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1 **Whey protein microgel particles as stabilizers of waxy**  
2 **corn starch + locust bean gum water-in-water emulsions**

3

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**11 Abstract**

12 Food-grade whey protein isolate (WPI) microgel particles were investigated as a particle stabilizer of  
13 water-in-water (W/W) emulsions. The microgel particles were produced via the novel method of forcing  
14 coarse particles of a pre-formed thermally processed WPI protein gel through a jet homogenizer. The  
15 Z-average particle size was  $149 \pm 89$  nm but the particles showed a strong tendency for aggregation  
16 when the pH was lowered from pH 7 to 4, when zeta potential also switched from -17 to + 12 mV. The  
17 viscoelasticity of suspensions of the particles, measured between 1 and 15 vol.% (0.02 and 3 wt.%)  
18 increased with concentration and was also higher at pH 4 than pH 7. However, all the suspensions  
19 were only weakly shear thinning, suggesting that they did not form very strong networks. The particles  
20 were added (at 1 - 15 vol.%) to a model W/W system consisting of waxy corn starch (S) + locust bean  
21 gum (LBG) that normally shows phase separation when the components are mixed at 90 °C then  
22 cooled to room temperature (22 to 25 °C). At 10 to 15 vol.% particles and pH 4, visual observation  
23 showed striking inhibition of phase separation, for a period of up to 1 year. Confocal laser scanning  
24 microscopy suggested that under these conditions extensive aggregation of the microparticles occurred  
25 within the starch phase but also possibly at the W/W interface between the starch-rich and gum-rich  
26 regions, supporting a Pickering-type mechanism as responsible for the enhanced stabilization of the  
27 W/W emulsion by the microgel particles.

28

29 Key words: protein microgel, Pickering, phase separation, stabilization

30

## 31 1 Introduction

32 Food products are complex systems containing many different kinds of ingredients and so  
33 mixtures of aqueous biopolymers have been widely studied for many years due to their important role in  
34 the food industry (Garnier, Schorsch & Doublier, 1995). Polysaccharides are polydisperse  
35 macromolecules that have been extensively used as thickening and texturizing agent. Starch, as the  
36 main storage carbohydrate of many plants, is one of the most important and abundant sources of food  
37 for humans. In most common starches the percentages of amylose and amylopectin are 20-30% and  
38 70-80% respectively, whilst waxy starches consist of almost exclusively amylopectin, a highly  
39 branched, high molecular weight ( $> 10^6$  Daltons) polymer of glucose. Galactomannan gums, such as  
40 locust bean gum (LBG), are also very high molecular weight polymers of monosaccharide sugars but  
41 their molecular structure is substantially different from that of amylopectin. Such gums consist of a  
42 substituted linear mannan backbone with short galactose side chains. Thus, LBG forms highly  
43 entangled, viscous solutions that are highly shear thinning at relatively low concentrations, whilst  
44 amylopectin forms very weak gels but is a good thickening agent at relatively high concentrations,  
45 where the highly branched swollen polymer molecules start to overlap. The very different  
46 conformational structures of the amylopectin and LBG molecules means that they have difficulty  
47 forming simple mixtures even at relatively low concentrations and this leads to their phase separation.

48 Albertsson first reported work on the phase separation of aqueous polysaccharides in 1962 and  
49 since then there have been numerous studies of the thermodynamic incompatibility of starch and non-  
50 starch hydrocolloids (Tolstoguzov, 1986; Alloncle & Doublier, 1991; Kulicke, 1996; Conder-petit, Pfrirter  
51 & Escher, 1997; Closs et al., 1999; Tolstoguzov, 2006; Frith, 2010, Murray & Phisarnchananan, 2014).  
52 Phase separation of mixtures of these polysaccharides (in the absence of particles) has been shown  
53 elsewhere (Achayuthakan & Suphantharika, 2008; Ptaszek et al., 2009; Simonet, Garnier & Doublier,  
54 2000) and such mixtures are used in various products and their phase separation is an issue. So study  
55 of these systems is of relevance to real products whilst at the same time starch + gum has proved to be  
56 a good model system to test ideas of what types of 'surfactants' might be used to stabilize the water-  
57 water interface in phase separating aqueous-soluble polymers.

58 Depending on the relative size and volume fraction of the different polysaccharide-rich phases that  
59 form, one can consider such systems as dispersions of one water-rich phase within another, i.e., water-  
60 in water (W/W) dispersions (emulsions). Frith (2010) discussed how the detailed microstructure of  
61 W/W dispersions could be controlled by solution conditions such as pH, salt, temperature, etc. It is  
62 important to understand and be able to control these phase phenomena since excessive phase  
63 separation may cause unacceptable changes in the appearance or sensory properties of products in  
64 which W/W dispersions exist (Semenova & Dickinson 2010; Firoozmand, Murray and Dickinson, 2012).

65 This paper builds on previous findings (Murray & Phisarnchananan, 2014) where the phase diagram of  
66 a starch + gum system was established and the rheology of the separate gum and starch phases was  
67 measured over a range of concentrations and shear rates/frequencies. In addition, it was  
68 demonstrated that sub-micron solid, hard (silica) particles possessing a range of surface  
69 hydrophobicity, i.e., non-food grade, were largely able to inhibit phase separation over a period of  
70 several weeks. In this current work the aim was to extend the idea of 'Pickering' stabilization of W/W  
71 systems to a new class of food-grade particle – submicron protein microgel particles.

72 Pickering emulsions, where solid particles strongly adsorb at the interface between two fluid  
73 phases and protect the dispersed phase from coalescing, were largely ignored after their re-discovery  
74 by Pickering in 1907 (Chevalier & Bolzinger, 2013). However, in the past decade there has been  
75 renewed interest in Pickering stabilization, partly because of the increasingly novel and wide ranging  
76 types of nanoparticles and microparticles that are now available. As far as application to foods is  
77 concerned, a continuing challenge is to find effective Pickering particles that are acceptable for use in  
78 the food industry (Morris, 2011; Dickinson, E. 2012b; Berton-Carabin & Karin Schröen, 2015).

79 The wetting properties of the particles at the interface (i.e., contact angle) is a key parameter in  
80 controlling the effectiveness of the particles as stabilizer and much work has focused on inorganic  
81 particles (Binks & Lumsdon, 2000; Binks, Rodrigues, & Frith, 2007; Lopetinsky, Masliyah & Xu, 2006;  
82 Yi, Yang, Jiang, Liu & Jiang, 2011) with surface chemistry modification (to increase their hydrophobic  
83 nature) or latex particles (Binks, Lumsdon, 2001; Dinsmore, Hsu, Nikolaidis, Marquez, Bausch &  
84 Weitz, 2002; Paunov, 2003; Firoozmand, Murray & Dickinson, 2009; Du, Glogowski, Emrick, Russell &  
85 Dinsmore, 2010) although neither of these are suitable as food-grade ingredients. Particle aggregation  
86 to interfaces and its influence on colloidal stabilization has recently been reviewed by Dickinson  
87 (2015a)

