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Ding, L., Van Renterghem, T., Botteldooren, D. et al. (2 more authors) (2013) Sound absorption of porous substrates covered by foliage: Experimental results and numerical predictions. Journal of the Acoustical Society of America, 134 (6). pp. 4599-4609. ISSN 0001-4966

https://doi.org/10.1121/1.4824830

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1	Sound absorption of porous substrates covered by foliage: experimental results and
2	numerical predictions
3	
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#### Abstract

The influence of loose plant leaves on the acoustic absorption of a porous substrate is 2 experimentally and numerically studied. Such systems are typical in vegetative walls, where the 3 substrate has strong acoustical absorbing properties. Both experiments in an impedance tube and 4 theoretical predictions show that when a leaf is placed in front of such a porous substrate, its 5 absorption characteristics markedly change (for normal incident sound). Typically, there is an 6 7 unaffected change in the low frequency absorption coefficient (below 250 Hz), an increase in the middle frequency absorption coefficient (500 Hz - 2000 Hz) and a decrease in the absorption at 8 higher frequencies. The influence of leaves becomes most pronounced when the substrate has a 9 low mass density. A combination of the Biot's elastic frame porous model, viscous damping in 10 the leaf boundary layers and plate vibration theory is implemented via a finite-difference 11 time-domain model, which is able to predict accurately the absorption spectrum of a leaf above a 12 porous substrate system. The change in the absorption spectrum caused by the leaf vibration can 13 be modeled reasonably well assuming the leaf and porous substrate properties are uniform. 14

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16 PACS numbers: 43.28.En, 43.40.Fz, 43.58.Bh

#### 1 I. INTRODUCTION

2

This paper considers the specific case of a porous medium covered by a plant leaf. This situation is of interest to outdoor sound propagation studies whereby the presence of plant leaves can have an influence on the ground effect, on green roof absorption, and on the absorption coefficient of green walls.

Although the importance of the soil effect when considering acoustic noise reduction by vegetation belts is well recognized<sup>1,2</sup>, there have not been any systematic studies on the effect of plant leaves. It is known that the presence of vegetation makes the ground more porous and thus acoustically softer. This process is caused by rooting of plants and by a layer of humus which results from plant litter. This specific type of soil is often referred to as a "forest floor". This is a dynamic process in which the presence of leaves cannot be ignored and the effect of a layer of leaves on the ground attenuation is yet to be theoretically explained.

Another case of interest is the absorption by a green wall. Such a system consists of a highly 14 porous and light-weight soil substrate layer which is mechanically attached to a building facade. 15 The substrate is used to grow small plants. These plants develop into foliage which covers 16 densely the porous substrate and might affect its acoustic absorption properties. The substrates 17 typically used for wall vegetation exhibit high values of the absorption coefficient<sup>3,4</sup> and can be 18 adopted in noise abatement applications in an urban environment. These applications include 19 cases where multiple reflections between parallel reflecting building facades lead to a strong 20 amplification of the environmental noise level. It has been demonstrated that increasing wall 21

absorption in a city street generally results in a noticeable noise reduction in an adjacent city canyon as well<sup>5,6</sup>. Acoustically efficient plants can also be planted in a porous substrate deposited on a green roof to reduce the diffraction of acoustic noise into quiet areas<sup>7</sup>. Wall vegetation and green roof systems can also be applied to classical noise barriers to reduce the effects of multiple reflections and diffraction of noise into the shadow zone behind the barriers<sup>3</sup>.

An important question which is addressed in this paper is whether the presence of plant leaves can result in a noticeable change in the absorption coefficient of a porous layer which represents the acoustic behavior of the soil substrate in a green wall or the behavior of a porous forest floor covered with leaves. In this study, experiments in an impedance tube have been performed to study the basic phenomenon of this interaction. A fully controlled measurement setup such as the one which is reported in this work enables validation of the proposed numerical approach. The numerical modeling approach adopted here is based on time-domain modeling.

A porous substrate can often be simulated by the rigid frame model, which assumes that only 13 the air inside the porous medium vibrates. Some examples can be found in the work by Van 14 Renterghem and Botteldooren<sup>8,9</sup>, and Salomons et al.<sup>10</sup> It has been shown that the rigid frame 15 16 model can provide a reasonable parameter fit to model the reflection from typical outdoor soils<sup>11</sup>. However, there are situations where the frame density is relatively small so that the frame 17 vibrations cannot be neglected. In these situations the acoustic characteristics of the material 18 19 frame must be taken into account. This is the case for one of the low-density porous substrates used in the experiments presented in this paper. In Ref.12, a discussion on the validity of the 20 Zwikker and Kosten model, a rigid frame model that is often applied in the FDTD context, can 21

be found. Since in the current study much higher frequencies are looked at, then in typical
(outdoor) noise control applications, the use of more advanced models might be needed<sup>12</sup>.
Accurately modeling the substrate behavior is of importance in this study, since the interaction
between leaves and substrate is expected to be a secondary effect. Also for consistency, the same
model has been applied to both types of substrates considered in this study.

In this work, the Biot<sup>13,14</sup> model is used to predict the coupled movement of the elastic frame and fluid inside the porous medium. The model is implemented as an extension of the finite-difference time-domain approach.

9 The bending wave equation for a thin uniform and homogeneous plate<sup>15</sup> is used to model 10 the vibration of a loose leaf rested just above the porous substrate. Plate vibration damping and 11 viscous boundary layer absorption on the leaf surface are the main mechanisms for acoustic 12 energy loss.

