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Longitudinal control behaviour: analysis and modelling based on experimental surveys in Italy and the UK

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Abstract

This paper analyses driving behaviour in car-following conditions, based on extensive individual vehicle data collected during experimental field surveys carried out in Italy and the UK. The aim is to contribute to identify simple evidence to be exploited in the ongoing process of driving assistance and automation which, in turn, would reduce rear-end crashes. In particular, identification of differences and similarities in observed car-following behaviours for different samples of drivers could justify common tuning, at a European or worldwide level, of a technological solution aimed at active safety, or, in the event of differences, could suggest the most critical aspects to be taken into account for localisation or customisation of driving assistance solutions. Without intending to be exhaustive, this paper moves one step in this direction. Indeed, driving behaviour and human errors are considered to be among the main crash contributory factors, and a promising approach for safety improvement is the progressive introduction of increasing levels of driving automation in next-generation vehicles, according to the active/preventive safety approach. However, the more advanced the system, the more complex will be the integration in the vehicle, and the interaction with the driver may sometimes become unproductive, or risky, should the driver be removed from the driving control loop. Thus, implementation of these systems will require the interaction of human driving logics with automation logics and then an enhanced ability in modelling drivers' behaviour. This will allow both higher active-safety levels and higher user acceptance to be achieved, thus ensuring that the driver is always in the control loop, even if his/her role is limited to supervising the automatic logic. Currently, the driving mode most targeted by driving assistance systems is longitudinal driving. This is required in various driving conditions, among which car-following assumes key importance because of the huge number of rear-end crashes.

The increased availability of lower-cost information and communication technologies (ICTs) has enhanced the possibility of collecting copious and reliable car-following individual vehicle data. In this work, data collected from three different experiments, two carried out in Italy and one in the UK, are analysed and compared. The experiments involved 146 drivers (105 Italian drivers and 41 UK drivers). Data were collected by two instrumented vehicles.

Our analysis focused on inter-vehicular spacing in equilibrium car-following conditions. We observed that (i) the adopted equilibrium spacing can be fitted using lognormal distributions, (ii) the adopted equilibrium spacing increases with speed, and (iii) the dispersion between drivers increases with speed. In addition, according to different headway thresholds (up to 1 second) a significant number of potentially dangerous behaviours is observed.

Three different car-following paradigms are also applied to each of the experiments, and modelling parameters are calibrated and compared to obtain indirect confirmation about the observed similarities and differences in driving behaviour.

Keywords: Active road safety, Driving automation, Driving behaviour, Car-following, Instrumented vehicles, Cross-country experiments.

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1. Introduction

Reconciling mobility needs with efficient and more sustainable transportation, hence reducing highway crashes, injuries and fatalities, is a key objective in the transportation sector. Given that human factors are considered to contribute to 93% of vehicle crashes (AASHTO, 2010), driving automation at different levels is seen as having the potential to improve highway safety. Such automation levels have been classified in slightly different ways by different organisations. For instance, as reported by ERTRAC (2015), the Society of Automotive Engineers (SAE) considers six automation levels, ranging from 0 to 5. These approximately correspond to the five levels (from 0 to 4) identified by the National Highway Traffic Safety Administration (2013a). SAE level 1 of automation has been deployed for several years (e.g., adaptive cruise control, lane-keeping assistance, etc.), level 2 systems have more recently emerged (e.g., automated parking, adaptive cruise control with stop-and-go and/or truck platooning, etc.) and introduction of level 3 is now under discussion (e.g., combination of adaptive cruise control and lane changing/overtaking systems).

Interestingly, increasing levels of automation require that the driver is even more at the centre of the innovation and design process (Trimble et al., 2014). Indeed, as automation moves from one level to another, the required driving performance shifts from full driver responsibility to co-responsibility with assisting or automation systems. Levels 1 and 2 require that the automation logic interacts with driver behaviour to accomplish complete driving tasks, and higher automation levels also require that the driver is kept in the vehicle control loop, since at level 4 he/she is responsible for the transition from automated to non-automated tasks (or between different automated tasks), and at level 3 he/she can also be required to recall the control of the vehicle should automation fail.

Correct understanding of driving behaviour may allow human errors and mis-intervention to be identified, thus enabling driver intervention to be replaced by automatic control or warning (Muhrrer and Vollrath, 2010). Poor interaction between the automation logic and its human counterpart (possibly due to scarce knowledge of driver behaviour) results in poor safety performance.

According to evidence from the scientific literature, drivers may experience problems in regaining control also in the case of moderate automation, possibly due to over-reliance on vehicle systems and/or reduced situational awareness (Vollrath et al., 2011), and the debate on the possible effects of automated driving is far from concluded. As stated in Horrey et al. (2015) and in Lee and See (2004), the discrepancy between the driver's and the system's estimates of the state of the world could result in operator-system conflicts, reduced trust in the system and, ultimately, system disuse. That said, the way automation is designed and deployed (e.g., human-like driving assistance systems – Bifulco et al., 2008) strongly impacts on the effects, especially in terms of road safety (Strand et al., 2014). As a consequence, research efforts in this field are being stepped up in every aspect, such as a-priori acceptability (Payre et al., 2014), the driver's reaction in the event of automation failures (Strand et al., 2014), the influence of automation on the behaviour of other unequipped vehicles (Gouy et al., 2014), and so forth.

Within the vast field of automation, longitudinal control of the vehicle has so far been one of the more widely addressed aspects. This applies to different driving tasks; for instance, Intelligent Speed Adaptation (ISA) mainly works in free-flow conditions, while Adaptive Cruise Control (ACC) and Autonomous Emergency Breaking (AEB) mainly work in car-following conditions. Assisting and automation solutions related to car-following conditions are among the most effective with respect to safety, as they deal with relative speed and spacing (that is with the bumper-to-bumper distance between the leader and the following vehicles and associated time-headway and/or time-to-collision). This affects the occurrence of rear-end crashes, that are mainly caused by the failure of the driver to brake sufficiently early to avoid the collision. The risk of a rear-end crash increases exponentially as the headway time gap decreases. Drivers

1 should maintain a safe headway behind the vehicle ahead, such that they can stop safely in order to avoid a collision if
2 necessary, and most researchers agree that less than two seconds' headway is insufficient and unsafe (a recent review of
3 the literature on this topic can be found in Austroads, 2015, where the poor headway maintenance is evidenced as one
4 of the principal rear-end crash contributory factor). However, many drivers keep headways lower than the time to
5 perceive and react to an unexpected change in traffic ahead (Austroads, 2015), i.e., their perception and reaction time
6 (PRT). As the driver headway is below their PRT, there is a reliance on viewing traffic conditions ahead of the vehicle
7 being followed even though this does not permit the driver to anticipate sudden braking by the vehicle immediately
8 ahead (Hutchinson, 2008). Motorists find it difficult to maintain a sufficient headway in denser traffic, given that lane
9 changes occur frequently. Exacerbating the issue is that most motorists are unaware of what constitutes a safe headway,
10 or how to determine it (Song and Wang, 2010). Following a vehicle with insufficient time headway is defined tailgating
11 and is one of the most dangerous and aggressive driving behaviours, representing a major cause of rear-end crashes.

12 Crash statistics highlight the major role of both rear-end crashes and the tailgating contributory factor. In Italy,
13 according to the Italian National Institute of Statistics, tailgating was the main contributory factor in 17% of crashes in
14 the three-year period 2011-2013. In the same period, rear-end crashes accounted for 47% of the crashes on motorways
15 and 30% of the crashes on national highways. In the UK, following too close (equivalent to tailgating) was the main
16 contributory factors of 16% of the crashes in the motorways and 9% of the crashes in the A roads in the three-year
17 period 2011-2013 (Department for Transport, 2012, 2013, 2014). In the same period, rear-end crashes accounted for
18 32% of the crashes in the US (NHTSA, 2012, 2013b, 2014).

19 We are strongly convinced that in-depth understanding of the peculiarities and criticalities of natural (SAE-level 0,
20 without automation) behaviour of drivers in car-following is a pre-requisite for proper design of driving automation
21 solutions aimed at longitudinal control of vehicles and active-safety systems to prevent rear-end crashes.

22 In light of the above considerations, the aim of this work is to increase the number of available analyses, based on
23 different experimental surveys, in the long-running discussion on whether and in which way characteristic car-following
24 patterns can be identified in a population of drivers. The paper compares observed characteristic patterns, interpreted
25 within the framework of car-following theories and with reference to two different countries, in an attempt to gain
26 insight into car-following behaviours, as well as their variability across both different drivers of a given population and
27 different populations of drivers. Identification of differences and similarities for different samples of drivers could
28 justify common tuning at a European or worldwide level for a technological solution aimed at active safety, or, in the
29 case of differences, could identify the most critical aspects to be taken into account for localisation/customisation of
30 driving assistance solutions. Without the ambition to be exhaustive, this paper moves a step in the former direction.

31 We analyse the observed behaviours of different samples of drivers by adopting two different approaches. On the
32 one hand, we directly observe the data collected during car-following sessions, with particular reference to the
33 distribution across different populations of observed adopted spacing (viewed in relation to different speeds). On the
34 other, we adopt some reference models and compare the values of the parameters of these models after calibration
35 against observed data. Comparison of parameters in different samples allows indirect comparison of observed
36 behaviours. In order to enhance the robustness of the model-based analyses, the approach is performed three times, with
37 reference to three different models. The role of car-following models is thereby extended from the traditional field of
38 simulation models for traffic networks, for which they constitute an important part in order to simulate longitudinal
39 movement of vehicles, to the field of driving assistance, oriented to road safety.

