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# Integrating field and satellite data for spatially-explicit inference on the density of threatened

## arboreal primates



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Note: The following files were submitted by the author for peer review, but cannot be converted to PDF. You must view these files (e.g. movies) online.	
DataS1.csv	



**Integrating field and satellite data for spatially-explicit inference on the density of threatened arboreal primates**

Nathalie Cavada<sup>1,2</sup>, Marco Ciolli<sup>1</sup>, Duccio Rocchini<sup>3</sup>, Claudia Barelli<sup>2</sup>, Andrew R. Marshall<sup>4,5</sup>,  
Francesco Rovero<sup>2,6</sup>

<sup>1</sup>DICAM Department of Civil, Environmental and Mechanical Engineering, University of Trento,  
Trento, Italy.

<sup>2</sup>Tropical Biodiversity Section, MUSE - Museo delle Scienze, Trento, Italy.

<sup>3</sup>Biodiversity and Molecular Ecology Department, Research and Innovation Centre – Fondazione  
Edmund Mach, San Michele all'Adige, Italy.

<sup>4</sup>CIRCLE, Environment Department, University of York, York, United Kingdom.

<sup>5</sup>Flamingo Land Ltd., Kirby Misperton, North Yorkshire, York, United Kingdom.

<sup>6</sup>Udzungwa Ecological Monitoring Centre, Udzungwa Mountains National Park, Mang'ula,  
Tanzania.

Correspondence: Nathalie Cavada, DICAM Department of Civil, Environmental and Mechanical  
Engineering, University of Trento, Via Mesiano 77, 38123 Trento, Italy.

Phone: +393404122810; E-mail: nathalie.cavada@unitn.it

**Keywords:** abundance; basal area; GIS; Landsat; primates; remote sensing; spatially explicit  
models; tropical forest; Udzungwa.

**Abstract**

Spatially explicit models of animal abundance are a critical tool to inform conservation planning and management. However, they require the availability of spatially diffuse environmental predictors of abundance, which may be challenging especially in complex and heterogeneous habitats. This is particularly the case for tropical mammals, such as non-human primates, that depend on multi-layered and species-rich tree canopy coverage, which is usually measured through a limited sample of ground plots. We developed an approach that calibrates remote-sensing imagery to ground measurements of tree density to derive basal area, in turn used as a predictor of primate density based on published models. We applied generalized linear models (GLM) to relate 9.8 ha ground samples of tree basal area to various metrics extracted from Landsat 8 imagery. We tested the potential of this approach for spatial inference of animal density by comparing the density predictions for an endangered colobus monkey, to previous estimates from field transect counts, measured basal area, and other predictors of abundance. The best GLM had high accuracy and showed no significant difference between predicted and observed values of basal area. Our species distribution model yielded predicted primate densities that matched those based on field measurements. Results show the potential of using open-access and global remote sensing data to derive an important predictor of animal abundance in tropical forests and in turn to make spatially explicit inference on animal density. This approach has important, inherent applications as it greatly magnifies the relevance of abundance modeling for informing conservation. This is especially true for threatened species living in heterogeneous habitats where spatial patterns of abundance, in relation to habitat and/or human disturbance factors, are often complex and, management decisions - such as improving forest protection - may need to be focused on priority areas.

**Introduction**

Species abundance estimation and the identification of factors predicting its variation is a pervasive goal in ecology and conservation biology and it is gaining increasing attention through the emergent potential of spatially explicit modeling (Guisan and Zimmermann 2000, Guisan and Thuiller 2005,

Wulder and Franklin 2006, Anadón et al. 2010). This is particularly true for threatened species living in heterogeneous landscapes, where habitat structure and human disturbance vary according to complex spatial patterns. In these contexts, inference on abundance becomes truly informative only when it accounts for such heterogeneity (Arroyo-Rodríguez and Fahrig 2014). Human-modified landscapes are also expanding in tropical areas, where forest fragmentation, degradation and defaunation strongly affect species viability (Balmford and Whitten 2003, Arroyo-Rodríguez and Fahrig 2014). However, because of limited and substandard data, spatially explicit models are less exploited in tropical areas compared to temperate ones (Cayuela et al. 2009). Thus, integrating the use of field data with remote sensing data represents an advantageous approach to ensure data quality for spatial modeling in these areas (Wilkie and Finn 1996, Proisy et al. 2007).

Remote sensing data (especially Landsat) have been used to investigate several ecological questions, mainly related to land cover change, carbon storage and habitat mapping (Schroeder et al. 2011, Legaard et al. 2015, Mayes et al. 2015, Twongyirwe et al. 2015). However, the resolution and quality of Landsat data do not always adequately represent environmental components that are most important for target species, such as vegetation structure, because optical satellite imagery is not three-dimensional (Hall et al. 1995, Duncanson et al. 2010). Therefore, methods are needed to characterize features of the forest structure that are relevant to target species, particularly for inaccessible areas where Landsat images represent the only feasible option.

In this study, we aimed to derive arboreal primate density from remote sensing estimates of 'tree stem basal area'. Basal area is typically related to canopy cover (Alexander 1971, Farr et al. 1989, Smith et al. 1992), but the two measures are not directly interchangeable (Cade 1997). In particular, mean basal area specifically measures the contribution of each tree to biomass and hence identifies forest structure, succession stage and disturbance. Accordingly, it is a common measure of habitat quality for predicting animal abundance (Braithwaite et al. 1989, Medley 1993, Umapathy and Kumar 2000). This is especially true for non-human primates (Mbora and Meikle 2004, Cristóbal-

Azkarate et al. 2005, Anderson et al. 2007, Struhsaker and Rovero 2007) which are globally threatened and in urgent need of conservation actions (Schipper et al. 2008, Schwitzer et al. 2015). Our specific objectives were to: a) model measured basal area against a combination of different metrics and indices derived from Landsat imagery; b) test the performance of the best-performing model to predict values of basal area outside of the sampled areas; c) use the results to derive a spatial map of population density of the endangered (IUCN 2015) Udzungwa red colobus monkey (*Procolobus gordonorum*), based on previously published density-basal area model; d) compare the modeled primate density to previous predictions from field measurements; e) further refine these estimates using environmental and human predictors.

**Materials and Methods**

**Study area**

The Udzungwa Mountains are located in the south-central part of Tanzania and represent the largest mountain bloc in the Eastern Arc Mountains, covering an area larger than 19,000 km<sup>2</sup> (Platts et al. 2011). Closed forest blocs, ranging in size from 12 to over 500 km<sup>2</sup> (Marshall et al. 2010), are interspersed with drier habitats. We focused our study on the forest of Mwanihana, one of the largest forest blocs (150.6 km<sup>2</sup>) and under the protection of the Udzungwa Mountain National Park (UMNP) since 1992. Highly variable habitat types are distributed along the altitudinal gradient of the forest ranging from 351 to 2,263 m a.s.l. Deciduous forest is found in the lowland, with semi-deciduous and evergreen forests covering the sub-montane and montane areas, while *Hagenia* and bamboo-dominated forest characterize the upper montane level (Lovett et al. 2006). Woody vegetation density increases with elevation, with the largest trees found at mid elevation, probably a result of human disturbance and tree respiration costs (Marshall et al. 2012).