This paper is organized in the following way. In Sec. II, the governing equations for sound 13 propagation through a poro-elastic substrate in combination with leaf vibrations are presented 14 together with the finite-difference time-domain technique which is used to solve these equations. 15 An impedance tube experiment that is used to validate the developed numerical method is 16 described in Sec. III. The absorption coefficients of the porous substrate with and without a leaf 17 are studied experimentally. In Sec. IV, the simulations are compared against the measured data. 18 In Sec. V, a parametric analysis is carried out to illustrate the influence of leaf surface density on 19 the absorption coefficient of the porous substrate covered by a leaf. The final conclusions are 20 drawn in Sec. VI. 21

1

### 2 II. THEORY AND METHOD

## 3 A. Theoretical model

4 The propagation of acoustic waves in homogeneous and non-moving air is governed by the 5 continuity equation and the momentum equation

$$6 \qquad \frac{\partial p}{\partial t} + \rho_0 c^2 \nabla \cdot \mathbf{V} = 0, \qquad (1)$$

$$7 \qquad \rho_0 \,\frac{\partial \mathbf{V}}{\partial t} = -\nabla p \,, \tag{2}$$

8 where *p* is the acoustic pressure, *c* is the speed of sound,  $\rho_0$  is the mass density of air, 9  $\mathbf{V} = (v_x, v_y, v_z)$ , and  $v_x, v_y$  and  $v_z$  are the components of the particle velocity vector in *x*, *y* and 10 *z* directions, respectively.

The propagation of acoustic waves in porous elastic media can be formulated based on the dynamic equations and stress-strain relation in Biot's theory<sup>13, 14</sup>. This leads to continuity and momentum equations for both the fluid inside the frame (see Eqs. (3) and (4)), and the frame itself (see Eqs. (5) and (6)):

15 
$$-\frac{\partial p_a}{\partial t} = K_a v_a \nabla \cdot \mathbf{V}_a + (K_a - P_0) v_f \nabla \cdot \mathbf{V}_f, \qquad (3)$$
16 
$$\rho \frac{\partial \mathbf{V}_a}{\partial t} = -\nabla p_a - \sigma (\mathbf{V}_a - \mathbf{V}_a)$$

10 
$$p_a \frac{\partial t}{\partial t} = -\mathbf{v} p_a - \mathbf{O} (\mathbf{v}_a - \mathbf{v}_f)$$
  
17  $-\rho_a \left(\frac{m_t}{v_a^2} - 1\right) \frac{\partial}{\partial t} (\mathbf{V}_a - \mathbf{V}_f),$ 
(4)

18 
$$-\frac{\partial p_f}{\partial t} + \frac{v_f}{v_a} \frac{\partial p_a}{\partial t} = K_f \nabla \cdot \mathbf{V}_f, \qquad (5)$$

1 
$$\rho_f \frac{\partial \mathbf{V}_f}{\partial t} + R_f \mathbf{V}_f = -\nabla p_f + \sigma (\mathbf{V}_a - \mathbf{V}_f)$$
  
2  $+ \rho_a \left(\frac{m_t}{v_a^2} - 1\right) \frac{\partial}{\partial t} (\mathbf{V}_a - \mathbf{V}_f),$ 
(6)

where  $\rho_a$  is the mass of fluid per unit bulk volume;  $K_a$  is the bulk modulus of the fluid;  $p_a$ 3 is the pressure of fluid in the porous medium;  $v_a$  is the porosity;  $v_f = 1 - v_a$ ;  $P_0$  is the 4 ambient atmospheric pressure;  $\mathbf{V}_a = (v_{xa}, v_{ya}, v_{za})$ , and  $v_{xa}$ ,  $v_{ya}$  and  $v_{za}$  are the air-particle 5 velocity components in x, y and z directions inside the porous medium, respectively;  $p_f$  is the 6 pressure on the solid frame;  $\mathbf{V}_f = (v_{xf}, v_{yf}, v_{zf})$ , and  $v_{xf}$ ,  $v_{yf}$  and  $v_{zf}$  are the frame velocity 7 components in x, y and z directions, respectively; m, is the tortuosity;  $\sigma$  is the flow resistivity; 8  $\rho_f$  is the density of the frame material;  $K_f$  is the bulk modulus of the solid frame; and  $R_f$  is 9 the coefficient for an extra damping term added to the momentum equation of solid frame in 10 order to approximate dissipation mechanisms other than those caused by the flow resistivity. This 11 term is different from that described in Biot's work<sup>13, 14</sup>. Biot suggested replacing flow velocity, 12 13 bulk elasticity, etc. by complex functions of frequency to account for non- or different damping 14 mechanisms in the solid fraction. In theory, these complex functions could be approximated by digital filters and transformed to time domain, like will be done in Eq. (24) for viscous boundary 15 layers, but this complicates equations considerably while stability is not guaranteed. The first 16 order approximation obtained by introducing  $R_f$  induces the basic frame damping that is needed 17 to reproduce the measured results, at a lower computational cost. The parameters, such as  $K_a$ , 18  $P_0$ ,  $K_f$ ,  $\sigma$  and  $m_t$ , can be related to the parameters in Biot's papers<sup>13, 14</sup>. 19

20

The vibration of a leaf in the vicinity of a porous substrate is modeled using the theory of

vibrating thin plates<sup>15</sup>. The viscoelastic damping during the leaf vibration can be included by employing the generalized Maxwell model, which has been used in the work of Chaigne et al.<sup>16,17</sup> The leaf is approximated by an acoustically infinitely thin plate forming the shape of the leaf. Bending waves can propagate in the two in-plane directions. Assuming that the plate is orthogonal to *x* direction, the velocity equation can be written as

$$6 \qquad \rho_m h \left( \frac{\partial v_{xp}}{\partial t} + R_L v_{xp} \right)$$

7 
$$= -\frac{\partial p}{\partial x}h - \frac{\partial^2 \phi_0}{\partial y^2} - \frac{\partial^2 \phi_0}{\partial z^2} - \frac{\partial^2 \phi}{\partial y^2} - \frac{\partial^2 \phi}{\partial z^2},$$
 (7)

8 where  $\rho_m$  is the surface mass density of the plate material (in kg/m<sup>2</sup>); *h* is the thickness of the 9 plate;  $v_{xp}$  is the plate velocity vector component in the *x* direction;  $R_L$  denotes the viscous 10 damping in the bending process; and  $\phi_0$  denotes the bending and twisting moments per unit 11 thickness. Assuming that the leaf is isotropic, it can be formulated as

12 
$$\phi_0 = D \frac{\partial^2 w}{\partial y^2} + D \frac{\partial^2 w}{\partial z^2},$$
(8)

where *D* is the bending stiffness per unit width for plate; *w* is the displacement component in *x* direction and its time derivative is the velocity  $v_{xp}$ .  $\phi$  in Eq. (7) denotes the viscoelastic damping during the bending of leaf and the damping occurs at a distribution of times according to generalized Maxwell model. It can be formulated as

