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Second-harmonic generation and the influence of flexoelectricity in the nematic phases of bent-core oxadiazoles

J. Addis^{a,b}, S. Kaur^{a,c}, D.J. Binks^a, M.R. Dickinson^a, C. Greco^d, A. Ferrarini^d, V. Görtz^{e,f}, J.W. Goodby^e and H.F. Gleeson^{a,c}

^aSchool of Physics and Astronomy, University of Manchester, Manchester, United Kingdom; ^bInstitute of Materials Research, University of Leeds, Leeds, United Kingdom; ^cSchool of Physics and Astronomy, University of Leeds, Leeds, United Kingdom; ^dDepartment of Chemical Sciences, University of Padua, Padua I, Italy; ^eDepartment of Chemistry, University of York, York, United Kingdom; ^fDepartment of Chemistry, Lancaster University, Lancaster, United Kingdom

ABSTRACT

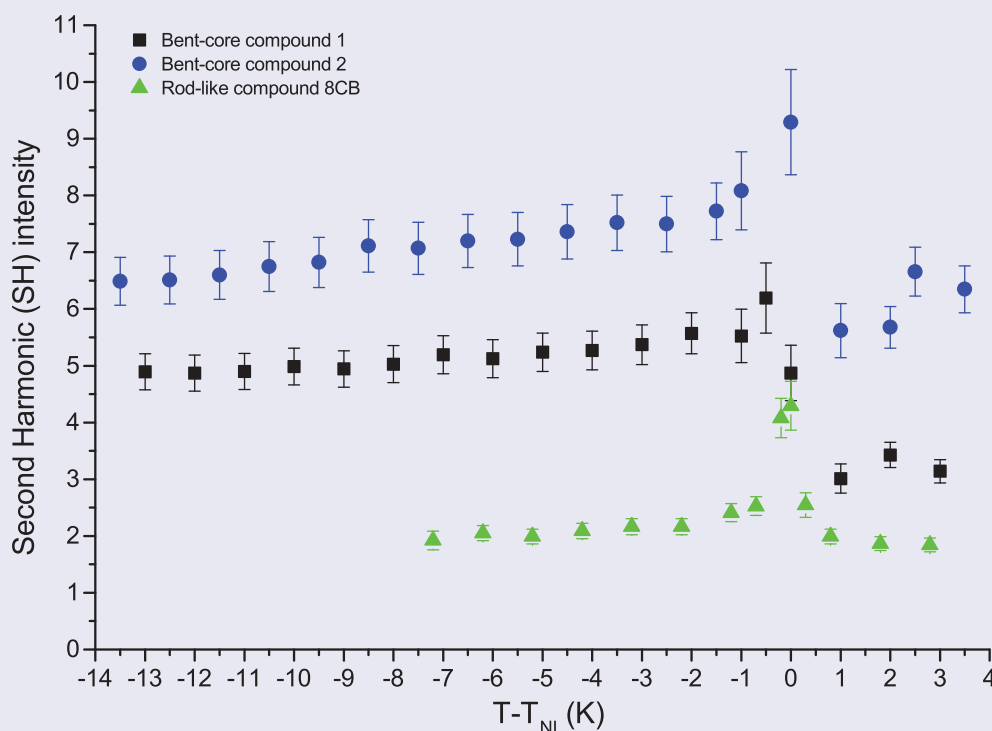
Second-harmonic generation (SHG) in the nematic phase of bent-core oxadiazole-based liquid crystals (LCs) was studied and compared to that for the rod-like compound 4-cyano-4'-n-octylbiphenyl (8CB). Weak, isotropically scattered second-harmonic (SH) light was observed for all materials, consistent with SHG by nematic director fluctuations. The SH intensity produced by the bent-core materials was found to be up to ~ 3.4 times that of 8CB. We discuss this result in terms of the dependence of SH intensity on temperature, elastic constants and flexoelectric coefficients. We have calculated the latter by using a molecular field approach with atomistic modelling, thus demonstrating how molecular parameters contribute to the flexoelectric coefficients and illustrating the potential of this method for predicting the flexoelectric behaviour of bent-core LCs. We show that the increased SH signal in the bent-core compounds is partly due to their nematic phases being at a much higher temperature, and also potentially due to them having greater flexoelectric coefficients, up to ~ 1.5 times those of 8CB. These estimates are consistent with reports of increased flexoelectric coefficients in bent-core compounds in comparison to rod-like compounds.

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CONTACT J. Addis  j.g.addis@leeds.ac.uk

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1. Introduction

In recent years, bent-core molecules have emerged as an exciting class of liquid crystals (LCs). Although new LC phases such as biaxial nematic [1–3] and polar nematic phases [4] have been proposed, the most interesting physical properties found thus far are large flexoelectricity,[5] unusual elastic constants,[6,7] large dielectric permittivity,[8] anomalous electroconvection [9,10] and high ordinary refractive index,[7] which have been reported for nonpolar uniaxial nematic LC phases formed from bent-core materials.

However, one area where little work has been done in bent-core materials is that of second-harmonic generation (SHG). This is perhaps surprising given that the unique shape of bent-core molecules offers the possibility to generate a more intense second-harmonic (SH) signal than from rod-like molecules. First, bent-core molecules have an electron donor–acceptor system along each rod-like segment of the molecule giving them a higher hyperpolarisability than calamitic molecules.[11,12] Second, they have reportedly greater flexoelectric coefficients [5,13–15] which could be expected to lead to a greater flexoelectric polarisation and, consequently, SH signal in the nematic phase. Flexoelectric effects are predicted to be strongest for molecules asymmetric in shape and with a large permanent dipole.[16] In particular, crescent-shaped molecules with a transverse permanent dipole are expected to have large values of the bend flexoelectric coefficient, e_3 . Bent-core molecules with their transverse dipole moments provide a very good example of such molecules.

As in all LC phases the arrangement of the molecules is of paramount importance if molecular structural properties are to be translated into macroscopic effects. In this respect SHG in homogeneous uniaxial nematic phases has been shown to be a weak effect (with typical SH intensities of the order of 10^{-5} – 10^{-6} times that of quartz) due to such phases being centrosymmetric and thus having a macroscopic, second-order non-linear susceptibility, $\chi^{(2)}$ equal to zero. The weak SH intensity observed in the nematic phase arises from the local breaking of the inversion symmetry so that locally the second-order non-linear susceptibility is non-zero. The local breaking of the inversion symmetry is partly caused by surface interactions [17,18] but, in the main, by nematic director fluctuations in the bulk LC which induce a flexoelectric polarisation,[19] leading to the generation of SH light. As the flexoelectric polarisation varies in accordance with the thermal director fluctuations, the SH light is emitted omni-directionally (i.e. is scattered and is

therefore incoherent). This process by which scattered SH light is generated in the nematic phase is thus known as director fluctuation-based SH scattering.[19]

In a uniaxial nematic phase, irrespective of the shape of the constituent molecules, the same sources contribute to the SH signal generated. We would therefore not anticipate the SH intensity in a bent-core uniaxial nematic phase to be on the level of that in an inorganic material. However, from the discussion of molecular shape above, we would expect the SH intensity in a bent-core uniaxial nematic phase to be greater than in a rod-like uniaxial nematic phase.

In this paper we (1) report the SH intensity in the isotropic and nematic phase of two bent-core oxadiazole based LCs and compare it to that of the calamitic compound 4-cyano-4'-n-octylbiphenyl (8CB), thus demonstrating the predicted, enhanced SH; (2) examine the dependence of the SH signal on scattering angle; (3) explain the results in terms of the established theory of director fluctuation-based SH scattering in nematic LCs [19]; (4) employ a computational methodology based on a molecular field approach with atomistic modelling to calculate the flexoelectric coefficients for the bent-core materials; (5) predict the temperature dependence of the SH intensity by using quantities we have calculated (the flexoelectric coefficients e_1 and e_3) and measured (the order parameter S and elastic constants K_1 and K_3); and (6) examine the reasons for the extra SH signal in the bent-core materials. We believe that this approach offers a deep insight into the mechanisms associated with the generation of SH light in nematic LCs, especially those formed from molecules with a bent-core geometry, and provides a sound basis for future experiments that explore details such as the polarisation dependency of the SH signal.

2. Experimental and computational methods

The following subsections describe the LC materials, the experimental procedure for the measurement of the SH signal and the details for the calculation of the flexoelectric coefficients.

2.1 Materials

The bent-core materials used in this work have bis-(phenyl)-1,3,4-oxadiazole (ODBP) central bent units and alkoxy terminal chains of different length, as shown in Figure 1. Compound 2 differs from 1 in that it has fluoro-substituents in the outer phenylene group of one wing. Details of the synthesis are available

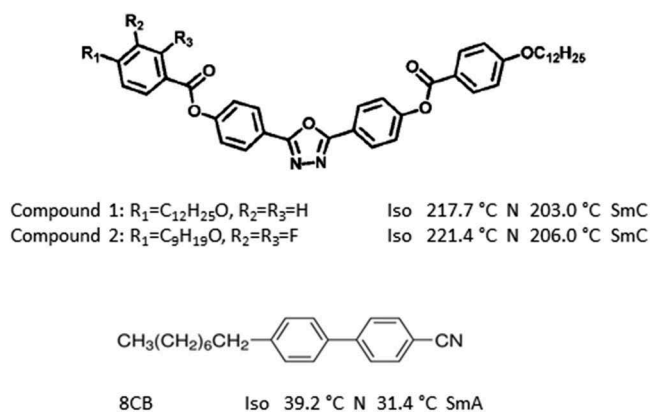


Figure 1. Molecular structures and phase transitions of bent-core compounds **1**, **2** and 8CB. The temperatures stated correspond to the midpoint of the transition. Phase transitions other than that directly below the nematic phase are omitted.

in.[20,21] The nematic phases of all the materials form at high temperatures of at least 170°C.[20–22] The temperatures for the phase transitions were measured by observation of the LC using polarising microscopy. The temperatures for compounds **1** and **2** were previously reported in Ref.[23] Both compounds exhibit a biphasic region of ~3 K at the nematic to isotropic and smectic C to nematic transitions.

