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1 Sarcosine Oxidase Encapsulated Polyvinyl alcohol-Silica-AuNP Hybrid Films for Sarcosine Sensing
2 Electrochemical Bioelectrode

3
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11 The amperometric response of sarcosine was measured in aqueous media containing ferrocene
12 monocarboxylic acid using the redox enzyme sarcosine oxidase (SOD) immobilized in a composite material
13 based on polyvinyl alcohol (PVA) and partially prehydrolyzed tetraethyl orthosilicate (pphTEOS) at the surface
14 of “in-house” fabricated graphite electrodes. For comparison, separate electrodes consisting of silver and
15 gold nanoparticles (Ag/AuNPs) embedded in the PVA-pphTEOS matrix was prepared employing a novel sol-
16 gel process based on the in situ chemical reduction of Ag or Au ions using PVA both, as a reducing agent and
17 stabilizer. The analytical performance of the enzyme electrodes was studied in terms of linear ranges,
18 sensitivities, response times, limits of detection, reproducibility and stability.

19

20 Untreated or uncontrolled type II diabetes can lead to serious health complications such as diabetic
21 nephropathy, cardiovascular disease, stroke and blindness. According to the National Health Service (U.K.),
22 diabetic nephropathy is the most common cause of chronic kidney disease with half of all cases caused by
23 diabetes and just over a quarter of cases due to high blood pressure. When kidney disease is caused by
24 diabetes the problems advance more quickly and progress more rapidly than other causes such as high blood
25 pressure or ageing of the kidneys. Diabetic nephropathy occurs from the damage of the nephrons in the
26 kidneys from the build up of blood glucose, which in turn, affects the kidneys to filter out waste products and
27 fluids. Creatinine is naturally produced by the body through the metabolism of creatine phosphate in muscle
28 and is filtered from the bloodstream by the kidneys in relatively constant amounts every day. Plasma
29 concentration of the waste substance creatinine is a reasonable indicator of renal function.

30 A review by Lad et al¹ shows that electrochemical biosensors for determining creatinine in blood
31 serum is essential for the point of care treatment and management of the kidney malfunction condition.
32 Most potentiometric sensors use gas-selective or pH-sensitive electrodes covered with an immobilized
33 creatinine iminohydrolase or creatinine deiminase membrane that catalyses the biochemical reaction. The
34 majority of amperometric biosensors rely on the three-enzyme method first described by Tsuchida and
35 Yoda.² This involves the hydrolysis of creatinine using creatininase (CA), creatinase (CI) and sarcosine oxidase
36 (SOD) in a three-stage enzyme-catalyzed reaction sequence. The last step of the sequence involves the flavin-
37 containing enzyme SOD, which reacts as follows:

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45 In the above reactions, FAD⁺ and FADH₂ are the oxidized and reduced forms of flavin adenine dinucleotide
46 respectively. Oxidation and reduction of the FAD moiety can be achieved using O₂ as in the first-generation
47 biosensor; an artificial redox mediator molecule as in the second-generation biosensor; or mediatorless
48 approach as in the third-generation biosensor. The most popular approach based on the above scheme is to
49 monitor the generation of H₂O₂. This mode of operation involves regeneration of O₂ from the electrochemical
50 oxidation of H₂O₂, which then completes with the enzyme cycle (reaction 3). The major problem with these
51 biosensors is their dependency on the concentration of dissolved oxygen. Alternatively, an electron mediator
52 (Med) could be used in place of O₂ to regenerate the enzyme SOD(FAD⁺).^{3,4} Third-generation biosensors have

53 the enzymes' active site in direct contact with the electrode to ensure direct electron transfer and commonly
54 achieved with the use of nanoparticles. To date, many efforts have been made to investigate the direct
55 electrochemistry of other redox enzymes however; to the best of our knowledge the direct electrochemistry
56 of SOD has only recently been reported.⁵ Zhou et al immobilized SOD on graphene, chitosan and silver
57 nanoparticles modified glassy carbon to study the direct electrochemistry of the enzyme.

58 Recently our group presented the detailed characterization for the immobilization of glucose oxidase
59 (GOD) within PVA-TEOS matrix at an "in-house" fabricated graphite electrode for the determination of
60 glucose.⁶ Enzyme electrodes were also prepared using NPs embedded in the PVA-TEOS matrix prepared.
61 From our preliminary work we found that akin to GOD, SOD was chemically stable and was not susceptible
62 to hydrolysis reaction upon addition to the PVA-TEOS mixture prior to immobilization. Therefore, in this
63 communication we present a logical extension to the previously conducted research work⁶ that involves the
64 immobilization of SOD within PVA-TEOS matrix at the surface of an "in-house" fabricated graphite electrode
65 for the determination of sarcosine. We also prepared Ag and AuNPs embedded in the PVA-TEOS matrix
66 employing a sol-gel process based on the in situ reduction of Ag or Au ions using PVA as a reducing agent as
67 well as a nanoparticle suspension stabilizer.

