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1 **Environmental correlates of species rank – abundance distributions in**  
2 **global drylands**

3  
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23  
24 Author contributions: SS, ADD, AJD, and FTM collected the data and provided the raw  
25 data base. WU performed the data analysis. WU wrote the first draft of the manuscript,  
26 and all authors contributed substantially to revisions.

28 **Abstract**

29 Theoretical models predict lognormal species abundance distributions (SADs) in stable  
30 and productive environments, with log-series SADs in less stable, dispersal driven  
31 communities. We studied patterns of relative species abundances of perennial vascular  
32 plants in global dryland communities to: i) assess the influence of climatic and soil  
33 characteristics on the observed SADs, ii) infer how environmental variability influences  
34 relative abundances, and iii) evaluate how colonisation dynamics and environmental  
35 filters shape abundance distributions. We fitted lognormal and log-series SADs to 91  
36 sites containing at least 15 species of perennial vascular plants. The dependence of  
37 species relative abundances on soil and climate variables was assessed using general  
38 linear models. Irrespective of habitat type and latitude, the majority of the SADs  
39 (70.3%) were best described by a lognormal distribution. The lognormal was associated  
40 with low annual precipitation, higher aridity, high soil carbon content, and higher  
41 variability of climate variables and soil nitrate. Our results do not corroborate models  
42 predicting the prevalence of log-series SADs in local ecological communities. As  
43 lognormal SADs were particularly associated with sites with drier conditions and a  
44 higher environmental variability, we reject models linking lognormality to  
45 environmental stability and high productivity conditions. Instead our results point to the  
46 prevalence of lognormal SADs in variable and stressful ecosystems, which are generally  
47 shaped by strong habitat filters and limited colonisation. This suggests that drylands  
48 may be resilient to environmental changes because the many species with intermediate  
49 relative abundances could take over ecosystem functioning if the environment becomes  
50 suboptimal for dominant species.

51

52 **Running title:** Rank – abundance distributions of dryland plants

53 **Key Words:** aridity, species abundance, competition, lognormal distribution, log-series  
54 distribution, habitat filtering, soil fertility, climate

55

56

## 57 **Introduction**

58

59 Since its introduction to ecology by Raunkiær (1909), species - abundance  
60 distributions (SADs) have been extensively studied (reviewed in McGill et al., 2007;  
61 Matthew and Whittaker, 2014, 2015). They provide an exhaustive description of the  
62 distribution of species abundances within an ecological community (Magurran, 2004;  
63 McGill et al., 2007; Dornelas et al., 2011; Matthews and Whittaker, 2015) and have  
64 been linked to differential resource use and competitive strength (Sugihara, 1980;  
65 Tokeshi, 1998; Pueyo, 2006), disturbance regimes (Gray and Mirza, 1979), stochastic  
66 processes (May, 1975, Šizling et al., 2009), or species-specific dispersal rates (Hubbell,  
67 2001; Zillio and Condit, 2007).

68 SADs can be grouped into two particular classes of distributions: the log-series and  
69 the lognormal (Fig. 1; Connolly et al., 2005; Ulrich et al., 2010, 2016). The lognormal is  
70 characterized by a comparably high number of species with intermediate abundance and  
71 smaller numbers of very abundant and very rare species (Fig. 1). In turn, the log-series  
72 lacks a distinct group of very rare species (Fig. 1). Although it is difficult to relate these  
73 models to a particular underlying mechanism (cf. McGill et al., 2007; Ulrich et al.,  
74 2010; Cheng et al., 2012; Locey and White, 2013, but see Alonso et al., 2008),  
75 lognormal SADs are more likely to be found in closed communities with low temporal  
76 and spatial species turnover and a high proportion of species with intermediate  
77 abundances (that is the lognormal represents communities with a proper ‘middle class’  
78 of species) (Magurran and Henderson, 2003) if they are shaped 1) by multiple stochastic  
79 processes, independent of niche differentiation, resource use, or competitive ability as  
80 predicted by the central limit theorem of statistics (Preston, 1948; May, 1975; Connolly,  
81 et al. 2005; Šizling et al., 2009), 2) by sequential niche partitioning, where competitive  
82 strength with respect to dominant niche axes governs the distribution of species  
83 abundances (MacArthur, 1957; Sugihara, 1980; Tokeshi, 1998; Pueyo, 2006), or 3) by  
84 environmental filters, such as climate and soil characteristics that select for certain  
85 species and species combinations and limit colonisation (Green and Plotkin, 2007;  
86 Zillio and Condit, 2007; Maire et al., 2012). On the other hand, log-series SADs are  
87 expected to occur 1) in open colonisation driven communities with high degrees of  
88 dispersal and species turnover (Volkov et al., 2005; Zillio and Condit, 2007; Hirao et  
89 al., 2012) or 2) in incomplete samples from larger species pools (Fisher et al., 1943).

90 Species - abundance distributions have often been theoretically linked to  
91 environmental conditions and gradients (reviewed in Magurran, 2004; McGill et al.,  
92 2007; Dornelas et al., 2011). Some authors assume lognormal SADs prevail in stable,  
93 undisturbed environments, while log-series SADs will be found in disturbed habitats  
94 with higher temporal or spatial variability (e.g. Gray et al., 1979; Gray and Mirza, 1979;  
95 Hamer et al., 1997; Hill and Hamer, 1998; but see Nummelin, 1998). Whittaker (1975)  
96 and Hubbell (1979) linked lognormal SADs to higher environmental productivity.  
97 Consequently, log-series SADs should predominate at unproductive, e.g. arid, sites.  
98 However, the direct influence of environmental conditions on abundance distributions  
99 has been very rarely studied empirically. The few existing studies mainly focus on  
100 community recovery after severe disturbances (Mouillot et al., 2000), gradients of  
101 environmental pollution (e.g. Gray et al., 1979; Death, 1996; Qu et al., 2008), and  
102 successional stages (e.g. Whittaker, 1965; Bazzaz, 1975; Zaplata et al., 2013). Taken  
103 together, current evidence indicates that a directional shift from log-series towards  
104 lognormal SADs may occur with increasing intensity of interspecific competitive  
105 interactions and habitat stability (Tilman, 1982; Lan and Bai, 2012).