2.2 Measurement of the SH signal

All measurements were made on commercial devices consisting of glass substrates coated with indium tin oxide (ITO) and the polyimide, SE130, the latter providing planar alignment and separated by a 5 μm -thick LC

layer. These were the only commercial cells available that provided alignment at the high temperatures at which the nematic phase forms and so were the ones chosen, even though the ITO electrodes were not, in fact, used in the experiment. Using polarising microscopy, high-quality, monodomain alignment in the nematic phase of all the materials was confirmed by the absence of defects.

The experimental set-up for the measurement of SH light from LC samples is shown in Figure 2. The devices were held in a Linkam THMS600 hot stage. Temperature control was achieved by a Linkam TMS 94 controller, which provides a relative accuracy of $\pm 0.1^\circ\text{C}$. Isotropic and nematic LCs scatter light.[24] Hence the disc-shaped heating element of the hot stage was cut to a cone of half-angle $\sim 25^\circ$ to allow the scattered SH light to propagate out of the back of the hot stage towards the detector. The hot stage was mounted on a custom-built rotation stage described previously.[25,26] The rotation stage allows the sample to be tilted relative to the direction of propagation of the beam, in order to change the angle of incidence on the sample, and rotated about the direction of propagation of the beam, in order to orient the nematic director relative to the direction of polarisation of the fundamental beam. A pulsed, Q-switched Nd:YAG laser (Spectron Lasers, SL804 1615) was used to provide a linearly polarised, near infrared (NIR) fundamental beam of wavelength 1064 nm and diameter ~ 1.8 mm. Pulses of duration 34 ns (full-width, half-maximum (FWHM)) and of energy 4 mJ were emitted at a repetition rate of 10 Hz. A half-wave plate was used to control the polarisation of the fundamental beam incident on the sample. The beam diameter was

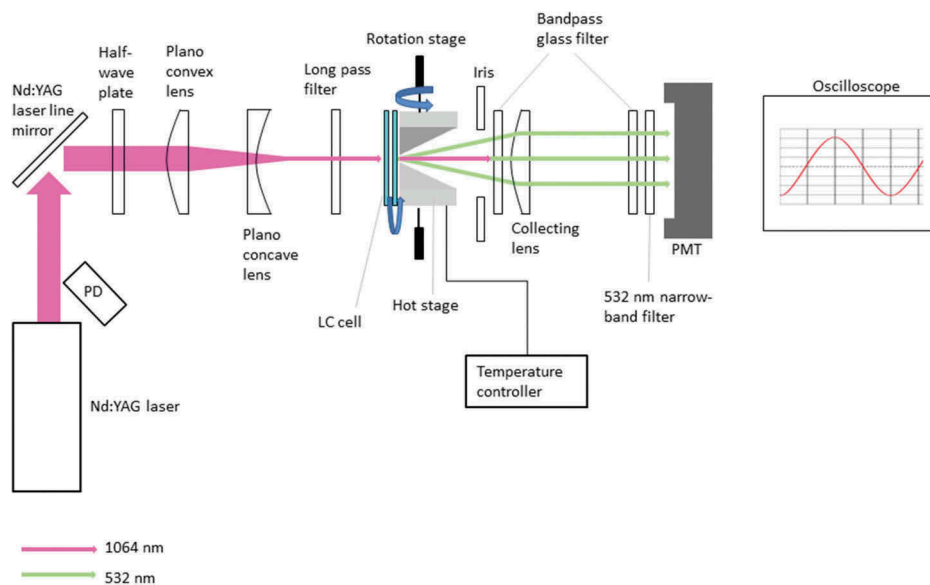


Figure 2. (Colour online) Experimental set-up for the measurement of scattered SH light from a LC sample. PMT = photomultiplier tube. PD = photodiode.

reduced by a plano-convex lens of focal length +250 mm and a plano-concave lens of focal length -100 mm, separated by a distance of 150 mm, in order to obtain a diameter of ~0.7 mm at the sample, sufficiently small for the beam to pass through the hot stage hole. A long-pass optical filter with a cut-on wavelength of 1000 nm was placed before the sample to absorb any 532 nm radiation generated by the optics preceding it. An adjustable, circular iris, of maximum open diameter 25 mm, was placed after the hot stage to allow investigation of the dependence of the SH light on scattering direction. A plano-convex lens, to collect the scattered SH light, was positioned at a distance from the sample equal to its focal length of +35 mm. Two bandpass coloured glass filters, made of Schott glass which transmit only in the wavelength range 335–610 nm, were used to block the fundamental beam. One was placed in front of the collecting lens and the other behind it, near to the photomultiplier tube (PMT) (Thorlabs, PMM01) of rise/fall time 15 μ s. A 532 nm narrowband laser line filter, of centre wavelength 532 ± 0.2 nm and bandwidth 1 ± 0.2 nm (FWHM), was placed just in front of the PMT to transmit only the SH light. A photodiode (PD) was placed near to the exit of the Nd:YAG laser, where it detected the fundamental pulse, in order to trigger the acquisition of data on the oscilloscope. For each measurement 64 pulses were acquired and averaged on the oscilloscope. Each SH data point presented in Section 3 represents the average SH pulse energy.

The experimental set-up described above was initially tested on a z-cut quartz crystal of thickness 0.5 mm and polished on both sides. Quartz is a well-established non-linear material [27] and so these data enabled the SH measurements for the LCs under study to be expressed in terms of a fraction of the response of quartz. Experiments on empty cells with the fundamental beam incident on cell regions containing ITO showed a significant SH signal, as has been measured previously.[28] Hence cells were positioned on the hot stage such that the fundamental beam was incident only on regions of glass, polyimide and LC. In all experiments a normally incident fundamental beam polarised at 45° to the LC optic axis (OA) was employed. This polarisation was chosen in order to access all possible elements of the third-order non-linear polarisability tensor $\chi^{(3)}$, discussed in Section 4.2, which could contribute to the SH signal generated.

Cells were heated to ~3°C above the nematic to isotropic transition temperature, T_{NI} . Measurements were taken on cooling from the isotropic phase at a rate of 1°C/min through the nematic phase.

2.3 Calculation of the flexoelectric coefficients

The flexoelectric coefficients of these systems were calculated using a computational methodology based on a molecular field approach with atomistic modelling. The molecular field model makes use of the molecular orientational distribution in the nematic phase and the charge distribution in the molecule to obtain expressions for the splay and bend flexoelectric coefficients in terms of the dipole (d) and quadrupole moments of the molecule. This model is fully described in Refs.[15,29,30] So, in this paper we will concentrate on the details of the atomistic models used in the calculation of the flexoelectric coefficients of the bent-core materials.

The atomistic modelling approach consists of three main steps: (1) determination of atomic charges, molecular geometry and energy parameters by single-molecule Density-Functional Theory (DFT) calculations; (2) Metropolis Monte Carlo (MC) [31] sampling of the conformational space; and (3) calculation of orientational order parameters and flexoelectric coefficients using the Surface Interaction model.[32,33] In the latter two steps, the parameters determined in the former are used, and in the last one the properties are calculated as averages over all conformers sampled in the second step.

Conformers were generated according to the Rotational Isomeric State (RIS) approximation,[34] with conformer geometries and energies defined on the basis of the torsional potentials obtained from quantum chemical calculations (relaxed scans) [35] on representative molecular fragments. According to these data, the following choices were made [23]:

- Planar geometry of the central three-ring moiety was assumed, in agreement with the results of DFT calculations for 2,5-diphenyl-1,3,4-oxadiazole.[36] The torsional potential reported there for the bond between the phenyl and oxadiazole rings exhibits two minima, corresponding to the coplanar configuration of the rings, separated by a high barrier of about 30 kJ/mol, corresponding to the perpendicular arrangement of the rings.
- In phenyl benzoate, the C_{ar} -COO bond between the aromatic carbon (C_{ar}) and the COO moiety was frozen in the planar geometry, since high barriers oppose the rotation about this bond. [37,38] Choice of the geometry of C_{ar} - C_{ar} -O-CO dihedral is less obvious. As reported in the literature [37–39] and confirmed by the result of the DFT/M06-2X/6-31 + G** calculations carried out here, the torsional profile for this angle is

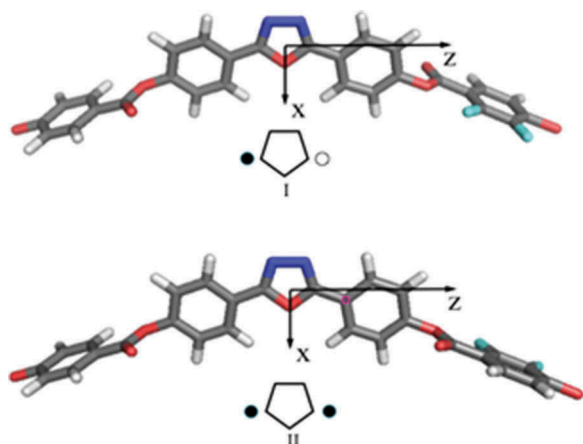


Figure 3. (Colour online). Conformations of the core for compound 2. The schematics show the oxadiazole ring and the orientation of the C=O groups, which point in opposite (I) and in the same direction (II). The molecular frame $\{X, Y, Z\}$ has the Z-axis passing through the carbon atoms of the oxadiazole ring and Y perpendicular to the plane of this ring.

sensitive to the level of theory used in the calculations, and some discrepancies exist regarding the exact location of the minima and the height of the energy barriers. Despite these uncertainties, all calculations point to a wide distribution in the ranges of $\pm(30\text{--}150^\circ)$. For the sake of simplicity, in our calculations the $C_{\text{ar}}\text{--}C_{\text{ar}}\text{--}O\text{--}CO$ dihedral was allowed to adopt the two values of $\pm 90^\circ$, which correspond to the middle of the highest probability region. Thus, two states, (I) and (II), were assumed for the central five-ring moiety of compounds 1 and 2, with planar arrangement of the 2,5-diphenyl-1,3,4-oxadiazole unit and the C=O groups pointing either on the same side or on opposite sides (see Figure 3).