**Vegetation data**

We derived field data for tree stems  $\geq 10$ cm DBH (Diameter at Breast Height; 1.3m) from three sources (Fig. 1): (1) From the Tropical Ecology Assessment and Monitoring Network (TEAM)

104 (<http://www.teamnetwork.org/>, dataset ID 0327011905 4443), comprising six vegetation plots of  
105  $100 \times 100\text{m}$  on a horizontal plane (i.e. adjusted for slope), following a standardized protocol  
106 (TEAM Network 2011); (2) 153 vegetation plots of  $25 \times 25\text{m}$ , sampled along line transects  
107 uniformly distributed in the forest (from Barelli et al. 2015); (3) 33 new randomly placed vegetation  
108 plots of  $25 \times 25\text{m}$ , sampled in June-July 2015, stratified according to the predominant habitat  
109 gradient from disturbed lowland deciduous to mature montane evergreen forest. All newly-sampled  
110 plots were placed in the centre of Landsat pixels for concordance with our remote-sensing imagery.  
111 A summary of the vegetation data sets is provided in Data S1.

112 We obtained a single, cloud free, L8 OLI/TIRS Landsat image (Landsat scene ID  
113 LC81670652014299LGN00, courtesy of the U.S. Geological Survey), acquired October 26, 2014.  
114

#### 115 **Primate density data**

116 Density data on the Udzungwa red colobus from across the study area were obtained from an earlier  
117 study (Cavada et al. 2016). This study used environmental covariates from the 153 plots established  
118 by Barelli et al. (2015) and distance sampling along line transects, to estimate colobus density  
119 across the study area. Transect data were modeled as a hierarchical coupled logistic regression,  
120 assuming a Poisson distribution for the animal abundance at a transect level. The detection process  
121 of the distance sampling was modeled according to a multinomial distribution, assuming a  
122 monotonical decrease of the detection probability with the increasing distance of the animal groups  
123 from the observer. The influence of a series of environmental and human disturbance covariates was  
124 evaluated and incorporated on both the abundance and detection steps in the model. Final density  
125 estimates at the plot level were derived from environmental correlates that included mean basal  
126 area, elevation and distance from disturbance (i.e. forest edge), that were found to significantly  
127 affect the abundance and detectability of the red colobus in the study area.

128

#### 129 **Analysis**

##### 130 **Landsat metrics and vegetation indices**



To model basal area we first derived various Landsat metrics (Table 1). This began with a Principal Component Analysis (PCA) to extract uncorrelated information from the different spectral bands provided by the Operational Land Imager (OLI) sensor of the Landsat 8 satellite. After applying PCA we further compressed the spectral data applying the Tasseled Cap Transformation (TCT) to represent forest structure (Cohen et al. 1995). We also used a GRASS module (Neteler et al. 2012), modified to derive vegetation-related spectral indices, combining specific bands of the Landsat 8 satellite images (Data S2). Such indices enhance the signal related to vegetation, while minimizing background edaphic, solar and atmospheric effects (Jackson and Huete 1991).

**Model building**

To relate field sampled values of basal area to the metrics calculated from the Landsat images, we used all newly-sampled plots, plus a subsample of the TEAM and Barelli et al. (2015) plots. The subsample plots were those showing at least 75% overlap with Landsat pixels (N=115). In each plot we calculated the basal area (BA, m<sup>2</sup>) for each sampled tree (DBH ≥ 10cm) as  $BA = \pi * (DBH/2)^2$ . We then derived the mean basal area (MBA) for each plot, for use as the response variable (following Barelli et al. (2015) and Cavada et al. (2016)).

We used generalized linear modeling (GLM) to investigate the relationship between the MBA- field sampled values and the Landsat metrics and indices. Prior to building the models we checked for the presence of collinearity among predictor variables to remove those providing identical information. We thus calculated Variance Inflation Factor (VIF), using a cut off value of 10 (Marquardt 1970, Hair et al. 2006, Kennedy 2008) and we retained the uncorrelated predictors P1, P2, RGI, RR, SLAVI. From an Empirical Cumulative Distribution Function (ECDF) of the response variable, we decided to use an inverse Gaussian error distribution for the GLM with an inverse squared link function (Fig. 2).

We built models using all the possible combinations of the retained Landsat predictors and we used the Akaike Information Criterion (AIC) to rank the candidate models. We considered those models showing  $\Delta AIC < 2$  as equivalent (Anderson and Burnham 2002) and defined an average model by determining Akaike weights ( $w_i$ ) for each of the best models, using the packages ‘AICcmodavg’ (Mazerolle 2015) and ‘MUMin’ (Barton 2014) in R version 3.2.1 (R Core Team 2015). For validating the model we randomly split the MBA dataset into two subsets, one for model fitting with 75% of the data ( $N=109$ ) and one with the remaining 25% of the data ( $N=37$ ). We then used bootstrapping to verify the goodness of fit of the selected average model: we simulated 1,000 datasets from the subset derived for model fitting (i.e the one considering 75% of the data) and then defined a function that returned the fit-statistic Pearson  $\chi^2$ . We validated the model by checking the distribution of the residuals for the validation subset. We evaluated model bias by comparing both observed and predicted values, to a null model of mean residual prediction equal to zero, using Wilcoxon's signed rank test (for  $\alpha=0.05$ ).

#### **Predictions: MBA values and RC density**

To predict density values for groups of red colobus across the entire Mwanihana forest, we first derived spatially diffused values for MBA from our best fitting averaged model, giving an MBA value for each Landsat pixel in the entire study area. We removed those values of MBA that appeared as outliers in the derived dataset (i.e.  $>0.5m^2$ ). We believed these outliers were found for those pixels where our model was not able to derive realistic MBA values, inside those areas close to forest borders as well as in areas located at high elevation (above 1800 m), where trees are sparse and are replaced by other vegetation (Lovett et al. 2006).

Besides MBA, previous modeling of red colobus group density was most effective using elevation (negative sign) and distance from disturbance/forest edge (negative sign) (Cavada et al. 2016). We therefore calculated spatially diffused values for these variables from a Digital Elevation Model (DEM) and from a shapefile of the forest edge, respectively. We then used a published hierarchical

model (Cavada et al. 2016) to predict primate density across the Mwanihana forest using these two variables and spatially diffused values for MBA derived from our model. Finally, we verified the accuracy of our approach by comparing the predicted primate density to density estimates in Cavada et al. (2016) for those plots in Barelli et al. (2015) (N=65) that were excluded while building the MBA model (see ‘Model building’ above). These density estimates were plot-specific values derived from the hierarchical analysis described above, and hence were effectively the only field based and site-specific density estimates that could be used for such validation. We compared observed and predicted values using OP regression (Piñeiro et al. 2008) and we compared the slope and the intercept of the fitted model with the 1:1 line.