106 Environmental filters, like climate and soil characteristics, and biotic filters, such as  
107 facilitative and competitive interactions, tend to select for certain species and species  
108 combinations that are able to pass the respective filters (Bruno et al., 2003; Lortie et al.,  
109 2004). Consequently, species abundances should correlate with the strength of a  
110 particular filter (Maire et al., 2012). Indeed, theoretical sampling models predict habitat  
111 filtering will generate lognormal SADs if they limit colonisation probabilities (Green  
112 and Plotkin, 2007; Zillio and Condit, 2007; Ulrich et al, 2016).

113 Our knowledge about plant abundance distributions stems mainly from work done  
114 in forests (Hubbell, 1979; Morlon et al., 2009; Ulrich et al., 2015) and temperate  
115 grasslands (Bazzaz, 1975; Maire et al., 2012). With the exception of Whittaker's (1965)  
116 classical report of a lognormal SAD for Arizona desert plants, similar distributions in  
117 arid, semi-arid and dry-subhumid regions (drylands hereafter) have so far not been  
118 studied. Drylands, including a variety of habitat types like grasslands, scrublands and  
119 savannahs, occupy more than 40% of the terrestrial surface area (Safrieli and Adeel,  
120 2005) and are vulnerable to human disturbances (Maestre et al. 2012a) and changing  
121 climate (Körner, 2000; Reynolds et al., 2007; Dai, 2013), which in turn affect nutrient  
122 cycles (Maestre et al., 2012b). We do not know whether the abundance patterns

123 observed in forests can be generalised to drylands, and how changes in environmental  
124 conditions affect the SADs of dryland communities. As plant abundances are directly  
125 related to important ecosystem functions in drylands, like primary production and  
126 nutrient cycling (Gaitán et al., 2014; Maestre and Escudero, 2009), such knowledge can  
127 also greatly contribute to our understanding of the consequences of global change on  
128 ecosystem functioning in these areas (Maestre et al., 2012a; Maire et al., 2012).

129 Here we evaluate how environmental factors affect the SADs of 230 dryland  
130 communities from all continents except Antarctica and from three different vegetation  
131 types obtained within an international, large-scale dryland survey (Maestre et al., 2012b,  
132 Delgado-Baquerizo et al., 2013). We focus on the gradient between the log-series and  
133 the lognormal type SAD. Based on the available knowledge, we assumed that highly  
134 variable environmental conditions would favour unstable and dispersal-driven  
135 communities (reviewed in Fraterrigo and Rusak, 2008), while water-rich, productive  
136 environments favour stable, competition driven communities (Whittaker, 1979;  
137 Hubbell, 1979). These assumptions lead to three basic starting hypotheses regarding  
138 dryland plant communities:

- 139 (1) more arid, and therefore less productive, communities are dominated by log-series  
140 SADs,  
141 (2) lognormal SADs dominate in species rich, communities, and  
142 (3) log-series SADs are linked to both increased environmental variability and  
143 decreased importance of habitat filtering.

144

## 145 **Materials and methods**

### 146 Study sites and sampling protocol

147

148 Field data were obtained from 230 sites established across precipitation gradients in 17  
149 countries from five continents (Argentina, Australia, Botswana, Brazil, Chile, China,  
150 Ecuador, Iran, Israel, Kenya, Mexico, Morocco, Peru, Spain, Tunisia, USA and  
151 Venezuela). Sites were chosen to cover a wide spectrum of abiotic (climatic, soil type,  
152 slope) and biotic (type of vegetation, total cover, species richness) features  
153 characterizing drylands worldwide. These sites include the 224 sites used in Maestre et  
154 al. (2012b) plus six additional sites in Botswana surveyed in 2012. We restricted our  
155 study to arid, semi-arid and dry-subhumid ecosystems, defined as sites with an aridity

156 index (precipitation/potential evapotranspiration) between 0.05 and 0.65. The sites  
157 cover all major biogeographic regions and four basic vegetation types (woodlands,  
158 savannahs, scrublands, and grasslands). All study sites were sampled quantitatively  
159 following the same protocol. At each site, we surveyed 80 1.5 m × 1.5 m quadrats along  
160 four 30-m long transects separated eight meters from each other (see Maestre et al.  
161 2012b for full methodology). In each quadrat, we measured the cover of perennial plant  
162 species and used the total counts to construct the respective vectors of relative  
163 abundances. Thus all abundance distributions are based on complete censuses.

164 A low number of species per site increases the noise in the SAD fits (Wilson et al.  
165 1998), while selecting a high minimum number of species greatly reduces the number  
166 of sites (and vegetation types) considered making statistical inferences challenging. As a  
167 compromise, we retained 91 of the study sites, which had  $\geq 15$  species of perennial  
168 vascular plants. Nevertheless, and to assess the robustness of our analysis, we compared  
169 the results obtained from these sites with those obtained from an extended data set (166  
170 sites) including at least 10 species (as recommended by Ulrich et al, 2010 as the lower  
171 limit for reliable fits) and from a reduced data set (55 sites) including at least 20 species  
172 per site. As the results from these three data sets were qualitatively similar, we only  
173 report the results obtained with the 91 sites having 15 species or more. We show the  
174 results obtained with the reduced and extended data sets in the electronic supplement.

