ORIGINAL RESEARCH ARTICLE

The Cost-Effectiveness of Antibiotic Prophylaxis for Patients at Risk of Infective Endocarditis

BACKGROUND: In March 2008, the National Institute for Health and Care Excellence recommended stopping antibiotic prophylaxis (AP) for those at risk of infective endocarditis (IE) undergoing dental procedures in the United Kingdom, citing a lack of evidence of efficacy and cost-effectiveness. We have performed a new economic evaluation of AP on the basis of contemporary estimates of efficacy, adverse events, and resource implications.

METHODS: A decision analytic cost-effectiveness model was used. Health service costs and benefits (measured as quality-adjusted life-years) were estimated. Rates of IE before and after the National Institute for Health and Care Excellence guidance were available to estimate prophylactic efficacy. AP adverse event rates were derived from recent UK data, and resource implications were based on English Hospital Episode Statistics.

RESULTS: AP was less costly and more effective than no AP for all patients at risk of IE. The results are sensitive to AP efficacy, but efficacy would have to be substantially lower for AP not to be cost-effective. AP was even more cost-effective in patients at high risk of IE. Only a marginal reduction in annual IE rates (1.44 cases in high-risk and 33 cases in all at-risk patients) would be required for AP to be considered cost-effective at £20 000 ($26 600) per quality-adjusted life-year. Annual cost savings of £5.5 to £8.2 million ($7.3–$10.9 million) and health gains >2600 quality-adjusted life-years could be achieved from reinstating AP in England.

CONCLUSIONS: AP is cost-effective for preventing IE, particularly in those at high risk. These findings support the cost-effectiveness of guidelines recommending AP use in high-risk individuals.

Matthew Franklin, PhD
Allan Wailoo, PhD
Mark J. Dayer, MBBS, PhD
Simon Jones, PhD
Bernard Prendergast, DM
Larry M. Baddour, MD
Peter B. Lockhart, DDS
Martin H. Thornhill, MBBS, BDS, PhD

Correspondence to: Martin H. Thornhill, MBBS, BDS, PhD, Unit of Oral and Maxillofacial Medicine and Surgery, School of Clinical Dentistry, University of Sheffield, Sheffield, S11 9PW, United Kingdom. E-mail m.thornhill@sheffield.ac.uk

Clinical Perspective

What Is New?

- This study uses recent data to evaluate the cost-effectiveness of antibiotic prophylaxis (AP) in preventing infective endocarditis (IE).
- It demonstrates that AP before invasive dental procedures for those at moderate or high risk of IE is very cost-effective, in fact, cost saving.
- Cost-effectiveness is even greater when AP is confined to those at high risk of IE.
- For high-risk individuals, AP is cost-effective even if it only prevents 1.44 cases of IE per year.

What Are the Clinical Implications?

- If AP was reinstated in England for those at moderate or high risk of IE, it could save £5.5 to £8.2 million ($7.3–$10.9 million; €6.6–€9.8 million) and result in health gains >2600 quality-adjusted life-years per year.
- AP is even more cost-effective for those at high risk of IE. Restricting AP to those at high risk would result in cost savings of £4.0 million ($5.32 million; €4.8 million) and health gains of >1070 quality-adjusted life-years per year in England because of the smaller number of individuals at high risk.
- These findings support the cost-effectiveness of guidelines recommending AP use, in particular, in high-risk individuals.

Antibiotic prophylaxis (AP) is a widely used prevention measure for those at risk of developing infective endocarditis (IE). Following the suggestion that bacteremia secondary to invasive dental procedures might cause IE,[1] the American Heart Association's Committee on Prevention of Rheumatic Fever and Bacterial Endocarditis was the first to recommend that individuals at increased risk of IE should be given antibiotic prophylaxis (AP) before invasive dental procedures some 60 years ago.[2] Over time, the American Heart Association and other international guideline committees have gradually restricted AP use, moving to single-dose AP strategies and restricting the types of patients for whom AP is recommended.[3,4] In 2008, this culminated with the National Institute for Health and Care Excellence (NICE) recommending that the use of AP to prevent IE should cease in the United Kingdom.[5] This recommendation was confirmed in a recent review of the NICE guidelines[6] but is in contrast with current European,[7] North American,[4] and other international guidelines that recommend AP for high-risk individuals undergoing invasive dental procedures.

A recent interrupted time series study found that AP prescribing in England fell sharply after the 2008 NICE guidance with a significant increase in the incidence of IE. By March 2013, it was estimated that there were 34.9 (95% confidence interval, 7.9–61.9) more cases of IE per month than would have been expected from the previous trend.[8] This increase was statistically significant both for those at high risk (previous history of IE, prosthetic heart valve, valve repaired with prosthetic material, unrepaired cyanotic congenital heart disease, or some repaired congenital heart defects) and moderate risk (native valve disease, unrepaired congenital heart valve anomalies, or previous rheumatic fever) of IE. This study provides unique evidence for evaluating the cost-effectiveness of AP, because the United Kingdom is the only country to have transitioned from recommending AP for those at high risk or moderate risk of IE to recommending its complete cessation. Another recent UK study demonstrated that the incidence of adverse drug reactions associated with AP was much lower than previously estimated.[9]

This article estimates the cost-effectiveness of AP (single-dose amoxicillin or clindamycin for those allergic to penicillin) in patients at risk of IE by using (1) recent estimates of the effect of AP on IE in the English population,[8] (2) rates of AP adverse drug reactions,[9] and (3) estimates for the probability of developing IE after dental procedures derived from French data[10] as the foundation for analysis of cost and health benefits.

METHODS

Comparators and Patient Population

The cost-effectiveness of the AP regimen that was in use in the United Kingdom before the 2008 NICE guidelines (a single 3-g oral dose of amoxicillin or a single 600-mg oral dose of clindamycin for those allergic to penicillin or who had received amoxicillin in the previous month) for all at risk individuals (ie, those at moderate risk or high risk of IE) was compared with a strategy of no AP (as per the NICE guidelines[5,6]). We also compared no AP with a strategy restricting AP to just those at high risk of IE (as per the European[7] and North American[4] guidelines). English National Health Service costs were estimated and health effects measured in quality-adjusted life-years (QALYs).

