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Anatomical constraints to C₄ evolution: light harvesting capacity in the bundle sheath

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Discussion:	1867		
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Summary

- In C_4 photosynthesis CO_2 assimilation and reduction are typically coordinated across mesophyll (M) and bundle sheath (BS) cells, respectively. This system consequently requires sufficient light to reach BS to generate enough ATP to allow RuBP regeneration in BS. Leaf anatomy influences BS light penetration and therefore constrains C_4 cycle functionality.
- Using an absorption scattering model (coded in Excel, and freely downloadable) we simulate light penetration profiles and rate of ATP production in BS across the C_3 , C_3 – C_4 , and C_4 anatomical continua.
- We present a trade-off for light absorption between BS pigment concentration and space allocation. C_3 BS anatomy limits light absorption and benefits little from high pigment concentrations. Unpigmented BS extensions increase BS light penetration. C_4 and C_3 – C_4 anatomies have the potential to generate sufficient ATP in the BS, while typical C_3 anatomy does not, except some C_3 taxa closely related to C_4 groups.
- Insufficient volume of BS, relative to M, will hamper a C_4 cycle via insufficient BS light absorption. Thus, BS ATP production and RuBP regeneration, coupled with increased BS investments, allow greater operational plasticity. We propose that larger BS in C_3 lineages may be co-opted for C_3 – C_4 and C_4 biochemistry requirements.

Introduction

The high yield potential of the C₄ photosynthetic pathway has attracted considerable attention, with significant resources being allocated toward engineering C₄-like carbon concentrating mechanisms into C₃ crops (c4rice.irri.org, www.3to4.org) (Sheehy, 2007; Hibberd *et al.*, 2008; Gowik & Westhoff, 2011). In natural systems, evolution of C₄ photosynthesis entails modifications to the typical ancestral C₃ anatomy. In particular, the bundle sheath (either inner or outer, see Fig. 1, collectively referred to as BS, abbreviations are listed in Table 1) total area increases relative to mesophyll (M) to accommodate the photosynthetic machinery required in the BS (Hattersley, 1984; Dengler *et al.*, 1994; Dengler & Nelson, 1999; Sage, 2004) and, in some species, becomes partially isolated from the surrounding M by deposition of a gas-tight cell wall (von Caemmerer & Furbank, 2003). The anatomical modifications at the BS / M interface and the genetic implications of the biochemical compartmentalisation of the BS have been comprehensively studied (Kajala *et al.*, 2011; von Caemmerer *et al.*, 2012; Lundgren *et al.*, 2014), however, little attention has been paid to energetics.

Unlike the C₃ pathway, C₄ photosynthesis demands substantial amounts of ATP in the BS for the regeneration of glycolate and Ribulose-1,5-bisphosphate (RuBP) (Kanai & Edwards, 1999), which presents a well-defined threshold required to operate a fully engaged C₄ system (Bellasio & Griffiths, 2014c). When insufficient ATP is generated in the BS, the C₄ system is disrupted and may lead to stunted growth and other adverse phenotypes, such as chlorosis and decreased carbohydrate content (McQualter *et al.*, 2016). Because ATP is not a diffusible metabolite, ATP demand in the BS has to be met by a functional electron transport chain (Driever & Kromdijk, 2013), driven by sufficient light reaching the BS (Evans *et al.*, 2007; Kramer & Evans, 2011; Bellasio & Griffiths, 2014c). The concentric anatomy of grass leaves, characterized by vascular bundles encircled by BS and radiate M layers (Fig.1a), has a critical role in determining the light harvesting potential of BS (Evans *et al.*, 2007; Bellasio & Griffiths, 2014c). For instance, the thickness and density of the light harvesting machinery (hereafter pigmentation) of adaxial mesophyll (MAD) and abaxial mesophyll (MAB, collectively referred to as MA hereafter) will influence the ability of light to reach the BS, as they effectively shade it. However, this shading may be reduced by the presence of unpigmented extraxylary fibres and bundle sheath extensions (collectively referred to as BSE hereafter) within MAD or MAB (Karabourniotis *et al.*, 2000). Until now, little work has been done to explore the role of BSE in facilitating light penetration of the BS. Despite their

obvious importance, light harvesting and energy constraints across the leaf anatomical continua associated with C₃ to C₄ evolutionary transitions have not been quantified, and there is a timely need for realistic predictions of these factors in the design of manipulative projects.

In this work we hypothesize that the anatomy of grass leaves constrains the operation of C₄ photosynthesis, mediated by light availability and ATP production in the BS. By describing the likely profiles of light penetration in a leaf, an absorption scattering model is used here to calculate the proportion of absorbed light (AB) in BS relative to that absorbed in M, expressed as $AB\frac{BS}{M}$. The model is parameterised with measured anatomical characteristics from a range of C₃, C₃–C₄, and C₄ representatives to study the light harvesting potential across this anatomical gradient in grasses and three hypothetical scenarios are simulated. First, manipulation of the BS pigmentation parameter allows us to quantify the potential for light harvesting in the BS of C₃, C₃–C₄, and C₄ anatomical types. Then, we quantify the optimal proportion of inter-veinal distance (IVD) allocation to BS across several targets of $AB\frac{BS}{M}$, and finally, we explore the effects of manipulating BSE pigmentation on light absorption in the BS. Within this operational framework, we show the influence of various leaf anatomies on potential ATP production (J_{ATP}) in the BS, $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$. We then put these findings into a broader context by applying the model to 145 species from across the grass phylogeny to infer how the relationship between leaf anatomy and energetics may influence the evolution of C₄ photosynthesis.

Materials and Methods

Quantifying leaf anatomy across the C₃ to C₄ continuum

Images of leaves in cross-section were obtained from rice (C₃), wheat (C₃), *Homolepis aturensis* (C₃–C₄), and maize (C₄) plants, as well as C₃ (KWT), C₃–C₄ (L01 and L04), and C₄ (MDG) accessions of *Alloteropsis semialata* [Fig. 1a, Supporting Information Fig. S1, (Christin *et al.*, 2013; Osborne *et al.*, 2014; Lundgren *et al.*, 2016)]. Tissue samples of wheat and *A. semialata* leaves 3–5 mm in length were fixed in 4:1 ethanol:acetic acid, and embedded in methacrylate embedding resin (Technovit 7100, Heraeus Kulzer GmbH, Wehrheim, Germany). Embedded leaves were sectioned between 6–8 μ m thick on a manual rotary microtome (Leica Biosystems, Newcastle, UK). The cross-sections of *A. semialata* were stained with Toluidine Blue O and wheat with Safranin O (Sigma-Aldrich, St. Louis, MO, USA). Slides of rice and maize cross-sections were obtained from commercial suppliers

(Griffin Education, Loughborough, UK). All cross-sections were photographed using microscopy imaging software and a camera mounted on a microscope (CellA; Olympus DP71; BX51, respectively. Olympus, Hamburg, Germany). The cross-section image of *H. aturensis* used in Christin *et al.* (2013) was used here, with permission of the authors.