88 Herzig et al. (2007) showed that phase separation of an oil/water system (lutidine as the oil phase)  
89 can be complete arrested by inclusion of 3 vol.% colloidal surface modified silica particles. In an oil-  
90 water system the energy barrier ( $\Delta E$ ) to particle displacement from the interface can reach thousands  
91 of  $k_B T$  ( $k_B$  is the Boltzmann constant and  $T$  is the absolute temperature) (Binks & Horozov, 2006; de  
92 Folter, van Ruijveb and Velikov 2011; Destribats et al. 2014; Murray & Phisarnchananan 2014).  $\Delta E$  is  
93 given by  $\Delta E = \pi r^2 \sigma (1 - |\cos \theta|^2)$ , where  $\theta$  = the three phase contact angle,  $r$  = the particles  
94 radius and  $\sigma$  = the liquid-liquid interfacial tension. In an oil-water system the interfacial tension is  
95 usually at least 1 mN m<sup>-1</sup>, but with W/W polysaccharide+polysaccharide systems can be extremely low  
96 ( $10^{-4} - 10^{-6}$  Nm<sup>-1</sup>, Shum, Varnell & Weitz, 2012) and so the gain in free energy by particles occupying  
97 the interface might be expected to be negligible. Nevertheless, Murray and Phisarnchananan (2014)

98 recently showed that silica particles of varying surface hydrophobicities could apparently inhibit the  
99 phase separation of W/W systems consisting of waxy corn starch + LBG or guar gum. Furthermore,  
100 Nguyen, Nicolai & Benyahia (2013) have used protein aggregates as particles in controlling phase  
101 separation of 'semi-polysaccharide' type W/W system of dextran + poly(ethylene oxide). Protein  
102 microgel particles (de Folter et al., 2012, Destribats et al., 2014) are just one type of novel food particle  
103 that might be exploited via the Pickering mechanism. Others include chitin nanocrystals (Tzoumaki,  
104 Moschakis, Kiosseoglou, & Biliaderis, 2011), cellulose microparticles (Wege et al., 2008), soy protein  
105 particles (Liu & Tang, 2013), modified starch particles (Timgren, Timgren, Rayner, Sjöo & Dejmek,  
106 2011; Murray, 2011; Yusoff & Murray, 2011; Rayner et al., 2012; Tan et al., 2014), flavonoid particles  
107 (Luo et al., 2011), solid lipid particles and emulsion droplets (Gupta & Rousseau, 2012; Hanazawa &  
108 Murray, 2013, 2014)

109 Although protein microgel particles cannot really be considered as classic hard particle Pickering  
110 stabilizers, particles do not have to be rigid in order to act as good stabilizers, as long as they maintain  
111 a size and contact angle sufficient to secure their interfacial attachment as proscribed by eq. (1), so that  
112 the term 'Mickering' emulsions has been coined by Schmidt et al. (2011) to describe microgel-particle-  
113 stabilized emulsions. In addition, there have been a number of advances recently in the production of  
114 truly nanoscale protein aggregate particles of well-defined size or shape (Saglam, Venema, van der  
115 Linden & de Vries, R., 2014). Many of these methods rely on heating globular proteins in relatively  
116 dilute solution and at extremes of pH, particularly whey protein (Schmitt, et al., 2009, 2010; Schmitt &  
117 Ravaine, 2013).

118 In the work reported here we have opted for forming a thermally processed globular protein gel  
119 under more conventional conditions, but reduced this gel to significantly small nanogel/microgel  
120 particles through efficient processing through a jet homogenizer. The particles have been tested  
121 subsequently as a Pickering stabilizer of a true W/W polysaccharide system that we have studied  
122 previously (Murray & Phisarnchananan, 2014), consisting of a waxy corn starch (S) and locust bean  
123 gum (LBG). It is hoped that such particles and this method of preparation may form a more practical  
124 way of applying the Pickering mechanism to control the stability of W/W emulsions. Applications of  
125 protein microgel particles in general have recently been reviewed by Dickinson (2015b).

## 126 **2 Materials and Methods**

### 127 *2.1 Materials*

128 Gelatinized waxy corn starch (S), product code S9679, and locust bean gum (LBG), product  
129 code G0753, were purchased from Sigma-Aldrich (Gillingham, UK). All polysaccharide mixtures were

130 made up in a pH 7 phosphate buffer consisting of  $0.05 \text{ mol dm}^{-3} \text{ KH}_2\text{PO}_4 + \text{Na}_2\text{HPO}_4 + 0.05 \text{ mol dm}^{-3}$   
131 NaCl. Sodium azide (0.02 wt.%) was also added as a bactericide. The pH was adjusted by adding  
132 either  $1 \text{ mol dm}^{-3} \text{ NaOH}$  or  $1 \text{ mol dm}^{-3} \text{ HCl}$ . Rhodamine B (product code R-6626) and acridine orange  
133 hemi (zinc chloride) salt, (product code 158550) were also obtained from Sigma-Aldrich. Water purified  
134 by a Milli-Q apparatus (Millipore, Bedford, UK), with a resistivity not less than  $18.2 \text{ M}\Omega \text{ cm}$ , was used  
135 for the preparation of all solutions. Silicone oil AS4 was from Fluka (Gillingham, UK). Powdered whey  
136 protein isolate (WPI) was obtained from Fonterra Limited (Auckland, New Zealand).

### 137 2.2 Preparation of WPI microgel particle suspensions.

138 The WPI powder was dispersed at 15 wt.% WPI in 200 ml phosphate buffer pH 7 (mentioned above)  
139 and stirred under mild magnetic stirring overnight for a complete solubilization. The WPI solution was  
140 transferred to glass bottle with plastic screwed top and heated in a temperature-controlled water bath at  
141  $90^\circ\text{C}$  for 30 minutes. It was then cooled down under running water for 15 minute and stored in the  
142 refrigerator overnight. The WPI gel was then roughly broken into pieces with a spatula and then the  
143 coarse gel fragments were added to the chambers of a jet homogenizer (Burgaud, Dickinson & Nelson,  
144 1990) which were then topped up with buffer. The ratio of the volumes in the two chambers used in the  
145 jet homogenizer was 45:55. The fragments were then homogenized at 220 bar. The finer gel fragments  
146 obtained were poured in to the larger of the chambers whilst the smaller chamber was filled with buffer  
147 and the fragments were homogenized again, but a slightly higher pressure of 300 bar. The volume  
148 fraction of microgel particles in this suspension was determined by centrifuging a sample of the  
149 suspension in a Beckman Avanti J30i centrifuge using a JA-30.50 rotor at 12000 rpm (approx. 17400 g)  
150 until the microparticles sedimented to leave a clear upper aqueous phase. This phase was then  
151 carefully removed via a pipette to determine its volume. Before the microparticles were characterized or  
152 blended with the starch and gum phases after dilution to the appropriate vol.% with buffer, the  
153 suspension sonicated in a Vibra-cell (Sonics&Materials, Newtown USA) for 2 min using 40% amplitude  
154 pulses every 2 seconds. (The suspensions also had a notable tendency for foaming and any bubbles  
155 that formed during their manipulation were removed via suction through a pipette).