- The benzoate group of the phenyl fluorobenzoate moiety was also assumed to be planar, with the CO group on the opposite side of the fluorine (F) atoms. This assumption was based on torsional potentials computed at the DFT/B3LYP/6-31 + G* level of theory. The profile exhibits two minima, corresponding to planar configurations: the minima are non-equivalent, since the configuration having the CO group on the opposite side of the fluorine atoms is lower in energy than the other by about 3 kJ mol⁻¹. The torsional potential for the $C_{\text{ar}}\text{--}C_{\text{ar}}\text{--}O\text{--}CO$ dihedral in phenyl fluorobenzoate has the same general form as that of phenyl benzoate, as indicated by DFT/B3LYP/6-31 + G* calculations: therefore, also in this case the $C_{\text{ar}}\text{--}C_{\text{ar}}\text{--}O\text{--}CO$ dihedral was allowed to adopt the values of $\pm 90^\circ$.

- The CH₂–CH₂ and O–CH₂ bonds of the alkyl and alkoxy chains were allowed to jump between the *trans* (180°), *gauche+* (+65°) and *gauche-* (–65°) states. The *trans* state is more stable than the *gauche* state: an energy difference $\Delta V_{gt} = 2.2$ kJ mol⁻¹ was assumed.[40,41] The only exception is given by the O–CH₂–CH₂–CH₂ dihedral angle, for which the *gauche* states are more stable than the *trans* state: in this case $\Delta V_{gt} = -2.85$ kJ mol⁻¹ was used.[41] The energy stabilisation associated with the presence of adjacent *gauche+gauche+* (or *gauche-gauche-*) pairs was accounted for by adding a correction term $\Delta V'_{gt\pm} = -0.75$ kJ mol⁻¹ to ΔV_{gt} .[41] Conformers with adjacent *gauche+gauche-* (or *gauche-gauche+*) pairs, which are known to bring a high energy penalty, were simply rejected on the basis of the steric cut-off used in the MC conformational sampling.
- Two possible equivalent states were assumed for the $C_{\text{ar}}\text{--}C_{\text{ar}}\text{--}O\text{--}CH_2$ dihedral of alkoxy chains, at 0° and 180°, with the phenyl ring and the $C_{\text{ar}}\text{--}O\text{--}CH_2$ group lying in the same plane. These two states are equivalent if the aromatic group is a benzene,[38] whereas in the presence of fluoro-substituents the conformation having the O–CH₂ bond on the opposite side of the fluorine atoms is found to be more stable (by about 3 kJ mol⁻¹ at the B3LYP/6-31G** level and 7 kJ mol⁻¹ at the B3LYP/6-31 + G* level), in agreement with calculations reported in the literature.[42,43]

In MC sampling of conformers, the structures having pairs of atoms closer than a cut-off distance equal to 0.82 σ , where σ is the sum of their van der Waals radii, were discarded. Van der Waals radii equal to 0.185 nm (C), 0.15 nm (N and O), 0.135 nm (F) and 0.1 nm (H) were assumed.[44] In this way sterically hindered conformations were rejected, including those with adjacent *gauche+ gauche-* (or *gauche- gauche+*) pairs in the hydrocarbon chains. For each conformer, the molecular surface was generated by the fast molecular surface calculation library (MSMS),[45] assuming a rolling sphere radius equal to 0.3 nm and density of vertices equal to 5 Å⁻². The same van der Waals radii used for the cut-off distance were assumed for this purpose. Atomic charges were obtained using the RESP method [RESP], with the electrostatic potential computed at the B3LYP/6-311 + G (d,p) level.[46] Charges equal to 70% of the RESP values were finally used to calculate the electric dipolar and quadrupolar moments and the flexoelectric coefficients. [30] All quantities based on electric charges reported in the following are scaled by this factor.

3. Results

The following two subsections describe the results for the temperature and scattering angle dependency of the SH signal. Subsection 3.3 presents the results for the calculation of the flexoelectric coefficients.

3.1 Dependence of the SH signal on temperature

For measurements of the dependence of the SH signal on temperature, the iris was fully open. The SH signal is expressed in terms of the SH pulse energy from the quartz reference $\times 10^{-5}$.

Figure 4 shows the dependence of the SH signal as a function of the reduced temperature $T - T_{NI}$ for compounds **1**, **2** and 8CB. For all materials the SH signal in the isotropic phase of the compounds is lower than in the nematic phase. The SH signal in the isotropic phase for compounds **1**, **2** and 8CB is respectively 60, 85 and 90% of the SH signal in the respective nematic phase. Compounds **1** and **2** exhibit a significantly higher SH intensity of, respectively, ~ 1.7 and ~ 3.2 times that of 8CB in the isotropic phase. At the isotropic to nematic transition a sharp increase in the SH signal is observed. The nematic director forms at this transition. The director fluctuations are thus of their greatest amplitude, resulting in the greatest SH value.[19] For compounds **1** and **2** at temperatures below the biphasic transition region (i.e. $<T - T_{NI} = -1.5\text{K}$), the SH

signal decreases slightly, but steadily, in the nematic phase as the temperature falls towards the underlying higher-ordered smectic C phase. A slight decrease in the SH signal is also observed for 8CB, as the underlying smectic A phase is approached. Interestingly, compounds **1** and **2** exhibit a noticeably greater SH intensity of, respectively, ~ 2.4 and ~ 3.4 times that of 8CB in the nematic phase. Measurements were taken over the whole nematic range to within 1 K of the start of the underlying phase transition, and no pre-transitional divergence of the SH signal on approaching the lower temperature phase was observed in any of the compounds.

3.2 Dependence of the SH signal on scattering angle

The dependence of the SH signal on scattering angle was investigated by use of the iris of open-radius R placed at a distance $L \sim 30$ mm from the sample, just before the first bandpass filter and collecting lens, as shown in Figure 2. The scattering angle θ is defined as the angle between the direction of propagation of the incident wave and the scattered SH wave, where $\tan\theta = R/L$. The SH signal was measured as the open radius of the iris was gradually reduced.

Figure 5 shows the dependence of the SH signal as a function of $\tan^2\theta$ at two reduced temperatures, $T - T_{NI}$ (one in the high and one in the low nematic phase) for

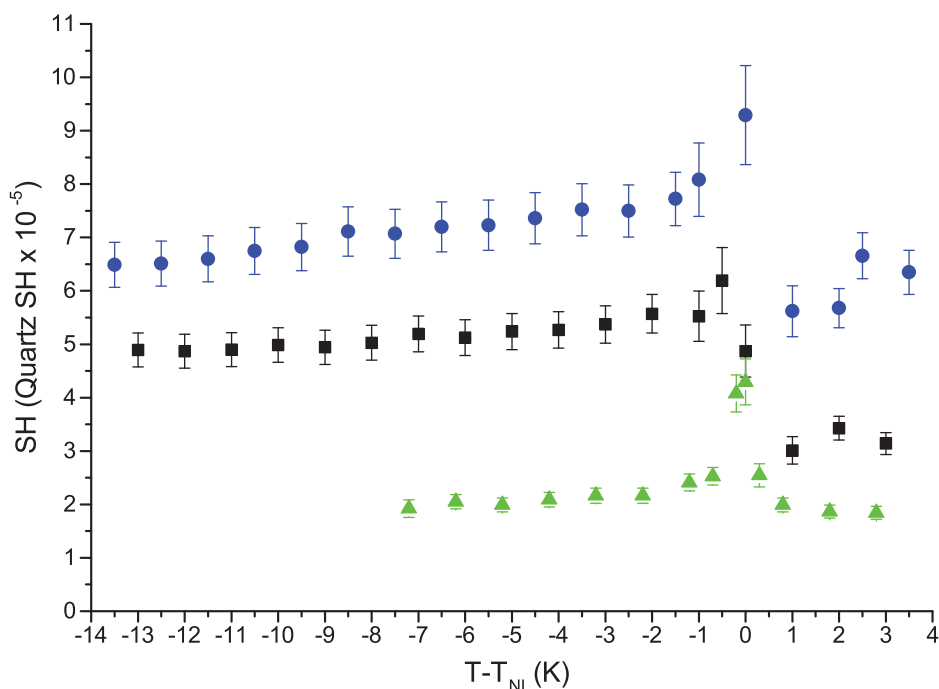


Figure 4. (Colour online) SH pulse energy as a function of reduced temperature $T - T_{NI}$ in the isotropic and nematic phases for compound **1** (black square), compound **2** (blue circle) and 8CB (green triangle).

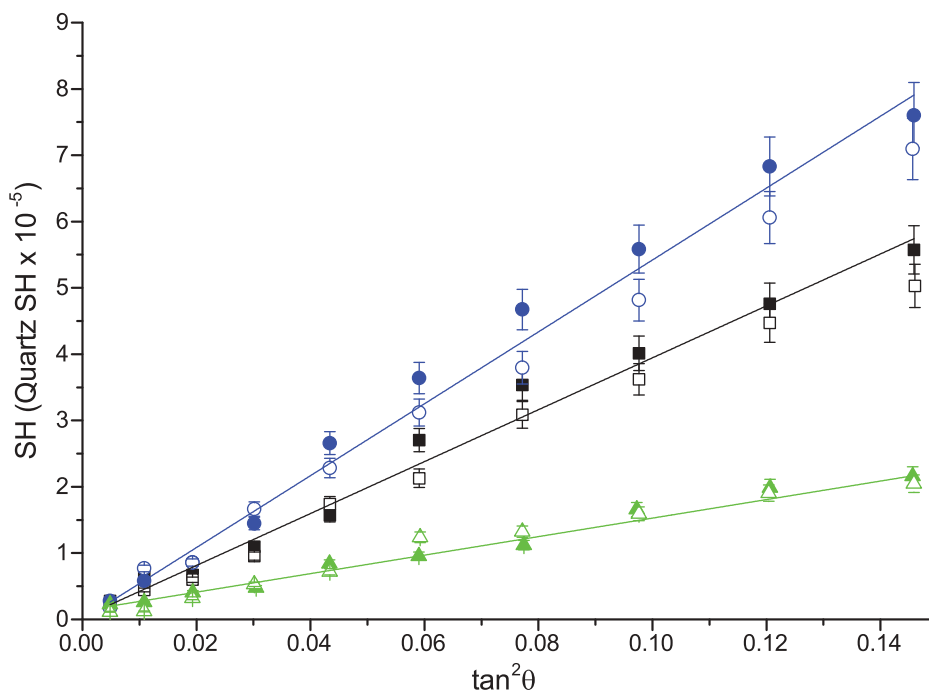


Figure 5. (Colour online) Relative SH pulse energy at various reduced temperatures $T - T_{NI}$ as a function of $\tan^2\theta$ where θ is the scattering angle. Compound 1; -2 K (filled black square, fit line in black), -8 K (open black square). Compound 2; -2 K (filled blue circle, fit line in blue), -8 K (open blue circle). 8CB; -2 K (filled green triangle, fit line in green), -6 K (open green triangle).

compounds **1**, **2** and 8CB. Linear fits are shown in Figure 5 for compounds **1**, **2** and 8CB at $T - T_{NI} = -2$ K. For all three compounds, the SH signal increases linearly with the open area of the iris, πR^2 . No bias towards scattering at low or high angles is observed.

