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Estimation of Actuation System Parameters for Lower Limb Prostheses

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Abstract— This paper provides guidelines to estimate the kinematics, energy and torque requirements for lower limb prosthetic actuation systems during daily living activities. These parameters are estimated based on human biomechanical data from different sources to consider the variability due to the assumptions and errors in the analysis and data collection. The results showed that the powered actuation source is important at the ankle joint in the stance phase during level ground walking while it is more important at knee joint during stair ascending. These estimated parameters can be used as guidelines to design and select proper actuation systems.

Keywords- Knee actuator requirements; Ankle actuator requirements, Actuator selection.

I. INTRODUCTION

Every year around the entire world, thousands go through lower limb amputation operations due to complications of diabetes, circulatory and vascular disease, trauma, or cancer in limb segments [1]. Lower limb prostheses, which are devices that replace the lost limbs due to amputation or a congenital disorder, are used widely to restore the missing mobility functions. Despite the current technological advancement in prosthetics, amputees still suffer from gait asymmetry and high metabolic energy costs [2].

Although walking and daily living activities in able-bodied are learned under normal circumstances and done without conscious effort and involves complete gait symmetry, amputees require more mental effort to focus during walking to compensate for the deficiencies in the prosthetic. As unilateral transfemoral amputees are not able to deliver the correct power level at the right time, they suffer from noticeably abnormal gait, asymmetrical between both sides and increasing in energy consumption in comparison to able-bodied subjects [3-5]. The swing phase on the amputated side is longer than the non-amputated side and the double support phase in amputee tends to be longer than healthy subject. Consequently, the stance phase is longer and the swing is shorter than normal on the intact side. Moreover, the energy needed from transfemoral (TF) amputees to walk is more than both healthy subjects and transtibial amputees [1]. The walking speed is an important factor in amputees' energy consumption as the faster an amputee walks the more energy is consumed for the same travelled distance. These deficiencies happen as the current prostheses could not supply the correct level of assistance at the right time.

In order to develop an efficient lower limb prostheses, the design specifications and requirements for prosthetic devices should be considered in a way to provide close performance to human locomotion [6]. Understanding and studying human

gait is an essential feature to examine and designing proper lower limb prostheses. The normal human gait cycle by convention encompasses the period from the heel strike of one leg to the next heel strike of the same leg and is divided into two phases. The portion in which the foot is in contact with the ground is called the stance phase and accounts for approximately 60% of the gait cycle while the swing phase happens when the foot is off the ground and occupies 40% of the cycle. The first part of the stance phase happens at heel strike (HS) when the knee is fully extended. Following the heel strike, the quadriceps contract strongly to prevent the knee buckling and then the foot and ankle dorsiflex to allow the forefoot to be in contact with the ground. The knee flexes about 15° to 20° during the braking double support (DS). When the knee reaches maximum flexion in DS, it extends during single support (SS). As the body weight passes forward over the supporting leg, the Gastrocnemius Soleus contracts causing plantarflexion of the foot and ankle to give heel rise (HO) after which the knee flexes rapidly again in preparation for toe off (TO) [7, 8]. As the forefoot leaves the ground, the leg now starts the swing phase. In this phase, the swinging leg advances through the opposite extremity while the knee flexes and the ankle plantarflexes. The leg must slow down and the knee extends prior to heel strike (HS) by contraction of the hamstrings.

On the other hand, unilateral transfemoral amputees suffer from a lack of knee flexion/extension during the stance phase [4]. Knee flexion is normally not allowed during the stance phase because amputees cannot generate adequate extension torque about the knee joint to prevent buckling. In other words, the fact that the majority of commercial prosthetic knee and ankle joints are passive and poorly controlled means that transfemoral amputees show distinctly abnormal movements and power patterns. Although existing prosthetic feet depend on spring-like action to store the energy during heel strike and foot flat to use it at the late stance phase to initiate the swing phase, these prosthetic ankle-feet generate significantly less energy compared to normal healthy subjects. The challenges in providing prosthetic knees and ankles with correct assistance energy is the proper selection of the actuation system.