40 The paper is structured as follows. In section 2 the theoretical framework employed to interpret observed behaviours
41 is briefly presented: the main concepts and variables adopted in car-following theories are introduced and discussed
42 with reference to simpler theories, as in Pariota and Bifulco (2015) where the suitability of using simpler paradigms is

1 shown (albeit with reference to a specific theoretical paradigm). In this section the reference models used for comparing
2 observed behaviours via calibrated parameters are also specified. Given that the proposed research is based on actual
3 car-following behaviour rather than on mere theory, field data are of paramount importance and in section 3 the
4 technologies employed for observing car-following behaviours are summarised. Section 4 presents the three
5 experimental surveys carried out and the main characteristics of the resulting datasets, to be analysed and compared. In
6 section 5 direct analysis is performed on longitudinal control behaviour; the method employed to identify the
7 equilibrium conditions is presented, as well as the associated results. In section 6 indirect analysis based on the
8 calibration of the reference models is presented and discussed. Finally, in section 7 conclusions are drawn, comparing
9 equilibrium conditions and modelling parameters for the different experiments in different countries.

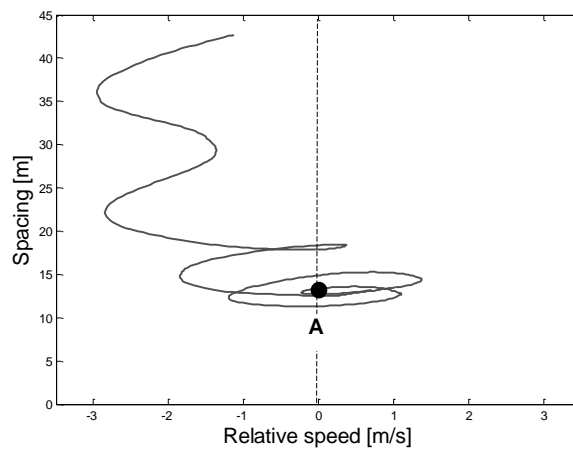
10 **2. The use of driving simulators for research in car-following conditions**

11 Vehicle control during driving can be divided into two macro-tasks: longitudinal control and lateral control. Lateral
12 control mainly consists in steering, and letting the vehicle keep its position in the lane and/or perform lane changing.
13 Longitudinal control is mainly actuated by using pedals, and consists in moving the vehicle forward within a lane,
14 applying appropriate acceleration and speed. It can in turn be classified with reference to three main conditions: free-
15 flow (no interaction with vehicles ahead), car-following and emergency braking. The purpose of this work is to analyse
16 the car-following aspects of longitudinal control.

17 The approach adopted in this work is both to directly analyse observed car-following conditions and to refer to car-
18 following theory in order to identify specific variables and modelling parameters able to represent the phenomenon.
19 Identification of longitudinal control with the mere car-following condition is justified by our field of application, which
20 is the introduction of assisting and automation solutions for road safety. Indeed, many of the driving assistance solutions
21 introduced so far in the automotive arena deal with concepts like the time-headway between a leading and following
22 vehicle in a traffic stream, relative speed, time-to-collision and other variables that are typical of car-following theory
23 and that play a crucial role in road safety, especially for rear-end collisions (Johansson et al., 2004). This is the case, for
24 example, of ACC (Adaptive Cruise Control) where adaptation of the speed is generally based on the time-headway, or
25 AEB (Autonomous Emergency Braking) where emergency braking is activated for critical values of the time-to-
26 collision.

27 In the absence of automation, car-following models describe the behaviour of a following vehicle as a function of the
28 trajectory of the leading one. Thus, depending on the leader's trajectory, the car-following model can be employed to
29 estimate the kinematic trajectory the driver imposes on the vehicle. According to Saifuzzaman and Zheng (2014), car-
30 following models can be divided into several main categories, such as stimulus-based models, safety distance models
31 and psychophysical or action point models. Other minor streams are represented by so-called linear models and by
32 models based on fuzzy logic. In stimulus-based models the acceleration of the follower is determined by the reaction to
33 the relative velocity and spacing from the leading vehicle. Such models were made popular by the paradigms
34 formulated in the late 1950s as part of the so-called General Motors (GM) model (Gazis et al., 1961), which has
35 continued in use to the present day (Bifulco et al., 2008). The safety distance approach was developed by arguing that a
36 follower maintains a distance and a speed that allow safe braking if the leader slows abruptly. Formulations of this
37 collision-avoidance approach can be found in Kometani and Sasaki (1958) and in Gipps (1981), where multiregime
38 formulations are introduced in order to also deal with non-car-following or different car-following conditions. The
39 psychophysical approach is developed from behavioural analysis on human cognitive and decision-making
40 mechanisms. Such models may be considered to have been initiated by Michaels (1963) who showed that drivers

1 respond to changes in the perceived size of the vehicle ahead, arguing that the response is actuated by drivers (by
 2 depressing or releasing the gas pedal and/or the brake) at given thresholds of the perceived variation of the apparent size
 3 of the vehicle ahead. These thresholds can differ according to whether the distance from the vehicle ahead is increasing
 4 or decreasing. Models developed according to this paradigm were later framed within the well-known action point
 5 theory, as developed, among others, by Wiedemann (1974) and Wagner (2011), where car-following behaviour
 6 oscillates within the thresholds and, in the event of the leader's steady-state speed, the centre of this oscillation is an
 7 equilibrium point with a null relative speed and a given inter-vehicular spacing. Equilibrium is well described (see point
 8 A) in Figure 1, where relative speed between vehicles (leader and follower) is plotted against inter-vehicular spacing.
 9 This equilibrium point, as argued from the cognitive and decision-making point of view, is not in conflict with the
 10 stimulus-based or safety-based theories, and is also confirmed by experimental observations. The distribution of these
 11 equilibria is analysed in this work with reference to three different datasets in order to identify recurrent patterns and
 12 general behaviours.



14 **Fig. 1** Car-following oscillations around an equilibrium point

15
16
17

18 Three interpretative paradigms are also applied to the observed data. These models are based on previous works,
 19 namely Pariota et al. (2015 online publication), Bifulco et al. (2013a) and Bifulco et al. (2013b), and allow the
 20 characterisation of the observed car-following behaviours. We chose the above three approaches for practical reasons:
 21 they adopt as independent variables precisely the data that can be collected by instrumented vehicles, and have few
 22 parameters to calibrate, arranged in very simple analytical structures. It is worth pointing out that complex models often
 23 fail to outperform simple ones and the adopted approaches have been shown to be no less accurate than others with
 24 more complex analytical structures. Moreover, the risk of overfitting (or at least unnecessary over-complexity) is high
 25 in the case of models with several parameters (Punzo et al., 2015).

26 The first interpretative paradigm is based on a state-space model applied to car following. Indeed, car following can
 27 be viewed as a time-continuous dynamic process; for instance, it can be represented (see Wilson, 2008) with equation
 28 1), where for the sake of simplicity the so-called additive acceleration (representing a random noise) has been omitted:

$$29 \quad \dot{v}_n^t = f(\Delta x_n^t, \Delta v_n^t, v_n^t) \quad 1)$$

30 where:

31 n is the following vehicle and n-1 the leader,

32 \dot{v}_n^t is the acceleration planned to be applied by the follower as a decision taken at time instant t,

1 Δx_n^t is the spacing between the leader and the follower at time instant t ,

2 Δv_n^t is the relative speed between the leader and the follower at time instant t ,

3 $f(\cdot)$ is the acceleration function, which formally represents the car-following paradigm.

4 Considering S_n^t is the absolute (unidirectional) position of the follower and a_n^t is its acceleration, while S_{n-1}^t is the
5 absolute position of the leader and v_{n-1}^t its speed, the definition below holds:

$$6 \quad \dot{S}_n^t = v_n^t, \quad \dot{v}_n^t = a_n^t, \quad \Delta x_n^t = S_{n-1}^t - S_n^t, \quad \Delta v_n^t = v_{n-1}^t - v_n^t, \quad \dot{\Delta x}_n^t = \Delta v_n^t$$

7 From the definition of equilibrium conditions the following results are obtained:

$$8 \quad \dot{v}_n^* = 0 \quad \Delta v_n^* = 0 \rightarrow v_n^* = v_{n-1}^* \quad \Delta x_n^* = g(v_n^*)$$

9 where the equilibrium spacing (Δx_n^*) has been explicitly assumed as a function of the cruising speed. Adopting for
10 equation 1 a Taylor's expansion at the equilibrium point, it can be written that

$$11 \quad \dot{v}_n^t = \frac{\partial f(\cdot)}{\partial \Delta x_n} (\Delta x_n^t - \Delta x_n^*) + \frac{\partial f(\cdot)}{\partial \Delta v_n} (\Delta v_n^t - 0) + \frac{\partial f(\cdot)}{\partial v_n} (v_n^t - v_n^*) \quad 2)$$

12 Now, given that at equilibrium the cruising speed does not significantly differ from that of equilibrium, the last term
13 of equation (2) can be neglected. Moreover, having defined

$$14 \quad \frac{\partial f(\cdot)}{\partial \Delta x_n} = \omega_1 \quad \text{and} \quad \frac{\partial f(\cdot)}{\partial \Delta v_n} = \omega_2,$$

15 and given that $\dot{v}_n^t = \dot{v}_{n-1}^t - \Delta \dot{v}_n^t$, we may write $\Delta \dot{v}_n^t = -\omega_1 \Delta x_n^t - \omega_2 \Delta v_n^t + \omega_1 \Delta x_n^* + \dot{v}_{n-1}^t$

16 This can be arranged in the classical state-space representation as: $\dot{\bar{x}}_n^t = A \bar{x}_n^t + B \bar{u}^t$

17 where $A = \begin{bmatrix} 0 & 1 \\ -\omega_1 & -\omega_2 \end{bmatrix}$ is the state matrix, $B = \begin{bmatrix} 0 & 0 \\ \omega_1 & 1 \end{bmatrix}$ is the input matrix, $\bar{x}_n^t = \begin{pmatrix} \Delta x_n^t \\ \Delta v_n^t \end{pmatrix}$ is the state vector,

18 $\bar{u}^t = \begin{pmatrix} \Delta x_n^* \\ \dot{v}_{n-1}^t \end{pmatrix}$ is the input vector, and $\dot{\bar{x}}_n^t = \frac{d}{dt} \bar{x}_n^t = \begin{pmatrix} \Delta \dot{x}_n^t \\ \Delta \dot{v}_n^t \end{pmatrix}$ is the first derivative of the status vector.