3.3 Calculation of the flexoelectric coefficients

Both compounds **1** and **2** have their largest component of the electric dipole moment pointing along the transverse molecular axis, and in both cases the average value of this transversal component μ_X is around 3.5 D. This is similar to what we found for a similar bent-core oxadiazole,[15] which is not surprising since all three compounds have the same or very similar core. The wings do not significantly affect the dipole moment, not only because of the absence of strongly polar substituents, but also because of their flexibility. Also the predicted flexoelectric coefficients are similar for compounds **1**, **2** and the compound in Ref.,[15] which demonstrates the key role of the bent core and the much lower impact of changes (chain length, substituents) in the structure of the wings.

Figures 6 and 7 show the flexoelectric coefficients e_i and the dipolar contributions to the flexoelectric coefficients $e_i(d)$ calculated for compounds **1** and **2**, respectively. The dipolar contribution to the bend dipolar

coefficient $e_3(d)$ is large and positive, as expected for a banana-shaped molecule with an inward-pointing transverse dipole.[16] Conversely, $e_1(d)$ is very small, consistent with the smaller value of the longitudinal dipole; in any case, the banana-like molecular shape would not allow an effective coupling of a longitudinal dipole to a splay distortion. The quadrupole contribution, which is identical for e_1 and e_3 , is comparable in magnitude to the dipole contribution to e_3 but opposite in sign. This leads to a small e_3 of lower absolute magnitude than e_1 , apparently in contrast to what one might expect for bent-shaped mesogens. Figure 8 shows the difference between the splay and bend flexoelectric coefficients calculated for compounds **1** and **2**. The flexoelectric difference depends only upon the dipolar contribution, and since $e_1(d)$ is very small, $e_1 - e_3 \cong -e_3(d)$ is found. The magnitude of $e_1 - e_3$ is seen to increase as the temperature falls and the order increases, as has been observed in other nematic LCs.[13,47]

4. Discussion

4.1 SHG in the isotropic phase

We first consider the source of SHG in the isotropic phase. Weak SH intensities of the order of 10^{-5} times that of quartz under the same conditions are observed. This is as expected due to the

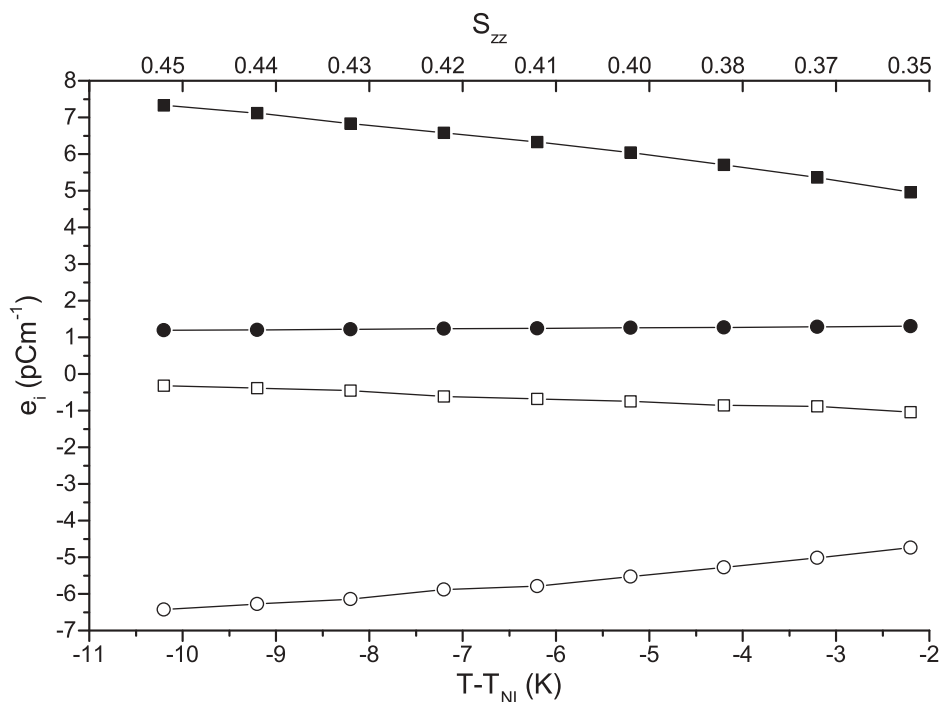


Figure 6. Flexoelectric coefficients e_i and dipolar contributions to the flexoelectric coefficients $e_i(d)$ for compound 1 as a function of the reduced temperature $T - T_{NI}$ and the order parameter for the molecular Z-axis, S_{ZZ} : e_1 (open circles), $e_1(d)$ (filled circles), e_3 (open squares), $e_3(d)$ (filled squares) .

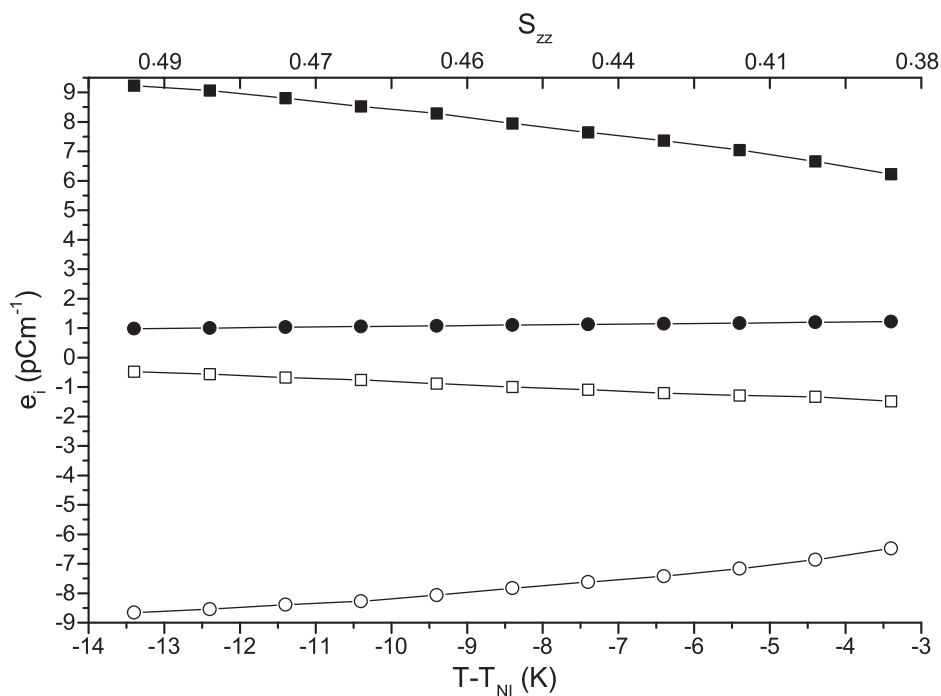


Figure 7. Flexoelectric coefficients e_i and dipolar contributions to the flexoelectric coefficients $e_i(d)$ for compound 2 as a function of the reduced temperature $T - T_{NI}$ and the order parameter for the molecular Z-axis, S_{ZZ} : e_1 (open circles), $e_1(d)$ (filled circles), e_3 (open squares), $e_3(d)$ (filled squares) .

centrosymmetry of this phase. In the isotropic phase SH light is generated by single-molecule hyper-Rayleigh scattering (SMHRS).[48] The intensity of the SH light is given by

$$I^{(2)} = GN\langle\beta^2\rangle I_w^2 \quad (1)$$

where G is a constant related to the scattering geometry, N the number of molecules exposed to the incident light

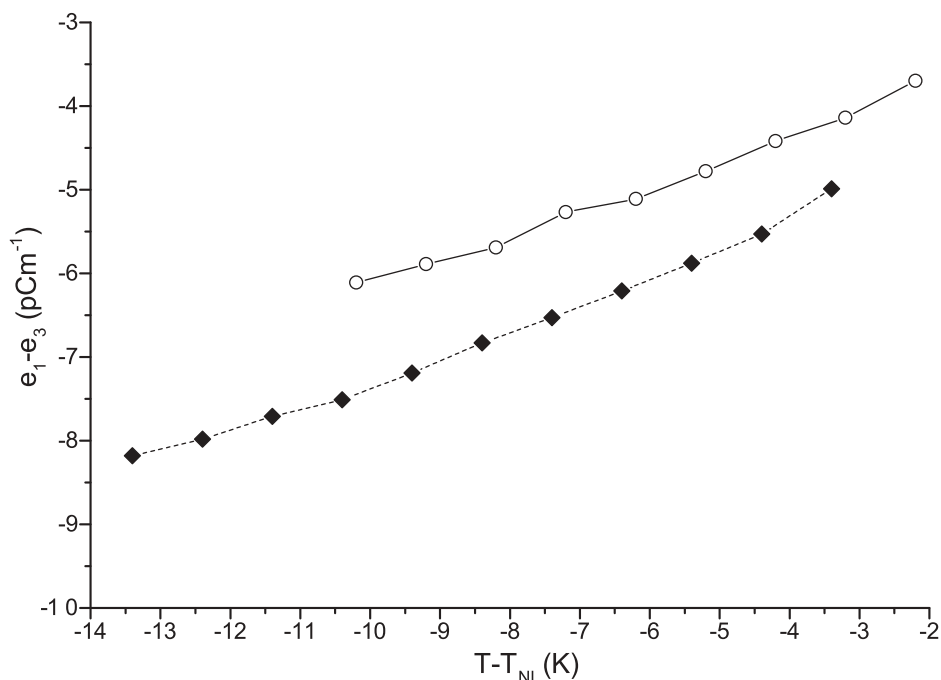


Figure 8. Difference between e_1 and e_3 for compounds **1** (solid line, open circles) and **2** (dashed line, filled diamonds) as a function of the reduced temperature $T - T_{NI}$.

and I_ω the intensity of the incident light of angular frequency ω . In the isotropic phase the SH intensity is thus proportional to the square of the first-order optical hyperpolarisability β of the molecule. From Figure 4 we can deduce that for the bent-core compounds **1** and **2** β is, respectively, ~ 1.3 and ~ 1.8 times that of 8CB, as expected due to their molecular shape. We attribute the greater value of β for compound **2** in comparison to compound **1** to the presence of fluoro-substituents, which can enhance molecular polarisability.

