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1 **Physiological responses to interval endurance exercise at different levels of blood flow**  
2 **restriction**

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13 Running Title: Intermittent endurance blood flow restricted exercise.

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23 **ABSTRACT**

24 Purpose: We aimed identify a blood flow restriction (BFR) endurance exercise protocol that would  
25 both maximize cardiopulmonary and metabolic strain and minimize the perception of effort.  
26 Methods: Twelve healthy males ( $23\pm 2$  years,  $75\pm 7$ kg) performed five different exercises protocols  
27 in randomized order: HI, high-intensity exercise starting at 105% of the incremental peak power  
28 ( $P_{\text{peak}}$ ); I-BFR30, intermittent BFR at 30%  $P_{\text{peak}}$ ; C-BFR30, continuous BFR at 30%  $P_{\text{peak}}$ ; CON30,  
29 control exercise without BFR at 30%  $P_{\text{peak}}$ ; I-BFR0, intermittent BFR during unloaded exercise.  
30 Cardiopulmonary, gastrocnemius oxygenation ( $\text{StO}_2$ ), capillary lactate ([La]), and perceived  
31 exertion (RPE) were measured. Results:  $\dot{V}\text{O}_2$ , ventilation ( $\dot{V}_E$ ), heart rate (HR), [La] and RPE were  
32 greater in HI than all other protocols. However, muscle  $\text{StO}_2$  was not different between HI (set1-  
33  $57.8\pm 5.8$ ; set2-  $58.1\pm 7.2\%$ ) and I-BRF30 (set1-  $59.4\pm 4.1$ ; set2-  $60.5\pm 6.6\%$ ,  $p<0.05$ ). While  
34 physiologic responses were mostly similar between I-BFR30 and C-BFR30, [La] was greater in I-  
35 BFR30 ( $4.2\pm 1.1$  vs  $2.6\pm 1.1$  mmol.L<sup>-1</sup>,  $p=0.014$ ) and RPE was less ( $5.6\pm 2.1$  and  $7.4\pm 2.6$ ;  $p=0.014$ ).  
36 I-BFR30 showed similar reduced muscle  $\text{StO}_2$  compared with HI, and increased blood lactate  
37 compared C-BFR30 exercise. Conclusion: Therefore, this study demonstrate that endurance  
38 cycling with intermittent BFR promotes muscle deoxygenation and metabolic strain, which may  
39 translate into increased endurance training adaptations while minimizing power output and RPE.

40 Keywords: Cycle ergometry; near-infrared spectroscopy; O<sub>2</sub> uptake; High-intensity exercise;  
41 Lactate; Rating of Perceived Exertion.

42 *Abbreviations:*

43 BFR - blood flow restriction

44 HI - high-intensity

45  $P_{\text{peak}}$  - peak power

46 I-BFR30 - intermittent

47 C-BFR30 - continuous Blood Flow Restriction at 30% of peak power

48 CON30 - control exercise at at 30% of peak power

49 I-BFR0 - intermittent Blood Flow Restriction during unloaded exercise

- 50  $StO_2$  - oxygenation
- 51 [La] - capillary lactate
- 52 RPE - perceived exertion
- 53  $\dot{V}O_2$  - oxygen uptake
- 54  $\dot{V}_E$  - Ventilation uptake-
- 55 HR - Heart rate
- 56 OBLA - onset blood lactate accumulation
- 57 W - watts
- 58  $O_2$  - oxygen
- 59  $CO_2$  - dioxide of carbon
- 60  $O_2Hb$  - oxyhemoglobin
- 61 HHb - deoxyhemoglobin
- 62 SD - standard deviation
- 63 ANOVA - Analysis of variance
- 64 mmHg - millimeters of mercury
- 65 HIF-1a - hypoxia inducible factor-1a
- 66 VEGF - vascular endothelial growth factor
- 67 COPD - chronic obstructive pulmonary disease
- 68 CHF - congestive heart failure
- 69

70 **INTRODUCTION**

71 In the last decade, exercise in combination with blood flow restriction (BFR) has emerged as an  
72 effective training paradigm to enhance improvements in muscle strength (Abe et al. 2006; Sumide  
73 et al. 2009; Takarada et al. 2000) and endurance (Abe et al. 2010a; de Oliveira et al. 2015), without  
74 the need for high muscle force generation. Exercise training with BFR is associated with greater  
75 muscle protein synthesis, lower proteolysis, and a greater hypertrophy (Fujita et al. 2007; Gualano  
76 et al. 2010; Laurentino et al. 2012; Ozaki et al. 2014) and with greater increase in muscle capillarity  
77 and aerobic capacity ( $\dot{V}O_{2\max}$ ) (Kacin and Strazar 2011; Larkin et al. 2012; Patterson and Ferguson  
78 2010) compared to training without BFR.

79 BFR training is typically performed in association with resistance exercise, and the adaptive  
80 benefits are well-described (Pearson and Hussain 2015). However, the potential for BFR training  
81 to enhance  $\dot{V}O_{2\max}$  and exercise endurance is less well explored (Abe et al. 2006; de Oliveira et al.  
82 2015). These studies demonstrated that endurance training performed with BFR resulted in  
83 significant increases in strength and hypertrophy compared to control training. Interestingly, BFR  
84 interval endurance training at low relative power output (e.g. 30% of incremental-test peak power;  
85  $P_{\text{peak}}$ ) is also associated with an increase in the onset blood lactate accumulation (OBLA) and  
86  $\dot{V}O_{2\max}$  (de Oliveira et al. 2015; Park et al. 2010) improvements that are traditionally associated  
87 with high intensity interval training (HIT) (Gibala et al. 2006).

88 In the few studies to have investigated BFR endurance training using low relative power output,  
89 the aerobic adaptations are highly variable (Abe et al. 2010b; Ozaki et al. 2011). For example,  
90 following 6 weeks of cycle endurance training with intermittent BFR (at 90 mmHg found an  
91 increased  $P_{\text{peak}}$ , without an increase in  $\dot{V}O_{2\max}$  (Keramidas et al. 2012). Abe et al. (2010a), on the  
92 other hand, showed greater increases in quadriceps cross sectional area and volume, and  $\dot{V}O_{2\max}$

93 after 8 weeks of endurance training with continuous BFR (at 160-210 mmHg) compared with  
94 unrestricted control. Park et al. (2010) also found an increase in  $\dot{V}O_{2max}$  after 2 weeks of walking  
95 training with blood flow occlusion, but no strength adaptations. Finally, de Oliveira et al. (2015)  
96 showed that  $\dot{V}O_{2max}$  and isometric quadriceps strength were increased, and blood lactate  
97 accumulation was slowed, after 4 weeks of interval cycle-ergometer training with intermittent BFR  
98 (at ~150 mmHg). Since that continuous BFR results in significantly greater ratings of perceived  
99 exertion (RPE) and pain than intermittent BFR (Fitschen et al. 2014) and endurance outcomes  
100 where similar between intermittent BFR training and HIT (de Oliveira et al. 2015), there may be  
101 some optimal set of conditions that balance the physiological and perceptual responses to these  
102 different approaches to exercise training.