19 Once the equilibrium spacing is identified (at a given cruising speed), the values of the parameters of the model (ω_1
20 and ω_2) depend on the driver's behaviour. The two parameters jointly influence the tendency of the driver to close the
21 gap with the preceding vehicle, while ω_1 alone explains the tendency of the follower to stay far from the leader because
22 of the equilibrium.

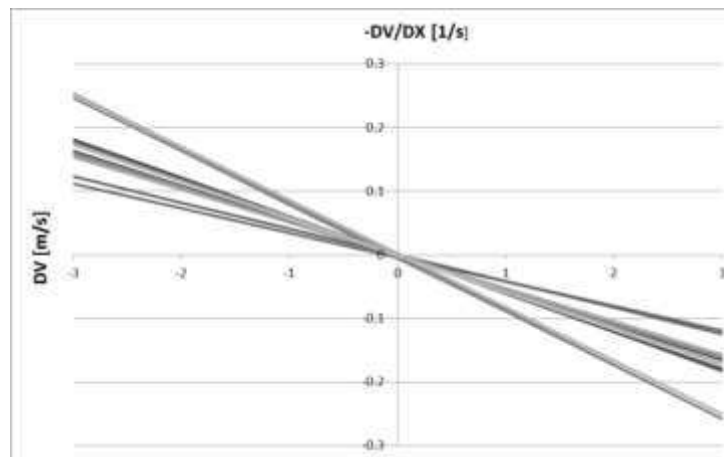
23 The second interpretative paradigm is based on a stimulus-response approach. It has been shown (Bifulco et al.,
24 2013a) to mimic real car-following behaviour once calibrated properly. It aims to enable a human-like control logic
25 (Simonelli et al., 2009) for ACC systems. The time-discrete linear model relates on one side the instantaneous speeds of
26 the leader and the follower and their spacing with, on the other, the target spacing the follower desires for the next
27 simulation time-step. The main equation is:

$$28 \quad \Delta \xi(k, k+1) = \beta_0 + \beta_1 \cdot \Delta x(k) + \beta_2 \cdot v_n(k) + \beta_3 \cdot v_{n-1}(k) \quad 3)$$

29 where $\Delta \xi(k, k+1)$ is the target inter-vehicular spacing estimated at time interval k for time interval $k+1$, and all other
30 variables are the same as the state-space approach but refer to a discrete-time approach, where k is any reference time
31 interval and $k+1$ is the subsequent one. Since $s_n(k) = \Delta x(k) + s_{n-1}(k)$ and $v_n(k) = \Delta v(k) + v_{n-1}(k)$, the
32 variables of this second paradigm are no different from those of the first. The linear stimulus-response model is easy to

1 calibrate (identification of the parameters from β_0 to β_3). The most efficient algorithm for this aim is the recursive least
 2 squares (RLS) algorithm, which can also be applied at run-time, during data collection. Calibration and validation tests
 3 carried out in previous works have shown good agreement between simulated and observed trajectories, with typical
 4 errors never exceeding 77%, less than 20% in 60% of cases and in 40% of cases less than 10% (Bifulco et al., 2013a).
 5 Interestingly, the model is not restricted to the equilibrium car-following condition and does not require identification of
 6 the equilibrium spacing.

7 The third interpretative paradigm is based on the definition of car-following waves given in Bifulco et al. (2013b)
 8 and on an appropriate representation of action points. If car-following spirals are represented in the plane $-\Delta V/\Delta X$ vs.
 9 ΔV and if, according to Pariota and Bifulco (2015), only the action points associated to the speed thresholds are plotted,
 10 a linear pattern can be estimated, similar to what is depicted in Figure 2 below, where several (and different) observed
 11 car-following trajectories are employed for estimation.



12
 13 **Fig. 2** Linear pattern for action points
 14

15 Estimation of the linear pattern results in identifying two parameters, with the intercept parameters observed to be
 16 null in all estimated cases. Differences in terms of the obtained parameters for different car-following observations can
 17 be employed as a measure of the differences between the observed driving patterns. Also in this case the models do not
 18 apply only to equilibrium points.

19 **3. Observing car following**

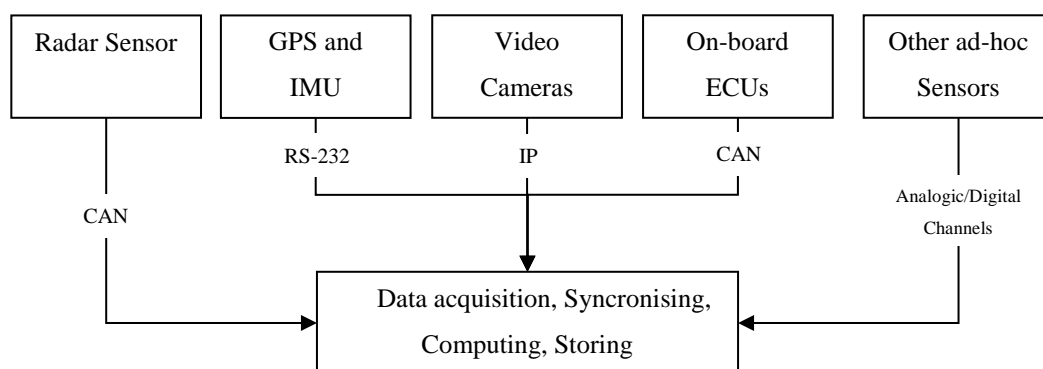
20 Identification of car-following behaviour and calibration of car-following models require the use of car-following
 21 data. For many years the availability of enough data, as well as the quality of these data, has been an issue. This was
 22 mainly due to the problem of observing and recording disaggregate data. As a result, aggregate traffic flow data (e.g.,
 23 flows, densities, etc.) were very often used for the calibration of microscopic (disaggregated) traffic models. However,
 24 for any research activity in the field of car following, especially if applied to driving assistance or automation, a
 25 reasonable quality of microscopic data is a prerequisite. Fortunately, some sources of microscopic car-following data
 26 are now much more easily available, mainly thanks to the rapid development of ICT.

27 One of the new sources of microscopic car-following data is represented by sensing devices placed outside the traffic
 28 stream. Some examples in this field are given by the NGSIM project (Alexiadis et al., 2004) in the US and the
 29 Motorway Incident Detection and Signalling (MIDAS) data archive collected by the Highways Agency in the UK
 30 (Wilson, 2008). In the NGSIM data microscopic traffic observations are available for a 500-metre segment of the I-80
 31 interstate freeway. The I-80 dataset is collected using digital video cameras allowing identification and tracking of each

1 vehicle in the traffic stream with a time-resolution of 0.1 seconds. However, the trajectories observed are quite short,
 2 the observations are fixed outside the traffic stream (Eulerian observation approach), and some errors and noise in the
 3 observed data have been revealed in recent studies (Hamdar and Mahmassani, 2008). A source of data similar to
 4 NGSIM comes from the Seohaean freeway in Korea, where data for estimating rear-end crash potential were prepared
 5 and analysed from 1h video images related to the 3-lane segment near the Maesong interchange (Oh and Kim, 2010).
 6 The MIDAS system in the UK is based on loop detectors placed on the M25 London Orbital Motorway from junction
 7 10 to junction 16. Strictly speaking, data from MIDAS relate to macroscopic traffic characteristics (average speed,
 8 density and flow); however, given the high density of traffic sensors for some segments of the motorway, re-
 9 identification algorithms were proposed (Lunt et al., 2006) in order to estimate vehicular trajectories intended as
 10 disaggregated data about individual speeds and loop-crossing times.

11 Another kind of ICT-enabled source of data for car-following behaviour is represented by real-time kinematic (RTK)
 12 GPS. Use of such data is widespread in the scientific community (Gurusinghe et al., 2002; Ranjitkar et al., 2003;
 13 Brockfeld et al., 2004). However, some issues have to be addressed in using this technique: at least two vehicles
 14 equipped with GPS are needed, one acting as the leader and the other (immediately to the rear) as the follower.
 15 Maintaining uninterrupted car following between these two vehicles for a suitable duration of time is not trivial and
 16 making an effort to do so could introduce some bias into the observed behaviour.