While all C₃ and C₄ grasses typically have at least three orders of vein size (*i.e.*, the mid-rib or primary vein, followed by secondary veins characterized by having metaxylem, and tertiary veins that lack metaxylem), only C₄ species typically have minor veins of lesser orders [reviewed in (Sack & Scoffoni, 2013); (Lundgren *et al.*, 2016)]. Thus, tertiary veins (*e.g.*, Fig. 1a) were chosen to represent the functional light-harvesting unit in this study, as the primary vein has mainly a support function (Moulia *et al.*, 1994), secondary veins primarily have hydraulic and mechanical functions (Niinemets *et al.*, 2007), while tertiary veins are more abundant than either primary or secondary veins and are present and ontogenetically similar across the three photosynthetic types. Furthermore, the vasculature in tertiary veins is smaller than in secondary veins, which facilitates the successive modelling stage. Tertiary vein anatomy is schematised in Fig. 1b. Anatomical traits were measured using ImageJ v1.49 (Schneider *et al.*, 2012) and averaged across all tertiary veins within a single segment (*i.e.*, a portion of cross-section stretching between two secondary veins), yielding between three and six replicate tertiary veins *per* accession. *IVD* was calculated as segment length divided by the total number of veins, including the initial secondary vein, within that segment. The heights and widths of the vein (defined here as the bundle encompassing both vasculature and bundle sheaths) and abaxial and adaxial BSE were measured (*vein height*, *vein width*, *AB.BSE.H*, *AD.BSE.H*, *AB.BSE.W*, and *AD.BSE.W*, respectively, Fig. 1b). The height of the leaf cross-section was measured along the vein (*height at vein*, Fig. 1b), together with other quantities detailed in Supporting Information Table S3. The *vein height* and *vein width* (Fig. 1b) were used to calculate the total *vein area* as an ellipse ($vein\ area = \pi \frac{1}{2} vein\ height \frac{1}{2} vein\ width$).

To evaluate the pigmentation of M and BS, cross-sections of fresh leaves were cut by hand, mounted with water, then imaged as described above (examples shown in Supporting Information Fig. S2). Tertiary vein RGB images were processed in ImageJ and hand-segmented in regions corresponding to M, vein, and unpigmented areas (BSE plus epidermis) within the leaf profile. The histogram of the RGB channel was extracted for between four and eight replicates *per* region (full dataset available in Supporting Information Table S3), and the weighted average histogram value (*WAV*, which is perceived by the human eye as

luminosity of that region) was computed. The apparent relative absorbance (*ARA*) was calculated as:

$$ARA = \frac{\log_{10} \frac{WAV_{Unpigmented}}{WAV_{Vein}}}{\log_{10} \frac{WAV_{Unpigmented}}{WAV_M}}, \quad 1$$

which, according to the Beer–Lambert Law, represents the ratio of pigment concentration averaged over the vein, relative to *M*.

A model for light penetration in a leaf

The model presented in Bellasio and Griffiths (2014c) was modified to account for variable geometry and the presence of BSE. The light–absorbing portion of a leaf in cross–section was simulated in rectangular units, enclosing a rectangular vein (Fig. 1c). The rectangular anatomy was functional to simulate the leaf light environment in two distinct light profiles: P1, sectioning the interveinal mesophyll and P2, sectioning the vein, MAD and MAB, respectively (all three assumed to be uniform compartments, Fig. 1c). P1 and P2 were calculated according to the Kubelka–Munk absorption–scattering theory (Kubelka & Munk, 1931; Allen & Richardson, 1968; Gates, 1980) as in Bellasio and Griffiths (2014c). P2 light profiles were discontinuous, as they represented three different compartments: MAD, vein, and MAB. P2 light penetration profiles were calculated using two values of pigmentation (*k*), one for MAD and MAB, k_{MA} , and one for the vein, k_V . These were related to the interveinal *M* pigmentation, k_{MI} , by the input parameters $\frac{k_{MA}}{k_{MI}}$ and $\frac{k_V}{k_{MI}}$, physiologically representing MA pigmentation (fraction of MA which is pigmented and not BSE), and the pigmentation of the vein (relative to interveinal *M*), respectively. Profiles were integrated, fitted and put into leaf–level context by weighing the fractions of *IVD* represented by P1 and P2 thus resulting in light absorbed in BS, relative to *M* $\left(AB \frac{BS}{M}\right)$. Model details are reported in Supporting Information Method S1 and Note S2. The possible sources of error, and a comparison with other modelling approaches are reported in Supporting Information Note S3.

Empirical parameterisation

The complex and diverse anatomical traits needed to be standardised to be inputted to the optical model. Unpigmented epidermis was neglected. *Height at vein* was set to correspond to

$N=1000$ layers. The heights of MAD and MAB ($AD.BSE.H$ and $AB.BSE.H$) were expressed as a fraction of N , as number of layers n_{MAD} and n_{MAB} , respectively. The vein equivalent height (VEH) was calculated as: $VEH = height\ at\ vein - AD.BSE.H - AB.BSE.H$, and also inputted as number of layers n_{VEIN} (where $N=n_{MAD}+n_{VEIN}+n_{MAB}$). The vein equivalent width (VEW) was calculated as: $VEW = \frac{vein\ area}{VEH}$, to preserve the ratio between *vein area* and total section area ($IVD \times height\ at\ vein$). The ratio between vein equivalent width and interveinal distance, $\frac{VEW}{IVD}$, was taken as the fraction of IVD represented by P2. The average fraction of MAB and MAB not occupied by BSE $\left(1 - \frac{AD.BSE.W+AB.BSE.W}{2VEW}\right)$ was taken as the relative MA pigmentation $\frac{k_{MA}}{k_{MI}}$. ARA was taken as $\frac{k_V}{k_{MI}}$.

From light harvesting to ATP production

The ATP production rate in BS ($J_{ATP\ BS}$), relative to ATP production rate in M ($J_{ATP\ M}$), can be written as:

$$\frac{J_{ATP\ BS}}{J_{ATP\ M}} \approx \left(1 + rAB_{CEF\ BS} \left(\frac{\eta_{CEF\ BS}}{\eta_{LEF\ BS}} - 1\right)\right) AB \frac{BS}{M}, \quad 2$$

where $rAB_{CEF\ BS}$ is the fraction of AB_{BS} used by cyclic electron flow (CEF), and $\eta_{CEF\ BS}$ and $\eta_{LEF\ BS}$ are the overall conversion yield of AB_{BS} in J_{ATP} of CEF and linear electron flow (LEF), respectively (full derivation is included in Supporting Information File S1 Note 4). $\eta_{CEF\ BS}$ and $\eta_{LEF\ BS}$ depend on many physical and physiological factors, some of which are very difficult to measure and remain debated (Yin *et al.*, 2004; Yin & Struik, 2012). The electron transport chain model presented by (Yin *et al.*, 2004) was shown to be applicable along the C_3 to C_4 continuum (Yin *et al.*, 2011; Yin & Struik, 2012; Yin & Struik, 2015). The model was modified (Bellasio, *unpublished*) to account for the possibility of CEF mediated by the NDH complex (Kramer & Evans, 2011; Peng *et al.*, 2011), which is essential for C_4 photosynthesis (Nakamura *et al.*, 2013; Yamori & Shikanai, 2016). $\frac{\eta_{CEF\ BS}}{\eta_{LEF\ BS}}$ can be estimated at c. 2 when the initial yield of PSI and PSII (extrapolated under zero PPF) are 1 and 0.8, respectively, additional electron sinks are considered negligible, the quinone cycle is assumed to be obligate, the NDH-mediated electron flow, and the PGR5 / PGRL1-mediated electron flow (Kramer & Evans, 2011; Peng *et al.*, 2011; Hertle *et al.*, 2013), both operating in BS (Ivanov *et al.*, 2007), are equally weighed. The stoichiometry of the ATP synthase was mathematically simplified to be the same as the phosphorylating enzyme complex (Majeran