103 While these studies clearly demonstrate the potential for BFR training to induce strength and/or  
104 endurance adaptations using low power exercise, the relative cardiopulmonary and metabolic  
105 strain and perceived exertion during low power BFR endurance exercise, in comparison to  
106 traditional high power endurance training, remains unclear. We were therefore interested to  
107 determine the cardiopulmonary (ventilation, and heart rate), metabolic (gas exchange, muscle  
108 oxygenation, blood lactate), and perception of effort responses to a range of continuous and  
109 intermittent BFR endurance exercise protocols compared with traditional high intensity exercise  
110 training without BFR. We aimed to identify a BFR endurance exercise protocol that would  
111 maximize cardiopulmonary and metabolic strain, while minimizing the perception of effort.

112 We, therefore, determined the cardiopulmonary, metabolic and perceptual responses during BFR  
113 endurance exercise with continuous (just below passive occlusion pressure) and intermittent (just  
114 above passive occlusion pressure) blood flow restriction, at very low (unloaded pedaling) and low

115 power output (30%  $P_{peak}$ ). These were compared with responses to low- (30%  $P_{peak}$ ) and high-  
116 intensity (105%  $P_{peak}$ ) interval cycling exercise without blood flow restriction.

117 Based on the findings of Fitschen et al. (2014) we hypothesized that endurance exercise with  
118 intermittent higher-pressure BFR, would be better tolerated and induce a lesser cardiopulmonary  
119 strain than continuous lower-pressure BFR . Based on de Oliveira et al. (2015), of similar adaptive  
120 responses to intermittent BFR and HIT, we also hypothesized that intermittent higher-pressure  
121 BFR would more closely mimic the magnitude of muscle deoxygenation observed in HIT lower-  
122 pressure BFR. Confirming these hypotheses would provide new insights into the physiologic  
123 conditions suitable for exploring low-intensity BFR endurance training benefit.

124

## 125 ***METHODS***

### 126 *Participants*

127 Twelve healthy male participants volunteered for the study ( $23 \pm 2$  years,  $75 \pm 7$  kg,  $177 \pm 7$  cm).  
128 Participants were informed about the procedures and risks associated with the protocols and  
129 provided written informed consent, in accordance with the latest revision of the Declaration of  
130 Helsinki and the Belmont Report. This study was approved by the human subjects committee of  
131 University of Santa Catarina State, Brazil. At the time of the study, none of the participants were  
132 taking medications, nor were they in a structured training program.

### 133 *Experimental design*

134 Participants attended 7 laboratory visits, at the same time of day on each visit, with each visit  
135 separated by 7 days. All exercise tests were performed on an electromagnetically-braked cycle

136 ergometer (Excalibur Sport, Lode, Groningen, NL), with breath-by-breath cardiopulmonary,  
137 muscle oxygenation, and capillary blood lactate ([La]) measurements. The first and last visit of the  
138 series was an incremental exercise test to the limit of tolerance to determine, amongst other  
139 variables, peak O<sub>2</sub> uptake ( $\dot{V}O_{2\text{peak}}$ ) and P<sub>peak</sub>. These tests were used to confirm that aerobic  
140 capacity was unchanged over the course of the experimental series. At each of the other 5 visits  
141 participants performed (in randomized order) one of 5 different intermittent exercise protocols.

#### 142 *Exercise protocols*

143 Incremental exercise. The incremental cycling test began with 2 minutes at rest after which  
144 subjects began cycling at 1 W.kg<sup>-1</sup> for 3 minutes, followed by step-incremental increases of 35 W  
145 every 3 minutes until the limit of tolerance or the cadence decreased below than 60 rpm on three  
146 occasions. The subjects were asked to maintain a cadence of 70 rpm. P<sub>peak</sub> was determined from  
147 the last uncompleted exercise stage, as: (W) + [t (s)/step duration (s) x step increment (W)]; where  
148 t is the duration of the uncompleted stage. After intolerance, the power on the ergometer was  
149 immediately reduced to 30 W and the participant was monitored during recovery for 5 minutes.

150 Intermittent exercise protocols. Initially, to determine the blood flow restriction pressure for each  
151 individual, participants lay in ventral position and a cuff was placed around the proximal portion  
152 of the thigh. The popliteal artery pulse was identified using Doppler auscultation (AV-800; Marted,  
153 Ribeirão Preto, São Paulo, Brazil). The thigh cuff was progressively inflated until the pulse was  
154 eliminated (confirmed by two investigators). The pressure associated with the cessation of pulse  
155 was taken as the pressure for blood flow occlusion in the passive state (Laurentino et al. 2012).

156 Following this, participants performed each of 5 intermittent exercise protocols in randomized  
157 order, with a range of power outputs and cuff inflation procedures. During intermittent exercise

158 with BFR, cuff belts (18 cm wide, aneroid auscultator Missouri®, Japan) were placed proximally  
159 on both legs. Each intermittent exercise session followed the same pattern, with 2 sets of 5  
160 repetitions each. Each repetition lasted 2 minutes, with 1 minute recovery between each repetition.  
161 The rest interval between sets was passive and lasted 5 minutes. Subjects were asked to maintain  
162 a cadence of 70 rpm during the exercise sets. The total duration of the exercise protocols was 35  
163 minutes (bouts plus rest intervals). The intermittent exercise protocols were:

164 High-intensity exercise at 105%  $P_{peak}$  (HI) - Exercise repetitions were initiated at 105%  $P_{peak}$ , and  
165 were decreased by 5% every 30 seconds of exercise until 2 minutes of exercise was completed i.e.  
166 105%, 100%, 95%, and 90%  $P_{peak}$  for 30 s each [adapted from de Oliveira et al. (2015) (de Oliveira  
167 et al. 2015)].

168 Intermittent BFR at 30%  $P_{peak}$  (I-BFR30) - Exercise repetitions were performed at 30%  $P_{peak}$  during  
169 which thigh cuffs were inflated to 20 mmHg above the individual's passive occlusion pressure. In  
170 the periods between the exercise repetitions, the thigh cuffs were deflated to 0 mmHg, and the  
171 power output was decreased to 20 W.

172 Continuous BFR at 30%  $P_{peak}$  (C-BFR30) - Exercise repetitions were performed at 30%  $P_{peak}$ , and  
173 thigh cuffs were inflated to 80% of the individual's passive occlusion pressure throughout the  
174 entire 35 minute protocol (Brandner et al. 2015).

175 Control exercise at 30%  $P_{peak}$  (CON30) - Exercise repetitions were 30%  $P_{peak}$  without cuff inflation.  
176 This protocol acted as a 'cuff control' for the I-BFR30 protocol.

177 Intermittent BFR at unloaded exercise (I-BFR0) - Exercise repetitions were performed at unloaded  
178 cycling for 2 minutes. During the exercise the thigh cuffs were inflated to 20 mmHg above the

179 individual's passive occlusion pressure. In the periods between the exercise repetitions, the thigh  
180 cuffs were deflated to 0 mmHg and unloaded cycling was continued.

### 181 *Measurements*

182 Breath-by-breath cardiopulmonary measurements. Ventilatory and pulmonary gas exchange  
183 variables and heart rate (HR) were measured breath-by-breath using a commercial system (Quark  
184 PFTergo, Cosmed Srl, Rome, Italy). Before each test, the O<sub>2</sub> and CO<sub>2</sub> analysers were calibrated  
185 using ambient air and a gas of known O<sub>2</sub> and CO<sub>2</sub> concentration according to the manufacturer's  
186 instructions, while the turbine flow-meter was calibrated using a 3 L syringe over a range of  
187 different flow rates. Breath-by-breath  $\dot{V}O_2$  and ventilation ( $\dot{V}_E$ ) were averaged every 15 s to  
188 determine the greatest values during the incremental test for ( $\dot{V}O_{2peak}$  and  $\dot{V}_{Epeak}$ , respectively)  
189 (Data Management Software, Cosmed, Rome, Italy).