Model Structure

A decision model, based on the decision model used by NICE for the health-economic analysis performed to inform the 2008 guidelines,[5] was constructed to estimate differences in costs and health benefits accruing from the short-term consequences of the decision to administer AP, or not, and the longer-term sequelae of AP and IE (Figure). AP-related adverse events and IE may be fatal or lead to differences in the probability of a patient being otherwise healthy, requiring valve replacement surgery or experiencing congestive heart failure (CHF). These longer-term impacts were captured by using a state transition model, with 1-year cycle periods and a lifetime (50 years) time horizon. We used Treeage Pro software (https://www.treeage.com) and the Sheffield Accelerated Value of Information Tool (http://savi.shef.ac.uk/SAVI/).
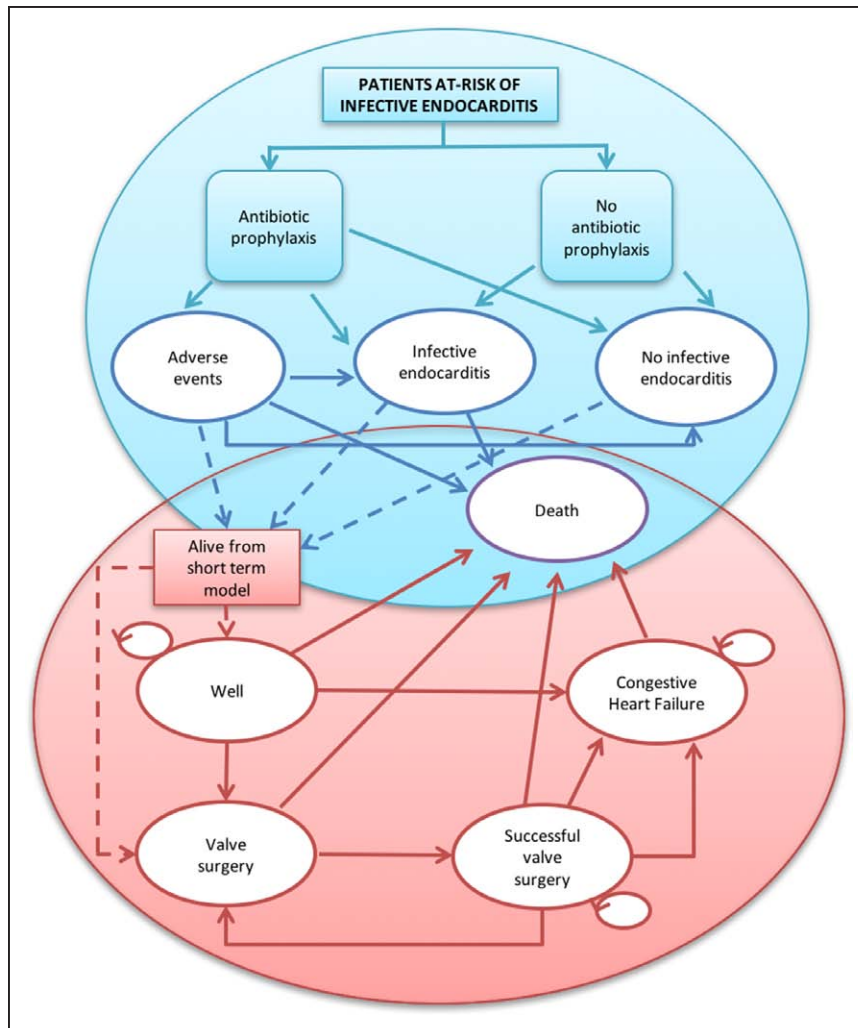
Figure. Illustration of the decision model used for the analysis. Key: Blue represents initial decision tree. Red represents subsequent health state transitions. Solid arrows are feasible pathways. Dashed arrows represent patient pathways from the decision tree to Markov models (ie, all living patients begin in either the well or valve surgery states).

Parameter Values

Data used to calculate the probability of IE after a high-risk (invasive) dental procedure were based on previous definitions and estimates of the risk of adults with predisposing cardiac conditions developing IE.[10] The number of IE hospital admissions (*International Classification of Diseases, 10th Revision* code I33.0) was obtained from Hospital Episode statistics (HES; http://www.hscic.gov.uk/hes)[11] and population data from the Office of National Statistics.[12] A more detailed explanation of the calculations and values is provided in the online-only Data Supplement Methods and online-only Data Supplement Tables I and II).

Probability of IE Following a High-Risk Dental Procedure

The probability of IE after a high-risk dental procedure was based on analysis of recent English data[8] that estimated that reduced use of AP was associated with 34.9 (95% confidence interval, 7.9–61.9) additional IE cases per month.

The incidence of IE with AP use was derived from 2007, the year immediately before the introduction of NICE guidance (1486 cases, 28.91 per million). Table 1 reports parameter estimates required to translate this incidence into an estimate of the probability of IE. All other probabilities are shown in

Table 2. Duval et al[10] provided data on the proportion of IE cases associated with a predisposing cardiac condition, the proportion associated with a high-risk dental procedure, the mean number of high-risk dental procedures per year in those with a predisposing cardiac condition, and the prevalence of a predisposing cardiac condition, resulting in an estimated probability of IE of 17.98 per million high-risk dental procedures where routine AP would be provided.

The higher estimated annual incidence of IE in the absence of AP leads to an estimated probability of IE of 1785.13 cases per million in the high-risk group and 204.33 cases per million dental procedures in the all-at-risk group.

Mortality From IE

All patients were tracked for mortality within 1 year of IE diagnosis (*International Classification of Diseases, 10th Revision* code I33.0) using HES.[11] Deaths in the community secondary to IE were not included because HES only records in-hospital mortality, resulting in a small underestimate of IE-related mortality, a limitation of this data set.

