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Steric Stabilising Properties of Hydrophobically Modified Starch: Amylose vs. Amylopectin

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ABSTRACT

Self-Consistent Field Theory of Scheutjens and Fleer is extended to deal with highly branched polymer chains. Using the method, the surface adsorption and the steric stabilising properties of hydrophobically modified amylose and amylopectin were compared. For unmodified starch, both biopolymers induce attractive depletion interactions between emulsion droplets. However, for chains of comparable molecular weight, the forces are stronger and longer ranged for amylose. Upon hydrophobic modification, amylopectin has a higher level of surface adsorption, but forms thinner and denser interfacial layers. While both starch molecules provide a sufficient degree of steric repulsion to keep fine emulsions stable, those induced by amylose layers are longer ranged and decay more slowly with inter-droplet separations. This desirable property is partially offset by the greater propensity of linear chains to cause bridging. It is found that mixed layers of amylose and amylopectin lead to superior steric stabilising properties, as compared to either component alone.

1. Introduction

Most naturally occurring polysaccharides are hydrophilic macromolecules that exhibit no significant level of surface activity at hydrophobic-hydrophilic interfaces (Dickinson, 2003; Nishinari & Doi, 1993). Their tendency to remain in the aqueous solutions, combined with their relatively large size, allows for the formation of entangled networks of these biopolymers at very low polysaccharide concentrations. The presence of such a network in a solution has a dramatic impact on the viscosity (Lapasin & Prici, 1999; Ren, Ellis, Ross-Murphy, Wang, & Wood, 2003; Stephen & Phillips, 2006), making polysaccharides the functional ingredient of choice for use as rheology modifiers and thickeners in food colloid formulations. The macromolecular networks are further enhanced by the ability of the chains to weakly cross-link through inter-molecular hydrogen bonds (Ross-Murphy, 1987; Rossmurphy & Shatwell, 1993). This also gives the polysaccharides their other desirable property, namely their excellent water holding capability (Spiller, 2001; Stephen, et al., 2006).

Although most natural polysaccharides display no affinity for adsorption onto a hydrophobic surface, there are a few rather known notable exceptions. The most commonly encountered commercial example of this class of surface active polysaccharides is gum Arabic (Chanamai & McClements, 2002; Williams & Phillips, 2009). This is frequently used as an emulsifier and emulsion stabiliser in manufacturing of citrus soft drink products (Paraskevopoulou, Boskou, & Kiosseoglou, 2005; Qian, Decker, Xiao, & McClements, 2011; Ray, Bird, Iacobucci, & Clark, 1995). Other examples include pectin (Akhtar, Dickinson, Mazoyer, & Langendorff, 2002), polysaccharide extracted from okra (O'Toole, 1999), and more recently almond gum (Mahfoudhi, et al., 2014) and cashew tree gum (Porto & Cristianini, 2014), but to name a few. It is now well established that the surface affinity of these molecules invariably arises from the complexation of the polysaccharide with protein. It is the presence of the hydrophobic amino acids amongst the residues of the protein part that entices the conjugated chains to adsorb at hydrophobic-hydrophilic interfaces (Dickinson, 2003). It turns out that in all known cases, the proteinaceous fraction responsible for the surface activity of the polysaccharide is a rather small component of the total polysaccharide, perhaps as low as 12% (Randall, Phillips, & Williams, 1989), with only around 1-2% actually ending up adsorbed on the surface of the droplets (Randall, Phillips, & Williams, 1988). It is this

32 small portion that provides the desired steric repulsion between the droplets and contributes
33 to their colloidal stability, as a genuine emulsifier.

34 The presence of a small amount of free polysaccharide in a colloidal dispersion is often
35 detrimental to stability of the particles. This is mainly due to the ability of free polymer in
36 solution to induce depletion flocculation between the emulsion droplets (Dickinson, 1992;
37 McClements, 1998). Although aggregates thus formed are normally rather weak and easily
38 redispersed under gentle shear, their presence increases the rate of creaming and speeds up
39 any possible coalescence and breakup of the emulsion. This consideration, combined with
40 only having a low fraction of proteinaceous chains in the natural surface active
41 polysaccharides, has prompted many researches to attempt to deliberately synthesis these
42 types of conjugate biopolymers. This is achieved by the covalent bonding of a suitable
43 protein with a polysaccharide, facilitated by Maillard reactions between the two.(Dickinson,
44 2009) Conjugate biopolymers involving ovalbumin-dextran (Kato, Sasaki, Furuta, &
45 Kobayashi, 1990), β -casein-dextran (Dickinson & Semenova, 1992; Semenova, Belyakova,
46 Polikarpov, Antipova, & Dickinson, 2009), bovine serum albumin-dextran (Dickinson, et al.,
47 1992), β -lactoglobulin-propylene glycol alginate (Dickinson & Galazka, 1991),
48 β -lactoglobulin-dextran (Akhtar & Dickinson, 2003), α -actalbumin-acacia gum (de Oliveira,
49 et al., 2015), peanut protein isolate-dextran (Li, Xue, Chen, Ding, & Wang, 2014) and whey
50 protein-maltodextrin (Akhtar & Dickinson, 2007) have all been successfully produced
51 through this route. In most of these studies, it has been found that the resulting protein-
52 polysaccharide complexes do indeed exhibit a significantly superior colloidal stabilising
53 property compared to protein alone. In particular, it has been reported that at lower pH
54 values close to the pI for many proteins, where protein stabilised emulsions have a tendency
55 to break up, the emulsion droplets stabilised by the conjugates show no sign of any
56 appreciable change in their size, even after a relatively long period of storage (Akhtar, et al.,
57 2007; Dickinson, et al., 1992).

58
59 Despite their superiority, conjugate protein-polysaccharides are still not widely used as
60 commercial emulsifiers in large scale production of food emulsions. This may be due to
61 variability in the quality of the resulting complexes, arising from the difficulty of controlling
62 the reaction conditions. The Maillard reactions also only occur efficiently at certain optimum
63 value for water activity. Achieving this optimum value requires a significant level of drying
64 that is normally carried out in a batch process. This adds to the costs of large scale

65 manufacturing of the conjugates for commercial use. Furthermore, the presence of small
66 amounts of impurity, in the form of low molecular sugar moieties, greatly diminishes the
67 reaction efficiency. Under such circumstances, the much larger polysaccharides have to
68 compete with these undesirable sugar molecules for the limited reaction sites on the protein.
69 The number density, even for a small amount of impurity, greatly favours the sugar moieties,
70 depriving polysaccharide chains from the potential protein residues through which covalent
71 bonds are to be formed. These issues have led some researchers to explore an alternative
72 method to modify polysaccharides, turning them from purely hydrophilic molecules to more
73 surface active amphiphilic ones. This route involves the attachment of small hydrophobic
74 groups, often randomly distributed, throughout the backbone of the polysaccharide molecule.
75 By adjusting the number of such sites, the amphiphilic nature of the hydrophobically
76 modified polysaccharide can be fine-tuned. The technique has most widely been applied to
77 cellulose and its derivatives (Charpentier, et al., 1997; Kawakami, Ihara, Nishioka, Kitsuki, &
78 Suzuki, 2006; Rosilio, Albrecht, Baszkin, & Merle, 2000; Taubner, et al., 2013), dextran
79 (Carrier, Covis, Marie, & Durand, 2011; Rotureau, Leonard, Dellacherie, & Durand, 2004;
80 Rouzes, Durand, Leonard, & Dellacherie, 2002), chitosan (Calejo, Kjoniksen, Maleki,
81 Nystrom, & Sande, 2014; Dowling, et al., 2011; Shedje & Badiger, 2014; Sjöholm, Cooney,
82 & Minter, 2009) and starch (Nilsson & Bergenstahl, 2006, 2007; Yusoff & Murray, 2011),
83 not surprisingly given that these are the most abundant polysaccharides. The actual
84 modification can take a number of different forms, but often involves the attachment of short
85 alkane side chains to the polysaccharide. Chemical modification of starch for example can be
86 obtained by esterification of acid anhydrides, such as octenyl succinic anhydride (OSA),
87 dodecyl succinic anhydride (DDSA) fatty acids and inclusion of fatty acid chlorides with
88 hydroxyl groups in starch molecules (Liu, et al., 2008; Nilsson & Bergenstahl, 2006; Nilsson,
89 et al., 2007; Yusoff, et al., 2011), though of these only octenyl succinic anhydride (OSA) is
90 currently a permitted food-grade reagent for the modification of starch (Liu, et al., 2008).
91 Hydrophobically modified starch, produced by altering waxy corn starch, is now available as
92 a commercial product and has begun to appear in some food formulations. Other modified
93 starch include those derived from barley and potato (Nilsson, et al., 2007).