190 Blood Lactate. Capillary blood samples were collected at discrete points during the exercise tests:  
191 every 3 minutes during incremental exercise, and at rest, 15 minutes (at the end of the first set) and  
192 35 minutes (at the end of the second set) during the intermittent exercise protocols. Capillary blood  
193 was collected in a heparinized capillary tube from the earlobe. [La] was measured by an  
194 electrochemical method, which was calibrated with 5 mmol.L<sup>-1</sup> standards (YSI 1500 Sport,  
195 Yellow Springs Instrument, Yellow Springs, OH, USA).

196 Muscle oxygenation. An index of muscle tissue oxygen saturation (StO<sub>2</sub>, %) was calculated from  
197 signals obtained using a continuous-wave near-infrared spectroscopy (NIRS; PortaMon, Artinis  
198 Medical Systems, Elst, The Netherlands). Light diode emissions at three wavelengths (905, 850,  
199 and 770 nm) were intensity-modulated at a frequency of 1 MHz, across 3 channels (3 equivalent  
200 pulsed light sources, and 1 avalanche photodiode detector with shielding from ambient light).

201 Emitters were spaced at 30, 35 and 40 mm from the detector. The intensity of received light was  
202 used to resolve the relative absorption (expressed as change from baseline, expressed in arbitrary  
203 units) of oxyhemoglobin (O<sub>2</sub>Hb) and deoxyhemoglobin (HHb) and their sum (tHb) within the  
204 tissues under the probe and sampled at 10 Hz. From these, the StO<sub>2</sub> index was calculated using  
205 spatially resolved spectroscopy. NIRS is unable to separate signals from hemoglobin and  
206 myoglobin (Mb), therefore all signals represent some weighted contribution from both Hb and Mb  
207 chromophors. The NIRS system was placed on the medial gastrocnemius at the point of the largest  
208 circumference of the calf secured on the skin with tape, covered with a dense black vinyl sheet,  
209 and wrapped with an elastic bandage. This aimed to minimize light interference and movement of  
210 the equipment during cycling exercise.

211 Perception of effort (RPE). The Borg category-ratio CR-10 scale was used to quantify the  
212 perception of effort during the intermittent exercise protocols (Borg 1998). Participants were  
213 instructed “Rate the intensity of your effort”, and coached to integrate sensations of pain (e.g. from  
214 compression of the tissues during cuff inflation) and effort (e.g. from exercise) into a single overall  
215 rating based on the perception of the tolerability of the exercise.

#### 216 *Statistical procedures*

217 Data are presented as mean and standard deviation (SD). Normality was verified using Shapiro-  
218 Wilk’s test. Comparisons of  $\dot{V}O_{2max}$  and  $P_{peak}$  at the beginning and end of the study (visit 1 and  
219 visit 7) were made by paired Student’s t-test. For physiologic variables during intermittent exercise  
220 protocols, the final 30 seconds of each 2 minute repetition was averaged to produce a mean (SD)  
221 for each set (sets 1 and 2), and the differences within variables were compared using mixed-model  
222 ANOVA (SPSS, v19.0, IBM Corporation, New York, USA), with the participant included as a  
223 random effect. Condition (5 intermittent exercise protocols) and set (2 sets per visit) were fixed

224 effects. When differences were indicated, *post hoc* analyses were performed using the Bonferroni  
225 adjustment for multiple comparisons. The uncertainties in the effects were expressed as 95%  
226 confidence limits and significance was accepted at an  $\alpha \leq 0.05$ .

227

## 228 **RESULTS**

### 229 *Incremental exercise*

230  $\dot{V}O_{2\text{peak}}$  averaged  $47.9 \pm 5.8 \text{ mL}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  at visit 1, and was not different at visit 7 ( $47.9 \pm 5.9$   
231  $\text{mL}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ ;  $p = 0.80$ ).  $P_{\text{peak}}$  was also not different between visits 1 and 7 ( $252 \pm 29$  vs.  $249 \pm 28$   
232 W, respectively;  $p = 0.26$ ). These data confirmed that aerobic fitness remained stable during the  
233 study.

### 234 *Passive occlusion pressure*

235 Passive occlusion pressure was  $129 \pm 16 \text{ mmHg}$  among participants, resulting in an average  
236 pressure of  $149 \pm 16 \text{ mmHg}$  during protocols with intermittent cuff inflations (I-BFR30 and I-  
237 BFR0) and  $103 \pm 14 \text{ mmHg}$  during the protocol with continuous cuff inflation (C-BFR30).

238 **- INSERT FIGURE 1 -**

### 239 *Intermittent exercise protocols*

240 An example of the  $\dot{V}O_2$  responses to the 5 intermittent exercise protocols for a representative  
241 participant is shown in Figure 1. The group mean gastrocnemius  $StO_2$ ,  $O_2Hb$ ,  $HHb$  and  $tHb$   
242 responses to the 5 intermittent exercise protocols are presented in Figure 2. As expected, the  
243 transient changes in  $\dot{V}O_2$  and  $StO_2$  were greatest for HI, with the peak  $\dot{V}O_2$  and nadir  $StO_2$  rapidly  
244 reaching an approximately-stable fluctuation by approximately the 3<sup>rd</sup> repetition of the first set.

245 Overall, while  $\dot{V}O_2$  was strongly dependent upon power output (105%  $P_{peak}$ , 30%  $P_{peak}$  or  
246 unloaded), the muscle  $StO_2$  and HHb responses, in particular, were strongly influenced by the cuff  
247 protocol (intermittent occlusion, continuous restriction or free flow).

248 *- INSERT FIGURE 2 -*

249 Pulmonary responses. The group average physiologic responses to the 5 intermittent exercise  
250 protocols are presented in Figure 3. Within protocols there was no effect of set on  $\dot{V}O_2$  (i.e.  $\dot{V}O_2$   
251 x set;  $p > 0.05$ ). Conversely,  $\dot{V}_E$  showed a significant set effect in the HI exercise protocol only,  
252 resulting in a greater  $\dot{V}_E$  in set 2 compared with set 1 ( $156. \pm 34$  vs.  $137 \pm 25$  L.min<sup>-1</sup>;  $p < 0.01$ ).  
253 Between protocols group mean  $\dot{V}O_2$  and  $\dot{V}_E$  followed a similar pattern (Figure 3A and 3B);  $\dot{V}O_2$   
254 and  $\dot{V}_E$  were greater in HI in both exercise sets compared to all other protocols ( $p < 0.05$ ), there  
255 were no differences among C-BFR30, CON30 or I-BFR30 conditions ( $p > 0.05$ ), and I-BFR0  
256 resulted in a significantly lower responses compared to all other conditions ( $p < 0.05$ ).

257 Cardiometabolic responses. Group mean HR, [La] and muscle  $StO_2$  responses are shown in Figure  
258 3. Within protocols there was no set effect for HR. [La] was significantly increased compared with  
259 baseline in both the HI and I-BFR30 protocols during set 1 ( $p < 0.01$ ), and between set 1 and set 2  
260 ( $p < 0.01$ ) (Figure 3F). In the C-BFR30 protocol [La] significantly increased between baseline and  
261 set 2 ( $p < 0.01$ ), whereas [La] remained unchanged from baseline throughout the CON30 ( $p > 0.05$ )  
262 and I-BFR0 ( $p > 0.05$ ) protocols. There was no effect of set on  $StO_2$ , except for during I-BFR0  
263 where  $StO_2$  in set 1 was less than in set 2 ( $60.1 \pm 7.9$  vs  $63.2 \pm 7.0$  %,  $p = 0.03$ ).