The aim of this paper is to identify the actuation system requirements for lower limb prostheses during level ground walking and stair ascending/descending in order to enhance amputee biomechanical walking performance and reduce gait abnormalities.

II. ACTUATOR SELECTION METHODOLOGY

The proper selection of the actuator and actuation mechanism is based on the calculation of the system requirements: maximum peak torque (T_{max}), rated continuous

torque (T_r), maximum speed required from the actuator (ω_{max}), maximum position (θ_{max}) allowed by the mechanism, and the inertia of the mechanical components of the system [9-11]. The required actuators for the lower limb prosthesis' joints are a significant issue because if the actuator power is underestimated, the adequate power is not delivered across the prosthetic joint to accomplish the intended function. On the other hand, if the selected actuator is overestimated/oversized, it could cost more and the system will end up with large and heavy actuation joint. The actuation transmission mechanism that is used to convert the motion type and amplify the torque delivered to the joint plays an important role in fulfilling the system requirements. The challenge in the actuation system design is to find the optimum combination of actuator, transmission mechanism and power source with minimum weight to produce the required torque and kinematics requirements.

III. ACTUATION SYSTEM REQUIREMENTS BASED ON BIOMECHANICAL DATA

There is a need to find and estimate the maximum peak torque, continuous rated torque, and maximum velocity for a healthy human knee and ankle joints during activities of daily life as a guideline for rough estimation of lower limb prostheses specifications and then select the proper actuation system. Therefore, normative clinical gait data for the knee and ankle joints of a healthy subject could be used. However, this approach has three shortfalls: 1) The human joint torque cannot be measured directly and calculated based on inverse dynamics models which are simplified models of human body. 2) There is no standardized protocol for using motion capture gait analysis laboratories related to the marker placement to reduce kinematic variability among several laboratories [12, 13]. However, biomechanists consider these errors and variability between studies are acceptable [14]. 3) Prosthesis inertia, geometry and mass are different compared to the human segments while the torque calculated from normative clinical gait data is based on human segments. Despite all differences, these calculated parameters can be used as a rough estimation in the design process and to indicate when the joints can regenerate energy and when they require actuation power.

For the knee and the ankle joints during daily activities, such as walking on level ground at different speeds and descending/ascending stairs with different inclination angles. Normative clinical gait data for the knee and the ankle joints of healthy subjects from previous research works [15-17] were used to calculate the joints requirements. The ascending and descending stairs data in [15] were collected from 10 healthy male subjects for 42°, 30°, and 24° inclination angle of the staircase. The level ground walking data in [16] were collected from 19 healthy subjects for normal walking speeds. In order to check the repeatability and variations of the analysis to identify when the driving or braking (damping) torque is required during normal level ground walking, normative data from three different references [15, 16, 18] were used during level ground walking. The joint torque and angular velocity are considered to be positive in the anticlockwise direction as shown in Figure 1.

The moment and angular velocity during knee extension and ankle dorsiflexion are considered positive while knee flexion and ankle plantarflexion are negative. The continuous rated torque which is important in the selection of the actuator is calculated based on the torque profile during the gait cycle as shown in (1), and the energy generated or dissipated by the human joint is calculated from (2).

$$T_r = \sqrt{\frac{\int_0^t T^2 dt}{t_c}} \quad (1)$$

$$E = \int_0^\theta T d\theta = \int_0^t P dt \quad (2)$$

Where:

T_r : normalised rated continuous torque (Nm/kg), t : time (Sec.) such as stance time (t_{st}), swing time (t_{sw}) or gait cycle (t_c), T : normalised torque (Nm/kg), E : absorbed or generated normalized energy (J/kg), θ : joint angle (deg.), P : joint power (W).