70 Experimental

71
72 *Reagents and materials.* – Polyvinyl alcohol (PVA, 87-89% hydrolyzed, average MW = 13000-23000,
73 Sigma-Aldrich), tetraethyl orthosilicate (TEOS, ≥99.0%, Aldrich), nitric acid (HNO₃, 2.0 M, Riedel-de Haën),
74 silver nitrate (AgNO₃, AcrosOrganics), auric chloride (HAuCl₄, 99.99%, Aldrich), graphite rod (99.99%,
75 Goodfellows), sarcosine oxidase (SOD, from *Bacillus sp.*, 49 U mg⁻¹, Sigma-Aldrich), sarcosine (Sigma-Aldrich),
76 ferrocene monocarboxylic acid (FMCA, ≥97.0%, Fluka) and phosphate buffer solution (PBS, 1.0 M, pH 7.0,
77 Sigma-Aldrich) were used in respective experiments as supplied by the supplier without any further
78 purification. Deionized water (Milli-Q) was used in all experiments.

79 All glassware was cleaned in freshly prepared HNO₃/HCl (1:3) solution, thoroughly rinsed with
80 deionized water and dried before use.

81
82 *Preparation of PVA-Ag/AuNPs and pphTEOS.* – In a typical synthesis, PVA solution (5%) was prepared
83 by dissolving 5.0 g of PVA in 95 mL of water under magnetic stirring and heating to 80 °C for 30 min in order
84 to obtain a colourless PVA solution.

85 To obtain AgNPs, AgNO₃ (500 mg dissolved in 0.5 mL water) was added to 25 mL PVA (5%), stirred
86 and heated for 1 hr at 100 °C under reflux. The colour of the solution in the resulting sample deepens
87 gradually from an achromatic colour in the beginning to a deep yellow-brownish equilibrium colour. To retain
88 a stable Ag colloid structure consisting of AgNPs capped in PVA molecules, the samples were cooled to 20-
89 25 °C temperature just after the reaction and before employing for further experimentation. For PVA-AuNPs
90 composites, HAuCl₄ (10 mg dissolved in 0.5 mL water) was added to 25 mL PVA (5%), stirred and heated for
91 1 hr at 100 °C under reflux. The pale yellow colour in the beginning slowly changes to purple before settling
92 at a deep red colour. All composite solutions were stored in dark bottles at 4 °C.

93 A homogenous standard of pphTEOS was prepared under magnetic stirring of 1 mL TEOS, 1mL water
94 and 0.1 mL of HNO₃ in a small vial at room temperature for 60 min. Next, an aliquot of pphTEOS (0.3 mL) and
95 5% PVA (1 mL) solutions were mixed thoroughly under magnetic stirring. The solution mixture was freshly
96 prepared prior to the fabrication of every enzyme electrode. Precalibrated micropipettes were used for
97 accurately measuring the reagent solutions during the synthesis process.

98
99 *Preparation of the graphite electrode and immobilization of sarcosine oxidase.* – The "in-house"
100 fabricated graphite rod electrode surfaces (area = 0.196 cm²) were treated on (1) p660 then (2) p1200 grade
101 of emery paper, and brought to an almost mirror like finish by polishing on (3) 80 g m⁻² paper. The graphite
102 electrodes were reused after polishing through steps (1)–(3) as described. Prior to any modifications, the
103 electrodes were cycled between -0.5 and +1.2 V (vs. Ag/AgCl) at 50 mV s⁻¹ in 0.1 M PBS (pH 7.0) until a stable
104 profile was obtained.

105 A 20 μL aliquot solution of PVA-pphTEOS (volume ratio 1:0.3 respectively) and a 5 μL aliquot of SOD
106 ($10 \text{ U } \mu\text{L}^{-1}$) was mixed in an ultrasonic bath for 1 min to accelerate the dispersion of the enzyme in the
107 pphTEOS and PVA mixture. Subsequently, a 5 μL sample of this mixture was spread over the surface of the
108 graphite electrode followed by drying in air for 24 hr. The resulting electrodes were denoted as PVA-
109 pphTEOS-SOD. Alternatively, Ag or AuNPs electrodes (denoted as PVA-Ag/AuNPs-pphTEOS-SOD) were
110 fabricated using a similar method except that it included PVA containing Ag or AuNPs respectively. TEM
111 images of the NPs are shown in Figure 1. All the enzyme electrodes were stored at 4 °C when not in use.