& van Wijk, 2009; Friso *et al.*, 2010). Furthermore, $rAB_{\text{CEF BS}}$ was not measured directly because the M and BS signals cannot be deconvoluted through spectroscopy, so we calculated Eqn 2 at any possible $rAB_{\text{CEF BS}}$ value. To give a broad idea of the operational conditions, however, we used a generalised stoichiometric model of assimilation (Bellasio, *under review*) to estimate the NADP and ATP requirements for C₃ and C₄ photosynthesis, and coupled that with the aforementioned electron transport chain model. For a C₄ plant, Rubisco specificity is 2400, the ratio between ATP and NADPH demand would be 2.77, and the required $rAB_{\text{CEF BS}}$ would be c. 0.85 when $AB_{\text{M}}^{\text{BS}}$ is c. 0.65, under saturating light and with a CO₂ concentration at the carboxylating sites of 250 $\mu\text{mol mol}^{-1}$. Similar considerations can be made for M chloroplasts in C₃ plants. The ratio between ATP and NADPH demand would be 1.62, and the required $rAB_{\text{CEF BS}}$ would be c. 0.1. Because we are interested in the potential $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$ here, we hypothesized that plants could acclimate $rAB_{\text{CEF BS}}$ through state transition and electron transport chain adjustments, and reach a maximal $rAB_{\text{CEF BS}}$ of 1 and 0.375, for C₄ plants and C₃ plants, respectively, and an intermediate value of 0.7 for C₃-C₄ taxa.

Results

The C₃ to C₄ anatomical continuum

The study accessions presented a wide range of anatomical variation typical of that observed across the C₃ to C₄ gradient in grasses (Lundgren *et al.*, 2014). The *IVD* was largest in C₃ ($\geq 255 \mu\text{m}$), and smallest in C₄ ($< 125 \mu\text{m}$), types with C₃-C₄ accessions presenting *IVD* between that of C₃ and C₄ accessions (195–207 μm ; Table 2, Supporting Information Table S3). The proportion of *IVD* composed of vein (VEW/IVD) was smallest in rice and wheat, largest in the two C₄ accessions, and intermediate in the three C₃-C₄ accessions and C₃ *A. semialata* (Table 2). The number and size of tertiary veins and leaf height at these veins did not differ by photosynthetic type (Table 2, Supporting Information Table S3). The tertiary veins of all accessions except wheat had $BSE \left(\frac{k_{MA}}{k_{MI}} < 1 \right)$. These were largest in maize and the C₃-C₄ and C₄ *A. semialata* accessions and smallest in rice and *H. aturensis*. The accessions had variable pigmentations of the BS relative to M (*ARA*). While rice had very little to no BS pigmentation, wheat had about one-tenth, and the C₃ and C₃-C₄ *A. semialata* accessions approximately one-third, the *ARA* of maize (Table 2, Supporting Information Table S3). The C₄ *A. semialata* had over one and a half times the *ARA* of maize.

Using the measured anatomical traits, a first set of model outputs generated $AB \frac{BS}{M}$, which revealed clear differences between photosynthetic types in their ability to absorb light and produce J_{ATP} in BS. Rice and wheat harvested negligible amounts of light in BS ($AB \frac{BS}{M}=0.001$ and 0.03 , respectively; Table 2), while maize harvested 40% ($AB \frac{BS}{M}=0.66$) and C_4 *A. semialata* as much as 61% ($AB \frac{BS}{M}=1.6$) of light in the BS. $AB \frac{BS}{M}$ in the C_3 – C_4 individuals were intermediate between those calculated for C_3 and C_4 accessions. When the operational $\frac{J_{ATP BS}}{J_{ATP M}}$ was calculated using likely values for $rAB_{CEF BS}$ (Table 2), rice and wheat generated a negligible amount of ATP in BS ($\frac{J_{ATP BS}}{J_{ATP M}}=0.001$ and 0.04 , respectively), while maize and C_4 *A. semialata* produced the most ($\frac{J_{ATP BS}}{J_{ATP M}}=1.32$ and 3.21 , respectively), and C_3 – C_4 accessions intermediate amounts of ATP in the BS (Table 2). The C_3 *A. semialata* had $AB \frac{BS}{M}$ and $\frac{J_{ATP BS}}{J_{ATP M}}$ intermediate between the other C_3 accessions and the C_3 – C_4 taxa (Table 2).

A second set of model outputs was generated by selectively varying vein pigmentation, vein size, the size of BSE, and the engagement of CEF in BS, as described below. These scenarios are useful to investigate the potential for light harvesting and ATP production in BS, in the hypothetical case that operational values could be manipulated, to highlight the possible bottlenecks and preferred routes to bio–engineering.

How much light could potentially be absorbed in the BS if we could freely manipulate BS pigmentation?

This simulation explored the potential for increasing $AB \frac{BS}{M}$ by manipulating BS pigmentation without modifying leaf anatomy. The model was parameterised with the anatomical characteristics (as n_{MAD} , n_{VEIN} , n_{MAB} , VEW/IVD , and $\frac{k_{MA}}{k_{MI}}$) of six accessions (Table 2), and $AB \frac{BS}{M}$ was calculated at different levels of vein pigmentation ($\frac{k_V}{k_{MI}}$). The model output indicates a clear differentiation between C_3 , C_4 and intermediate types (Fig. 2a). For example, when $\frac{k_V}{k_{MI}}=1$ the BS of C_3 plants absorb only about a fifth, and C_3 – C_4 types just over a half, of that absorbed in maize BS. Moreover, C_3 types show a quasi–saturation when $\frac{k_V}{k_{MI}}>1$, suggesting that plants with typical C_3 anatomy would benefit little from increasing BS pigment concentration further. Absorbed light in the BS of C_4 and C_3 – C_4 plants, however,

continues to increase up to $\frac{k_V}{k_{MI}}=3$. While the two C₃ accessions presented very similar responses of $AB \frac{BS}{M}$ to increasing $\frac{k_V}{k_{MI}}$, as did the two C₃–C₄ plants, the two C₄ accessions differed in this relationship, with *A. semialata* MDG reaching twice the $AB \frac{BS}{M}$ as maize when $\frac{k_V}{k_{MI}}=3$ (Fig. 2a).

How much space needs to be committed to veins to harvest a target $AB \frac{BS}{M}$?

In this simulation, relative vein size (as VEW/IVD) and pigmentation ($\frac{k_V}{k_{MI}}$) were varied, while the number of layers attributed to MAD, vein, and MAB (n_{MAD} , n_{VEIN} , n_{MAB}), and MA pigmentation ($\frac{k_{MA}}{k_{MI}}$) were set to those of the average leaf anatomy across six study accessions (Table 2). Practically, $\frac{k_V}{k_{MI}}$ was increased in steps from 0.1 to 3 and VEW/IVD was iteratively fitted until a target value of $AB \frac{BS}{M}$ was reached. Fig. 2b shows a trade-off between pigmentation and vein size. In other words, the same fraction of incident light can be harvested (e.g., $AB \frac{BS}{M}=1.0$) either by small dark ($VEW/IVD = 0.5$; $\frac{k_V}{k_{MI}} = 3.0$) or large pale ($VEW/IVD=0.8$; $\frac{k_V}{k_{MI}} = 0.5$) veins, within a certain window of plasticity.