4.2 SHG in the nematic phase

4.2.1 Source of SH light

We turn to SHG in the nematic phase. In the nematic phase we also find the expected, weak SH intensities of the order of 10^{-5} to 10^{-4} times that of quartz, with a clear maximum in the signal at the nematic to isotropic transition, where the director fluctuations are of their greatest amplitude.[19] The angle dependence experiments show that the SH light is scattered isotropically (i.e. incoherently), rather than being concentrated in a forward direction as is the case for the quartz. These characteristics are all hallmarks of a SH signal generated by director fluctuation-based SH scattering. It should be noted that SMHRS is present in the nematic phase as well as in the isotropic phase, but it is much lower as the random rotation of the molecules

is reduced in the more ordered nematic phase. In addition, the SH signal due to SMHRS would not be expected to rise rapidly at the transition.[19] This is in contrast to Figure 4. Hence we discount SMHRS as a significant contributor to the nematic phase SH signal.[49]

Using a description of SHG in dielectric media, incorporating intermolecular interactions,[50–52] collective effects [19,53] and surface interactions, [17,18] we examine the sources of the SH signal in the nematic phase. The second-order non-linear or SH polarisation $\mathbf{P}^{(2)}$ induced in such a medium by the electric field of the electromagnetic wave traversing the medium is given by [49]

$$\mathbf{P}^{(2)} = \overleftrightarrow{\chi}^{(2)} \mathbf{E}\mathbf{E} + \overleftrightarrow{\chi}_Q^{(2)} \mathbf{E}\mathbf{V}\mathbf{E} + \overleftrightarrow{\chi}^{(3)} \mathbf{E}_p \mathbf{E}\mathbf{E} + \overleftrightarrow{\chi}_S^{(2)} \mathbf{E}\mathbf{E}\delta[r' - h(r')] \quad (2)$$

where \mathbf{E} is the electric field of the fundamental optical wave of angular frequency ω and r' is a point in the medium. The first term gives the SH polarisation due to dipole moments in the medium; $\overleftrightarrow{\chi}^{(2)}$ is the second-order non-linear polarisability tensor. The second term represents the SH polarisation due to non-local quadrupole moments,[50] which are weaker than dipole moments; $\overleftrightarrow{\chi}_Q^{(2)}$ is the second-order non-linear quadrupolar susceptibility tensor. The third term is the SH polarisation arising from the combination through

$\overleftarrow{\chi}^{(3)}$ of the electric fields of the optical waves with a zero frequency (i.e. d.c.) electric field E_p . The fourth term describes the SH polarisation caused by breaking of the centrosymmetry at the surfaces of the medium; $\overleftarrow{\chi}_S^{(2)}$ is the second-order non-linear surface susceptibility tensor. The function $h(r')$ defines the surfaces involved. [49] The first term is only allowed in non-centrosymmetric media and thus vanishes in a homogeneous, uniaxial nematic LC, whilst the second, third and fourth terms are allowed in all media, whether centro- or non-centrosymmetric. In terms of their relative magnitudes, the second term is very weak compared to the third term in a nematic LC.[53] One of our initial assumptions is that the contribution from the surface polarisation to the SH signal, described by the fourth term, is negligible.[49]

The dominant contribution to the SH signal in the nematic phase thus comes from the third term, $\overleftarrow{\chi}^{(3)} E_p EE$. The physical origin of this term lies in the thermal fluctuations of the director, which cause local breaking of the inversion symmetry, inducing a local flexoelectric polarisation P_{flex} given by:[24]

$$P_{flex} = e_1 \mathbf{n}(\nabla \cdot \mathbf{n}) + e_3 (\nabla \times \mathbf{n}) \times \mathbf{n} \quad (3)$$

where \mathbf{n} is the nematic director field, e_1 the splay flexoelectric coefficient and e_3 the bend flexoelectric coefficient.

As the director fluctuates at a very low frequency compared to the optical frequency of the incident wave, P_{flex} can be treated as effectively constant with time. In this way P_{flex} gives rise to the quasi-d.c. field E_p where $E_p = P_{flex}/\bar{\chi}_e$ [24] and $\bar{\chi}_e$ is the average dielectric susceptibility. E_p combines with the electric field E of the incident wave to generate a SH polarisation, which emits incoherent SH light as per the aforementioned process of director fluctuation-based SH scattering.

4.2.2 Calculation and discussion of the temperature dependence of the SH signal

We calculate the temperature dependence of the SH signal due to director fluctuation-based SH scattering, originating from the term $\overleftarrow{\chi}^{(3)} E_p EE$, and compare it to the experimentally measured signal.

Appendix A derives an expression for the SH intensity $I^{(2)}$ in the LC nematic phase due to this process for the experimental geometry described in Section 2.2. Equation (A21) describes $I^{(2)}(\varphi)$ as a function of the angle φ , where φ describes the orientation of the scattered SH wave in the plane perpendicular to the direction of propagation of the fundamental incident wave. (see Figure 13). As a circular iris rather than a slit is

placed after the sample, the detector measures $I^{(2)}$ due to all the possible orientations of SH waves (i.e. over all possible values of φ). It is therefore necessary to integrate Equation (A21) in order to obtain $I^{(2)}$, measured by the detector placed at the observation point r , as follows:

$$I^{(2)} = CT \left\{ e_3^2 \chi_a^2 \int_{\varphi=0}^{\varphi=2\pi} \frac{\sin^2 \varphi}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} d\varphi + e_1^2 \chi_b^2 \int_{\varphi=0}^{\varphi=2\pi} \frac{\cos^2 \varphi}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} d\varphi \right\} \quad (4)$$

where $C = \frac{VE_0^4 k_B \omega^4 \pi^4}{2\pi^2 r^2 \bar{\chi}_e^2 \epsilon_0^3 c^3}$, V is the volume of the sample, E_0 the amplitude of the electric field of the fundamental wave, k_B the Boltzmann constant, T the temperature of the sample, ω the angular frequency of the fundamental wave, $\bar{\chi}_e$ the average dielectric susceptibility of the medium, ϵ_0 the permittivity of free space and c the speed of light in a vacuum. π gives the direction of polarisation of the incident wave where $\pi = \pi_x \hat{x} + \pi_y \hat{y} + \pi_z \hat{z}$. In the experimental geometry described in Section 2.2, the incident wave propagates along the y direction (i.e. π_y is zero) and is polarised at 45° to the director (i.e. $\pi_x = \pi_z = \pi_0$). The summations of the elements of $\overleftarrow{\chi}^{(3)}$ which thus contribute to the SH signal are given by $\chi_a = \chi_{xxxx}^{(3)} + \chi_{xxxz}^{(3)} + \chi_{xxzx}^{(3)} + \chi_{xxzz}^{(3)}$ and $\chi_b = \chi_{zzxx}^{(3)} + \chi_{zzxz}^{(3)} + \chi_{zzzx}^{(3)} + \chi_{zzzz}^{(3)}$.

It can be seen that Equation (4) is independent of θ (see Appendix A), which is another indication that director fluctuation-based scattering theory predicts an isotropic SH radiation scattering pattern, as observed in Figure 5.

Equation (4) can be developed to obtain an expression for the temperature dependence of $I^{(2)}$ in terms of the temperature-dependent parameters e_1 , e_3 , χ_a , χ_b , K_1 , K_3 . The physical property $\overleftarrow{\chi}^{(3)}$ does not require the LC to be in the nematic phase in order to exist. This is unlike e_1 , e_3 , K_1 , K_3 which only have meaning in the nematic phase where director distortions are present. The dependence of $\overleftarrow{\chi}^{(3)}$ on temperature in the nematic phase is thus treated as secondary with respect to the dependences of e_1 , e_3 , K_1 , K_3 on temperature in the nematic phase.[49] We assume χ_a and χ_b to be approximately equal (i.e. $\chi_a = \chi_b = \chi_0$). For compounds **1** and **2** we use experimentally determined values of K_1 , K_3 [23] and calculated values of e_1 , e_3 from Section 3.3. $I^{(2)}$ is then obtained by numerical integration of Equation (4).

Figure 9 compares, for compound 1, the normalised experimental SH signal with the normalised calculated SH signal, obtained from Equation (4), in the nematic range.

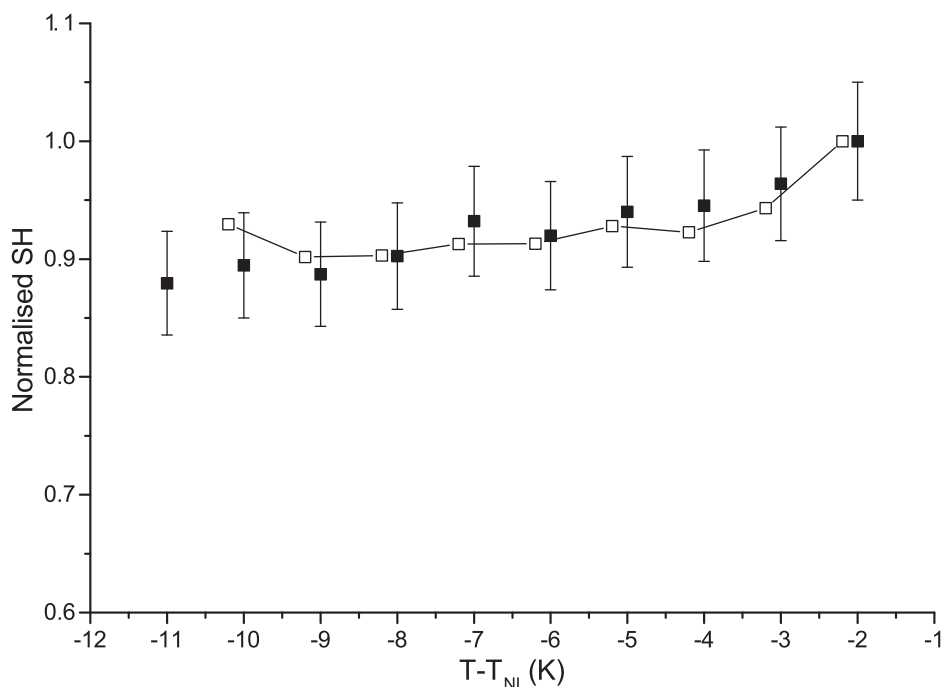


Figure 9. Temperature dependence of SH signal in the nematic phase for compound 1; experimental SH (filled black square), calculated SH (open black square and line). Data are normalised to the maximum value to allow comparison between the two data sets.