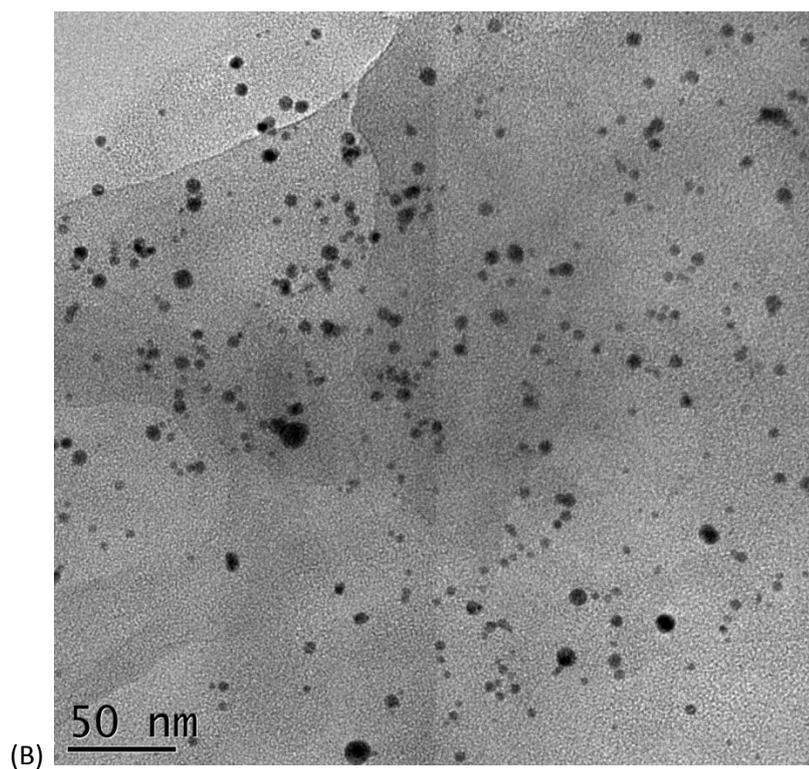
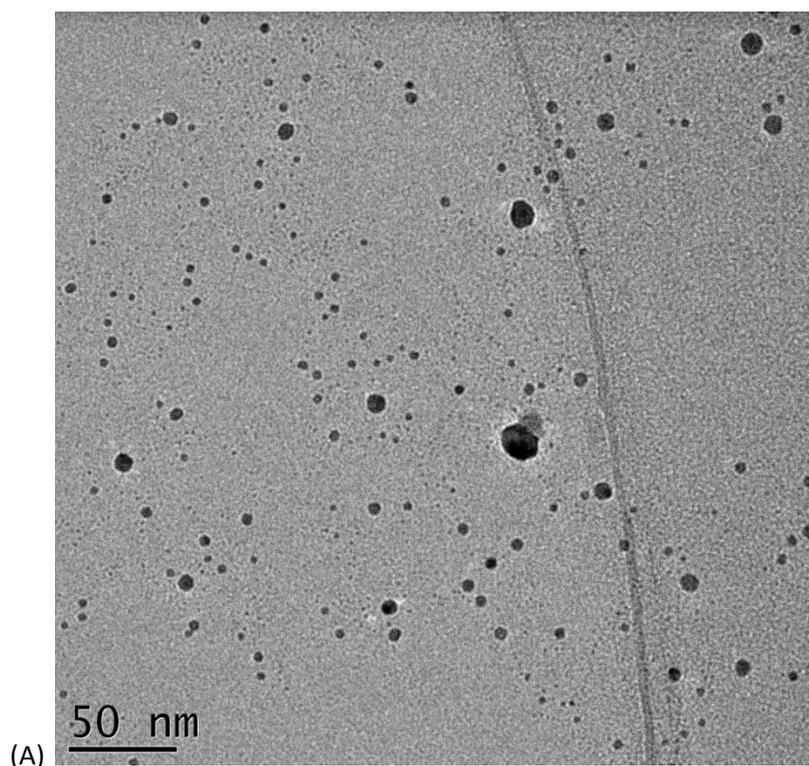


Figure 1A and Figure 1B

113
114 Figure 1. TEM images of (A) PVA-Ag-pphTEOS and (B) PVA-Au-pphTEOS films.
115

116 *Apparatus and electrochemical measurements.* – All electrochemical experiments were performed
117 with the computer controlled SI1287 Electrochemical Interface (Solartron, U.K.) at 25 °C with a conventional
118 50 mL three-electrode system comprising of a platinum wire auxiliary (Model XM110, Radiometer
119 Analytical), Ag/AgCl (3.0 M KCl) reference (Model REF321, Radiometer Analytical) and “in-house” fabricated

120 graphite rod as the working or sensing electrodes. The amperometric and cyclic voltammetric detection of
121 sarcosine were performed in nitrogen purged solutions of PBS (0.2 M) in the presence or absence of FMCA
122 (0.8 mM). A magnetic stirrer and stirrer bar provided the convective transport during the *current-time (I-t)*
123 amperometric studies.