94

95 In this study we will solely be concerned with interfacial behaviour of molecularly adsorbed
96 films of modified starch. This must be distinguished from an alternative way in which
97 hydrophobic starch can become attached to the surface. Due to their hydrophobic groups,
98 starch molecules can associate and remain as small granular particles dispersed in the

99 solution. It has been shown that these starch particles have a suitable surface chemistry and
100 the required contact angle values in an appropriate range that allows them to adsorb at air-
101 water or oil-water interfaces (Marefati, Rayner, Timgren, Dejmeek, & Sjo, 2013; Yusoff, et
102 al., 2011). This in turn enables them to stabilise the emulsion droplets through the so called
103 “Pickering mechanism” (Binks, 2002; Dickinson, 2010; Murray, 2007). Much like other
104 types of Pickering emulsions, the stability of droplets covered by such starch granules is
105 exceptionally good. However, the droplets do tend to be rather coarse (Marefati, et al., 2013;
106 Sjo, Emek, Hall, Rayner, & Wahlgren, 2015; Yusoff, et al., 2011), often having average
107 sizes of several tens of microns. In contrast, in this study we shall focus on the adsorption
108 and formation of macromolecular starch layers, which are reported to produce significantly
109 finer emulsions $< 1 \mu\text{m}$ (Chanamai & McClements, 2001; Chanamai, et al., 2002; Tesch,
110 Gerhards, & Schubert, 2002). We note that to achieve this, it is often useful that the
111 hydrophobic modification is carried out on starch hydrolysates, as for example those resulting
112 from the action of α -amylase. Modification of enzymatically treated waxy corn starch has
113 been shown to produce significantly enhanced emulsifying properties when compared with
114 traditional modified waxy corn starch (Liu, et al., 2008). Presumably, enzymatic treatment
115 prior to modification allows for a more efficient reaction that helps with the substitution of
116 the hydrophobic groups. Also the lower molecular size of the produced chains accounts for
117 their more rapid adsorption dynamics to the newly created interfaces, thus producing smaller
118 emulsions. Furthermore, the intact modified starch molecules can be rather big with radii of
119 gyration that can easily be as much as $\sim 50 \text{ nm}$ or so. This may not be desirable when one is
120 producing sub-micron emulsions. As well as enzymatic reduction in the size of the starch
121 chains, the application of high levels of shear has also been shown to be capable of causing a
122 considerable degradation of the chains (Nilsson, Leeman, Wahlund, & Bergenstahl, 2006).

123

124 It is well known that starch derived from different plants, while all consisting of a mixture of
125 amylose and amylopectin, have their widely contrasting properties with respect to the ratio of
126 their branch to linear chains, additions such as phosphorylation of amylopectin, the degree of
127 branching of the latter and of course the overall molecular weight distribution of the starch
128 molecules. Even for the same species, starch obtained from crops grown under different
129 environmental conditions can show large variations with regards to these mentioned
130 parameters (Alvani, Qi, Tester, & Snape, 2011; Genkina, Wasserman, Noda, Tester, &
131 Yuryev, 2004). This then poses several interesting questions regarding the hydrophobic

132 modification of the starch. For example, is the degree of hydrophobic substitution (DS) the
133 same or does it vary with the molecular weight of the chains in the distribution, as well as
134 with their degree of branching. Even if one supposes that the values of DS and the molecular
135 weight of chains are identical, how different would the surface behaviour of the highly
136 branched amylopectin fraction be, when they are compared to the more linear amylose?
137 More specifically, one may ask which of these two types of starch, or perhaps a particular
138 mix ratio, will produce the modified starch with the most optimum steric stabilising ability,
139 for a given value of DS. In the current study we attempt to partially answer some of these
140 questions, by performing theoretical calculations to obtain the magnitude of the repulsion
141 forces that result from the overlap of different modified starch layers, adsorbed on the surface
142 of emulsion droplets. Our method for performing these calculations is the technique
143 originally proposed by Scheutjens and Fleer (1979, 1985), based on the well-known Self
144 Consistent Field (SCF) theory in polymer science (Dolan & Edwards, 1975; Fredrickson,
145 Ganesan, & Drolet, 2002; Grosberg & Khokhlov, 1994). It was originally introduced to the
146 study of food colloids by Leermakers et al. (1996) and Dickinson et al. (1997; 1997) to study
147 and compare the adsorption behaviour of two disordered milk proteins, α_{s1} -casein and
148 β -casein, with each other. Since then, it has been successfully extended and applied by
149 others and us to the study of a number of different mixed biopolymer layers (Ettelaie,
150 Akinshina, & Dickinson, 2008; Parkinson, Ettelaie, & Dickinson, 2005), surface behaviour of
151 protein-polysaccharide conjugates (Akinshina, Ettelaie, Dickinson, & Smyth, 2008),
152 competitive displacement of protein and surfactant in mixed systems (Ettelaie, Dickinson, &
153 Pugnali, 2014) and the influence of fragmentation of proteins (Ettelaie, Zengin, & Lee,
154 2014) such as that of κ -casein during renneting process (Ettelaie, Khandelwal, & Wilkinson,
155 2014; Mellema, Leermakers, & de Kruif, 1999) on the stability of the colloidal particle (e.g.
156 casein micelles). Other slightly different SCF schemes have also proved useful in prediction
157 of the onset of liquid crystalline phases in food related systems (Mezzenga, Lee, &
158 Fredrickson, 2006).

159

160 In the next section we shall provide a brief sketch of our SCF calculations, since the scheme
161 has been described in great detail as part of many studies reported in the literature. However,
162 while several authors have applied such a method to chains containing a small number of
163 branch points, to our knowledge highly branched polymers, such as amylopectin, have not
164 previously been studied using the Scheutjens-Fleer SCF theory. Achieving this requires a

165 none-trivial extension to the scheme. Therefore, we give a more detailed account of the
166 differences and the way that highly branched polymers were incorporated in the method,
167 separately in appendix I. In section 3, we present our model and indicate how various
168 parameters that specify the modified amylose and amylopectin in our calculations were
169 chosen. In section 4, we provide the results of our SCF calculations and discuss and contrast
170 the behaviour of hydrophobically modified branch and linear starch with each other. We also
171 consider systems that contain a mixture of both of these two types of starch in order to
172 investigate how the surface behaviour of each kind of starch alters, when the other
173 component is also simultaneously present at the interface.

174

175 **2. SCF calculation methodology**

176

177 The purpose of the SCF calculations here is to evaluate the variation of the free energy of the
178 system during the approach of two surfaces, immersed in a solution of appropriate
179 macromolecules. The interaction potential between the two surfaces at a separation r is then
180 given as the difference between the free energy of the system when the surfaces are separated
181 by a distance r and when they are very far apart, i.e. $F(r) - F(\infty)$. To achieve this, first a
182 statistical mechanical averaging is carried out over the position of all monomers comprising
183 the chains and the solvent molecules. This procedure is rather elaborate and mathematically
184 complex, but well established. The details can be found in a number of excellent review
185 articles and books on the theory of polymers such as that of Doi and Edwards (1986) Lifshitz
186 et al. (1978), Grosberg and Khokhlov (1994), Fredrickson et al. (2002) and using an
187 alternative method based on polymer lattice models by Fler, Cohen Stuart, Scheutjens,
188 Cosgrove, & Vincent (1993). The outcome of this averaging process is a coarse-grained
189 functional, that provides the free energy in terms of the variation of the concentration of
190 different types of monomers across the gap between the plates. In principle any
191 concentration profile can appear with a probability $\sim \exp[-F(\{\phi_\alpha\})/k_B T]$, where $F(\{\phi_\alpha\})$ is the
192 free energy for that given set of density profile variation, T the temperature and k_B the
193 Boltzmann constant. Thermodynamic quantities of interest can then be evaluated by
194 averaging their values over all the possible density profiles, each appropriately weighted in
195 accordance to their probability of occurrence. It turns out that carrying out this last part of the
196 calculation is prohibitively difficult and therefore a suitable approximation is required. In
197 SCF theory, this is achieved by assuming that the set of density profiles that minimises

198 $F(\{\phi_\alpha\})$ is also the one that overwhelmingly dominates the averaging. That is the say that all
 199 thermodynamic quantities of interest are essentially those obtained for this concentration
 200 profile that has the highest probability of occurrence. As such, the SCF theory shares the
 201 common feature of all mean field theories in that it ignores the fluctuations around this most
 202 probable profile. It has been argued that for problems involving dense adsorbed polymer
 203 interfacial layers, fluctuations in the density profile are generally small and the approximation
 204 a valid one (Fleer, et al., 1993).