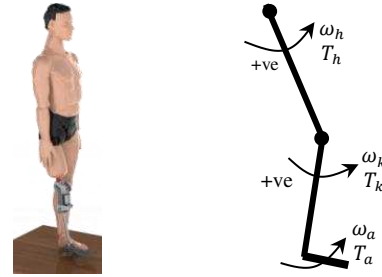


Figure 1. Schematic for lower limb prosthesis

The knee and ankle joint parameters during stance, swing phases and the whole gait cycle, shown in Tables I, II, III and IV, are extracted from the torque versus angle and torque versus angular velocity diagrams, shown in Figures 2,3,4, and 5 to provide a good baseline for the actuation system requirements during level ground walking. Hence, the actuation system should be capable to produce similar torque profile over stated joint angles.

IV. ACTUATION SYSTEM PARAMETERS FOR LOWER LIMB JOINTS

A. Knee Joint Requirements during Level Ground Walking

The average range of motion (RoM) of the knee angle is $58.65^\circ \pm 6.2^\circ$ and the maximum angle (θ_{max}) $64.1^\circ \pm 0.7^\circ$ happens during swing phase. The maximum peak torque, which happens during single limb support in stance phase at $21.74^\circ \pm 2.8^\circ$, is generated to resist the knee flexion by applying braking (B) flexion torque (T_{Fmax}) 0.5017 ± 0.11 Nm/kg. This flexion knee torque is followed by generated (G) extension torque (T_{Gmax}) 0.49 ± 0.068 Nm/kg to assist the knee extension in stance phase as shown in Figures 2 and 3. At the end of the stance phase prior to TO as shown in Figure 2, the knee joint accelerates to reach maximum flexion velocity in stance phase (ω_{Fstmax}) 50.05 ± 4.3 rpm. The maximum angular velocity through the entire gait cycle happens during the extension

swing phase ($\omega_{E_{swmax}}$) 58.8 ± 5.2 rpm. The rated continuous torque is function of the torque profile over the time. It has been calculated for stance, swing phases and the entire gait cycle as shown in tables I and II based on (1). In stance phase, the continuous torque is calculated as the actuator is engaged during the stance and disengaged during swing and the same calculations were done for the swing rated torque. These analysed data provide designers to select the proper actuator for individual gait phases or for the whole gait cycle. It was noticed that the actuator rated for the swing phase has less rated torque and maximum peak torque resulting in lighter actuator weight. On the other hand, the rated continuous torque for the entire gait cycle is less than the rated torque for stance phase alone.

TABLE I. KNEE PARAMETERS DURING LEVEL GROUND WALKING AT NORMAL SPEED

PAR	Stance phase			Swing phase		
	[16]	[18]	[15]	[16]	[18]	[15]
θ_{min}	3.97	7.2	10.48	0.54	3.8	12.04
θ_{max}	57.54	50.4	40.44	64.86	63.4	64.06
θ^*	21.67	19	24.54	1.12	11.6	15.34
$ \omega_{F_{max}} $	51.97	53.03	45.14	41.59	45.4242	42.36
$ \omega_{E_{max}} $	12.5	10.61	12.07	61.44	62.1212	52.84
$ T_{F_{max}} $	0.615 (B)	0.4 (B)	0.49 (B)	0.147 (G)	0.11 (G)	0.154 (G)
$ T_{E_{max}} $	0.556 (G)	0.42 (G)	0.487 (G)	0.263 (B)	0.14 (B)	0.22 (B)
T_r	0.276	0.209	0.246	0.133	0.0837	0.121
E_{net}	-0.056	-0.099	-0.106	-0.111	-0.102	-0.133
E_a	-0.132	-0.142	-0.148	-0.111	-0.107	-0.134
E_p	0.079	0.043	0.043	0.003	0.005	0.0005

Where:

θ_{min} : minimum angle (deg), θ_{max} : maximum angle (deg), θ^* : angle at peak torque (deg), $|\omega_{F_{max}}|$: maximum absolute flexion velocity (rpm), $|\omega_{E_{max}}|$: maximum absolute flexion velocity (rpm), $|T_{F_{max}}|$: maximum absolute normalized flexion torque (Nm/kg), $|T_{E_{max}}|$: maximum absolute normalized extension torque (Nm/kg), E_{net} : net energy (J/kg), E_a : absorbed negative energy (J/kg), E_p : generated positive energy (J/kg), B: Braking torque by the joint, G: generated torque by the joint.