**Results**

After selecting the plots suitable for the analysis, we retained 61 plots from Barelli et al. (2015) and 54 TEAM sub-plots. Adding these to the 33 newly sampled plots, we obtained an overall dataset of 148 plots and their corresponding sampled MBA values. We built models using all the possible combinations of the metrics and indices calculated from the Landsat images, including a null model. We retained six competing models of MBA (Table 2) that were averaged for predictions. The resulting average model retained the first and the second components of the PCA and the indices RGI, RR and SLAVI (Table 3). This model showed adequate fit based on the bootstrap P value based on the Chi-square statistic ( $P=0.66$ ) and no significant difference between observed and predicted MBA values ( $W=602$ ,  $P=0.92$ ). The MBA model failed to derive plausible values in those areas located at high altitudes as well as close to the forest edge (Fig. 3). We obtained a spatially-explicit map of estimated density of red colobus groups across the whole study area, as influenced by the covariates MBA (predicted from our model and with a positive effect), elevation and distance from disturbance (i.e from the forest edge), both with a negative effect, according to the hierarchical model defined in Cavada et al. (2016) (Fig. 4).

The OP regression yielded a  $R^2$  of 0.84 attesting the accuracy of the predicted red colobus group density values as derived by using the spatially diffused values for MBA obtained from the GLM analysis (Fig. 5).

## Discussion

We have successfully predicted and mapped the spatial density of an endangered primate, hence showing how modeling ecologically-relevant predictors of abundance can improve predictions on species distribution (Franklin 1995), across a broad spatial extent. The species' density pattern highlighted in our map is consistent with results in previous studies that were based solely on ground data and hence with limited spatial inference (Struhsaker and Rovero 2007, Barelli et al. 2015, Cavada et al. 2016).

Our best supported models showed high accuracy in predicting MBA values, making it a reliable tool for inference beyond the ground measurement sites, with a good level of confidence and precision. MBA is a highly relevant descriptor of the canopy structure as well as a significant covariate that has emerged in different studies as influential for predominantly arboreal primates (Struhsaker and Rovero 2007, Cavada et al. 2016). As a parameter quantifying forest cover, MBA is also a recognized proxy for habitat degradation and fragmentation (Urquiza-Haas et al. 2007). The best fit model we derived from GLM retained the first two components of the PCA. This fitted the acknowledged evidence that Landsat products are able to discriminate forested habitats, through the information provided by specific spectral channels (Blair and Baumgardner 1977, Jakubauskas 1996, Eklundh et al. 2001, Cohen and Goward 2004), in terms of the differential reflectance emitted by the higher strata of the canopy. The information provided by the Landsat sensors can highlight specific vegetation components (Thenkabail et al. 2000, Almeida and De Souza Filo 2004); in fact the bands of the visible spectrum and of the Short-wave Infrared (SWIR) can be correlated with several forest structures, including basal area (Muukkonen and Heiskanen 2005, 2007, Hall et al. 2006). The relationship with MBA shown by the first PCA component of our model might be due to

236 a large presence of trees with great basal area and tall canopy, causing pronounced shadowing  
237 which translates in a lower reflectance.

238

239 Among the vegetation indices retained by the models, RGI can be interpreted as a proxy of the  
240 forest phenology by the time when the Landsat image was acquired. Since such an index provides  
241 information on the ratio of red to green reflectance, the positive effect we found on MBA could be  
242 due to the contribution the index generally gives in evaluating the size of the tree crowns, which is  
243 related to the basal area extent. During that period, a high amount of trees shows indeed a  
244 breakdown of green pigments and leaves fade from green to yellow and red (Motohka et al. 2010).  
245 The positive effect we found for RR was also confirmed by other studies that found a correlation  
246 between the visible and the SWIR band of the Landsat with several physical structures of the forest  
247 canopy, including basal area (Muukkonen and Heiskanen 2005, Hall et al. 2006, Tonolli et al.  
248 2011). In addition, the positive relationship we found between MBA and SLAVI index is not  
249 surprising given that the index accounts for the sensitivity of the mid-infrared wavelength to the  
250 structure of the canopy, especially for heterogeneous forest compositions (Lymburner et al. 2000).

251

252 As the main goal of our study, we used the predicted and spatially diffused values of MBA to derive  
253 a map of the Udzungwa red colobus density. This matched, at a wider and spatially-diffuse scale,  
254 the density estimates found in prior studies (Barelli et al. 2015; Cavada et al. 2016). In particular, it  
255 confirmed the red colobus's preference for lower-elevation forest that are close to its edge, variably  
256 disturbed and covered with regenerating vegetation, that is recognized as an important food source  
257 for the species (Barelli et al. 2015). Densities decreased where MBA values increased, i.e. in the  
258 interior and old growth forest parts and at higher elevation. This in turn indicates resilience of the  
259 animal to anthropogenic disturbance and again the preference shown by the species for forest edges.  
260 Such a counter intuitive density trend, is clearly visualized in the spatially explicit map we obtained.  
261 This provides novel indications for the protection of forest areas that are located at the interface  
262 with intense anthropogenic activity.

263

264 We have confirmed that the use of remote sensing represents a robust tool to improve model  
265 performance and to reduce the costs of data collection (He et al. 2015), which implies bypassing the  
266 sample size limits associated with field measurements. We stress the importance of carefully  
267 evaluating the process regarding the selection of adequate satellite images, given the sensitivity for  
268 seasonality shown by some vegetation indices. High resolution images should certainly be preferred  
269 when deriving remote-sensing based predictor variables that can be essential to improve predictive  
270 species modeling. Nonetheless, the quality of such images can often be poor, due to cloud coverage  
271 that hides the underlying canopy, i.e. the carried amount of information is lower than the spectral  
272 noise (Woodcock and Strahler 1987, Ricotta et al. 1999). This phenomenon consistently arises in  
273 images of tropical mountain forests, since clouds accumulate relatively more in dense forest cover  
274 areas due to evapotranspiration (Nagendra and Rocchini 2008). Still, we demonstrated that since  
275 high resolution products in some cases cannot be used, medium resolution images like Landsat  
276 proved to be an excellent source of data for applications both in the study of tropical forest structure  
277 and to develop reliable species distribution models. However, caution is recommended regarding  
278 the generalization of our approach, which is mainly relevant to comparable study systems in terms  
279 of both habitat and target species characteristics.

280

## 281 **Conclusions**

282 Spatially explicit, predictive models of animal abundance can offer a powerful insight on the  
283 species status and distribution, helping to identify those sites where urgent intervention is needed in  
284 terms of protection and conservation. Overcoming the lack of high resolution and high quality  
285 remote sensing products as well as of spatially diffused covariates of abundance is essential, as it  
286 can firmly boost the usefulness of species distribution models. By focusing on the endangered  
287 Udzungwa red colobus, we showed the potential of this approach to derive accurate spatially  
288 diffused estimates of animal density and distribution. This approach is particularly suitable for  
289 species for which data availability is incomplete and spatial coverage is heterogeneous, affecting the

capacity of developing site-specific conservation and restoration programs where urgent forest and species protection is needed.

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549 **Tables**

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551 **Table 1.** Vegetation indices extracted from a Landsat 8 image for comparison to ground sampled  
 552 measures of mean basal area (MBA).