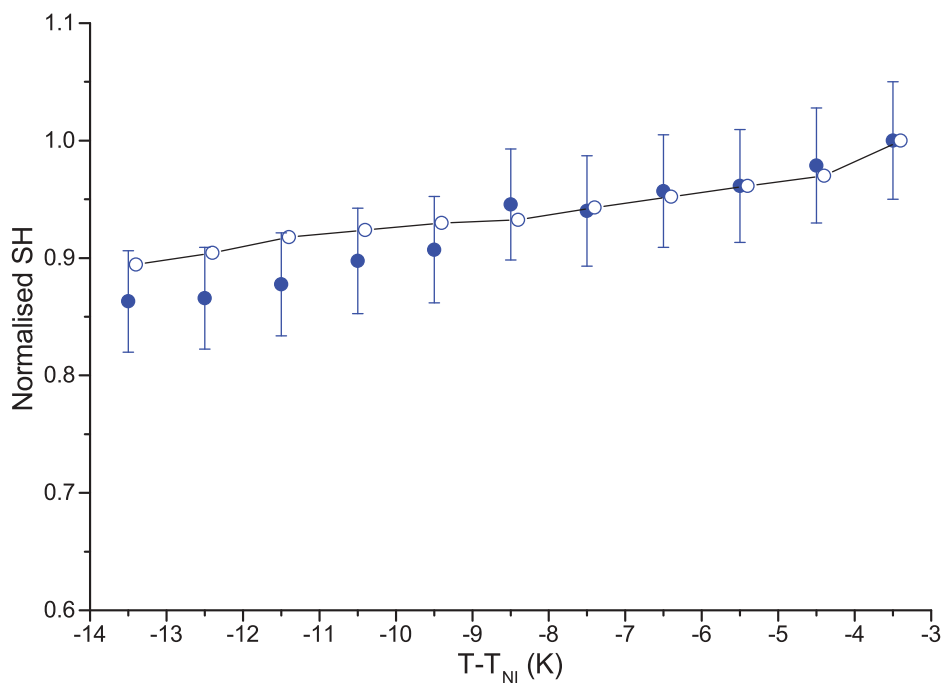


Figure 10. (Colour online) Temperature dependence of SH signal in the nematic phase for compound 2; experimental SH (filled blue circle), calculated SH (open blue circle and line). Data are normalised to the maximum value to allow comparison between the two data sets.

results further confirm that the SH signal generated is due to director fluctuation-based SH scattering and validate our initial assumption that the SH signal due to symmetry breaking at the surfaces is negligible.

Regarding a similar comparison of the experimental and calculated SH signal for 8CB, unfortunately there are no published values of e_1 or e_3 available for this LC. However, values of S [54] and K_1, K_3 [55] are available. So in this case we make the assumption, experimentally demonstrated for some rod-like LCs,[56] that e_1 and e_3 are linearly proportional to S in the nematic phase. In addition we use the one-flexoelectric-coefficient approximation $e_1 = -e_3 = e_0$. [47,57] Making these substitutions in Equation (4), we obtain

$$I^{(2)} = C_1 TS^2 \left\{ \int_{\varphi=0}^{\varphi=2\pi} \frac{\sin^2 \varphi}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} d\varphi + \int_{\varphi=0}^{\varphi=2\pi} \frac{\cos^2 \varphi}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} d\varphi \right\} \quad (5)$$

where

$$C_1 = C \chi_0^2$$

Figure 11 compares for 8CB the normalised experimental SH signal to the normalised calculated SH signal, obtained from numerical integration of Equation

(5), in the nematic range. There is good agreement between the experimental and calculated SH signal, although not quite as good as for compounds **1** and **2**. This is most probably due to a degree of inaccuracy in the assumptions made about the flexoelectric coefficients.

We analysed the factors contributing to the temperature dependence of the SH signal. All compounds show a slight decrease in the experimentally measured SH signal as the temperature falls in the nematic phase (See Figure 4). The complete expression for the temperature dependence of the SH signal in Equation (4) is complex, depending on the absolute temperature T of the LC and the parameters $e_1, e_3, \chi_a, \chi_b, K_1, K_3$, which behave differently with temperature and, in that way, nematic order. For compounds **1** and **2**, K_1 and K_3 both increase as the temperature falls and S increases, but with K_1 increasing at a faster rate.[23] Regarding the flexoelectric coefficients, e_1 decreases as the temperature falls whilst e_3 increases but at a slower rate (see Figures 6 and 7). No measurements of $\bar{\chi}^{(3)}$ are available for compounds **1** or **2**. Indeed, measurements of $\bar{\chi}^{(3)}$ for bent-core nematic LCs are extremely rare. We thus assume, as explained before, that the dependence of $\bar{\chi}^{(3)}$ on temperature in the nematic phase is weak.[58] We analyse the combined effect of the varying temperature dependences of e_1, e_3, K_1, K_3 , as they

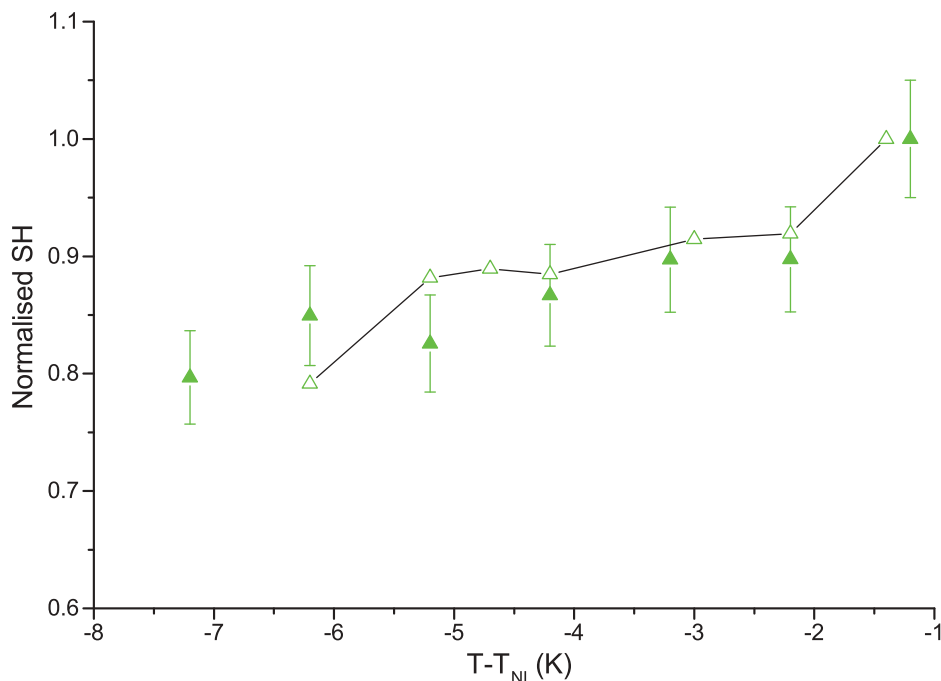


Figure 11. (Colour online) Temperature dependence of SH signal in the nematic phase for 8CB; experimental SH (filled green triangle), calculated SH (open green triangle and line). Data are normalised to the maximum value to allow comparison between the two data sets.

appear in Equation (4) and contribute to the SH signal, by plotting the quantity $e_3^2/(K_1 + K_3) + e_1^2/(K_1 + K_3)$ for compound **1** in Figure 12.

In Figure 12 we see from the horizontal dashed linear fit line that the quantity $e_3^2\chi_a^2/(K_1 + K_3) + e_1^2\chi_b^2/(K_1 + K_3)$ is constant in temperature over the nematic range. (The different variations with temperature

of e_1, e_3, K_1, K_3 effectively cancel out when they are combined into the quantity plotted.) Referring to Equation (4), the temperature dependence of the SH signal is thus, in the main, related to the absolute temperature T of the LC. We would thus expect the experimental SH signal to fall as T falls in the nematic phase, just as observed in Figure 4 and highlighted in Figure 12.

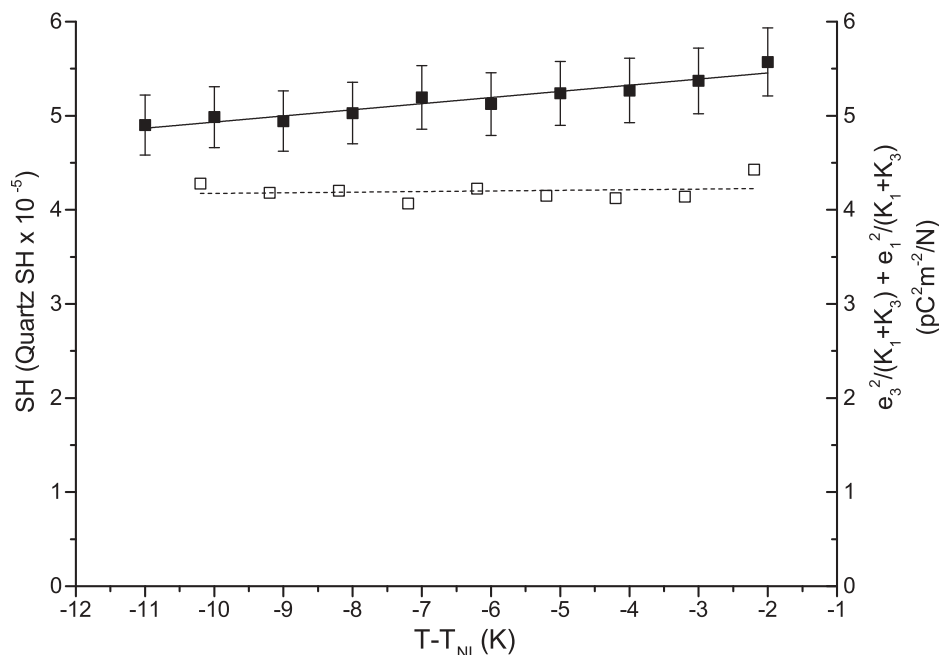


Figure 12. Temperature dependence of $e_3^2/(K_1 + K_3) + e_1^2/(K_1 + K_3)$ (open black square, right y-axis, dashed linear fit line) and experimental SH (filled black square, left y-axis, solid linear fit line) in the nematic phase for compound **1**.

