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1 ABSTRACT

2

3	Purpose: Most veterans live for many years after their war-related traumatic lower-
4	limb amputation, which is why understanding which factors influence health-related
5	quality of life (HRQoL) remains important to their long-term management. The
6	objective of this study was to perform a review of the literature to summarise any
7	evidence on the physical and social determinants for HRQoL in veterans with uni- or
8	bilateral lower-limb amputation(s).
9	Method: MEDLINE, EMBASE, PEDro, CINAHL, Scopus and Cochrane databases
10	were searched systematically for eligible studies. Inclusion criteria were: traumatic
11	lower-limb amputation(s), HRQoL outcome and veterans. Physical and social factors
12	that influence HRQoL were extracted.
13	Results: The literature search identified 2073 citations, leading to the inclusion of ten
14	studies in the systematic review. Physical activity level, sport participation, level of
15	amputation, back pain, years of education, as well as duration and severity of
16	phantom pain were found to be determining factors for HRQoL among veterans with
17	lower-limb amputation.
18	Conclusions: The identified physical and social determinants were similar to those
19	found in civilian traumatic amputees. More high quality research designs,
20	interventions and complex statistical analyses are warranted to identify the physical
21	and social factors that influence the HRQoL of veteran amputees.
22	

23 INTRODUCTION

24

25	In 2005 an estimated 1.6 million people lived with the loss of a limb in the USA and
26	at the end of 2013 there were 1,558 traumatic war-related amputations registered due
27	to military operations in Iraq and Afghanistan ^{1,2} . A twenty-four-year follow-up study
28	on veteran amputees with traumatic lower-limb amputations revealed increased
29	mortality rates compared to the general population (21.9% vs. 12.1%) ³ . Many veteran
30	amputees, however, live for many years after their war-related traumatic lower-limb
31	amputation ³ , highlighting the importance of understanding the quality of life (QoL)
32	of survivors. Health-related quality of life (HRQoL) reflects an overall sense of well
33	being comprising the emotional, physical and social aspects of a person's life ⁴ .
34	HRQoL after amputation is therefore an important short- and long-term outcome
35	measurement for patients with lower-limb amputations ⁵ .
36	Veterans with lower-limb amputations due to war-related activities are typically
37	young men in good physical shape with a military mind-set. Therefore, the underlying
38	physical and social determinants of HRQoL may differ in veteran amputees compared
39	to civilians. Identification of specific determinants in veterans with traumatic
40	amputation(s) may provide crucial information for planning rehabilitation
41	interventions for veterans with lower-limb amputation(s). Even though HRQoL is an
42	important and relevant outcome, not much is known about the underlying physical
43	and social factors that influence HRQoL in veterans with lower-limb amputation.
44	Both vascular and traumatic lower-limb amputees showed decreased HRQoL $^{6-9}$, the
45	determining factors being: increasing age ⁹⁻¹¹ , higher unemployment ⁹ , more phantom
46	pain ^{9, 12} , more residual limb pain ⁹⁻¹¹ , higher depression levels ¹⁰ and lower physical
47	function ¹⁰⁻¹³ , but these findings are not specific for veteran amputees.

- 48 It is unknown whether the above-mentioned determinants are the same for veteran
- 49 amputees. As a result, the objective of this study was to systematically review the
- 50 literature concerning the relationship between physical and social determinants and
- 51 HRQoL in veterans with uni- or bilateral lower-limb amputation(s).

52 Methods

53

54 **Protocol and registration**

After initially screening a large volume of studies for relevance and eligibility, we registered and published a protocol for our systematic review in PROSPERO, an international database of prospectively registered systematic reviews in health and social care, no. CRD42014014437.

59

60 Eligibility criteria

61 To be included in our review studies had to examine veterans with uni- or bilateral

62 transtibial, knee joint, transfemoral, hip joint or trough pelvic bone amputation(s)¹⁴

and they had to study HRQoL factors. Randomised controlled trials, quasi-

64 randomised controlled trials, controlled trials, cohort studies, and cross-sectional

studies were accepted. No language, publication date or publication status restrictionswere imposed.

