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1 **Could a potential Anthropocene mass extinction define a new geological**
2 **period?**
3

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7 Keywords: Anthropocene; Holocene; epoch; period; mass extinction

8

9 **Abstract**

10 A key aspect of the current debate about the Anthropocene focuses on defining a new geological
11 epoch. Features of the Anthropocene include a biodiversity crisis with the potential to reach “mass
12 extinction” status alongside increasing global CO₂ and temperature. Previous geological boundaries
13 associated with mass extinctions, rises in atmospheric CO₂ and rises in global temperature are more
14 usually associated with transitions between geological periods. The current rapid increase in species
15 extinctions suggest that a new mass extinction event is most likely imminent in the near-term future.
16 Although CO₂ levels are currently low in comparison to the rest of the Phanerozoic, they are rising
17 rapidly along with global temperatures. This suggests that defining the Anthropocene as a new
18 geological period, rather than a new epoch, may be more consistent with previous geological
19 boundaries in the Phanerozoic.

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27 Interest in the Anthropocene concept has increased exponentially across science, social science and
28 the humanities in recent years (Oldfield et al., 2013). However, formalising the Anthropocene within
29 standard stratigraphic terms is extremely difficult as it must rely on what is observed in the
30 stratigraphic record while being intrinsically a present- and future-facing issue. There has already been
31 much debate over whether a new epoch should be defined (e.g. Zalasiewicz et al., 2008; Autin &
32 Holbrook, 2012; Devries Klein, 2015; Finney & Edwards, 2016; Waters et al., 2016), the timing of the
33 potential Holocene–Anthropocene boundary (Zalasiewicz et al., 2015a), and appropriate stratigraphic
34 markers that may be used to demarcate its onset (Zalasiewicz et al., 2014; Swindles et al., 2015), if
35 indeed it is accepted by the International Stratigraphic Commission (ICS). Some of the times that have
36 been proposed for the beginning of the Anthropocene have been based on ambiguous or regionally-
37 variable stratigraphic markers (see Zalasiewicz et al., 2015b). For the purposes of continuity within the
38 International Chronostratigraphic Chart, unambiguous, globally-widespread markers in the geological
39 record are needed to define the Anthropocene; such as the appearance/disappearance of indicator
40 fossils, chemical signatures or abiotic markers. Two stratigraphic markers – spheroidal carbonaceous
41 particles (SCPs) (Rose, 2015; Swindles et al., 2015) and radionuclides from nuclear weapons testing
42 (Zalasiewicz et al., 2015a) may be well placed to provide a globally-synchronous marker for a mid-
43 twentieth century date, as they can be found in sediment successions across the globe. This
44 corresponds with the onset of the Great Acceleration which is a period defined by rapidly increasing
45 impact of human activities on the Earth system since the 1950s (Steffan et al., 2007). Data detailing
46 the rapid increase in human impact (e.g. CO₂, nitrous oxide, ocean acidification, human population
47 increase, and fertilizer consumption) on the Earth system since the 1950s were first published in 2004
48 and recently updated to reflect changes up to 2010 by Steffen et al., (2015). If the Anthropocene is
49 formalised by the ICS, then the transition from the Holocene to the Anthropocene must be supported
50 by the identification of a clear signal in the geological record, and given that human activity is the
51 driver of the Anthropocene, such a signal should ideally reflect the impact of human activity on the
52 Earth system (Hamilton, 2015).

53 Williams et al. (2015) have suggested that the Anthropocene may potentially mark a third stage in the
54 evolution of the biosphere, characterised by the dominant impact of humans across planet Earth.
55 Williams et al. (2015) discuss potential trajectories for human influence on the biosphere, both with a
56 potential collapse of the human-dominated Anthropocene leaving only a minor trace in the geological
57 record at one extreme and a potential major increase in human influence that leaves a much more
58 significant mark in the geological record at the other. The authors suggest that, with the latter
59 potential trajectory, the Anthropocene may come to mark the beginning of a third phase in the
60 development of the biosphere. This possibility far exceeds the definition of a new geological epoch.
61 The formalisation of the Anthropocene as an *epoch* may be premature as the Earth system is still in
62 transition, and future trajectories are currently unclear. However, without significant action, the scale
63 of humanity's impact on the Earth system is more likely to at least match transitions between *periods*
64 in the geological timescale.