Fatal and Nonfatal Reactions to AP

NHS Business Service Authority prescribing data were cross-referenced with adverse drug reaction data for prescriptions

Table 1. Data Used to Estimate the Probability of IE After a High-Risk (Invasive) Dental Procedure

Variable	Mean	Population (N)	Occurrence (R)	Distribution
All-at-risk group				
a. Incidence of IE (per million people per annum)	28.9	51.4 m[12]	1486[11]	Beta
b. Incident cases that would have occurred in at-risk patients*	0.521	1370[10]	714[10]	Beta
c. IE cases attributed to dental procedures in at-risk group	0.052	714[10]	37[10]	Beta
d. Number of dental procedures/patient/y for at-risk patients*	1.32	1 287 296[10]	1 704 195[10]	–
e. Prevalence of at-risk patients	3.30%	39 000 000[10]	1 287 296[10]	Beta
f. Increase in cases of IE per month as a result of AP cessation†	34.9[8]	–	–	Gamma
Estimated number of dental procedures per year for at-risk patients‡	2.24 m	–	–	–
Probability of IE after a dental procedure with AP (per million people)§	17.87	–	–	–
Probability of IE after a dental procedure without AP (per million people)‖	204.33	–	–	–
High-risk group				
Incidence of IE (per million people per annum)	28.9	51.4 m[12]	1486[11]	Beta
Incident cases that would have occurred in high-risk patients*	0.288	1486[11]	428[11]	Beta
IE cases attributed to dental procedures in high-risk patients	0.031	224[10]	7[10]	Beta
Number of dental procedures/patient/y in high-risk patients*	0.33	228 570[10]	75 409[10]	–
Prevalence of high-risk patients	0.59	39 000 000[10]	228 570[10]	Beta
Increase in cases of IE per month as a result of AP cessation#	13.7[8]	–	–	Gamma
Estimated number of dental procedures per year for high-risk patients	0.1 m	–	–	–
Probability of IE after a dental procedure with AP (per million people)	134.58	–	–	–
Probability of IE after a dental procedure without AP (per million people)	1785.13	–	–	–

AP indicates antibiotic prophylaxis; and IE, infective endocarditis.

*At risk of IE patients are mainly defined as those patients with a predisposing cardiac condition, although at risk has been defined by Duval et al[10] and Dayer et al[8] from which these data were obtained.

†Mean extra cases per month[8]: 34.9 cases (95% confidence interval,[8] 7.9–61.9; standard errors, 13.78); mean extra cases per year: 418.8 cases.

‡Calculated as (g.d.e) where (g) is the population of England for the year 2007: 51.4 million.[12]

§Calculated as (a.b.c)/(d.e).

‖Calculated as ((a+f).b.c)/(d.e).

#Mean extra cases per month[8]: 13.7 cases (95% confidence interval,[8] –3.29 to 30.64; standard errors, 8.66); mean extra cases per year: 164.0 cases.

of standard AP (single oral dose amoxicillin 3 g or clindamycin 600 mg) from the Medicine and Health Products Regulatory Agency Yellow Card reporting scheme.[9] The fatal and nonfatal adverse drug reaction rates per million prescriptions were 0 and 22.6, and 12.6 and 149.1 for amoxicillin and clindamycin, respectively.[9]

Long-Term Survival and Outcomes

Age-adjusted, all-cause mortality was estimated by using Office of National Statistics Population Prediction statistics for 2012 to 2013.[12] Mortality risk for patients that survive valve surgery or develop CHF (*International Classification of Diseases, 10th Revision* code I50) was estimated by using published prosthetic valve endocarditis registry data.[13] One, 5, and 10 year survival in this cohort was 67.1%, 55%, and 37.6%, respectively, and used to estimate a Weibull survival function.[5] Mortality after valve replacement surgery was estimated using HES data for patients admitted for valve replacement surgery (OPCS-4 Classification of Interventions and Procedures version 4 codes: K25.1–K25.4, K26.1–K26.4, K27.1–K27.4, K28.1–K28.4, or

K29.1–K29.4) and discharged as dead within the same spell for the year 2012.

The annual probability of IE survivors developing CHF over 5-year follow-up was estimated from HES. Of the 19 804 patients with IE and reliable 5-year follow-up data, the numbers diagnosed with CHF were 2152, 387, 292, 170, and 157 in years 1 to 5, respectively. The subsequent probability of developing CHF until the end of the model's time horizon was assumed to be constant after year 5. Recent studies show that 40% to 50% of patients now undergo valve replacement surgery as part of their initial IE treatment,[14–16] and, for this transition probability, we adopted a conservative 40% estimate. The annual probability of IE survivors needing valve surgery after the initial admission was estimated by using HES. Of the 19 804 patients diagnosed with IE and followed for 5 years, 1278 required valve replacement surgery during the first year after their initial IE treatment, and 329, 158, 75, and 74 required valve replacement surgery in years 2 to 5, respectively. The subsequent probability of needing valve surgery is assumed to remain at 74 cases per year through to year 9, before falling to 17 cases per year until the end of the model's time horizon.

Table 2. Summary of Transitional Probabilities for Adverse Health States, Mortality, and Adverse Side Effects

Parameter	Base Case	R (Occurrences)	N (Population)	Comments
Adverse health states				
Developing congestive heart failure after IE*	1–5 y prognosis: 0.109; 0.02; 0.015; 0.009; 0.008	1–5 y No. cases[11]: 2,152; 387; 292; 170; 157	19 804†[11]	Probability assumed constant after the fifth year.
Developing congestive heart failure (non-IE cases) *	0.007	360 471[11]	53,500,000‡[12]	–
Valve replacement during or immediately after IE*	0.400	7922	19 804†[11]	Number of occurrences (7922) based on assumed 40% of patients requiring immediate valve replacement surgery in a population of 19 804 people.[14–16]
Valve replacement/repair, years 1–10 (IE cases)*	1–5 y prognosis: 0.065; 0.017; 0.008; 0.004; 0.004	1–5 y No. cases[11]: 1278; 329; 158; 75; 74	19 804†[11]	Number of events does not include those patients included in the valve replacement during or immediately after IE arm; probability assumed constant after the fifth year until year 9, before falling to 17 cases/y.
Valve replacement/repair (non-IE cases)*	<0.001	2340[11]	53 500 000‡[12]	–
Valve replacement/repair, after 10 y (IE cases)*	0.001	17[11]	19 804†[11]	–
Mortality				
Overall mortality risk by age	Office of National Statistics Population Prediction statistics 2012/13[12]			Office of National Statistics Population Prediction statistics for mortality in the years 2012/13 for 5 age groups: 50–59; 60–69; 70–79; 80–89; 90+.
Mortality from IE: general	0.108	232[11]	2145§[11]	Death from AE within the first year (calculated from Hospital Episode Statistics data for the year 2012).
Death from valve surgery	0.040	64[11]	2340‖[11]	Discharged as dead within the same spell as valve surgery (calculated from Hospital Episode Statistics for the year 2012).
Death for patients with a successful valve replacement	Weibull function (lambda = 0.144; gamma = 0.368)[5]			Weibull function as used in the NICE prophylaxis against infective endocarditis model for the 2008 guidance
Death for all patients developing congestive heart failure	Weibull function as per patients with a successful valve replacement/repair[5]			Weibull function as used in the NICE prophylaxis against infective endocarditis model for the 2008 guidance
Adverse side effects				
Nonfatal side effect to amoxicillin	22.62 per million[9]	–	–	No distinction on type of nonfatal side effect.