264 Between protocols, the HR (Figure 3C) and [La] (Figure 3F) responses were similar: HR and [La]  
265 were greater in the HI compared to all other protocols ( $p < 0.05$ ), and were lower in CON30 and  
266 I-BFR0 compared with all other protocols ( $p < 0.05$ ). HR and [La] were not different between I-

267 BFR30 and C-BFR30 protocols, except for [La] at the end of set 1, where [La] I-BFR30 was  
268 greater than C-BFR30 ( $4.2 \pm 1.1$  vs  $2.6 \pm 1.1$  mmol.L<sup>-1</sup>) ( $p = 0.014$ ).

269 The StO<sub>2</sub> responses between the 5 intermittent exercise protocols are presented in Figure 3D.  
270 During intermittent restriction protocols the set 1 value of StO<sub>2</sub> ( $59.4 \pm 4.1$  and  $60.1 \pm 7.9$  %, for  
271 I-BFR30 and I-BFR0 respectively) were similar to one another and were not different from HI  
272 ( $57.8 \pm 5.8$  %;  $p > 0.05$ ). While StO<sub>2</sub> in I-BFR30 and I-BFR0 were similar, as expected the HHb  
273 amplitude was less in I-BFR0 than I-BFR30 (Figure 2). During set 2 however, StO<sub>2</sub> remained low  
274 during HI ( $58.1 \pm 7.2$  %) and I-BFR30 ( $60.5 \pm 6.6$  %) protocols, and was greater during I-BFR0  
275 ( $63.2 \pm 7$  %,  $p < 0.01$ ). During the continuous restriction protocol (C-BFR30) and the control  
276 protocol with free flow (CON30) the StO<sub>2</sub> was greater than all other protocols (StO<sub>2</sub> averaged in  
277 sets 1 and 2 was  $64.6 \pm 4.5$  and  $67.3 \pm 4.2$  %, respectively;  $p < 0.05$ ) and did not differ from each  
278 other ( $p = 0.58$ ).

279 Perception of effort (RPE). Broadly, the sense of effort responded similarly to the pattern observed  
280 in [La]. Within protocols there was a significant effect of set during HI, I-BFR30 and C-BFR30,  
281 with RPE in set 1 ( $8.5 \pm 1.4$ ;  $4.3 \pm 2.1$  and  $5.0 \pm 2.2$  respectively) lower than set 2 ( $9.8 \pm 0.5$ ;  $5.6$   
282  $\pm 2.1$  and  $7.4 \pm 2.6$  respectively;  $p < 0.05$ ). Between protocols, RPE was greatest during HI and  
283 lowest during CON30 and I-BFR0. The two cuff protocols at 30% P<sub>peak</sub> (I-BFR30 and C-BFR30)  
284 resulted in RPE values that were intermediate (Figure 3E), being similar in set 1 and becoming  
285 different from one another in set 2 ( $p = 0.01$ ).

286 **- INSERT FIGURE 3 -**

287 **DISCUSSION**

288 Only a few studies have investigated the efficacy of BFR endurance training, and the training  
289 responses in these studies have been highly variable (Abe et al. 2010a; Abe et al. 2006; Abe et al.  
290 2010b; Park et al. 2010; Sundberg 1994). This variability is likely due to the different combinations  
291 of exercise training and blood flow restriction or occlusion protocols used. Our study aimed to  
292 identify key features of combined endurance exercise and BFR that would provide a high  
293 cardiopulmonary and metabolic strain, and thus be expected to provide a strong adaptive stimulus  
294 during exercise training, while minimizing the perception of effort. We found that a 35 minute  
295 intermittent exercise protocol at 30% peak aerobic power with intermittent BFR at ~150 mmHg  
296 resulted in a significantly increased blood lactate, was well tolerated by all participants, and  
297 resulted in a muscle StO<sub>2</sub> that was not different from high-intensity interval exercise exceeding  
298 100% peak aerobic power.

299 While our results confirm that pulmonary  $\dot{V}O_2$  is predominantly dependent on the power output,  
300 they also show that blood lactate and muscle oxygenation could be modulated using the various  
301 BFR protocols investigated. Specifically, some participants struggled to complete the required 10  
302 intervals of traditional high intensity exercise (HI). The perceived exertion was maximal at the end  
303 of HI, whereas RPE was significantly less during all BFR protocols (reflected in low  $\dot{V}O_2$ , HR and  
304 ventilatory demands of all BFR exercise tasks). As expected, the control conditions, either with (I-  
305 BFR0) or without BFR (CON30), elicited only minor perturbations in cardiopulmonary, metabolic  
306 or perceptual strain. Our primary hypothesis that intermittent higher-pressure BFR (I-BFR30)  
307 would be better tolerated than continuous lower-pressure BFR (C-BFR30) was supported: RPE  
308 was significantly lower during I-BFR30 (at ~150 mmHg) than C-BFR30 (at ~100 mmHg) despite  
309 a greater increase in blood lactate and a greater decrease in muscle StO<sub>2</sub> in the former. Our  
310 secondary hypothesis was also supported, as we found that muscle deoxygenation profiles in I-

311 BFR30 and HI were similar, whereas C-BFR30 resulted in a smaller degree of muscle  
312 deoxygenation. A greater muscle hypoxia is associated with an enhanced peripheral adaptive  
313 stimulus supporting endurance exercise performance (Sundberg 1994; Takarada et al. 2000;  
314 Sumide et al. 2009; Abe et al. 2006). Therefore, our findings reinforce the notion that moderate-  
315 intensity endurance exercise with intermittent higher-pressure BFR is both well tolerated and  
316 provides a large muscle deoxygenation similar to that seen in traditional, maximal effort, high-  
317 intensity exercise.

### 318 *Metabolic responses to blood flow unrestricted and restricted endurance exercise*

319 We tested a range of blood flow unrestricted and restricted endurance exercise protocols to better  
320 understand the combination of cuff duration, cuff pressure, relative aerobic power that was both  
321 well tolerated and resulted in a strong metabolic perturbation. The reference protocol was 10  
322 repetitions of high-intensity intermittent (2 min exercise, 1 min recovery) cycling exercise at  
323 ~100% peak power (HI), which is well known to provide robust training adaptations (de Oliveira  
324 et al. 2015). While all participants completed this protocol, such high-intensity interval exercise  
325 may be contraindicated in some elderly, patients, or athletes with injuries. Therefore we tested  
326 whether endurance exercise at 30%  $P_{\text{peak}}$  with continuous or intermittent BFR could elicit  
327 metabolic responses that are expected to be conducive to promoting peripheral adaptations.

328 Participants were able to tolerate a greater cuff pressure (~150 mmHg) using intermittent  
329 restriction compared with continuous restriction (~100 mmHg). This seems important because the  
330 blood lactate and muscle  $\text{StO}_2$  response were actually less perturbed during continuous restriction,  
331 despite a greater RPE. Our data suggest that ~100 mmHg pressure applied to both thighs by wide  
332 pressure-cuffs during endurance cycle ergometry was insufficient to have a major impact on  
333 muscle  $\text{StO}_2$  and lactate accumulation in healthy young subjects. In fact,  $\text{StO}_2$  was not different

334 between continuous restriction (C-BFR30) and without restriction (CON30) during exercise at  
335 30%  $P_{peak}$ . This is likely due to a relatively low cuff pressure, an increase in perfusion pressure  
336 above resting, and the action of the muscle pump, each of which may have contributed to  
337 maintaining  $O_2$  delivery during C-BFR30 at the control rate. However, these effects were not  
338 sufficient to restore  $O_2$  delivery to control rates during I-BFR30, where cuff pressures were greater.