### 156 2.3 S + LBG water-in-water emulsion preparation

157 Stock solutions of starch (7 wt.%) were prepared by dispersing the starch powder in the pH 7  
158 phosphate buffer, followed by heating in an oil bath at  $90^\circ\text{C}$  for 15 minutes with constant stirring, by  
159 hand. Stock solutions of gums were prepared by dispersing 2 wt.% LBG in the buffer under the same  
160 conditions as for the starch. The LBG solution was then left to cool and centrifuged at 11000 rpm and  
161  $25^\circ\text{C}$  for 1 h in a high speed Beckman Coulter(J2-HS) centrifuge to remove insoluble materials. These

162 contributed  $20 \pm 2$  wt.% of the original powders. (Panda (2004) has reported that commercial LBG may  
163 contain up to 27% impurities). Stock solutions were stored at room temperature before use. The stock  
164 solutions were diluted with buffer to the required concentrations based on the soluble part remaining.  
165 To prepare mixtures, both stock solutions were heated separately at  $90\text{ }^{\circ}\text{C}$  for 5 minutes before  
166 blending. Equal volumes of S and LBG phases were blended with up to 10 ml of the WPI microgel  
167 particle suspension. Blends were mixed immediately after removal from the oil bath by an Ultra Turrax  
168 T25 homogenizer (IKA-Werke GmbH & Co., Staufen Germany) at 24000 rpm for 1 minute, after which  
169 the temperature of the samples had fallen to  $70 \pm 5\text{ }^{\circ}\text{C}$  For blends including microgel particles, the  
170 particles were added to either the gum or starch phase first. In order to reduce the pH to pH 4, 29  $\mu\text{l}$  of  
171 0.25 M HCl was added during the blending via the Ultra Turrax. For samples intended for confocal  
172 microscopy, Rhodamine B (RB) and acridine orange (AO) were added during blending to stain starch  
173 phase and particles respectively.

#### 174 2.4 Particle size distribution and $\zeta$ -potential of WPI microgel particles

175 The particle size distributions of the WPI microgel particles were determined by dynamic light  
176 scattering at  $25\text{ }^{\circ}\text{C}$  using a Zetasizer Nano-ZS (Malvern instruments, Malvern UK) in a PMMA standard  
177 disposal cuvette. Particle sizes were measured after diluting samples with phosphate buffer. The  
178 refractive index of WPI and the dispersion medium were set at 1.545 (Purwanti, Moerkens, van der  
179 Goot & Boom, 2012) and 1.33, respectively. The absorbance of the protein was assumed = 0.001. The  
180 Z-average size or cumulant mean was calculated by the autocorrelation function from Zetasizer  
181 software.

#### 182 2.5 Bulk rheology

183 Bulk shear rheology of the WPI suspension was measured with a Kinexus Rheometer (Malvern  
184 Instruments, Worcestershire UK) using the *rSpace* software to control the rheometer, measure and  
185 analyze the results. The temperature was set at  $25\text{ }^{\circ}\text{C}$  in every experiment. The cone and plate  
186 cartridge (CP2/60:PL65) was used in every sample. After placing the sample between the cone and  
187 plate the sample was then left to achieve steady state for 5 minutes. Viscosities were measured over a  
188 range of shear rates using the shear rate mode in *rSpace* software. The starting shear rate was  $0.1\text{ s}^{-1}$   
189 and the final shear rate  $1\text{ s}^{-1}$  the whole range taking 12 minutes in total. In oscillatory mode, the elastic  
190 and viscous components  $G'$  and  $G''$  were measured at 1% strain, in the range 0.1 – 1 Hz, taking 15 min  
191 in total for each run. Silicone oil was layered around the edge of the sample to prevent sample  
192 evaporation and drying.

## 193 2.6 *Visual assessment of the W/W emulsion stability*

194 Freshly emulsions were prepared in 75 x 25 mm flat bottom test tubes sealed with plastic cap,  
195 stored at room temperature (22 to 25 °C) and photographed periodically.

## 196 2.7 *Confocal laser scanning microscopy (CLSM)*

197 CLSM of blends was performed using a Leica TCS SP2 confocal laser scanning microscope  
198 (Leica Microsystems, Mannheim Germany) connected with a Leica Model DM RXE microscope base.  
199 The confocal was used with Ar/ArKr (488, 514 nm) and He/Ne (543, 633 nm) laser sources. Laser  
200 excitation of the fluorescent samples was at 514 nm ( $\approx$ 29% intensity of laser) for Rhodamine Blue(RB)  
201 and 488 nm ( $\approx$  49% intensity of laser) for Acridine Orange(AO). A 20x objective with numerical  
202 aperture 0.5 was used to obtain all images, at 1024 x 1024 pixel resolution. 0.5 wt.% of RB and 0.5  
203 wt.% AO were dissolved with Millipore water and the solutions were stored in the dark when not being  
204 used. For mixtures without WPI particles, 30 $\mu$ l of the RB solution were added per 5 ml of the starch  
205 solution before blending with LBG. For polysaccharide mixtures with WPI particles, 30 $\mu$ l of the AO  
206 solution were added per 5 ml of gum phase before blending. After blending the mixtures via the Ultra  
207 Turrax, samples without added microgel particles were immediately poured into a well slide 30 mm  
208 diameter and 0.3 mm in depth. RB showed preferential staining of the starch whilst the cationic AO  
209 showed strong affinity for the WPI microgel particles. Unlabeled areas were therefore assumed to be  
210 gum-rich regions. The first image was captured 5 minutes after blending the mixtures. For systems  
211 containing microgel particles it was necessary to wait for 20 min for bubbles to rise out of the samples  
212 before they could be poured into the well slide and the cover slip added. The appearance of  
213 samples was recorded 0.5 to 24 h after blending. Image analysis was performed using Image J  
214 software.

## 215 **3 Results and discussions**

### 216 3.1 *Microparticle characterization*

217 The heat-induced WPI gel was broken down into very small fragments by its processing through  
218 the jet-homogenizer. The dashed line in Fig. 1 illustrates the size distribution of the microgel particles at  
219 pH 7. It can be seen that the smallest dimension in the distribution is ca. 250 nm and the largest is  
220 about 5  $\mu$ m. This upper limit was assumed to be aggregates of particles, since Fig. 1 also shows that  
221 after sonication for 2 min the distribution was significantly shifted to lower particles sizes: almost no  
222 particles were above 1  $\mu$ m, the Z-average size = 149 nm and the distribution showed a significant tail  
223 into the sub-100 nm region. Nevertheless, we resist the temptation to refer to these as 'nanoparticles'.