(Continued)

Table 2. Continued

Parameter	Base Case	R (Occurrences)	N (Population)	Comments
Nonfatal side effect to clindamycin	149.1 per million[9]	–	–	No distinction on type of nonfatal side effect.
Fatal side effect to amoxicillin	0 per million[9]	–	–	No distinction on type of fatal side effect.
Fatal side effect to clindamycin	12.6 per million[9]	–	–	No distinction on type of fatal side effect.

IE, infective endocarditis; and NICE, National Institute for Health and Care Excellence.

* Diagnosis codes for congestive heart failure and valve replacement presented in Methods.

†Number of patients diagnosed with IE whose Hospital Episode Statistics data could be traced for up to 5 years after diagnosis.[11]

‡Population of England in 2012.[12]

§Number of cases of IE in 2012.[11]

‖Number of people who had valve surgery in 2012.[11]

Health-Related Quality of Life (Utility) Weights

Mean health state utility values, required for estimating QALYs, and associated standard deviations were taken from different sources that are described in this section. UK population age norms[17] were used to adjust and parameterize all utility values for the 5 health states in the model.

Adults in the well state were considered to be equivalent to patients with a New York Heart Association class 1 (ie, people with cardiac disease but no symptoms or limitations in function).[18] Patients in the needing-valve-surgery state were assumed to correspond to New York Heart Association class III/IV.[19,20] We assumed the utility value of 0.525 would be relevant only for 6 months before the same value as the Successful-valve-surgery state utility value would be relevant. Successful valve surgery was estimated by using data for New York Heart Association class I/II patients after valve replacement, with a utility value of 0·855.[18,21,22] CHF was estimated as the weighted average of those not hospitalized[20] and those hospitalized (New York Heart Association class III)[20,23] based on 0.53 hospitalizations per year[24] of 7.53 days duration.[25] The health state and age utility values used are shown in Table 3.

Costs

National sources for unit costs were used. The cost of amoxicillin (£2.28 [$3.03, €2.74]) and clindamycin (£1.14 [$1.52, €1.37]) were obtained from the British National Formulary (Number 65) for 2013.[26] Secondary care costs were estimated using 2012 to 2013 National Reference costs.[25,27] General practice consultation costs for AP adverse events were from Curtis.[27] Exchange rates shown between UK£, US$, and the Euro € were calculated on the July 1, 2016 using the midmarket rate (£1=$1.33=€1.20).

Patients with CHF or previous valve surgery were assumed to require 2 cardiology outpatient visits per year. Those with CHF were assumed to require treatment with angiotensin-converting enzyme/angiotensin II inhibitors, β-blockers, digoxin, and high-dose loop diuretics at typical daily doses.[5,28] A summary of these unit costs is provided in Table 4.

All costs were discounted by 3.5% as suggested by NICE 2013 technology appraisal guidelines.[29] A range of sensitivity analyses was performed, including probabilistic sensitivity analysis, to reflect different aspects of uncertainty in the evidence.

Statistical Analysis

An initial decision tree model leading to a state transition model was used to estimate the cost per QALY gained of AP versus no AP over a time horizon of 50 years. One-way and probability sensitivity analysis and expected value of perfect information (EVPI) analysis were also conducted. We used Treeage Pro software (https://www.treeage.com) to construct the decision tree and state transition model and the Sheffield Accelerated Value of Information Tool (http://savi.shef.ac.uk/SAVI/) for the EVPI analysis.

This analysis was performed in compliance with the Consolidated Health Economic Evaluation Reporting Standard (CHEERS) guidelines for the reporting of health economic analyses.[30] Ethics approval was not required for this study because it was confined to analysis of publicly available data containing no identifiable patient information.

Table 3. Utility Values, by Health State and Age Group

Group	Mean	Standard Deviation	Distribution
UK population norms by age, y[17]			
50–54	0.85	0.25	Beta
55–64	0.80	0.26	Beta
65–74	0.78	0.26	Beta
75+	0.73	0.27	Beta
Health states			
Well[18]	0.930	–	–
Valve replacement/repair needed[19,20]	0.525	–	–
Successful valve replacement[18,21,22]	0.855	–	–
Congestive heart failure[20]	0.610	–	–
Hospitalization with heart failure[20,23]	0.570	–	–

Table 4. Summary of Unit Costs

	Mean	25%	75%	SD	Comments
AP (per course)					
Oral amoxicillin 3 g	2.28	Fixed			3 g sachet, powdered sugar free (14 sachet pack=£31.94) [26]
Oral clindamycin 600 mg	1.14	Fixed			4 capsules × 150 mg dose (24 × 150 mg: £6.85) [26]
Secondary care					
Hospitalization for endocarditis	5136	2604	6943	1220	Weighted average nonelective long stay cost for "Endocarditis with CC scores"[25]; Weighted average length of stay=12.71 days[25]
Hospitalization for valve surgery	10 204	8082	11712	1018	Weighted average elective long stay cost for "Single Cardiac Valve Procedure with CC scores"[25]; Weighted average length of stay=6.61 days[25]
Hospitalization for heart failure	2647	2040	3116	317	Weighted average nonelective long stay cost for "Heart Failure or Shock with CC scores"[25]; Weighted average length of stay=7.53 days[25]
Fatal anaphylaxis	375	265	447	49	Weighted average nonelective short stay cost for "Shock and Anaphylaxis, with CC Scores"[25]
Cardiology OP visit	126	85	147	14	Consultant-led outpatient cost for "Non-Admitted Face to Face Attendance, Follow-up" for service "Cardiology"[25]
Death	230	207	255	17	Ambulance service cost for "See and treat and convey"[25]
Other costs					
Annual drug cost for patients with heart failure	76.12	69.33	80.08	5.87	Drug type and dose as described by Fox et al[28] for patients in NYHA class III; prices from BNF 65.[26]
Nonfatal allergic reaction	37	Fixed			General practice surgery consultation lasting 11.7 min.[27]

All costs are in £. AP indicates antibiotic prophylaxis; BNF, British National Formulary; DoH, Department of Health; NYHA, New York Health Association; OP, Outpatient; and SD, standard deviation.