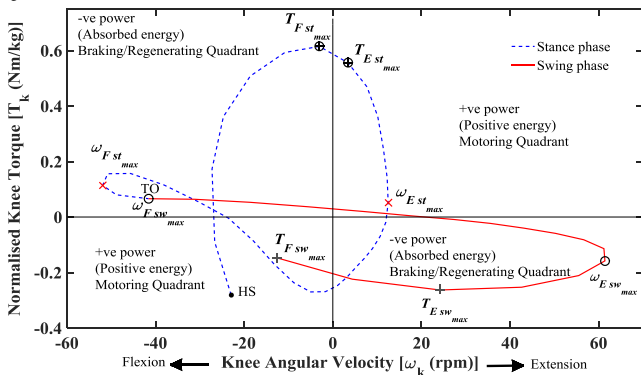


Figure 2. Knee torque-angular velocity diagram during level walking

As shown in Tables I and II, the knee joint absorbs negative energy in stance, swing phases and also in the entire gait cycle more than generating positive energy. This means that knee joint requires to damp or dissipate energy more than generating during level ground walking. In order to know when the driving or braking (damping) torque is required during level ground, the diagram between the normalised knee torque (T_k) and the knee angular velocity (ω_k) shown in Figure 2 provides more details. It is noticed that most of the gait cycle produces negative energy, which means that this energy should be dissipated either by braking or damping. This negative energy can be restored and converted from mechanical into electric energy as the case in regenerative braking concept instead of dissipating it in form of heat.

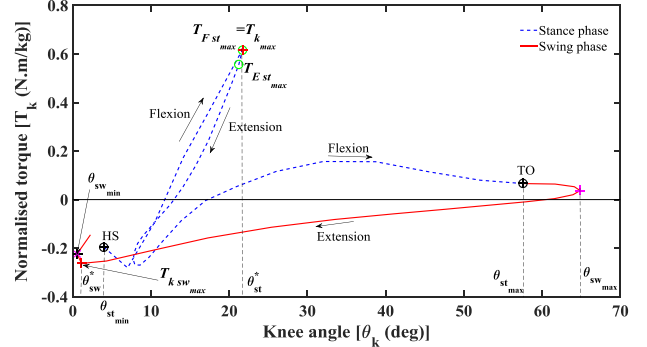


Figure 3. Knee torque-angle diagram during level walking

TABLE II. KNEE RATED TORQUE AND ENERGY DURING THE WHOLE GAIT CYCLE

PAR	All Gait cycle		
	[16]	[18]	[15]
T_r	0.2368	0.1743	0.2060
E_{net}	-0.1667	-0.202	-0.2390
E_a	-0.2428	-0.2488	-0.2813
E_p	0.0845	0.0479	0.0430

B. Ankle Joint Requirements during Level Ground Walking

The total average RoM ankle angle is $29.5^\circ \pm 2.9^\circ$ and the maximum plantarflexion and dorsiflexion angles are $17.9^\circ \pm 1.8^\circ$ and $11.6^\circ \pm 2.8^\circ$ respectively. The maximum peak torque happens during stance phase at the starting of foot plantarflexion (at $10.98^\circ \pm 3.02^\circ$) in order to give heel rise and assist the ankle joint by applying driving (G) plantarflexion torque ($T_{P_{stmax}} = T_{P_{stmax}}$) 1.5 ± 0.157 Nm/kg as shown in Figures 4 and 5. The ankle joint generates maximum braking (B) torque of 1.4 ± 0.118 at the end of dorsiflexion in the stance phase ($T_{D_{stmax}}$) to resist the body weight. The torque generated or absorbed in the swing phase is quite small in comparison to the torque in the stance phase. The maximum angular velocity ($\omega_{P_{stmax}}$) 39.97 ± 7.5 rpm occurs during the ankle plantarflexion in the stance phase while the maximum dorsiflexion angular velocity happens in the swing phase ($\omega_{D_{swmax}}$) is about 22.14 ± 3.7 rpm.