175

#### 176 Biotic and abiotic factors

177 Using a stratified sampling design, we sampled the top 7.5 cm of the soil from up to  
178 three different microhabitats per site. These microhabitats always included a location  
179 with bare soil (i.e. devoid of perennial vascular plants), as well as sites dominated by  
180 perennial vegetation (e.g. under trees, shrubs or grasses, depending on the dominant  
181 growth forms present within each site). Five samples were collected from each  
182 microsite, yielding between 10 and 15 samples per site. Soil samples were air-dried at  
183 room temperature, sieved ( $< 2$  mm fraction) and analysed in the laboratory to obtain a  
184 range of physio-chemical analyses. In each soil sample we measured pH, organic  
185 carbon, available phosphorus, and nitrate content as described in Maestre et al. (2012b).  
186 These variables were selected because they are either appropriate surrogates of overall  
187 soil fertility and nutrient availability for plants in drylands (carbon and nitrogen  
188 variables; Whitford, 2002) or they are surrogates of abiotic variables that control

189 nutrient transformations and availability in soils (e.g. pH; Reth et al., 2005). Thus, we  
190 expect them to be important factors influencing the relative abundance distributions of  
191 plant species. Soil variables were pooled to a single site-level value by weighting the  
192 values found underneath vegetation or in bare ground areas by their respective cover  
193 within the site (cf. Maestre et al., 2012b). As a measure of habitat variability, we  
194 calculated for the four soil variables their respective coefficients of variations based on  
195 the 10-15 samples obtained per site.

196 We also obtained climatic data for each site using Worldclim  
197 (<http://www.worldclim.org>; Hijmans et al., 2005). From this database, we extracted the  
198 altitude of each site, the mean annual temperature and precipitation, and their annual  
199 seasonality. As we expected to see changes in relative abundances along climatic  
200 gradients, particularly along the gradient from moist to dry, we calculated the UNEP  
201 aridity index as the quotient of annual precipitation and evapotranspiration. To give a  
202 more readily interpretable result, we used the aridity level (1- aridity), which is directly  
203 related to aridity (higher values indicate higher aridity conditions). Aridity was  
204 estimated using the Global Aridity Index (Global-Aridity) dataset ([http://www.cgiar-](http://www.cgiar-csi.org/data/global-aridity-and-pet-database)  
205 [csi.org/data/global-aridity-and-pet-database](http://www.cgiar-csi.org/data/global-aridity-and-pet-database); Zomer et al., 2008; Trabucco and Zomer,  
206 2009), which is based on the interpolations provided by the Worldclim database.

207

#### 208 Fitting of relative abundances

209 We fitted lognormal ( $fit_{norm}$ ) and log-series ( $fit_{lser}$ ) models to the observed SADs as  
210 in Ulrich et al. (2010). For this task we used rank- log abundance (Whittaker) plots that  
211 show the log-transformed species abundances for each species ranked in declining  
212 abundance order (Fig. 1). These plots are superior to classical distribution (Preston)  
213 plots for fitting as they do not lose information and are not biased due to the grouping of  
214 species (Nekola et al., 2008, Ulrich et al., 2010). For each rank – log abundance plot we  
215 used a maximisation algorithm (implemented in the software application RAD 2.0,  
216 Ulrich 2013) that iteratively encapsulates parameter values to find the ones that  
217 minimise the average least square differences of observed and predicted relative  
218 abundance, respectively

$$219 \quad fit = \frac{\sum_{i=1}^S (\ln A_{i,obs} - \ln A_{i,pred})^2}{S} \quad (1)$$

220 where  $A_{i,obs}$  and  $A_{i,pred}$  are the respective relative abundances of species  $i$  in the  
221 community of  $S$  species. Fits for all communities are contained in the electronic



222 supplement. We used least squares differences for fitting as they put comparably high  
223 weight on rare and abundant species (Connolly and Dornelas, 2011) thus increasing the  
224 power to discriminate between the lognormal and the log-series models (Ulrich et al.,  
225 2010). In this respect, we note that major axis and reduced major axis have less  
226 discriminative power in the present context as both methods put higher weight on  
227 species with intermediate abundance.

228 As fit (eq. 1) x`equals the residuals sums of squares we compared the relative fits of  
229 both distributions using the corrected Akaike information criterion in the form

$$230 \quad AICc = 2k + S\ln fit + \frac{2k(k+1)}{S-k-1} \quad (2)$$

231 The lognormal SAD has  $k = 3$  free parameters (richness  $S$ , shape, and error), the  
232 logseries is a four parameter model ( $S$ ,  $\alpha$ ,  $X$ , and error). We used  $\Delta AIC_c$  to identify the  
233 better fitting model and assigned models with  $\Delta AIC_c > |10|$  as fitting significantly better  
234 (Burnham and Anderson 2002). As species differ in the probability to obtain particular  
235 least squares values (Connolly and Dornelas, 2011), least squares fitting applied to non-  
236 linear data might introduce a statistical bias when comparing SADs of different species  
237 richness. We minimized this possible bias two-fold: first, we always compared the two  
238 model fits for the same community and second, we included species richness as an extra  
239 predictor in our analyses. Locey and White (2013) highlighted the problem of  
240 comparing SADs from communities with different species richness and total abundance.  
241 Here we minimize this problem as we always fit both models to the same community  
242 and subsequently compare the respective relative fits among communities.

243 Ulrich et al. (2010) studied a third basic shape, the power function, and found it to  
244 be rarely realised in natural communities except for some forest tree data. Nevertheless,  
245 we checked the frequency of power function SADs in the global dryland data set. Our  
246 data confirmed the results of Ulrich et al. (2010) and revealed a low power to  
247 discriminate between log-series and power function shapes. Thus, we did not consider  
248 this model here, but present respective numbers of best and worst fits of all three  
249 models (lognormal, log-series and the power function) in the electronic supplement  
250 (Table A9).

251 An auxiliary measure of model fit is the skewness of the abundance distribution ( $\gamma$ ).  
252 The symmetrical lognormal is not skewed. Unsymmetrical lognormal SADs have nearly  
253 always an excess of rare species, and consequently a negative skewness (McGill, 2003).  
254 The log-series has an excess of relatively abundant species (associated with a positive

255 skewness) mostly in the case of incomplete sampling. An excess of relatively rare  
256 species (negative skewness) has been theoretically linked to communities characterised  
257 by high colonisation dynamics (Zillio and Condit, 2007).