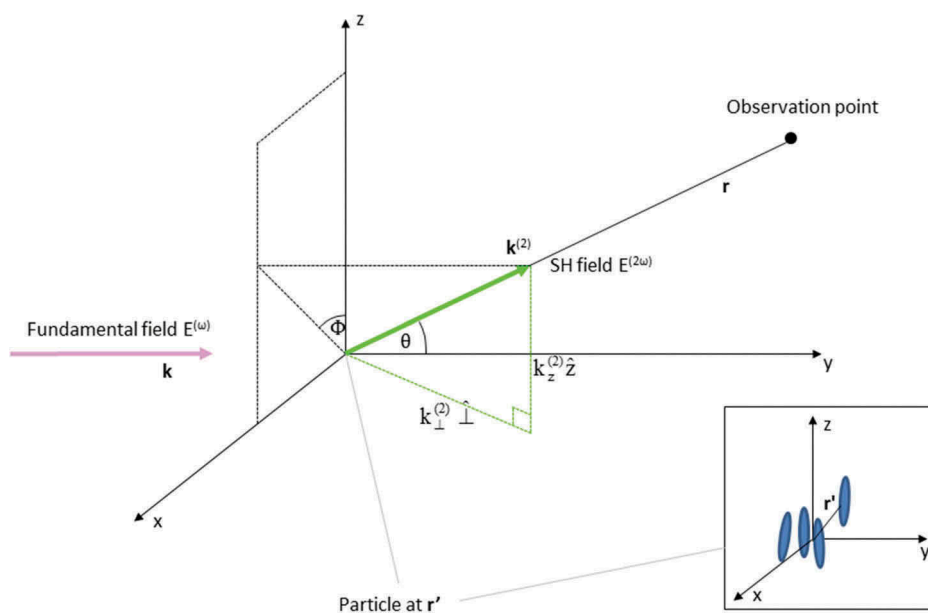


Figure 13. (Colour online) SH scattering geometry. The diagram shows only one scattered SH wave. However, in reality, there are many SH waves all with different wave vectors $k^{(2)}$. The inset shows a particle at r' acting as a source of SHG.

Table 1. Values of T , e_1 , e_3 , K_1 , K_3 and the experimental SH signal for compounds **1**, **2** and 8CB.

LC	T-T _{Ni} (°C)	Absolute T (K)	K_1 (pN)	K_3 (pN)	\bar{K} (pN)	e_1 (pCm ⁻¹)	e_3 (pCm ⁻¹)	SH signal (quartz reference $\times 10^{-5}$)
1	-4	487	4.2	2.7	3.5	-5.3	-0.8	5.3
2	-4	490	4.6	2.5	3.6	-6.9	-1.4	7.4
8CB	-2	310	3.9	3.4	3.7	Unknown	Unknown	2.2

Table 2. Ratios of SH signal and absolute temperature T , calculated for the data in Table 1, for compounds **1**, **2** and 8CB.

LC	Ratio of SH signal	Ratio of Absolute T	Remaining ratio
1 to 8CB	2.4	1.6	1.5
2 to 8CB	3.4	1.6	2.1

4.2.3 Comparison of the magnitude of the SH signal between different compounds

We now attempt to explain the difference in the intensity of the SH signal in the bent-core compounds compared to 8CB. In Table 1 we list the experimental SH signal, e_1 and e_3 for compounds **1**, **2** and 8CB at reduced temperatures chosen so that they all have similar values of K_1 and K_3 (and thus almost identical values for the mean $\bar{K} = \frac{K_1+K_3}{2}$). We note that the corresponding absolute temperature is much greater for **1** and **2**, their nematic phases forming at temperatures of ~ 175 K higher than that of 8CB (see Figure 1).

Using the data in Table 1, we calculate the ratio of the experimental SH signal for compounds **1** and **2** with respect to 8CB in Table 2. The SH signal for compound **1** is ~ 2.4 times greater than that for 8CB whilst that for compound **2** is ~ 3.4 times greater. Part of the increased signal can be explained by the fact that the absolute temperature of both compounds **1** and **2** is ~ 1.6 times greater than that of 8CB. Taking this into account, the SH signal for compound **1** is still ~ 1.5 times greater than that for 8CB whilst that for compound **2** is ~ 2.1 times greater. This extra signal in compounds **1** and **2** can be accounted for by them having either a larger $\bar{\chi}^{-(3)}$ or a larger e_1 and/or e_3 . Only one experimental study of $\bar{\chi}^{-(3)}$ for a bent-core compound has to date been published, and the measurements were taken in the isotropic rather than the nematic phase.[59] There are rather more reports of the flexoelectric coefficients for bent-core materials.[5,13–15,60–62] If we therefore assume that the extra SH signal for compounds **1** and **2** with respect to 8CB is due to the flexoelectric coefficients rather than to $\bar{\chi}^{-(3)}$, we can estimate their relative values from the SH signal measurements. Using the fact that the SH signal is proportional to the square of the flexoelectric coefficients (see Equation (4)), we estimate e_1 and e_3 for compound **1** to be ~ 1.2 times greater than those for 8CB. For compound **2** we estimate e_1 and e_3 to be ~ 1.5 times greater than those for 8CB. These estimates would be consistent with reports of flexoelectric coefficients in bent-core materials of 2–3 times those in calamitic materials.[13–15]

5. Conclusion

In this paper we experimentally determined the intensity of the SH light generated in the isotropic and nematic phases of two homogeneously aligned, bent-core oxadiazole compounds and the rod-like 8CB. The dependences of the SH intensity on temperature and scattering angle have been measured.

For all materials, weak (10^{-5} – 10^{-4} times that of quartz), isotropically scattered SH radiation with a maximum intensity at the nematic to isotropic transition was observed. These results are consistent with the theory of SHG by director fluctuation-based SH scattering, which leads to the emission of weak, incoherent SH radiation in the nematic phase. The intensity of the SH signal was seen to decrease slightly as the temperature fell in the nematic phase. We analysed this temperature dependence of the SH signal in terms of the elastic constants, flexoelectric coefficients and absolute temperature of the LCs. In order to do this, the flexoelectric coefficients were calculated using a molecular field approach with atomistic modelling, giving results which are in good agreement with other bent-core compounds. These calculations offer valuable predictive insight into the flexoelectric behaviour of LCs, starting from the molecular orientational distribution in the nematic phase and geometry and charge distribution of the constituent molecules. We find that the decrease observed in the SH signal as the temperature decreased in the nematic phase is predominantly due to this reduction in absolute temperature.

The predicted, enhanced optical nonlinearity was observed in the bent-core compounds. In the isotropic phase the SH signal of the bent-core compounds was found to be ~ 1.7 – 3.2 times that of 8CB, a factor that could be attributed to the higher molecular hyperpolarisability of bent-core molecules compared to rod-like molecules. In the nematic phase the bent-core materials exhibited a SH signal of ~ 2.4 – 3.4 times that of 8CB. In this case part of the enhancement in the SH signal in the bent-core materials is due to these being at a significantly higher absolute temperature in their nematic phase than 8CB. The other part of the enhancement can be explained in terms of potentially larger flexoelectric coefficients, of the order of ~ 1.2 – 1.5 times those of 8CB. Such values for the bent-core materials studied would be consistent with reports of the flexoelectric coefficients for other bent-core materials, which indicate these to be 2–3 times greater

than in rod-like compounds. So, in summary, whilst we still find the SH intensity of the bent-core compounds to be low, as is the case for SH light generated in all uniaxial nematic LCs, we do find it to be greater than that for the rod-like compound, as predicted.

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Disclosure statement

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Appendix A

In this Appendix we obtain an expression for the temperature dependence of the SH intensity $I^{(2)}$, due to director fluctuation-based SH scattering originating from the term $\chi^{-(3)} E_p \mathbf{E} \mathbf{E}$ in the LC nematic phase, in terms of the temperature-dependent parameters e_1, e_3, K_i and $\chi^{-(3)}$. The scattering geometry is described in Figure 13.

The fundamental incident wave vector is defined as $\mathbf{k} = k\hat{\mathbf{k}}$ of magnitude $k = n_1 \frac{\omega}{c}$ and the scattered SH wave vector $\mathbf{k}^{(2)} = k^{(2)}\hat{\mathbf{k}}^{(2)}$ of magnitude $k^{(2)} = n_1 \frac{2\omega}{c} = 2k$, where n_1 is the refractive index of the medium and its dispersion is ignored. The wave vector mismatch $\mathbf{q} = 2\mathbf{k} - \mathbf{k}^{(2)}$. The nematic director is along the z -axis. \mathbf{q} can be written as $\mathbf{q} = \mathbf{q}_\perp + \mathbf{q}_z$ where $\mathbf{q}_\perp = q_x\hat{\mathbf{x}} + q_y\hat{\mathbf{y}}$ is the component of \mathbf{q} perpendicular to the director and \mathbf{q}_z the component parallel to the director.

The SH intensity $I^{(2)}$, due to director fluctuation-based SH scattering for a given \mathbf{q} at the observation point r , is given by [49]

$$I^{(2)}(\mathbf{q}) = \frac{VE_0^4 k_B T \omega^4}{2\pi^2 r^2 \bar{\chi}_e^2 \epsilon_0^3 c^3} \sum_{n=1,2} \frac{\mathbf{X}_n \cdot \mathbf{X}_n - (\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_n)^2}{K_n q_\perp^2 + K_3 q_z^2} \quad (\text{A1})$$

where V is the volume of the sample, E_0 the amplitude of the electric field of the fundamental wave, k_B the Boltzmann constant, T the temperature, ω the angular frequency of the fundamental wave, r the distance from the sample to the observation point, $\bar{\chi}_e$ the average dielectric susceptibility, ϵ_0 the permittivity of free space and c the speed of light in vacuo. K_1, K_2, K_3 are the elastic constants associated with the splay, twist and bend deformations of the director.