339 Although  $StO_2$  was not different between I-BFR0, I-BFR30, and HI during set one, the relative  
340 increase in HHb was less in I-BFR0 than I-BFR30. I-BFR0 was also accompanied by a lower  
341 cardiopulmonary ( $\dot{V}O_2$ , HR) and metabolic strain (lactate, RPE), as expected from this condition,  
342 which had low power output demands. Differences in perfusion pressure, vascular distension and  
343 longitudinal capillary recruitment between the two intermittent BFR conditions, which differed in  
344 the influence of muscle pump, muscle  $O_2$  consumption, and systemic blood pressure responses  
345 during exercise, likely contribute to the similar set 1  $StO_2$  responses. Nevertheless, the greater  $\dot{V}O_2$   
346 and HHb responses in I-BFR30 than I-BFR0 are consistent with a greater physiologic perturbation  
347 throughout the I-BFR30 condition.

348 Since the discomfort associated with continuous restriction was greater than intermittent,  
349 continuous, lower-pressure, BFR may be a sub-optimal approach for the design of BFR endurance  
350 training protocols. On the other hand, the greatest effect of all the BFR protocols investigated was  
351 that muscle  $StO_2$  was not different between I-BFR30 and HI, despite a wide difference in  $\dot{V}O_2$   
352 (40% versus 95%  $\dot{V}O_{2max}$ ). Local muscle hypoxia (amongst other variables) is known to be an  
353 important component of the angiogenic stimulus, resulting an increase in hypoxia inducible factor-  
354 1a (HIF-1a) and consequent transcription of vascular endothelial growth factor (VEGF) (Egginton  
355 2009), (Hudlicka and Brown 2009). Therefore, the relative deoxygenation, combined with the low  
356 relative workload, in I-BFR30 may act as an efficient trigger promoting angiogenesis (Evans et al.

2010), (Hunt et al. 2013; Kacin and Strazar 2011; Larkin et al. 2012). The increase in muscle capillary density is a well-established adaptation following high intensity interval training (Daussin et al. 2008) and may underlie a major component of the increase in aerobic capacity and high-intensity exercise tolerance (Hoppeler et al. 1985; Wagner 1996). Similarly, muscle metabolic stimuli associated with high rates of lactate production and accumulation, as well as tissue hypoxia, contribute to regulation of the training response (Brooks 2016; Niooie and Samaneh 2016; Wahl et al. 2011; Terrados et al. 1990). Therefore, the greater lactate accumulation and  $\text{StO}_2$  reduction during intermittent, higher-pressure, BFR likely indicate enhanced conditions for muscle remodeling compared with continuous, lower-pressure, BFR. Furthermore, while the central cardiopulmonary strain to I-BFR30 remained low, these data also provide an indication that this protocol may generate a muscle adaptive stimulus that is similar to that traditionally associated with high intensity interval training. These suggestions remain to be tested.

By restricting  $\text{O}_2$  delivery during our intermittent BFR protocol (I-BFR30), we significantly increased [La] accumulation and non-invasively estimated  $\text{O}_2$  extraction ( $\text{StO}_2$ ), compared to the same power output without BFR (CON30). Ozaki et al. (2014) also found a greater lactate accumulation during walking exercise with BFR, but this is unsurprising considering the cuff pressure was much greater in their protocol (240 mmHg). The addition of BFR during endurance exercise may promote a greater activation of higher-order motor units to compensate for increased fatigue development during restricted blood flow (Karabulut et al. 2014; Moritani et al. 1992; Sundberg 1994). If so, the observed earlier onset of lactate accumulation during I-BFR30 compared with C-BFR30 may be in part due to an increased contribution to power production from less oxidative muscle fibers, despite the power output and  $\dot{V}\text{O}_2$  response remaining low (30% peak power). Thus, increasing the activation of higher-order poorly-oxidative muscle fibers during BFR

380 endurance exercise, as well as increasing the metabolic perturbations in lower-order fibers, may  
381 underlie the endurance training benefits of the BFR paradigm (Moritani et al. 1992; Takarada et  
382 al. 2000; Sundberg 1994). Additional studies of muscle activation, muscle fatigue and fiber-  
383 specific metabolic perturbations using the I-BFR30 protocol are needed to confirm these  
384 suggestions.

385

### 386 *Cardiopulmonary responses to blood flow unrestricted and restricted endurance exercise*

387 Recent studies have investigated the potential efficacy of BFR training during endurance exercise  
388 tasks using cycling (Abe et al. 2010a; de Oliveira et al. 2015; Keramidis et al. 2012) and walking  
389 (Abe et al. 2006; Abe et al. 2010b; Ozaki et al. 2014; Park et al. 2010; Renzi et al. 2010). Using  
390 photoplethysmography, Renzi et al. (2010) showed a greater increase in HR and mean arterial  
391 pressure, and smaller decrease in total peripheral resistance, during BFR walking exercise  
392 compared to control, consistent with the expected reduction in venous return in BFR (Iida et al.  
393 2007). However, the overall increase in central hemodynamics (HR and estimated cardiac output)  
394 during BFR exercise remained low compared to predicted maxima. We compared directly the  
395 cardiopulmonary responses during BFR endurance exercise and traditional high-intensity interval  
396 exercise. HI provided a frame of reference to better understand the central cardiopulmonary strain  
397 of BFR endurance exercise. We found HR was approximately 16% greater in both BFR protocols  
398 (I-BFR30 and C-BFR30) compared to the same power output without blood flow restriction  
399 (CON30), consistent with previous reports (Abe et al. 2006; de Oliveira et al. 2015; Renzi et al.  
400 2010). Because we found a greater blood lactate concentration in BFR, the greater HR response  
401 may also be consequent to chemoreceptor stimulation causing increased sympathetic outflow  
402 (Hayes et al. 2009). Nevertheless, peak HR remained low during BFR (65-76% of  $HR_{max}$ ), in

403 comparison with HI where  $HR_{max}$  was reached at the end of the training session in all participants.  
404 Thus, our I-BFR30 design provided conditions associated with a strong peripheral adaptive  
405 stimulus (a raised blood lactate, and a  $StO_2$  of similar magnitude to that in HI) but with a relatively  
406 low central cardiopulmonary demand, illustrated by the moderate elevation in HR and RPE.  
407 Whether this combines with a mean arterial pressure response to reduce cardiac power demands  
408 compared with HI, and therefore provide a promising adjunct for rehabilitation endurance training  
409 in cardiac disease, remains to be determined. Further studies are required to establish the safety  
410 and efficacy for BFR endurance training in cardiac diseases.