124

125

126 **Results and Discussion**

127

128 *Electrochemical characterization of the PVA-pphTEOS-SOD composite films: mechanism of sarcosine*
129 *determination and influence of buffer pH.* – Figure 2 (curve *a*) shows the cyclic voltammogram of the PVA-
130 pphTEOS-SOD graphite electrode in 0.2 M PBS (pH 7.0) where no visible reaction is taking place. However, in
131 the presence of 0.8 mM FMCA, a pair of reversible cyclic voltammetric peaks appeared (curve *b*). These peaks
132 are assigned to one electron redox reaction of FMCA⁺/FMCA couple. Upon introducing sarcosine to the buffer
133 solution containing FMCA, well-defined catalytic reaction waves are observed (curves *c-e*).

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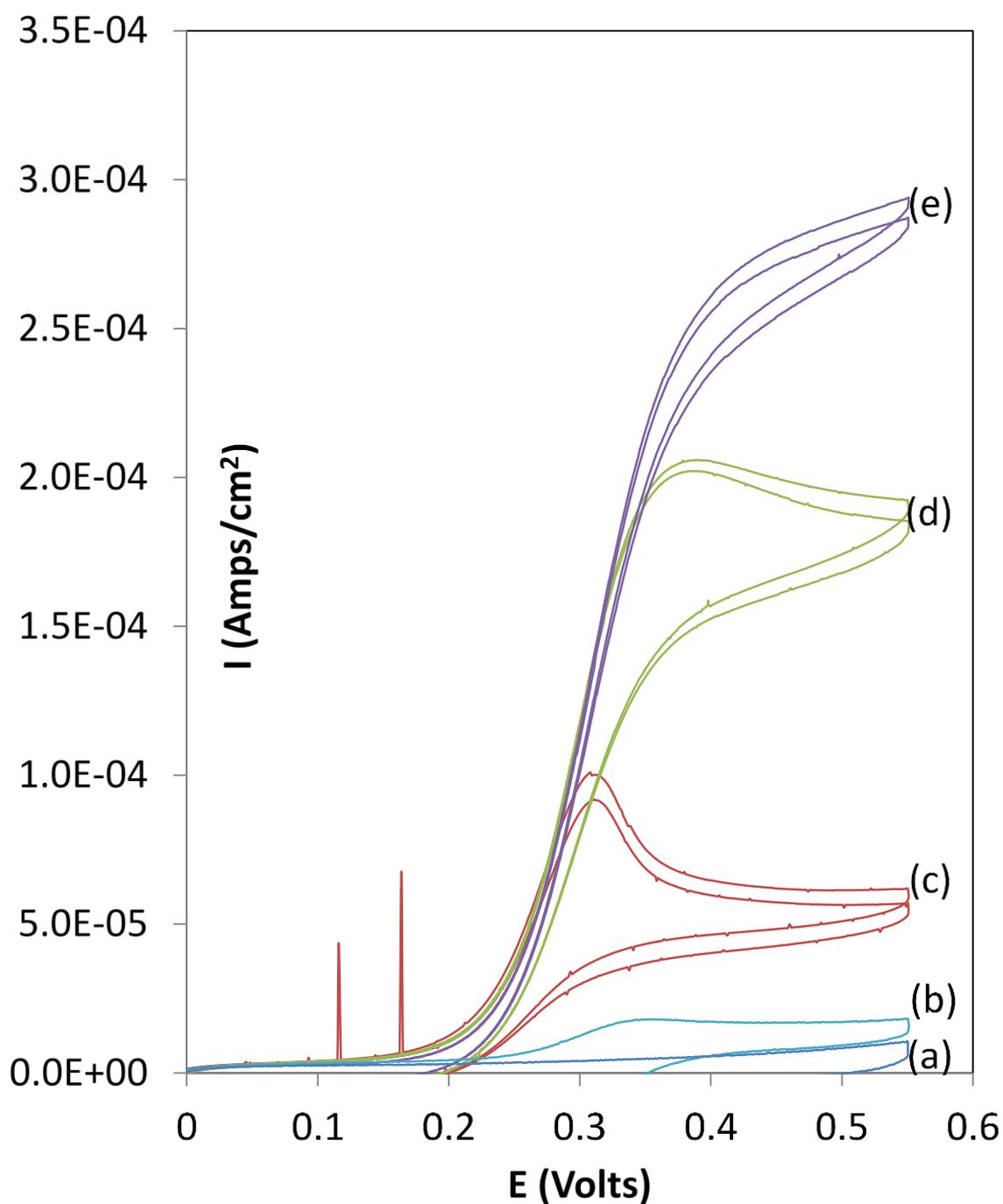


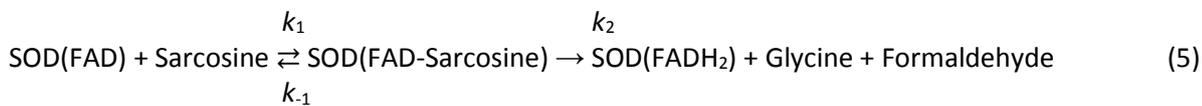
Figure 2

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Figure 2. Cyclic voltammograms of the PVA-pphTEOS-SOD graphite electrode in PBS (0.2 M, pH 8.0) (a) in the absence (b) and presence of FMCA (0.8 mM). Curves *c*, *d* and *e* are electrocatalytic responses to the oxidation of sarcosine in PBS (0.2 M, pH 8.0) containing 2.5 (*c*), 10.0 (*d*) and 20.0 mM (*e*) sarcosine, respectively, in the presence of FMCA (0.8 mM). Scan rate of 5 mV s⁻¹.