The i^{th} component of X_1 is given by [49]

$$X_{1i} = \pi_k \pi_l \left[e_1 \chi_{izkl}^{(3)} q_\perp + e_3 \left(\chi_{ixkl}^{(3)} \frac{q_x}{q_\perp} + \chi_{iykl}^{(3)} \frac{q_y}{q_\perp} \right) q_z \right] \quad (\text{A2a})$$

Likewise for X_2 [49]

$$X_{2i} = \pi_k \pi_l e_3 \left(\chi_{iykl}^{(3)} \frac{q_x}{q_\perp} - \chi_{ixkl}^{(3)} \frac{q_y}{q_\perp} \right) q_z \quad (\text{A2b})$$

With reference to Figure 13, the fundamental incident wave propagates along the y -axis (i.e. $\mathbf{k} = k\hat{\mathbf{y}}$), the angle between the direction of the incident wave and the scattered SH wave is the scattering angle θ and the angle Φ provides the orientation of the scattered SH wave in the plane perpendicular to the direction of propagation of the incident wave. Its complementary angle $\varphi = 90 - \Phi$ is measured from the positive x -axis and is the one used in this derivation. The scattering plane is the plane defined by \mathbf{k} and $\mathbf{k}^{(2)}$. In spherical coordinates $\hat{\mathbf{k}}^{(2)} = \sin \theta \cos \varphi \hat{\mathbf{x}} + \cos \theta \hat{\mathbf{y}} + \sin \theta \sin \varphi \hat{\mathbf{z}}$. For small θ , as used in the experiment described in Section 2.2, $\cos \theta \cong 1$ and so we can express \mathbf{q} as

$$\mathbf{q} = -q(\cos \varphi \hat{\mathbf{x}} + \sin \varphi \hat{\mathbf{z}}) \quad (\text{A3})$$

where $q = 2k \sin \theta$. The component q_y has vanished and we obtain $\mathbf{q}_\perp = q_x \hat{\mathbf{x}}$. Hence Equation (A1) becomes

$$I^{(2)}(\mathbf{q}) = AT \sum_{n=1,2} \frac{\mathbf{X}_n \cdot \mathbf{X}_n - (\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_n)^2}{K_n q_x^2 + K_3 q_z^2} \quad (\text{A4})$$

where $A = \frac{VE_0^4 k_B \omega^4}{2\pi^2 r^2 \bar{\chi}_e^2 \epsilon_0^3 c^3}$ is a constant independent of \mathbf{q} and T .

First the $n=1$ term in Equation (A4) is examined. Using $\mathbf{q}_\perp = q_x \hat{\mathbf{x}}$ in Equation (A2a), the i^{th} component of X_1 becomes

$$X_{1i} = \pi_k \pi_l \left[e_1 \chi_{izkl}^{(3)} q_x + e_3 \chi_{ixkl}^{(3)} q_z \right] \quad (\text{A5})$$

where summation is implied over the repeated indices k, l .

π gives the direction of polarisation of the incident wave where $\pi = \pi_x \hat{\mathbf{x}} + \pi_y \hat{\mathbf{y}} + \pi_z \hat{\mathbf{z}}$. In the experiment described in Section 2.2, the incident wave propagates along the y direction (i.e. π_y is zero) and is polarised at 45° to the director (i.e. $\pi_x = \pi_z = \pi_0$). We obtain for Equation (A5)

$$X_{1i} = \pi_0^2 \left(e_1 q_x \left[\chi_{izxx}^{(3)} + \chi_{izxz}^{(3)} + \chi_{izzx}^{(3)} + \chi_{izzz}^{(3)} \right] + e_3 q_z \left[\chi_{ixxx}^{(3)} + \chi_{ixxz}^{(3)} + \chi_{ixzx}^{(3)} + \chi_{ixzz}^{(3)} \right] \right) \quad (\text{A6})$$

where

$$i = x, y, z.$$

All elements of the tensor $\chi^{-(3)}$ with a non-recurring index are zero in a medium displaying reflection symmetry, such as a uniaxial nematic LC.[63] Applying this to Equation (A6), the non-zero components of X_1 are

$$X_{1x} = \pi_0^2 e_3 q_z \left[\chi_{xxxx}^{(3)} + \chi_{xxxz}^{(3)} + \chi_{xxzx}^{(3)} + \chi_{xxzz}^{(3)} \right] = \pi_0^2 e_3 q_z \chi_a \quad (\text{A7a})$$

$$X_{1z} = \pi_0^2 e_1 q_x \left[\chi_{zzxx}^{(3)} + \chi_{zzxz}^{(3)} + \chi_{zzzx}^{(3)} + \chi_{zzzz}^{(3)} \right] = \pi_0^2 e_1 q_x \chi_b \quad (\text{A7b})$$

where

$$\chi_a = \chi_{xxxx}^{(3)} + \chi_{xxxz}^{(3)} + \chi_{xxzx}^{(3)} + \chi_{xxzz}^{(3)}$$

$$\chi_b = \chi_{zzxx}^{(3)} + \chi_{zzxz}^{(3)} + \chi_{zzzx}^{(3)} + \chi_{zzzz}^{(3)}$$

Using Eqs. (A3) and (A7), we can write

$$\mathbf{X}_1 \cdot \mathbf{X}_1 = \pi_0^4 q^2 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right] \quad (\text{A8})$$

The term $\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_1$ in Equation (A4) can be written as

$$\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_1 = -\pi_0^2 q \sin \theta \left(e_3 \cos \varphi \sin \varphi \chi_a + e_1 \cos \varphi \sin \varphi \chi_b \right) \quad (\text{A9})$$

From Eqs. (A8) and (A9), the top line of Equation (A4) for $n=1$ is given by

$$\begin{aligned} \mathbf{X}_1 \cdot \mathbf{X}_1 - \left(\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_1 \right)^2 &= \pi_0^4 q^2 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right] \\ &\quad - \pi_0^4 q^2 \sin^2 \theta \cos^2 \varphi \sin^2 \varphi \left[e_3^2 \chi_a^2 + e_1^2 \chi_b^2 + 2e_1 e_3 \chi_a \chi_b \right] \end{aligned} \quad (\text{A10})$$

For small θ , as used in the experiment described in Section 2.2, $\sin \theta \cong 0$ and so Equation (A10) reduces to

$$\mathbf{X}_1 \cdot \mathbf{X}_1 - \left(\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_1 \right)^2 = \pi_0^4 q^2 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right] \quad (\text{A11})$$

Using Equation (A3), we can write for the bottom line of Equation (A4) for $n = 1$

$$K_n q_x^2 + K_3 q_z^2 = q^2 (K_1 \cos^2 \varphi + K_3 \sin^2 \varphi) \quad (\text{A12})$$

Hence from Eqs. (A11) and (A12) we obtain for $n = 1$

$$\frac{\mathbf{X}_1 \cdot \mathbf{X}_1 - \left(\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_1 \right)^2}{K_1 q_x^2 + K_3 q_z^2} = \frac{\pi_0^4 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right]}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} \quad (\text{A13})$$

In terms of the parameters describing the scattered SH waves, the dependence on \mathbf{q} and θ has dropped out and we now have an expression that depends only on φ .

Substituting Equation (A13) into Equation (A4), the $n = 1$ term in Equation (A4) can be written as

$$I^{(2)}(\varphi)_{n=1} = AT \frac{\pi_0^4 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right]}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} \quad (\text{A14})$$

A similar procedure can be applied to calculate the $n = 2$ term in Equation (A4) to obtain

$$X_{2i} = \pi_0^2 e_3 q_z \left[\chi_{iyxx}^{(3)} + \chi_{iyxz}^{(3)} + \chi_{iyzx}^{(3)} + \chi_{iyzz}^{(3)} \right] \quad (\text{A15})$$

The only non-zero component of X_2 is given by

$$X_{2y} = \pi_0^2 e_3 q_z \left[\chi_{yyxx}^{(3)} + \chi_{yyxz}^{(3)} + \chi_{yyzx}^{(3)} + \chi_{yyzz}^{(3)} \right] = \pi_0^2 e_3 q_z \chi_c \quad (\text{A16})$$

where

$$\chi_c = \chi_{yyxx}^{(3)} + \chi_{yyxz}^{(3)} + \chi_{yyzx}^{(3)} + \chi_{yyzz}^{(3)}$$

$$\mathbf{X}_2 \cdot \mathbf{X}_2 = \pi_0^4 e_3^2 q^2 \sin^2 \varphi \chi_c^2 \quad (\text{A17})$$

$$\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_2 = -\pi_0^2 \cos \theta e_3 q \sin \varphi \chi_c \quad (\text{A18})$$

$$\mathbf{X}_2 \cdot \mathbf{X}_2 - \left(\hat{\mathbf{k}}^{(2)} \cdot \mathbf{X}_2 \right)^2 = \pi_0^4 q^2 e_3^2 \sin^2 \varphi \chi_c^2 \sin^2 \theta \quad (\text{A19})$$

In the limit of small θ , $\sin \theta \cong 0$ and Equation (A19) = 0 i.e. the $n = 2$ term in Equation (A4) vanishes.

Adding the $n = 1$ (Equation (A15)) and $n = 2$ (Equation (A19)) terms, we obtain the SH intensity as a function of φ

$$I^{(2)}(\varphi) = AT \frac{\pi_0^4 \left[e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2 \right]}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} \quad (\text{A20})$$

As π_0 is a constant it can be incorporated into A , giving

$$I^{(2)}(\varphi) = CT \frac{e_3^2 \sin^2 \varphi \chi_a^2 + e_1^2 \cos^2 \varphi \chi_b^2}{K_1 \cos^2 \varphi + K_3 \sin^2 \varphi} \quad (\text{A21})$$

where

$$C = \frac{VE_0^4 k_B \omega^4 \pi_0^4}{2\pi^2 r^2 \bar{\chi}_e^2 \epsilon_0^3 c^3}$$