411 Because it is known that HR, blood lactate and pain from the cuff are increased by BFR, we were  
412 interested in whether there was a significant effect on ventilation ( $\dot{V}_E$ ) during BFR endurance  
413 exercise. The rationale for BFR exercise is that it is a beneficial paradigm for exercise training in  
414 vulnerable populations such as the elderly (Abe et al. 2010b; Vechin et al. 2015; Yasuda et al.  
415 2014) or patients with chronic disease (Gualano et al. 2010; Madarame et al. 2013; Mattar et al.  
416 2014). However, lung function declines with age (Campbell and Lefrak 1978; Mahler et al. 1986)  
417 meaning that healthy elderly are more likely to have a low breathing reserve during exercise than  
418 young participants at a similar relative power output, particularly in women (Guenette et al. 2007).  
419 Interestingly, despite a greater HR, blood lactate, and RPE in BFR exercise,  $\dot{V}_E$  remained very low  
420 during both I-BFR30 and C-BFR30 protocols (21-30% of peak  $\dot{V}_E$ ), and far below the value  
421 reached during HI (52-90% of peak  $\dot{V}_E$ ). Indeed the  $\dot{V}_E$  response was not significantly greater in  
422 BFR compared with the control condition at the same power output without blood flow restriction  
423 (CON30). The  $\dot{V}_E$  response followed closely the dynamics of  $\dot{V}O_2$  in the BFR endurance protocols,  
424 and was not greatly increased by the enhanced metabolic acidosis. Looking forward, the similar  
425  $\dot{V}_E$  response to the control condition may be important considering clinical populations with

426 limited ventilatory capacity, such as in chronic obstructive pulmonary disease, where expiratory  
427 flow limitation is associated with dynamic hyperinflation, an increased work of breathing, and low  
428 ventilatory capacity (O' Donnell & Laveneziana, 2007; Casaburi & ZuWallack, 2009). Pulmonary  
429 rehabilitation is one of the most effective treatments for COPD, largely because of training effects  
430 that promoting the oxidative phenotype in skeletal muscle (Maltais et al. 2015). Training  
431 approaches that promote peripheral muscle adaptations while reducing the ventilatory demands of  
432 the exercise (such as isolated-muscle training, hyperoxic gas breathing or non-invasive ventilation)  
433 have been shown to be efficacious in COPD (Emtner et al. 2003; Dolmage & Goldstein 2006;  
434 Vogiatzis 2011). In this regard, BFR appears to have promise. Nevertheless, additional studies are  
435 required to confirm the safety and efficacy of BFR exercise in these clinical populations.

#### 436 *Limitations*

437 The study was performed in healthy young individuals, thus caution should be taken to extrapolate  
438 these findings to different populations. In addition, further work is needed to establish the safety  
439 of BFR endurance exercise in vulnerable patient groups. This study was only designed to measure  
440 acute physiological responses to BFR endurance exercise. Whilst the efficacy of I-BFR30 (with  
441 high BFR pressure) to enhance aerobic and strength parameters was previously verified (de  
442 Oliveira et al. 2015). It is important note that the exercise protocol present here, was an  
443 optimization of that used previously (de Oliveira et al. 2015). In this study, we individualized the  
444 cuff pressures for the BFR conditions (Loenneke et al. 2013) to better normalized among  
445 individuals. As consequence, a lower absolute pressure was utilized in this study (150 mmHg)  
446 compared to those used in de Oliveira et al. (2015) (140-200 mmHg throughout 4 weeks of  
447 training). The physiologic responses in both studies were largely similar in metabolic (lactate) and  
448 cardiovascular parameters (percentage of  $\dot{V}O_{2max}$  and  $HR_{max}$ ), suggesting that even with the lower

449 cuff used pressures herein, we anticipate positive training adaptations similar to de Oliveira et al.  
450 (2015). Because the cuff pressure during training is one of the exercise intensity variables and the  
451 subjects seem to adapt to the occlusion stimulus during the early phase of the training, previous  
452 aerobic BFR training designs (Abe et al. 2006; Abe et al. 2010; de Oliveira et al. 2015) have  
453 progressively increased the cuff pressure to keep inducing a training overload. Nevertheless,  
454 further work is still needed to establish the efficacy of I-BFR30 or other BFR endurance training  
455 protocols and to find the best manner to adjust the workload over the training program (if  
456 increasing volume, intensity or restriction pressure).

457 Another limitation of this study is that we did not quantify the local muscle conditions during the  
458 different protocols. We were limited to interpretation of whole-body metabolism ( $\dot{V}O_2$ , capillary  
459 blood lactate) and peripheral relative deoxygenation (gastrocnemius medialis  $StO_2$ ). Although  
460 blood lactate (at least within individuals) may provide an index of metabolic strain, caution is  
461 warranted in interpreting our results due to cuff-induced changes in blood flow that influence  
462 lactate release and clearance within working muscles and throughout the body, that will have  
463 differed among the different protocols. Similarly, we measured muscle oxygenation in the  
464 gastrocnemius medialis during each condition as a representative muscle contributing to force  
465 production and whole-body metabolism during cycling. This muscle was chosen for practical  
466 reasons because we were unable to have sufficient space in all participants to implement both the  
467 BFR thigh cuffs and the NIRS probe on the vastus lateralis or medialis. Therefore, while the  
468 gastrocnemius medialis does not provide the majority of the power production during cycling we  
469 chose this NIRS site on the basis that it could be reliably accessed in all participants and the  
470 gastrocnemius medialis and vastus lateralis each show similar profiles of deoxygenation during  
471 incremental cycle ergometry (Takagi et al. 2013). Thus, oxygenation changes in the gastrocnemius

472 medialis during the different free-flow and blood flow restricted protocols are assumed to be  
473 representative of conditions within the quadriceps – the primary power producing muscles for  
474 cycling. However, there is a wide heterogeneity of muscle oxygenation both within and between  
475 muscles during cycling (Koga et al. 2014; Okushima et al. 2015). Therefore future studies, with  
476 regional muscle measurements, are needed to better understand the impact of different BFR  
477 protocols on muscle oxygenation and metabolism during cycling exercise. Regional measurements  
478 of muscle oxygenation (e.g. multisite NIRS), muscle activity (e.g. multisite electromyography)  
479 and muscle metabolism (e.g. by biopsy) will enhance our understanding of the adaptive stimuli  
480 under different conditions of blood flow restriction during cycling exercise.

#### 481 *Practical application and perspectives*

482 The I-BFR30 protocol appeared to have balanced well the competing needs to have low power  
483 output and high cuff-pressures (to optimize the efficacy of BFR), with a tolerable perception of  
484 pain and effort, while maintaining a physiological response profile consistent with producing  
485 adaptive stimuli (increased blood lactate and low muscle  $S_tO_2$ ). In order to complete the same  
486 intermittent exercise protocol with continuous BFR, we found during pilot testing that lower  
487 restriction pressures were needed: otherwise participants stopped early due to pain. The  
488 continuous, lower cuff pressure, however, was insufficient to increase lactate, or decrease  $S_tO_2$ , to  
489 the same extent. Therefore, our intermittent BFR protocol performed with higher pressures (20  
490 mmHg above pressure needed for resting limb occlusion) seems to be a pragmatic basis on which  
491 to begin the optimization of a personalized BFR endurance exercise training program (Brandner  
492 et al. 2015; de Oliveira et al. 2015; Sumide et al. 2009).

493 BFR may be an advantageous alternative for endurance training in populations where high loads  
494 or intensities are contraindicated. Individuals that require avoidance of high mechanical impact

495 (elderly, athletes recovering of injury) may be still able to maintain or increase endurance fitness  
496 using the BFR approach. That cycling is body-weight supported (unlike walking) strengthens the  
497 rationale for its use in these vulnerable groups. The ability to stimulate muscle angiogenesis with  
498 low metabolic and ventilatory demands may be particularly advantageous for some patient  
499 populations where muscle capillary rarefaction is particularly significant (e.g. COPD, CHF)  
500 (Gouzi et al. 2013; Wagner 1996).