What is the effect of BSE on $AB \frac{BS}{M}$?

The effect of BSE size was simulated by manipulating MA pigmentation $\frac{k_{MA}}{k_{MI}}$, using the anatomical constraints (n_{MAD} , n_{VEIN} , n_{MAB} , and VEW/IVD) averaged across six accessions (Table 2). Testing three levels of vein pigmentation ($\frac{k_V}{k_{MI}} = 0.5, 1, 2$), we show that the presence and size of BSE considerably increases light absorption in the BS. Moreover, the proportional increase in $AB \frac{BS}{M}$ was greater when vein pigmentation was low than when it was high (73% versus 45% in $\frac{k_V}{k_{MI}}=0.5$ and 2.0, respectively; Fig. 2c). For example, if one were to engineer BSE onto the tertiary veins of wheat, given its current relative BS pigmentation ($ARA = 0.198$), $AB \frac{BS}{M}$ could increase from 0.026 to 0.061 (+134%), however, this would require space to accommodate BSE and would still not be sufficient to sustain ATP demands required for C₄ photosynthesis.

What is the potential for ATP generation in the BS?

To overcome possible uncertainties associated with quantifying the fraction of light used by CEF in the BS ($rAB_{\text{CEF BS}}$), in this simulation we hypothesized that plants could freely vary $rAB_{\text{CEF BS}}$ between 0 and 1. This shows the entire range of possible values of $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$ given the measured anatomical constraints. $AB \frac{\text{BS}}{\text{M}}$ was calculated using the anatomical constraints (n_{MAD} , n_{VEIN} , n_{MAB} , VEW/IVD , and $\frac{k_{\text{MA}}}{k_{\text{MI}}}$) averaged across six accessions (Table 2) in a hypothetical case where vein pigmentation is identical across vein and M tissue, $\frac{k_{\text{V}}}{k_{\text{MI}}}=1$. The threshold $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}=0.3$ required to operate the C_4 pathway (Bellasio & Griffiths, 2014c) is plotted in Fig. 2d as a bold line. C_4 plants exceed the $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$ threshold regardless of $f_{\text{cyc BS}}$. C_3 – C_4 plants have the potential to sustain the C_4 pathway, but operate close to the threshold, a condition that may result in low operational plasticity (see *Discussion*). Rice and wheat, however, do not have the potential to regenerate RuBP in BS, regardless of $f_{\text{cyc BS}}$, due to their low BS to M ratios.

Light harvesting constraints to C_4 evolution

To understand how anatomical constraints to light harvesting may have influenced the ability for C_4 photosynthesis to evolve in grasses, we applied our modelling approach to a dataset of 145 phylogenetically diverse grass species, as presented in Christin *et al.* (2013). This dataset included 50 C_4 and 61 C_3 species from the PACMAD clade (after Panicoideae, Aristidoideae, Chloridoideae, Micrairoideae, Arundinoideae, Danthonioideae) and 34 C_3 species from the BEP clade (after Bambusoideae, Ehrhartoideae, Pooideae), which never evolved the C_4 pathway. As we were unable to measure pigmentation, ARA was estimated using the correlation presented in Fig. 3. To account for the variability in anatomical traits, we modified the routine for translating raw data into model inputs (see Supporting Information File S1, Note 2). One-way ANOVA, followed by post-hoc Tukey tests, found that C_4 species have greater VEW/IVD than C_3 grasses (Fig. 4a). Moreover, C_3 species from the PACMAD clade had greater VEW/IVD than those from the BEP clade. C_4 species had greater $AB \frac{\text{BS}}{\text{M}}$, and thus higher $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$, than C_3 species from both PACMAD and BEP clades (Fig. 4b and 4c). Although $\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$ was below the 0.3 threshold necessary to operate the C_4 pathway in C_3 species as a whole, six C_3 species from the PACMAD clade exceeded this threshold (Supporting Information Table S3). These six species belong to the Aristidoideae

(*Sartidia jucunda*) and Panicoideae (*Lecomtella madagascariensis*, *Otachyrium versicolor*, *Hymenachne amplexicaulis*, *Homopholis belsonii*, *Stephostachys mertensii*) subfamilies. Five of these six species are sister to C₄ lineages, while *L. madagascariensis* is not [(Christin *et al.*, 2013); Supporting Information Table S3).

Discussion

Functional links between anatomy and biochemistry

The link between leaf anatomy and photosynthetic type has been well characterized [*e.g.*, (El-Sharkawy & Hesketh, 1965; Laetsch, 1974; Ku *et al.*, 1983; Dengler *et al.*, 1994; Lundgren *et al.*, 2014)], however, the influence of leaf anatomy on the ability for light to penetrate the BS, and consequently generate sufficient ATP to regenerate RuBP (Bellasio & Griffiths, 2014c), has been overlooked despite being a critical factor in C₄ functionality (Bellasio & Griffiths, 2014c; McQualter *et al.*, 2016). Here, we point to the amount of ATP available through photophosphorylation in the BS as a critical bottleneck in the establishment of a functional C₄ cycle, and therefore we link leaf anatomy, and its influence on light harvesting in BS, to biochemical traits.

The relatively small BS of typical C₃ species do not permit a C₄ cycle to function, regardless of pigment concentration. In rice, for instance, even a 100-fold increase in the relative pigmentation of BS ($ARA=0.0033$, currently), given its current BS size ($VEW/IVD = 0.134$, currently), would still yield insufficient BS light absorption ($AB \frac{BS}{M} = 0.04$) to surpass the minimum threshold (c. $AB \frac{BS}{M} = 0.15$) needed to regenerate enough RuBP in BS to operate C₄ photosynthesis [Fig. 2b; Table 2; (Bellasio & Griffiths, 2014c)]. Thus, engineering a C₄ carbon concentrating mechanism (CCM) in a C₃ crop, provided chloroplast physiology and metabolite trafficking were adequate, would require increasing the relative proportion of BS to M tissue, whether by decreasing M cell size or number, decreasing leaf thickness, increasing the size of BS cells, or increasing the number of vein units by inserting minor veins or distinctive cells [reviewed in (Lundgren *et al.*, 2014)].

The C₄ plants maize and *A. semialata* MDG exceed the threshold needed to run C₄ photosynthesis by a considerable safety margin, which is likely to counter suboptimal environmental conditions. C₃–C₄ plants have the potential to meet the requirements for a fully engaged C₄ system (*i.e.*, exceed the $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ threshold), but this can only be achieved by operating substantial amounts of CEF in the BS. The anatomy of the C₃ *A. semialata*

accession can also generate sufficient ATP in the BS to run C₄ photosynthesis ($AB \frac{BS}{M}=0.18$), due to its combination of relatively high BS pigmentation ($ARA=0.535$), large vein size ($VEW/IVD=0.246$), and reduced MA pigmentation from the presence of BSE. This trend, whereby C₃ individuals that are closely related to C₄ taxa possess anatomy suitable for C₄ functionality, was also revealed in the larger grass family dataset. While C₄ anatomical phenotypes have been demonstrated in C₃ species in the past and linked to C₄ evolvability (Christin et al. 2013; Griffiths et al. 2013), we put these anatomies into a biochemical context to explain the anatomical thresholds in terms of light and energy requirements.

