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# A polynomial Turing-kernel for weighted independent set in bull-free graphs

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#### Abstract

The maximum stable set problem is NP-hard, even when restricted to triangle-free graphs. In particular, one cannot expect a polynomial time algorithm deciding if a bull-free graph has a stable set of size k, when k is part of the instance. Our main result in this paper is to show the existence of an FPT algorithm when we parameterize the problem by the solution size k. A polynomial kernel is unlikely to exist for this problem. We show however that our problem has a polynomial size Turing-kernel. More precisely, the hard cases are instances of size  $O(k^5)$ . As a byproduct, if we forbid odd holes in addition to the bull, we show the existence of a polynomial time algorithm for the stable set problem. We also prove that the chromatic number of a bull-free graph is bounded by a function of its clique number and the maximum chromatic number of its triangle-free induced subgraphs. All our results rely on a decomposition theorem for bull-free graphs due to Chudnovsky which is modified here, allowing us to provide extreme decompositions, adapted to our computational purpose.

### 1 Introduction

In this paper all graphs are simple and finite. We say that a graph G contains a graph F, if F is isomorphic to an induced subgraph of G. We say that G

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is *F*-free if *G* does not contain *F*. For a class of graphs  $\mathcal{F}$ , the graph *G* is  $\mathcal{F}$ -free if *G* is *F*-free for every  $F \in \mathcal{F}$ . The bull is a graph with vertex set  $\{x_1, x_2, x_3, y, z\}$  and edge set  $\{x_1x_2, x_1x_3, x_2x_3, x_1y, x_2z\}$ . A hole in a graph is an induced subgraph isomorphic to a chordless cycle of length at least 4. A hole is odd or even according to the parity of its number of vertices.

Chudnovsky in a series of papers [4, 5, 6, 7] gives a complete structural characterisation of bull-free graphs (more precisely, bull-free trigraphs, where a trigraph is a graph with some adjacencies left undecided). Roughly speaking, this theorem asserts that every bull-free trigraph is either in a well-understood *basic* class, or admits a *decomposition* allowing to break the trigraph into smaller *blocks*. In Section 2, we extract what we need for the present work, from the very complex theorem of Chudnovsky.

In Section 3, we prove that bull-free trigraphs admit *extreme* decompositions, that are decompositions such that one of the blocks is basic. It is very convenient for design of fast algorithms and proofs by induction.

In Section 4, we give polynomial time algorithms to actually compute the extreme decompositions whose existence is proved in the previous section.

In Section 5, we apply the previous results to give a polynomial time algorithm that computes  $\alpha(G)$  in any {bull, odd hole}-free graph, where  $\alpha(G)$  denotes the maximum size of an *independent set* (or *stable set*) of a graph G, that is a subset of the vertex-set of G no two vertices of which are adjacent. We also solve the weighted version of this problem and our algorithm is *robust*, meaning that it can be run on any graph and either outputs the correct answer or a certificate showing that the graph is not in the class. This result is known already. Brandstädt and Mosca [3] gave a more direct algorithm for the same problem. We present our algorithm because it illustrates well our method to compute  $\alpha$  (and most of the material of Section 5 is needed in the rest of the paper).

Note that computing  $\alpha$  is NP-hard in general, and it remains difficult even when seemingly a lot of structure is imposed on the input graph. For example, it remains NP-hard for triangle-free graphs [30], and hence for bullfree graphs. The complexity of computing  $\alpha$  and  $\chi$  in odd-hole-free graphs is not known.

In Section 6, we give an FPT-algorithm for the maximum stable set problem restricted to bull-free graphs. Let us explain this. The notion of fixed-parameter tractability (FPT) is a relaxation of classical polynomial time solvability. A parameterized problem is said to be fixed-parameter tractable if it can be solved in time f(k)P(n) on instances of input size n, where f is a computable function (so f(k) depends only on the value of parameter k), and P is a polynomial function independent of k. We give an FPT-algorithm for the maximum stable set problem restricted to bullfree graphs. This generalizes the result of Dabrowski, Lozin, Müller and Rautenbach [11] who give an FPT-algorithm for the same parameterized problem for {bull,  $\overline{P_5}$ }-free graphs, where  $P_5$  is a path on 5 vertices and  $\overline{P_5}$ is its complement. In a weighted graph the weight of a set is the sum of the weights of its elements, and with  $\alpha_w(G)$  we denote the weight of a maximum weighted independent set of a graph G with weight function w. We state below the problem that we solve more formally.

PARAMETERIZED WEIGHTED INDEPENDENT SET

Instance: A weighted graph G with weight function  $w: V(G) \longrightarrow \mathbb{N}$  and a positive integer k.

Parameter: k

*Problem:* Decide whether G has an independent set of weight at least k. If no such set exists, find an independent set of weight  $\alpha_w(G)$ .

Observe that the problem above is hard for general graphs. Furthermore, it is W[1]-hard [13].

In Section 7, we show that while a polynomial kernel is unlikely to exist since the problem is OR-compositional, we can prove nonetheless that the hardness of the problem can be reduced to polynomial size instances. Precisely we show that if it takes time f(k) to decide if a stable set of size k exists for bull-free graphs of size  $O(k^5)$ , then one can solve the problem on instances of size n in time f(k)P(n) for some polynomial P in n. The fact that hard cases can be reduced to size polynomial in k is not captured by the existence of a polynomial kernel, but by what is called a Turing-kernel (see Section 7 or Lokshtanov [27] for a definition of Turing-kernels). Even the existence of a Poly(n) set of kernels of size Poly(k) seems unclear for this problem. To our knowledge, stability in bull-free graphs is the first example of a problem admitting a polynomial Turing-kernel which is not known to have an independent set of polynomial kernels. Further examples are given in Jansen [25]. An interesting question is to investigate which classical problems without polynomial kernels do have a polynomial Turing-kernel. This question is investigated by Hermelin et al. [24].

All this work has been very recently improved by Perret du Cray and

Sau [32]. Using the same method as ours, but with a better implementation for detecting the decomposition and a more precise description of the basic classes, they reach a running time of  $2^{O(k^2)}n^7$ , and they could get the size of the Turing kernel down to  $O(k^2)$ .

At the end of the paper, we use the machinery developped in the previous sections to bound the chromatic number of bull-free graphs. Let  $\chi(G)$  denote the chromatic number of G and  $\omega(G)$  denote the maximum size of a *clique* of a graph G, that is a set of pairwise adjacent vertices of G. An obvious reason for a graph to have a high chromatic number is the presence of large cliques. But as shown by many well-known constructions, this is not the only source: there exist graphs with fixed maximum clique size, namely 2, and arbitrarily large chromatic number. Therefore, a second reason for a graph to have a large chromatic number can be the presence of trianglefree induced subgraphs with large chromatic number. We therefore define the triangle-free chromatic number of a graph G as the maximum chromatic number of a triangle-free induced subgraph of G, and we denote it by  $\chi_T(G)$ . We wonder whether the only possible reason why a graph G may have a large chromatic number is that  $\chi_T(G)$  is large or  $\omega(G)$  is large. This has been asked several times by researchers, but we could not find a reference. It can be stated formally as follows.

**Question 1.1** Does there exits a function f such that for every graph G

 $\chi(G) \le f(\chi_T(G), \omega(G))$ 

Note that if we forget the word "induced" in the definition of  $\chi_T$ , the function exists as shown by Rödl [31]. In Section 8, we prove the existence of f for bull-free graphs. The existence of f in general would maybe not be so surprising, since with respect to the chromatic number, triangle-free graph are perhaps as complex as general graphs. Nevertheless, it would have non-trivial implications, in particular it would settle the famous conjecture below on odd-hole-free graph. A class of graphs is *hereditary* if it is closed under taking induced subgraphs. It is  $\chi$ -bounded if there exists a function f such every graph G of the class satisfies  $\chi(G) \leq f(\omega(G))$ .