### 501 *Conclusion*

502 Intermittent low power output exercise combined with intermittent blood flow restriction at 20  
503 mmHg above of the passive restriction pressure (I-BFR30) was well tolerated, and increased  
504 muscle metabolic strain (increased lactate and reduced StO<sub>2</sub>) compared to exercise with  
505 spontaneous blood flow. Interestingly, with this protocol, muscle oxygenation was not  
506 significantly different from high-intensity intermittent exercise that is known to provide a strong  
507 adaptive stimulus to muscle remodeling. Thus, a low power intermittent exercise protocol (30%  
508 P<sub>peak</sub>) with combined intermittent blood flow restriction (~150 mmHg) appeared to generate a  
509 muscle metabolic stain that was similar to traditional high-intensity aerobic interval exercise, but  
510 which relies upon a far greater power output for training efficacy. Therefore, endurance cycling  
511 with intermittent BFR promotes muscle deoxygenation and metabolic strain, which may translate  
512 into increased endurance training adaptations while minimizing power output and RPE.

513

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517

518 ***CONFLICT OF INTEREST***

519 The authors declared have no conflict of interest.

520

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697 **Figure Legends**

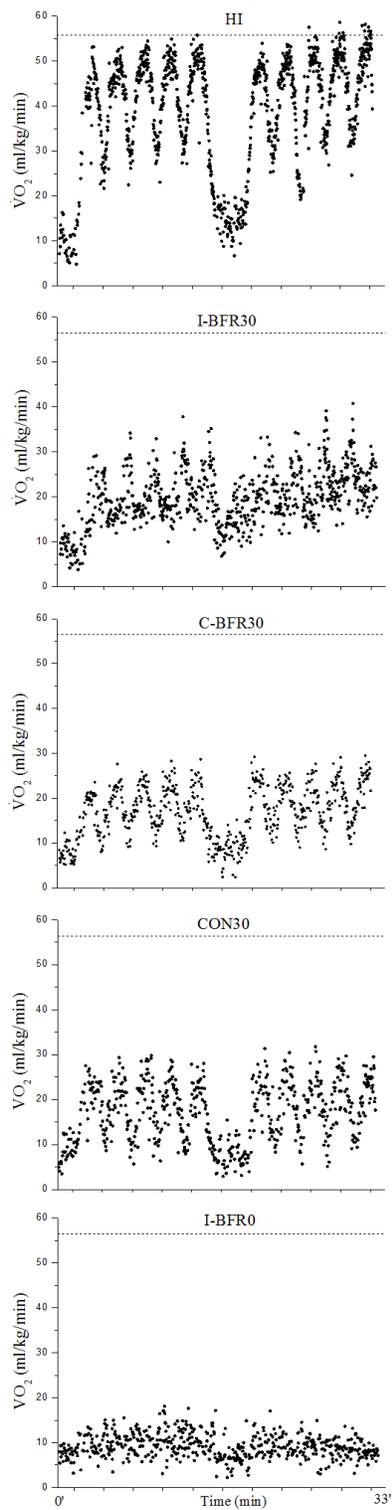
698 **Figure 1.** Pulmonary O<sub>2</sub> uptake ( $\dot{V}O_2$ ) responses to five different intermittent exercise protocols,  
699 with and without blood flow restriction (BFR), in a representative participant. Intermittent exercise  
700 was two sets of 2 minutes exercise and 1 minute recovery, with 5 repetitions per set, and 5 minutes  
701 resting recovery between sets. A) HI, high-intensity exercise starting at 105% P<sub>peak</sub>. B) I-BFR30,  
702 intermittent BFR (~150 mmHg) at 30% P<sub>peak</sub>. C) C-BFR30, continuous BFR (~100 mm Hg) at  
703 30% P<sub>peak</sub>. D) CON30, control exercise without BFR at 30% P<sub>peak</sub>. E) I-BFR0, intermittent BFR  
704 (~150 mmHg) in unloaded exercise.

705 **Figure 2.** Group mean muscle oxygenation (StO<sub>2</sub>) and relative change from baseline of  
706 deoxygenated hemoglobin+myoglobin (HHb), oxygenated hemoglobin+myoglobin (O<sub>2</sub>Hb) and  
707 total hemoglobin+myoglobin (tHb) in response to five different intermittent exercise protocols,  
708 with and without blood flow restriction (BFR). Intermittent exercise was two sets of 2 minutes  
709 exercise and 1 minute recovery, with 5 repetitions per set, and 5 minutes resting recovery between  
710 sets. A) HI, high-intensity exercise starting at 105% P<sub>peak</sub>. B) I-BFR30, intermittent BFR (~150  
711 mmHg) at 30% P<sub>peak</sub>. C) C-BFR30, continuous BFR (~100 mm Hg) at 30% P<sub>peak</sub>. D) CON30,  
712 control exercise without BFR at 30% P<sub>peak</sub>. E) I-BFR0, intermittent BFR (~150 mmHg) in  
713 unloaded exercise.

714 **Figure 3.** Group mean cardiopulmonary and metabolic responses to five different intermittent  
715 exercise protocols, with and without blood flow restriction (BFR). Intermittent exercise was two  
716 sets of 2 minutes exercise and 1 minute recovery (at 20W), with 5 repetitions per set, and 5 minutes  
717 resting recovery between sets. Protocols were: HI, high-intensity exercise starting at 105% P<sub>peak</sub>;  
718 I-BFR30, intermittent BFR (~150 mmHg) at 30% P<sub>peak</sub>; C-BFR30, continuous BFR (~100 mmHg)  
719 at 30% P<sub>peak</sub>; CON30, control exercise without BFR at 30% P<sub>peak</sub>; I-BFR0, intermittent BFR (~150  
720 mmHg) in unloaded exercise. A) Pulmonary O<sub>2</sub> uptake ( $\dot{V}O_2$ ). B) Ventilation ( $\dot{V}_E$ ). C) Heart rate  
721 (HR). D) Gastrocnemius oxygenation by near-infrared spectroscopy (StO<sub>2</sub>). E) Rating of perceived  
722 exertion (CR10, RPE). F) Capillary blood lactate ([La]). Panels A-B-D ( $\dot{V}O_2$ ,  $\dot{V}_E$ , StO<sub>2</sub>) are  
723 represented by the average of the last 30 seconds of each repetition. Panels C and E (HR, RPE)  
724 show the greatest value in the last 10 seconds of each repetition. Panel F ([La]) was measured at  
725 rest, and immediately at the end of each exercise set. <sup>a</sup> p<0.05 vs. I-BFR30, C-BFR30, CON30, I-