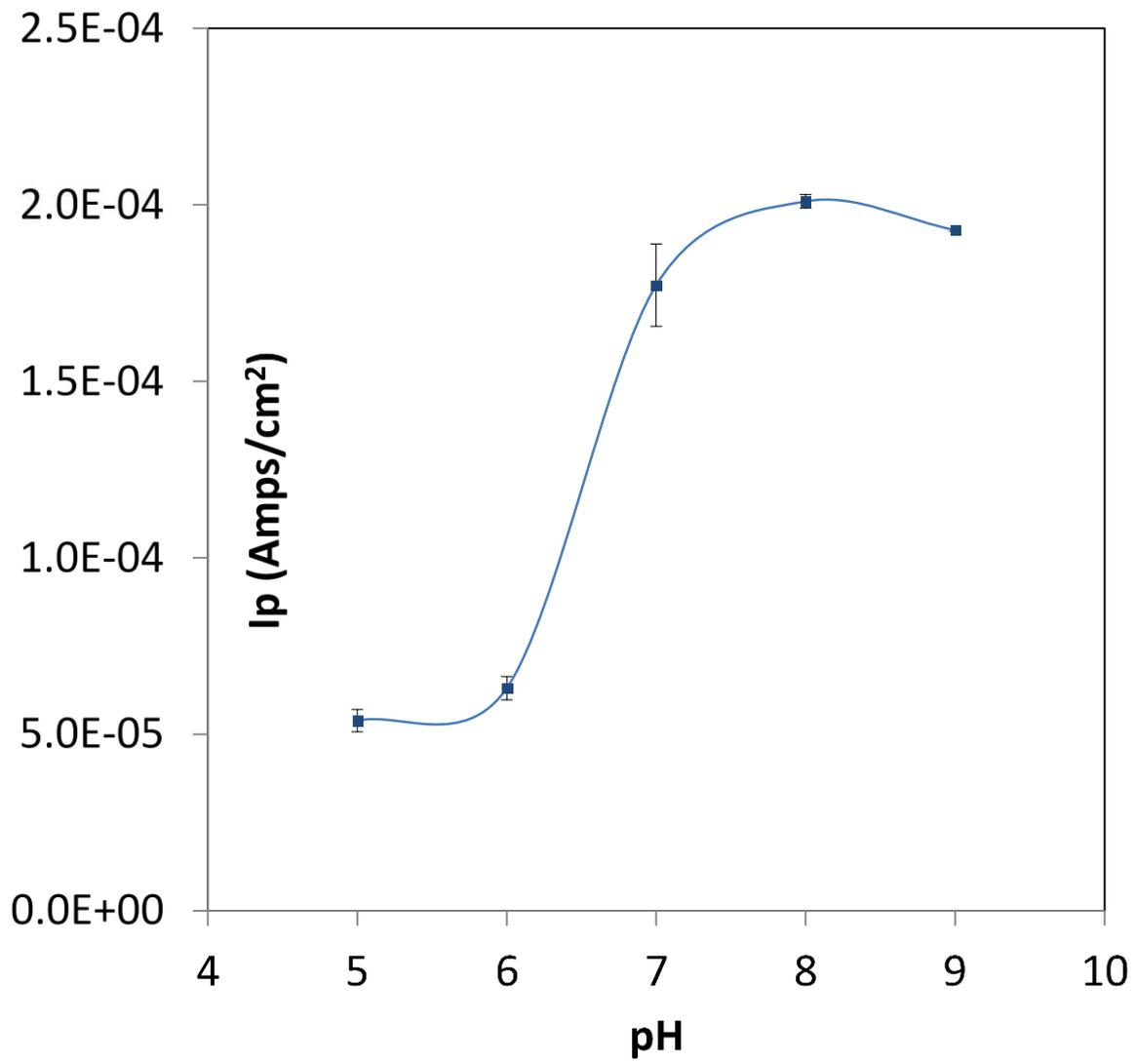
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The electrocatalytic peak current increases by increasing the concentration of sarcosine in buffer solution. These results indicate that the immobilized SOD in PVA-pphTEOS retained its electrocatalytic activity for the oxidation of sarcosine. The reaction at the working or sensing electrode can be described by the following mechanism.



where SOD(FAD and FADH₂) represent the oxidized and reduced forms of sarcosine oxidase, and FMCA/FMCA⁺ are the reduced and oxidized forms of ferrocene monocarboxylic acid mediator.