224 Fig. 2(a) shows a confocal micrograph of a 5 wt.% suspension of sonicated WPI microgel particles at  
 225 pH 7, stained with Acridine Orange to highlight protein regions (that appear bright in the images). Not  
 226 surprisingly, very few particles are visible, given that the above size distribution indicates that most of  
 227 the particle would be below the resolution on the microscope system used (ca. 0.4  $\mu\text{m}$ ) and/or  
 228 Brownian motion would blur their outlines anyway. Fig. 2(b) illustrates micrographs of the same  
 229 particles after acidification to pH 4. The formation of large particle aggregates at pH 4 is evident and for  
 230 this reason it was not possible to obtain good quality particle size distribution data at pH 4 via the  
 231 Zetasizer (the upper range that the Zetasizer can measure is 6  $\mu\text{m}$ ). It was possible, however, to  
 232 measure the electrophoretic mobility of the WPI particles in dilute suspension. The values obtained  
 233 were -1.34 and +0.93 at pH 7 and 4, respectively. Assuming a particle size of 150 nm, these mobility  
 234 values convert, via the Smoluchowski assumption, to corresponding zeta potential values of -17.1 and  
 235 + 7.4 mV at pH 7 and 4, respectively. WPI mainly consists of  $\beta$ -lactoglobulin and  $\alpha$ -lactalbumin and the  
 236 isoelectric point (pI) of these two proteins is in the pH range 4.8 to 5.3 (Fox & McSweeney, 2003) so  
 237 that charge reversal between pH 7 and 4 was expected. The absolute magnitude of the zeta potential is  
 238 seen to be lower at pH 4 than at pH 7 and so this passage through zero net charge on acidification  
 239 probably accounts for the greater preponderance of microgel aggregates at the lower pH.

### 240 3.2 Bulk rheology of WPI microgel particles

241 The intention was to use the WPI microgel particles to try and impart interfacial stability to the  
 242 phase-separating regions. Therefore, it was also important to establish if the microgels had any  
 243 significant influence on the rheology of the 'bulk' biopolymer phases. If the microgels caused significant  
 244 increase in viscosity or gelation of either the starch-rich or gum-rich phases this would also tend to  
 245 curtail phase separation. The bulk shear viscosity ( $\eta$ ) of 1 - 15 vol.% suspensions of the microgel  
 246 particles was measured at 25 °C over the shear rate ( $d\gamma/dt$ ) range 0.1 to 1  $\text{s}^{-1}$ . The results are shown in  
 247 shown in Figs. 3 (a) and (b), for pH 7 and 4, respectively. All the WPI microgel dispersions exhibited  
 248 shear-thinning behavior to some extent, except the 1 vol.% dispersion at pH 7, which within  
 249 experimental error was practically Newtonian. For the rest of the samples  $\eta$  was adequately fitted by  
 250 the power law model, i.e.,

$$251 \quad \eta = K \left( \frac{d\gamma}{dt} \right)^{n-1} \quad (1)$$

252 The fitting parameters are shown in Table 1 and the curves on Fig. 3 are the fitted power law  
 253 behaviour. Two observations are relevant. Firstly, that  $\eta$  was higher at pH 4 than at pH 7 at all  
 254 corresponding vol.% particles, reflecting the greater aggregation of the particles at pH 4. Secondly,  
 255 none of the samples were strongly shear thinning. This indicates that strong, extensive networks of

256 particles were not formed, nor was the volume fraction of the particles such that they were close  
 257 packing even at the highest concentration added, i.e., 15 vol.%. The latter also indicates that the  
 258 particles and aggregates below the resolution of the CLSM probably did not have a high aspect ratio.  
 259 In any case microgel particles are generally accepted as being quite compressible and the maximum  
 260 packing fractions that can be reached are generally much higher than for model hard spheres (Stokes,  
 261 2011). Strongly shear thinning behavior is indicated by much lower magnitude of the flow behavior  
 262 index  $n$ , or typically a good fit to the empirical Cross equation:

$$263 \frac{\eta - \eta_{\infty}}{\eta_0 - \eta_{\infty}} = \frac{1}{1 + K\dot{\gamma}^m} \quad (2)$$

264 : where  $\eta_{\infty}$  and  $\eta_0$  are the limiting high and 'zero' shear rate limiting viscosities. Flocculated particle  
 265 networks or solutions of entangled or weakly cross-linked polymers typically follow the Cross equation.  
 266 We attempted to fit the data in Fig. 3 to eq. (2) but no convergence was obtained except for the highest  
 267 viscosity case, i.e., 15 vol.% at pH 4 (which was also by far the most shear thinning at  $n = -0.27$ ).  
 268 However, the value of  $\eta_0$  required to give a good fit was of the order of  $10^{10}$  Pa s, which seems  
 269 physically unrealistic given the range of the experimentally measured viscosity data.

270 Therefore, when the microgel particles were added to either the starch or LBG phase before the  
 271 two polymer phases were blended, one might expect some increase in the viscosity of either phase, but  
 272 nothing very significant. It should be noted that  $\eta$  of the starch and LBG phases before blending were  
 273 considerably greater than the values measured for the WPI microgel dispersions, e.g., 60 and 42 Pa s  
 274 at  $d\gamma/dt = 0.1 \text{ s}^{-1}$  for 4 wt.% starch and 0.6 wt.% LBG respectively (Murray & Phisarnchananan, 2014).  
 275 Thus, any subsequent effect on the phase separation kinetics of including the microgel particles is  
 276 unlikely to be due to enhanced viscosity or gel formation of either phase

### 277 3.3 Macroscopic observations of the effect of particles of W/W emulsions

278 Two series of mixtures of equal volumes of S + LBG were prepared as described above, in the  
 279 presence of different vol.% of WPI microgel particles at pH 4 or 7 and observed at regular time  
 280 intervals. Pure mixtures of S + LBG (i.e., without particles) showed macroscopic phase separation  
 281 within an hour after mixing and were completely phase separated after 3 days. The mixture formed a  
 282 more clear LBG-rich phase at the top and a starch-rich phase at the bottom. Fig. 4 shows the  
 283 appearance of all the mixtures after 1, 3 and 7 days. At pH 7 (Fig. 4(a)) the phase separation appeared  
 284 to be reduced as the concentration of particles increased, since it was progressively more difficult to  
 285 observe a more transparent upper phase – for example after 1 day with 10 vol.% particles and with 15  
 286 vol.% after 7 days. A slight difficulty in discerning phase separation in all the samples was that they  
 287 also showed increased foam stability as the vol.% particles was increased, so that even after 7 days a

288 thin layer of bubbles was observed at the top of the tubes. Such prolonged foam stability is unusual for  
289 whey proteins but protein in the form of particles, in this case gel microparticles, may also produce  
290 enhanced stabilization of bubbles (Schmitt, Bovay & Rouvet, 2014).

291 Fig. 3(a) shows that the rheology of a 1 vol.% microparticles suspension at pH 7 is essentially  
292 Newtonian and this viscosity (ca. 0.02 Pa s) is much lower than the viscosity of the pure starch or gum  
293 phase. Nevertheless, Fig. 4(a) shows that after 1 day this low concentration of particles still inhibits  
294 phase separation to some extent. Therefore, this slowing down of the phase separation is unlikely to  
295 be due to any significant increase in viscosity of either phase due to presence of this low vol.% of  
296 particles. The volume fraction of the upper LBG-rich phase decreased as the vol.% of particles  
297 increased but after 7 days the differences between the samples had stabilized and the appearance of  
298 the mixtures did not significantly change over an additional of observation period of several months.  
299 Fig. 4(b) shows the mixtures at pH 4 and overall every sample was more stable to phase separation at  
300 pH 4 than at pH 7, at the same time and vol.% particles. With no particles a thin, very clear upper layer  
301 formed within 1 day, suggestive of some syneresis, whilst at 5 and 7.5 vol.% particles the mixtures  
302 appeared to form a single turbid layer on top of a very clear water-like phase. At 10 and 15 vol.%  
303 particles no phase separation was evident after 1 year and the whole sample was completely turbid,  
304 although the pH 4 samples appeared to be more optically dense and they seemed to possess less  
305 foam.