17 
$$\frac{\partial \phi}{\partial t} = \sum_{n=1}^{\infty} DR_n \prod_n , \qquad (9)$$

18 
$$\Pi_{n} = \frac{\partial^{2} w}{\partial y^{2}} + \frac{\partial^{2} w}{\partial z^{2}} - \int_{0}^{t} \left( \frac{\partial^{2} w}{\partial y^{2}} + \frac{\partial^{2} w}{\partial z^{2}} \right) e^{-s_{n}(t-\tau)} s_{n} d\tau , \qquad (10)$$

where  $R_n$  and  $s_n$  are the viscoelastic damping parameters, which can be determined by data-fitting with measurement results. Equations (7) - (10) can be derived from Chaigne et al.'s work<sup>16,17</sup> by using inverse Laplace transform and assuming that the plate is isotropic. In this paper, the viscoelastic damping and the viscous damping parameters for leaves will be chosen with reference to the data used in modeling the bending of wood (see Ref. 16), and they are  $R_1 = 0.013$ ,  $R_2 = 0.038$ ,  $s_1 = 2000 rad/s$ ,  $s_2 = 33000 rad/s$  and  $R_L = 2.4 s^{-1}$ .

Close to the objects, viscosity (and thermal conductivity) cannot be ignored since viscous energy decay in the boundary layer at the surface of the leaves is one of the mechanisms causing sound attenuation<sup>18</sup>. As in Ref. 19, a time-domain approximation for a viscous boundary layer near an infinitely extended flat surface will be used. The viscosity adds an additional term (in frequency domain) to the linearized momentum equations (see Eq. (2)) in the directions which are parallel to the leaf surface plane:

13 
$$\frac{\Im k_n}{d_{BL}} \langle v_{\gamma} \rangle$$
, with  $k_n^2 = \Im \omega \frac{\rho_0}{\mu}$ , (11)

14 where the subscript in  $v_{\gamma}$  denotes that the velocity is parallel to the leaf surface plane,  $\langle \rangle$ 15 denotes that the velocity  $v_{\gamma}$  is averaged over a layer thickness  $d_{BL}$ ;  $\mu$  is the dynamic 16 viscosity of air;  $\omega$  is the angular frequency and  $\Im = \sqrt{-1}$  is the imaginary unit.

17

# 18 **B. Finite-difference time-domain method**

19 The finite-difference time-domain method can be used to solve the set of equations 20 presented in Sec. IIA. In this paper, the staggered grid organization, both in space and time, as suggested in Ref.<sup>20</sup> is considered. A leap-frog scheme is used to update acoustic pressure and velocity components over time. Using this method, second-order accuracy can be obtained in representing the spatial derivatives, with the smallest possible stencil. The spatial organization of some cells near the interface between the porous substrate and air, including a leaf, are illustrated in Fig. 1. For this specific scheme, the following notations are commonly used to represent the discrete pressures and velocity components in air

7 
$$p_{(idx, jdy, kdz)}^{ldt}, v_{x((i+0.5)dt, jdy, kdz)}^{(l+0.5)dt}, v_{y_{(idx, (j+0.5)dy, kdz)}}^{(l+0.5)dt}, v_{z_{(idx, jdy, (k+0.5)dz)}}^{(l+0.5)dt}, (12)$$

8 where dx, dy, and dz are the spatial discretization steps in three directions; dt denotes the 9 time discretization step; and i, j and k are the spatial indices. The acoustic pressure is always 10 updated at times ldt and the velocity components at intermediate times (l+0.5)dt.

11 The acoustic pressures  $p_a$  and  $p_f$  in the porous medium follow the same discretization as 12 the acoustic pressures in air, and the velocity components ( $\mathbf{V}_a$  and  $\mathbf{V}_f$ ) in the porous medium 13 follow the same discretization as the particle velocities in air. The parameters  $\phi_0$ ,  $\phi$  and  $\Pi_n$ 14 related to leaf vibration are all determined at the same grid positions as the particle velocity 15 components  $v_{xp}$  (see Fig. 1), but they are updated at the integer times steps just like the acoustic 16 pressures p:

17 
$$\phi_{0((i+0.5)dx,jdy,kdz)}^{ldt}$$
,  $\phi_{((i+0.5)dx,jdy,kdz)}^{ldt}$  and  $\Pi_{n((i+0.5)dx,jdy,kdz)}^{ldt}$ . (13)

## 18 The discretized forms of Eqs. (1) and (2) read

19 
$$p_{i,j,k}^{l+1} = p_{i,j,k}^{l} - dt \rho_0 c^2 \sum_{\beta} \frac{v_{\beta\beta+0.5}^{l+0.5} - v_{\beta\beta-0.5}^{l+0.5}}{d\beta},$$
 (14)

1 
$$v_{\alpha(\alpha+0.5)}^{l+0.5} = v_{\alpha(\alpha+0.5)}^{l-0.5} - \frac{dt}{\rho_0 d\alpha} (p_{\alpha+1}^l - p_{\alpha}^l).$$
 (15)

In Eqs. (14) and (15),  $\alpha$  represents one of the three Cartesian indices; summation over  $\beta$ runs over all the Cartesian indices;  $\alpha$  and  $\beta$  in  $\alpha$ +0.5,  $\alpha$ +1 and  $\beta \pm 0.5$  denote one of the indices *i*, *j* and *k*;  $v_{\alpha}$  and  $v_{\beta}$  are the velocities in  $\alpha$  and  $\beta$  directions, respectively. The pressure at time (l+1)dt is determined by the pressure at previous time *ldt* and the velocities at time (l+0.5)dt. The velocity at time (l+0.5)dt is determined by the velocity at previous time (l-0.5)dt and the pressure at time *ldt*. Similarly, the discretized forms of the governing equations in the elastic porous media are

9 
$$p_{ai,j,k}^{l+1} = p_{ai,j,k}^{l} - K_a v_a dt \sum_{\beta} \frac{v_{\beta a}^{l+0.5} - v_{\beta a}^{l+0.5}}{d\beta} - (K_a - P_0) v_f dt \sum_{\beta} \frac{v_{\beta f}^{l+0.5} - v_{\beta f}^{l+0.5}}{d\beta},$$
 (16)