17 The third kind of data source is the one adopted in this paper: it entails the use of an instrumented vehicle (Boyce
 18 and Geller, 2002; Brackstone et al., 2002; Ma and Andreasson, 2007; Brackstone et al., 2009). An instrumented vehicle
 19 (IV) is a normal car (similar to any other and as unrecognizable as possible within a traffic stream) that is equipped with
 20 a data acquisition system and one or more sensors able to detect neighbouring vehicles (Tarko et al., 2013; Montella et
 21 al., 2014). A dedicated on-board computer processes signals and data and stores the measured information, recording
 22 real data during driving sessions. The information in question relates to the microscopic characteristics of the traffic
 23 flow, such as relative speed and spacing, as well as data associated to the vehicle's own dynamics or to driving
 24 variables detected by querying the on-board devices, electronic control units (ECUs) and commands. However, the data
 25 could also include road conditions or, in some cases, the monitoring of the driver's health or attention or physiological
 26 status. A typical architecture for an instrumented vehicle is as described in Figure 3.



27
 28 **Fig. 3** General architecture of an instrumented vehicle
 29

30 Different roles can be played by the various on-board sensors of an instrumented vehicle. With respect to the
 31 observation of car-following behaviours, GPS and inertial measurement units (IMUs) are mainly adopted for the so-
 32 called ego-data, i.e. the data associated to the instrumented vehicle (position, speed, acceleration, roll, pitch, yaw, etc.).
 33 Often, the GPS and the IMUs are integrated, as some multi-source algorithms can be conveniently used to merge the
 34 absolute position estimates given by the GPS and the dead-reckoning positioning obtained by IMUs (Ma and
 35 Andreasson, 2007; Bifulco et al., 2011). Radar devices are mainly adopted for detecting the relative speed and spacing;

1 they can be mounted in the front or at the rear of the equipped vehicle (or both). In the case of front radar the role of the
2 following vehicle is played by the instrumented one. Hence the driver of the instrumented vehicle is the one whose
3 behaviour is observed. In the case of rear radar the behaviour of other drivers is observed, as the instrumented vehicle
4 plays the role of the leader. In the first case the instrumented vehicle is said to be active, while in the second case it is
5 said to be passive. In active mode, analysts can have greater control over the sample of drivers recruited/involved;
6 however, the drivers are aware of being part of an experiment (even if they do not know exactly which one) and the
7 observation could be subject to bias. As regards cameras, these are adopted in our work only to monitor the road and
8 traffic context and to qualitatively judge the efficiency and accuracy of the other sensors.

9 In this work we carried out three experimental surveys by using two different instrumented vehicles: one vehicle was
10 equipped at the University of Naples Federico II (Italy) and the other at the University of Southampton (UK). The
11 radars used were the TRW Autocruise AC10 (the AC20 release in the second Italian sample), which proved to be able
12 to detect (and track) a target in a range from 2 to 150 metres at a speed from 40 to 200 km/h (from 10 to 200 km/h, the
13 AC20 model). The Italian vehicle mounted both rear and front radar, while the UK vehicle mounted only rear radar.
14 The radar data exploited in this paper are the inter-vehicular spacing and gap from the vehicle ahead (active mode,
15 Italian experiments) and from the vehicle behind (passive mode, UK experiment). As regards the ego-data, the cruising
16 speed of the instrumented vehicle is mainly exploited in this work. This was computed by validating the speed obtained
17 from the GPS with that obtained by the on-board CAN and adopting the (filtered) GPS speed (sampled at 10 Hz). All
18 data were collected at a 10 Hz frequency, synchronised and recorded on board. They were then processed off-line in
19 order to smooth the signal and to avoid spikes and bias. The data were smoothed using a Kalman Filter, as described in
20 Bifulco et al. (2011), where the state variables are the position, speed, acceleration of both the leader and follower. The
21 measures are the relative speed and distance (from the radar), the speed, and the position of the instrumented vehicle.
22 The represented dynamic system refers to the two vehicles (leader and follower) in instantaneous uniformly accelerated
23 motion (with different accelerations applied at each time step of the process). The matrix of measurement errors was
24 estimated by using known accuracies of the measure instruments. The matrix of the process error was fixed by tuning
25 on the basis of previous experiments: some accurate reference trajectories (obtained by using Differential GPS) were
26 available and discrepancies were estimated with respect to the hypothesis of uniformly accelerated motion. The great
27 advantage of using the Kalman filter is that it allows consistent profiles of speed, accelerations, relative speed and
28 spacing to be obtained.

29 **4. Experimental surveys and collected data**

30 Three independent field surveys were carried out: two in Italy, and the other in the UK. The datasets are identified as
31 IT_1, UK and IT_2.

32 The IT_1 dataset was collected on the SS7 quater Domitiana (Bifulco et al., 2013a), a divided rural highway with
33 two lanes in each direction and speed limit of 90 km/h, largely not respected by drivers due to low enforcement and
34 medium-high road quality that enables higher speeds. Experiments were carried out in the morning, in calm weather
35 conditions. In this experiment, the drivers were asked to follow a corporate vehicle; the driver of the corporate vehicle
36 was an experimenter without any particular experimental protocol and required to drive in a natural/spontaneous way in
37 the traffic stream. In this experiment voluntary drivers were recruited from students at the university.

38 For the UK dataset (Brackstone et al., 2002) experiments were performed during October on the M3 three-lane
39 motorway (70 mph speed limit, equivalent to about 110 km/h) between junctions 2 and 4a (a total of 22.2 km), during
40 the morning peak between 7:30 and 8:30 AM. Data were collected using the passive mode, the radar was fitted facing

1 rearward and observation made of following drivers selected at run-time in the traffic stream. Gender and age of the
2 monitored drivers were inferred by video in this case.

3 The IT_2 dataset was collected within the Italian research project DRIVEIN2 (Bifulco et al., 2012; Bifulco et al.,
4 2014) on the Motorways A1 and A30, which are divided highways with three lanes in each direction, and speed limits
5 in the study sections of 100 km/h on the A1 and 130 km/h on the A30. In the IT_2 experiment, the drivers were asked to
6 adopt different experimental protocols: on the A1, they were asked to drive spontaneously; on the A30, they were asked
7 to drive spontaneously in the first part of the journey and to follow a corporate vehicle in the second part. The
8 experimenter of the corporate vehicle applied, in turn, a precise experimental protocol: (a) he drove at 80 km/h for 2
9 km, then (b) he sped up to 100 km/h for 3 km, and finally (c) he sped up to 120 km/h for 3 km. Participants in the
10 experiments were recruited in order to reproduce in our sample the main characteristics (in terms of gender, age, etc.) of
11 the Italian population of drivers.

12

13 **TABLE 1** Main characteristics of the Italian and UK datasets

Dataset	Drivers			Driving sessions in car-following conditions						
	N	Female (%)	Age < 26 (%)	Number of trajectories	Total duration (min)	Total length (km)	Time (s)			Average speed (km/h)
							Mean	Max	Min	
IT_1	13	46	85	13	110	88	527.5	1220	234.0	58
UK	31	20	80	54	82	134	178.7	562	30.6	66
IT_2	92	43	27	759	441	576	54.4	400	37.2	92

14

15 Table 1 above summarises the main characteristics of all the IT and UK datasets. In all cases the traffic conditions
16 may be described as medium congestion, without stop-and-go phenomena.

17 In all the experiments data related to different drivers were collected as different driving sessions. These were split
18 into trajectories, each characterized by a unique leading vehicle and by uninterrupted car-following (CF) conditions. As
19 a result, non-CF conditions were discarded, and 826 trajectories were obtained. Given that the instrumented vehicles
20 adopted in all experiments were similarly equipped and the experimental procedures were similar, in all trajectories the
21 same variables were logged, at a 10 Hz frequency. Among these we employed for our analyses:

22

- the speed of the follower;
- the relative speed between the leader and the follower;
- the relative spacing between the leader and the follower.

23

24

25 5. Identification of car-following equilibria

26 As stated in section 2, the approach followed here is twofold. First the equilibrium conditions of observed car-following
27 are directly analysed. Then the observed driving behaviour are indirectly characterised via identification of the
28 modelling parameters for three different car-following paradigms (each applied to all of the three different samples).

29 The first step to carry out is to identify equilibrium conditions in observed car-following trajectories. The purpose is to
30 ascertain whether the observed car-following situation in each trajectory is homogeneous, and to assign to each of them
31 both a reference speed, and an equilibrium/desired spacing. Searching for homogeneity is very important in our case.
32 Indeed, as shown above, each dataset comprises several trajectories and car-following conditions can be observed to
33 change both across trajectories and within a given trajectory. In the latter case the observed car-following episodes
34 could be representative of more than one equilibrium condition. An example is depicted in Figure 4, which is a very
35 particular case taken from the UK dataset, where the car-following process occurs at three different speeds.