Buffer solutions at various pH values were tested to investigate the effect of varying electrolyte pH varied on the response of the PVA-pphTEOS-SOD electrode between 5.0 and 9.0. Figure 3 shows that the maximum response was obtained at pH 8.0. Therefore pH 8.0 was selected as the optimum pH and all measurements were performed at this pH. This value is in good agreement with most of the data reported in the literature⁷⁻¹¹ suggesting that the host material of PVA-pphTEOS composite film did not alter the structure of SOD thereby providing an effective sensing environment similar to that of native SOD.



163
164 Figure 3. The effect of buffer pH on current response of the PVA-pphTEOS-SOD electrode.
165

166 *Performance characteristics of the enzyme electrodes.* – The amperometric *I-t* responses of the PVA-
167 pphTEOS-SOD and PVA-Ag/Au-pphTEOS-SOD electrodes under optimized experimental conditions to
168 successive additions of 0.5 mM sarcosine is shown in Figure 4A. It is clear that relatively rapid and sensitive
169 responses to sarcosine could be achieved for all electrodes apart from those containing AgNPs similar to what
170 we previously reported for immobilized GOD.⁶ Calibration curves for the electrodes over the sarcosine
171 concentration range of 0-9 mM are presented in Figure 4B. The average linear range for the electrode of PVA-
172 pphTEOS-SOD was from 1.5 to 6 mM. The respective equation of calibration is $I_p = 3.3345 \times 10^{-5} C_{\text{sarcosine}} +$
173 4.6578×10^{-6} with a correlation coefficient of 0.992. The slopes in each case represent the current sensitivity
174 to sarcosine in Amps $\text{mM}^{-1} \text{cm}^{-2}$. The limit of detection (LOD) for the enzyme electrode was estimated to be
175 0.53 mM at a signal-to-noise ratio (*S/N*) of 3.

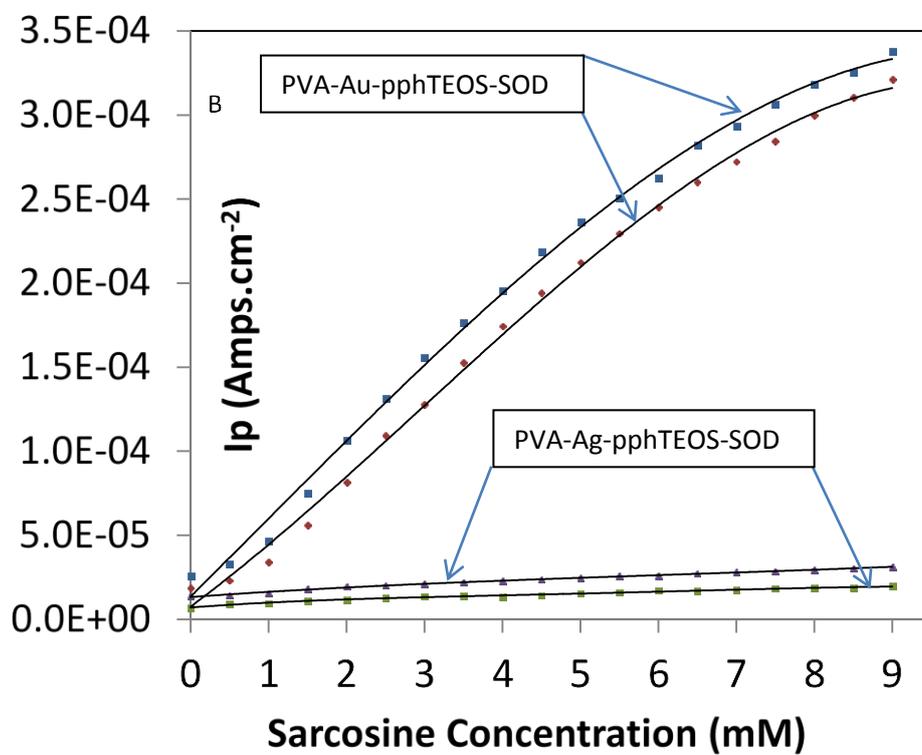
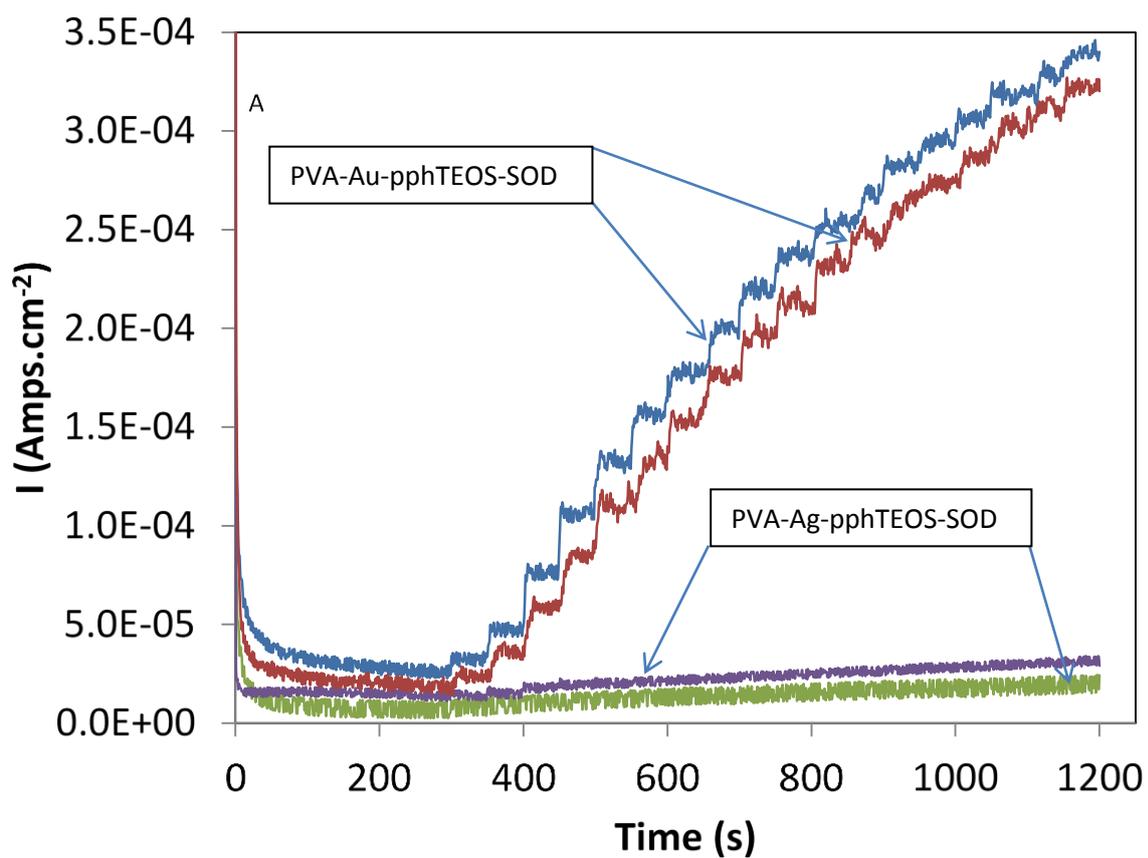