11 
$$\left(\frac{\rho_a m_t}{v_a^2 dt} + \frac{\sigma}{2}\right) v_{\alpha a i + 0.5, j, k}^{l + 0.5} = \left(\frac{\rho_a m_t}{v_a^2 dt} - \frac{\sigma}{2}\right) v_{\alpha a i + 0.5, j, k}^{l - 0.5}$$

12 
$$-\frac{p_{ai+1,j,k}^{l}-p_{ai,j,k}^{l}}{d\alpha} + \left(\frac{\rho_{a}(m_{t}/v_{a}^{2}-1)}{dt} + \frac{\sigma}{2}\right) v_{\alpha f_{i+0.5,j,k}}^{l+0.5}$$

13 
$$-\left(\frac{\rho_a(m_i/v_a^2-1)}{dt}-\frac{\sigma}{2}\right)v_{\alpha f_{i+0.5,j,k}}^{l-0.5},$$
(17)

14 
$$p_{f_{i,j,k}}^{l+1} = p_{f_{i,j,k}}^{l} + \frac{V_f}{V_a} \left( p_{a_{i,j,k}}^{l+1} - p_{a_{i,j,k}}^{l} \right)$$

15 
$$-dtK_{f}\sum_{\beta} \frac{v_{\beta f}^{l+0.5} - v_{\beta f}^{l+0.5}}{d\beta}_{\beta-0.5}, \qquad (18)$$

1 
$$\left(\frac{\rho_{f} + \rho_{a}(m_{t}/v_{a}^{2} - 1)}{dt} + \frac{\sigma}{2}\right) v_{af_{i+0.5,j,k}}^{l+0.5}$$
  
2  $= \left(\frac{\rho_{f} + \rho_{a}(m_{t}/v_{a}^{2} - 1)}{dt} - \frac{\sigma}{2}\right) v_{af_{i+0.5,j,k}}^{l-0.5}$ 

$$-\frac{p_{f_{i+1,j,k}}^l - p_{f_{i,j,k}}^l}{d\alpha}$$

$$4 \qquad \qquad + \left(\frac{\rho_a \left(m_t / v_a^2 - 1\right)}{dt} + \frac{\sigma}{2}\right) v_{\alpha a i + 0.5, j, k}^{l+0.5}$$

5 
$$-\left(\frac{\rho_a(m_t-1)}{dt} - \frac{\sigma}{2}\right) v_{\alpha a_i+0.5, j,k}^{l-0.5}.$$
 (19)

The discretized form of Eq. (7) for the vibration velocity of the leaf is

$$7 \qquad v_{xp_{i+0.5,j,k}}^{l+0.5} = \frac{(1/dt - R_L/2)}{(1/dt + R_L/2)} v_{xp_{i+0.5,j,k}}^{l-0.5} - \frac{1}{\rho_m dx (1/dt + R_L/2)} \left( p_{i+1,j,k}^l - p_{i,j,k}^l \right) \\ 8 \qquad -\frac{1}{\rho_m h (1/dt + R_L/2)} \left( \frac{\phi_{0i+0.5,j+1,k}^l - 2\phi_{0i+0.5,j,k}^l + \phi_{0i+0.5,j-1,k}^l}{dy^2} + \frac{\phi_{0i+0.5,j,k+1}^l - 2\phi_{0i+0.5,j,k}^l + \phi_{0i+0.5,j,k-1}^l}{dz^2} \right) \\ 9 \qquad -\frac{1}{\rho_m h (1/dt + R_L/2)} \left( \frac{\phi_{i+0.5,j+1,k}^l - 2\phi_{i+0.5,j,k}^l + \phi_{i+0.5,j-1,k}^l}{dy^2} + \frac{\phi_{i+0.5,j,k+1}^l - 2\phi_{i+0.5,j,k}^l + \phi_{i+0.5,j,k-1}^l}{dz^2} \right). \tag{20}$$

In this paper, the thickness of the plate, h, equals the grid size in the direction perpendicular to the plate surface. In Eq. (20), the bending term  $\phi_0$  is determined by its previous value and the velocity  $v_{xp}$  at the intermediate time step

13 
$$\phi_{0i+0.5,j,k}^{l} = \phi_{0i+0.5,j,k}^{l-1} + Ddt \left( \frac{v_{xp_{i+0.5,j+1,k}}^{l-0.5} - 2v_{xp_{i+0.5,j,k}}^{l-0.5} + v_{xp_{i+0.5,j-1,k}}^{l-0.5}}{dy^{2}} + \frac{v_{xp_{i+0.5,j,k+1}}^{l-0.5} - 2v_{xp_{i+0.5,j,k}}^{l-0.5} + v_{xp_{i+0.5,j,k-1}}^{l-0.5}}{dz^{2}} \right).$$
(21)

The damping term φ is determined by its value in the previous time step and the velocities
 v<sub>xp</sub> from the previous 2 time steps,

3 
$$\phi_{i+0.5,j,k}^{l} = \phi_{i+0.5,j,k}^{l-1} + dt \sum_{n=1}^{2} DR_n \prod_{n=1}^{l} DR_n \prod_{n=1}^$$

4 
$$\prod_{ni+0.5, j,k}^{l} = \prod_{ni+0.5, j,k}^{l-1} \cdot e^{-s_n dt}$$

$$5 \qquad + \left(\frac{v_{xp_{i+0.5,j+1,k}}^{l-0.5} - 2v_{xp_{i+0.5,j,k}}^{l-0.5} + v_{xp_{i+0.5,j-1,k}}^{l-0.5}}{dy^2} + \frac{v_{xp_{i+0.5,j,k+1}}^{l-0.5} - 2v_{xp_{i+0.5,j,k}}^{l-0.5} + v_{xp_{i+0.5,j,k-1}}^{l-0.5}}{dz^2}\right)$$

$$6 \qquad -\frac{v_{xp_{i+0.5,j+1,k}}^{l-1.5} - 2v_{xp_{i+0.5,j,k}}^{l-1.5} + v_{xp_{i+0.5,j-1,k}}^{l-1.5}}{dy^2} - \frac{v_{xp_{i+0.5,j,k+1}}^{l-1.5} - 2v_{xp_{i+0.5,j,k}}^{l-1.5} + v_{xp_{i+0.5,j,k-1}}^{l-1.5}}{dz^2}\right) \frac{1 + e^{-s_n dt}}{2}.$$
(23)