36

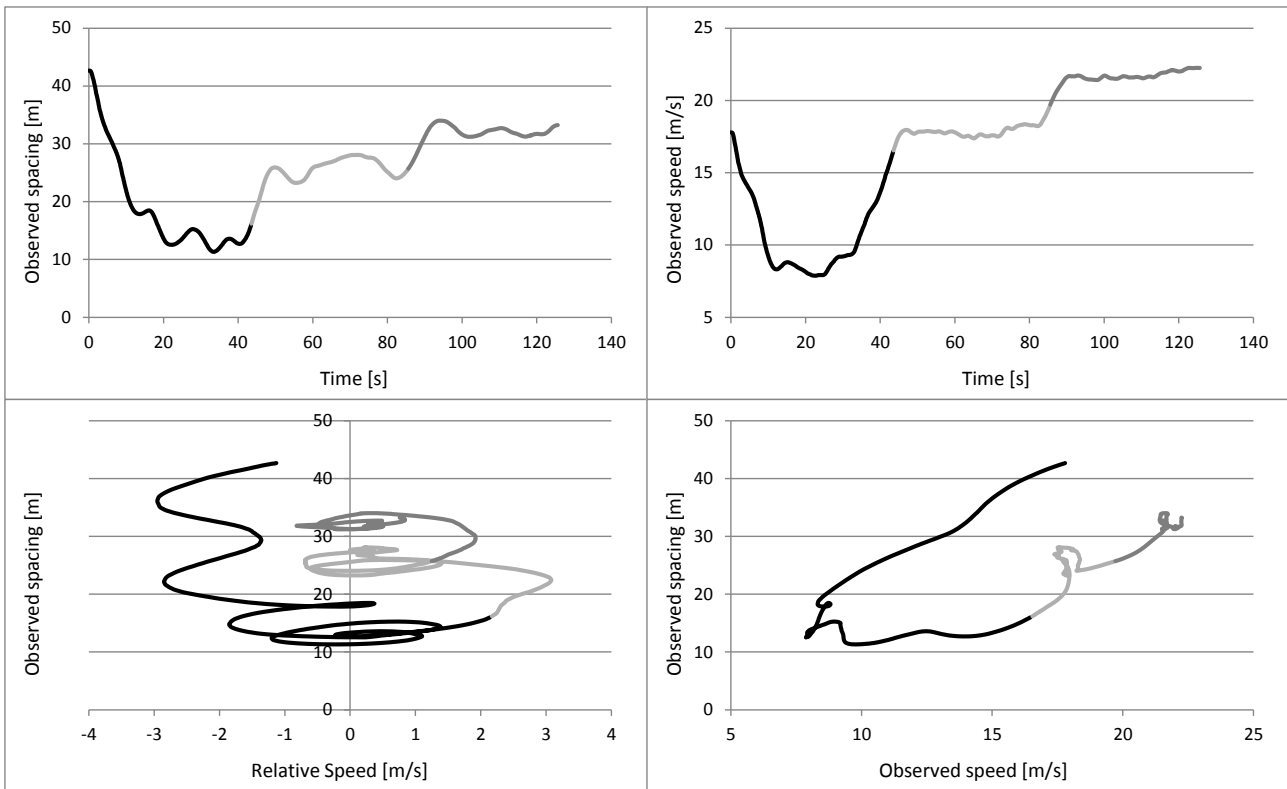


Fig. 4 An example of cluster analysis applied on one trajectory of the UK dataset

In the first 40 seconds the following vehicle approaches a slower leader, until it reaches a speed at about 9 m/s and the observed spacing is around 15 m. The leading vehicle then increases its speed, and a new equilibrium condition is reached, with about 28 m of spacing. In the last part of the trajectory the speed reaches about 22 m/s, and an equilibrium condition is reached for the third time at a spacing value of 32 m. As a consequence, this trajectory has to be split into three subsets and one point representing the equilibrium condition reached has to be assigned to each. These subsets are here called clips. Of course, if the trajectory is homogeneous throughout its duration, the clip coincides with the trajectory itself.

Importantly, once the phenomenon is analysed in the relative speed-spacing and in the follower’s speed-spacing planes, the points gather in specific zones of the two planes, one for each equilibrium condition. This circumstance is of great help when equilibrium conditions have to be searched. This can be observed in the second row of Figure 4, where the three equilibrium conditions described above can be clearly identified.

Given the huge quantity of data treated in the paper the procedure for verifying homogeneity and, if need be, for clip generation was based on a machine learning approach. The machine learning approach ensures the possibility of automating the process and establishing an objective-function and systematic criterion for clip generation.

In particular, for each trajectory a vector is defined using three variables: spacing, the follower’s speed and the time elapsed; each vector has n components, corresponding to the single observation records in the trajectory. The vector is then analysed using a k-means clustering algorithm.

K-means clustering aims to divide n observations into k clusters in which each observation belongs to the cluster with the nearest mean, which represents the centroid of the cluster (Galante et al., 2010; Montella et al., 2010; Montella et al., 2011). Given a set of observations (x_1, x_2, \dots, x_n) , where each observation is a d -dimensional real vector, k-means clustering aims to partition them into k ($\leq n$) sets $S = \{S_1, S_2, \dots, S_k\}$ so as to minimise the within-cluster sum of squares (WCSS). In other words, the objective function is:

$$\min_s \sum_{i=1}^k \sum_{x \in S_i} \|x - \mu_i\|^2$$

where μ_i is the mean of points in S_i .

The problem here is that the number of clusters k has to be defined a priori. Thus a criterion is required in order to verify that k has been adequately chosen. In our case the gap statistic (Tibshirani et al., 2001) was used to identify k . This technique uses the output of any clustering algorithm, comparing the change in within-cluster dispersion with that expected under an appropriate reference null distribution, and can be used in an automatic process as follows:

- cluster the observed data, varying the number of clusters from 1 to n_c , which is the predefined max number of accepted clusters;
- compute the gap statistic;
- choose the k corresponding to the maximum value of the gap statistic.

In our procedure the maximum number of accepted clusters n_c was set equal to 4, given that the procedure is computationally demanding, and on the basis of previous experience n_c was < 4 in 92% of the cases in our datasets.

In summary for each trajectory:

- the optimal number of clusters was chosen using the gap statistic;
- k clips were created using the k -means algorithm;
- the k centroids were considered as representative of the equilibrium condition in the clip.

It is worth noting that the follower's speed and spacing were considered for the clustering procedure because the two variables represent the main interest of our study. The elapsed time was also added in order to ensure that the points selected for each cluster refer to close instants. The outcome of the procedure described herein is reported in Figure 4 above by using different grey tones.

The clustering results were also checked with respect to the equilibrium spacing computed by using the procedure described in Pariota (2013). Pariota demonstrated that a good estimation of the equilibrium spacing can be carried out once relative speed action points are selected in a clip and a linear regression is made using the relative speed as independent variable, and the spacing as dependent; the intercept term of the regression line is the equilibrium spacing. The two procedures were compared, and ascertained to be equivalent. However, in this work the machine learning approach is preferred, as it automatically allows a reference speed to be associated to the selected equilibrium spacings.

6. Presentation and discussion of the results

Direct analysis of the identified equilibrium points is first carried out. Based on this analysis two conjectures are discussed: i) the adopted equilibrium spacing increases with speed; ii) the across-drivers dispersion of the observed behaviour increases with speed.

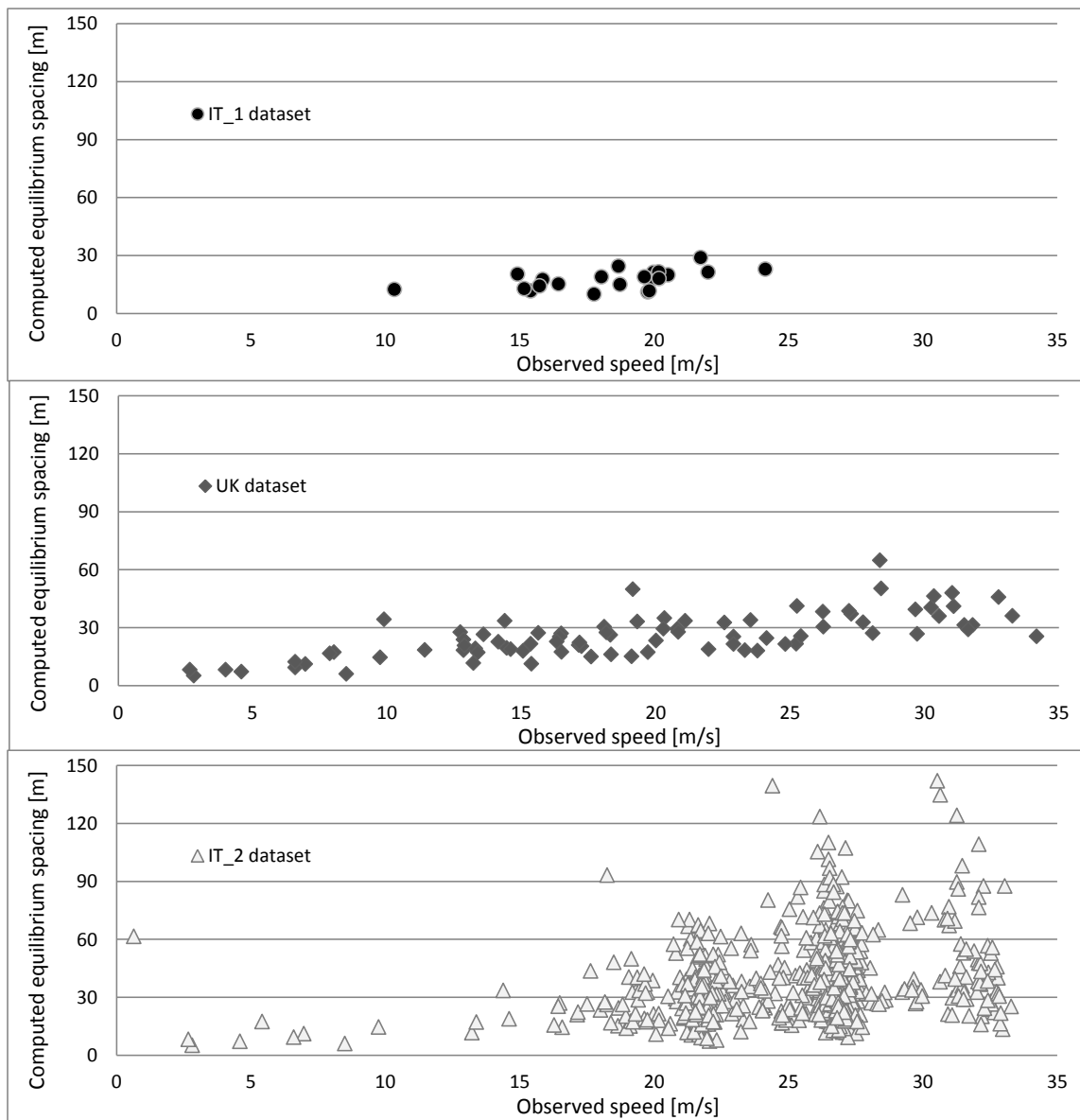


Fig. 5 The scatter plot of the equilibrium conditions evaluated in the three datasets: spacing vs. follower's speed