Figure 4A and Figure 4B

177 Figure 4. *Current-time* curves obtained at the PVA-Ag-pphTEOS-SOD (A, lower plots), PVA-Au-pphTEOS-SOD
 178 (A, upper plots) on graphite electrodes for successive additions of 0.5 mM sarcosine. Conditions: 0.2 M pH
 179 8.0 PBS in the presence of 0.8 mM FMCA; applied potential, 0.55 V (vs. Ag/AgCl). Plot B show the calibration
 180 curves of the enzyme electrode as a function of sarcosine concentrations.

181
 182 The PVA-pphTEOS-SOD-graphite electrode reached 95% of the steady-state current within an
 183 average of 17 s as calculated from each step in the linear region. The slightly high response time can be
 184 partially attributed to the loss in enzyme loading due to the precipitation during the preparation of the
 185 enzyme-PVA-pphTEOS layer on graphite electrode surface as well as diffusional constraints imposed on the
 186 substrate on approach to the active sites of enzyme.
 187

Table I. Summary of the performance characteristics at the amperometric enzyme electrodes.

Parameters	PVA-pphTEOS-SOD	PVA-Au-pphTEOS-SOD
Linear Range (mM)	1.5-6	0.5-7.5
Sensitivity (Amps cm⁻² mM⁻¹)	3.3345 x 10 ⁻⁵	4.0131 x 10 ⁻⁵
R²	0.992	0.984
I_{max} (μA)	46.6	66.0
Response Time (T_{95%}, 0.5 → 1 mM, s)	17	8
K_m^{app} (mM)	22.3	16.5
LOD (S/N=3, mM)	0.53	0.5
Reproducibility (RSD)	0.84%	-

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 190
 191 Figure 4A shows the amperometric *I-t* responses of the PVA-Ag-pphTEOS-SOD and PVA-Au-pphTEOS-
 192 SOD electrodes, where the latter electrode displayed nearly linear, reproducible and highly sensitive
 193 response to changes in sarcosine concentration from 0.5-7.5 mM. The respective equation of calibration for
 194 PVA-Au-pphTEOS-SOD is $I_p = 4.0131 \times 10^{-5} C_{\text{sarcosine}} + 2.4294 \times 10^{-5}$ with correlation coefficient of 0.984, which
 195 suggests that in the presence of AuNPs the sensitivity is improved by 20%. The LOD for the enzyme electrode
 196 was estimated to be 0.5 mM at a signal to noise ratio (*S/N*) of 3, only slightly lower than electrodes without
 197 the AuNPs.