The updating equation for the velocity parallel to boundaries,  $v_{\gamma}$ , is adapted to include the effect of the viscous boundary layer. The square root of  $\omega$  in Eq. (11) is hereby approximated by a ratio of polynomials of order *M* and *N* in frequency domain. Eventually, this leads to the adapted FDTD update equation:

11 
$$\left(\frac{\rho_{0}}{dt}a_{0} + \frac{\sqrt{\mu\rho_{0}}}{2 \cdot d\delta}b_{0}\right)v_{\gamma}^{l+\frac{1}{2}} = -\sum_{k=0}^{M}a_{k}\frac{\partial p^{l-k}}{\partial \gamma}$$
12 
$$-\frac{\rho_{0}}{dt}\sum_{k=1}^{M+1}(a_{k} - a_{k-1})v_{\gamma}^{l-k+\frac{1}{2}} - \frac{\sqrt{\mu\rho_{0}}}{2 \cdot d\delta}\sum_{i=1}^{N+1}(b_{i} + b_{i-1})v_{\gamma}^{l-i+\frac{1}{2}},$$
(24)

where  $d\delta$  is the grid step in the direction orthogonal to the leaf plane;  $\gamma$  denotes the directions parallel to the leaf surface; and  $\mu$  is the dynamic viscosity. For the simulations in this paper, M and N are chosen equal to 2. The values for  $a_k$  and  $b_i$  are the same as those used by Bockstael et al.<sup>21</sup>:  $a_0 = 1, a_1 = -1.871, a_2 = 0.87213, a_3 = 0, b_0 = 391.02, b_1 = -769.2,$  $b_2 = 378.2$  and  $b_3 = 0$ . Note that for the special case  $a_0 = 1$ , and the other coefficients equal to 0, Eq. (24) reduces to Eq. (15). 1

#### 2 III. EXPERIMENTAL PROCEDURE

The effect of a single leaf on the acoustical properties of a porous substrate was investigated 4 with a 29 mm diameter impedance tube in the Acoustics Laboratory at the University of 5 6 Bradford. In this work the standard material characterization procedure as described in Ref. 22 7 was used to determine the acoustical and related non-acoustical properties of the porous material specimens. Leaves from the following plants were used in this experiment: (i) Japanese 8 Andromeda (Pieris japonica: leaf density 0.367 kg/m<sup>2</sup> and thickness 0.41mm); (ii) Scarlet 9 wonder (*Rhododendron forrestii*: leaf density 0.408 kg/m<sup>2</sup> and thickness 0.34mm); (iii) Primrose 10 (Primula vulgaris: leaf density 0.469 kg/m<sup>2</sup> and thickness 0.74mm); and (iv) Corsican Hellebore 11 (Helleborus argutifolius: leaf density 0.22 kg/m<sup>2</sup> and thickness 0.43mm). A 29mm round cutter 12 was used to cut a specimen from a leaf tissue that could fit accurately the diameter of the 13 impedance tube. These leaf specimens are shown in Fig. 2. Figure 3 illustrates a 25 mm thick 14 sample of Armafoam Sound 240 reconstituted foam supplied by Armacell UK Ltd and a 30 mm 15 16 thick melamine foam supplied by Foam Techniques Ltd. These materials are well-characterized so that it is possible to use them to represent soil substrates with two contrasting physical 17 properties. Armafoam Sound 240 material has a relatively high density (240 kg/m<sup>3</sup>) which does 18 not allow for frame vibration effects in the considered frequency range. This material has a 19 relatively high porosity,  $v_a \approx 0.8$ , which is akin to that typical for soil substrates used in living 20 wall systems (Khan et al.<sup>23</sup>). The flow resistivity of melamine foam is similar to that of porous 21

soil substrate used for the design of living walls. The density of Melamine foam is relatively low
(40 kg/m<sup>3</sup>) so that the frame vibration cannot be neglected and it is likely to have an effect on the
acoustic absorption coefficient of the porous substrate covered by a leaf.

Three 1mm diameter nails were inserted in the porous samples to form a support base for the 4 29mm leaf specimen (see Fig. 4). Measurements of the acoustic absorption of Armafoam Sound 5 6 240 material and Melamine foam with and without nails indicated that the effect of the three 7 nails on the acoustic absorption spectra was negligible and comparable to the reproducibility of the adopted measurement procedure. These nails served as small columns to support the leaf 8 9 specimen during the measurement and to restrain to some extent the frame vibration when melamine foam was used as a porous substrate. The leaf specimen was placed on the top of the 10 nails in the porous sample in the impedance tube so that there was approximately 1 mm air gap 11 between the leaf and the top surface of the porous sample as illustrated in Fig. 4. In this way 12 there was no mechanical contact between the top surface of the porous sample and the bottom 13 surface of the leaf specimen. Therefore, the leaf was simply supported at three points so that we 14 were able to measure the influence of the leaf vibration and its acoustical shielding effect on the 15 acoustic absorption coefficient of the porous sample that was representing the soil. 16

When the pressure at two microphones in the impedance tube is recorded, the absorption coefficient can be calculated according to ISO10534-2 standard<sup>24</sup>. Firstly, the fast Fourier transform is used to get the frequency spectra of the two pressure signals. Then, these two pressure spectra and the distance between two microphones are used to calculate three 1 parameters,  $H_I$ ,  $H_R$  and  $H_{12}$ , which are transfer functions for the incident wave, reflected 2 wave and total sound field, respectively. After that, the reflection coefficient can be calculated by

3 
$$r = \frac{H_{12} - H_I}{H_R - H_{12}} e^{2jk_0 x_1},$$
 (25)

4 where  $k_0$  is wave number and  $x_1$  is the coordinate of the first microphone with reference to 5 the origin, the right end of the impedance tube. Finally, the absorption coefficient can be 6 obtained from

7 
$$\alpha_{pm} = 1 - |r|^2$$
. (26)

8 The described measurements were repeated 3 times with the same material specimen. The 9 average was presented as the final results. The reproducibility of the adopted material 10 characterization procedure has been detailed in Ref. 25.