Operational robustness under changing illumination

The operational values for $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ listed in Table 2 and the simulations shown in Fig. 2 were calculated assuming that the incident radiation was weakly absorbed (Bellasio & Griffiths, 2014c). The values therefore represent an ideal condition of theoretical maximum achievable when the illumination of the BS chloroplast is optimal. The difference between the operational $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ under optimal BS illumination and the minimum threshold for $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ required by C₄ photosynthesis is a safety margin that can be interpreted as an index of the biochemical robustness that a particular anatomical type would have if it were to operate a fully engaged C₄ pathway. Although C₄ plants showed a remarkable capacity to acclimate to reduced light intensities (Ubierna *et al.*, 2013; Bellasio & Griffiths, 2014b; Bellasio & Griffiths, 2014a; Sage, 2014), less penetrating light qualities (*e.g.*, diffuse skylight) will promptly lower $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$. This imposes a reorganisation of assimilatory biochemistry to regulate ATP demand in response to ATP availability (Evans *et al.*, 2007; Sun *et al.*, 2012; Bellasio & Griffiths, 2014c) and while a CCM may still be possible, a fully engaged C₄ system becomes impossible when $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ falls below the minimum threshold.

This robustness can also be expressed in biochemical terms, by listing the ATP driven metabolic activities that can be supplied in BS under optimal conditions of BS illumination, calculated using the average operational $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ (Table 2). Typical C₃ grasses do not have the potential to regenerate RuBP in the BS. In contrast, C₃–C₄ plants can supply the totality of Rubisco with RuBP, and regenerate substantial amounts of glycolate, and operate 10% of the reductive pentose phosphate (RPP) cycle in the BS. Maize can supply RuBP to Rubisco, operate 50% of RPP, and 35% of PPK activity in the BS (Aoyagi & Nakamoto, 1985),

which may be engaged to take advantage of transient conditions of ATP availability in the BS (Bellasio & Griffiths, 2014c). *C₄ A. semialata* has a very high operational $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ of 3.2, which is likely required to supply PEPCK activity in BS, as PEPCK is the prevalent decarboxylating enzyme in this species (Ueno & Sentoku, 2006), (Dunning, *et al.*, *unpublished*). Because PEPCK activity is required for the CCM to operate it is likely to be modulated in response to assimilatory CO₂ demand in the BS, and cannot concur to fine-tuning ATP demand there (Bellasio & Griffiths, 2014c). In this case the ATP requirements for operating PEPCK would be obligate (the minimum $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ would therefore increase to c. 1, Bellasio, *unpublished*). The high $\frac{J_{ATP\ BS}}{J_{ATP\ M}}$ found in *C₄ A. semialata*, consistent with the presence of numerous chloroplasts in the BS (Dengler & Nelson, 1999; Lundgren *et al.*, 2016), may therefore be instrumental to maintain CCM efficiency in conditions of low BS illumination. The isolated BS of some PEPCK plants have shown the capacity, under ATP starvation, to convert malate-derived NADH into ATP through mitochondrial oxidation of NADH (Carnal *et al.*, 1993). This additional flexibility in ATP generation may be a further safety mechanism that PEPCK plants have evolved to overcome the lower biochemical plasticity of the CCM. These findings may help to explain the remarkably broad ecological niche in which *C₄ A. semialata* has come to inhabit (Lundgren *et al.*, 2015).

Economic trade-offs between vein size and pigmentation

When vein size (as $\frac{VEW}{M\ width}$) is plotted against vein pigmentation (as *ARA*), great variability and, surprisingly, a strikingly linear relationship ($R^2=0.98$; Fig. 3) is found among the six accessions studied. This observation can be explained using economic theory (Hadar, 1966). The two resources ‘size’ and ‘pigmentation’ have a finite cost, which can be evaluated by comparison (*e.g.*, in terms of carbon, nitrogen, or ATP) (Bloom *et al.*, 1985). We show that ‘size’ and ‘pigmentation’ can be co-opted to harvest a target $AB \frac{BS}{M}$ in infinite possible combinations, represented by the curves in Fig. 2b, called ‘isoquants’ in Economics. However, of all possible combinations, plants only operate those lying on the linear relationship plotted in Fig. 3, which is the most efficient combination of ‘size’ and ‘pigmentation’. The line does not pass from the origin (*i.e.*, unpigmented veins have a finite size), as represented here by the rice operational point. Both the intercept and the slope of the line of optimal resource allocation may differ between ecological niches and could in principle be used to compare the benefits of an unpigmented BS (intercept), and the cost of

‘size’ relative to that of ‘pigmentation’ (slope) across different niches. Interestingly, to increase $AB \frac{BS}{M}$, plants scale their investment in ‘size’ and ‘pigmentation’ along the line of optimal resource allocation (Fig. 3). Other combinations of ‘size’ and ‘pigmentation’ on the same isoquant are less efficient. For instance, a small intensely pigmented vein would be relatively inefficient, as higher $AB \frac{BS}{M}$ could be obtained by allocating the same total resource ‘investment’ proportionally more to ‘size’ and less to ‘pigmentation’.

Role of BSE in C₄ evolution

The benefits of BSE were evaluated by diluting MA pigmentation, which strongly increased light absorption in the BS. Moreover, the benefit of BSE were strongest at the low vein pigmentations that are often characterized in C₃ and C₃–C₄ species (Crooksto & Moss, 1970; Sage *et al.*, 2014). The presence of BSE in some non–C₄ lineages may permit enough light to reach the BS to supply both the C₂ shuttle and C₄ cycle activity. Indeed, we show that large BSE, even in plants with only moderate BS pigmentation (*e.g.*, $\frac{k_V}{k_{MI}}=0.5$), will transmit enough light to reach the threshold $AB \frac{BS}{M}=0.15$ (see above). It has been proposed that BSE may have initially evolved to improve hydraulics (Buckley *et al.*, 2011), compartmentalize the intercellular gaseous environment across the leaf (Terashima *et al.*, 1988), provide structural support (Zhong *et al.*, 1997), or even increase transmission of photosynthetically active radiation to the deeper mesophyll layers (Karabourniotis *et al.*, 2000). Once BSE establish in C₃ lineages for these reasons, they could then be co–opted in the evolution of C₂ and C₄ cycles to functionally increase light transmission to the BS.

Implication for C₄ evolution and bioengineering

In C₃ species, large BS function to store water, osmolytes, and sugars, repair cavitation, provide mechanical support, refix (photo)respiratory CO₂, and control xylem, mesophyll and stomatal conductance mediated by ABA signalling [reviewed in (Griffiths *et al.*, 2013)]. Here, we point to additional beneficiary functions of larger BS that have been overlooked so far and may influence the evolution of C₄ photosynthesis. Specifically, larger BS have (1) a greater optical cross section that is indispensable for light harvesting and (2) a larger volume in which the ATP–generating light harvesting machinery and biochemical photosynthetic machinery can be accommodated. Indeed, we show that C₃ PACMAD species have larger relative BS sizes than C₃ BEP species and that, as a whole C₃ species do not have the

potential to harvest enough light to support the BS metabolic activities required for C₄ photosynthesis to operate, yet a few specific C₃ species do possess anatomy capable of supporting a C₄ cycle. Thus, these species likely have the shortest phenotypic gap between non-C₄ and C₄ requirements and, as such, we suggest that they be targeted for initial C₄ CCM bioengineering projects.