RESULTS

In comparison with no AP, both amoxicillin and clindamycin AP were associated with lower costs and better health outcomes (Table 5) for both high-risk and all-at-risk populations. In the all-at-risk group, there were mean cost savings of £2.47 (95% credible interval [CrI], £0.48–£6.96) ($3.29; CrI $0.64–$9.26; €2.96, CrI €0.58–€8.35) per person with amoxicillin AP and £3.65 (95% CrI, £0.69–£8.14) ($4.86; 95% CrI, $0.92–$10.83; €4.38, 95% CrI, €0.83–€9.77) with clindamycin AP, in comparison with no AP (the difference between the drugs being driven by the lower cost of clindamycin: £1.14 versus £2.28 [$1.52 versus $3.03; €1.37 versus €2.74]).[26] With an estimated 2.24 million dental procedures per year in this population (Table 1), AP would lead to savings of £5.5 million to £8.2 million per annum ($7.3 million to $10.9 million; €6.6 million to €9.8 million). We calculated a mean health improvement of 0.0012 (95% CrI, 0.000–0.003) and 0.0010 (95% CrI, 0.000–0.002) QALYs per person for amoxicillin and clindamycin, re-

spectively (equivalent to 2687 QALYs gained per annum at the population level if amoxicillin AP were used for all-at-risk patients).

These cost savings were substantially greater in the high-risk group at a mean of ≈£40 ($53.2; €48.00) per patient (or £4.0 million [$5.3 million; €4.8 million] per annum in England on the basis of an estimated 100 000 dental procedures in this population). The most effective strategy would be amoxicillin, leading to gains of 0.0107 QALYs per person (or 1071 QALYs for the population) per annum.

Sensitivity Analysis

A sensitivity analysis on the effectiveness of AP was performed by varying the number of additional IE cases associated with AP withdrawal from 35 per month (base case) to zero (implying AP has no protective effect). For the all-at-risk group, amoxicillin remained cost saving until the rate of IE cases avoided fell below 16.8 per month and cost-effective (at £20 000 [$26 600; €24 000] per

Table 5. Costs and Effects of Antibiotic Prophylaxis Versus No Antibiotic Prophylaxis: Base Case Analysis

Antibiotic Strategy	Mean Cost	Incremental cost (95% credible interval)	Mean QALY	Incremental QALY (95% credible interval)	ICER (AP vs No AP)	Probability Cost-Effective		Annual Cost Savings	Annual QALY Gain
						20k	30k		
All-at-risk population, 50-y time horizon									
No AP	£4082.74	–	15.9549	–	–	–	–	–	–
Amoxicillin	£4080.27	−£2.47 (−6.96 to 0.48)	15.9561	0.0012 (0.000 to 0.003)	Dominant	0.999	0.999	£5 530 290	2687
Clindamycin	£4079.09	−£3.65 (−8.14 to −0.69)	15.9559	0.0010 (0.000 to 0.002)	Dominant	0.999	0.999	£8 172 292	2239
High-risk population, 50-y time horizon									
No AP	£4123.02	–	15.9447	–	–	–	–	–	–
Amoxicillin	£4083.24	−£39.78 (−106.61 to −4.53)	15.9553	0.0107 (0.001 to 0.030)	Dominant	1.00	1.00	£3 981 015	1071
Clindamycin	£4082.06	−£40.96 (−108.35 to −6.15)	15.9551	0.0104 (0.001 to 0.029)	Dominant	1.00	1.00	£4 099 105	1041

Both AP strategies are compared with the common baseline of no AP. Estimated 2.2 million and 0.1 million dental procedures per annum in at-risk and high-risk populations, respectively; these figures of 2.2 million and 0.1 million and their calculation are presented in Table 1. AP indicates antibiotic prophylaxis; ICER, incremental cost effectiveness ratio; QALY, quality-adjusted life-year.

QALY) until the rate fell below 2.76 per month (33.12 cases per year). In the same population, use of clindamycin was cost saving until the rate fell below 8·1 cases per month and cost-effective (at £20 000 per QALY) until the rate fell below 6.2 cases per month.

In the high-risk group, amoxicillin remained cost saving provided the number of IE cases avoided with AP use was >0.74 per month and cost-effective (at £20 000 per QALY) provided the number of IE cases avoided was >0.12 per month (base case estimate 13.67 extra high-risk IE cases per month[8]) or 1.44 cases per year. For clindamycin, the corresponding values were 0.36 and 0.27, respectively.

Value of Information

Cost-effectiveness estimates are subject to uncertainty relating to values of the input parameters on clinical effectiveness, costs, and health outcomes. This uncertainty is a genuine concern because any decision could be incorrect: health benefits could be lost because of investment in a treatment that is not cost-effective. The value of eliminating all uncertainty, such that there is no risk of an incorrect decision, is called the Expected Value of Perfect Information (EVPI),[31] which provides an estimate of the upper bound of the cost of any additional research that would reduce uncertainty. For the all-at-risk population, the EVPI is near zero (£9020 [$11 997; €10 824] for amoxicillin, £11 409 [$15 174; €13 691] for clindamycin over 10 years in England). This is because there is little

uncertainty; AP is almost certainly cost-effective, and, therefore, reducing uncertainty in any of the input parameters would be unlikely to lead to a different conclusion. However, the clinical effectiveness of AP is subject to some uncertainty because of reliance on observational data and interrupted time-series analysis.[8]