11

#### 12 IV. NUMERICAL SETUP

13

The settings of 3D numerical simulation are given in this section. Figure 5 shows the cross section of the impedance tube used in the simulation. The diameter of the impedance tube is 0.029 m. The porous substrate is placed at the right end of the impedance tube. The distance between the sound source that generates a normal incidence plane wave (at S) and the porous substrate is 0.07 m. The pressure is recorded at two points,  $M_1$  and  $M_2$ , which represent the locations of the two microphones in the experimental setup. The first microphone (at point  $M_1$ ) and the second microphone (at point  $M_2$ ) are at a distance of 0.03 m and 0.035 m from the source, respectively. A perfectly matched layer (PML)<sup>26</sup> is used at the left end of the impedance tube as
a non-reflecting boundary condition.

For all the simulations in this paper, the spatial discretization step is 0.001 m in all three coordinate directions, and the time step dt equals  $1.6981 \times 10^{-6}$  s, yielding a Courant number of 1. To generate the normal incidence plane wave, a Gaussian modulated pulse is added to all grid points lying in the source plane:

7 
$$S = A_s \sin(2\pi f_c t) \exp\left[-a(t-t_c)^2\right],$$
 (27)

8 where  $A_s$  is amplitude of the source,  $f_c$  is central frequency,  $t_c$  is central time, and a is 9 the parameter determining the signal bandwidth. The following values are chosen:  $f_c = 3000$  Hz, 10  $t_c = 5dt$ , and  $a = 1.6 \times 10^7$ . The value of  $A_s$  has no meaning, since spectral division has been 11 applied during post-processing of the time-domain responses. It is guaranteed that all sound 12 frequencies of interest are sufficiently excited, and that a smooth course of the pulse over time is 13 obtained.

For the numerical simulation in the poro-elastic substrate, it is assumed that the adiabatic index equals 1.4 and the ambient pressure ( $P_0$ ) is 0.1 MPa. The mass of air per unit volume ( $\rho_0$ ) is 1.2 kg/m<sup>3</sup>. Other material parameters are slightly tuned as explained in Sec. V.

The pressure spectra at the two points ( $M_1$  and  $M_2$  in Fig. 5) are obtained by using a fast Fourier transform on the time-domain signals. Then, the absorption coefficient can be calculated according to Eqs. (25) and (26).

#### 1

#### V. COMPARISON WITH EXPERIMENTAL RESULTS

2

In this section, the absorption coefficient for the porous substrate with and without leaf is calculated. The results of these calculations are compared against the data obtained from the impedance tube measurements. Firstly, the leaf effect is not considered and the physical parameters of the porous substrate are determined. These parameters are porosity, flow resistivity, tortuosity, bulk modulus, and damping coefficient of the material frame. Secondly, the effect of a leaf on the absorption of the porous substrate is studied. In this step, the influences of the bending stiffness of the leaf and the leaf surface density have to be determined as well.

10

### 11 A. Porous substrate without foliage

12 A trial-and-error approach has been applied in order to obtain a good fit between 13 measurements and simulations. Initial values are the measured quantities and parameters for 14 similar materials as found in literature.

Figure 6 shows the absorption coefficient spectrum of a 25 mm thick Armafoam Sound 240 specimen, whose density is 240kg/m<sup>3</sup>, in the presence of a hard termination. A good fit between measurements and predictions is obtained when choosing the following material parameters: the porosity ( $v_a$ ) equal to 0.81; tortuosity ( $m_t$ ) equal to 8.4; flow resistivity ( $\sigma$ ) equal to 260 kPa·s·m<sup>-2</sup>; the bulk modulus of the substrate material ( $K_f$ ) equal to 0.67 MPa and a damping coefficient ( $R_f$ ) for the frame of 1600 kPa·s·m<sup>-2</sup>. The fitted porosity, tortuosity and flow resistivity are compared with the non-acoustic measured results using the standard material characterization procedure; and the comparison is given in Table I. From this comparison and the
results in Fig. 6, it can be concluded that the implemented FDTD equations can give a good and
realistic prediction of the absorption coefficient of this type of porous substrate.

Figure 7 shows the absorption coefficient of 30mm thick melamine foam, having a much 4 smaller density,  $40 \text{kg/m}^3$ . For this substrate, the one-fourth wavelength frame resonance<sup>27</sup> occurs 5 6 around 2700 Hz. At this frequency, the wavelength is around 4 times of the thickness of the 7 melamine foam. The numerical model is able to simulate this frame resonance and predict accurately the absorption coefficient of Melamine foam across the considered frequency range. 8 The following values of the five non-acoustical parameters provide the best fit between the 9 acoustic model and measured data: the porosity  $(v_a)$  equal to 0.98; tortuosity  $(m_t)$  equal to 1.22; 10 flow resistivity ( $\sigma$ ) equal to 22 kPa·s·m<sup>-2</sup>; the bulk modulus of the substrate material ( $K_{f}$ ) equal 11 to 1.24 MPa and the damping coefficient  $(R_f)$  equal to 20 kPa·s·m<sup>-2</sup>. The comparison of the 12 fitted porosity, tortuosity and flow resistivity for this melamine foam with the measured values 13 published by Dragonetti et al.<sup>28</sup> and Kino and Ueno<sup>29</sup> is given in Table II. It is shown that these 14 fitted parameters for the melamine foam are realistic. 15

16

## 17 **B.** Porous substrate with foliage

In this section, a single leaf, which fully covers the cross section of the impedance tube, is placed in front of the porous substrate and the distance between the leaf and the substrate is set to 1 mm. The four leaves described in Sec. III were considered. The bending stiffness of each leaf was estimated according to the following expression<sup>15</sup>

1 
$$D = \frac{E \cdot h^3}{12(1 - v^2)},$$
 (28)

where E is the Young's modulus and v is the Poisson's ratio. Equation (28) has previously
been used to determine the bending stiffness in a thin uniform homogeneous plate<sup>15</sup>.