**Conjecture 1.2 (Gyárfás [20])** The class of odd-hole-free graph is  $\chi$ -bounded.

A "yes" answer to Question 1.1 would settle the conjecture above because,  $\chi_T$  is at most 2 in graphs with no odd holes. Indeed, an odd-hole-free graph with no triangle is bipartite. Our results imply that Gyárfás's conjecture on odd holes is true for bull-free graphs.

To conclude, it seems to us that the existence of a polynomial time algorithm for the maximum stable set problem for a class of graphs is such a strong property that it raises the next question.

**Question 1.3** Is it true that if a polynomial time algorithm exists for the maximum stable set problem in a hereditary class of graph, then this class is  $\chi$ -bounded?

If P=NP, then the above question is clearly answered by "no" (since the class of all graphs is not  $\chi$ -bounded); but under the assumption that P $\neq$ NP, it might be answered by "yes". Note that to our knowledge, all hereditary classes with a polynomial time algorithm for  $\alpha$  are  $\chi$ -bounded, and in some situations, the algorithm for  $\alpha$  is quite involved (for instance in perfect graphs [19], claw-free graphs [15], or in  $P_5$ -free graphs as announced recently by Lokshtanov, Vatshelle and Villanger [28]).

A shorter version of the present work appeared in [35].

# 2 Decomposition of bull-free graphs

In the series of papers [4, 5, 6, 7] Chudnovsky gives a complete structural characterisation of bull-free graphs which we first describe informally. Her construction of all bull-free graphs starts from three explicitly constructed classes of basic bull-free graphs:  $\mathcal{T}_0, \mathcal{T}_1$  and  $\mathcal{T}_2$ . Class  $\mathcal{T}_0$  consists of graphs whose size is bounded by some constant, the graphs in  $\mathcal{T}_1$  are built from a triangle-free graph F and a collection of disjoint cliques with prescribed attachments in F (so triangle-free graphs are in this class, and also ordered split graphs), and  $\mathcal{T}_2$  generalizes graphs G that have a pair uv of vertices, so that uv is dominating both in G and  $\overline{G}$ . Furthermore, each graph G in  $\mathcal{T}_1 \cup \mathcal{T}_2$  comes with a list  $\mathcal{L}_G$  of "expandable edges". Chudnovsky shows that every bull-free graph that is not obtained by substitution (a composition operation that is a reversal of homogeneous set decomposition) from smaller ones, can be constructed from a basic bull-free graph by expanding the edges in  $\mathcal{L}_G$  (where edge expansion is an operation corresponding to reversing the homogeneous pair decomposition). All these terms will be defined later in this section. To prove and use this result, it is convenient to work on trigraphs (a generalization of graphs where some edges are left "undecided"),

and the first step is to obtain a decomposition theorem for bull-free trigraphs using homogeneous sets and homogeneous pairs. In this paper we need a simplified statement of this decomposition theorem, which we now describe formally.

#### Trigraphs

For a set X, we denote by  $\binom{X}{2}$  the set of all subsets of X of size 2. For brevity of notation an element  $\{u, v\}$  of  $\binom{X}{2}$  is also denoted by uv or vu. A trigraph T consists of a finite set V(T), called the vertex set of T, and a map  $\theta: \binom{V(T)}{2} \longrightarrow \{-1, 0, 1\}$ , called the *adjacency function*.

Two distinct vertices of T are said to be strongly adjacent if  $\theta(uv) = 1$ , strongly antiadjacent if  $\theta(uv) = -1$ , and semiadjacent if  $\theta(uv) = 0$ . We say that u and v are adjacent if they are either strongly adjacent, or semiadjacent; and antiadjacent if they are either strongly antiadjacent, or semiadjacent. An edge (antiedge) is a pair of adjacent (antiadjacent) vertices. If uand v are adjacent (antiadjacent), we also say that u is adjacent (antiadjacent) to v, or that u is a neighbor (antineighbor) of v. Similarly, if u and v are strongly adjacent (strongly antiadjacent), then u is a strong neighbor (strong antineighbor) of v.

Let  $\eta(T)$  be the set of all strongly adjacent pairs of T,  $\nu(T)$  the set of all strongly antiadjacent pairs of T, and  $\sigma(T)$  the set of all semiadjacent pairs of T. Thus, a trigraph T is a graph if  $\sigma(T)$  is empty. A pair  $\{u, v\} \subseteq$ V(T) of distinct vertices is a *switchable pair* if  $\theta(uv) = 0$ , a *strong edge* if  $\theta(uv) = 1$  and a *strong antiedge* if  $\theta(uv) = -1$ . An edge uv (antiedge, strong edge, strong antiedge, switchable pair) is *between* two sets  $A \subseteq V(T)$  and  $B \subseteq V(T)$  if  $u \in A$  and  $v \in B$ , or if  $u \in B$  and  $v \in A$ .

The complement  $\overline{T}$  of T is a trigraph with the same vertex set as T, and adjacency function  $\overline{\theta} = -\theta$ .

For  $v \in V(T)$ , N(v) denotes the set of all vertices in  $V(T) \setminus \{v\}$  that are adjacent to v;  $\eta(v)$  denotes the set of all vertices in  $V(T) \setminus \{v\}$  that are strongly adjacent to v;  $\nu(v)$  denotes the set of all vertices in  $V(T) \setminus \{v\}$  that are strongly antiadjacent to v; and  $\sigma(v)$  denotes the set of all vertices in  $V(T) \setminus \{v\}$  that are semiadjacent to v.

Let  $A \subset V(T)$  and  $b \in V(T) \setminus A$ . We say that b is strongly complete to A if b is strongly adjacent to every vertex of A; b is strongly anticomplete to A if b is strongly antiadjacent to every vertex of A; b is complete to A if b is adjacent to every vertex of A; and b is anticomplete to A if b is antiadjacent to every vertex of A. For two disjoint subsets A, B of V(T), B is strongly complete (strongly anticomplete, complete, anticomplete) to A if every vertex of B is strongly complete (strongly anticomplete, complete, anticomplete) to A. A set of vertices  $X \subseteq V(T)$  dominates (strongly dominates) T if for all  $v \in V(T) \setminus X$ , there exists  $u \in X$  such that v is adjacent (strongly adjacent) to u.

A clique in T is a set of vertices all pairwise adjacent, and a strong clique is a set of vertices all pairwise strongly adjacent. A stable set is a set of vertices all pairwise antiadjacent, and a strongly stable set is a set of vertices all pairwise strongly antiadjacent. For  $X \subset V(T)$  the trigraph induced by T on X (denoted by T[X]) has vertex set X, and adjacency function that is the restriction of  $\theta$  to  $\binom{X}{2}$ . Isomorphism between trigraphs is defined in the natural way, and for two trigraphs T and H we say that H is an induced subtrigraph of T (or T contains H as an induced subtrigraph) if H is isomorphic to T[X] for some  $X \subseteq V(T)$ . Since in this paper we are only concerned with the induced subtrigraph containment relation, we say that T contains H if T contains H as an induced subtrigraph. We denote by  $T \setminus X$  the trigraph  $T[V(T) \setminus X]$ .

Let T be a trigraph. A path P of T is a sequence of distinct vertices  $p_1, \ldots, p_k$  such that  $k \ge 1$  and for  $i, j \in \{1, \ldots, k\}$ ,  $p_i$  is adjacent to  $p_j$  if |i - j| = 1 and  $p_i$  is antiadjacent to  $p_j$  if |i - j| > 1. Under these circumstances,  $V(P) = \{p_1, \ldots, p_k\}$  and we say that P is a path from  $p_1$  to  $p_k$ , its interior is the set  $P^* = V(P) \setminus \{p_1, p_k\}$ , and the length of P is k - 1. We also say that P is a (k - 1)-edge-path. Sometimes, we denote P by  $p_1 - \cdots - p_k$ . Observe that, since a graph is also a trigraph, it follows that a path in a graph, the way we have defined it, is what is sometimes in literature called a chordless path.