205 Any monomer residue, residing at a position z in the gap between the two approaching
 206 interfaces, feels an effective field $\psi(z)$ which arises from the short ranged interactions that
 207 this residue experiences from its neighbouring monomers. If additionally the monomer also
 208 carries electric charge, then it will further interact with other charged monomers and ions in
 209 the solution, through the longer ranged Coulombic forces. In this study we assume that
 210 saccharide monomers that make up the starch molecules are not charged. In this case it can
 211 be shown that the field experienced by a monomer of type α , situated at position z , is given
 212 by

$$213 \quad \psi_\alpha(z) = \psi_h(z) + \sum_{\beta} \chi_{\alpha\beta} (\langle \phi^\beta(z) \rangle - \Phi^\beta) + [\delta(z) + \delta(z - a_0 L)] \chi_{\alpha s} \quad (1)$$

214 for the specific density profile that minimises the free energy (Ettelaie, Murray, & James,
 215 2003; Evers, Scheutjens, & Fleer, 1990; Fleer, et al., 1993). In the above equation, $\langle \phi^\beta(z) \rangle$
 216 and Φ^β represent the average concentration of monomers of type β in the vicinity of point z
 217 and that in the bulk solution far from the gap, respectively. We take the range of these
 218 interactions to be of the order of the size of the monomers, denoted by a_0 from now on.
 219 Consequently, it is also useful to divide the distance between the two surfaces into layers of
 220 thickness a_0 parallel to surfaces. Thus in doing so, we represent the smooth spatially varying
 221 concentration profile in the gap between the two interfaces by a set of discrete values,
 222 specified inside each layer. We label these layers as $l=1,2,\dots L$, where $z=La_0$ is the position
 223 of the second interface relative to the first one. The strength of the short ranged interaction
 224 for two residues of different kind α and β is specified by the Flory-Huggins parameter
 225 between the two, $\chi_{\alpha\beta}$. Eq. (1) also involves a field component $\psi_h(z)$. This component, which
 226 acts equally on all monomers and solvent molecules irrespective of their type, ensures the
 227 incompressibility of the fluid and the requirement that $\sum_{\alpha} \phi^\alpha(1)$ remains a constant equal to

228 $\sum_{\alpha} \Phi^{\alpha}$, for every layer l in the gap. For residues in layers 1 and L there are also the added
229 interactions with the interface to consider. Again the strength and nature of these are
230 specified by the sign and magnitude of parameters $\chi_{\alpha s}$, appearing in the last term of Eq. (1).
231 Positive values for a given species indicate the tendency of the monomers of this type to
232 avoid the interface, while negative values show their affinity for adsorption.

233 For any set of fields, $\{\psi_a(l)\}$, specified for every type of monomer everywhere in the gap, it
234 is a relatively straight forward task to calculate the resulting density profile, $\{\phi^{\alpha}(l)\}$. This is
235 accomplished with the aid of the segment density functions $G_i^{(f)}(l; s)$ and $G_i^{(b)}(l; s)$, defined
236 for every polymeric species i in the solution. The quantity $G_i^{(f)}(l; s)$ is the probability (to
237 within a fixed normalisation coefficient) of finding a segment of the polymer, consisting of
238 the first s monomers of the chain of type i , such that this segment ends in the layer l , i.e. has
239 its s^{th} monomer residing in layer l . The s monomers can be chosen from either end of a chain.
240 To distinguish these two possibilities for polymers that are not symmetrical, the segment
241 density functions are labelled with subscripts (f) and (b). Note that the monomer sequence
242 numbers for the forward (f) and backward (b) segment density functions are labelled in
243 reverse order to each other. The great simplification in the calculation of the segment density
244 functions occurs due to the connectivity of the chain, namely that if the monomer s is in the
245 layer l , then the previous monomer, $s-1$, must have been in the same layer or one of the two
246 adjacent layers $l-1$ or $l+1$. This simple observation leads to the following useful recurrence
247 relation for G :

$$248 \quad G_i^x(l; s) = \exp(-\psi_{t_i^x(s)}(l)) \left\{ \lambda_{-1} G_i^x(l-1; s-1) + \lambda_0 G_i^x(l; s-1) + \lambda_1 G_i^x(l+1; s-1) \right\}$$

249 , (2)

250 where the suffix x represents either (b) or (f). As in our previous work (Ettelaie & Akinshina,
251 2014; Ettelaie, Khandelwal, et al., 2014) we use the function $t_i^x(s)$ which evaluates to the type
252 number for the monomer s . As will be discussed in the next section, in the current model of
253 modified starch we have two kinds of monomers; a hydrophilic glucose group, which we
254 denote as kind $\alpha = 2$, or a substituted hydrophobic ones where $\alpha = 1$. Additionally, we take
255 the solvent molecules as being of type $\alpha = 0$. Note also that in Eq. (2), and throughout the
256 rest of the paper, we express all energies and fields in units of $k_B T$. Similarly, we shall take

257 the unit of length as a_0 , unless otherwise stated. The values of the coefficients λ_{-1} , λ_0 and λ_1
 258 depend on the number of neighbours that a monomer has within its own layer and the two
 259 adjacent layers. Based on a cubic lattice representation, these values are chosen as $\lambda_0 = 4/6$
 260 and $\lambda_{-1} = \lambda_1 = 1/6$.

261 Starting from either ends of a chain, for a segment of size one, we have

262 $G_i^{(f)}(\mathbf{l},1) = \exp[-\psi_{t_i^f(\mathbf{l})}(\mathbf{l})]$ and $G_i^{(b)}(\mathbf{l},1) = \exp[-\psi_{t_i^b(\mathbf{l})}(\mathbf{l})]$. This allows us to begin the

263 recursion procedure. Using Eq. (2) one can efficiently calculate all the segment density
 264 functions, up to and including those for the entire chain of type i . Finally, the determination
 265 of concentration of each type of monomer follows directly from the knowledge of the
 266 segment density functions in combination with the well-known composition law: (Evers, et
 267 al., 1990; Fler, et al., 1993; Leermakers, et al., 1996)

$$268 \quad \phi^\alpha(\mathbf{l}) = \sum_i \frac{\Phi_i}{N_i} \sum_{s=1}^{N_i} \frac{G_i^{(f)}(\mathbf{l};s)G_i^{(b)}(\mathbf{l};N_i-s+1)\delta_{\alpha,t_i^f(s)}}{\exp[-\psi_{t_i^f(s)}(\mathbf{l})]} \quad (3)$$

269 In Eq. (3), Φ_i is the concentration of the biopolymers of type i in the bulk solution, N_i their
 270 size (number of monomer units) and the Kronecker delta function $\delta_{i,j}$ has its usual definition,
 271 evaluating to 1 if $i=j$ and zero otherwise.

272 It is seen that Eq. (1) provides the values of the fields $\{\psi_a(\mathbf{l})\}$ from the corresponding
 273 concentrations $\{\phi^\alpha(\mathbf{l})\}$, while Eqs. (2) and (3) allow us to do the reverse. This is the basis for
 274 an iterative process in which one begins from an initial rough estimate of the values of the
 275 fields. The concentration profiles are calculated from (2) and (3) and are substituted back in
 276 Eq. (1) to yield a new set of “improved” fields. The process is repeated until no substantial
 277 changes in either $\{\psi_a(\mathbf{l})\}$ or $\{\phi^\alpha(\mathbf{l})\}$ are detected with further iterations. Thus the
 278 convergence produces a “self-consistent” solution, which is the density profile that minimises
 279 the free energy of the system for the desired gap size. The procedure described above applies
 280 to linear chains. For highly branched polymers, Eqs. (2) and (3) take on somewhat different
 281 forms. The modifications necessary are described in the appendix for completeness, but
 282 these differences apart, the overall procedure remains largely the same. Once the
 283 concentration profiles and the corresponding fields are determined at a given plate separation
 284 distance, these are simply substituted in the free energy expression $F(\{\phi_\alpha\})$ to yield its

285 required value at that gap size (Ettelaie, Dickinson, et al., 2014; Ettelaie, Khandelwal, et al.,
286 2014; Evers, et al., 1990; Fler, et al., 1993).