Index	Algorithm	Description	References
Simple Ratio ( <b>SR</b> )	$SR = \rho_{nir}/\rho_{red}$	Index related to changes in the amount of green vegetation; reduces the effect of atmosphere and topography.	(Jordan 1969)
Corrected Simple Ratio ( <b>SRC</b> )	$SRC = SR (1 - ((\rho_{mir} - \rho_{mir\ min})/(\rho_{mir\ max} - \rho_{mir\ min})))$	Linearizes the relationships with parameters, accounting for MIR band.	(Brown et al. 2000)
Normalized Difference Vegetation Index ( <b>NDVI</b> )	$NDVI = (\rho_{nir} - \rho_{red})/(\rho_{nir} + \rho_{red})$	Estimates the amount of vegetation, it assumes values that are normalized for the amount of incident radiation.	(Rouse et al. 1974)
Corrected Normalized Difference Vegetation Index ( <b>NDVIC</b> )	$NDVIC = NDVI (1 - ((\rho_{mir} - \rho_{mir\ min})/(\rho_{mir\ max} - \rho_{mir\ min})))$	Linearizes the relationships with parameters, accounting for MIR band	(Nemani et al. 1993)
Modified Simple Ratio ( <b>MSR</b> )	$MSR = (\rho_{nir}/\rho_{red} - 1)/((\rho_{nir}/\rho_{red})^{1/2} + 1)$	Linearizes the	(Chen 1996)

		relationship between	
		the index and	
		biophysical	
		parameters	
Reflectance Ratio ( <b>RR</b> )	$RR = \rho_{mir} / \rho_{red}$	Substitutes NIR band	(Tonolli et al. 2011)
		in SR with MIR	
		band, which is more	
		sensitive in	
		distinguishing	
		complex and	
		stratified forest	
		structures	
Normalized Difference Water Index ( <b>NDWI</b> )	$NDWI = (\rho_{nir} - \rho_{mir}) / (\rho_{nir} + \rho_{mir})$	Sensitive to	(Hardinsky et al.
		vegetation water	1983)
Specific Leaf Area Vegetation Index ( <b>SLAVI</b> )	$SLAVI = \rho_{nir} / (\rho_{red} + \rho_{mir})$	Estimates Specific	(Lymburner et al.
		Leaf Area	2000)
Red Green Ratio ( <b>RGR</b> )	$RGR = \rho_{red} / \rho_{green}$	Sensitive to different	(Gamon and Surfus
		foliar pigments	1999)
Red Green Index ( <b>RGI</b> )	$RGI = (\rho_{green} - \rho_{red}) / (\rho_{green} + \rho_{red})$	Normalization of	(Coops et al. 2006)
		RGR results	
Green Normalized Difference Vegetation Index ( <b>GNDVI</b> )	$GNDVI = (\rho_{nir} - \rho_{green}) / (\rho_{nir} + \rho_{green})$	Estimates the amount	(Gitelson et al. 1996)
		of green vegetation,	
		exploiting the green	
		channel, sensitive to	
		chlorophyll	
Normalized Canopy Index ( <b>NCI</b> )	$NCI = (\rho_{mir} - \rho_{green}) / (\rho_{mir} + \rho_{green})$	Linearizes the	(Vescovo and
		relationships with	Gianelle 2008)
		parameters,	
		accounting for MIR	

and green bands

Tasseled Cap Angle (**TCA**)

$TCA = \arctan(TCG/TCB)$

Index based on the (Powell et al. 2010)

angle formed by  
brightness (TCB) and  
greenness (TCG) in  
the vegetation plane,  
calculated from TCT  
(Tasseled Cap Trans-  
formation)

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**Table 2.** Akaike Information Criterion (AIC) value for high ranked models ( $\Delta AIC < 2$ ) of mean basal area (MBA) modeled as a function of predictors derived from a Landsat 8 image.

Model	AIC	$\Delta AIC$
MBA~P1+RGI	-620.70	0
MBA~P1+RGI+RR	-619.89	0.81
MBA~P1+SLAVI	-619.46	1.24
MBA~P1	-619.097	1.607
MBA~P1+P2+RGI	-619.096	1.609
MBA~P1+RR+SLAVI	-618.98	1.72

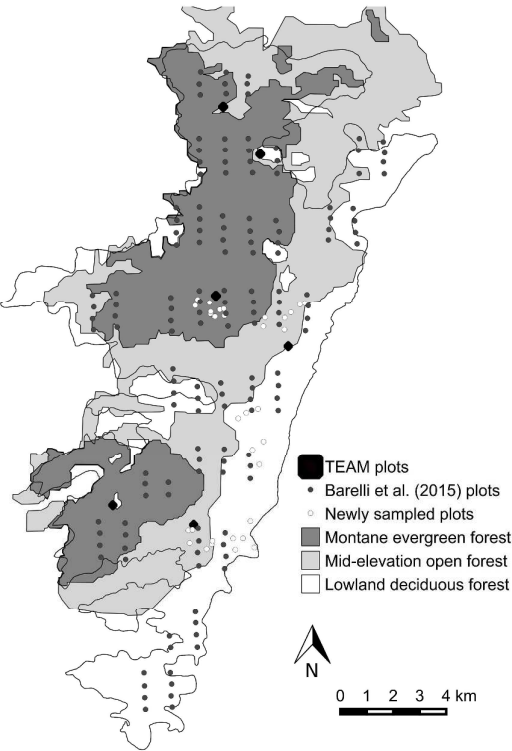
P1=First component of the Principal Component Analysis; P2= Second component of the Principal Component Analysis; RGI=Red Green Index; RR=Red Ratio; SLAVI=Specific Leaf Area Vegetation Index.

**Table 3.** Estimates and standard errors for the parameters retained in the averaged model for mean basal area (MBA) modeled as a function of metrics and indices extracted from a Landsat 8 image.

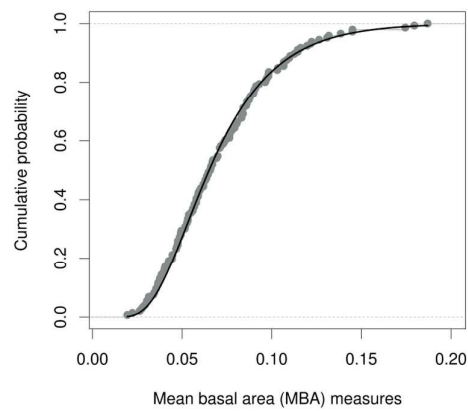
Model-averaged coefficients	Estimate	SE	p
P1	-37.92	19.61	0.05
RGI	31.71	15.43	0.04
RR	19.40	16.45	0.2
SLAVI	27.09	16.18	0.09
P2	18.15	24.64	0.4

P1=First component of the Principal Component Analysis; P2= Second component of the Principal Component Analysis; RGI=Red Green Index; RR=Red Ratio; SLAVI=Specific Leaf Area Vegetation Index.