A hole in a trigraph T is an induced subtrigraph H of T with vertices  $h_1, \ldots, h_k$  such that  $k \ge 4$ , and for  $i, j \in \{1, \ldots, k\}$ ,  $h_i$  is adjacent to  $h_j$  if |i-j| = 1 or |i-j| = k-1; and  $h_i$  is antiadjacent to  $h_j$  if 1 < |i-j| < k-1. The length of a hole is the number of vertices in it. Sometimes we denote H by  $h_1 \cdots h_k \cdot h_1$ . An antipath (antihole) in T is an induced subtrigraph of T whose complement is a path (hole) in  $\overline{T}$ .

A semirealization of a trigraph T is any trigraph T' with vertex set V(T) that satisfies the following: for all  $uv \in \binom{V(T)}{2}$ , if  $uv \in \eta(T)$  then  $uv \in \eta(T')$ , and if  $uv \in \nu(T)$  then  $uv \in \nu(T')$ . Sometimes we will describe a semirealization of T as an assignment of values to switchable pairs of T, with three possible values: "strong edge", "strong antiedge" and "switchable pair". A realization of T is any graph that is semirealization of T (so, any semirealization where all switchable pairs are assigned the value "strong edge" or "strong antiedge"). For  $S \subseteq \sigma(T)$ , we denote by  $G_S^T$  the realization of T with edge set  $\eta(T) \cup S$ , so in  $G_S^T$  the switchable pairs in S are assigned



Figure 1: A homogeneous set.

the value "edge", and those in  $\sigma(T) \setminus S$  the value "antiedge". The realization  $G_{\sigma(T)}^T$  is called the *full realization* of T.

A bull is a trigraph with vertex set  $\{x_1, x_2, x_3, y, z\}$  such that  $x_1, x_2, x_3$ are pairwise adjacent, y is adjacent to  $x_1$  and antiadjacent to  $x_2, x_3, z$ , and z is adjacent to  $x_2$  and antiadjacent to  $x_1, x_3$ . For a trigraph T, a subset Xof V(T) is said to be a bull if T[X] is a bull. A trigraph is bull-free if no induced subtrigraph of it is a bull, or equivalently, no subset of its vertex set is a bull.

Observe that we have two notions of bulls: bulls as graphs (defined in the introduction), and bulls as trigraphs. A bull as a graph can be seen as a bull as a trigraph. Also, a trigraph is a bull if and only if at least one of its realization is a bull (as a graph). Hence, a trigraph is bull-free if and only if all its realizations are bull-free graphs. The complement of a bull is a bull (with both notions), and therefore, if T is bull-free trigraph (or graph), then so is  $\overline{T}$ .

A trigraph T is *Berge* if it contains no odd hole and no odd antihole. Therefore, a trigraph is Berge if and only if its complement is Berge. We observe that T is Berge if and only if every realization (semirealization) of T is Berge.

#### Decomposition theorem

A trigraph is called *monogamous* if every vertex of it belongs to at most one switchable pair (so the switchable pairs form a matching). We now state the decomposition theorem for bull-free monogamous trigraphs. We begin with the description of the cutsets.

Let T be a trigraph. A set  $X \subseteq V(T)$  is a homogeneous set in T if 1 < |X| < |V(T)|, and every vertex of  $V(T) \setminus X$  is either strongly complete or strongly anticomplete to X. See Figure 1 (a line means all possible strong edges between two sets, nothing means all possible strong antiedges, and a dashed line means no restriction).

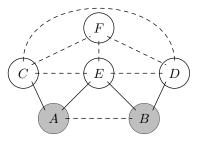


Figure 2: A homogeneous pair.

A homogeneous pair (see Figure 2) is a pair of disjoint nonempty subsets (A, B) of V(T), such that there are disjoint (possibly empty) subsets C, D, E, F of V(T) whose union is  $V(T) \setminus (A \cup B)$ , and the following hold:

- A is strongly complete to  $C \cup E$  and strongly anticomplete to  $D \cup F$ ;
- B is strongly complete to  $D \cup E$  and strongly anticomplete to  $C \cup F$ ;
- A is not strongly complete and not strongly anticomplete to B;
- $|A \cup B| \ge 3$ ; and
- $|C \cup D \cup E \cup F| \ge 3.$

Note that "A is not strongly complete and not strongly anticomplete to B" does not imply that  $|A \cup B| \geq 3$ , because it could be that the unique vertex in A is linked to the unique vertex in B by a switchable pair. In these circumstances, we say that (A, B, C, D, E, F) is a *split* for the homogeneous pair (A, B). A homogeneous pair (A, B) is *small* if  $|A \cup B| \leq 6$ . A homogeneous pair (A, B) with split (A, B, C, D, E, F) is proper if  $C \neq \emptyset$  and  $D \neq \emptyset$ .

We now describe the basic classes. A trigraph is a *triangle* if it has exactly three vertices, and these vertices are pairwise adjacent. Let  $\mathcal{T}_0$  be the class of all monogamous trigraphs on at most 8 vertices. Let  $\mathcal{T}_1$  be the class of monogamous trigraphs T whose vertex set can be partitioned into (possibly empty) sets  $X, K_1, \ldots, K_t$  so that T[X] is triangle-free, and  $K_1, \ldots, K_t$  are strong cliques that are pairwise strongly anticomplete. Furthermore, for every  $v \in \bigcup_{i=1}^t K_i$ , the set of neighbors of v in X partitions into strong stable sets A and B such that A is strongly complete to B. In Chudnovsky's work, the trigraphs in  $\mathcal{T}_0$  are precisely defined, and the adjacencies between the cliques and X in trigraphs from  $\mathcal{T}_1$  are precisely specified. Furthermore, the homogeneous pairs used are more structured (in order to allow for the reversal of homogeneous pair decomposition to be class-preserving), which also leads to the need for another basic class  $\mathcal{T}_2$ . In our algorithm we do not need the homogeneous pairs to be so particularly structured, so the following statement will suffice. Let  $\overline{\mathcal{T}_1} = \{\overline{T} : T \in \mathcal{T}_1\}$ . In 5.6 and 5.7 of [5] it is shown that if T is a bull-free monogamous trigraph then either  $T \in \mathcal{T}_0 \cup \mathcal{T}_1 \cup \overline{\mathcal{T}_2} \cup \overline{\mathcal{T}_2}$ , or it has a homogeneous set or homogeneous pairs it clearly follows that type 0 is a small homogeneous pair, and type 1 and 2 are proper homogeneous pairs. From the definition of class  $\mathcal{T}_2$  it clearly follows that if  $T \in (\mathcal{T}_2 \cup \overline{\mathcal{T}_2}) \setminus (\mathcal{T}_0 \cup \mathcal{T}_1 \cup \overline{\mathcal{T}_1})$  then T has a proper homogeneous pairs. A trigraph is *basic* if it belongs to  $\mathcal{T}_0 \cup \mathcal{T}_1 \cup \overline{\mathcal{T}_1}$ . All this implies the following theorem.

**Theorem 2.1 (Chudnovsky** [4, 5, 6, 7]) If T is a bull-free monogamous trigraph, then one of the following holds:

- T is basic;
- T has a homogeneous set;
- T has a small homogeneous pair; or
- T has a proper homogeneous pair.

We do not know whether the theorem above is algorithmic. Deciding whether a graph is bull-free can clearly be done in polynomial time. Also, detecting the decompositions is easy (see Section 4). The problem is with the basic classes. It follows directly from a theorem of Farrugia [16] that deciding whether a graph can be partitioned into a triangle-free part and a part that is disjoint union of cliques is NP-complete. This does not mean that recognizing  $\mathcal{T}_1$  is NP-complete, because one could take advantage of several features, such as being bull-free or of the full definition of  $\mathcal{T}_1$  in [5]. We leave the recognition of  $\mathcal{T}_1$  as an open question.