#### 306 3.4 *Microscopic observations of the effect of particles of on water-in-water emulsions*

307 Fig. 5(a) shows typical confocal micrographs from the S + LBG system, in this case for 2 wt.%  
308 starch + 0.3 wt.% LBG 5±2 min after mixing, in the absence of particles. Such a system shows rapid  
309 phase separation via spinodal decomposition, as discussed previously (Murray & Phisarnchananan,  
310 2014). In real time the system is quite dynamic with movement and fusion of starch-rich domains  
311 (bright areas) and LBG-rich domain (dark areas). Thus, macroscopic phase separation occurs quite  
312 readily so that within 24 h (Fig. 5(b)) there were only small brighter 'blobs' of variable size range (< 10  
313 µm) visible, which are assumed to represent a small fraction of starch remaining within the bulk LBG  
314 phase. Figs. 5(c) and 5(d) show representative images of the same system at pH 7 but containing 5  
315 vol.% and 10 vol.% WPI microgel particles, respectively, after 24 h. Compared to without added  
316 particles (Fig. 5(b)), Fig. 5(c) shows that 5 vol.% particles seemed to have some effect on the system,  
317 since some large starch-rich domains were still visible, although nowhere near as many as just after  
318 mixing (e.g., Fig. 5(a)), whilst Fig. 5(d) shows that 10 vol.% particles resulted in considerably more  
319 persistence of starch rich domains after 24 h. Furthermore, when the system was acidified to pH 4,  
320 Figs. 5(e) and 5(f) show that 5 vol.% and 10 vol.% particles had a dramatic effect on the microstructure

321 of the system - even after 24 h something like a fine spinodal decomposition structure persisted,  
322 although elements of this seemed somewhat aggregated.

323 Whether there was any definite accumulation of particles at the water-water interface, effecting a  
324 Pickering-type stabilization mechanism, was not clear from these images. However, an additional  
325 feature of the images with WPI particles present was a greater propensity for the particles(and/or their  
326 aggregates) to reside within the starch-rich domains rather than the gum-rich domains. This was the  
327 case regardless of whether the particles were deliberately dispersed in the gum phase or the starch  
328 phase before blending the two phase together. The propensity for particles to prefer the one phase over  
329 another has been noted before: for silica particles and the same starch in a previous paper (Murray &  
330 Phisarnchananan, 2014) but also for different particles in completely different bulk phases (Hanazawa  
331 & Murray, 2014; Firoozmand et al., 2009). As yet there is no satisfactory explanation for this effect,  
332 although the recent review by Dickinson (2015a) indicates the various types of aggregation processes  
333 both in the bulk and at the interface that may be involved.

### 334 3.5 *Image analysis of phase-separating microdomains*

335 Image analysis of a different series of images was used to try and quantify the effects of pH and  
336 particle concentration on the phase separation kinetics of the 2 wt.% S + 0.3 wt.% LBG system. Figs.  
337 6(a) and (b) show the extracted characteristic length scale ( $L$ ) as function of time for 5 and 10 vol.%  
338 particles at pH 7 and 4, respectively. " $L$ " indicates the largest dominant dimension in any direction on  
339 the image. It was determined from the two-dimensional fast-Fourier transform of the captured  
340 micrographs using Image J software. In the absence of particles,  $L$  was approximately 60  $\mu\text{m}$  after 5  
341 min (the shortest aging time for which it was possible to obtain any images) and after 0.5 h discrete  
342 domains were undetectable because separate layers had started to form in the well of the slide. In the  
343 presence of 5 or 10 vol.% WPI particles at pH 7 (Fig. 6(a)), the starch microdomain sizes showed  
344 similar trends, with the starch blobs growing to  $L > 150 \mu\text{m}$  after 0.5 h and continuing to grow to  $L > 200$   
345  $\mu\text{m}$  after 24 h. Fig. 6(b) shows the significant effect of acidifying to pH 4. There was a significant  
346 increase in the stability of the domain size with both 5 and 10 vol.% added WPI particles, with a  
347 relatively small increase in  $L$  from 35 to 60  $\mu\text{m}$  and 20 to 35  $\mu\text{m}$ , respectively, in the first 24 h.  
348 Representative of micrographs of some for the compositions have been included on Fig. 6 to give the  
349 reader a better idea of the microstructural differences.

350 The analysis of the microstructure is therefore consistent with the macroscopic observations (Fig.  
351 4) and the other microscopic observations (Fig. 5), that increasing concentrations of particles seem to  
352 inhibit phase separation of the gum + starch system, especially at pH 4 compared to pH 7.

### 3.6 Bulk rheology of the starch and gum in the presence of WPI microgel particles

From all the above results, it is clear that WPI microgel particles have the ability to inhibit microscopic domain growth and macroscopic phase separation. It is well known that WPI and whey protein microgel particles can form gel networks in a bulk aqueous phase (Vincent & Saunders, 2011; Schmitt, Bovay & Rouvet, 2014) so it is important to test the effect of adding the WPI particles into each domain, in case the inhibition is simply due to a significant increase in the viscosity of either phase. Therefore, WPI microgel particles were dispersed in the separate bulk LBG and starch phases at the different particle concentrations and the bulk rheology measured. Since the major effects of particle addition were at pH 4, these measurements were only conducted at this pH. Fig. 7 shows the bulk viscosity  $\eta$  at a constant shear rate =  $0.1 \text{ s}^{-1}$  (Fig. 7(a)) plus the storage modulus ( $G'$ ) and loss modulus ( $G''$ ) at 0.1 Hz and 1% strain (Figs. 7(b) and (c), respectively). These low shear conditions were selected so as to be as close as possible to the solutions at rest, whilst still obtaining reproducible results.

Fig. 7 clearly shows that up to 10 vol.% WPI microgel particles added to 4 wt.% S, there was no significant increase in  $\eta$ ,  $G'$  or  $G''$ . In fact, there was a slight decrease in  $\eta$  for particle concentrations below 10 vol.% whilst for 15 vol.% particles  $\eta$  approximately doubled. For  $G'$  and  $G''$  the only significant increase also occurred between 10 and 15 vol.%. In contrast,  $\eta$ ,  $G'$  and  $G''$  remained considerably lower for 0.6 wt.% LBG across the whole range of addition of particles: 0 to 15 vol.%. (Note these two separate concentrations of gum and starch form the same effective final concentrations in the mixtures observed in Figs. 4, 5 and 6 above). Consequently, it seems unlikely that an increase in the viscoelasticity of the gum phase due to the addition of the microgel particles can explain the inhibition of phase separation. It does seem that a significant increase in the viscoelasticity of the starch phase can occur at >10 vol.% microgel particles, probably due to their aggregation in this phase. A likely cause of this might be depletion flocculation of the microgel particles by free polymer (Vincent & Saunders, 2011), in this case the starch molecules. However, since inhibition appears to occur at particle concentrations at and below 10 vol.% particles, plus the fact that the same dynamics occur if the particles are first mixed into the gum phase, an increase in the viscosity of the starch phase due to microgel particle aggregation within this phase similarly cannot explain all the inhibition effects observed. The same conclusion was reached (Murray & Phisarnchananan, 2014) for silica particle addition to the same system, where stabilization by silica particles occurred in particle concentration ranges where no significant increase in bulk phase viscosity occurred due to particle addition.