The magnitude of rated continuous torque (T_r), negative energy absorbed (E_a), positive generated energy (E_p), and the total net energy (E_{net}) are quite small in the swing phase as

are shown in Table III. The negative energy absorbed during the early stance phase (E_a) is smaller than the positive energy (E_p) required for body propulsion during foot plantarflexion. The overall stored energy (E_a) during the entire gait cycle is less than the required positive energy (E_p) to drive the ankle joint as shown in Table IV. This means that the ankle joint cannot regenerate energy more than the amount it consumes. Therefore, the current passive based ankles with spring/dampers may not be adequate to produce the required power during level ground walking.

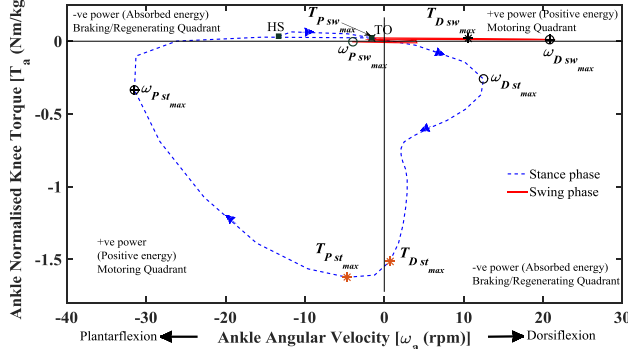


Figure 4. Ankle torque-angular velocity diagram during level walking

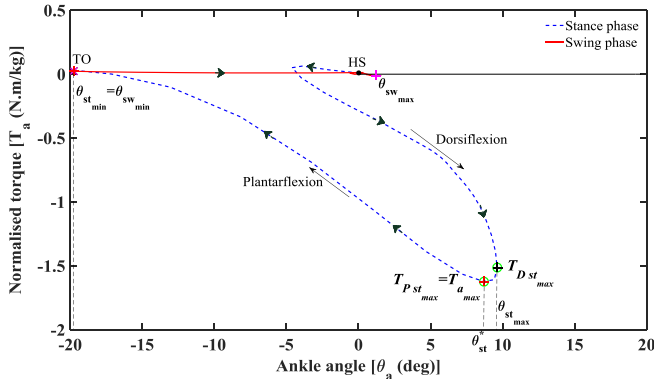


Figure 5. Ankle torque-angular velocity diagram during level walking

TABLE III. ANKLE PARAMETERS DURING LEVEL GROUND WALKING AT NORMAL SPEED

PAR	Stance phase			Swing phase		
	[16]	[18]	[15]	[16]	[18]	[15]
θ_{min}	-19.77	-17.6	-10.38	-19.77	-17.6	-16.22
θ_{max}	9.62	14.8	10.41	1.2	5	2.15
θ^*	8.7	14.4	9.8284	-19.77	-17.6	-10.38
$ \omega_{Dmax} $	14.77	16.67	12.87	20.8	26.3	19.32
$ \omega_{Pmax} $	37.2	48.48	34.22	3.97	9.61	15.02
$ T_{Dmax} $	1.513 (B)	1.28 (B)	1.437 (B)	0.0190 (G)	0.01 (G)	0.022 (G)
$ T_{Pmax} $	1.623 (G)	1.32 (G)	1.542 (G)	0.023 (B)	0.01 (B)	0.029 (B)
T_r	0.871	0.733	0.873	0.0117	0.0132	0.0146
E_{net}	0.2189	0.2515	0.1593	0.0040	0.0027	0.0043
E_a	-0.122	-0.122	-0.103	$-1 \cdot 10^{-4}$	$-5 \cdot 10^{-4}$	$-9 \cdot 10^{-4}$
E_p	0.3404	0.3741	0.2628	0.0042	0.0033	0.0053