# 3 Extreme decompositions

The way we use decompositions for computing stable sets requires building blocks of decomposition and asking at least two questions for at least one block. When this process is recursively applied it potentially leads to an exponential blow-up even when the decomposition tree is linear in the size of the input trigraph. This problem is bypassed here by using what we call extreme decompositions, that are decompositions whose one block of decomposition is basic and therefore handled directly, without any recursive calls to the algorithm. In fact, some clever counting arguments might show that a more direct approach leads to polynomially many questions, but we consider extreme decompositions as interesting in their own right, since they are very convenient to prove theorems by induction. Hence, we prefer to proceed as we do.

In this section, we prove that non-basic trigraphs in our class actually have extreme decompositions. We start by describing the blocks of decomposition for the cutsets used in Theorem 2.1.

We say that (X, Y) is a *decomposition* of a trigraph T if (X, Y) is a partition of V(T) and either X is a homogeneous set of T, or  $X = A \cup B$ where (A, B) is a small homogeneous pair or a proper homogeneous pair of T. The block of decomposition w.r.t. (X, Y) that corresponds to X, denoted by  $T_X$ , is defined as follows. If X is a homogeneous set or a small homogeneous pair, then  $T_X = T[X]$ . Otherwise,  $X = A \cup B$  where (A, B) is a proper homogeneous pair, and  $T_X$  consists of T[X] together with marker vertices c and d such that c is strongly complete to A, d is strongly complete to B, cdis a switchable pair, and there are no other edges between  $\{c, d\}$  and  $A \cup B$ . The block of decomposition w.r.t. (X, Y) that corresponds to Y, denoted by  $T_Y$ , is defined as follows. If X is a homogeneous set, then let x be any vertex of X and let  $T_Y = T[Y \cup \{x\}]$ . In this case x is called the marker vertex of  $T_Y$ . Otherwise,  $X = A \cup B$  where (A, B) is a homogeneous pair with split (A, B, C, D, E, F). In this case  $T_Y$  consists of T[Y] together with two new marker vertices a and b such that a is strongly complete to  $C \cup E$ , b is strongly complete to  $D \cup E$ , ab is a switchable pair, and there are no other edges between  $\{a, b\}$  and  $C \cup D \cup E \cup F$ .

**Lemma 3.1** If (X, Y) is a decomposition of a bull-free monogamous trigraph T, then the corresponding blocks  $T_X$  and  $T_Y$  are bull-free monogamous trigraphs.

**Proof.** Since all the edges in the blocks that go from marker vertices to the rest of the block are strong edges, it follows that  $T_X$  and  $T_Y$  are both monogamous trigraphs.

Suppose that  $T_X$  or  $T_Y$  contains a bull H. Since H cannot be isomorphic to an induced subtrigraph of T, it follows that  $X = A \cup B$  where (A, B)is a homogeneous pair of T and H contains two marker vertices from the block. In a bull every pair of vertices has a common neighbor or a common antineighbor. Since c and d do not have a common neighbor nor a common antineighbor in  $T_X$ , it follows that H is a bull of  $T_Y$  and H contains a and b. But then, since A is not strongly complete nor strongly anticomplete to B, for some  $a' \in A$  and  $b' \in B$ ,  $(V(H) \setminus \{a, b\}) \cup \{a', b'\}$  induces a bull in T, a contradiction.

Let (X, Y) be a decomposition of a trigraph T. We say that (X, Y) is a homogeneous cut if X is a homogeneous set or  $X = A \cup B$  where (A, B) is a proper homogeneous pair. A homogeneous cut (X, Y) is minimally-sided if there is no homogeneous cut (X', Y') with  $X' \subsetneq X$ .

**Lemma 3.2** If (X, Y) is a minimally-sided homogeneous cut of a trigraph T, then the block of decomposition  $T_X$ , has no homogeneous cut.

**Proof.** Assume not and let (X', Y') be a homogeneous cut of  $T_X$ . We now consider the following two cases.

Case 1: X is a homogeneous set of T.

Since every vertex of  $V(T) \setminus X$  is either strongly complete or strongly anticomplete to X, it follows that  $(X', V(T) \setminus X')$  is a homogeneous cut of T, contradicting our choice of (X, Y) since  $X' \subsetneq X$ .

Case 2:  $X = A \cup B$  where (A, B) is a proper homogeneous pair of T with split (A, B, C, D, E, F).

Since *cd* is a switchable pair of  $T_X$ ,  $\{c, d\} \subseteq X'$  or  $\{c, d\} \subseteq Y'$ .

Suppose that X' is a homogeneous set of  $T_X$ . Since c and d do not have a common strong neighbour nor a common strong antineighbor, it follows that  $\{c, d\} \subseteq Y'$ . Since c is strongly complete to A and strongly anticomplete to  $B, X' \subseteq A$  or  $X' \subseteq B$ . But then X' is a homogeneous set of T, contradicting our choice of (X, Y).

Therefore,  $X' = A' \cup B'$  where (A', B') is a proper homogeneous pair of  $T_X$  with split (A', B', C', D', E', F'). First assume that  $\{c, d\} \subseteq Y'$ . Since c is strongly complete to A and strongly anticomplete to B, it follows that  $A' \subseteq A$  or  $A' \subseteq B$ , and  $B' \subseteq A$  or  $B' \subseteq B$ . Hence (A', B') is a homogeneous pair of T. We now obtain a contradiction to the choice of (X, Y) by showing that (A', B') is in fact a proper homogeneous pair of T. If  $A' \cup B' \subseteq A$ , then  $c \in E'$ ,  $d \in F'$  (i.e.  $(C' \cup D') \cap \{c, d\} = \emptyset$ ) and hence, since C' and D' are nonempty, (A', B') is a proper homogeneous pair of T. So by symmetry we may assume that  $A' \subseteq A$  and  $B' \subseteq B$ . But then since C and D are nonempty, and C (resp. D) is strongly complete to A (resp. B) and strongly anticomplete to B (resp. A), it follows that (A', B') is a proper homogeneous pair of T.

Now assume that  $\{c, d\} \subseteq X'$ . Since C' and D' are nonempty, and no vertex of  $T_X$  is strongly complete nor strongly anticomplete to  $\{c, d\}$ , we may assume w.l.o.g. that  $c \in A'$  and  $d \in B'$ . Hence  $E' = F' = \emptyset$ ,  $C' \subseteq A$ and  $D' \subseteq B$ . If C' is strongly complete or strongly anticomplete to D', then since  $|C' \cup D'| \ge 3$ , C' or D' is a homogeneous set of  $T_X$  and we obtain a contradiction as above. So we may assume that C' is not strongly complete nor strongly anticomplete to D'. But then, since C and D are nonempty, (C', D') is a proper homogeneous pair of T, contradicting our choice of (X, Y).

**Theorem 3.3** Let T be a bull-free monogamous trigraph that has a decomposition. If T has a small homogeneous pair (A, B), then let  $X = A \cup B$ and  $Y = V(T) \setminus X$ . Otherwise let (X, Y) be minimally-sided homogeneous cut of T. Then the block of decomposition  $T_X$  is basic.

**Proof.** If  $X = A \cup B$  where (A, B) is a small homogenous pair then clearly  $T_X \in \mathcal{T}_0$ , so assume that T has no small homogeneous pair and that (X, Y) is a minimally-sided homogeneous cut of T. By Lemma 3.2,  $T_X$  has no homogeneous cut. If  $T_X$  has no small homogeneous pair, then by Theorem 2.1 and Lemma 3.1,  $T_X \in \mathcal{T}_0 \cup \mathcal{T}_1 \cup \overline{\mathcal{T}_1}$ . So assume that  $T_X$  has a small homogeneous pair with split (A', B', C', D', E', F'). Set  $X' = A' \cup B'$  and  $Y' = V(T_X) \setminus X'$ . We now consider the following two cases.