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Figure 5 presents a scatter plot of the identified equilibrium conditions in the follower's speed-spacing plane. The different size of the three datasets is evident, and the dispersion of data seems to be different too, and influenced by the number of points. In particular, equilibrium conditions identified in the three datasets numbered 22 for IT_1, 110 for the UK data set and 1874 for IT_2. Another point of difference concerns the range of speed covered in the three datasets: the two Italian datasets show most of the points in more limited speed ranges (10-25 m/s for IT_1, 15-35 m/s for IT_2), while the UK experiment covers a greater speed range. However, the common point in the three datasets is the growing trend of the equilibrium spacing with respect to the speed. The average values increase with speed in all the datasets (albeit assuming different values). This result is intuitive, and also confirms references from the literature. Indeed, considering studies carried out in different countries some analogies can be found with respect to our collected data. In particular, Fig. 6 compares the results of this work with the findings of Parker (1996) obtained in England, those of Huddart and Lafont (1990) concerning French drivers, and the indications of the California Code reported by Chandler et al. (1958).

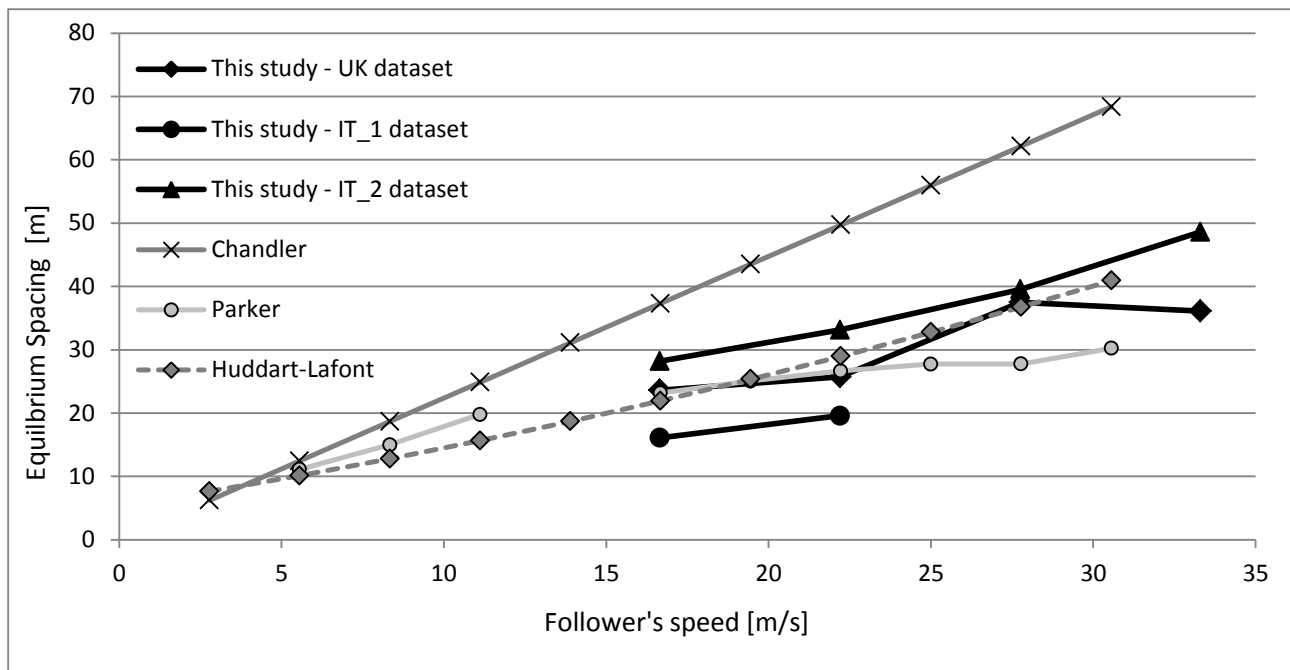


Fig. 6 Distribution of the equilibrium spacing computed in different datasets with respect to the follower's speed

Note that our data are in accordance with other observer data such as those of Huddart-Lafont and Parker. The two Italian datasets are aligned on different sides with respect to the UK dataset. This does not appear to highlight a systematic effect of drivers' nationality nor of the time the data were collected (the UK data are much less recent than the Italian data). It can be noted that the larger the observed dataset (from IT_1 to UK and then to IT_2), the greater the average spacing (for any given follower speed). This tendency of the data to be dependent on sample size is discussed below.

Other more formal analyses can be carried out to identify the dispersion of the data observed in the three experiments. Our analyses start from the IT_2 dataset. Given the particular experimental conditions, the detected points were grouped into four ranges of speed, equally spaced, from 16.65 ± 2.78 to 33.30 ± 2.78 m/s (which corresponds to covering the interval from 50 to 130 km/h with one speed class every 20 km/h). This choice was influenced by the particular experimental conditions in the IT_2 dataset, where during much of the experiment each driver was committed to following a corporate vehicle at 80, 100 and 120 km/h. Points in each of the classes were used to build an empirical probability density function (PDF). The empirical distributions of the four classes are plotted in Figure 7. Once selected, points in each class were used to fit a lognormal distribution. The fitted distributions, as well as the relative Q-Q plots, are also plotted in Fig. 7. The Q-Q plot is a plot of the percentiles of a standard log-normal distribution against the corresponding percentiles of the observed data. It shows the expected log-normal value on the X axis plotted against the observations on the Y axis. If the data are log-normally distributed, the data points are close to the diagonal. If the data points stray from the line in an obvious non-linear fashion, the data are not log-normally distributed (Montella et al., 2015a, 2015b).

Parameters of the four distributions and their statistics (mean, standard deviation and coefficient of variation) are given in Table 2. The same analyses were also repeated for the other two datasets. For comparison, they are limited to the four speed classes used for the analysis of the IT_2 dataset; all the results are reported in Table 2 as well. Also in these cases the lognormal distributions fit the observed data well.

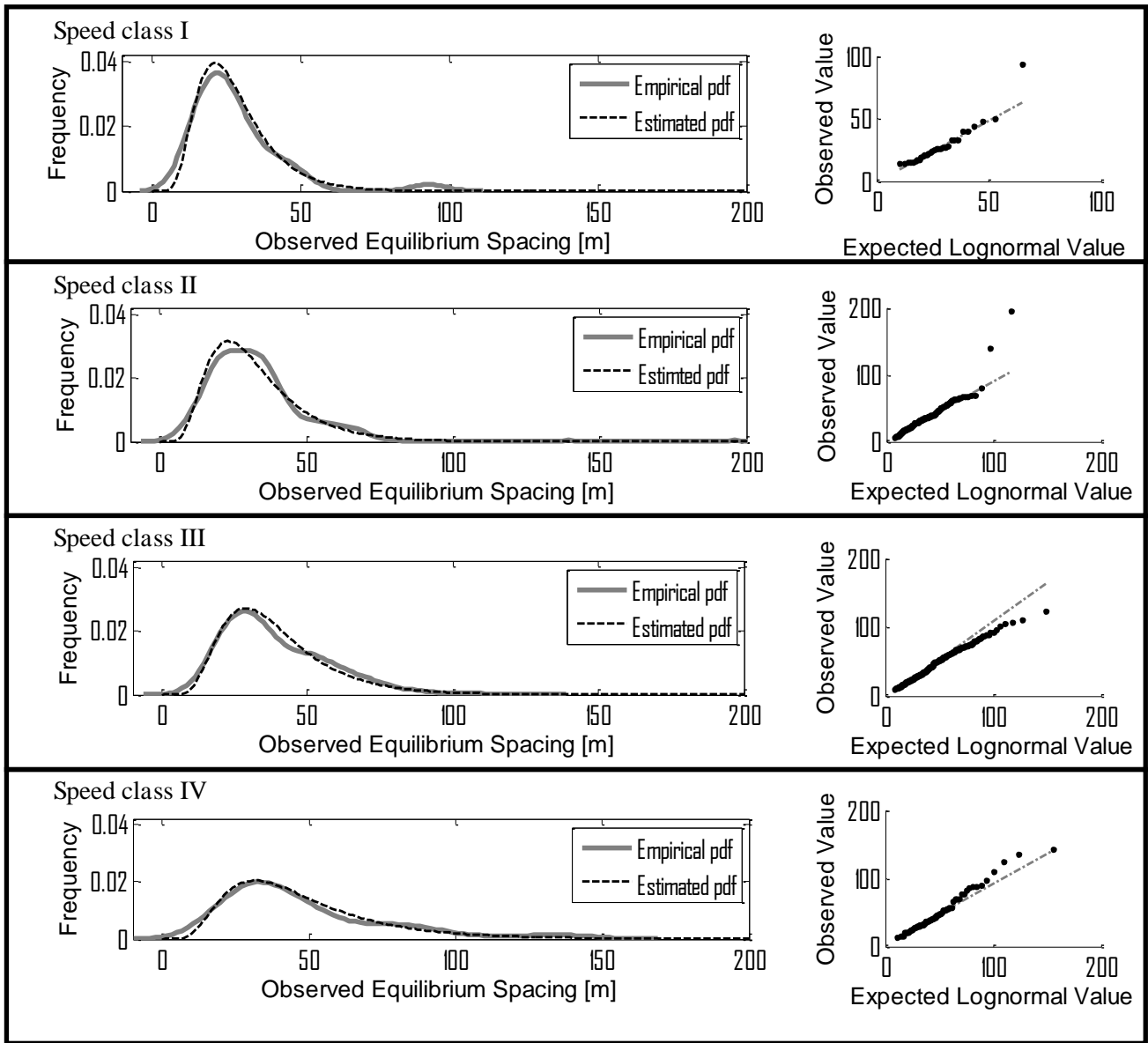


Fig. 7 Estimated lognormal distribution for the four speed classes in the IT_2 dataset, compared with the empirical distribution