Where:

$|\omega_{Dmax}|$: maximum absolute dorsiflexion velocity (rpm),
 $|\omega_{Pmax}|$: maximum absolute plantarflexion velocity (rpm),
 $|T_{Dmax}|$: maximum absolute normalized dorsiflexion torque (Nm/kg),
 $|T_{Pmax}|$: maximum absolute normalized plantarflexion torque (Nm/kg).

TABLE IV. ANKLE RATED TORQUE AND ENERGY DURING THE WHOLE GAIT CYCLE

PAR	All Gait cycle		
	[16]	[18]	[15]
T_r	0.7079	0.5861	0.68
E_{net}	0.2229	0.2542	0.1636
E_a	-0.1226	-0.1233	-0.1047
E_p	0.3446	0.3774	0.2682

The joint RoM, torque-angle profile, maximum angular velocity, peak torques (braking (B) or driving (G)), T_r , E_a , E_p , and E_{net} are the main criteria to select the combination of the actuators and the actuation mechanism. These parameters provide an approximated guide to select a proper actuation system to drive the prosthetic knee and ankle joints.

C. Knee joint Requirements during Stair Climbing

It is observed that most phases of stair descent produce negative energy as shown in Figure 6 and Table V, which means that this energy should be dissipated by damping or by mechanical impedance or recovered by regenerative braking. The regenerative braking might help in energy regeneration by converting the mechanical energy into electrical energy instead of dissipating it into heat by using mechanical braking or damping. It is clear that the greater the staircase inclination angle, the more negative energy is present which needs to be absorbed. However, very small portions of the single limb support and initial double stance phases require positive energy at smaller inclinations, which means that an actuation source is required at these times. Moreover, all the phases in stair ascending except the knee extension in the swing phase require positive energy, which should be provided by assistance of an actuator. This indicates that a damping effect is an important issue during descending stairs, but the actuation effect is more important during ascending stairs.

On the other hand, Figure 7 and Table VI show that positive energy and assistance torque are required to ascend stairs while braking torque are required for short period at the starting of ascending cycle. It is noticed that the angular velocity of the knee in swing phase during ascending is higher than the angular velocity required during stair descending and level ground walking at normal speed. Also, the maximum extreme knee angle which happens during ascending stairs with 42° inclination is 102.5° .

Although the rated torque is higher during stair descending in comparison to level ground walking and stair ascending, the transfemoral amputee can perform stairs descending without help of external actuator if the prosthetic system equipped with proper damping control system. While during stair

ascending an external positive energy should be added to the prosthetic knee to provide assistance and generate 1.167Nm/kg torque to ascend stair.