Accordingly, we conducted an additional exploratory analysis in which assumptions concerning uncertainty around the efficacy of AP in the all-at-risk population were increased. The base case analysis assumes that withdrawal of AP led to 34.9 additional cases of IE per month. Therefore, a model averaging approach was used such that half the sample maintained this estimate and half used an estimate of zero (ie, AP has no effect). This resulted in AP (amoxicillin) being less cost saving (now £0.15 per person; 95% CrI, −£5.62 to £2.28 [$0.20; 95% CrI, −$7.48 to $2.74; €0.18, 95% CrI, −€6.74 to €2.74]) and more effective, although less so than in the base case (0.00061 QALYs; 95% CrI, 0.000–0.002). The probability of being cost saving is 0.48, and the probability of being cost-effective (at a £20 000 threshold) is 0.50. In this situation, the EVPI rises to £25.3 million ($33.7 million; €30.4 million), driven almost entirely by the introduced uncertainty concerning AP effectiveness.

DISCUSSION

We recently estimated the impact of the withdrawal of AP on the incidence of IE in an interrupted time-series analy-

sis of English data.[8] This study provided unique evidence for evaluating the cost-effectiveness of AP, because the United Kingdom is the only country to have transitioned from the widespread use of AP to recommending its complete cessation. Using these figures as inputs to a cost-effectiveness analysis indicates that AP is likely to be not just cost-effective, but also cost saving. If AP were used in all those at risk of IE, then amoxicillin and clindamycin AP would result in estimated cost savings of £2.47 ($3.29; €2.96) and £3.65 ($4.86; €4.38) per patient and health gains of 0.0012 and 0.0010 QALYs, respectively. Overall, AP would result in an estimated saving of £5.5 to £8.2 million ($7.3 million to $10.9 million; €6.6 million to €9.8 million) and a health gain of 2687 QALYs in England per year. If AP were restricted to those at high risk of IE, the cost savings and health gain per person would be even greater at £40 ($53.20; €48.00) and 0.0107 QALYs. The overall benefit of using amoxicillin AP in high-risk patients would be a cost savings of £4.0 million ($5.3 million; €4.8 million) and a health gain of 1071 QALYs in England per year.

Because the recent time-series analysis was an observational study,[8] we cannot be certain that the number of extra cases of IE identified was caused by the reduction in AP prescribing following the 2008 NICE guidelines.[5] It is possible, therefore, that the number of IE cases prevented by AP is less than the identified 34.9 per month. To evaluate the cost-effectiveness of AP across a range of scenarios we performed a sensitivity analysis using a maximum effectiveness of preventing 35 IE cases per month and a minimum of preventing zero cases, ie, where AP is ineffective. Using this approach, we demonstrated that amoxicillin AP has to prevent only 2.76 cases per month in the all-at-risk group to be cost-effective and 16.8 cases per month to be cost saving. Moreover, amoxicillin AP is even more cost-effective in the high-risk group where only 0.12 cases per month need to be prevented for it to be cost-effective and 0.74 cases per month to be cost saving.

These data suggest that a strategy of directing AP at those at high risk of IE is likely to be cost-effective or cost saving, even at very low rates of AP clinical effectiveness. This conflicts with the NICE health economic analysis of AP,[5] which used older data on the incidence of adverse drug reactions after the use of parenteral penicillins.[5] More recent data suggest that fatal anaphylaxis is exceedingly rare, and there have been no reports of fatal anaphylaxis after oral amoxicillin AP in the world literature.[32] The incidence of adverse reactions after amoxicillin AP is extremely low (0 fatal, 22.62 nonfatal reactions per million prescriptions).[9,32] Although low, reactions to clindamycin AP were higher than anticipated, suggesting that an alternative AP regimen for those allergic to penicillin would be desirable.[9] Our data suggest that AP needs only minimal clinical effectiveness to be

cost-effective, because it is so cheap in comparison with the substantial cost and health implications of IE.

International guideline committees have highlighted the lack of evidence for the benefit of AP and called for randomized clinical trials (RCTs) to provide that evidence.[4,6,7] However, ethical issues and the high cost of performing an RCT have prevented such a study to date. Skepticism concerning noncontrolled data represents a genuine source of uncertainty about the cost and clinical effectiveness of AP that underpin any cost-effectiveness analysis. This uncertainty conveys a risk that the advice given by guideline committees is wrong. However, there is also a cost associated with performing the RCTs needed to eliminate that uncertainty. EVPI analysis provides an estimate of the maximum amount it is worth spending to reduce that uncertainty.[31] If there is genuine uncertainty about whether AP is effective or not, then the value of an RCT becomes substantial. Exploratory analysis using the at-risk population of England over a 10-year period estimates the EVPI of amoxicillin at £25.3 million ($33.7 million; €30.4 million). Therefore, although such a study may be costly, its value may well outweigh its cost.

The main limitations of this study are the lack of RCT data and the resulting need to use observational studies to identify the input parameters for health economic analysis. In particular, our data on the effectiveness of AP are based on the increase in IE cases and fall in AP prescribing that occurred after the introduction of the 2008 NICE guideline.[8] Although this study demonstrated a temporal association between the fall in AP prescribing and increasing IE incidence, it did not prove a causal link. Hence, we undertook a sensitivity analysis to examine the cost-effectiveness of AP if the level of AP clinical effectiveness was less than anticipated. Furthermore, we used pre- and post-NICE prescribing figures as proxies for the use of AP even though compliance is never 100%. A final limitation is that HES data were used to populate most transitional probability estimates in our model; events occurring outside the hospital setting were not captured. IE is also complicated by a number of high-cost serious outcomes, eg, stroke and renal failure,[14,15] that we were unable to take into account. Our analysis is likely, therefore, to have underestimated the impact of IE and cost-effectiveness of AP. Although our analysis is specific to England, its findings are likely to be broadly applicable to other advanced healthcare systems. However, cost-effectiveness may be even greater in nations with higher healthcare costs (eg, United States) but lower in those where healthcare costs are cheaper.

CONCLUSION

Because of the serious consequences and high costs associated with IE and the comparatively low costs as-

sociated with AP, this analysis demonstrates that AP is likely to be very cost-effective (and even cost saving) in preventing IE, particularly for those at high risk, even when the number of prevented IE cases is very low. Our data suggest that European and American guidelines recommending AP use in high-risk individuals are likely to be cost-effective.