67

68 Search

69 The following databases were searched on 28 September 2014: National Library of

70 Medicine (MEDLINE), Physiotherapy Evidence Database (PEDro), Cumulative

71 Index to Nursing and Allied Health Literature (CINAHL), Excerpta Medica

72 dataBASE (EMBASE), Scopus, Cochrane Central Register of Controlled Trials,

73 Cochrane Database of Systematic Reviews and Database of Abstracts of Reviews of

- 74 Effect. The search matrix consisted of a combination of lower-limb amputation and
- veterans, with synonyms and indexed terms. Supplementary Table S1 provides an

- 4

76	example of a search matrix used in MEDLINE. Reference lists in the studies
77	identified were also screened to include any additional studies of relevance.
78	
79	Study selection
80	First, two reviewers (JC, TI) independently screened for eligible studies by title and
81	abstract. Next, the eligible studies were compared and differing opinions discussed.
82	Finally, the two reviewers independently performed the process again on full text
83	articles.
84	
85	Data collection process
86	JC and TI extracted data from the trials independently. A standardised form was used
87	to collect descriptive data on the study populations, level of amputation, inclusion
88	criteria, time since amputation and physical and social factors for HRQoL. Data and
89	figures were crosschecked.
90	
91	Assessment of risk of bias in individual studies
92	The National Institutes of Health (NIH) Quality Assessment Tool for Observational
93	Cohort and Cross-Sectional Studies was used to rate the methodological strength and
94	risk of bias for the eligible studies ¹⁵ . The two reviewers discussed any disagreements
95	concerning the study selection process, including bias, to reach consensus. A third
96	reviewer arbitrated where necessary.
97	

98 **Quality of evidence**

99 Grades of Recommendation, Assessment, Development and Evaluation (GRADE)

100 was used to assess the overall quality of evidence 16 based on the following five

_____ **5**

- 101 factors: risk of bias, indirectness, inconsistency, imprecision and publication bias. The
- 102 body of evidence identified for each outcome was rated as high, moderate, low or
- 103 very low quality.

104 **RESULTS**

105

106 **Study selection**

- 107 The literature search identified 2073 citations, 1005 of which were duplicates (figure
- 108 1). The remaining 1068 unique articles were screened for eligibility by title and
- 109 abstract, resulting in 29 potential articles for full-text review, 23 of which were
- 110 excluded. During the full-text reading an additional two studies were identified and
- 111 included after undergoing the same selection process (Dougherty 1999, 2001),
- 112 resulting in ten articles for our systematic review. Supplementary Table S2 lists the
- 113 characteristics of the studies that were excluded.
- 114
- 115 Insert figure 1 about here
- 116

117 Synthesis of results

118 A descriptive analysis of the data was undertaken due to the heterogeneity of the

119 studies. Physical and social factors that influence HRQOL will be presented

120 separately.

121

122 Characteristics of included studies

- 123 Table 1 presents the title, design, participants, inclusion criteria, primary outcome,
- 124 and main findings of the included studies.

125

126 Insert table 1 about here

127

128 Quality assessment and risk of bias in included studies

- 129 Figure 2 shows the quality assessment and risk of bias summary of the included
- 130 studies.
- 131

132 Insert figure 2 about here

133

134 Factors influencing HRQoL

135 Ten cross-sectional studies investigating determining factors for HRQoL were

136 included and divided into three groups: Studies directly investigating determinants of

137 HRQoL, studies comparing veterans to the normal population and studies comparing

- 138 veterans to other veterans.
- 139

140 Studies directly investigating determinants of HRQoL

Two studies investigated the factors that influence HROoL^{17, 18}. A significant negative 141 142 relationship was found between severity of phantom pain and three Short Form-36 143 Healthy Survey (SF-36) domains: physical functioning, general health and physical component scale ¹⁷. No R or R-squared values for the correlations of variability were 144 145 stated. Findings also showed a significant negative relationship between duration of 146 phantom pain and physical functioning, bodily pain, mental health and physical 147 component scale. Furthermore, 61% of the amputees reported vertebral column pain. 148 The presence of either vertebral pain or neck pain was significantly associated with 149 lower scores on the subscales for bodily pain, vitality, social functioning, mental health, physical component scale and mental component scale¹⁷. 150