Detailed measurements of the viscoelasticity of the whole system under going phase separation were not measured, since if phase separation occurs one cannot reproducibly measure and interpret this rheology, since different heights of sample will have different viscoelasticity. However, it is

387 interesting to speculate how the viscoelasticity of the continuous starch phase might hinder the rise of  
 388 blobs gum phase within it, or the fall of discontinuous starch blobs within a continuous gum phase. To  
 389 this end, we have calculated the theoretical creaming velocity ( $V_s$ ) of spherical blobs of 0.3 wt.% gum  
 390 phase of nominal diameter = 60  $\mu\text{m}$  rising through a continuous starch phase at [S] = 2 wt.%, from  
 391 Stokes Law:

$$392 \quad V_s = \frac{d^2 \Delta \rho g}{18 \eta} \quad (3)$$

394  
 395 :where  $\Delta \rho$  = the density difference between the starch and gum phase,  $g$  = acceleration due to gravity,  
 396  $d$  = the (gum-rich) blob diameter (assumed spherical) and  $\eta$  = the viscosity of the continuous (starch)  
 397 phase. It seems reasonable to suppose that this is slower than starch blobs falling gum phase, since  
 398 the measurements of the individual phases showed that  $\eta$  of the S phase + microgel particles was  
 399 higher than that of G + particles (see Figure 7). The density of the starch and gum phases were  
 400 measured as 1.01 and 0.89  $\text{g cm}^{-3}$ , respectively. Using the value of  $\eta = 0.51 \text{ Pa s}$ , measured at the  
 401 lowest shear rate (0.1  $\text{s}^{-1}$ ) for the system with 10% microgel particles at pH 7, the calculated creaming  
 402 velocity is 0.46  $\mu\text{m s}^{-1}$ . Notwithstanding the fact that creaming probably does not follow Stokes law  
 403 exactly, but will be more hindered, this creaming velocity easily predicts gross visible phase separation  
 404 in test tubes of the height used (75 mm) since the distance of creaming of such blobs would be 75 mm  
 405 in less than 2 days. However, systems such as this have not showed any significant separation over 1  
 406 year of storage. Consequently, the growth of the gum-rich domains to sizes even as large as this may  
 407 be assumed to be significantly curtailed by the presence of the microgel particles.

#### 408 409 **4 Conclusions**

410 Water-in-water (W/W) emulsions formed by mixing waxy corn starch and locust bean gum solutions  
 411 could be stabilized by addition of whey protein isolate (WPI) microgel particles (size ca. 150 nm). The  
 412 stability depended upon the concentration of the particles and pH of the system. Stability was  
 413 increased with increasing concentration of particles and particularly on lowering the pH from 7 to 4.  
 414 The particles aggregated at pH 4 and showed a strong preference for the starch domains rather than  
 415 gum phase under all conditions. At pH 4 extensive aggregation of the particles was observed in the  
 416 starch phase. However, neither particle aggregation in the starch phase nor any increase in the  
 417 viscoelasticity of the gum or starch due to the addition of the particles are able to account for the  
 418 inhibition of phase separation. The individual microgel particles were too small to be discerned at the

419 W/W interface via confocal microscopy, but in the absence of other evidence, it seems likely that  
420 accumulation and aggregation of the protein particles at the W/W interface could account for the  
421 enhanced stability, as proposed by Nyguen, Nicolai & Benyahia (2013) for WPI particles of similar size,  
422 probably enhanced by their aggregation at the lower pH. In a similar way, Nguyen, Wang, Saunders,  
423 Benyahia & Nicolai (2015) have recently shown how the stability of their dextran+PEO W/W system,  
424 when stabilized by synthetic cross-linked polymer microgel particles, can be significantly changed by  
425 altering the pH or ionic strength and thus the repulsion between the microgel particles at the W/W  
426 interface.

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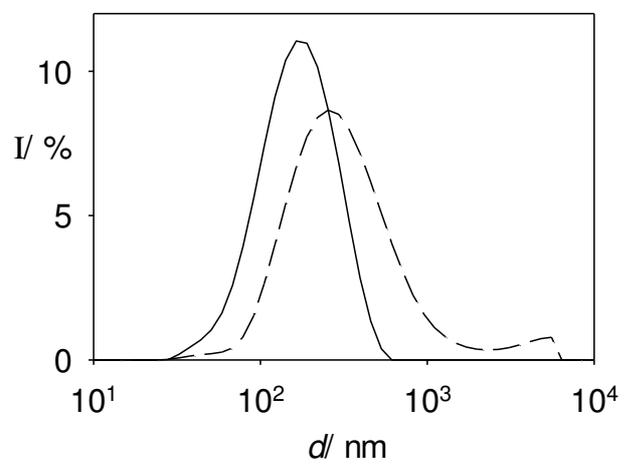
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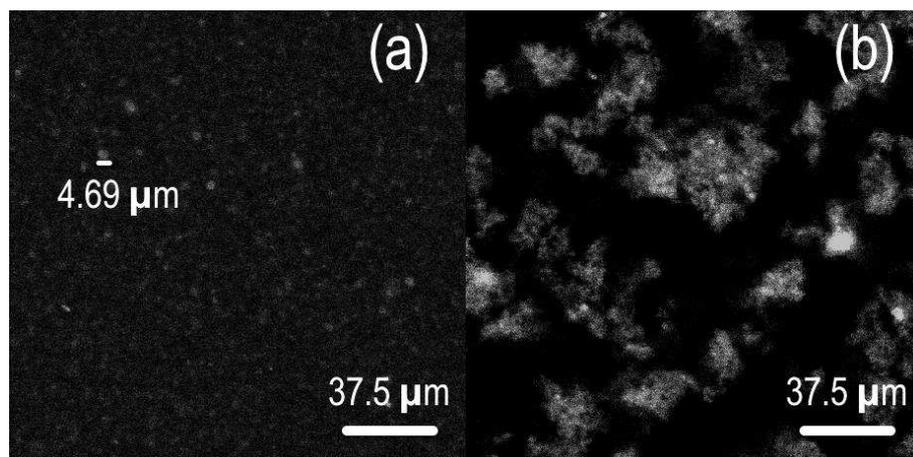
567 Figure 1