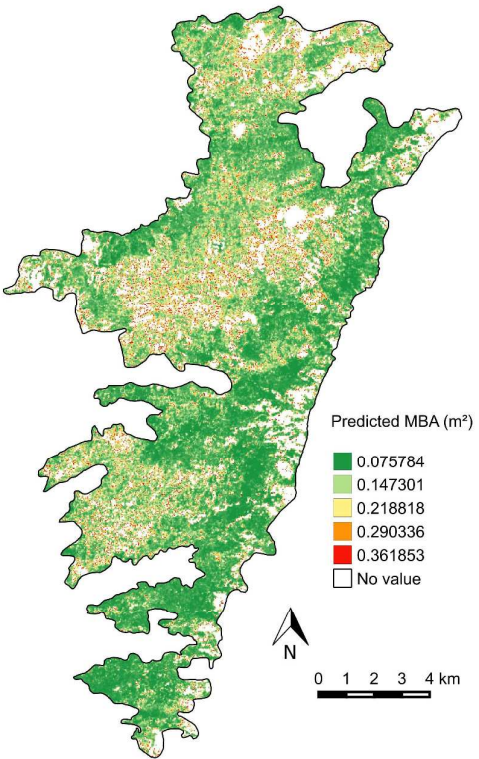
Figures



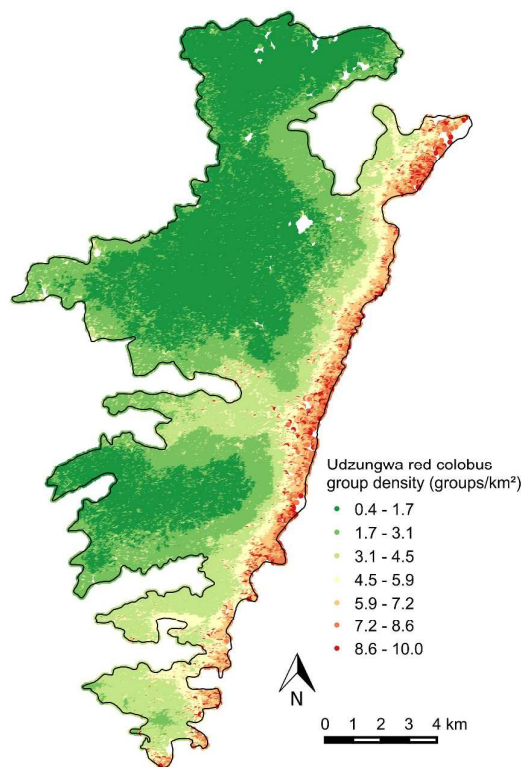
**Fig. 1.** Map of Mwanihana forest in the Udzungwa Mountains of Tanzania showing the distribution of three vegetation plots data-sets used to derive basal area.



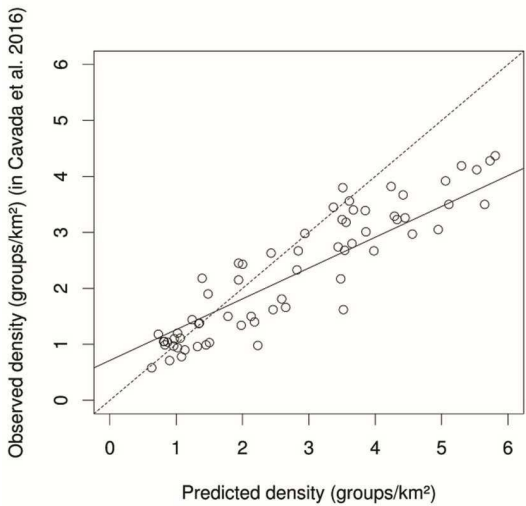
**Fig. 2.** Empirical cumulative distribution function of ground sampled measures of mean basal area (MBA, gray dots) collected at tree plots in Mwanihana forest, Udzungwa Mountains, Tanzania. The black line shows the fit of the theoretical inverse Gaussian distribution.



**Fig. 3.** Predicted values of mean basal area (MBA) across Mwanihana forest using the average model of ground sampled values versus Landsat 8 metrics. White areas show pixels where the model failed to predict plausible values of MBA (i.e. <0.5m²).



**Fig. 4.** Predicted Udzungwa red colobus group density in Mwanihana forest using a species density model (Cavada et al. 2016) derived from remotely sensed mean basal area.



**Fig. 5.** Linear regression (dotted line) of observed versus predicted values of Udzungwa red colobus density (groups/km<sup>2</sup>) among test vegetation plots (N=66). A 1:1 relationship is indicated by the solid line.

711 **Supporting information**

712 **Data S1.** Summary of the dataset regarding the field sampled vegetation

713 **Data S2.** Code for the GRASS 7.0 module that was implemented to derive a series of vegetation

714 indices, combining specific bands of a Landsat 8 image.

For Review Only



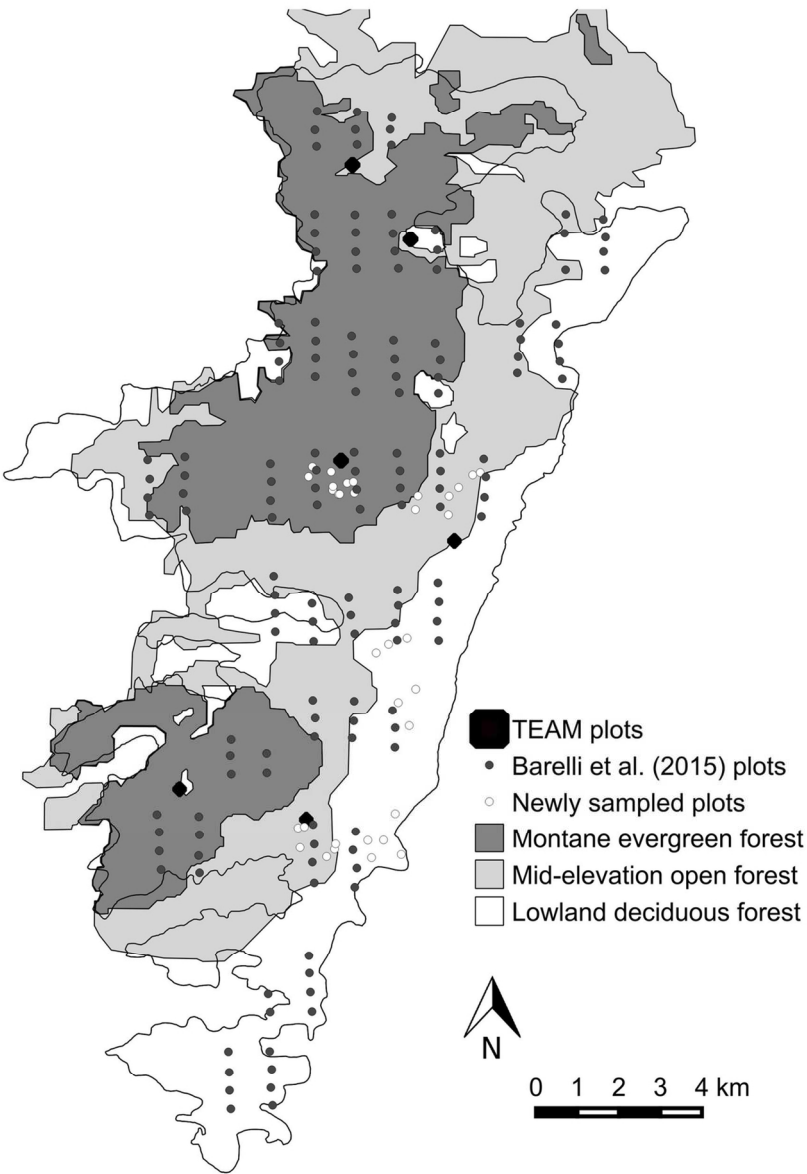


Fig. 1. Map of Mwanihana forest in the Udzungwa Mountains of Tanzania showing the distribution of three vegetation plots data-sets used to derive basal area.