Case 1: X is a homogeneous set of T.

Since every vertex of  $V(T) \setminus X$  is either strongly complete or strongly anticomplete to X, it follows that  $(X', V(T) \setminus X')$  is a small homogeneous pair of T, contradicting the assumption that T has no small homogeneous pair.

Case 2:  $X = A \cup B$  where (A, B) is a proper homogeneous pair of T with split (A, B, C, D, E, F).

Since *cd* is a switchable pair of  $T_X$ ,  $\{c, d\} \subseteq X'$  or  $\{c, d\} \subseteq Y'$ .

First assume that  $\{c, d\} \subseteq Y'$ . Since c is strongly complete to A and strongly anticomplete to B, it follows that  $A' \subseteq A$  or  $A' \subseteq B$ , and  $B' \subseteq A$  or  $B' \subseteq B$ . Hence (A', B') is a small homogeneous pair of T, contradicting the assumption that T has no small homogeneous pair.

Now assume that  $\{c, d\} \subseteq X'$ . Since no vertex of  $T_X$  is strongly complete nor strongly anticomplete to  $\{c, d\}$  we may assume w.l.o.g. that  $c \in A'$  and  $d \in B'$ . Hence  $E' = F' = \emptyset$ ,  $C' \subseteq A$  and  $D' \subseteq B$ . But then, since C and D are nonempty, either (C', D') is a proper homogeneous pair of T or a subset of  $C' \cup D'$  is a homogeneous set of T (if C' is either strongly complete or strongly anticomplete to D'), contradicting the minimality of (X, Y).

### 4 Algorithms for finding decompositions

The fastest known algorithm for finding a homogeous set in a graph is linear time (see Habib and Paul [22]) and the fastest one for the homogeneous pair runs in time  $O(n^2m)$  (see Habib, Mamcarz, and de Montgolfier [21]). But we cannot use these algorithms safely here because we need minimally-sided decompositions with several technical requirements ("small", "proper") and we need our algorithms to work for trigraphs. However, it turns out that all classical ideas work well in our context.

A 4-tuple of vertices (a, b, c, d) of a trigraph is *proper* if ac and bd are strong edges and bc and ad are strong antiedges. A proper 4-tuple (a, b, c, d) is *compatible* with a homogeneous pair (A, B) if  $a \in A, b \in B$  and  $c, d \notin A \cup B$  (note that c, d must be respectively in the sets C, D from the definition of a split of a homogeneous pair).

**Lemma 4.1** Let T be a trigraph and Z = (a, b, c, d) a proper 4-tuple of T. There is an  $O(n^2)$  time algorithm that given a set  $R_0 \subseteq V(T)$  of size at least 3 such that  $Z \cap R_0 = \{a, b\}$ , either outputs two sets A and B such that (A, B) is a proper homogeneous pair of T compatible with Z and such that  $R_0 \subseteq A \cup B$ , or outputs the true statement "There exists no proper homogeneous pair (A, B) in T compatible with Z and such that  $R_0 \subseteq A \cup B$ ".

Moreover, when (A, B) is output,  $A \cup B$  is minimal with respect to these properties, meaning that  $A \cup B \subseteq A' \cup B'$  for every homogeneous pair (A', B') satisfying the properties.

**Proof.** We set  $R = R_0$  and  $S = V(T) \setminus R$ , and we implement several forcing rules, stating that some sets of vertices must be moved from S to R.

We give mark  $\alpha$  to all vertices of V(T) that are strongly adjacent to cand strongly antiadjacent to d. We give mark  $\beta$  to all vertices of V(T) that are strongly adjacent to d and strongly antiadjacent to c. We give mark  $\varepsilon$ to all vertices of V(T) not marked so far. Observe that a, b, c and d receive marks  $\alpha, \beta, \varepsilon$  and  $\varepsilon$  respectively.

Vertices of R should be thought of as "vertices that must be in  $A \cup B$ ". Vertices with mark  $\alpha$  should be thought of as "vertices that are in A if they are in R"; vertices with mark  $\beta$  should be thought of as "vertices that are in B if they are in R"; and vertices with mark  $\varepsilon$  should be thought of as "vertices that should not be in R". Note that the adjacency to c and d is enough to distinguish the three cases, and this is why the marks are not changed during the process.

Here are the rules. While there exists a vertex  $x \in R$  that is marked, we apply them to x, and we unmark x.

- If x has mark  $\varepsilon$ , then stop and output "There exists no homogeneous pair (A, B) in T compatible with Z and such that  $R_0 \subseteq A \cup B$ ".
- If x has mark  $\alpha$ , then move the following sets from S to R:  $\sigma(x) \cap S$ ,  $(\eta(a) \cap S) \setminus \eta(x)$  and  $(\eta(x) \cap S) \setminus \eta(a)$ .
- If x has mark  $\beta$ , then move the following sets from S to R:  $\sigma(x) \cap S$ ,  $(\eta(b) \cap S) \setminus \eta(x)$  and  $(\eta(x) \cap S) \setminus \eta(b)$ .

If a vertex with mark  $\varepsilon$  is in R, then no homogeneous pair compatible with (a, b, c, d) contains all vertices of R; this explains the first rule. If a vertex x is in R, then all switchable pairs with end x must be entirely in R; this explains why we move  $\sigma(x) \cap S$  to R. If a vertex x in R has mark  $\alpha$ , it must share the same neighborhood in S as a; this explains the second rule. The third rule is explained similarly for vertices marked  $\beta$ .

The following properties are easily checked to be invariant during all the execution of the procedure. This means that they are true before we start applying the rules, and they remain true after applying the rules to each vertex.

- R and S form a partition of V(T) and  $R_0 \subseteq R$ .
- For all unmarked  $v \in R$ , and all  $u \in S$ , uv is not a switchable pair.
- All unmarked vertices belonging to  $R \cap \eta(c)$  have the same neighborhood in S, namely  $S \cap \eta(a)$  (and it is a strong neighborhood).
- All unmarked vertices belonging to  $R \cap \eta(d)$  have the same neighborhood in S, namely  $S \cap \eta(b)$  (and it is a strong neighborhood).
- For every homogenous pair (A, B) compatible with (a, b, c, d) such that  $R_0 \subseteq A \cup B$ , we have  $R \subseteq A \cup B$  and  $V(T) \setminus (A \cup B) \subseteq S$ .

By the last item all moves from S to R are necessary. This is why the algorithm reports a failure if some vertex of R has mark  $\varepsilon$ . If the process does not stop for that particular reason, then all vertices of R have been

explored and are unmarked. Note that  $|R| \ge 3$  since  $R_0 \subseteq R$ . So, if  $|S| \ge 3$  at the end, we set  $A = R \cap \eta(c)$ ,  $B = R \cap \eta(d)$ , and we observe that (A, B) is a proper homogeneous pair.

Since all moves from S to R are necessary, the homogeneous pair is minimal as claimed. This also implies that if |S| < 3, then no proper homogeneous pair exists and we output this.

**Lemma 4.2** Let T be a trigraph and (a,b) a pair of vertices from T. There is an  $O(n^2)$  time algorithm that given a set  $R_0 \subseteq V(T)$  such that  $a, b \in R_0$ , either outputs a homogeneous set X such that  $R_0 \subseteq X$ , or outputs the true statement "There exists no homogeneous set X in T such that  $R_0 \subseteq X$ ".

Moreover, when X is output, X is minimal with respect to these properties, meaning that  $X \subseteq X'$  for every homogeneous set X' satisfying the properties.