151 Participants who suffered from thoracic pain had significantly lower scores on the

{ 8 **}**

- 152 physical function subscale, general health, vitality, social function, mental health,
- 153 physical component scale and mental component scale 17 .
- 154 In another study, poor physical HRQoL was positively associated with the presence of
- 155 phantom movement, low back pain, transfemoral amputation and a lower Barthel
- 156 Index score ¹⁸. No R or R-squared values for the correlations of variability were
- 157 stated. Amputees who had received educational services represented a higher
- 158 percentage of veterans with a good physical HRQoL (40 cases, 87.0%) compared

159 with others (66 cases, 69.5%), (p=0.02). Likewise, a good mental HRQoL was

- 160 significantly higher among veterans who received additional education (41 cases,
- 161 89.1%) compared to those who had not since the amputation (65 cases, 68.4%),
- 162 (*p*=0.01).
- 163 Studies comparing veterans to the normal population

164 Two studies compared veteran amputees to a normal population $^{19, 20}$.

165 Significant between-groups differences were found on the SF-36 subscale physical

166 functioning, with no differences observed on any other subscales ¹⁹. Forty-three

167 percent of the veterans reported still walking, 78% used a wheelchair as primary

transportation, 70% were employed and 91% were married. No determining factors

169 were reported.

170 Significant between-group differences on all SF-36 subscales were reported for

amputees with an additional major long-bone fracture of the lower extremity, burns

172 covering >20% of the body, or a chest, abdominal, face or head wound, compared to

the normal population ²⁰. All amputees with additional injury were employed, 93%

174 were married, 82% had children and 21% received psychological care. For the group

- 175 of amputees without an additional injury no significant between-group difference was
- 176 observed when compared to the normal population. Ninety-eight percent of the

{ 9 **}**

amputees without additional injury were employed and married, 84% had children

178 and 50% received psychological care. No determining factors were reported in either

- 179 of the two groups.
- 180
- 181 Studies comparing veterans to other veterans
- 182 Six studies compared veteran amputees to another veteran population $^{21-26}$.
- 183 No differences in the prevalence of depression, posttraumatic stress disorder and brain
- 184 injury were found between groups or type of limb loss, but an increasing prevalence
- 185 of chronic back pain with more limbs amputated was found 21,22 .
- 186 Patients from the Operation Iraqi Freedom/Operation Enduring Freedom (OIF/OEF)
- 187 group had a better function compared to the Vietnam War group ²². Employment was
- reported as 77% for the Vietnam War group and 60% for the OIF/OEF group. No
- 189 significant difference in HRQoL was observed between groups, but 55% of patients in
- 190 the Vietnam group rated their HRQoL as excellent/very good while 70% in the
- 191 OIF/OEF group did.
- 192 The OIF/OEF group had a higher level of function, four out of eleven reporting
- 193 participation in high-impact activities versus none in the Vietnam War group
- 194 $(p=0.018)^{23}$. QoL was similar between groups, with excellent, very good and good
- 195 QoL reported for 69% of the Vietnam War group and 73% of the OIF/OEF group
- 196 (p=0.85). Other health factors were non-significant.
- 197 In another study the OIF/OEF group reported better QoL compared to the Vietnam
- 198 War group ²⁴. Those with unilateral lower-limb loss reported their amputation had the
- highest effect on their current life (amputation impact rank = 7.5 ± 2.7 , p < 0.05)
- 200 compared with multiple limb loss (7.1 ± 3.1) .