198 The PVA-Au-pphTEOS-SOD graphite electrodes reached 95% of the steady-state current within an
 199 average of 8 s, as calculated from each step in the linear region shown in Figure 4A. The presence of AuNPs
 200 greatly improved the response time, as well as the activity of SOD. The micro-environment and stability of
 201 the enzyme was found to be clearly improved in the presence of the NPs.

202 The PVA-Ag/Au-pphTEOS-SOD graphite electrodes were also tested under cyclic voltammetric
 203 conditions in PBS over the apparent direct electron transfer potential range for SOD. Although the sarcosine
 204 detection characteristics were improved with the presence of AuNPs, no response was seen to signify direct

205 electron transfer from SOD as others have reported for other FAD containing enzymes.¹²⁻¹⁸ As previously
206 reported, the improvement in response can be a result from the large active surface areas and the excellent
207 electron transfer ability of the AuNPs, but not great enough to penetrate the enzymes' protein shell and
208 develop direct connectivity. The presence of AgNPs showed an extremely degenerative response to
209 sarcosine. This was suspected to be caused by the heavy metal Ag⁺ ions unreacted in the silver colloid.¹⁹

210 The apparent Michaelis-Menten constant (K_m^{app}) can be calculated from the electrochemical version
211 of the Linweaver-Burk equation:

$$212 \quad 1/I_{ss} = 1/I_{max} + K_m^{app} / I_{max} \times C \quad (7)$$

213 where I_{ss} is the steady-state current after the addition of substrate, I_{max} is the maximum current under
214 saturated substrate conditions, C is the concentration of substrate. The K_m^{app} value for the PVA-pphTEOS-SOD
215 and PVA-Au-pphTEOS-SOD electrodes was found to be 22.3 mM and 16.5 mM respectively, which is relatively
216 high compared to that of the native enzyme.^{7, 8} In addition, this is also high compared to other research
217 reports in this area.^{5, 10, 11} This can be attributed to the highly robust nature of the interlinked PVA and
218 pphTEOS matrix, which imposes diffusional constraints on the substrate to the enzyme active site. In addition,
219 the slight precipitation occurring in the enzyme mixture suggests that the enzyme could have been
220 moderately denatured resulting in lower activity and low affinity towards sarcosine. However, in the
221 presence of AuNPs, the value of K_m^{app} is much lower signifying an improvement in the microenvironment of
222 the enzyme and conductivity within the PVA-pphTEOS matrix.

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226 *Reproducibility and Stability of the Enzyme Electrode.* – Several calibration curves were plotted
227 using independently prepared PVA-pphTEOS-SOD electrodes. The reproducibility (RSD) of their sensitivities
228 was found to be 0.84%. This indicated that the electrodes were extremely reproducible in terms of multiple
229 electrode preparations and testing from time to time during the laboratory measurements.

230 The immobilization of SOD by the entrapment in a PVA-pphTEOS composite film was quite stable.
231 When the PVA-pphTEOS-SOD graphite electrode was scanned continuously in a solution of sarcosine in the
232 presence of FMCA mediator, the voltammetric response decreased slowly with a slight peak at around the
233 20th cycle where the matrix was assumed to have swollen leading to higher accessibility of the substrate
234 before slow leaching of SOD. The peak current remained around 82% of the initial response after 50 cycles.
235 This clearly suggested that the sensing electrode remains highly active for prolonged periods in a multiple
236 test campaign. Table I shows a summary of the key characteristics of the PVA-pphTEOS-SOD and PVA-Au-
237 pphTEOS-SOD graphite electrode derived from the data presented in Figure 4A and 4B.

238 **Conclusion**

239
240
241 In this study, SOD was successfully immobilized in PVA and pphTEOS matrix, in the absence and in
242 the presence of Ag or AuNPs, on a graphite electrode and the performance characteristics of the resulting
243 enzyme electrodes investigated. The PVA-pphTEOS-SOD enzyme electrode presented a good linear range,
244 sensitivity and LOD for the detection of sarcosine. In addition, it also showed excellent reproducibility. Where
245 AgNPs created a detrimental effect on the determination of sarcosine, AuNPs however, led to significant
246 improvements in the response times and K_m^{app} value. The linear range was also extended and sensitivity
247 increased. Incorporation of AuNPs within the PVA-pphTEOS created a more favourable environment for SOD
248 thereby increasing its affinity towards sarcosine and activity. The successful immobilization of SOD acts as a
249 model to what could potentially be a creatinine based biosensor as most of the fundamental chemistry of
250 the three enzyme system happens at the redox site of SOD which will be demonstrated in our future research
251 publication.

252 **Acknowledgements**

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