107x152mm (300 x 300 DPI)

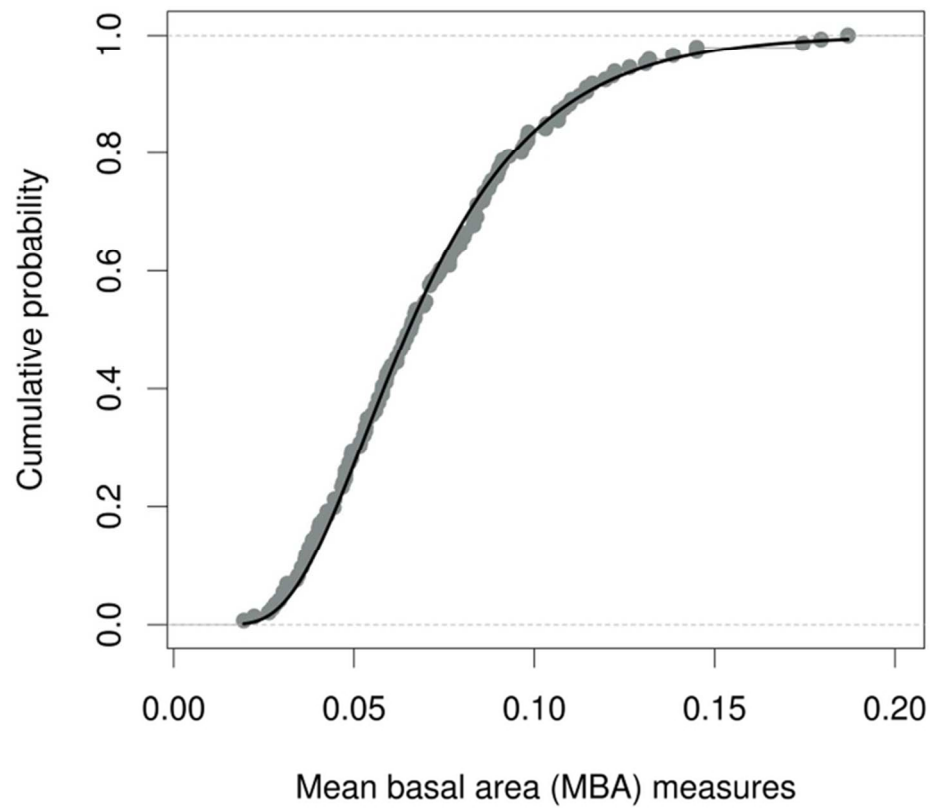


Fig. 2. Empirical cumulative distribution function of ground sampled measures of mean basal area (MBA, gray dots) collected at tree plots in Mwanihana forest, Udzungwa Mountains, Tanzania. The black line shows the fit of the theoretical inverse Gaussian distribution.

70x70mm (300 x 300 DPI)

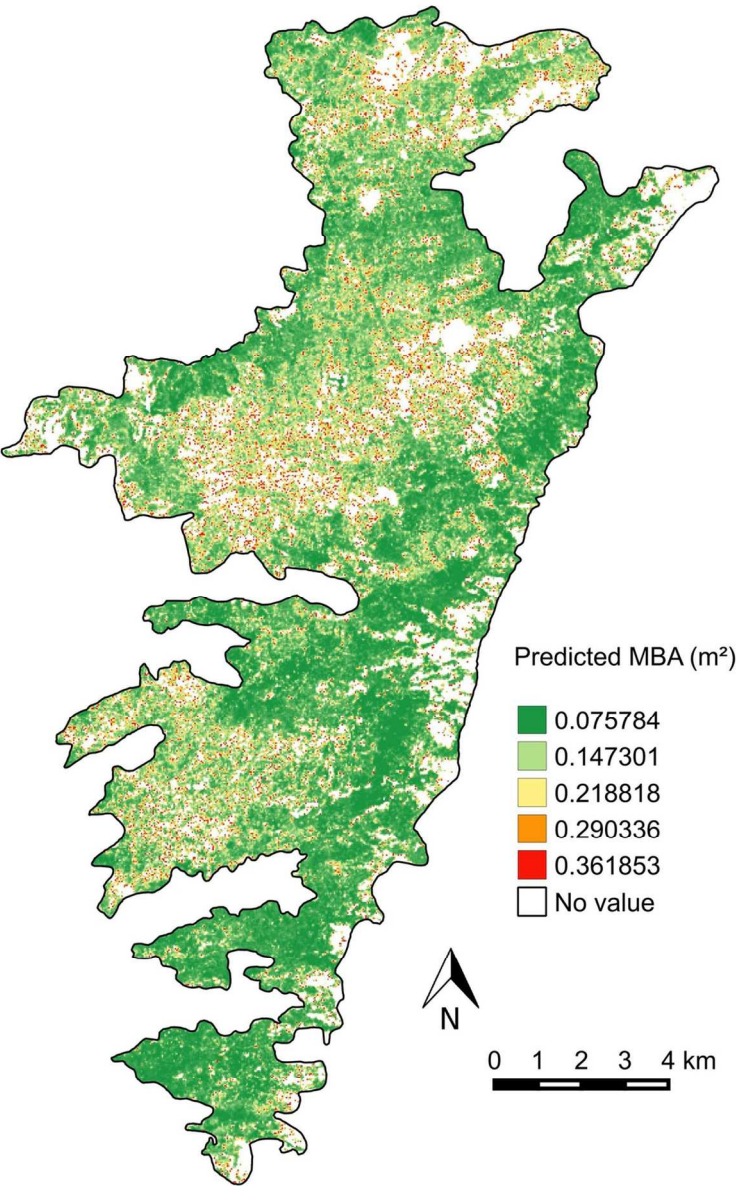


Fig. 3. Predicted values of mean basal area (MBA) across Mwanihana forest using the average model of ground sampled values versus Landsat 8 metrics. White areas show pixels where the model failed to predict plausible values of MBA (i.e. <0.5m<sup>2</sup>).