201 For those with unilateral lower-limb loss in the Vietnam War group, the highest effect for injury to the non-amputated lower limb (mean combat injury rank = 5.4 ± 3.1)²⁴. 202 203 There was no difference in self-reported health status among veterans with lower-limb 204 amputations as 81.9% in the Vietnam War group and 84.8% in the OIF/OEF group reported excellent to good health ²⁵. In the OIF/OEF group, 52% were significantly 205 206 more likely to do low- and high-impact activities compared 20% in the Vietnam War 207 group. No difference was observed between groups regarding total pain but mental 208 health was worse in the OIF/OEF group. 209 Veterans who participated in sports had higher favourable physical subscale scores on

210 SF-36²⁶. Furthermore, veterans with more proximal amputations remained more

211 symptomatic (e.g. phantom sensation and phantom pain). Overall, 93% of veterans

were married and 90% reported back pain.

213

214 Quality of evidence and risk of bias across studies

215 As stated in the protocol and the methods section the present review aimed to include

216 randomised controlled trials, quasi-randomised controlled trials, controlled trials,

217 cohort studies and cross-sectional studies; however, due to limited evidence base only

218 cross-sectional studies were included in this systematic review.

219 GRADE was used to evaluate the quality of the body of evidence for determining

220 factors for HRQoL. Only two of the included studies were designed to directly

221 investigate factors determining HRQoL; however, when data was available, relevant

factors for HRQoL were included in the present review. All of the included studies

223 were cross sectional and the majority of them had relatively small sample sizes, which

224 means publication bias cannot be assessed or ruled out. Results varied considerably

225 concerning physical activity, some studies identifying it as a determining factor for

- HRQoL and others failing to find a relationship between the two. The authors of the
- 227 present review rate the body of evidence to be of very low quality for each of the
- 228 identified determinants for HRQoL among veterans with lower-limb amputation(s).
- 229 Table 2 presents the individual ratings in a GRADE profile.
- 230
- 231 Insert table 2 about here

232 **DISCUSSION**

233 Summary factors that influence HRQoL

234 The identified HRQoL determinants for veteran amputees included: higher physical

activity, years of education, higher phantom pain severity, duration of phantom pain,

236 level of amputation and back pain.

Higher physical activity level and years of education were positively associated with

238 better physical and mental HRQoL¹⁷.

Higher phantom pain severity is a predictor of lower scores on SF-36 subscales for

240 physical functioning, general health and for the physical component scale ¹⁷. Phantom

241 pain is often reported to be a determining factor for HRQoL and veteran amputees

242 with phantom pain have poorer HRQoL compared to civilian amputees without

243 phantom pain ^{12, 27}. For veteran amputees, the duration of phantom pain is associated

with worse physical functioning, bodily pain, mental health and a lower score on the

245 physical component scale ¹⁷. Higher proximal level of amputation is associated with

246 more bodily pain 26 and lower HRQoL $^{18, 20}$.

247 Civilians with lower-limb amputation(s) often report back pain^{17, 18, 28-30}. For veterans

248 with lower-limb amputation(s), back pain is associated with lower scores on the

subscales for bodily pain, vitality, social functioning, mental health and on the

250 physical component and mental component scales ¹⁷. Furthermore, back pain is

251 associated with poor physical $HRQoL^{18}$.

252 This systematic review only included studies that examined veterans with lower-limb

amputation(s). This group is primarily younger men in relatively good physical shape

- before the amputation. With this in mind, the authors did not hypothesise that a
- 255 civilian population necessarily would have the same determining factors for HRQoL
- as veterans. Nevertheless, many of the factors identified in this present review were

257 not unique to the veteran amputee as they were likewise reported among civilian 258 amputees. These more general determinants include: age, number of comorbidities, 259 level of amputation, time since amputation, residual stump pain, phantom limb pain, social support, employment status and depressive symptoms $^{9, 10}$. 260 261 It was not possible to state how much of the variation in HRQoL was due to the identified factors. Neither R nor R^2 was reported in the studies included in the present 262 263 review. For civilian amputees the eight known determinants: age, time since 264 amputation, phantom limb pain, employment status, use of prosthesis, comorbidities, 265 use of assistive devices and residual limb pain explained up to 50.8% of the variance observed in physical HRQoL⁹. Depression in civilian patients accounted for 30% of 266 267 the variance observed in HRQoL, with six other factors accounting for an additional 18% of the observed variance 10 . 268