258 As an approximate measure of SAD variance, the concept of evenness is closely  
259 related to the distribution of relative abundances (McGill et al., 2007). We assessed the  
260 evenness (E) in species abundances using the Shannon diversity metric  $H: E = H/\ln(S)$ .  
261  $p_{\text{norm}}$ , skewness, and evenness values for each site are available from figshare (Maestre  
262 et al., 2015).

263

#### 264 Statistical analyses

265 We used ordinary least squares general linear model analysis (GLM) in AICc  
266 model selection to link the  $\Delta\text{AIC}_c$  scores (eq. 2) to environmental data. Thus, we  
267 selected as the most parsimonious models those with the lowest AICc, using the model  
268 selection routine of SAM 4.0 (Rangel et al., 2010). To verify our first to starting  
269 hypotheses on the dependence of abundance distributions on environmental states we  
270 related  $\Delta\text{AIC}_c$ , skewness, and evenness to latitude (and squared latitude), climatic and  
271 soil variables. As our third starting hypothesis is about the influence of environmental  
272 variability we run separate models using the coefficients of variation of these  
273 environmental variables as predictors. Pearson correlation coefficients between  
274 predictor variables were always lower than 0.7, and therefore multicollinearity problems  
275 in our analyses are unlikely. Because vegetation type is strongly linked to temperature  
276 and precipitation, we did not include vegetation type as a categorical variable into the  
277 regression models to avoid multicollinearity problems. To account for possible non-  
278 linearity and non-normal error structures, we compared these results with those obtained  
279 from generalised linear modelling using log-link functions and Poisson error structure.  
280 As this latter approach did not improve our results and was largely consistent with the  
281 main analyses shown here, we only present them in the electronic supplement (Tables  
282 A7 and A8).

283 Our SAD fits and predictors were moderately spatially autocorrelated (Moran's  $I <$   
284 0.5). However, the global distribution of sites studied would cause any spatially explicit  
285 modelling, like simultaneous autoregression modelling or similar techniques, to  
286 artificially concentrate a large part of the variance in environmental data in the spatial  
287 distance matrix, masking thereby the underlying influences of the environment

288 (Hawkins, 2012). However, and to account for the spatial structure present in our data,  
289 we included the dominant eigenvector of the associated geographical distance matrix as  
290 an additional predictor in the GLM analyses (Hawkins, 2012). This dominant spatial  
291 eigenvector covered the large scale spatial structure of the sites and explained 85% of  
292 total variance in the geographical distance matrix. Species richness and elevation served  
293 as additional covariates. We used additive variance partitioning to assess the effects of  
294 single environmental predictors on  $\Delta AIC_c$ , skewness, and evenness. The data used for  
295 the present study are available from figshare (Maestre et al., 2015).

296

## 297 **Results**

298 At the global scale the lognormal model fitted better ( $\Delta AIC_c > 0$ ) for 64 (70.3%) and  
299 definitely better ( $\Delta AIC_c < -10$ ) for 58 of the 91 communities with at least 15 species  
300 (40.7%; Table 1). Only 10 communities (10.0%) were definitely better fitted by a log-  
301 series ( $p_{\text{norm}} > 10$ ) while 23 communities (25.3%) scored intermediate ( $-10 \leq p_{\text{norm}} \leq 10$ ).  
302 Although we found a prevalence of lognormal distributions in each vegetation type  
303 (Table 1), there was slight indication that these differ with respect to SAD fit (one-way  
304 ANOVA:  $F_{3,87} = 3.7$ ,  $P = 0.02$ ). Tukey post-hoc comparisons point to grasslands as  
305 having a lower proportion of lognormal type communities (Table.1). Including sites  
306 with as few as 10 species made the results increasingly noisy (electronic supplement  
307 Table A1) while at  $\geq 20$  species per site (Table A2) results were qualitatively identical to  
308 those presented above.

309 There was a significant latitudinal gradient in  $\Delta AIC_c$  indicating better fits of the  
310 lognormal in the Mediterranean communities (GLM  $r^2 = 0.17$ ,  $P < 0.01$ ). South  
311 American communities tended to be better fitted by the log-series than Old World  
312 communities (GLM  $r^2 = 0.11$ ,  $P < 0.05$ ). Evenness peaked around the equator and  
313 decreased with increasing latitude (GLM quadratic regression  $r^2 = 0.08$ ,  $P$  [quadratic  
314 regression term] = 0.01), while skewness did not significantly vary with latitude ( $r^2 =$   
315 0.03, n.s.). After accounting for the effects of species richness and spatial  
316 autocorrelation, average annual precipitation was negatively linked to the fit of the  
317 lognormal model (Table 2, Table A4), and explained 8% of the variance in  $\Delta AIC_c$ .  
318 Communities best described by a log-series occurred along the whole gradient of  
319 precipitation while better fits of the lognormal were largely restricted to values of  
320 annual precipitation below 650 mm (Fig. 2a, ANOVA  $F_{1,89} = 5.1$ ,  $P < 0.05$ , Fig. A2).

321 However, within the highly arid communities (aridity level > 0.5) there was a trend  
322 towards log-series-distributed SADs at increased arid environments (Fig. 2b, GLM  $r^2 =$   
323 0.05,  $P < 0.05$ ). This trend was supported by the reduced data set (at least 20 species per  
324 site included: Fig. A2, GLM  $r^2 = 0.16$ ,  $P < 0.01$ ). Among the soil variables, only carbon  
325 was consistently included in the regression models for  $\Delta AICc$  (Table 2, Tabs. A3, A4),  
326 and explained 6% of the variance.  $\Delta AICc$  decreased with increasing soil carbon content  
327 (Table 2) indicating a better fit of the lognormal in richer soils. This carbon influence  
328 was also corroborated by GLM Poisson regression (Table A7). Finally, we found  
329  $\Delta AICc$  to be positively linked to available phosphorus (Table 2, 6% variance  
330 explanation, and Table A7).