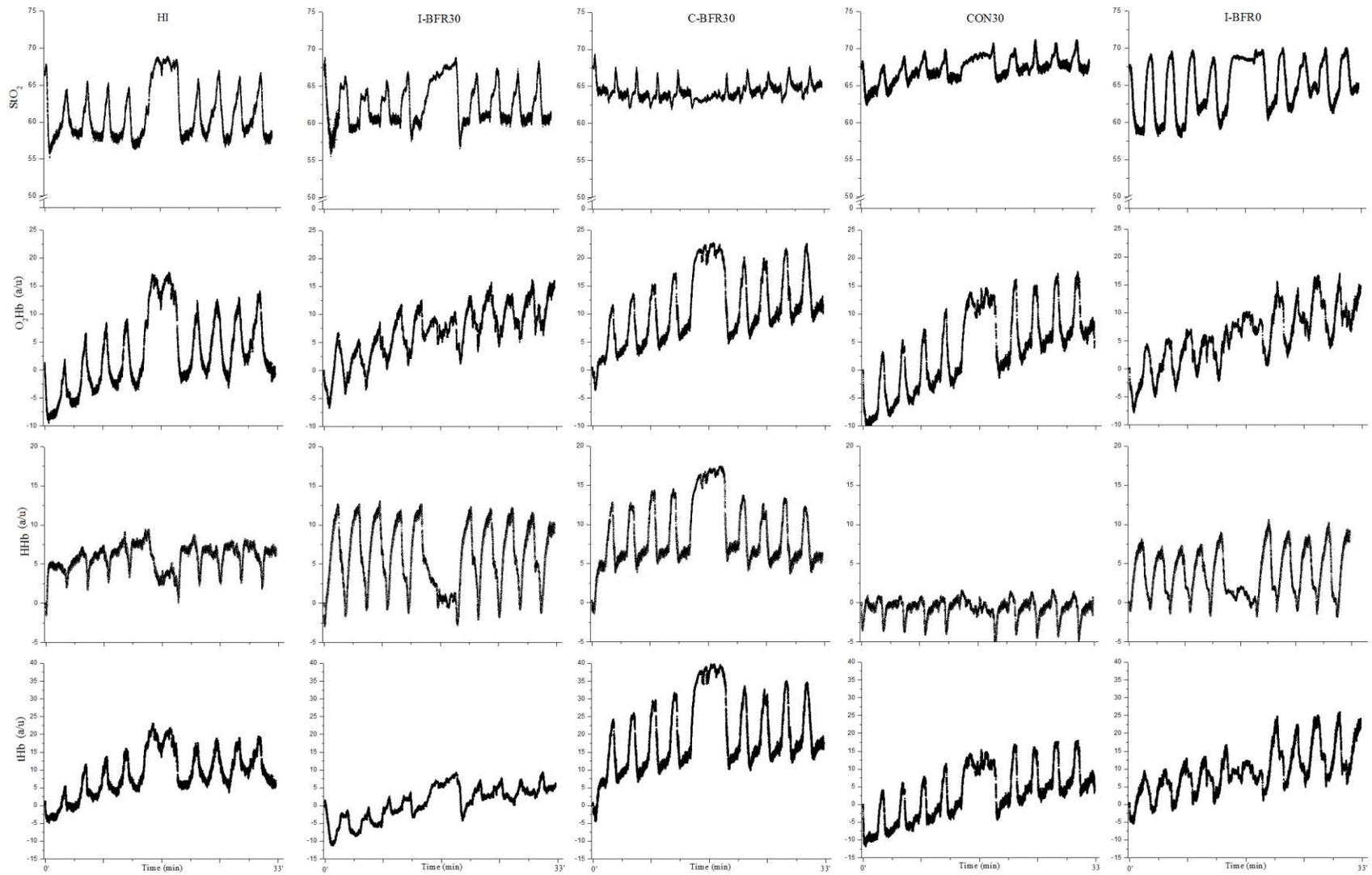
726 BFR0. <sup>b</sup> p<0.05 vs. I-BFR0. <sup>c</sup> p<0.05 vs. CON30. <sup>d</sup> p<0.05 vs. C-BFR30. <sup>e</sup> p<0.05 vs. set 1. <sup>f</sup>  
727 p<0.05 vs. from rest.

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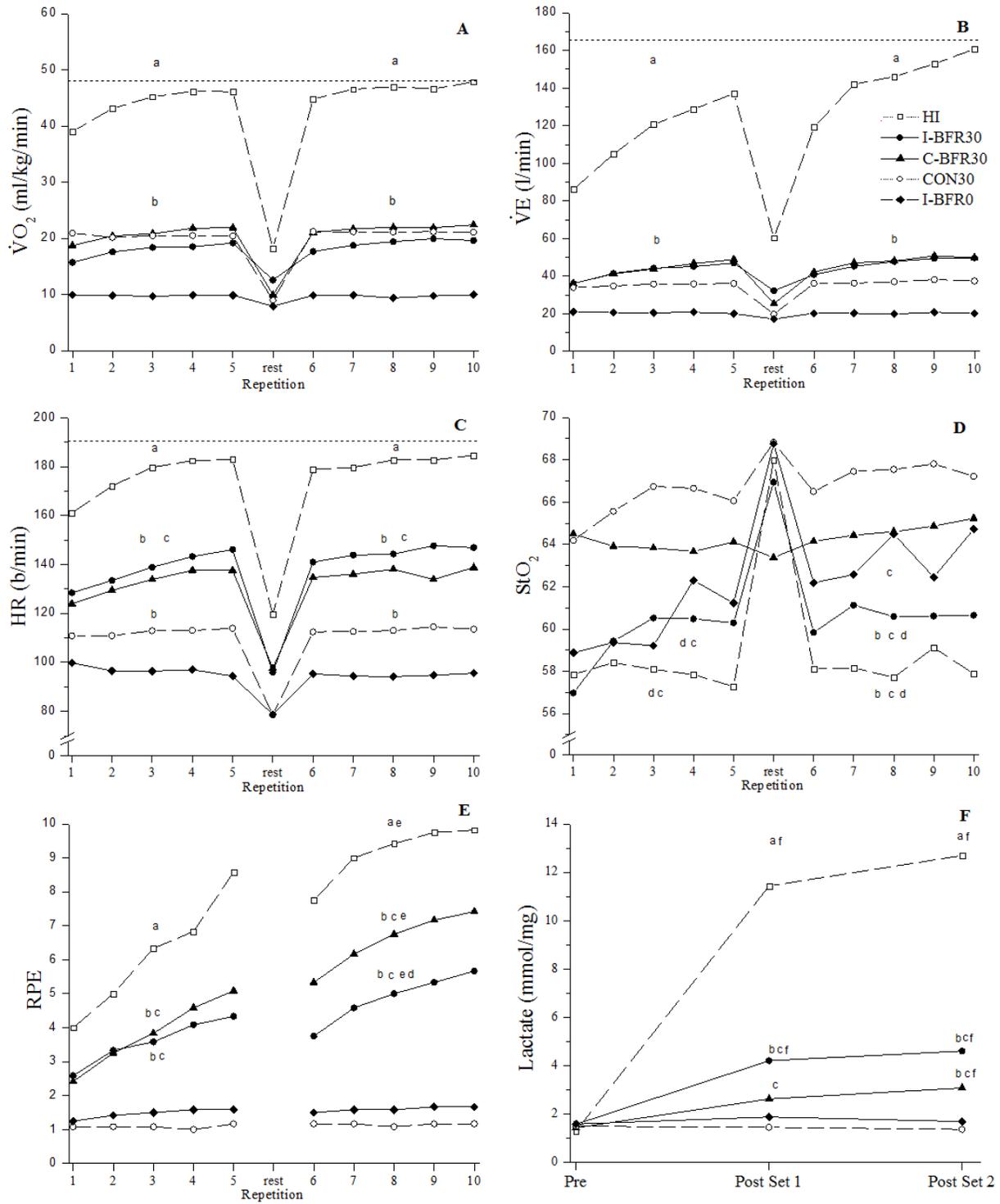
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731 **Figure 1**



732

733 **Figure 2**



734

735 **Figure 3**