For the leaves used in this paper, the average thickness has been given in Sec. III. Earlier work suggests that the Poisson's ratio of an isotropic leaf specimen is close to  $0.25^{30}$ . For the leaf's Young's modulus, the work done by T. Saito et al.<sup>31</sup> and U. Niinemets<sup>32</sup> can be used as a first estimate. T. Saito et al.<sup>31</sup> presented a linear regression relationship between bulk elastic modulus ( $\varepsilon$ ) and Young's modulus (E) for the leaves of *Quercus glauca* and *Quercus serrata*:

9 
$$\varepsilon = AE + B$$
. (29)

For *Quercus glauca*, A = 0.11 and B = 1.21 result in a regression with  $r_{cc}^2 = 0.78$ , where  $r_{cc}$ is the correlation coefficient; for *Quercus serrata*, A = 0.13 and B = -1.42 give  $r_{cc}^2 = 0.84$ . Niinemets<sup>32</sup> presented a linear regression relationship between leaf volume density and its bulk modulus based on the data from 51 tree and shrub species:

14 
$$\mathcal{E} = 2.03 + 25.4 \rho_L$$
, with  $r_{cc}^2 = 0.35$ , (30)

where  $\varepsilon$  is the foliage bulk elastic modulus and  $\rho_L$  is the leaf volume density. The leaf volume density for Japanese Andromeda, Scarlet wonder, Primrose and Corsican Hellebore can be calculated according to the data given in Sec. III, yielding 895kg/m<sup>3</sup>, 1200kg/m<sup>3</sup>, 634kg/m<sup>3</sup> and 512kg/m<sup>3</sup>, respectively. According to Eq. (30), the bulk elastic modulus for Japanese Andromeda, Scarlet wonder, Primrose and Corsican Hellebore can be estimated to be 24.8 MPa, 32.5 MPa, 18.1 MPa and 15 MPa, respectively. Then, these values can be used in Eq. (29) to get the estimations for the leaves' Young's modulus. Finally, the bending stiffness can be calculated
using Eq. (28). This finally gives as a rough estimate for bending stiffness equal to 0.0025 N·m,
0.0018 N·m, 0.01 N·m and 0.0017 N·m for each of the four leaves.

The absorption coefficients for the 25 mm Armafoam Sound 240 foam with three different 4 leaves (Japanese Andromeda, Scarlet wonder and Primrose) are shown in Figs. 8 - 10. The 5 6 simulation results in Figs. 8(a), 9(a) and 10(a) don't consider the influence of the leaf bending stiffness; and they are smoother, when compared to the measurements. While, in Figs. 8(b), 9(b) 7 and 10(b), the effect of the bending stiffness on the predicted absorption coefficient of the porous 8 9 substrate covered by a leaf is included in the simulation results. It can be found that when bending stiffness is considered, the absorption coefficient follows the same trend as that without 10 considering leaf bending, but obvious fluctuations can be noticed, which show qualitatively 11 12 agreement with the measurements. The bending stiffness given in Figs. 9 and 10 is modified from the theoretical estimates discussed above to improve correspondence with measurements. A 13 possible reason for this difference is that Eq. (29) is based on the leave from Quercus glauca and 14 Quercus serrata, which both have a relative flat surface. In contrast, the leaves from Scarlet 15 wonder and Primrose have a rather uneven surface (see Fig. 2). As a result Eq. (29) becomes less 16 accurate and also makes it more difficult to obtain the correct leaf thickness, which has a strong 17 effect on density and an even stronger effect on bending stiffness (third power dependency 18 according to Eq. (28)). For the leaf from Japanese Andromeda, using the calculated bending 19 stiffness gives absorption coefficient that fits the measurements better because this kind of leaf 20 has a flat surface. 21

Figure 11 shows the absorption coefficient for the 30 mm melamine foam with Corsican Hellebore leaf. Unlike Figs. 8 - 10, Fig. 11 does not show results including leaf bending as no effect of the latter was observed. A possible reason for this phenomenon is that the rather large absorption coefficient of the 30mm melamine foam generates much weaker standing waves between leaf and porous foam. As a result, the leaf bending does not influence the overall absorption characteristics significantly.

7 The results presented in Figs. 8 - 11 show that in the presence of a leaf the absorption coefficient of a porous substrate decreases in the high frequency range beyond 2000-3000 Hz, 8 9 increases in the middle frequency range between 500 Hz and 2000 Hz and keeps unaffected in the low frequency range below 250 Hz. Although the agreement between measurements and 10 simulations is generally close, there are some discrepancies. These can be attributed to the 11 complex structure of the leaf, which is simplified in the numerical predictions. For example, the 12 extension of the veins of leaf and the uneven distribution of the leaf surface density could give 13 rise to deviations from the assumed uniform properties of the leaf. It is also difficult to ensure 14 that there is no circumferential gap between the edge of the leaf and the wall of the impedance 15 tube and that the mechanical boundary conditions on the edge of the leaf are accurately modeled. 16

17

# 18 C. The influence of leaf surface density

In this section, the effect of leaf surface density is numerically studied and the bending stiffness is set to 0. The leaf surface density is a parameter that is likely to vary largely from plant to plant. On the other hand, this is a parameter that is rather easy to quantify and use in the model so that the predicted results can be directly translated into practical applications. The effect of the
leaf surface density on the absorption coefficient of the AFS240 foam and Melamine foam
samples was modeled here and the results are shown in Figs. 12 - 13, respectively.

The results presented in these figures suggest that below 1-2 kHz the effect of leaf surface 4 density on the combined leaf-foam absorption system is relatively small and that above 1-2 kHz 5 6 this effect becomes more pronounced. The absorption coefficient of the porous substrate covered 7 by a leaf increases with the decreased leaf surface density. Furthermore, the presence of a leaf with a lower surface density results in absorption coefficient enhancement across a wider 8 frequency range than in the case of a leaf with a higher surface density. This effect is particularly 9 obvious for the low-permeability AFS240 foam. Specifically, Fig. 12 shows that adding a leaf 10 with the surface density of 100  $g/m^2$  can increase the absorption coefficient up to 20% below 11 4000 Hz, while adding a leaf with a larger surface density results in absorption enhancement 12 limited to frequencies below 2500 Hz. For the high-permeability foam, changes in absorption 13 coefficient by adding a leaf are even stronger. The decrease in absorption at higher frequencies is 14 more pronounced for leaves with a higher surface density. 15

Two conclusions can be drawn from these results: (i) introducing a low-density leaf to the vicinity of a porous surface results in the enhancement in the low frequency absorption coefficient; (ii) this effect is particularly pronounced in the case of a low-permeability porous substrate; (iii) at high frequencies, there is a decrease in absorption.