**Proof.** The proof is similar to the previous one, so we just give a sketch. We mark all vertices except a and we move  $\sigma(a)$  to R. While there exists a marked vertex x in R, we move  $\sigma(x)$ ,  $\eta(x) \setminus \eta(a)$  and  $\eta(a) \setminus \eta(x)$  to R, and we unmark x.

**Theorem 4.3** There exists an  $O(n^8)$  time algorithm whose input is a trigraph T. The output is a small homogeneous pair of T if some exists. Otherwise, if G has a homogeneous cut, then the output is a minimally-sided homogeneous cut. Otherwise, the output is: "T has no small homogeneous pair, no proper homogeneous pair and no homogeneous set".

**Proof.** We search for a small homogeneous pair by enumerating all sets of vertices of size at most 6. This can be done in time  $O(n^8)$  ( $n^6$  for the enumeration, and  $n^2$  to check wether a given small set is a homogeneous pair). If no small homogeneous pair is detected, we first run the algorithm from Lemma 4.2 for all pairs of vertices. We then run the algorithm from Lemma 4.1 for all proper 4-tuples (a, b, c, d) of T and vertex e with  $R_0 = \{a, b, e\}$ . Among the (possibly) outputted homogeneous sets and pairs, we choose one of minimum cardinality. This forms a minimally-sided cut.

# 5 Computing $\alpha$ in {bull, odd-hole}-free graphs

The maximum stable set problem is NP-hard for bull-free graphs [30] and its complexity is not known for odd-hole-free graphs. In this section, we prove that it is polynomial for the intersection of the two classes. A graph G is *perfect* if every induced subgraph H of G satisfies  $\chi(H) = \omega(H)$ . We use the following classical results.

**Theorem 5.1 (Grötschel, Lovász, and Schrijver [19])** There is a polynomial time algorithm for the maximum stable set problem restricted to perfect graphs.

Theorem 5.2 (Chudnovsky, Robertson, Seymour and Thomas [9]) Every Berge graph is perfect.

**Theorem 5.3 (Chudnovsky, Cornuéjols, Liu, Seymour, and Vušković [8])** There is a polynomial time algorithm that decides whether an input graph is Berge.

Observe that despite the previous result, the complexity of deciding whether a graph contains an odd hole is not known. We also need the next classical algorithm that we use as a subroutine. For faster implementations (that we do not need here), see Makino and Uno [29].

#### Theorem 5.4 (Tsukiyama, Ide, Ariyoshi, and Shirakawa [37])

There exists an algorithm for generating all maximal stable sets in a given graph G that runs with O(nm) time delay (i.e. the computation time between any consecutive output is bounded by O(nm); and the first (resp. last) output occurs also in O(nm) time after start (resp. before halt) of the algorithm).

For the sake of induction, we need to work with weighted trigraphs. Here, a *weight* is a non-negative integer. By a *weighted trigraph with weight* function w, we mean a trigraph T such that:

- every vertex a has a weight w(a);
- every switchable pair ab of T has a weight w(ab);
- for every switchable pair ab,  $\max\{w(a), w(b)\} \le w(ab) \le w(a) + w(b)$ .

Let S be a stable set of T. Recall that  $\nu(T)$  denotes the set of all strongly antiadjacent pairs of T, and  $\sigma(T)$  the set of all semiadjacent pairs of T. We set  $c(S) = \{v \in S : \forall u \in S \setminus \{v\}, uv \in \nu(T)\}$ . We set  $\sigma(S) = \{uv \in \sigma(T) : u, v \in S\}$ . Observe that if T is monogamous, then for every vertex v of S, one and only one of the following outcomes is true:  $v \in c(S)$  or for some unique  $w \in S$ ,  $vw \in \sigma(S)$ . The weight of a stable set S is the sum of the weights of the vertices in c(S) and of the weights of the (switchable) pairs in  $\sigma(S)$ . From here on, T is a weighted monogamous trigraph and  $\alpha(T)$ denotes the maximum weight of a stable set of T.

When (X, Y) is a decomposition of T, we already defined the block  $T_Y$ . We now explain how to give weights to the marker vertices and switchable pairs in  $T_Y$ . Every vertex and switchable pair in T[Y] keeps its weight. If X is a homogeneous set, then the marker vertex x receives weight  $\alpha(T[X])$ . If  $X = A \cup B$  where (A, B) is a homogeneous pair, then we give weight  $\alpha_A = \alpha(T[A])$  to marker vertex a,  $\alpha_B = \alpha(T[B])$  to marker vertex b and  $\alpha_{AB} = \alpha(T[A \cup B])$  to the switchable pair ab. It is easy to check that the inequalities in the definition of a weighted trigraph are satisfied.

Lemma 5.5  $\alpha(T) = \alpha(T_Y)$ .

**Proof.** If X is a homogeneous set, then this is clearly true since if a maximum weight stable set S of T contains a vertex of X, then  $S \cap X$  is a maximum weight stable set of T[X].

Suppose that  $X = A \cup B$  where (A, B) is a homogeneous pair with split (A, B, C, D, E, F). Let S be a maximum weighted stable set of T. If  $S \cap (A \cup B) = \emptyset$ , then S is a stable set of  $T_Y$ . If  $\emptyset \subsetneq S \cap (A \cup B) \subseteq A$ , then  $S \cap A$  is a stable set of T of weight  $\alpha_A$ , and hence  $(S \setminus A) \cup \{a\}$  is a stable set of  $T_Y$  of the same weight as S. If  $\emptyset \subsetneq S \cap (A \cup B) \subseteq B$ , then  $S \cap B$  is a stable set of T of weight  $\alpha_B$ , and hence  $(S \setminus B) \cup \{b\}$  is a stable set of  $T_Y$ of the same weight as S. If  $S \cap A \neq \emptyset$  and  $S \cap B \neq \emptyset$ , then  $S \cap (A \cup B)$  is a stable set of T of weight  $\alpha_{AB}$ , and hence  $(S \setminus (A \cup B)) \cup \{a, b\}$  is a stable set of  $T_Y$  of the same weight as S. Therefore  $\alpha(T) \leq \alpha(T_Y)$ . The reverse inequalities can be shown similarly, and hence the result holds.

**Lemma 5.6** If (X, Y) is a decomposition of an odd-hole-free trigraph T, then the corresponding blocks  $T_X$  and  $T_Y$  are odd-hole-free.

**Proof.** Assume not and let H be an odd hole contained in  $T_X$  or  $T_Y$ . Since H cannot be isomorphic to an induced subtrigraph of T, it follows that  $X = A \cup B$  where (A, B) is a homogeneous pair of T and H contains two marker vertices from the block. Note that the two marker vertices either have a common neighbor or a common antineighbor on H. Since c and d do not have a common neighbor nor a common antineighbor in  $T_X$ , it follows that H is an odd hole of  $T_Y$ . But then, since A is not strongly complete nor strongly anticomplete to B, for some  $a' \in A$  and  $b' \in B$ ,  $(V(H) \setminus \{a, b\}) \cup \{a', b'\}$  induces an odd hole in T, a contradiction.

**Lemma 5.7** If T is a trigraph from  $\mathcal{T}_1$ , then T does not contain an antihole of length at least 7.

**Proof.** Let  $T \in \mathcal{T}_1$  and let  $X, K_1, \ldots, K_t$  be a partition of vertices of T as in the definition of  $\mathcal{T}_1$ . Suppose that  $H = h_1 \ldots h_7 \ldots$  is an antihole of length at least 7 in T.