331 Positive and negative skewness measure the proportions of abundant and rare  
332 species, respectively. AICc model selection pointed to carbon content (Table 2) as  
333 affecting skewness, although this variable explained less than 5% of variance and  
334 consequently was insignificant in the reduced data set (Table A4) and the GLM Poisson  
335 model (Table A7). Evenness was negatively linked to soil carbon content (11% of  
336 variance explained) and these results were consistent regardless of the data subset used  
337 (Table 2, Tables A3, A4, A6).

338 The relative fit of the lognormal model increased with increasing seasonality in  
339 temperature (Table 3, A5, A6, A8) while seasonality in precipitation had no significant  
340 effect (Table 3, Tables A5, A6, A8). Despite of the lack of clear regressive trends  
341 linking AICc and soil variability (Table 3, Tables A5, A6, A8), our data indicate a  
342 distinction of model fit with respect to nitrate variability (Fig. 3a, Fig. A4A).  
343 Communities fitted better by a log-series were largely restricted to low nitrate  
344 variability. Further, lognormal communities significantly decreased in skewness (Fig.  
345 3b,  $r^2 = 0.17$ , Fig. A4B) and increased in evenness (Fig. 3c,  $r^2 = 0.16$ , Fig. A4C) at  
346 higher nitrate variability, while there were no such trends for log-series communities  
347 (Figs. 3b, c).

348

## 349 **Discussion**

### 350 Basic patterns

351 Contrary to our first starting hypothesis (arid communities should be dominated by  
352 log-series SADs), our study adds dryland plants to the group of communities with a  
353 prevalence of lognormal abundance distributions (e.g. Tokeshi, 1998; Magurran and

354 Henderson, 2003; Connolly et al., 2005; Ulrich et al., 2010). Irrespective of dryland  
355 habitat type (Table 1), we found that nearly 2/3 of the communities studied were fitted  
356 better by the lognormal model, which predicts a relative excess of species with  
357 intermediate abundance. This finding is in line with the only comparable study by  
358 Whittaker (1965) on desert plant communities, but contrasts to results obtained with  
359 forest tree communities (Ulrich et al. 2010). Also Leigh (1999), Morlon et al. (2009),  
360 and Ulrich et al. (2016) have reported log-series abundance distributions to prevail  
361 particularly in tropical forest communities. Our results do not exclude the possibility  
362 that abundance distributions of dryland vegetation types, in general, differ from more  
363 humid forest communities. Therefore our results demand caution about the  
364 generalisation of abundance patterns obtained from single ecosystems types and their  
365 transfer to dryland ecosystems.

366 The contrasting results from forest and the present dryland studies call for a  
367 mechanistic explanation. The forest data studied by Morlon et al. (2009) and Ulrich et  
368 al. (2015) represent to a large extent secondary succession forests and plantations. These  
369 are generally characterised by small numbers of highly abundant and larger numbers of  
370 rare species, and thus lack the group of intermediately abundant species that  
371 characterizes a lognormal distribution (Preston, 1948). Such communities show a  
372 comparably low degree of evenness and this community organisation is more in line  
373 with a log-series. Studies on boreal forests, containing a relatively low number of very  
374 abundant species (often even mono-stands) also reported log-series distributions  
375 (Whittaker, 1960). Similarly, in species-rich coral reefs (Connolly et al., 2005) and in  
376 tropical and relatively pristine forest communities (Hubbell, 1979; Volkov et al., 2003;  
377 Cheng et al., 2012) lognormally organised communities seem to prevail. While our  
378 study sites comprise areas with different degrees of human activities, none of the  
379 studied sites are subject to intensive management areas such as cropping, fertilization or  
380 planting of species (Maestre et al., 2012b). Thus, our results and those from the  
381 literature indicate that less impacted ecosystems have a higher probability to follow  
382 lognormal species abundance distributions. Consequently, these dryland systems tend to  
383 accumulate a ‘middle class’ of species with intermediate relative abundances. Having  
384 such a class may make these systems more resistant to functional disturbance because  
385 these species might take over ecosystem functioning if the environment becomes

386 suboptimal for the dominant ones, potentially enhancing the resilience to environmental  
387 changes (Walker et al., 1999).

388 About a quarter of the communities (25.3%, Table 1) were roughly equally fitted by  
389 both models. This pattern is in line with previous reports (e.g. Hughes, 1986; Magurran  
390 and Henderson, 2003; Ulrich and Ollik, 2004; Dornelas and Connolly, 2008; Vergnon et  
391 al., 2012), who observed that SADs may be compound functions that capture  
392 contrasting parts of local communities and patterns of community assembly. These  
393 SADs might comprise on one side the stable elements of resident species following a  
394 lognormal distribution and on the other site so-called satellite species having a high  
395 temporal dynamic and thus being best described by the log-series (Magurran and  
396 Henderson, 2003). Surprisingly, up to now there is no systematic empirical study on  
397 how well the compound model fits to SADs in communities across a variety of habitat-  
398 types and differing environmental conditions. Apart from the dynamics model of  
399 Hughes (1986) and recent work on speciation driven neutral communities (Vergnon et  
400 al., 2012) and hidden niche models (Barabás et al., 2013) focusing on multimodality,  
401 there is also no explicit theoretical model to predict the precise SAD shape.