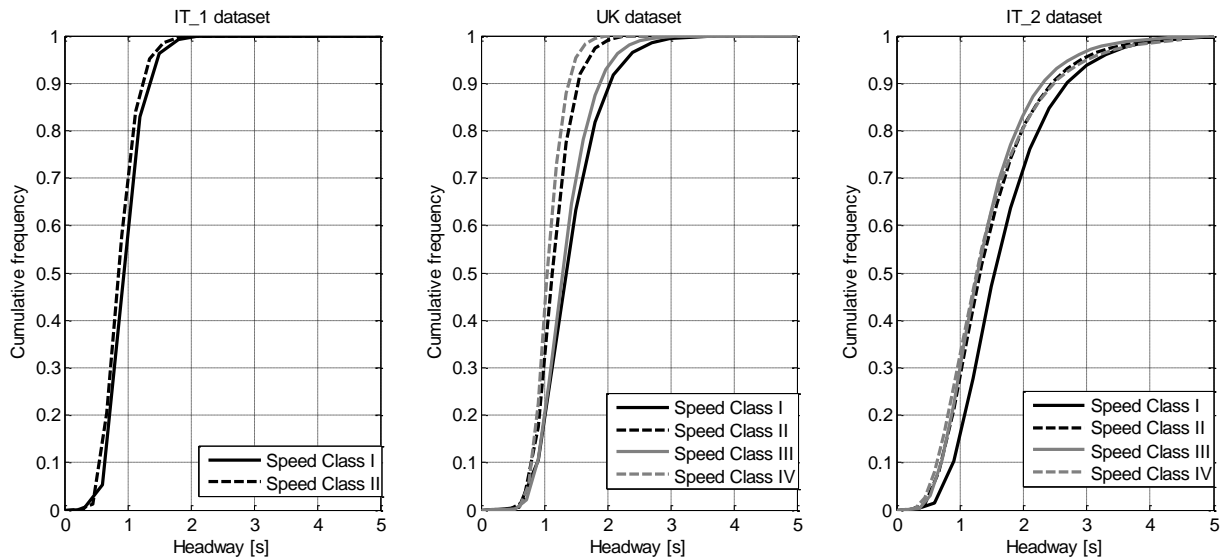
TABLE 2 Parameters of the lognormal distributions estimated in the three datasets and their statistics

Dataset	Speed class	Lognormal spacing distribution parameters				
		m	s	Mean	SD	CV (SD/Mean)
IT_1*	I: 50-70 km/h	2.74	0.27	16.12	4.437	0.275
	II: 70-90 km/h	2.94	0.28	19.58	5.546	0.284
	III: 90-110 km/h	-	-	-	-	-
	IV: 110-130 km/h	-	-	-	-	-
UK	I: 50-70 km/h	3.11	0.32	23.63	7.787	0.330
	II: 70-90 km/h	3.22	0.24	25.74	6.373	0.248
	III: 90-110 km/h	3.58	0.29	37.50	10.95	0.292
	IV: 110-130 km/h	3.56	0.21	36.13	7.740	0.214
IT_2	I: 50-70 km/h	3.25	0.43	28.18	12.79	0.454
	II: 70-90 km/h	3.38	0.48	33.12	16.94	0.512
	III: 90-110 km/h	3.57	0.46	39.52	19.14	0.484
	IV: 110-130 km/h	3.74	0.53	48.60	27.94	0.575

*The IT_1 dataset does not present points in speed classes III and IV

The hypotheses on the significance of distributions were also tested by using the Kolmogorov-Smirnov and the Chi-square non-parametric tests. All data significantly fit the lognormal distribution, with all values of the tests less than

1 0.05. Handy to note, the values of the estimated parameters of the spacing distribution differ in the three datasets.
 2 Interestingly, the variances of the equilibrium spacing within each class increase with speed; this is fully true for IT_1
 3 (even if only two classes are evaluated) and especially for IT_2, while it is controversial for the UK sample. Note that
 4 the values of the standard deviations of observed equilibrium spacing significantly increase according to the size of the
 5 sample. This is a further indication that some differences in the observed behaviours can also be explained by the
 6 greater variability (and number) of observed driving conditions and behaviours.



7
 8 **Fig. 8** Estimated cumulative distribution of the observed headway for all the speed classes and all the datasets
 9

10 Considering the headway cumulative distributions depicted in Figure 8, it is possible to carry out an evaluation of the
 11 observed safety conditions. It is worth noting that the cumulative distribution of the observed headways are obtained as
 12 a linear transformation of those estimated for the spacing (each of the spacing distribution parameterized in Table 2 has
 13 been scaled using the corresponding speed class value). Once an headway threshold is chosen, the corresponding
 14 percentile in the cumulative distribution can be computed. Of course, the evaluation can be made with respect to
 15 different thresholds. As an example a first threshold of 2 seconds can be fixed according to the perception-reaction time
 16 given in the geometric design standards from Australasia (Austroads, 2010) and France (SETRA, 2001). It is worthy to
 17 note that in North America the perception-reaction time is assumed 2.5 seconds (AASHTO, 2011; TAC, 1999). The
 18 probability of observing a headway greater than the 2 seconds threshold is very low in the IT_1 and UK datasets. It is
 19 only slightly greater in the IT_2 dataset, with probabilities ranging from 0.17 to 0.28 for the four speed classes. For an
 20 insight on the phenomenon, a second threshold of 1 second can be fixed according to Vogel (2003). In this case, the
 21 probability of observing headways greater than the threshold ranges from 0.28 to 0.39 in the IT_1 dataset, and from
 22 0.60 to 0.84 in the other two datasets. However, this means that a high frequency of headways lower than the second
 23 threshold fixed can be still observed in all the samples. These data clearly show that several following too close
 24 behaviours are observed in all the three samples. As a consequence, there is a greater potential for reduction of rear-end
 25 crashes by introducing proper driving automation solutions aimed at longitudinal control of vehicles.

26 A difference in the values of estimated parameters could be reasonably explained by different behaviours being
 27 actually observed in the three datasets. However, in order to better discuss this result, note that elaborations in Table 3
 28 show some interesting analyses about the statistical similarity of the different samples of drivers. Indeed, for the points
 29 in the UK and IT_1 datasets the null hypothesis is tested that they can be considered samples of the distributions
 30 estimated (for the four speed classes) using points of the IT_2 dataset. The findings may be considered quite
 31 controversial. The null hypothesis is always rejected (p-values less than 0.05) for the IT_1 dataset, so that we can be

1 more confident on the hypothesis that the two datasets actually present different behaviours. Differently, the hypothesis
 2 is not rejected (except for the Kolmogorov-Smirnov test in the second speed class) in the UK dataset. In other words, if
 3 the distribution that results from IT_2 is sampled, then the UK dataset could be obtained. Put simply, there is more
 4 dispersion within the IT_2 dataset than across the drivers of the UK and the IT_2 datasets.

5 The previous issue is interesting also with reference to the adoption of datasets collected in different periods. Indeed
 6 UK experiments were performed about 10 years before those carried out in Italy, but no significant difference was
 7 found between the UK and IT_2 datasets, in contrast with differences emerging between IT_1 and IT_2 data that were
 8 both collected in Italy, with the same vehicle, and more recently (refer to figure 6, and Tables 2 and 3). This result
 9 reassured us about going ahead with the use of all three datasets also for the indirect comparisons carried out in the rest
 10 of the paper.