287

288 **3. Models for hydrophobically modified amylose and amylopectin**

289

290 Food grade starch consists of a mixture of a highly branched amylopectin fraction and an
291 almost linear amylose component. The starch derived from different sources can vary widely
292 with regards to the relative abundance of each type of chains. In typical corn starch, often
293 used in the commercial manufacturing of hydrophobically modified starch, the relative ratio
294 of amylose is around 20%, while in waxy corn starch this can be much smaller (Sweedman,
295 Tizzotti, Schaefer, & Gilbert, 2013). The molecular weight of the amylose fraction is
296 typically $\sim 1 \times 10^2$ to 3×10^2 kDa (Mua & Jackson, 1997b; Neelam, Vijay, & Lalit, 2012),
297 thus giving the number of glycoside residues for these chains from around 500 up to a few
298 thousand. In contrast, highly branched amylopectin has a molecular weight that can be many
299 tens of MDa (Mua & Jackson, 1997a). Nonetheless, it is known that this high molecular
300 weight fraction is often subjected to enzymatic degradation prior to hydrophobic modification
301 to improve the efficiency of the reaction (Tizzotti, Sweedman, Schaefer, & Gilbert, 2013).
302 Furthermore, application of shear during the homogenisation and emulsification has been
303 shown to reduce the molecular weight significantly (Modig, Nilsson, Bergenstahl, &
304 Wahlund, 2006; Nilsson, Leeman, et al., 2006), down to values even lower than 1 MDa. It is
305 the aim of this work to compare and contrast the adsorption behaviour and the steric
306 stabilising properties of highly branched molecules with those of linear form. To this end,
307 and in order to ensure that differences in molecular weights or other such parameters do not
308 influence the results, we have chosen the number of saccharide monomers in both amylose
309 and amylopectin models to be 1890. This value was chosen so as to be realistic for both
310 groups of biopolymers, representing chains at the upper end of the weight distribution for
311 amylose, while also encompassing the lower end tail for the degraded amylopectin. The
312 architecture of our model amylose and amylopectin-like molecules is presented in Fig. 1.
313 From experimental data, the degree of polymerisation between two branch points for
314 amylopectin, obtained from a variety of different sources, tends to lie in the range of 5 to 35
315 monomers (Bertoft, Piyachomkwan, Chatakanonda, & Sriroth, 2008). In this study we shall
316 take this to be 30 units, thus giving a total of 31 branch points for our model amylopectin.
317 Out of the 1890 saccharides comprising each chain, 63 of these are hydrophobically

318 modified, setting the degree of substitution to $DS = 3.3 \%$. For food grade modified starch,
319 the substitution is carried out through attachment of short chains facilitated by the
320 esterification of starch and octenyl succinic anhydride (OSA) under alkaline conditions
321 (Tesch, et al., 2002). This is limited to no more than around 3%. The particular choice of DS
322 made here means that there is exactly one modified residue for each strand between any two
323 successive branch points. The similarity of all strands greatly simplifies our calculations, as
324 is explained in appendix. We have chosen the substituted units to be relatively close to the
325 branch points. Though this need not be the case in our model, we opted to do so influenced
326 by recent experimental data which imply that the distribution of reacted saccharides favours
327 those which are closer to the branch units (Bai, Kaufman, Wilson, & Shi, 2014). Our
328 model-starch chains are flexible, random and disordered polymers. At sufficiently low
329 temperatures, some polysaccharides do form secondary structures such as double helices.
330 This makes the application of SCF theory to such molecules invalid. However, this is less of
331 an issue with starch, particularly at higher temperatures and when it has also been
332 hydrophobically modified.

333 The reaction of a sugar residue on the starch backbone with OSA, results in the attachment of
334 a small hydrophobic side chain to the starch. Strictly speaking in our model one has to also
335 represent this as so, with the side chain made up of 8 to 10 individual monomers. However,
336 this greatly adds to the complexity of an already highly branched structure (Fig. 1.) making
337 the calculations too slow and difficult to implement. Instead, in this study, we shall represent
338 each hydrophobic side chain by a single monomer. However, we ensure that the degree of
339 hydrophobicity (i.e. the interactions of this hydrophobic monomer with the solvent
340 molecules) is of appropriate strength so as to represent the value attributed to the whole of the
341 side chain. The strength of hydrophobic interactions is estimated to increase between $1.0k_B T$
342 to $1.5k_B T$ for each additional CH_2 group on an alkane chain (Hunter, 2000). Therefore the
343 Flory-Huggins χ parameter for the interaction of our hydrophobic monomers with the solvent
344 molecules is set to $12k_B T$. Similarly, the energy change associated with the adsorption of
345 these groups onto a hydrophobic surface has a negative favourable value of $-12k_B T$ in the
346 model. The same adsorption parameter is zero for the rest of the monomers forming the
347 starch molecules, including those that are branch points. This indicates the hydrophilic
348 nature and lack of any affinity for adsorption for these unmodified units. We also take water
349 to be a good (athermal) solvent for the sugar moieties, again by setting the solvent-saccharide
350 interaction parameter to $0 k_B T$.

351 Finally, in SCF calculations it is customary to adopt a single size for all residues involved in
352 the calculations. While this requirement is not strict, the overheads associated with relaxing
353 it are usually quite large and yet found not to yield qualitatively different conclusions.
354 Saccharide units have a size of ~ 0.9 nm, whereas the solvent water molecules are smaller
355 ~ 0.3 nm. As a compromise, we choose our nominal monomer unit to be $a_0=0.5$ nm. This
356 will also be our unit of length in the calculations which follow, unless it is stated otherwise.

357

358 **4. Results and discussion**

359 *4.1. Unmodified amylose and amylopectin.*

360 It is instructive to begin by considering the interfacial behaviour of starch molecules, prior to
361 their hydrophobic modification. Purely hydrophilic chains like unmodified starch, are
362 expected to avoid the hydrophobic interfaces. This is mainly due to the conformational
363 entropy losses suffered by these macromolecules, when they are in the proximity of such
364 surfaces. The SCF calculated results for the variation of the polymer density profiles of both
365 “amylose-like” and “amylopectin-like” chains, close to a hydrophobic interface, are displayed
366 in Fig. 2. The bulk volume fraction of starch in each solution was 0.1 %. Both the branched
367 and the linear starch molecules show a strong tendency to deplete from the interfacial region
368 close to the surface. Further away from the interface the polymer concentrations return to the
369 bulk value of 0.001. However, it is quite clear that the depleted region is considerably more
370 extended for amylose, stretching for up to 20 nm away from the surface. This is despite the
371 fact that both sets of chains comprise of exactly the same number of monomers. It is well
372 known that a linear polymer exhibits a larger degree of swelling in a good solvent compared
373 to its branched counterpart. The highly branched polymer is restricted in this respect by the
374 constraints that are imposed on it by the presence of its cross-link points. On the other hand,
375 this makes the behaviour of the more compact amylopectin somewhat similar to that for hard
376 sphere like objects. For hard spheres, the structural ordering close to the interface leads to
377 oscillations in the density profile, extending to distances of several particle diameters away
378 from the surface (Dickinson, 1992; Hunter, 2000). While such oscillation also exist for
379 polymers (Cates, 1998), the more flexible nature of the latter combined with the low
380 polysaccharide volume fractions used in practice, greatly diminishes these. Nonetheless, even
381 at the relatively low polymer bulk concentration used here, a maximum, arising from the

382 oscillations in the density profile, is quite noticeable at a distance of ~ 10 nm for the
383 amylopectin based system (Fig. 2).

384 The resulting depletion interactions for both systems are shown in Fig. 3, obtained for
385 emulsion droplets of size $1 \mu\text{m}$. These interactions are essentially attractive, but as expected
386 are quite weak. At the droplet separation range considered here, the van der Waals forces
387 between the emulsion drops are much stronger than the biopolymer induced ones. For this
388 reason we have chosen to exclude these from the graphs of Fig. 3, thus allowing the energy
389 potential component solely arising from the presence of starch in solution to be more clearly
390 displayed. The more extended depletion zone for the amylose based system (Fig. 2) leads to
391 a longer ranged, and consequently also a more attractive depletion potential in comparison to
392 amylopectin. The oscillatory nature of the interactions is once again evident in the more
393 detailed graphs, shown in the insets of Fig. 3. For practical purposes, the energy barriers
394 arising in Fig. 3 (inset) have no real consequences, being all but too small to lead to any kind
395 of depletion related stabilisation mechanism (Hunter, 2000). This is even more evident from
396 the full interaction potentials, which also includes the van der Waals forces (not shown here).
397 Nevertheless, it is interesting to note that the energy barrier produced by amylopectin is
398 slightly larger than that for amylose, thus mirroring a similar trend as the density profile
399 variation seen in Fig. 2.