402 The large proportion of intermediate SADs also indicates that lognormal and log-  
403 series SADs rather mark both endpoints of a continuum within which very different  
404 dominance structures might be realised (Magurran and Henderson, 2003). We speculate  
405 that the position within this continuum provides information about the trade-off between  
406 species interactions and colonisation – extinction dynamics by which a focal community  
407 is shaped. This trade-off should be triggered by the regional species pool size (the  
408 colonisation pressure), but also by environmental drivers that act as filters for potential  
409 colonisers. Both processes position a focal community into this continuum of SAD  
410 shapes. The fact that nearly half of our communities ranked intermediate on this  
411 continuum makes it probable that dryland communities are assembled by the interplay  
412 of colonisation dynamics and competitive interactions.

413

414 Environmental triggers

415 Based on the global positive co-variation of species richness and productivity  
416 (Whittaker, 1975; Currie, 1991, but see Adler et al., 2011), Whittaker (1975) and  
417 Hubbell (1979) initiated the idea that SADs are linked to productivity gradients, with  
418 increasing lognormality at higher levels of productivity. Therefore, we expected to see a

419 negative correlation of our AICc measure with average precipitation and a respective  
420 positive correlation with aridity (hypothesis 2), as plant cover and productivity decrease  
421 with increasing aridity (Safriel and Adeel, 2005; Delgado-Baquerizo et al., 2013). This  
422 was not the case, as rather we found the opposite pattern between AICc and annual  
423 precipitation (Table 2, Fig. 2a), and also a slightly negative effect of species richness on  
424 AICc (Table 2). Interestingly, Ulrich et al. (2015) reported a similar negative correlation  
425 of the fit of the lognormal distribution with precipitation and also with  
426 evapotranspiration in global forest communities. Therefore, both results do not  
427 corroborate the productivity hypothesis.

428 This finding links the occurrence of lognormally distributed communities to sites  
429 with higher environmental (in this case water) stress. Ecological theory mainly predicts  
430 a connection of stress with the log-series, although we note that existing evidence for  
431 this assumption is scarce (Gray et al., 1979; Gray and Mirza, 1979; Death, 1996; McGill  
432 et al., 2007; Qu et al., 2008). Our results point to strong effects of habitat filtering, and  
433 consequently limited dispersal in stressful environments as the major process shaping  
434 SADs. Average conditions filter specific sets of species (Wiens and Graham, 2005), and  
435 the abundance rank orders are established in a subsequent step by the interplay of  
436 species interactions, reproductive success, and local extinction (McGill et al., 2007).  
437 Therefore, variability in environmental conditions appears to be more important for the  
438 variation in species composition and abundances between sites than average conditions  
439 (Violle et al., 2012). Indeed, we found significant, albeit contrasting, relationships  
440 between AICc and the variability in temperature (Table 3). These results are partly in  
441 accordance with our third hypothesis (i.e. log-series SADs should be linked to both  
442 increased environmental variability and decreased importance of habitat filtering), and  
443 indicate the existence of trade-offs in habitat variability with regard to certain  
444 abundance distributions, thus complicating the simple environmental variability –  
445 lognormal view (Gray et al., 1979; Hamer et al., 1997; Hill and Hamer, 1998).

446 Only variability in soil carbon content entered the best fit regression model, and  
447 thus soil variability appeared to be much less influential than climate variability as a  
448 driver of the variation found in the SADs. However, nitrate variability (Fig. 3) might act  
449 differently, determining thresholds for community structure. We were surprised to find  
450 log-series SADs to be limited to soils with low nitrate variability (Fig. 3a). As nitrate  
451 variability also caused a negative skewness (Fig. 3b) and an increased community

452 evenness (Fig. 3c), it apparently forces communities towards lognormal abundance  
453 structures with a small number of very rare species. These SADs are not predicted from  
454 colonisation driven models that possess a heavy tail of relatively rare species, for  
455 instance neutral models without dispersal limitation (Hubbell, 2001; Zillio and Condit,  
456 2007). Our results thus clearly point to variability as a mechanism promoting the  
457 emergence of lognormal distributions (Fig. 3a) and limiting local colonisation dynamics  
458 (Figs. 3b, c). Consequently, our findings do not corroborate the opposed variability –  
459 log-series model that predicts disturbed or unstable sites to have log-series distributed  
460 communities (Gray et al., 1979; Zillio and Condit, 2007). A mechanistic explanation for  
461 this result invokes that high small-scale soil variability induces the development of a  
462 patchy community organisation with many intermediate and low abundant species that,  
463 when pooled to samples, nevertheless exhibit a higher evenness than expected from a  
464 homogeneous environment (equivalent to statistical averaging, Lehman and Tilman,  
465 2000). Such a patchy distribution of soil nutrients is often exacerbated by even light  
466 levels of grazing and shifts seen towards increased shrub canopy cover (Berkeley et al.,  
467 2005). Further this patchy distribution prevents species from becoming locally very  
468 abundant, thus reducing the number of dominant species in line with the spatial storage  
469 effect (Sears and Chesson, 2007). Therefore, the trigger for the negative skewness is not  
470 only an increased number of very rare species but also the low number of very abundant  
471 species. Indeed, small-scale soil variability is known to induce vicariant plant species  
472 composition and phylogenetic structure (Schreeg et al., 2010; Ulrich et al., 2014),  
473 reducing the dominance of the most competitive species. In turn, dispersion-driven  
474 variability in species composition favours log-series abundance distributions. Thus  
475 variability in community composition induced by environmental factors and dispersal  
476 might act in opposite directions. We hypothesise that if environmental variability also  
477 affects composition, the outcome might be unpredictable and often intermediate  
478 between both types of dominance order.