Conclusion

We put forward a framework to estimate the potential for light harvesting and ATP production associated with various leaf anatomical phenotypes, and highlight the intertwined nature of anatomical and biochemical traits. By testing hypothetical scenarios we show that, even if it were possible to increase pigmentation in BS indefinitely, BS size would limit the potential for light harvesting and ATP production in BS. These findings have been confirmed by analysing a large dataset of 145 species that encompasses a large variation in anatomical traits. Overall, we provide compelling and diversified evidence to support our hypothesis that leaf anatomy mediates light availability in the BS and, as such, constrains the operation of C₄ photosynthesis. In practical terms, the leaf anatomy of typical C₃ plants limits ATP production in the BS, making it impossible to regenerate enough RuBP to operate a functional RPP cycle in the BS. However, some C₃ and C₃-C₄ taxa exist with anatomical phenotypes capable of operating a functional C₄ cycle and we argue that these should be targeted in C₄ evolution and bioengineering studies.

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Contributions: CB and ML conceived of the experiment, analysed results, prepared the figures and wrote the paper. CB wrote and coded the models, and run simulations. ML performed anatomical measurements.

Supporting Information

File S1. Supporting Methods, Notes, and Figures:

Fig. S1 Example cross-sections

Fig. S2 Example of RGB images

Methods S1 A model for light penetration in a leaf

Notes S1 Analytical integration of Eqn S1 and S2 after Gates (1980)

Notes S2 Method to derive model inputs from the dataset of Christin et al (2013)

Notes S3 Possible sources of error and comparison with other approaches

Notes S4 Demonstration of Eqn 2

Table S2. Excel workbook coding the anatomical model.

Table S3. Raw data encompassing anatomical and optical measurements and large dataset encompassing 145 species from Christin *et al.* (2013).

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Figures.

Figure 1. From anatomy to light penetration. Panel **a** is an example of a C_4 *A. semialata* (MDG) leaf in cross-section, where the dashed lines show the region subject to anatomical measurements. Panel **b** schematises how anatomical characteristics were derived (results are reported in Table 2 and Supporting Information Table S2). Within one interveinal distance (*IVD*), the cross-sectional height along the vein, the abaxial and adaxial bundle sheath extensions (AD.BSE and AB.BSE) as well as vein height and width (with the term vein encompassing the vascular bundle, VB, and inner and outer bundle sheaths, IBS, and OBS) were measured. Panel **c** shows the modelled leaf anatomy. A rectangular vein is surrounded by three portions of mesophyll: interveinal M (MI), adaxial M (MAD), and abaxial M (MAB). The measured height at the vein is taken as $N=1000$ layers; the height of upper and lower BSE are taken as thicknesses of the MAD and MAB, respectively (expressed as n_{MAD} and n_{MAB}), while the vein equivalent height (*VEH*) is calculated as the difference (expressed as n_{VEIN} , where $N=n_{MAD}+n_{VEIN}+n_{MAB}$). Light penetration was modelled through profiles P1 (sectioning vertically through the interveinal M) and P2 (sectioning vertically through the vein) with an absorption and scattering model, and calibrated so that the overall leaf reflectance and transmittance is equal to 0.1. The presence of BSE was accounted for by diluting the pigmentation of MAD and MAB. Panel **d** shows profiles of light penetration in the leaf, calculated for the average anatomy across six accessions (Table 2) and with relative vein pigmentation $\frac{k_V}{k_{MI}}=1.5$. The downward photon flux I , as a fraction of incident photon flux I_0 (I/I_0 , dimensionless), is plotted against the depth in the absorbing path of the leaf, relative to N , for P1 (solid line) and P2 (dashed line). Note that P2 is less steep than P1 in correspondence of MAD, which is paler than interveinal M for the presence of BSE ($\frac{k_{MA}}{k_{MI}} < 1$), while P2 is steeper than P1 in correspondence of the vein, which is darker than interveinal M ($\frac{k_{VB}}{k_{MI}} > 1$).

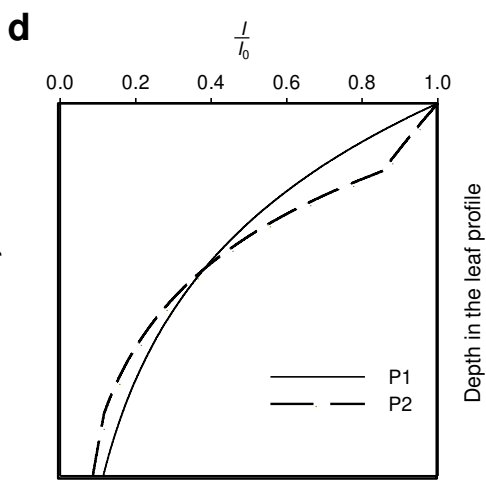
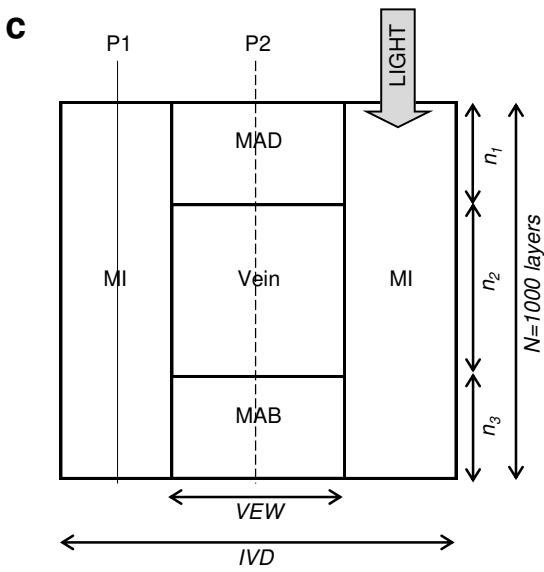
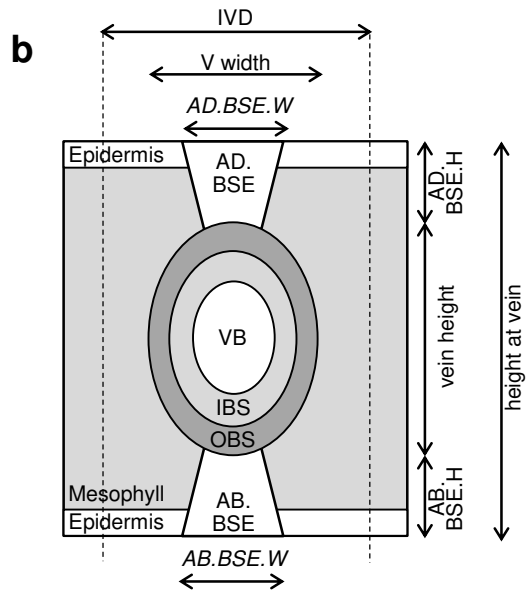
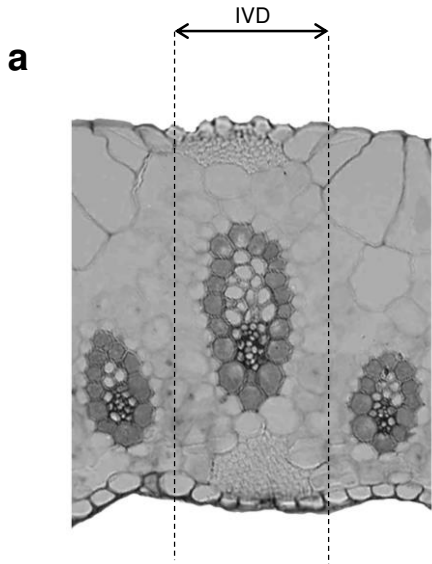