In H, every 5-tuple of vertices contains a triangle (to see this, start from a vertex of H not in the 5-tuple, walk along H and pick every second vertex of the 5-tuple: they form a triangle). Hence, X contains at most four vertices of H, and  $K_1 \cup \cdots \cup K_t$  contains at least three vertices of H. Since H contains no strong stable set of size 3, we may assume that  $H \subseteq K_1 \cup K_2 \cup X$ . Suppose that  $K_1$  contains at least 3 vertices of H. Then  $K_2$  contains no vertices of H (because in H, every triangle is dominant). No two vertices of  $H \cap K_1$  are consecutive in H. It follows that  $H \cap X$  contains a clique of the same size as  $H \cap K_1$ , a contradiction (since X contains no triangle). So  $K_1$ , and similarly  $K_2$  contains at most two vertices of H. If  $K_1$ and  $K_2$  both contains two vertices of H, then the complement of H contains a 4-cycle, a contradiction.

It follows that we may assume that H contains two vertices in  $K_1$ , one in  $K_2$  and four in X. Furthermore, the vertices in  $K_1 \cup K_2$  must be consecutive so that w.l.o.g.  $h_1 \in K_1$ ,  $h_2 \in K_2$ ,  $h_3 \in K_1$  and  $h_4, h_5, h_6, h_7 \in X$ . Hence,  $N(h_2) \cap X$  contains a path on 4 vertices, so it does not partition into two strong stable sets that are strongly complete to each other, a contradiction.

Let T be a weighted monogamous trigraph with weight function w and a switchable pair ab. We now define four ways to get rid of the switchable pair ab while keeping  $\alpha$  the same. This is needed because sometimes we rely on algorithms for graphs. There are four ways because a (resp. b) can be transformed into a strong edge or a strong antiedge. Only one way is needed in this section, but in Section 7, the four ways are needed.

The weighted monogamous trigraph  $T_{a\to S}$  (resp.  $T_{b\to S}$ ) is constructed as follows: replace switchable pair ab with a strong edge ab; add a new vertex a' (resp. b') and make it strongly complete to  $N_T(a) \setminus \{b\}$  (resp.  $N_T(b) \setminus \{a\}$ ) and strongly anticomplete to the remaining vertices; keep the weights of vertices and switchable pairs of  $T \setminus \{a\}$  (resp.  $T \setminus \{b\}$ ) the same; assign the weight w(a) + w(b) - w(ab) to a (resp. w(a) + w(b) - w(ab) to b) and the weight w(ab) - w(b) to a' (resp. w(ab) - w(a) to b').

The weighted monogamous trigraph  $T_{a\to K}$  (resp.  $T_{b\to K}$ ) is constructed as follows: replace switchable pair ab with a strong edge ab; add a new vertex a' (resp. b') and make it strongly complete to  $\{a\} \cup N_T(a) \setminus \{b\}$  (resp.  $\{b\} \cup N_T(b) \setminus \{a\}$ ) and strongly anticomplete to the remaining vertices; keep the weights of vertices and switchable pairs of  $T \setminus \{a\}$  (resp.  $T \setminus \{b\}$ ) the same; assign the weight w(a) to a (resp. w(b) to b) and the weight w(ab) - w(b) to a' (resp. w(ab) - w(a) to b').

Note that by the inequalities in the definition of a weighted trigraph, all weights of vertices in  $T_{a\to S}$ ,  $T_{b\to S}$ ,  $T_{a\to K}$  and  $T_{b\to K}$  are nonnegative.

**Lemma 5.8** If T is a weighted monogamous trigraph and ab is a switchable pair of T, then the following hold.

(i) If T is Berge then  $T_{a\to S}$ ,  $T_{b\to S}$ ,  $T_{a\to K}$  and  $T_{b\to K}$  are Berge.

(*ii*) 
$$\alpha(T_{a\to S}) = \alpha(T_{b\to S}) = \alpha(T_{a\to K}) = \alpha(T_{b\to K}) = \alpha(T).$$

**Proof.** We prove the statement for  $T' = T_{a \to S}$ , the other proofs are similar. To prove (i) assume T is Berge, but T' contains an odd hole or an odd antihole H. Since H cannot be isomorphic to an induced subtrigraph of T, it must contain at least two vertices of  $\{a', a, b\}$ . If H does not contain both a and a', then by replacing the strong edge or strong antiedge of H that goes from  $\{a', a\}$  to  $\{b\}$  by a switchable pair ab, we obtain an odd hole or an odd antihole of T, a contradiction. So H contains both a and a'. Observe that a and a' are not contained in any switchable pair of T'. Since H is of length at least 5, it contains a vertex that is adjacent to a' but not to a, a contradiction.

To prove (ii), first let S be a maximum weighted stable set of T. If  $S \cap \{a, b\} = \{a\}$  then let  $S' = S \cup \{a'\}$ , if  $S \cap \{a, b\} = \{a, b\}$  then let  $S' = (S \setminus \{a\}) \cup \{a'\}$ , and otherwise let S' = S. Then S' is a stable set of T' of the same weight as the weight of S in T, and hence  $\alpha(T) \leq \alpha(T')$ . Now let S be a maximum weighted stable set of T'. Note that we may assume w.l.o.g. that  $S \cap \{a, a', b\} = \emptyset, \{a, a'\}, \{b\}$  or  $\{a', b\}$ . If  $S \cap \{a, a', b\} = \{a, a'\}$  then let  $S' = S \setminus \{a'\}$ , if  $S \cap \{a, a', b\} = \{a', b\}$  then let  $S' = (S \setminus \{a'\}) \cup \{a\}$ , and otherwise let S' = S. Then S' is a stable set of T of the same weight as the weight of S in T', and hence  $\alpha(T') \leq \alpha(T)$ , completing the proof of (ii).

**Lemma 5.9** If T is a trigraph from  $\overline{\mathcal{T}_1}$ , then T contains at most  $|V(T)|^3$  maximal stable sets.

**Proof.** Consider sets  $X, K_1, \ldots, K_t$  that partition  $V(\overline{T})$  as in the definition of  $\mathcal{T}_1$ . A maximal stable set in T is formed by a subset S of size at most 2 of X together with all the non-neighbors of S in some  $K_i$ . Therefore, there are at most  $n^3$  maximal stable sets in T.

**Lemma 5.10** There exists an  $O(n^4m)$  time algorithm whose input is any trigraph T and whose output is a maximum weighted stable set of T, or a certificate that T is not in  $\overline{\mathcal{T}_1}$ .

**Proof.** Let G be the realization of T obtained by transforming every switchable pair of T by a non-edge. Note that a subset of V(T) = V(G) is a stable set in G if and only if it is a stable set in T. So, the problem of enumerating all maximal stable sets of G is equivalent to the problem of enumerating all maximal stable sets of T. Note also that if S is a stable set of T and  $S' \subseteq S$ , then  $w(S') \leq w(S)$ .

The algorithm uses Theorem 5.4 to enumerates all maximal stable sets of T (but stops if more than  $n^3$  sets are found). Lemma 5.9 certifies that if more than  $n^3$  sets are found, then T is not in  $\overline{\mathcal{T}_1}$ . Otherwise, among all enumerated stable sets, the algorithm outputs one of maximum weight.

**Theorem 5.11** There exists a polynomial-time algorithm with the following specifications.

**Input:** A weighted monogamous trigraph T.

**Output:** Either T is correctly identified as not being  $\{bull, odd-hole\}$ -free, or a maximum weighted stable set of T is returned.

**Proof.** We verify that T is bull-free by checking all subsets of vertices of size 5. So let us assume that T is bull-free. We apply the algorithm of Theorem 4.3 to T.

Suppose first that no decomposition is found. By Theorem 2.1, T is basic. If T is in  $\mathcal{T}_0$  (and it is trivial to know whether T is actually in  $\mathcal{T}_0$ ), we rely on some constant time brute force method. If T is not in  $\mathcal{T}_0$ , we run the algorithm from Lemma 5.10. So, we have the maximum weighted stable set, or we know that G is not in  $\overline{\mathcal{T}_1}$ . In this last case, we know that T is in  $\mathcal{T}_1$  and for every switchable pair ab of T, we replace T by  $T_{a\to S}$ , until we obtain a graph G. We check whether G is Berge by Theorem 5.3. If G is Berge, then G is perfect by Theorem 5.2, so we compute a maximum weighted stable set of G by Theorem 5.1, which is what we need by Lemma 5.8. Otherwise, G is not Berge, so T is not Berge by Lemma 5.8. Hence, T contains an odd hole by Lemma 5.7, so it is identified as not being {bull, odd-hole}-free.