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DISCLOSURES

All authors have completed the Unified Competing Interest form at www.icmje.org/coi_discosure.pdf and declare that (1) Dr Thornhill received support from the National Institute for Dental and Craniofacial Research [NIH R03 grant Ref: 1R03DE023092-01] for the submitted work; (2) none of the authors have a relationship with companies that might have an interest in the submitted work in the previous 3 years; (3) none of the authors spouses, partners or children have a financial relationship that may be relevant to the submitted work; and (4) Drs Franklin, Wailoo, Jones, and Thornhill have no nonfinancial interests that may be relevant to the submitted work. Drs Baddour and Lockhart are members of the American Heart Association's Committee on Rheumatic Fever, Endocarditis and Kawasaki Disease and were involved in producing the 2007 American Heart Association guideline on prevention of infective endocarditis. Dr Prendergast was a member of the Task Force that produced the 2009 European Society of Cardiology guidelines on the prevention, diagnosis, and treatment of infective endocarditis, and also acted as a consultant to the committee that produced the 2008 NICE clinical guideline 64 on prophylaxis against infective endocarditis, and Dr Dayer was a consultant to the review committee that produced the 2015 update to NICE clinical guideline 64 on prophylaxis against infective endocarditis.

AFFILIATIONS

From School of Health and Related Research, University of Sheffield, UK (M.F., A.W.); Department of Cardiology, Taunton and Somerset NHS Foundation Trust, UK (M.J.D.); Department of Population Health, NYU School of Medicine, (S.J.); Department of Cardiology, Guy's & St Thomas' NHS Foundation Trust, London, UK (B.P.); Division of Infectious Diseases, Mayo Clinic College of Medicine, Rochester, MN (L.M.B.); Department of Oral Medicine, Carolinas Medical Center, Charlotte, NC (P.B.L., M.H.T.); and Unit of Oral and Maxillofacial Medicine and Surgery, School of Clinical Dentistry, University of Sheffield, UK (M.H.T.).

FOOTNOTES

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The Cost-Effectiveness of Antibiotic Prophylaxis for Patients at Risk of Infective Endocarditis

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Supplemental Material

THE COST-EFFECTIVENESS OF ANTIBIOTIC PROPHYLAXIS FOR PATIENTS AT-RISK OF INFECTIVE ENDOCARDITIS

Matthew Franklin, Allan Wailoo, Mark J Dayer, Simon Jones, Bernard Prendergast, Larry M Baddour, Peter B Lockhart, Martin H Thornhill

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1. Supplementary Methods

Calculation for risk of IE following an (un)protected high-risk (invasive) dental procedure

A similar method for calculating risk of IE following a dental procedure for patients with a predisposing cardiac condition (PCC) was incorporated in the NICE 2008 model[1, 2] and by three other studies.[3-5] This calculation was:

> ***Risk of IE following an unprotected dental procedure =***
>
> *("Incidence of IE"* **multiplied by** *"proportion of incident cases that would have occurred with a PCC"* **multiplied by** *"proportion of PCC IE cases attributed to dental procedures")* **divided by** *("number of dental procedures per patient per year"* **multiplied by** *"prevalence of PCC")*
>
> **Equation 1: Equation to calculate the risk of IE following an unprotected dental procedure**

A slightly different and simpler way of presenting Equation 1 is presented as part of Equation 2, where people with a PCC are now defined as people "at-risk" of IE, of which people with PCC are the majority. The steps used to get to the point of estimating Equation 2 are described in this Appendix.

> ***Risk of IE following an (un)protected dental procedure =***
> *"The risk of IE in an at-risk population per year* **multiplied by** *"Number of dental procedures/patient/year for at-risk patients"*
>
> **Equation 2: Alternative equation to calculate the risk of IE following an unprotected dental procedure**

It is information provided by Dayer, *et al* (2015)[6] and Duval, *et al* (2006)[5], supplemented with data obtained from HES and ONS[7] which provides the basis for calculating the risk of IE following an unprotected dental procedure for this study. The logic for this calculation using the figures presented in Table 1 are now presented in Table A1 and Table A2 for four hypothetical patient groups:

> 1) **All-at-risk** patients undergoing a **protected** dental procedure (**AP is used**), Table S1;
> 2) **All-at-risk** patients undergoing an **unprotected** dental procedure (**AP *not used***), Table S1;
> 3) **High-risk** patients undergoing a **protected** dental procedure (**AP is used**), Table S2;
> 4) **High-risk** patients undergoing an **unprotected** dental procedure (**AP *not used***), Table S2.

In order to describe the calculation used to estimate the risk of IE following a protected dental procedure, consider the calculation for the first hypothetical patient group (All-at-risk patients undergoing a protected dental procedure (AP is used))

using the figures presented in Table 1 (presented here in *Italic* font) and calculations presented in Table S1 (presented here in **Bold** font).

Step 1 – estimate "Number of IE cases in all-at-risk group": The "Number of IE cases per year" (*1486*) is multiplied by the "Incident cases that would have occurred for at risk-patients" (*0.0521*) which estimates the "Number of IE cases in all-at-risk group" (*1486*0.0521* = **774.46**).

Step 2 – estimate "Number of IE cases due to dental work for all-at-risk": The "Number of IE cases in all-at-risk group" (**774.46**) is multiplied by "IE cases attributed to dental procedures in at-risk group" (*0.052*) which estimates the "Number of IE cases due to dental work for all-at-risk" (**774.46****0.052* = **40.13**).

Step 3 – estimate "Size of the population of all-at-risk patients": The size of the population of interest (for the purpose of this analysis, "Population of England (year 2012)": *51.4 million people*) multiplied by the "Prevalence of all-at-risk group" (*0.033*) estimates the "Size of the population of all-at-risk patients" (*51.4 mil * 0.033* = **1,696,590**).

Step 4 – estimate "Risk of IE in this all-at-risk population per year": The "Number of IE cases due to dental work for all-at-risk" (**40.13**) multiplied by "Size of the population of all-at-risk patients" (**1,696,590**) estimates the "The risk of IE in this all-at-risk population per year" (**40.13 * 1,696,590** = **0.000024**).