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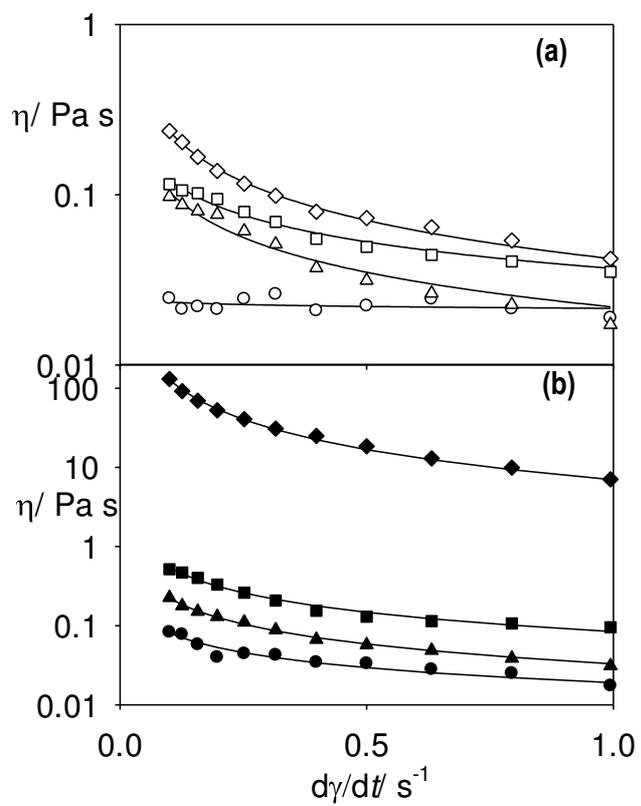
570 Figure 2



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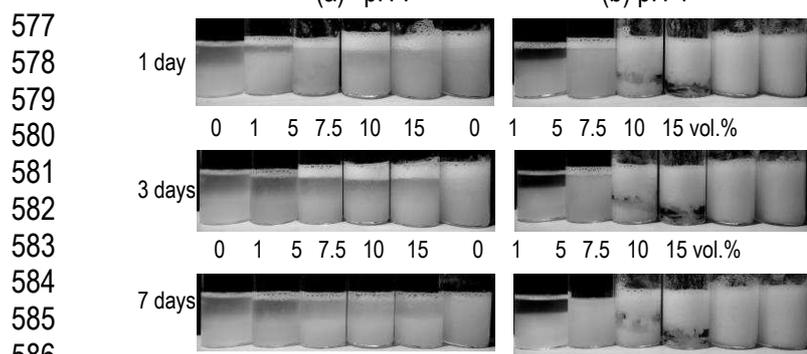
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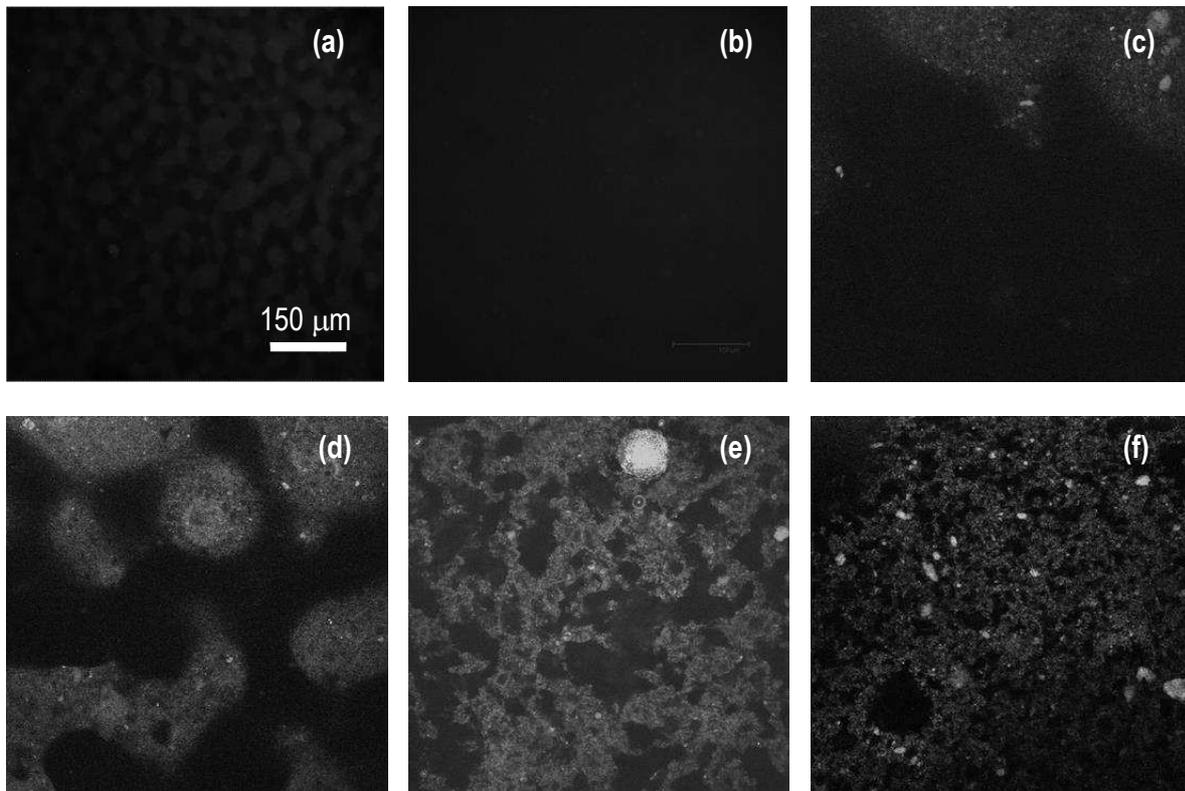
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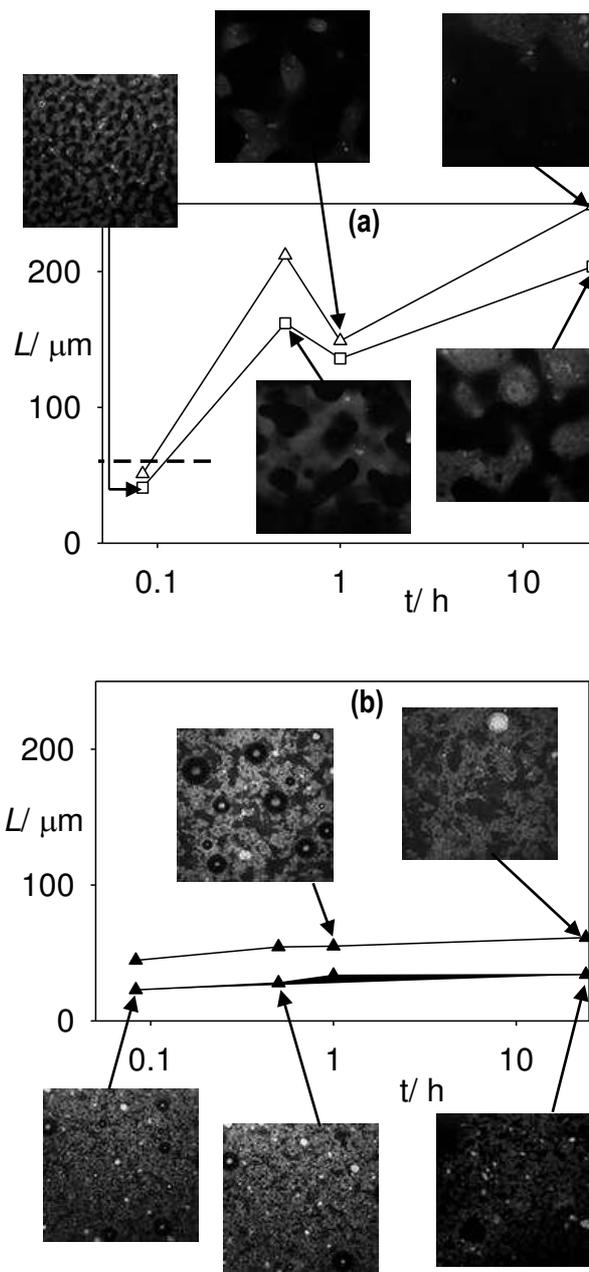
576 Figure 4