Figure 2. Model simulations. To show the possible anatomical constraints to light harvesting and ATP production in the BS, the operational conditions typical of each photosynthetic type (Table 2) are selectively manipulated. Panel **a** shows the potential for light harvesting in the BS ($AB \frac{BS}{M}$), along different relative vein pigmentations ($\frac{k_V}{k_{MI}}$) for the anatomical types listed in Table 2. The operational vein pigmentation $\frac{k_V}{k_{MI}}$, experimentally estimated as the apparent relative absorbance (ARA, Table 2), are marked with asterisks. Panel **b** shows the possible trade-offs between vein pigmentation ($\frac{k_V}{k_{MI}}$) and vein size ($\frac{VEW}{IVD}$) required for a light absorption target ($AB \frac{BS}{M}$). Panel **c** shows the effect of bundle sheath extensions (BSE) on BS light absorption ($AB \frac{BS}{M}$), simulated by changing BSE shading ($\frac{k_{MA}}{k_{MI}}$), at different levels of vein pigmentations ($\frac{k_V}{k_{MI}}$). Panel **d** shows the effect of manipulating $rAB_{CEF\ BS}$, the fraction of absorbed light used by cyclic electron flow, on relative ATP production in BS ($\frac{J_{ATP\ BS}}{J_{ATP\ M}}$, Eqn 2), calculated for a hypothetical vein pigmentation equivalent to that of interveinal M ($\frac{k_V}{k_{MI}} = 1$). The bold line represents the minimum threshold for regenerating RuBP in BS ($\frac{J_{ATP\ BS}}{J_{ATP\ M}} = 0.3$) (Bellasio & Griffiths, 2014c). The *A. semialata* accessions KWT and L01 are not shown for simplicity, however, values are reported in Table 2 and Supporting Information Table S2.

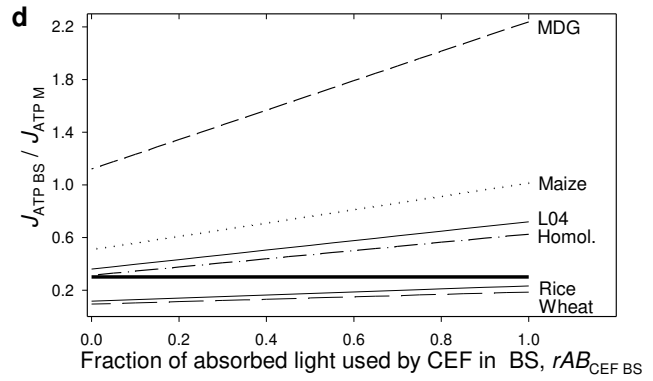
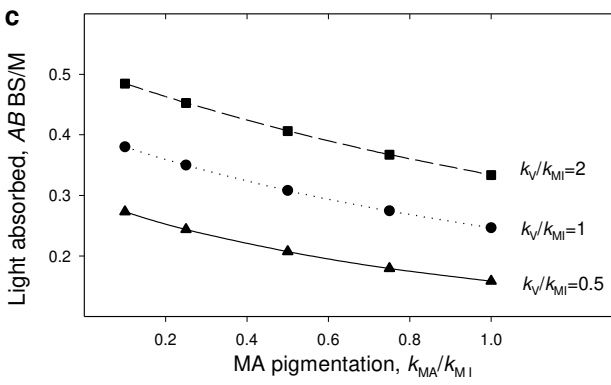
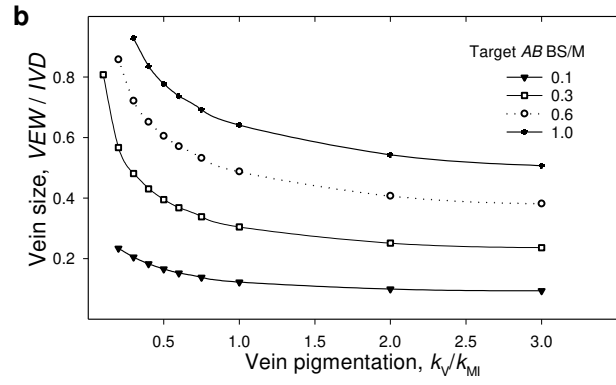
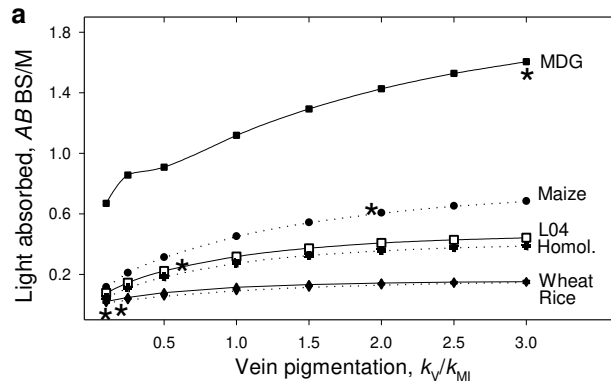


Figure 3. Trade-off between vein size and vein pigmentation. The experimental range of pigmentation and size across the evolutionary continuum between C_3 and C_4 is shown by squares (from left to right rice, wheat, KWT3, L04D, L01A, maize and MDG1, values are reported in Table 2 and Supporting Information Table S2). The line r is the line of best fit ($R^2=0.98$) representing all optimal resource combination for the measured accessions.

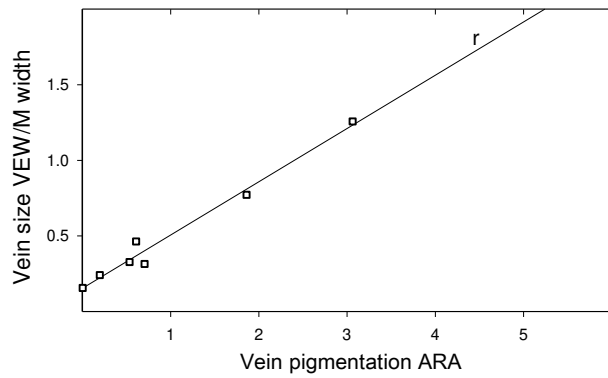
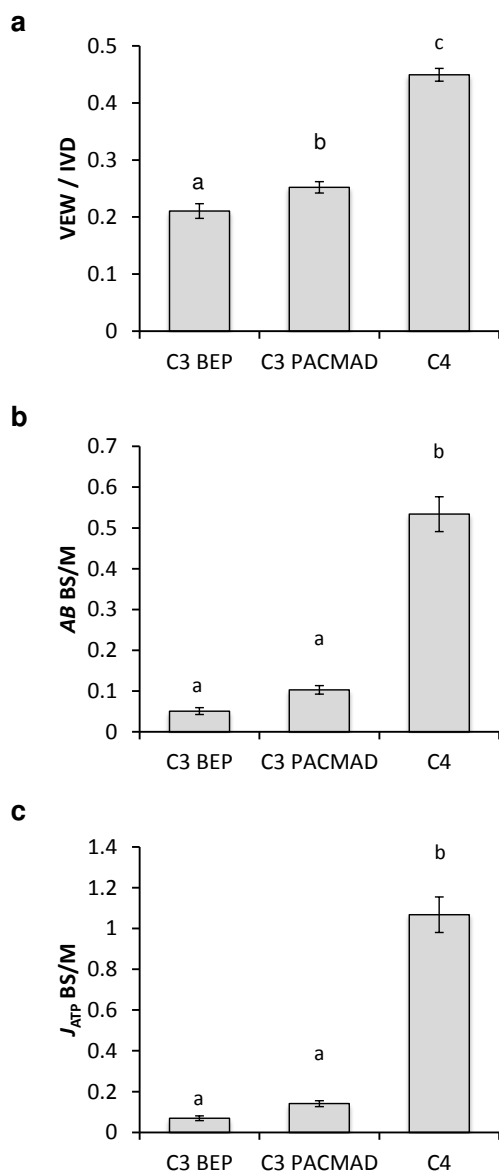