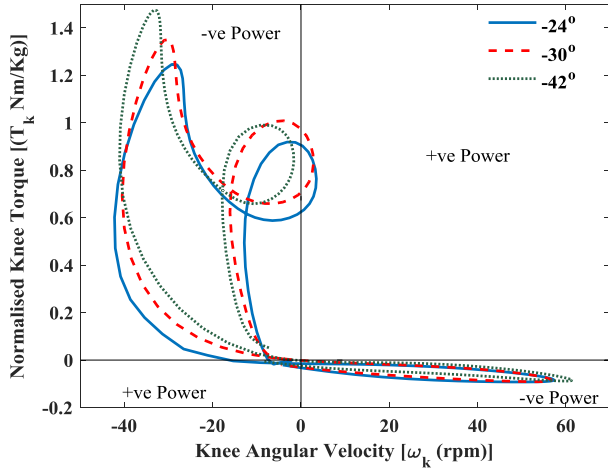


Figure 6. Knee torque-angular velocity diagram during stair descending

TABLE V. KNEE PARAMETERS DURING STAIR DESCENDING

PAR	Descending		
	42° [15]	30° [15]	24° [15]
θ_{min}	13.2604	15.7284	13.5177
θ_{max}	101.918	93.1674	89.1780
θ^*	68.6098	57.8843	53.8429
$ \omega_{Fmax} $	41.1056	40.5198	42.2519
$ \omega_{Emax} $	61.4154	56.7362	57.3118
$ T_{Fmax} $	1.4749 (B)	1.3507 (B)	1.2458 (B)
$ T_{Emax} $	0 (G)	0.9447 (G)	0.9025 (G)
T_r	0.7096	0.6679	0.6064
E_{net}	-1.3634	-1.0433	-0.9304
E_a	-1.3634	-1.0612	-0.9438
E_p	0	0.0174	0.0129

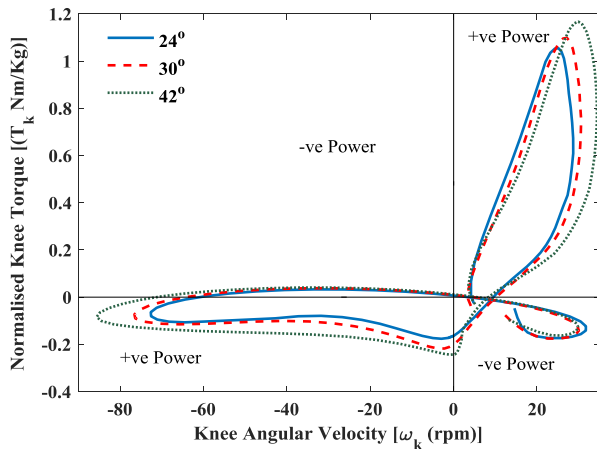


Figure 7. Knee torque-angular velocity diagram during stair ascending

TABLE VI. KNEE PARAMETERS DURING STAIR ASCENDING

PAR	Ascending		
	42° [15]	30° [15]	24° [15]
θ_{min}	9.7808	8.966	8.5796
θ_{max}	102.498	94.654	91.423
θ^*	57.9898	51.9700	49.5555
$ \omega_{Fmax} $	85.6318	76.5972	72.668
$ \omega_{Emax} $	34.3685	30.5986	31.7308
$ T_{Fmax} $	0.2439 (G)	0.2176 (G)	0.1763 (G)
$ T_{Emax} $	1.1670 (G)	1.0985 (G)	1.0546 (G)
T_r	0.4476	0.4198	0.4052
E_{net}	0.6420	0.5574	0.5040
E_a	-0.0404	-0.0447	-0.0482
E_p	0.6823	0.6018	0.5521

D. Ankle Joint Requirements during Stair Climbing

Figures 8 and 9 and Tables VII and VIII show the ankle joint torque-speed profile and the requirements during stair ascending and descending. The RoM of ankle joint during both stairs ascending and descending are higher than the RoM during level ground walking. This increase in the ankle angle is required in dorsiflexion direction to allow the foot to comply with the step height and inclination.