65 A key feature of this transition is a major decline in the number of species across the planet that may
66 reach levels similar to previous mass extinction events. This potential mass extinction has been coined
67 'the sixth mass extinction' in the literature (e.g. Barnosky et al., 2011; Ceballos et al., 2015). The
68 International Union for Conservation of Nature (IUCN) reports over 800 confirmed extinctions in the
69 last 500 years, and global extinction rate is increasing at an unprecedented pace (e.g. Ceballos et al.,
70 2015; De Vos et al., 2014; Urban, 2015; Waters et al., 2016). The current extinction rate has already
71 far exceeded the one extinction per million species years (E/MSY) "background" geological level
72 (Pimm et al., 1995) and will continue to increase in the near future (Pimm et al. 2014; De Vos et al.,
73 2014). True background extinction rates are difficult to estimate with wide variation between studies.
74 For example, the value of 1 E/MSY rate was proposed based on terrestrial vertebrate fossil record
75 (Pimm et al., 1995), but even higher rates have been suggested for mammals. For example, Barnosky
76 et al., (2011) suggest 1.8 E/MSY whereas Ceballos et al., (2015) assessed mammal extinctions based
77 on a 2 E/MSY background level and found that rates of extinction in the last 500 years were over 100
78 times this level. De Vos et al (2014) suggested even greater current extinction rates approximately

79 1,000 times higher than natural background levels of 0.1 E/MSY based on phylogenetic analysis and
80 diversification rates. Regardless, current extinction rates exceed all of these projections of
81 “background” extinction (Pimm et al., 2014), which strongly suggests that a major extinction event is
82 beginning or about to begin.

83 The previous five mass extinctions, three of which occur over several tens to hundreds of thousands
84 of years or more towards the end of geological periods, are characterised by a decline of at least 75%
85 of species (Sepkoski 1996; Jablonski & Chaloner, 1994; Barnosky et al. 2011; Table 1). The fossil record
86 is clearly imperfect, with certain plants and animals more likely to become fossils than others due to
87 their abundance, habitat, life form and chemical composition as well as how they were actually
88 preserved (e.g. Spicer, 1989; Greenwood, 1991; Benton et al., 2000; Gastaldo, 2001; Kidwell, 2001;
89 Benton and Harper, 2009; McNamara et al., 2012; Bacon et al., 2015). Shallow marine invertebrates,
90 for example, have a much more complete fossil record (Jablonski, 1991; Alroy et al., 2008) than small
91 mammals such as bats and rodents (Plotnick et al., 2016). Different organisms also have different
92 sensitivities to mass extinction events. For example, plants do not show globally severe responses to
93 most previous mass extinctions with the exception of the end-Permian (Cascales-Miñana et al., 2015;
94 McElwain & Punyasena, 2007). These differences are reflected in the fossil record by an often much
95 clearer signal of mass extinction in the marine compared with the terrestrial realm (Jablonski, 1991;
96 McElwain & Punyasena, 2007), and the “Big 5” mass extinction events were first identified in the
97 marine invertebrate record (Raup and Sepkoski, 1982; Sepkoski, 1996).

98 The disparities between marine and terrestrial fossil records and between modern ecological and
99 palaeobiological data make comparing modern extinction likelihoods to past mass extinctions
100 problematic. Plotnick et al., (2016) compared IUCN mammal data to palaeoecological data and found
101 that many of the currently at risk species do not have a fossil record. They suggest that, for mammals,
102 greater than 75% species decline is required to generate an extinction event that would leave a fossil
103 record similar to one of the “Big 5” mass extinction events. Although modern extinctions are severe,

104 they have not yet reached a level comparable with previous mass extinctions (Bamback, 2006).
105 Although the 75% species decline is somewhat arbitrary and may over-estimate the likelihood of a
106 mass extinction event, it remains a useful starting point to investigate current threat levels.

107 The IUCN assesses species of plant, animal and fungi to determine their extinction risk. Currently, just
108 under 5% (4.6%) of species within these groups have been assessed (IUCN, 2015). Within classes, this
109 ranges from 100% of species assessed (e.g. Aves) to under 1% of species assessed (e.g.
110 Anthocerotopsida (Hornworts) and Insecta). interpretation of IUCN data must be considered with the
111 caveat that only a small fraction of most classes have been assessed for their conservation status and
112 extinction risk. This is particularly the case for several classes of invertebrate and plant. However, the
113 assessments provide an interesting sample of species current extinction risk across groups. In order
114 for a mass extinction to be considered in progress, a minimum of 75% observed extinctions would be
115 needed in at least two classes (Bamback, 2006).