11
 12 **TABLE 3** Testing the hypothesis that datasets IT_1 and UK can be considered random draws from the distribution
 13 estimated for dataset IT_2

Dataset	Speed class	Kolmogorov-Smirnov	Chi-square
		p-value	p-value
IT_1*	I: 50-70 km/h	<0.01	<0.01
	II: 70-90 km/h	<0.01	<0.01
	III: 90-110 km/h	-	-
	IV: 110-130 km/h	-	-
UK	I: 50-70 km/h	0.07	0.13
	II: 70-90 km/h	0.02	0.06
	III: 90-110 km/h	0.69	0.55
	IV: 110-130 km/h	0.08	0.08

14 *The IT_1 dataset does not present points in speed classes III and IV

15
 16 **TABLE 4** Parameter distributions in the three datasets

Model	Parameter	Dataset								
		IT_1			UK			IT_2		
		25 th	50 th	75 th	25 th	50 th	75 th	25 th	50 th	75 th
State-space	ω_1	0.011	0.044	0.147	0.015	0.050	0.101	0.019	0.058	0.199
	ω_2	0.332	0.641	0.942	0.514	0.709	1.185	0.222	0.575	1.507
Stimulus-response	β_0	0.031	0.082	0.167	-0.041	0.238	0.985	-0.952	0.938	5.712
	β_1	-0.007	-0.004	-0.002	-0.052	-0.025	-0.012	-0.026	-0.008	-0.003
	β_2	0.572	0.586	0.591	0.368	0.446	0.496	0.551	0.594	0.621
	β_3	-0.004	0.002	0.005	-0.011	0.012	0.040	-0.179	-0.015	0.065
Waves	a_1	-0.0008	0.0002	0.0013	-0.0003	0.0004	0.0020	-0.0006	-0.0005	0.0003
	a_2	-0.076	-0.055	-0.048	-0.054	-0.038	-0.029	-0.037	-0.027	-0.019

17
 18 Indeed, indirect analyses were also carried out. The three datasets were compared by using the interpretive models
 19 introduced in Section 2. The result of the identification of the modelling parameters is reported in Table 4.

20 Identification of the state-space model was carried out using the Matlab-System Identification Toolbox; for the
 21 calibration of the parameter of the other two models the Matlab Statistical Toolbox was used. With respect to the
 22 stimulus-response model and that based on waves, good fitting of the data was obtained, as ensured by the computed r-
 23 square statistics; they are not reported in Table 4 because for all the clips the values assumed were between 0.85 and 1,
 24 without any significant difference between the datasets. For each of the modelling parameters the respective 25th, 50th,
 25 and 75th percentile of the values assumed in the dataset are shown (median values are in bold); parameters in the three
 26 datasets are fully comparable. With reference to the state-space model, positive values confirm the rational driving

1 behaviour hypothesis. In order to maintain safe car-following conditions drivers should increase their acceleration when
2 there is an increase in spacing (the actual spacing becomes greater than the desired one), and decrease it in the opposite
3 situation. The same should happen with respect to relative speed (Wilson, 2008): this happens when $\omega_1, \omega_2 \geq 0$. In the
4 stimulus-response model, parameters β_1 and β_3 assume quite low values. Hence the main stimulus is that associated to
5 β_2 , the relative speed. The wave-based model shows the main differences across the three datasets: on the one hand, a_1
6 is negligible (as expected, the value of a_1 should be zero) for all three cases; on the other, the value of a_2 decreases
7 (hence the slope of the trend curve) from IT_1 to IT_2. If considered in the quadrant with negative DV, the slope a_2 (see
8 also Figure 2 in section 2) interpolates points where an action is performed by the driver in correspondence to a given
9 relative speed and a corresponding (inverse of) time-to-collision. Given the relative speed, the (inverse) time-to-
10 collision is strictly related to the relative spacing at which the action is made. In conclusion, smaller slopes correspond
11 to larger relative spacing for any given relative speed, that is more precautionary (less risk-prone) behaviours. Thus,
12 values a_2 in Table 4 suggest that the IT_2 dataset is, overall, more risk-averse than the UK dataset and, in turn, the UK
13 dataset is more risk-averse than the IT_1 dataset; this result confirm safety considerations drawn from the analysis on
14 the observed headway described for Figure 8. The difference in the observed behaviours can be interpreted in two ways:
15 the behaviours could be considered different because, say, different driving styles may be due to some systematic
16 difference in the datasets; or, as has been already noted, the observed datasets differ in terms of dispersion of observed
17 behaviours, and the larger the sample, the greater is the probability of observing uncommon behaviours. Viewed from
18 the latter standpoint, the decreasing a_2 slope indicates that uncommon behaviours could be more risk-averse than
19 common ones and that the former appear more probably in larger datasets. To confirm the different structure of the
20 dispersion as the size of the dataset increases, note that in Table 4 the inter-quartile range (IQR) almost always increases
21 from the IT_1 to the IT_2 dataset. It should also be noted that in the IT_2 dataset drivers were recruited in order to
22 reproduce the main characteristics (in terms of gender, age, etc.) of the Italian population of drivers. In summary, larger
23 (and more representative) samples allow observation of a wider range of behaviours which adds non-negligible
24 information to the collected datasets. This, in our opinion, is an expected (but interesting) finding that emerges from our
25 analyses.

26 7. Conclusions and future research directions

27 The results presented in section 6 refer to direct observations of driving behaviour in three different experimental
28 datasets, as well as indirect comparisons allowed by the identification of modelling parameters able to describe the
29 observations. Data were obtained thanks to the development of a machine learning approach, able to identify and select
30 equilibrium conditions in automatically collected car-following data. Albeit employed here in an off-line approach,
31 once implemented in real time the method is a useful tool for on-board identification of equilibrium behaviours
32 desired/applied by drivers. Thus the analyses here applied off-line can be further developed to be applied on-line. In this
33 way off-line characterisation of driving behaviours and on-line testing of actually observed behaviour can be combined
34 to set up customised/localised driving assistance solutions, oriented to active safety systems. For instance, the on-line
35 observed driving behaviour, once analysed in terms of equilibrium spacing actually applied by the driver, can be
36 compared with the off-line estimated statistical distributions; if the actual spacing is shorter than (say) the tenth-
37 percentile of the observed distribution, the driver could be warned for applying too aggressive (and thus dangerous)
38 driving behaviour. This could be a practical enhancement of traffic safety enabled by our study, given the impact of
39 poor headways on rear-end crashes, as discussed in Austroads (2015). The implementation of this solution will be
40 addressed in future works.

1 Our results herein concern off-line observations and the characterisation and comparison of different datasets,
2 collected in different experiments in different years and countries. The analyses show that the lognormal distributions fit
3 the observed data well, and confirm the findings of previous studies. Greenberg (1966) in his early paper introduced the
4 hypothesis of lognormal distribution of the headway. This hypothesis was confirmed several times in subsequent years
5 (e.g., Piao et al., 2003; Li et al., 2010) up to the recent paper of Jiang and Lu (2015).

6 It is also evident from our analyses that the variances of the equilibrium spacing within each class increase with
7 speed, showing that drivers' behaviours are less dispersed at lower speeds. This was also confirmed by Jiang and Lu
8 (2015) with respect to Chinese drivers. The main reason we would suggest for such a result is that at higher speeds
9 drivers' aggressiveness tends to produce greater effects: the more aggressive a driver is at higher speeds, the closer the
10 spacing he/she adopts with respect to non-aggressive drivers. This effect is less evident at lower speeds, where
11 aggressive and non-aggressive drivers tend to adopt more similar spacing (Elefteriadou, 2014). As regards the
12 comparison across the three different datasets, the average influence of speed on equilibrium conditions is similar
13 (piecewise linear) and in line with previous studies (Figure 6): it all falls in quite a narrow band (except for the curve
14 obtained by Chandler which seems very conservative).

15 The equilibrium spacing results to be differently dispersed in the three datasets with respect to both the estimated
16 lognormal distributions and to the inter-quartile range values of the estimated parameters of the considered models. This
17 result is correlated to the different size of the three datasets: increasing the number of drivers observed (as well as the
18 duration of the driving sessions) increases the probability of observing different (and even uncommon) behaviours.
19 Moreover, as discussed at the end of section 6, the values of the a_2 slope decreases if the size of the sample increases,
20 and this indicates that uncommon behaviours are more risk-averse than common ones. Put simply, studies based on a
21 relatively small (but statistically significant) sample can be useful for the observation of the average phenomenon,
22 while an increased sample allows in-depth understanding, especially in the case of car-following behaviour, which is
23 confirmed to be a complex phenomenon.

24 Indirect comparison of observed behaviours, based on behavioural models, shows the rationality of the parameters
25 obtained. Moreover, similar average distributions of the parameters are observed across the three datasets. Hence
26 reactions to stimuli are similar in the different (observed) groups of drivers.

27 On the other hand it should be considered that although the three different field experiments have been carried out in
28 similar contexts and speed ranges, they exhibit a variability in both the detected equilibrium conditions and the
29 parameters of the models. The results are probably influenced by the differences in the sample compositions in terms of
30 gender, age and other personal characteristics of the drivers. That is, important lower-order factors may have been
31 neglected due to the very different size of the datasets. Also within-driver variability (Wagner, 2012) could represent
32 another significant source of randomness; the latter aspects are even more difficult to address, considering how difficult
33 it is to carry out larger naturalistic observations of driving behaviour. The analysis of within-driver variability and of the
34 impact of composition in terms of age and gender is the main goal the authors set for themselves as a further research
35 perspective. These aspects are of ever greater importance, as it is increasingly clear that not only do they affect models
36 aimed at (microscopic) traffic simulation, but strongly impact in this case of driving assistance/automation solutions.
37 Indeed, the desired driving behaviour (with which the automation system has to interact) can be observed to vary for a
38 given driver, depending on the context, purpose and duration of the trip or on other parameters specific to each trip,
39 which are often very difficult to fully understand. The availability of a large amount of vehicle trajectory data (collected
40 at the road-side or on board), such as what we have, can be successfully treated with appropriate algorithms (e.g.,
41 Taylor et al., 2015) in order to investigate within-driver variability at a future stage.

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