269 Due to poor reporting in the ten eligible studies, this review was unable confidently 270 quantify the extent of contribution for most of the identified factors for veterans. 271 Generally, the included studies had small sample sizes, some did not provide long-272 term outcomes and all of them showed shortcomings in the methodological quality, 273 not to mention a medium to high risk of bias. Based on GRADE, the quality of 274 evidence for each of the identified determinants is very low due to the study design, indirectness of the research question in some of the studies, the inconsistency of 275 276 findings between studies and due to the probability of publication bias, table 2. 277 Notwithstanding the above findings, the very low grade reflects that the authors 278 suspect that the determinants identified in the studies may vary substantially from the 279 ones identified in the current review. Good methodological studies with larger sample 280 sizes are urgently needed to better understand the factors that influence HRQoL in 281 veterans with lower-limb amputation.

282 Limitations

- 283 The findings in this review are limited to veterans with traumatic lower-limb loss. The
- review included studies that did not primarily focus on factors that influence HRQoL.
- As a result, some factors that affect HRQoL may have been ignored and data
- unreported in those articles. Because the total body of evidence was not very large,
- 287 we nonetheless included these studies to obtain the data that was available on the
- 288 reported determinants
- 289 Two of the studies in the present review were conducted over ten years ago and may
- 290 not reflect modern service provision and outcomes. In recent years prosthetics have
- 291 improved, which has possibly had an impact on HRQoL.
- 292 Used to assess the quality and risk of bias in the studies in this review, the NIH
- 293 Quality Assessment Tool for Observational Cohort and Cross-Sectional Studies is
- based on a subjective assessment but bolstered by NIH guidelines and supplemented
- by an evaluation based on consensus.

296 CONCLUSIONS

297 Implications for practice

- 298 This systematic review identified physical activity level, sport participation, level of
- amputation, back pain, years of education, as well as duration and severity of
- 300 phantom pain as determining factors for HRQoL among veterans with lower-limb
- 301 amputation. When considering interventions aimed at improving HRQoL, clinical and
- 302 rehabilitation teams are well placed to promote physical activity and sport but should
- 303 also take into account the level of amputation, the extent of back pain and the severity
- 304 of phantom pain.
- 305

306 Insert Implication for Rehabilitation Box about here

307

308 Implications for research

309 A key recommendation from this review is that HRQoL studies involving veterans

310 should adopt a higher level of reporting for correlation and regression analyses.

311 Systematic reviews are only as good as the data they collate and the study types they

312 include, which suggest that more high quality research designs, interventions and

313 complex statistical analyses are warranted to identify which physical and social

314 factors influence HRQoL.

315 Future research

316 As a result of the involvement in Iraq and Afghanistan, health care systems are facing

317 long-term future challenges in caring for veterans ³¹. To manage these challenges,

318 there is an urgent need to identify and study the factors that influence HRQoL to be

- 319 able to meet veterans' rehabilitation needs and to prevent the increased mortality rate
- 320 among veterans due to cardiovascular disease ^{3, 32}. For example, clinical trials can

- 321 play a part in determining the effectiveness of interventions aimed at improving
- 322 HRQoL, while registry and audit data sets can help determine if routine practices in
- 323 amputee centres produce the desired effects.

Declaration of interest

- 325 The authors declare no conflict of interest. This study was funded by the Danish
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484 TABLE AND FIGURE CAPTIONS

- 485 **Figure 1:** Flowchart showing systematic literature search, screening of studies, full
- 486 text reading, and studies included in review with number and reasons of study
- 487 exclusion at each stage.
- 488 **Figure 2:** Quality assessment and risk of bias summary based on the "Quality
- 489 Assessment Tool for Observational Cohort and Cross-Sectional Studies": review
- 490 authors' judgements about quality assessment and risk of bias for each included study.
- 491 **Table 1:** Characteristics of included studies
- 492 **Table 2:** GRADE profile for Health Related Quality of Life determinants