107x152mm (300 x 300 DPI)

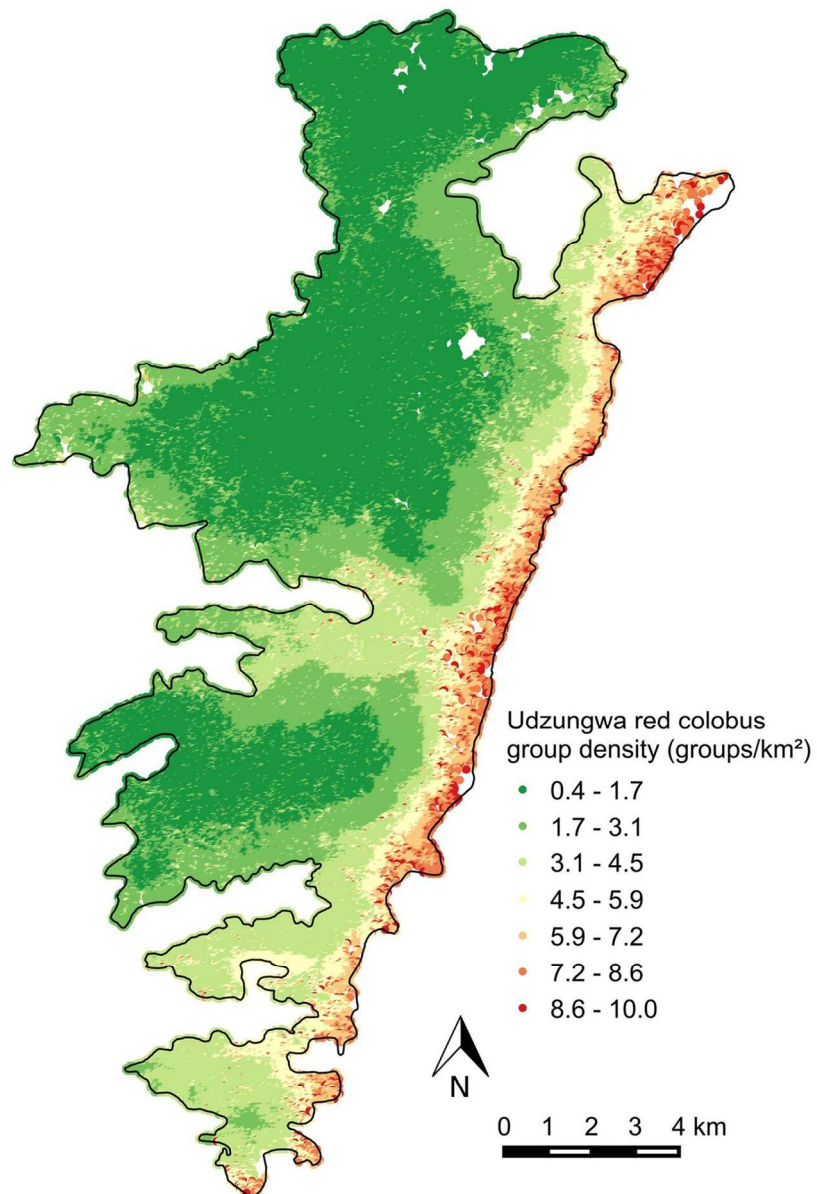


Fig. 4. Predicted Udzungwa red colobus group density in Mwanihana forest using a species density model (Cavada et al. 2016) derived from remotely sensed mean basal area.

107x152mm (300 x 300 DPI)

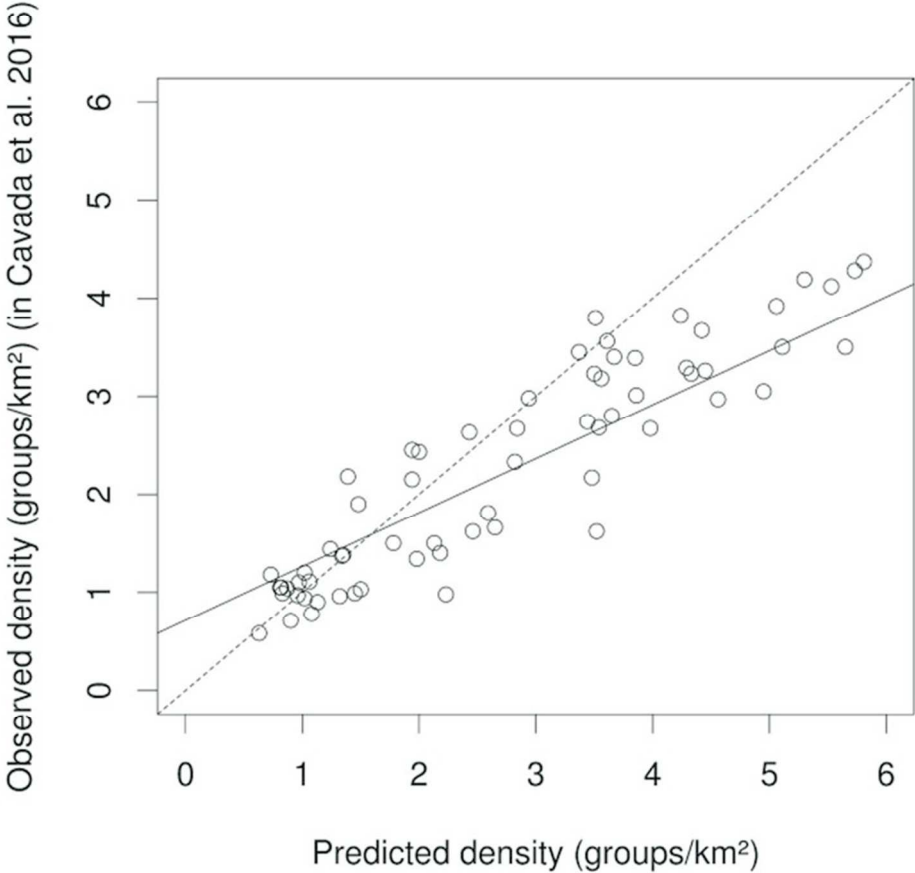


Fig. 5. Linear regression (dotted line) of observed versus predicted values of Udzungwa red colobus density (groups/km<sup>2</sup>) among test vegetation plots (N=66). A 1:1 relationship is indicated by the solid line.

76x76mm (300 x 300 DPI)

## S1 Metadata

Data set: ID for the data set source

DBH: Diameter at breast height, measured for all the tree stems having diameter  $\geq 10\text{cm}$

Basal area:  $BA = \pi * (DBH/2)^2$

Climber: visually estimated coverage of climbers on trees as proportion of volume of the canopy, using 5 classes (0,25,50,75,100%).

Canopy: visually estimated extent of canopy cover, using 5 classes (0,25,50,75,100%)

For Review Only

```

#!/usr/bin/env python
#%module
#% description: Calculates vegetation indices for Landsat TM/ETM+/OLI spectral
bands
#% keywords: landsat, vegetation, indices, spectral, bands
#%end

#%option
#% key: band_prefix
#% type: string
#% gisprompt: old,cell,raster
#% description: Base name of input raster bands or a raster band map
#% required: yes
#%end

#%option
#% key: indices_prefix
#% type: string
#% description: Prefix for output raster indices maps
#% answer: spectral
#% required : yes
#%end

#%flag
#% key: t
#% description: Use bands for LANDSAT-4,5,7 (TM/ETM+)
#%END

#%flag
#% key: o
#% description: Use bands for LANDSAT-8 (OLI)
#%END

#%flag
#% key: c
#% description: Calculates also Cap Tassellation Indices
#%END

#%option
#% key: tc_prefix
#% type: string
#% gisprompt: old,cell,raster
#% description: If c flag: base name of input Tasselled Cap or a Tasselled Cap
map
#% required: no
#%end

#%Option
#% key: sensor
#% type: string
#% required: yes
#% multiple: no
#% options: LANDSAT-4;5;7 (TM/ETM+),LANDSAT-8 (OLI)
#% description: Use bands for sensor
#% answer: LANDSAT-8 (OLI)
#%End

import os, sys, shutil
import os.path, re
import grass.script as g

def main():

    #r.mapcalc float coercing with integer input