Step 5 – estimate "Risk per protected dental procedure for all-at-risk" (Equation 2): "Risk of IE in this all-at-risk population per year" (**0.000024**) multiplied by "Number of dental procedures/patient/year for at-risk patients" (*1.32*) estimates "Risk per protected dental procedure for all-at-risk" (**0.000024** * *1.32* = **0.000018**)

Step 6 – estimate "Risk per million per protected dental procedure for all-at-risk": "Risk per protected dental procedure for all-at-risk" (**0.000018**) multiplied by one million people estimates "Risk per million per protected dental procedure for high-risk" (**0.000018** * 1 million people = **17.87**)

When accounting for the increase in cases of IE due to the cessation of AP (unprotected dental procedures), these same steps are taken; however, steps 1 and 2 are replaced by one step which involves adding the "Yearly increase in IE due to no AP for all-at-risk" (**418.8**) to the "Number of IE cases due to dental work for all-at-risk" before the cessation of AP (**40.13**), which now estimates the "Number of IE cases due to dental work for all-at-risk" without the use of AP (**418.8** + **40.13** = **458.93**). These estimated figures are all presented in Table S1 for all-at-risk patients (as described in this example) and Table S2 for high-risk patients.

2. Supplementary Tables

Table S1. Logic behind the risk per protected and unprotected dental procedure for all-at-risk patients calculation

Description of estimate	Estimate	Calculation	Description of calculation
Protected dental procedure (AP is used) – all figures for this calculation are presented in Table 1			
Number of IE cases per year	1486	**-**	-
Number of IE cases in all-at-risk group	774.46	1486 * 0.0521	Number of IE cases per year * Incident cases that would have occurred for all-at-risk patients
Number of IE cases due to dental work for all-at-risk	40.13	774.46 * 0.052	Number of IE cases in all-at-risk group * IE cases attributed to dental procedures in all-at-risk group
Size of the population of all-at-risk patients	1,696,590	51.4mil * 0.033	Population of England (year 2012) * Prevalence of all-at-risk group
Risk of IE in this all-at-risk population per year	0.000024	40.13 / 1,696,590	Number of IE cases due to dental work * Size of the population of all-at-risk patients
Risk per protected dental procedure for all-at-risk	**0.000018**	**0.000024 * 1.32**	**Risk of IE in this all-at-risk population per year * Number of dental procedures/patient/year for all-at-risk patients**
Risk per million per protected dental procedure for high-risk	**17.87**	0.000018 * 1mil	Risk per unprotected dental procedure for all-at-risk * One million people
Unprotected dental procedure (AP *not* used) – all figures for this calculation are presented in Table 1			
Monthly increase in IE due to no AP for all-at-risk	34.9	-	-
Yearly increase in IE due to no AP for all-at-risk	418.8	34.9 * 12	Monthly increase in IE due to no AP for all-at-risk * 12 months in a year
Number of cases due to dental work for all-at-risk	458.93	40.13 + 418.8	Number of IE cases due to dental work + Yearly increase in IE due to no AP for all-at-risk

Size of the population of all-at-risk patients	1,696,590	51.4mil * 0.033	Population of England (year 2012) * Prevalence of all-at-risk group
The risk of IE in this population per year	0.000271	458.93 / 1,696,590	Number of cases due to dental work for all-at-risk * Size of the population of all-at-risk patients
Risk per unprotected dental procedure for all-at-risk	**0.000204**	**0.000271 * 1.32**	**The risk of IE in this all-at-risk population per year * Number of dental procedures/patient/year for all-at-risk patients**
Risk per million per unprotected dental procedure for all-at-risk	**204.33**	0·000204 * 1mil	Risk per unprotected dental procedure for all-at-risk * One million people

AP: Antibiotic Prophylaxis; IE: Infective Endocarditis.

Table S2. Logic behind the risk per protected and unprotected dental procedure for high-risk patients calculation

Description of estimate	Estimate	Calculation	Description of calculation
Protected dental procedure (AP is used) – all figures for this calculation are presented in Table 1			
Number of IE cases per year	1486	**-**	-
Number of IE cases in high-risk group	428	1486 * 0.288	Number of IE cases per year * Incident cases that would have occurred for high-risk patients
Number of IE cases due to dental work for high-risk	13.38	428* 0.031	Number of IE cases in high-risk group * IE cases attributed to dental procedures in high-risk group
Size of the population of high-risk patients	301,244	51.4mil * 0.0059	Population of England (year 2012) * Prevalence of high-risk group
The risk of IE in this population per year	0.000044	13.38 / 301,244	Number of IE cases due to dental work * Size of the population of high-risk patients
Risk per protected dental procedure for high-risk	**0.000135**	**0.000044 * 0.33**	**The risk of IE in this high-risk population per year * Number of dental procedures/patient/year for high-risk patients**
Risk per million per protected dental procedure for high-risk	**134.58**	0.000135 * 1mil	Risk per protected dental procedure for high-risk * One million people
Unprotected dental procedure (AP *not* used) – all figures for this calculation are presented in Table 1			
Monthly increase in IE due to no AP for high-risk	13.7	-	-
Yearly increase in IE due to no AP for high-risk	164.0	13.67 * 12	Monthly increase in IE due to no AP for high-risk * 12 months in a year
Number of cases due to dental work for high-risk	177.42	13.38 + 164.04	Number of IE cases due to dental work + Yearly increase in IE due to no AP for high-risk
Size of the population of high-risk patients	301,244	51.4mil * 0.0059	Population of England (year 2012) *

			Prevalence of high-risk group
The risk of IE in this population per year	0.000271	177.42 / 301,244	Number of cases due to dental work for high-risk * Size of the population of high-risk patients
Risk per unprotected dental procedure for high-risk	**0.001785**	**0.000271 * 0.33**	**The risk of IE in this high-risk population per year * Number of dental procedures/patient/year for high-risk patients**
Risk per million per unprotected dental procedure for high-risk	**1785.13**	0.001785 * 1mil	Risk per unprotected dental procedure for high-risk * One million people

AP: Antibiotic Prophylaxis; IE: Infective Endocarditis.

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