479 The above picture is complicated by the fact that our environmental variables  
480 accounted for at most 35% of the variances in dominance structure (Table 3). This is the  
481 point where biotic interactions might step in. As the species found within each plot had  
482 already passed the abiotic habitat filters captured by our environmental variables,  
483 observed species composition and dominance structure already contain part of the  
484 environmental variance, leaving species interactions to explain the residual variance in



485 SAD shapes. In this respect, dryland plant communities worldwide are predominantly  
486 shaped by mutualistic, particularly facilitative, interactions (Soliveres and Maestre  
487 2014). Interestingly, mutualistic interactions have been largely neglected in the SAD  
488 literature, which has focused on competition as the major process shaping dominance  
489 structures (McGill et al., 2007). Many competition based models (reviewed in Tokeshi,  
490 1998; but see Mouillot et al., 2000) predict lognormal type SADs. As there are no  
491 models that include the interplay of competition and mutualistic species interactions, it  
492 remains unclear whether and to what degree the observed residual variance in SAD  
493 shapes (> 65%) can be explained by both types of interactions.

494 However, a low impact in terms of variance explanation does not mean that an  
495 environmental predictor is of low or even no influence. This predictor might severely  
496 and selectively constrain species abundance and also filter for possible species  
497 combinations. Consequently, such predictors might invoke strong selective pressures on  
498 species causing the long-term reshaping of community structure. Unfortunately  
499 respective long-term effects of low impact environmental drivers are not well known. In  
500 this respect we need data on the temporal change in abundance distributions in habitats  
501 of stable environmental conditions. Such data might allow for an assessment of the real  
502 impact of environmental drivers on community structure.

503

## 504 Conclusions

505 Composition and dominance orders of dryland plant communities are influenced by  
506 a manifold of possible drivers. Our results do not point to productivity as a driver  
507 towards lognormal abundance distributions in drylands. Rather, we identified the small  
508 scale variability in soil characteristics to be of major importance for the maintenance of  
509 community evenness and the type of SAD. This variability, in combination with arid  
510 habitat conditions, is supported by the presence of a proper ‘middle class’ of  
511 abundances. Factors increasing this small-scale soil variability might therefore also  
512 contribute to the stability of dryland plant communities.

513

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532

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750 **Online resources**

751

752 **Electronic supplementary material**

753 Results from the additional analysis using the extended data set (sites with at least 10

754 species) and the reduced data set (sites with at least 20 species)

755 **Table 1.** Numbers of better fits of the log-series ( $\Delta AICc > 10$ ) and lognormal ( $\Delta AICc <$   
756  $-10$ ) SAD models for the vegetation types included in the present study. Intermediate  
757 fits refer to  $-10 \leq \Delta AICc \leq +10$ .  
758

Vegetation type	Better fit of		
	log-series	lognormal	intermediate
Grasslands	4	22	8
Scrublands	6	21	14
Woodlands	0	15	0
Savannah	0	0	1
Total	10	58	23

759

760 **Table 2.** Ordinary least squares (OLS) models to identify relationships between  
 761 environmental variables and the relative fits of the lognormal model ( $\Delta\text{AICc}$ ), SAD  
 762 skewness, and evenness The variables included in the best fit models (lowest AICc) are  
 763 in bold type Model beta values and  $r^2$  refer to the beta values and the explained variance  
 764 of the respective model N = 91  
 765

Variable	$\Delta\text{AICc}$	Skewness	Evenness
Spatial eigenvector	-0.03	<b>-0.26</b>	<b>0.25</b>
Elevation	0.01	0.03	0.15
Species richness	<b>-0.24</b>	0.09	<b>0.16</b>
Temperature	0.06	<b>-0.20</b>	0.07
Precipitation	<b>0.17</b>	<b>0.23</b>	-0.12
pH	0.07	-0.11	0.07
Available phosphorus	<b>0.20</b>	-0.01	0.01
Organic carbon	<b>-0.21</b>	<b>0.17</b>	<b>-0.39</b>
Nitrate	-0.09	-0.02	0.03
$r^2$ (OLS total model)	0.18	0.15	0.28
$r^2$ (OLS selected model)	0.16	0.14	0.25

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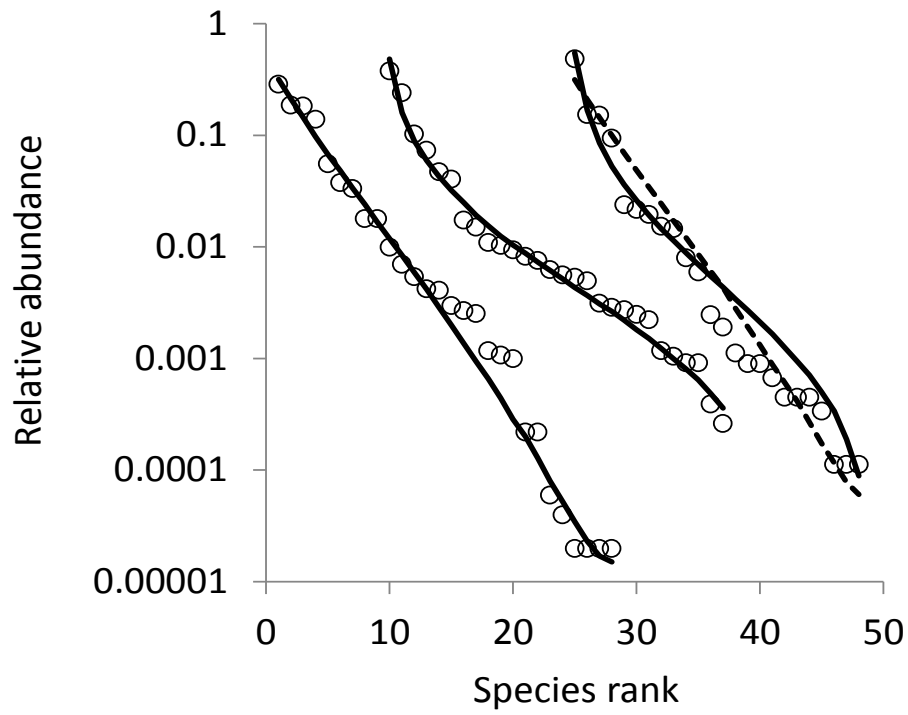
767 **Table 3.** Ordinary least squares (OLS) models to identify relationships between soil and  
 768 climatic variability and the relative fits of the lognormal model ( $\Delta\text{AICc}$ ), SAD skewness,  
 769 and evenness The variables included in the best fit models (lowest  $\text{AICc}$ ) are in bold  
 770 type Model parameters and  $r^2$  refer to the beta values and the explained variance of the  
 771 respective model N = 91  
 772  
 773