```

```

#(dn_B6-dn_B4)/(dn_B6+dn_B4)
#1.0*(dn_B6-dn_B4)/(dn_B6+dn_B4)
#(1.0*dn_B6-1.0*dn_B4)/(1.0*dn_B6+1.0*dn_B4)
#(float(dn_B6)-float(dn_B4))/(float(dn_B6)+float(dn_B4))

# define indices formulas

# RR: SWIR/Red reflectance ratio
rr_expr = '%(outpref)s_rr =1.0* %(mir)s / %(r)s'

# SR: Simple ratio NIR/Red reflectance ratio (Jordan, 1969)
sr_expr = '%(outpref)s_sr =1.0* %(nir)s / %(r)s'

# SRC: Corrected Simple Ratio (Brown et al. 2000)
src_expr = '%(outpref)s_src =1.0* $sr *(1-((%(mir)s -
%(minmir)s)/(%(maxmir)s - %(minmir)s)))'

# MSR: Modified Simple Ratio (Chen, 1996)
msr_expr = '%(outpref)s_msr =1.0* ((%(nir)s / %(r)s -1)/(sqrt(%(nir)s /
%(r)s)+1))'

# RGR: Red Green Ratio (Gamon and Surfus)
rgr_expr = '%(outpref)s_rgr =1.0* %(r)s / %(g)s'

# RGI: Red Green Index (Coops et al.)
rgi_expr = '%(outpref)s_rgi =1.0* ((%(g)s - %(r)s)/(%(g)s + %(r)s))'

# NDVI: Normalized Difference Vegetation Index (Rouse et al., 1974)
ndvi_expr = '%(outpref)s_ndvi =1.0* ((%(nir)s - %(r)s)/(%(nir)s + %(r)s))'

# NDVIc: Corrected NDVI (Nemani et al., 1993)
ndvic_expr = '%(outpref)s_ndvic =1.0* $ndvi *(1-((%(mir)s -
%(minmir)s)/(%(maxmir)s - %(minmir)s)))'

# GNDVIgreen: NGreen Normalized Difference Vegetation Index (Gitelson et
al., 1996)
gndvi_expr = '%(outpref)s_gndvi =1.0* ((%(nir)s - %(g)s)/(%(nir)s + %(g)s))'

# NDWI: Normalized Difference Water Index (Gao, 1996)
ndwi_expr = '%(outpref)s_ndwi =1.0* ((%(nir)s - %(mir)s)/(%(nir)s +
%(mir)s))'

# SLAVI: Specific Leaf Area Vegetation Index (Lymburner et al., 2000)
slavi_expr = '%(outpref)s_slavi =1.0* %(nir)s /((%(r)s + %(mir)s))'

# NCI: Normalized Canopy Index (Vescovo & Gianelle, 2008)
nci_expr = '%(outpref)s_nci =1.0* ((%(mir)s - %(g)s)/(%(mir)s + %(g)s))'

# NBR: Normalized Burn Ratio -> NOT IMPLEMENTED
# fire/burn index, use TM7/OLI_SWIR2

# TCA: Tasselled Cap Angle (Powell et al., 2010; Gomez et al., 2011)
tca_expr = '%(outpref)s_tca =1.0* atan(%(gr)s / %(br)s)' #deg angle

# ln(-We)
lnmwe_expr = '%(outpref)s_lnmwe =1.0* log(-(we)s)'

# MAIN
landname= options['band_prefix'] #'toare_B'

```



```

indicespref= options['indices_prefix'] #'spectral'

#remove path before names and anything aftre the last point (ext)
#landpref=os.path.splitext(os.path.basename(landname))[0]

#remove ending numer from basename (purge path and @mapset)
#BASH: echo $(basename $landname) | sed 's/[0-9]*$//'
landpref=re.sub('[0-9]*$', '',os.path.basename(landname.split('@')[0]))

# define bands maps
if flags['o']:
    #landsat8
    g.message("OLI sensor")
    blue=landpref+'2'
    green=landpref+'3'
    red=landpref+'4'
    ninfrar=landpref+'5'
    minfrar=landpref+'7' #SWIR1
elif flags['t']:
    #landsat7
    g.message("TM/ETM+ sensor")
    blue=landpref+'1'
    green=landpref+'2'
    red=landpref+'3'
    ninfrar=landpref+'4'
    minfrar=landpref+'5'
else:
    #landsat8
    g.message("Warning: no sensor specified, defaout OLI used")
    blue=landpref+'2'
    green=landpref+'3'
    red=landpref+'4'
    ninfrar=landpref+'5'
    minfrar=landpref+'7' #SWIR1

#set region on a band map (are all equal)
g.run_command('g.region', rast = minfrar)

# mir max and min
min_mir = g.raster_info(minfrar)['min']
max_mir = g.raster_info(minfrar)['max']

bands= {
    "outpref" : indicespref,
    "b" : blue,
    "g" : green,
    "r" : red,
    "nir" : ninfrar,
    "mir" : minfrar,
    "minmir" : min_mir,
    "maxmir" : max_mir,
}

# compute indices with GRASS mapcalc
g.message("Calculating vegetation indices")
g.mapcalc(rr_expr % bands, overwrite = True)
g.mapcalc(sr_expr % bands, overwrite = True)
g.mapcalc(src_expr % bands, sr=indicespref+'_sr', overwrite = True)
g.mapcalc(msr_expr % bands, overwrite = True)
g.mapcalc(rgr_expr % bands, overwrite = True)

```

```

g.mapcalc(rgi_expr % bands, overwrite = True)
g.mapcalc(ndvi_expr % bands, overwrite = True)
g.mapcalc(ndvi_c_expr % bands, ndvi=indicespref+'_ndvi', overwrite = True)
g.mapcalc(gndvi_expr % bands, overwrite = True)
g.mapcalc(ndwi_expr % bands, overwrite = True)
g.mapcalc(slavi_expr % bands, overwrite = True)
g.mapcalc(nci_expr % bands, overwrite = True)

if flags['c']:
    tcname= options['tc_prefix']
    if tcname=="":
        g.message("Warning: no TC prefix, default 'tct8_C.' used")
        tcpref='tct8_C.'
    else:
        tcpref=re.sub('[0-9]*$',
'',os.path.basename(tcname.split('@')[0]))

    comp= {
        "outpref" : indicespref,
        "br" : tcpref+'1',
        "gr" : tcpref+'2',
        "we" : tcpref+'3',
    }

    g.message("Calculating Cap Tassellation indices")
    g.mapcalc(tca_expr % comp, overwrite = True)
    #g.mapcalc(lnmwe_expr % comp, overwrite = True) #null() 4 We>0

return 0
#End main

if __name__ == "__main__":
    options, flags = g.parser()
    sys.exit(main())

```