400 *4.2. Hydrophobically modified amylose and amylopectin at interfaces.*

401 The attachment of small hydrophobic side chains to some of the monomer residues of starch
402 turns it into an amphiphilic macromolecule. In our model this is achieved by simply
403 changing the interaction χ parameters for the substituted residues, along the lines described in
404 section 3. Altogether 63 monomers, out of a total of 1890, are altered to give a degree of
405 substitution $DS = 0.033$. A distinctive characteristic of many large amphiphilic
406 macromolecules is their extremely high degree of surface affinity and their strong tendency
407 for adsorption onto hydrophobic-hydrophilic interfaces, as for example is readily
408 demonstrated by the adsorption behaviour of proteins (Dickinson, 1992; McClements, 1998).
409 This feature turns out to also be shared by modified starch. In Fig. 4 we present the predicted
410 adsorption isotherms, obtained from our SCF calculations over five decades of starch
411 concentration, ranging from a bulk volume fraction of 1×10^{-8} up to 1×10^{-3} . The adsorbed
412 amount is obtained as the excess number of chains per unit area (a_0^2) in the gap between the
413 two surfaces, calculated at a sufficiently large L :

$$\theta_i^{\text{ex}} = \frac{1}{2} \sum_{l=1}^L (\phi_i(l) - \Phi_i) \quad . \quad (4)$$

415 The large distance between the two interfaces ensures that these are isolated from each other
 416 and do not perturb the adsorption on the opposite surface. The factor of half in Eq. (4) is there
 417 to to compensate for the presence of two interfaces in our setup. As the data in Fig. 4 shows,
 418 the adsorbed amount for both the branched and linear chains barely alters over the
 419 concentration range accessible to our numerical calculations, having already reached the
 420 saturation at 1×10^{-8} v/v. The surface excess amounts are 0.00109 chains per unit area of a_0^2
 421 for the hydrophobically modified amylopectin and 0.00082 for amylose, at equilibrium with a
 422 starch volume fraction of 1×10^{-8} in the solution. At a much higher bulk concentration of
 423 1×10^{-3} v/v, the corresponding values are hardly different at 0.00111 and 0.00085 chains per
 424 surface area a_0^2 , respectively. The equilibrium between the almost saturated surfaces in
 425 contact with a minute amount of starch in the solution is indicative of the very high degree of
 426 surface affinity of both these hydrophobically modified biopolymers. Based on the results of
 427 Fig. 4 and with our chains each consisting of 1890 saccharide monomers, where 63 of these
 428 have been modified by attachment of octenyl succinic anhydride (Bhosale & Singhal, 2006;
 429 Sweedman, et al., 2013), we have estimated the saturated adsorbed amounts to be 2.3 mg/m²
 430 for the hydrophobically modified amylopectin and 1.8 mg/m² for the modified amylose.
 431 These values are somewhat larger than those we previously obtained for proteins (~ 1 mg/m²)
 432 (Ettelaie & Akinshina, 2014; Ettelaie, Akinshina, & Maurer, 2012), but in line with
 433 experimental data by Nilsson and Bergenståhl, where values ranging from 1.5 to 16 mg/m²
 434 have been reported for modified starch (Nilsson & Bergenstahl, 2006). It must be pointed out
 435 that the molecular weight of our amylopectin-like molecules happens to be at the very low
 436 end of the size distribution for such biopolymers, and then in the partially hydrolysed or
 437 degraded samples (Nilsson & Bergenstahl, 2006; Nilsson, Leeman, et al., 2006). As shown
 438 later, increasing the size of the chains, even without altering the number of substituted
 439 residues (therefore an effective decrease in DS), can have a significant impact on elevating
 440 the amount of adsorbed starch.

441 For macromolecules with high adsorption energies, the saturation coverage is limited by the
 442 extent of excluded volume interactions that arise due to the overlap of chains deposited on the
 443 surface. Results from the previous section suggest that the overlap will occur at a lower
 444 surface coverage for amylose, relative to amylopectin of the same molecular weight, given

445 the more extended nature of the former. This then explains the greater level of saturation
446 coverage for the branched chains (Fig. 4) being up to $\sim 30\%$ higher than the branched starch.
447 It can also be inferred that if all else is kept the same, during the competitive adsorption
448 between these two biopolymers in mixed systems, it is the hydrophobically modified
449 amylopectin which is expected to dominate the surface. This point is discussed further in
450 section 4.4.

451 The thickness of the adsorbed interfacial layers formed by our modified starch molecules, and
452 the variation of the polymer density profile within them, is presented in Fig. 5 for each of the
453 two starch solutions at bulk concentrations of 0.001 %. The graphs show the biopolymer
454 volume fractions plotted as a function of distance away from the surface. The higher amount
455 of deposited amylopectin (solid line in Fig. 5) is once again evident at distances close to the
456 interface. But the more interesting feature of these graphs occurs at larger distances, from
457 around 8nm to 18 nm. To better highlight this feature, the volume fraction variation of the
458 chains over this range of distances is magnified by plotting the results on a finer scale, as
459 presented in the inset graphs of Fig. 5. The situation is now the reverse of what we had closer
460 to the interface, with amylose volume fraction now being higher than amylopectin at these
461 distances further from the surface. We also note that the polymer volume fractions are still
462 relatively high (~ 0.002 v/v) compared to their bulk values (1×10^{-5}). These results suggest
463 that while the interfacial layer consisting of amylopectin has a rather sharp and well defined
464 boundary with the surrounding solution (occurring here at $\sim 8 - 9$ nm), the outer part of the
465 hydrophobically modified amylose film in contrast is more extended and diffuse. This outer
466 section of the amylose layer is likely to consist of dangling ends of the linear chains that can
467 protrude further away from the surface.

468 To test the above idea, it is useful to consider how far from the interface each of the
469 monomers on the backbone of our adsorbed starch molecules are actually situated. In
470 calculating such data, we first note that it suffices to obtain the average distance away from
471 the interface for only a subset of monomers, located on a certain segment of the amylopectin.
472 This part of the molecule is highlighted in the schematic diagram of Fig. 6. Each monomer,
473 not included in the marked section, has an equivalent counterpart in the highlighted segment.
474 So for example, monomer (b) will have the same properties as monomer (a) in the marked
475 section. Similarly, residues labelled (d) and (f) will reside at the same average distance away
476 from the surface as monomers (c) and (e), respectively (Fig. 6). In Fig. 7, we present the
477 results of our calculations, showing the average position of monomers of amylopectin relative

478 to the surface (solid lines). The monomers are numbered sequentially from 1 to M_i along the
479 marked segment of amylopectin, starting from monomer (a) in Fig. 6. We will denote the
480 number of monomers in the highlighted part of amylopectin by M_i , so as to distinguish this
481 from the overall size of the amylopectin molecules which was represented by N_i . For our
482 “amylopectin-like” model here, $M_i = 151$. Also in Fig. 7 are included for comparison the
483 same data for 151 consecutively chosen monomers of the hydrophobically modified amylose
484 (dashed lines). The residues are chosen from one end of the molecule in Fig. 7a (1th to 151th)
485 and from the middle section of the chain in Fig. 7b (870th to 1010th). The average distance
486 is expressed in units of monomer nominal size, a_0 . The graphs show that all the
487 hydrophobically substituted monomers of the adsorbed amylopectin chains are in close
488 contact with the interface, resting within a distance $1a_0$ of the surface. The same is also true
489 for the hydrophobic residues of modified amylose, chosen from the middle sections of the
490 adsorbed chains (dashed line in Fig. 7b). The hydrophilic parts of the biopolymer, between
491 any two consecutive hydrophobes, are then seen to protrude in a loop like fashion for short
492 distances away from the interface. Small kinks observed in the graphs for amylopectin (solid
493 lines in Fig. 7a and 7b) arise from the fact that these are the positions of branch points in our
494 model. As mentioned previously the modified sites were chosen to be close to such branch
495 points. The location of one such branch point is highlighted in Fig. 7a by an arrow. While
496 the same trends are seen in both Fig. 7a and 7b, it is quite noticeable that those hydrophobic
497 monomers of amylose, situated close to either ends of the linear starch molecule, are no
498 longer all completely resting on the surface. This can be deduced from the fact that the
499 average distance for such substituted monomers is no longer $1a_0$, but several monomer size
500 units away from the interface. As one moves towards the centre of the linear chain, the
501 average position of these hydrophobic groups decreases (Fig. 7a) from a value $\sim 5a_0$ down to
502 $1a_0$. The presence of a small, but nonetheless significant amount of non-attached
503 hydrophobic residues in the interfacial film manifests itself in the type of colloidal
504 interactions that are induced by the overlap of such amylose layers. These interactions are
505 studied next.