116 An examination of IUCN extinction status for the species currently assessed, shows that, with the
117 exception of Turbellaria (flatworms), for which only one species has been assessed, no class of
118 assessed plant or animal has experienced known extinction rate at or exceeding 18% (Figure 1(a)).
119 When data are included for species highly likely to be extinct, but not confirmed to be extinct
120 (classified informally by the IUCN as critical, probably extinct and critical, probably extinct in the wild)
121 (Figure 1(b)), no class of plant or animal has confirmed or likely extinct numbers at or above 35%.
122 These percentage species extinctions across classes are far below the required 75% species extinction
123 level needed to define a mass-extinction event. Figure 1(c) combines known extinctions, likely
124 extinctions and species classified as 'threatened' by the IUCN. The inclusion of the threatened species
125 categories highlights groups that are at greatest risk of reaching the 75% threshold in the near-term
126 future. When these threatened species are considered, 14 classes reach or exceed 75% of species
127 either extinct or at risk of extinction. Similar to previous studies (Barnosky et al., 2011; 2012), these

128 data suggest that although not all these species are condemned to extinction, this is a clear warning
129 that the mass extinction may be almost imminent.

130 Observations of increasing CO₂ (130ppm rise since ~1880; IPCC, 2013), temperature (1°C higher than
131 the pre-industrial level; IPCC, 2013), and changes to ecosystem structure (urbanisation, agriculture,
132 deforestation, acidification of freshwater and marine environments), although not directly
133 comparable to events in the geological record, are not dissimilar to the early onset stages of previous
134 mass extinction events (Wagner et al., 2006; McElwain et al., 2009; Roopnarine & Angielczyk, 2015).
135 In comparison to the three most recent mass extinctions that are all associated with changes to the
136 global carbon cycle, these current rises are quite modest. For example, the end-Permian mass
137 extinction was characterised by an increase in CO₂ of ~2,000ppm (Payne et al., 2010; Clarkson et al.,
138 2015; van de Schootbrugge & Wignall, 2015; see Table 1) and a rise in global average temperature of
139 ~8°C (Payne et al., 2010; Retallack, 2013; van de Schootbrugge & Wignall, 2015; see Table 1). Similarly,
140 the end-Triassic mass extinction was characterised by an increase in CO₂ by ~1,500ppm (McElwain et
141 al., 2007; Steinthorsdottir et al., 2011; Schaller et al., 2011; van de Schootbrugge & Wignall, 2015; see
142 Table 1) and in global average temperatures by ~4°C (McElwain et al., 2007; Schaller et al., 2011;
143 Retallack, 2013; van de Schootbrugge & Wignall, 2015); see table 1). Therefore, while these modern
144 increases are highly concerning, once again they are not at the level associated with a major
145 environmental change linked to mass extinction events.

146 The current increases in extinction rate, temperature and CO₂ are characteristic features of the
147 Anthropocene. These environmental variables have been linked with mass extinction events, many of
148 which occur towards the end of geological periods in the Phanerozoic. However, extinction is not yet
149 at a level that can be clearly identifiable in the geological record across the globe (Plotnick et al., 2016).
150 Currently, increases in global temperature and CO₂ concentrations are in transition and have yet to
151 reach their peak levels (IPCC, 2013). However, these variables are on track to match or exceed the
152 levels seen across the most severe mass extinction events in Earth history (Barnosky et al., 2012;

153 Ceballos et al., 2015). Modern extinction levels, alongside increases in temperature and CO₂ are driven
154 by human activity. Therefore, depending on the near-future trajectories of these environmental
155 variables which remain uncertain (Williams et al., 2015; IPCC, 2013), proposing the Anthropocene as
156 an epoch may be in haste. Although the more conservative definition of the Anthropocene as an epoch
157 may be approved by the ICS in the short-term, it is perhaps useful to be mindful of geological and
158 biological signals that may support the definition of a new geological period in the medium-term (next
159 100–200 years). Perhaps debates over the timing of the Anthropocene boundary would be better
160 focussed on considering the likelihood of a new period in the next century rather than a new epoch in
161 the 20th Century to define the age of human dominance on the Earth system. In the mid-term future,
162 a new period boundary may completely overwrite the proposed epoch boundary for the
163 Anthropocene.

164

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372

373 **Figure caption:**

374 Figure 1: Percentages of species within classes that are listed as (a) extinct (b) extinct and likely extinct,
375 and (c) extinct and threatened by the IUCN red lists of threatened species (red line indicates 75%
376 species extinction level). Relevant IUCN categories of species risk are: extinct – EX (known extinct
377 species) plus EXW (known extinct in the wild species); likely extinct – CR(EX) critically endangered
378 species thought to be extinct plus CR(EXW) critically endangered species thought to be extinct in the
379 wild [these are not formal categories in the IUCN red list but are part useful indicators of likely extinct
380 species]; threatened species – CR (critically endangered), EN (endangered) plus VU (Vulnerable)
381 species. These figures include all species and classes of animal, fungi, and plant assessed by the IUCN
382 as of November 2015 (other than Turbellaria, which is not included because it obscures the scale and

383 represents one group described as 100% assessed extinction by the IUCN). Data available from tables

384 3, 4, and 9 on the IUCN website (www.iucnredlists.org). Data downloaded December 2nd 2015.

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