Variable	$\Delta\text{AICc}$	Skewness	Evenness
Spatial eigenvector	-0.06	<b>-0.28</b>	<b>0.28</b>
Elevation	-0.04	0.07	-0.03
Species richness	<b>-0.21</b>	0.03	<b>0.24</b>
Temperature seasonality	<b>-0.22</b>	0.01	0.01
Precipitation seasonality	-0.07	<b>0.14</b>	0.15
CV pH	-0.04	0.06	-0.12
CV available phosphorus	-0.05	-0.10	0.07
CV organic carbon	<b>0.13</b>	0.15	<b>0.17</b>
CV nitrate	-0.08	<b>-0.39</b>	<b>0.45</b>
$r^2$ (OLS total model)	0.14	0.26	0.38
$r^2$ (OLS selected model)	0.12	0.23	0.35

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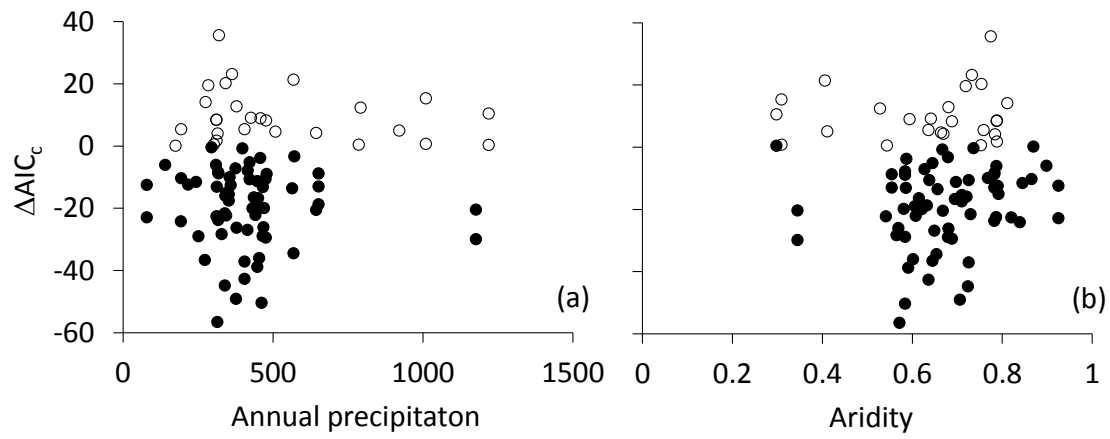
777 **Figure 1.** Three examples of dryland SADs with best fits. From the left: a site from  
778 Argentina Pampas and the respective log-series fit, a site from China with the respective  
779 lognormal fit, and a site from Spain where both models fit nearly equally well

780



781

782 **Figure 2.** Better fits of the log-series SAD model (open dots) were independent of the  
783 degree of precipitation (a) while the lognormal model (black dots) generally fitted better  
784 (two exceptions) below 600 mm annual precipitation. Lognormal SADs were found  
785 predominately at higher levels of aridity (b).

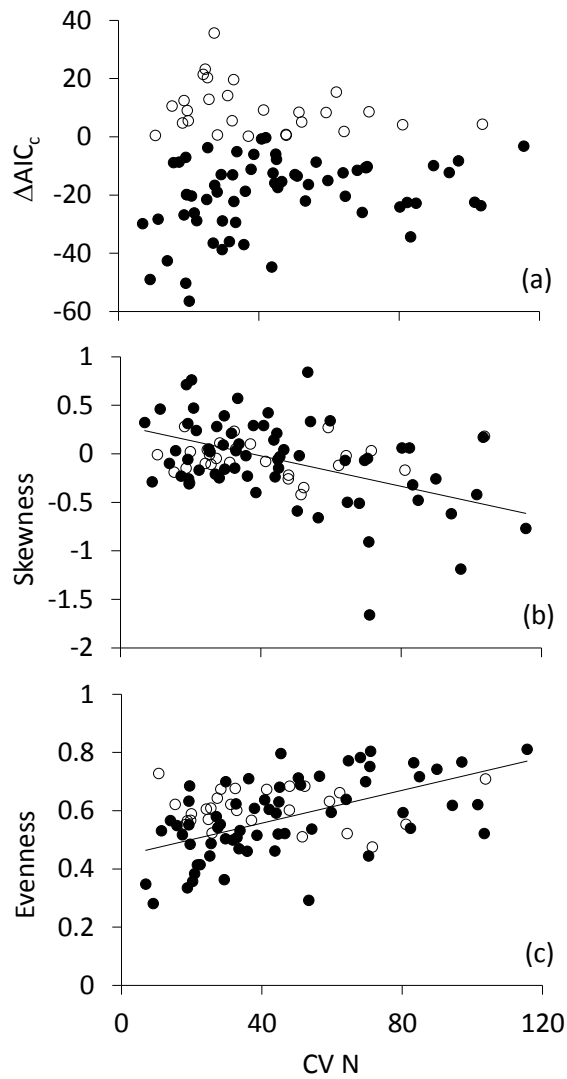


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788 **Figure 3.** Scatter plots of the effect of soil nitrate variability (CV N) on  $p_{\text{norm}}$  (A), SAD  
789 skewness (B), and evenness (C) of the 91 sites having at least 15 species. Black and  
790 open circles denote sites better fitted by the lognormal SAD and the log-series SAD,  
791 respectively. Regression lines for black circles: B:  $r^2 = 0.21$ ,  $P < 0.001$ , C:  $r^2 = 0.25$ ,  $P <$   
792  $0.001$

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