506 *4.3. Interaction potentials.*

507 The overlap of layers adsorbed on to two approaching surfaces gives rise to effective
508 colloidal forces between the two interfaces. These interactions can be evaluated from the free
509 energy data provided by SCF calculations, as was discussed in section 2. Furthermore, with
510 the aid of the so called Derjaguin approximation (Hunter, 2000; Russel, Saville, &

511 Schowalter, 1992), it is possible to convert the potential between two flat surfaces to that
 512 mediated between two spherical particles. Applicable to cases where the radius of the
 513 particles, R , is much bigger than both the particle separation and the range of the expected
 514 interactions, Derjaguin approximation states that the force between two particles, $f_{pr}(r)$, is
 515 related to the interaction potential (per unit area) between two flat plates, $V_{pl}(r)$, according to
 516 equation $f_{pr}(r) = \pi R V_{pl}(r)$. Integrating the force then, one obtains the required energy
 517 potential between our two spherical particles:

$$518 \quad V_{pr}(r) = -\pi R \int_{\infty}^r V_{pl}(z) dz \quad . \quad (5)$$

519 The colloidal interactions induced by adsorbed layers of hydrophobically modified
 520 amylopectin and their amylose counterparts are displayed in Fig. 8. The results were
 521 obtained for emulsion droplets of size 1 μm , immersed in 0.001% (v/v) solutions of each
 522 biopolymer. We have also included the direct attractive van der Waals interactions, given by
 523 $-AR/(12r)$ (McClements, 1998; Russel, et al., 1992), added to that mediated by the adsorbed
 524 modified starch in order to obtain the total pair potential for the particles. The value of the
 525 composite Hamaker constant, A , was taken as $1k_B T$, which is typical of edible food oils in
 526 water (Dickinson, 1992). For both the branched and the linear modified starch, a stable
 527 colloidal emulsion is predicted from the graphs of Fig. 8. Both graphs do show the presence
 528 of a shallow energy well, occurring at separations of 15 and 24 nm, for amylopectin and
 529 amylose. The depths of the corresponding minima are $2.6k_B T$ and $1.6k_B T$, respectively.
 530 These energy minima are easily overcome by a gentle degree of shear or even just the
 531 Brownian motion of the droplets themselves. However, the situation will be more
 532 problematic at larger droplet sizes, say 10 μm . The strength of the interactions tends to scale
 533 linearly with the size of the droplets (Hunter, 2000; Russel, et al., 1992) and therefore the
 534 predicted energy minima will be 26 and 16 $k_B T$, in these coarser emulsions. These are now
 535 quite deep enough to produce possible aggregation of the droplets, leading to faster creaming
 536 and possible coalescence. In same context it is also interesting to note that the energy
 537 minimum associated with amylopectin is substantially larger. This can be related back to the
 538 nature of the adsorbed layers discussed in the previous section. The amylopectin layers were
 539 found to be compact with well-defined outer edges (Fig. 5). Large steric repulsion arises as
 540 soon as two such interfacial films begin to overlap. The repulsive force is so strong that it
 541 simply dominates all the other possible colloidal interactions for this and at closer separation

542 distances. But the sharp boundary of the adsorbed film also means that at separation
543 distances fractionally larger than the overlap, there is essentially no steric repulsion. The
544 steric forces decays very rapidly once the particles are further apart than approximately twice
545 the layer thickness, i.e. a distance ~ 16 nm in our model. At these distances, any van der
546 Waals attraction as might exist between the particles can then manifest itself and lead to the
547 minimum in the interaction potential for the amylopectin based system. This occurs at a
548 particle separation of 15 nm, roughly twice the film thickness, as can be seen in Fig. 8. For
549 modified amylose, the more diffuse but extended nature of the adsorbed films produces a
550 longer ranged repulsion, albeit one that increases more gradually with decreasing distance
551 than the one seen for amylopectin. Nonetheless, the repulsion is still sufficiently strong to
552 overcome the van der Waals forces, thus resulting in a shallower minimum and one that
553 occurs at larger droplet separations (24 nm).

554 The above discussion at first seems to suggest some merit in using hydrophobically modified
555 starch that consists of a larger fraction of amylose. However, the graph of the interaction
556 potential for amylose in Fig. 8 also shows the existence of a further energy minimum at a
557 closer distance of 6 nm. Of course, there is a relatively large energy barrier ($\sim 15k_B T$) that a
558 particle/droplet pair has to be overcome before they fall into this minimum. Therefore, any
559 aggregation rate arising from the presence of this energy minimum is predicted to be slow,
560 though still noticeable over long periods of storage. We believe that this potential well occurs
561 as a result of the free unattached hydrophobically substituted residues, which as seen in Fig.
562 7, tend to be the ones residing at the extreme ends of the linear chains. The results of Fig. 7
563 also showed that such free residues were in contrast not present for the adsorbed amylopectin.
564 The unattached hydrophobic groups can lead to bridging attraction (McClements, 1998)
565 between the droplets, as the same chain can become adsorbed simultaneously to two
566 approaching surfaces at the same time. Such interaction potentials have also been predicted
567 by us (Ettelaie, et al., 2003) and others (Wijmans, Leermakers, & Fleer, 1994) in relation to
568 linear hydrophobic-hydrophilic multi-block polymers. These types of polymers also suffer
569 from the same type of tendency for bridging as the one expected here for the modified
570 amylose.

571 The above results suggest several possibilities for improving the steric interaction potentials
572 mediated by hydrophobically modified starch. Fortunately it turns out that these features are
573 already largely present in modified starch systems used in practice. We shall briefly examine
574 these in the final part of this section.

575

576 *4.4. Effect of molecular size and mixing of amylose and amylopectin*

577 Starch obtained from most sources is a mixture of amylose and amylopectin. Therefore, one
578 may ask as to how hydrophobically modified starch containing a mixture of both linear and
579 branched starch molecules will compare to either of these components alone, so far as the
580 steric stabilising properties are considered. Furthermore, to make the comparison of the two
581 types of starch molecules meaningful, we had used amylopectin with molecular weights that
582 were at the very bottom end of the size distribution for these branched biopolymers. The
583 larger size of amylopectin in real systems should in principle provide some improvements to
584 both the range and the strength of steric force mediated by these macromolecules. Both of
585 these issues are considered briefly in this section.

586 In Fig. 9, we display the calculated interaction potential curves between a pair of emulsion
587 droplets of size 1 μm , resulting from the overlap of adsorbed amylopectin layers. In all cases
588 the volume fraction of starch remaining in the solution was 10^{-5} v/v. As well as the original
589 size (1890 monomers) used in the previous sections (solid line), two more curves for
590 hydrophobically modified amylopectin are included. The dashed line represents the results
591 for molecules roughly twice the original size, at 3810 monomers, while the dashed line is for
592 chains almost half the size, at 946 monomers. In all cases the hydrophobic attachments were
593 kept the same at 63. The adsorbed amount at a single isolated surface for the three different
594 sized amylopectin chains were found to be largest for the small chains at 0.0028 chains per
595 monomer unit area. The corresponding values for chains of size 1890 and 3810 monomers
596 were 0.0011 and 0.0005 chains per a_0^2 , respectively. The higher number of adsorbed chains
597 predicted for the smallest chains is perhaps not surprising given the more compact nature of
598 these, coupled with their higher level of hydrophobic substitution (DS=6.6 % for the smallest
599 as oppose to 1.7% for our largest chains). With this information on the number of adsorbed
600 chains, and using the molecular weight of saccharide moieties and OSA attachments, we
601 estimate the adsorbed amounts to be 3.03, 2.33 and 2.1 mg/m^2 for each of the three sizes
602 studied here, beginning with the smallest one. This then suggests that, provided the number
603 of hydrophobic attachments is kept the same, the total amount adsorbed biopolymer is a
604 weakly decreasing function of chain size, for these hydrophobically modified branched
605 molecules. Despite the lower level of adsorption, it is found that the largest of our three
606 starch molecules extends further and forms a thicker, and consequently less dense, surface

607 film. A visual inspection of the density profile variation, plotted as a function of distance
608 away from the interface (similar to Fig. 5) for each of the three amylopectin cases, indicates
609 the thickness of the layers to be ~ 6.5 nm, 8 nm and ~ 11 nm, for the 946, 1890 and 3810
610 sized chains. All layers do show a rapid drop of monomer concentration and a thus a
611 relatively well defined edge, on their outer side. Despite its lower average monomer density
612 throughout the film, the slightly greater extension of the adsorbed interfacial layer for the
613 3810 sized chains provides for a longer ranged steric force, as can be ascertain from Fig. 9.
614 The graphs include the direct van der Waals potentials, added to the interactions mediated by
615 the biopolymers. The increase in the thickness of the layer for larger amylopectin, results in a
616 small reduction in the depth of the energy well from $2.6k_B T$ down to $2.0k_B T$ for $1 \mu\text{m}$
617 emulsions, or alternatively from $26k_B T$ to $20k_B T$ for $10 \mu\text{m}$ ones. To obtain fully stable
618 emulsions for $10 \mu\text{m}$ droplets much larger chains are required, however, the molecular weight
619 distribution for amylopectin can extend to tens of MDa. Therefore, it is quite conceivable
620 that such large amylopectin are readily available in any hydrophobically modified starch.
621 Furthermore, in the present calculation it is the total number of modified sites that we have
622 chosen to keep constant. If instead one kept the same value of DS, it is possible that the
623 predicted improvement in the steric stabilising behaviour of larger chains would have been
624 even more pronounced. However, our early preliminary results do not seem to support this
625 view. It seems that once a chain has a sufficiently large number of hydrophobic attachments
626 to saturate the surface, further increases in the level of substitution does not produce a much
627 larger level of adsorption. Our results once again confirm that the behaviour of highly
628 branched modified amylopectin is more similar to hard sphere particles. There for hard
629 spheres too, once the adsorption is high and a closed packed coverage of surface is achieved,
630 no further increase in the number of adsorbed particles results by increasing their adsorption
631 energy. We shall defer a more detailed investigation of the size dependence of adsorbed
632 amount of modified starch, as well as the possible relevance of the locations of hydrophobic
633 substitutions to a future publication.

