≤ .001.

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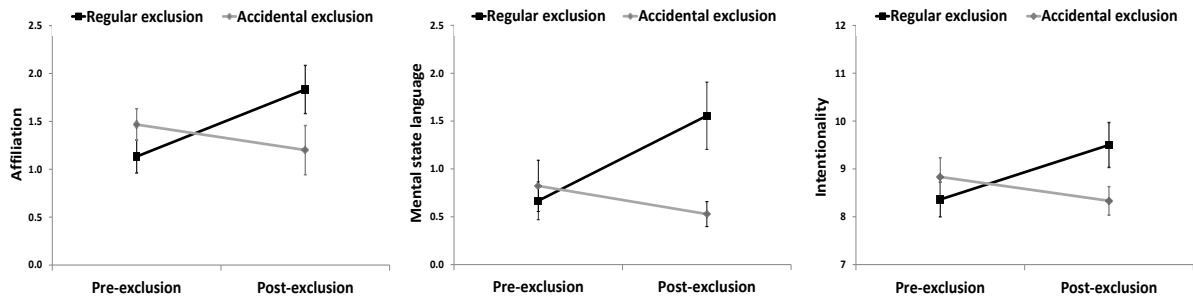


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934 *Figure 1.* Children played Cyberball ostensibly with two other children whose photographs  
935 were displayed on the screen, using the red button to pass to the left player (name displayed in  
936 red) or the blue button to pass to the right player (name displayed in blue). The children  
937 depicted in this figure are now adults and have provided their written consent for the  
938 publication of these identifiable images.

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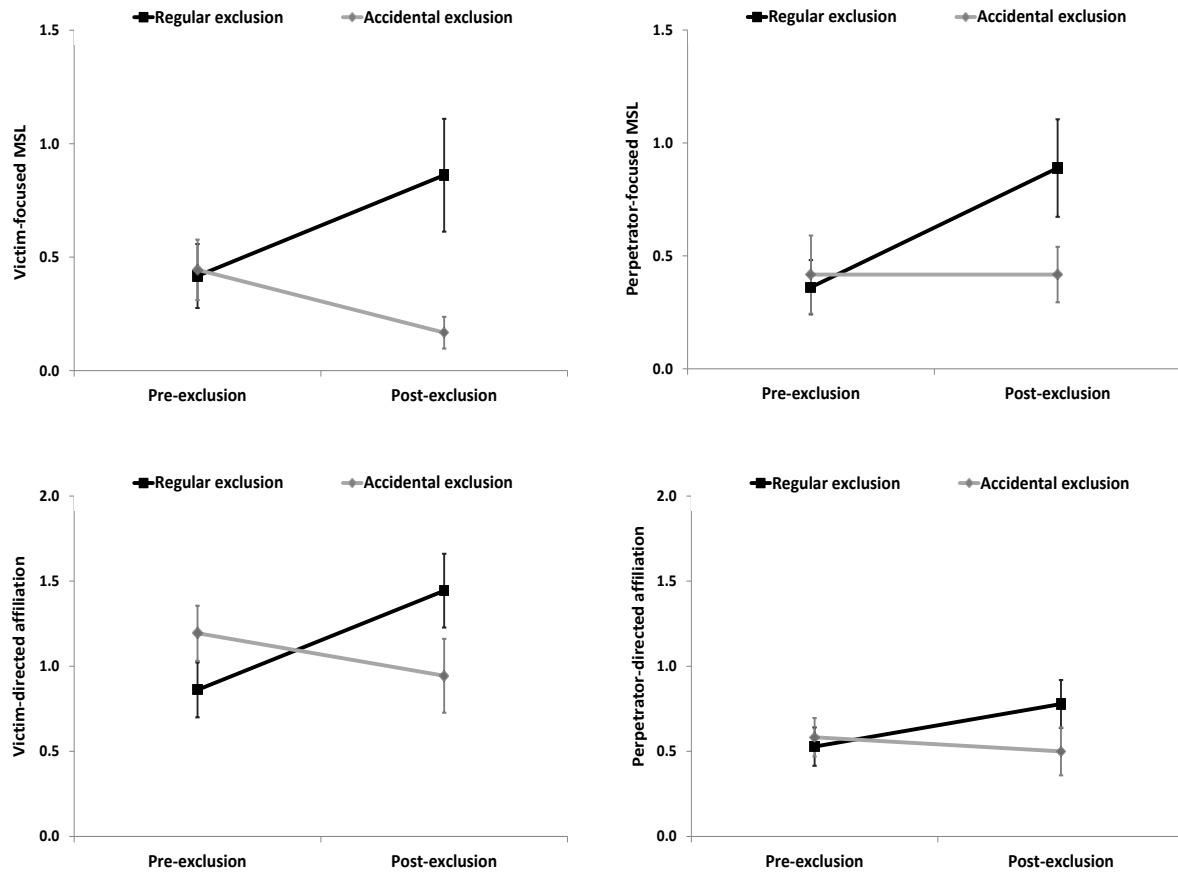


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942 *Figure 2.* Changes in children's narrative portrayals of global affiliation, mental state

943 language, and intentionality in the exclusion compared to the accidental condition in Study 1.

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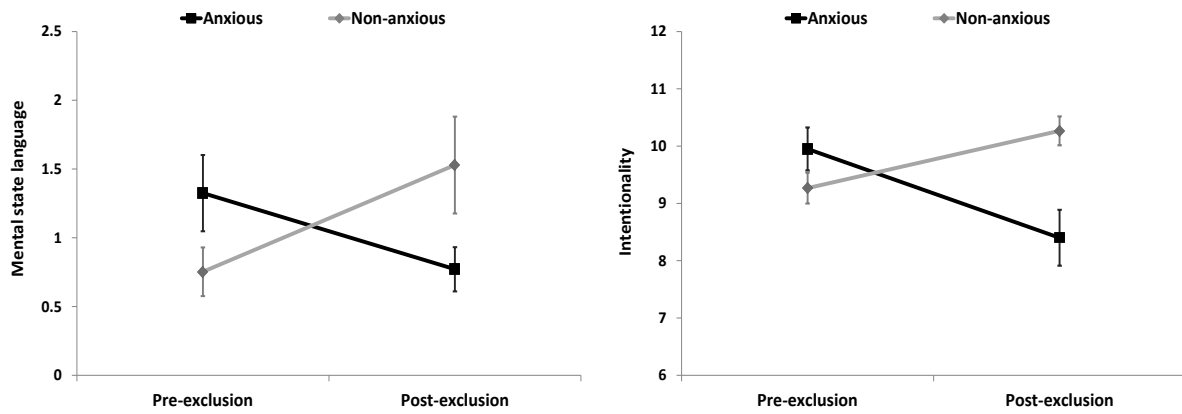
946 *Figure 3.* Change in children’s narrative portrayals of victim- and perpetrator-focused mental

947 state language and victim- and perpetrator-directed affiliation and in the exclusion compared

948 to the accidental condition in Study 1.

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952 *Figure 4.* Changes in anxious and non-anxious children’s narrative portrayals of mental state

953 language and intentionality in Study 2.

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