Figure 4. Light harvesting across the grass phylogeny. From the anatomical characteristics of 145 species (Christin *et al.*, 2013), we derived the key inputs VEW/IVD shown in panel **a**. Potentials for light harvesting in the BS ($AB \frac{BS}{M}$, panel **b**) was calculated using values for ARA estimated from the linear regression shown in Fig. 3, through a routine detailed in Supporting Information File S1, Note 2. Leaf reflectance and transmittance were fitted to values typical of penetrating radiation, thus the values of $AB \frac{BS}{M}$ that we show represent the theoretical maximum achieved under optimal BS illumination. The relative ATP production in the BS ($\frac{J_{ATP BS}}{J_{ATP M}}$) shown in panel **c** was calculated using likely values for $rAB_{CEF BS}$ (Table 2). Mean \pm SE. C₃ BEP, $n=34$; C₃ PACMAD, $n= 61$; C₄, $n= 50$. Values labelled with different letters were deemed significant at $p < 0.05$ in Tukey post-hoc tests.



Tables.

Table 1. Acronyms, variables, and units used.

Symbol	Definition	Unit
<i>AB</i>	Absorbed light as a fraction of incident light	dimensionless
<i>AD.BSE.H</i> , <i>AB.BSE.H</i>	Height of adaxial and abaxial BSE, respectively	μm
<i>AD.BSE.W</i> , <i>AB.BSE.W</i>	Width of adaxial and abaxial BSE, respectively	μm
ARA	Apparent relative absorbance, Eqn 1, Experimental proxy for vein pigmentation $\frac{k_V}{k_{MI}}$	dimensionless
BS	Bundle sheath	
BSE	Bundle sheath extension and extraxylary fibres, collectively	
CCM	Carbon concentrating mechanism	
CEF	Cyclic electron flow	
I , I_0	Downward photon flux, Incident photon flux	arbitrary
IBS	Inner bundle sheath	
IVD	Interveinal distance	μm
J	Upward photon flux	arbitrary
J_{ATP} , $J_{ATP\ BS}$, $J_{ATP\ M}$	ATP production rate, unspecified, in BS or in M respectively	$\mu\text{mol m}^{-2} \text{s}^{-1}$
k , k_V , k_{MI} , k_{MA}	Absorption parameter, representing the density of light harvesting machinery, unspecified, in vein, interveinal M, and collectively abaxial and abaxial mesophyll, respectively	dimensionless
LEF	Linear electron flow	
η , η_{LEF} , η_{CEF}	Overall conversion efficiency of AB in ATP, general, of LEF and CEF, respectively	
$r_{AB_{CEF\ BS}}$	Proportion of AB_{BS} used by CEF	
M, MI, MAD, MAB, MA	Mesophyll, unspecified, interveinal, adaxial, abaxial or collectively adaxial and abaxial, respectively	
N , n , n_{MAD} , n_{VEIN} , n_{MAB}	Total layers (1000) in which the light absorbing portion of the leaf is divided, a generic layer, number of layers assigned to MAD, number of layers assigned to the vein, number of layers assigned to MAB, respectively	
OBS	Outer bundle sheath	
P1	Plot of light intensity <i>versus</i> depth (light profile) in correspondence of interveinal M	
P2	Plot of light intensity <i>versus</i> depth (light profile) in correspondence of the vein	
R , R_g , R_{LEAF}	Reflectance, unspecified, of the last layer, and of the leaf respectively	0.06
RPP	Reductive pentose phosphate (cycle); also known as Calvin–Benson–Bassham cycle or photosynthetic carbon reduction cycle	
RuBP	Ribulose-1,5-bisphosphate	
VEH	Vein equivalent height $VEH = \text{height at vein} - AD.BSE.H - AB.BSE.H$	μm
VEW	Vein equivalent width $VEW = \frac{VB\ Area}{VEH}$	μm
WAV	Weighted average RGB histogram value, or luminosity	

Table 2. Operational conditions in the C₃ to C₄ anatomical continuum. Basic anatomical characteristics, inputs to the optical model (next to the underpinning measured quantity, in parentheses), and model output obtained for eight accessions. The complete dataset is included in Supporting Information Table S2. Species marked with an asterisk are averaged in the last column.

	rice*	wheat*	<i>A. semialata</i> KWT	<i>H. aturensis</i> *	<i>A. semialata</i> L01	<i>A. semialata</i> L04*	maize*	<i>A. semialata</i> MDG*	Average (of 6 accessions with *)
Photosynthetic type	C ₃	C ₃	C ₃	C ₃ -C ₄	C ₃ -C ₄	C ₃ -C ₄	C ₄	C ₄	-
Anatomical characteristics									
Height at vein / μm	83.5	174	207	95.4	150	209	152	225	164
<i>IVD</i> / μm	273	313	255	207	195	195	124	85.5	200
Vein area / μm^2	2228	5306	6614	4744	5330	9338	4970	7900	5747
Input									
η_{MAD}	179	249	244	138	69	148	218	83	169
η_{VEIN}	727	502	510	761	764	725	605	739	676
η_{MAB}	94	249	246	101	167	127	178	178	154
<i>VEW</i> / <i>IVD</i>	0.134	0.194	0.246	0.316	0.239	0.316	0.436	0.557	0.325
$\frac{k_{\text{MA}}}{k_{\text{MI}}}$ (MA pigmentation)	0.292	1	0.296	0.637	0.207	0.211	0.211	0	0.392
<i>ARA</i> (relative vein pigmentation, $\frac{k_{\text{V}}}{k_{\text{MI}}}$)	0.0033	0.198	0.535	-	0.705	0.609	1.86	3.06	1.28
<i>rAB</i> _{CEF BS}	0.375	0.375	0.375	0.7	0.7	0.7	1	1	0.7
Output									
$AB \frac{\text{BS}}{\text{M}}$	0.001	0.026	0.129	-	0.23	0.284	0.66	1.606	0.363
$\frac{J_{\text{ATP BS}}}{J_{\text{ATP M}}}$	0.001	0.036	0.177	-	0.391	0.483	1.32	3.21	0.617