634 The results of Fig. 8, show some draw backs for the steric stabilising properties of each type
635 of hydrophobically modified starch, when used on their own. For amylopectin, the compact
636 nature of the adsorbed layers leads to abrupt and rapid drop in the steric repulsion as inter-
637 particle separation increases beyond the overlap distance of the two layers. In the absence of
638 any electrostatic forces, to achieve good stability, particularly for larger emulsions ($> 10 \mu\text{m}$),
639 one requires the presence of very high molecular weight starch molecules in the system.

640 Although such large amylopectin molecules are present in starch obtained from many
641 different sources, it is beneficial to use smaller starch molecules where possible. Small
642 chains have faster adsorption kinetics and thus likely to lead to finer emulsions. Secondly, if
643 smaller starch molecules can be used, then one does not need to be duly concerned about
644 degradation of the starch chains, as may occur for example under application of shear during
645 homogenisation or during their hydrophobic modification.

646 Modified Amylose, compared to similar sized amylopectin, provides a longer ranged
647 interaction, but in addition, also has the propensity for inducing bridging attraction too. This
648 is quite similar to linear disordered protein molecules at pH values close to their pI (i.e.
649 without any electrostatic repulsion component being present) (Akinshina, et al., 2008).
650 Indeed the analogy between disordered proteins and hydrophobically modified amylose can
651 be taken further. There are cases reported in the literature where the presence of a globular
652 protein forming a compact film at the interface, in combination with a disordered protein,
653 seems to greatly enhance the stabilising properties of the latter. One particularly interesting
654 system of this kind is the mixture of β -lactoglobulin and sodium caseinate (Parkinson &
655 Dickinson, 2004, 2007), where addition of a small amount of caseinate to adsorbed
656 protein layers, provides a degree of emulsion stability, otherwise not achieved by either
657 component in isolation at these levels of surface coverage. This phenomenon has been
658 attributed to the much extended conformation adopted by casein, when a compact thin layer
659 of β -lactoglobulin is also present on the surface, in what was labelled as the “over grown”
660 garden model by Parkinson et al. (2005). Theoretical calculations also point to a greater level
661 of protrusion away from the surface in comparison to that at interfaces covered solely by the
662 same amount of casein (Parkinson, et al., 2005). It is natural then to ask whether a
663 combination of modified amylose and amylopectin may provide better stabilisation than
664 possible with either one, based on a very similar mechanism. The Results of our calculations
665 on mixed systems do indeed confirm this expectation. Fig. 10, displays the calculated
666 induced interaction potential between two emulsion droplets of size $1\mu\text{m}$, in a system with
667 bulk starch volume fraction of 10^{-5} v/v in solution. In every other respect the system is
668 identical to the ones that were presented in Fig. 8, apart from the fact that now 80% of the
669 starch in solution is modified amylopectin and 20% of it amylose. To produce a mixed starch
670 layer, it was necessary to slightly reduce the degree of hydrophobic substitution for
671 amylopectin, from 63 down to 58, while that of amylose was increased to 66. Thus this left
672 the average DS more or less unchanged. This was found necessary as it was observed that at

673 same level of modification, and with the same molecular weight chains, Amylopectin was
674 overwhelmingly dominant on the surface, displacing all the amylose from the interface
675 through the competitive adsorption process. With these minor changes the surface coverage
676 of amylopectin was 0.0009 and that of amylose 0.00035 chains per monomer unit area.
677 Comparison of the graph in Fig. 10, with those of Fig. 8, shows a clear improvement in the
678 provision of a suitable steric repulsion. The minimum energy well is now only $0.55k_B T$ for 1
679 μm droplets, and even for 10 μm emulsions not particularly problematic at 5.5 $k_B T$. With
680 slightly larger chains such as the one in Fig. 9, or more careful optimisation of the level of
681 each starch component on the surface, the depth of the well could be reduced even further.
682 However, it is important to note that now both of the desirable properties of having a longer
683 ranged steric interaction, attributed to amylose, and lack of any bridging, associated with the
684 amylopectin system, are present in the interaction potential of Fig. 10. It seems then that the
685 presence of both modified amylose and amylopectin may be a useful and significant aspect of
686 the emulsion stabilising ability of hydrophobically modified starch.

687

688 **5. Conclusion**

689 Hydrophobically modified starch provide one of the most promising routes in achieving food
690 grade steric stabilisers that can provide emulsion stability under a wide range of pH, salt
691 concentration and other environmental conditions. Nevertheless, very little work has been
692 done in assessing how the architecture of starch molecules, in terms of the ratio of the linear
693 amylose to branched amylopectin may influence the surface properties of such modified
694 starch. In the current work we have systematically extended the Self consistent Field Theory
695 (SCF) scheme of Scheutjens and Fleer (Scheutjens, et al., 1979, 1985) to deal with highly
696 branched polymer structures. Using this we have conducted a theoretical study to compare
697 the surface adsorption behaviour, and thus the steric stabilising ability of amylose and
698 amylopectin. For unmodified starch both sets of starch molecules lead to depletion, as
699 expected and demonstrated experimentally (Chanamai, et al., 2001). But more interestingly,
700 it is found that for chains of equal molecular weight, the depletion induced by amylose is
701 both stronger and longer ranged. This is attributed to the more swollen nature of the linear
702 chains compared to their branched counterparts. Upon a sufficient degree of hydrophobic
703 substitution (DS), both amylose and amylopectin adsorb at hydrophobic interfaces, though
704 the level of surface coverage is found to be higher for amylopectin, at the same MW, bulk

705 concentration and amount of hydrophobic modification. It was also observed that
706 amylopectin formed a somewhat thinner, but subsequently denser interfacial layer than that
707 resulting from amylose. The outer edge of the amylopectin layer was also sharper and better
708 defined, as demonstrated from the calculated results for the biopolymer density profiles away
709 from the surface.

710 While both the linear and branched modified starch were able to provide a reasonable level of
711 colloidal stability in 1 μm emulsion systems, there were sufficiently large energy wells in the
712 mediated interaction potentials for 10 μm droplets to cause their aggregation. The depth of
713 energy well was distinctly larger for amylopectin. This is understandable given the less
714 extended surface films formed by the branched biopolymer. Furthermore, the sharp
715 boundary of the amylopectin layers means that for separation distances only slightly above
716 the point of the overlap of the layers, there is hardly any steric repulsion present. Hence, any
717 attractive van der Waals forces, as there may be between the surfaces, will be completely
718 dominant at these distances. The molecular weight of our model chains was estimated to be
719 ~ 320 KDa. We have shown that the stabilising property of modified starch improves with
720 increasing size of the biopolymers, but rather more slowly than a linear dependence. Thus
721 for amylopectin at least, where much larger chains with molecular weights of several tens of
722 MDa are usually present, it is not inconceivable that sufficient stability can be obtained even
723 for coarser emulsions. Nonetheless, it is often more useful to achieve such stability by using
724 smaller sized macromolecules, not only to have a faster adsorption kinetics, but also since
725 some degradation of the starch is likely during the homogenisation process.

726 Amylose films were found to be more diffuse and extended. They provide longer ranged
727 interactions with a more gradual increase of steric forces as the polymer layers overlap.
728 However, the linear chains are also more prone to forming bridges between neighbouring
729 surfaces, where the amylose molecules become simultaneously adsorbed on both interfaces.
730 It is shown that this is due to a small but nonetheless significant number of non-adsorbed
731 hydrophobic attachments, mainly at the extreme ends of the modified amylose. These can
732 adsorb onto other approaching surfaces at sufficiently close separations. This phenomenon
733 leads to an attractive contribution to the polymer mediated interactions.

734 Perhaps the most interesting prediction of this work is that a suitable combination of
735 hydrophobically modified amylose and amylopectin is able to eliminate both of the above
736 issues. For equal sized chains, with the same degree of modification, amylopectin adsorbs

737 preferentially, to an extent that it almost completely removes all amylose from the surface.
738 By slightly decreasing the value of DS for the branched chains and increasing it for amylose,
739 so as not to change the average value too much, we have managed to have mixed layers in
740 our model, where ~ 25% of the adsorbed starch on surface was amylose. These mixed layers
741 provide a noticeably longer ranged steric repulsion than seen with either component alone, at
742 the same overall bulk concentration. We suspect that this phenomenon is very similar to that
743 reported by the experimental (Parkinson, et al., 2004, 2007) and theoretical studies of
744 Parkinson et al.(2005). In their system too, a mixture of two biopolymers, sodium caseinate
745 and β -lactoglobulin, were found to be much more effective in stabilising emulsion droplets.
746 Parkinson et al attributed this to the greater extent of stretching of the caseinate protein
747 (Parkinson, et al., 2005), when this protein was simultaneously present with an additional
748 adsorbed compact layer of β -lactoglobulin at a surface. The linear hydrophobically modified
749 starch behaves in an analogous manner as casein does in their system, with the dense but
750 thinner layers formed by adsorption of branched amylopectin playing the role of the
751 β -lactoglobulin films.