591 Figure 5  
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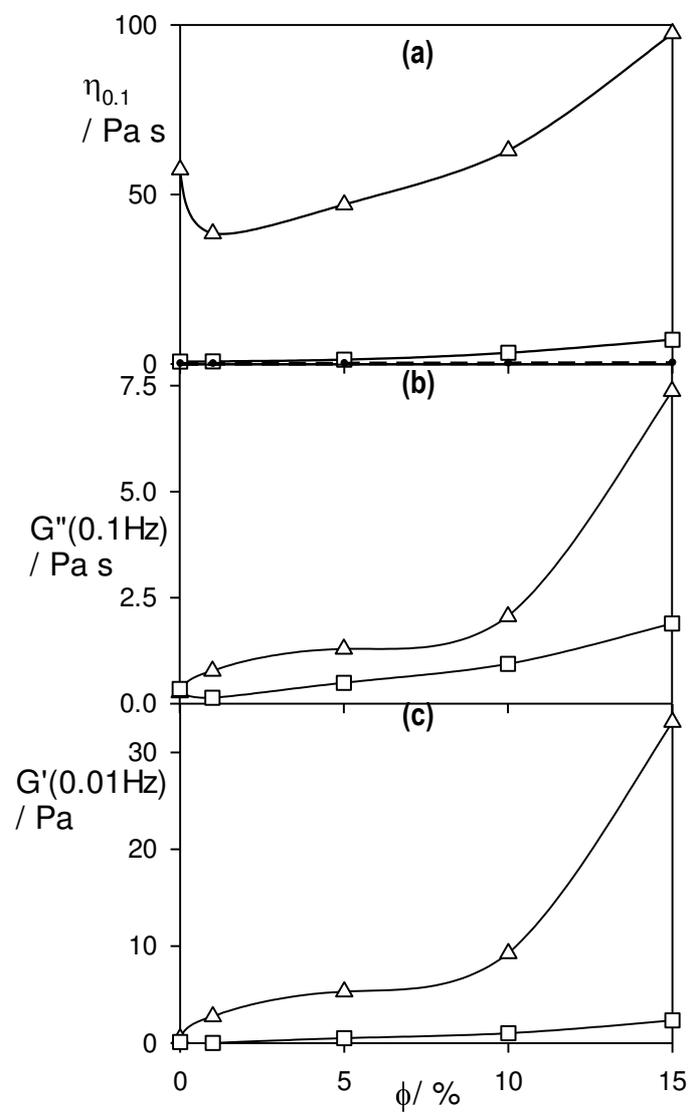


595 Figure 6  
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598 Figure 7

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600

601

602

603 **Table 1.**

vol.%	$K$	$n$	$P_K$	$P_m$	$R$
pH 7					
1	0.022	0.96	<0.0001	0.37	0.3066
5	0.022	0.32	<0.0001	<0.0001	0.9823
10	0.037	0.48	<0.0001	<0.0001	0.9872
15	0.042	0.25	<0.0001	<0.0001	0.9973
pH 4					
1	0.019	0.37	<0.0001	<0.0001	0.9680
5	0.033	0.17	<0.0001	<0.0001	0.9982
10	0.0841	0.19	<0.0001	<0.0001	0.9934
15	6.96	-0.27	<0.0001	<0.0001	0.9985

604

605

606 **Figure & Table Captions**

607 **Fig.1.** Size distribution of WPI microgel particles. Intensity ( $I$ ) versus particle size ( $d$ ): before sonication  
608 (---); after sonication (—).

609 **Fig. 2.** CLSM micrographs of 5vol.% suspensions of WPI microgel particles at: (a) pH7; (b) pH4. Bright  
610 regions are WPI microgel particles, dark regions are background aqueous phase.

611 **Fig. 3.** Viscosity ( $\eta$ ) versus shear rate ( $d\gamma/dt$ ) for WPI microgel particle suspensions at: (a) pH 7; (b) pH  
612 4; for 1 vol.%( $\circ, \bullet$ ); 5 vol.%( $\triangle, \blacktriangle$ ); 10 vol.%( $\square, \blacksquare$ ) and 15 vol.%( $\diamond, \blacklozenge$ ) particles. The curves show the  
613 fitted power law behaviour according to the parameters shown in Table 1.

614 **Fig. 4.** Appearance of W/W emulsions at 1, 3 and 7 days formed by mixtures containing 2 wt.% starch  
615 + 0.3 wt.% LBG, with 0 to 15 vol.% added WPI microgel particles at: (a) pH7; (b) pH 4.

616 **Fig.5.** Representative confocal micrographs of mixtures containing 2wt.% starch + 0.3 wt.% LBG in the  
617 absence and presence WPI microgel particles: (a) no particles, age 5min; (b) no particles, age 24 h; (c)  
618 5 vol.% WPI particles, pH 7, age 24 h; (d) 10 vol.% WPI particles, pH 7, age 24 h; (e) 5 vol.% WPI  
619 particles, pH4, age 24 h; (f) 10 vol.% WPI particles, pH4, age 24 h

620 **Fig.6.** Characteristic length scale,  $L$ , versus time since mixing for 2 wt.% starch + 0.3 wt.% LBG at:(a)  
621 pH 7; (b) pH 4; for 5 vol.% ( $\triangle$ ) and 10 vol.% ( $\square$ ) added WPI microgel particles. Representative  
622 micrographs are shown for various systems and times as indicated by the arrows. The dashed line  
623 shows  $L \approx 60 \mu\text{m}$  after 5min the absence of particles.

624 **Fig.7(a)** Viscosity at shear rate  $0.1 \text{ s}^{-1}$ ; ( $\eta_{0.1}$ ); (b) storage modulus ( $G'$ ) measured at 0.1 Hz and 0.01  
625 strain; ( $G''$ ) loss modulus measured at 0.1 Hz and 0.01 strain: versus vol.%( $\phi$ ) of WPI microgel particles  
626 at pH 4 added to individual solutions of: 4 wt.% starch ( $\triangle$ ); 0.6 wt.% LBG( $\square$ ).

627 **Table 1.** Fitting parameters of power law model (eq. 1) to viscosity of WPI microgel suspensions of  
628 different concentrations(vol.%), as shown in Figure 3.  $P_K$  and  $P_m$  are  $P$  values for fitted  $K$  and  $n$  values,  
629 respectively, and  $R$  is the global goodness of fit.

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