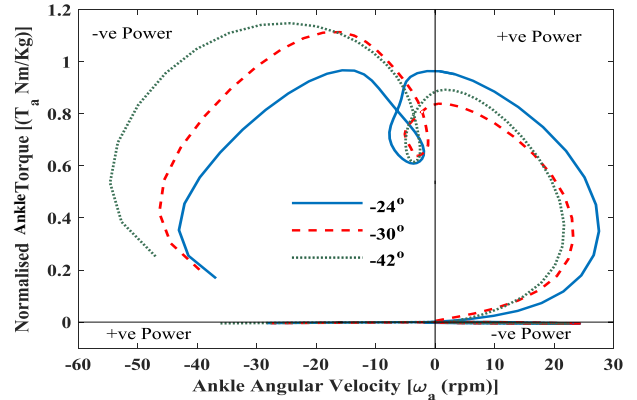


Figure 8. Ankle torque-angular velocity diagram during stair descending

TABLE VII. ANKLE PARAMETERS DURING DURING STAIR DESCENDING

PAR	Descending		
	42° [15]	30° [15]	24° [15]
θ_{min}	-19.0664	-15.9032	-14.9198
θ_{max}	25.3776	25.2446	28.2864
θ^*	14.0112	16.1359	14.7372
$ \omega_{Dmax} $	54.6820	46.4007	43.0914
$ \omega_{Pmax} $	22.5633	24.6009	27.5592
$ T_{Dmax} $	1.1483 (B)	1.1142 (B)	0.9673 (B)
$ T_{Pmax} $	0.8926 (G)	0.8389 (G)	0.9637 (G)
T_r	0.6012	0.5926	0.5832
E_{net}	-0.3504	-0.2497	-0.2653
E_a	-0.4505	-0.3929	-0.3818
E_p	0.0999	0.1426	0.1163

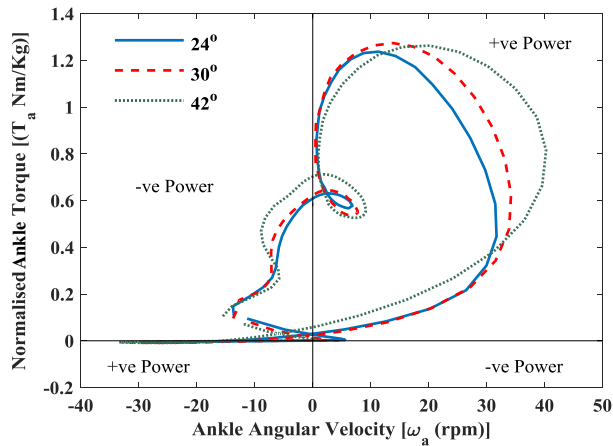


Figure 9. Ankle torque-angular velocity diagram during stair ascending

TABLE VIII. ANKLE PARAMETERS DURING STAIR ASCENDING

PAR	Ascending		
	42° [15]	30° [15]	24° [15]
θ_{min}	-14.0717	-11.8772	-9.4466
θ_{max}	23.7521	21.5490	20.7727
θ^*	7.8583	9.6391	10.1750
$ \omega_{Dmax} $	33.3654	31.688	29.4728
$ \omega_{Pmax} $	40.2737	34.2249	31.7253
$ T_{Dmax} $	0.6996 (B)	0.6058 (B)	0.5996 (B)
$ T_{Pmax} $	1.2630 (G)	1.2739 (G)	1.2371 (G)
T_r	0.5657	0.5712	0.5624
E_{net}	0.3894	0.3335	0.2811
E_a	-0.0269	-0.0236	-0.0224
E_p	0.4162	0.3570	0.3035

It is noticed that negative energy is generated at the starting of either stair ascending and descending as shown in Figures 8 and 9. This energy can be stored and used later instead of dissipate it. The net negative energy is higher on the ankle joint during stair descending while the positive energy is higher during level ground walking and stair ascending. This means that more assistant source are required to drive the ankle joint during stair ascending and level ground walking.

V. CONCLUSION

This paper provides an estimated guideline for the kinematic and torque parameters which are required to design and select the joints actuation system in lower limb prosthesis. These parameters also can be potentially used for selection of exoskeletons and orthoses actuators. This estimation will be used to avoid either underestimating/overestimating the actuator requirements, which affects both the weight and the functionality of lower limb prostheses. It has been pointed out that during the majority of the level ground walking phases, negative energy

is absorbed across the knee joint which needs an impedance damping/braking control while a net positive powered source is required about the ankle joint specifically in the stance phase. Positive energy is required during some sub-phases of level ground walking to assist the knee joint. In case of stair descending, both the knee and the ankle joints generate net negative energy while positive energy are required from both joints during stair ascending.

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