752 It is rather fortunate that in almost all cases starch already consists of a mixture of both
753 amylose and amylopectin. Nevertheless, further theoretical studies, combined with
754 experimental work involving well-defined and carefully controlled mixtures of these two
755 components, should provide us with a deeper insight into possible ways of optimising the
756 surface properties of hydrophobically modified starch, in future.

757

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762 where part of this work was completed.

763 **Appendix**

764 Accounting for lightly branched polymers within the usual SCF calculation scheme of
 765 Scheutjens and Fler (Scheutjens, et al., 1979, 1985), as was highlighted briefly in section 2,
 766 is a relatively straight forward task and has been attempted previously (Akinshina, et al.,
 767 2008; Ettelaie, et al., 2003; Fler, et al., 1993). However, as the number of branching points
 768 increases, the numerical calculations become unfeasible. For highly branched structures,
 769 such as our model amylopectin, it is necessary to modify the scheme in order to deal more
 770 efficiently and systematically with the large degree of bifurcation occurring in the chain. Also
 771 we wish to take advantage of the identical nature of the polymer sub-branches that emanate
 772 from the same cross-linking point (see Fig. 1). To do so, we recall that every monomer in
 773 our model amylopectin has one identical residue on the highlighted subset of monomers
 774 shown in Fig. 6. Therefore, the computation of the forward and backward segment density
 775 functions, volume fractions and all of the other quantities that enter the SCF calculations need
 776 only be performed for the monomers in the marked segment (Fig. 6). The number of
 777 monomers in this subset, M_i , is significantly less than all the total monomers, N_i , that make up
 778 the amylopectin chain. For the calculation of forward segment density functions, we first
 779 label all the monomers in the highlighted section from 1 to M_i consecutively, starting with the
 780 monomer (a) in Fig. 6. For each of these residues, we specify a branching number $B(s)$ that
 781 provides the number of identical sub-branches that emanate from the monomer s . The values
 782 of $B(s)$ for all monomers in the set provide the necessary information specifying the
 783 architecture of our biopolymer. If a monomer is not a branch point then $B(s) = 1$. When
 784 bifurcation does occur at any monomer s in our amylopectin representation, then $B(s) = 2$
 785 (Fig. 6). Of course, for architectures more complex than that of amylopectin, $B(s)$ can be
 786 larger than 2 where required. Now, as well as the usual segment density functions $G_i^{(f)}(l; s)$
 787 introduced in section 2, we also define a “branched segment density function”, $\Theta_i^{(f)}(l; s)$, for
 788 each monomer s such that

$$789 \quad \Theta_i^{(f)}(l; s) = \frac{[G_i^{(f)}(l; s)]^{B(s)}}{[\exp(-\psi_{t_i^f(s)}(l))]^{(B(s)-1)}} \quad (6)$$

790 While $G_i^{(f)}(l; s)$ is the probability of a single branch of the chain, consisting of all the
 791 residues up to and including monomer s , ending in layer l (i.e. monomer s to be found in

792 layer 1), $\Theta_i^{(f)}(1; s)$ provides the same data for all such B(s) identical sub-branches terminating
 793 in s. Obviously where no splitting occurs at monomer s, then the value of $\Theta_i^{(f)}(1; s)$ and
 794 $G_i^{(f)}(1; s)$ should be identical. This is indeed the case as can be seen from Eq. (6), whenever
 795 $B(s) = 1$. The recursive relation, Eq. (2), can be applied to generate the segment density
 796 functions for each monomer s, using the knowledge of the functions for the preceding
 797 residue, s-1. To account for the possible bifurcations occurring at all the previous
 798 monomers prior to s, the recursive relation now takes on the form

$$799 \quad G_i^{(f)}(1; s) = \exp(-\psi_{t_i^f(s)}(1)) \left\{ \lambda_{-1} \Theta_i^{(f)}(1-1; s-1) + \lambda_0 \Theta_i^{(f)}(1; s-1) \right. \\ \left. + \lambda_1 \Theta_i^{(f)}(1+1; s-1) \right\} \quad (7)$$

800 As in section 2, $t_i^f(s)$ evaluates to the type number for the residue s; this being 1 for the
 801 monomers with hydrophobic attachments and 2 for unsubstituted hydrophilic ones. Using
 802 Eqs. (6) and (7) together, one can readily compute all $G_i^{(f)}(1; s)$ and $\Theta_i^{(f)}(1; s)$, starting from
 803 the known initial condition $G_i^{(f)}(1,1) = \exp[-\psi_{t_i^f(1)}(1)]$ for first monomer (i.e. residue (a) in
 804 Fig. 6).

805 Every monomer in amylopectin joins up two otherwise separated parts of the molecule
 806 together. This follows from the fact that the amylopectin structure does not involve any
 807 loops. While $G_i^{(f)}(1; s)$ denotes the segment density for one of these parts, one can also
 808 define a complementary segment density function involving the other section. This provides
 809 the probability that a polymer, solely consisting of this other section, will have the monomer
 810 labelled s in layer 1. For a linear chain this is simply the backward segment density function
 811 $G_i^{(b)}(1; s)$ already discussed in section 2. For a branched chain this has to be modified to read

$$812 \quad \Theta_i^{(b)}(1; s) = \frac{G_i^{(b)}(1; s) [G_i^{(f)}(1; M_i - s + 1)]^{(B(s)-1)}}{[\exp(-\psi_{t_i^b(s)}(1))]^{(B(s)-2)}} \quad (8)$$

813 If monomer “s” is not a branch point then we simply have $\Theta_i^{(b)}(1; s) = G_i^{(b)}(1; s)$, as is
 814 expected. Once again, it suffices to calculate $\Theta_i^{(b)}(1; s)$ for the indicated subset of monomers
 815 of the model amylopectin (Fig. 6). Also note that it is more convenient to use a reverse
 816 labelling of monomers for “backward” segment density functions, $\Theta_i^{(b)}(1; s)$ and $G_i^{(b)}(1; s)$,

817 where now the residue (a) in Fig. 6 becomes the M_i^{th} monomer, and not the first. More
818 generally, a monomer labelled s in the “forward” counting case will have the sequence
819 number $(M_i - s + 1)$ in reference to the “backward” functions $G_i^{(b)}$ and $\Theta_i^{(b)}$. The
820 corresponding recursive relation for the “backward” segment density functions is the same as
821 Eq. (7), except with the suffix (f) now replaced with (b) everywhere. This relation, in
822 combination with Eq. (8) and the initial condition $G_i^{(b)}(1,1) = \exp[-\psi_{t_i^{(b)}}(1)]$, is all that is
823 needed to determine the “backward” segment density functions $G_i^{(b)}(1;s)$ and $\Theta_i^{(b)}(1;s)$, at
824 every layer l in the gap between the two surfaces, and for all monomers.

825 The final necessary modification to the scheme concerns the compositional law from which
826 the volume fraction of each type of monomer in every layer is calculated. This is obtained by
827 considering the probability that the two separated sides of the chain, joined together by
828 residue s , both end up at the same position (i.e. at the position of monomer s) (Evers, et al.,
829 1990; Scheutjens, et al., 1979), thus leading to:

$$830 \quad \phi^\alpha(1) = \sum_i \frac{\Phi_i}{N_i} \sum_{s=1}^{M_i} \frac{n(s)G_i^{(f)}(1;s)\Theta_i^{(b)}(1;M_i - s + 1)\delta_{\alpha,t_i^{f(s)}}}{\exp[-\psi_{t_i^{f(s)}}(1)]}, \quad (9)$$

831 The forward labelling convention for monomers is adopted in the above equation. The
832 equation also involves an additional set of quantities, $n(s)$. This is simply the number of
833 residues that are identical (with regards to their positional hierarchy in our biopolymer
834 architecture) to each of the monomers in the highlighted section of the chain. Thus for
835 example $n(1) = 32$ in our model, as there are a further 31 monomers that are exactly identical
836 to monomer (a) and therefore will have the same volume fractions and probabilities of
837 residing in each layer l (see Fig. 6). For monomers (c) and (e) in the marked subset, the
838 values are 16 and 2, respectively, with monomer (f) being the only other one similar to the
839 latter.

840 The above modifications apart, the rest of the SCF calculation can now proceed in exactly the
841 same manner as the one already described for the linear chains.

842

843

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