#### 1 VI. CONCLUSIONS

2

The influence of loose leaves on the acoustic absorption of a porous substrate for normal 3 incident wave is studied experimentally and numerically. The equations based on the Biot's 4 elastic frame porous medium model and isotropic plate vibration theory are solved using a 5 finite-difference time-domain approach. This approach enables accurate prediction for the 6 7 absorption coefficient spectrum of a leaf in front of the surface of a porous substrate. The predictions were made using non-acoustical parameters which were deduced from the absorption 8 9 coefficient spectra of porous specimens measured at normal incidence in the absence of leaf. The changes in the absorption coefficient spectra caused by the leaf vibration were closely predicted. 10 Both the experimental data and numerical model predictions show that the absorption 11 characteristics change noticeably when a leaf is added to the porous substrate. Typically, an 12 unaffected change in the absorption coefficient spectrum in low frequency range (below 250 Hz), 13 an increase in the middle frequency range (500 Hz-2000 Hz) and a decrease in the higher 14 frequency range (beyond 2000-3000 Hz) are observed. The influence of the leaf becomes more 15 pronounced when the leaf is added to the low-permeability substrate. The increase in absorption 16 coefficient by leaves is in the typical frequency range of road traffic noise, while the negative 17 effect by the presence of leafs is observed at sound frequencies that are typically too high to be 18 19 of importance in environmental acoustics.

#### 1 ACKNOWLEDGEMENTS

2

The research leading to these results has received funding from the European Community's
Seventh Framework Program (FP7/2007-2013) under grant agreement No.234306, collaborative
project HOSANNA.

6

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# 1 List of Tables

- 2 Table I. Comparison between the fitted porosity  $(v_a)$ , tortuosity  $(m_t)$  and flow resistivity  $(\sigma)$ :
- 3 KPa·m·s<sup>-2</sup>) of the Armafoam Sound 240 foam and the non-acoustic measured results using the

	Fitted values	Measured value
$V_a$	0.81	0.812
m,	8.4	7.37
$\sigma$	260	254
	200	234

4 standard material characterization procedure (Ref. 22).

- 1 Table II. Comparison between the fitted porosity  $(v_a)$ , tortuosity  $(m_t)$  and flow resistivity  $(\sigma)$ :
- 2 KPa·m·s<sup>-2</sup>) of the melamine foam with the published measured results by Dragonetti et al.(Ref.

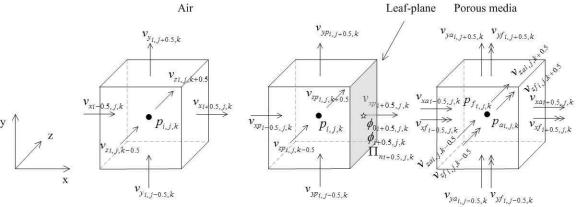
	Fitted values	Dragonetti et al.	Kino and Ueno
$V_a$	0.98	0.93	0.992-0.995
$m_t$	1.22	1.05	1.0053-1.0059
$\sigma$	22	10.7	10.5-17.5

3 28) and Kina and Ueno (Ref. 29).

#### 1 List of Figures

FIG. 1. Spatial organization of the staggered grids for different materials. The left grid denotes 2 the cell in air. The middle grid denotes the cell including the leaf. The star symbol on the right 3 cell-plane which is perpendicular to x-axis denotes the site for the parameters  $\phi_0$ ,  $\phi$  and  $\Pi_n$ . 4 The right grid denotes the cell in the porous media. There are two pressures and two groups of 5 6 velocity components shown in this grid, for air and solid frame, respectively. The double arrow 7 denotes the velocity components for the solid frame. 8 9 FIG. 2. Photographs of the leaves used in the acoustic experiment. (Color online) 10 FIG. 3. Photographs of the porous material samples used in the acoustic experiments, (a) 11 Armafoam Sound 240, (b) Melamine foam. 12 13 14 FIG. 4. The arrangement for the leaf support over the porous substrate: (a) dimensions of leaf support, the distance between two supports is 15 mm and the distance from support to the foam 15 edge is 5.5 mm; (b) leaf on top of melamine foam. 16 17 FIG. 5. Cross section of the impedance tube used in the numerical simulation. A plane wave 18 19 sound source is located at S. Points M1 and M2 indicate the locations of two microphones. The leaf is placed at L, and the gap between leaf and porous substrate is 0.001 m. (Color online) 20 21

1	FIG. 6. The absorption coefficient for a 25 mm hard-backed layer of Armafoam Sound 240 foam.
2	
3	FIG. 7. The absorption coefficient for a 30 mm hard-backed layer of Melamine foam.
4	
5	FIG. 8. The absorption coefficient of a 25 mm hard-backed layer of Armafoam Sound 240 foam
6	covered with a Japanese Andromeda leaf: (a) $D=0$ ; (b) $D=0.0025$ N·m.
7	
8	FIG. 9. The absorption coefficient of a 25 mm hard-backed layer of Armafoam Sound 240 foam
9	covered with a Scarlet wonder leaf: (a) $D=0$ ; (b) $D=0.004$ N·m.
10	
11	FIG. 10. The absorption coefficient of a 25 mm hard-backed layer of Armafoam Sound 240 foam
12	covered with a primrose leaf: (a) D=0; (b) D=0.002 N·m.
13	
14	FIG. 11. The absorption coefficient of a 30 mm hard-backed layer of Melamine foam covered
15	with Corsican Hellebore leaf.
16	
17	FIG. 12. The effect of leaf surface density on the absorption coefficient of a 25mm hard-backed
18	layer of Armafoam Sound 240.
19	
20	FIG. 13. The effect of leaf surface density on the absorption coefficient of a 30mm hard-backed
21	Melamine foam.





# (a) Pieris Japonica

# (b) Scarlet Wonder







(d) Argutifolius