Suppose now that a decomposition (X, Y) is found. By Theorem 3.3,  $T_X$  is basic. So, as shown in the paragraph above, we may compute in polynomial time the maximum weight of a stable set in  $T_X$ , or certify that  $T_X$  is not in the class, but then by Lemmas 5.6 and 3.1, T is identified

as not being in the class. We can also do this for induced subtrigraphs of  $T_X$ . Hence, we can compute the weights needed to build  $T_Y$ . We compute recursively  $\alpha(T_Y)$ , that is equal to  $\alpha(T)$  by Lemma 5.5. Since  $T_Y$  has less vertices than T, the number of recursive calls is bounded by |V(T)|.

Our algorithm relies on Grötschel, Lovász, and Schrijver's algorithm that colors perfect graphs [19]. We wonder whether a more direct approach exists.

**Question 5.12** Is there a polynomial time combinatorial algorithm that computes  $\alpha(G)$  for any input {bull, odd hole}-free graph G?

# 6 Computing $\alpha$ in bull-free graphs

In this section, we use positive weights (no vertex nor switchable pair in a trigraph has weight 0). Also, switchable pairs have weight at least 2.

Let R(x, y) be the smallest integer n such that every graph on at least n vertices contains a clique of size x or a stable set of size y. By a classical theorem of Ramsey,  $R(3, x) \leq \binom{x+1}{2}$ . We now define two functions g and f by  $g(x) = \binom{x+1}{2} - 1$  and  $f(x) = g(x) + (x-1)(\binom{g(x)}{2} + 2g(x) + 1)$ . Note that  $f(x) = O(x^5)$ . The next lemma handles basic trigraphs.

**Lemma 6.1** There exists an  $O(n^4m)$ -time algorithm with the following specifications.

**Input:** A weighted monogamous basic trigraph T on n vertices, in which all vertices have weight at least 1 and all switchable pairs have weight at least 2, with no homogeneous set, and a positive integer W.

**Output:** One of the following true statements.

- 1.  $n \leq f(W);$
- 2. the number of maximal stable sets in T is at most  $n^3$ ;
- 3.  $\alpha(T) \geq W$ .

**Proof.** Let G be the realization of T in which all switchable pairs are assigned value "strong antiedge". Note that G is a graph. We claim that testing whether output i is true or not can be done in polynomial time for i = 1, 2. For i = 1, this is trivial and for i = 2, it follows from Theorem 5.4 applied to G. The algorithm does these two tests, stops if one of them is a success, and if each attempt fails, it gives the answer 3. The running time is clearly  $O(n^4m)$ . It remains to check that when output 3 is the answer

it is a true statement. So suppose for a contradiction that  $\alpha(T) < W$ . In particular,  $W \ge 2$ .

If T is a trigraph in  $\mathcal{T}_0$ , then  $n \leq 8 = f(2) \leq f(W)$ , so the algorithm should have stopped to give outcome 1, a contradiction. If T is a trigraph in  $\overline{\mathcal{T}_1}$ , then by Lemma 5.9, the number of maximal stable sets in T is at most  $n^3$ . So, the algorithm should have stopped to give outcome 2, a contradiction.

So, suppose that T is a trigraph in  $\mathcal{T}_1$ , and consider the sets  $X, K_1, \ldots, K_t$ as in the definition of  $\mathcal{T}_1$ . If  $|X| \geq \binom{W+1}{2}$ , then by Ramsey Theorem, Gcontains a stable set of size at least W, and therefore T contains a stable set of weight at least W (since weights of vertices are at least 1 and weights of switchable pairs are at least 2), a contradiction. So,  $|X| \leq g(W)$ . If  $t \geq W$ , then by taking a vertex in each  $K_i$ ,  $i = 1, \ldots, t$ , we obtain a stable set of size at least W, a contradiction. So  $t \leq W - 1$ .

If for some  $i \in \{1, \ldots, t\}$  we have  $|K_i| \ge \binom{g(W)}{2} + 2g(W) + 2$ , then since T is monogamous and  $|X| \le g(W)$ , at least  $\binom{g(W)}{2} + g(W) + 2$  vertices in  $K_i$  are not adjacent to any switchable pair and we call  $K'_i$  the set formed by these vertices (so,  $|K'_i| \ge \binom{g(W)}{2} + g(W) + 2$ ). Consider the hypergraph N with vertex set X and hyperedge set  $\{N(v) \cap X | v \in K'_i\}$  and observe that N has Vapnik-Cervonenkis dimension bounded by 2 (for an introduction to Vapnik-Cervonenkis dimension, see [1]). Indeed, assume for contradiction that  $S = \{x_1, x_2, x_3\}$  is a shattered subset of (three) vertices of N, i.e. for every subset Y of S there exists a hyperedge e of N such that  $S \cap e = Y$ . This would imply the existence of three vertices  $y_1, y_2, y_3$  in  $K'_i$  such that  $y_i$  is joined only to  $x_i$  in S, for i = 1, 2, 3. Since X is triangle-free, there exists an antiedge in S, say  $x_1x_2$ . But then a contradiction appears since  $\{y_1, y_2, y_3, x_1, x_2\}$  induces a bull. Since the VC-dimension is at most 2, by Sauer's Lemma [33], the number of distinct hyperedges of N is at most  $\binom{|X|}{2} + |X| + 1$ , so at most  $\binom{g(W)}{2} + g(W) + 1$ . But since two distinct vertices of  $K'_i$  have distinct neighborhoods to avoid homogeneous sets, it follows that  $K'_i$  has size bounded by  $\binom{g(W)}{2} + g(W) + 1$ , a contradiction. So,  $|K_i| \le \binom{g(W)}{2} + 2g(W) + 1$ .

We proved that  $|X| \leq g(W), t \leq W - 1$  and for  $i \in \{1, \ldots, t\}, |K_i| \leq \binom{g(W)}{2} + 2g(W) + 1$ . It follows that

$$n \le g(W) + (W-1)\left(\binom{g(W)}{2} + 2g(W) + 1\right) = f(W).$$

So, the algorithm should have stopped to give outcome 1, a contradiction.

**Theorem 6.2** There is an algorithm with the following specification.

- **Input:** A weighted monogamous bull-free trigraph T and a positive integer W.
- **Output:** "YES" if  $\alpha(T) \ge W$  and otherwise an independent set of maximum weight.
- Running time:  $2^{O(W^5)}n^9$

**Proof.** First, we delete all vertices of weight 0, and for all switchable pairs of weight 1, we replace the switchable pair by a strong edge. It is easy to check that this does not change  $\alpha$ . Now, all vertices have weight at least 1, and all switchable pairs have weight at least 2. Apply the algorithm from Theorem 4.3.

Suppose that no decomposition is found. In particular, T has no homogeneous set. Also by Theorem 2.1, T is basic. Run the algorithm from Lemma 6.1. If outcome 1 is the answer, we compute by brute force a maximum weighted stable set in time  $2^{O(W^5)}$ . If outcome 2 is the answer, we compute a maximum weighted stable set in polynomial time by Theorem 5.4 applied to the realization of T in which all switchable pairs are assigned value "strong antiedge". In both cases, we know the answer. Finally, if outcome 3 is the answer, then we have that  $\alpha(T) \geq W$  and we output "yes".

Suppose that a decomposition (X, Y) is found. By Theorem 3.3,  $T_X$  is basic. We run the algorithm from Lemma 6.1 for  $T_X$ . If outcome 3 is the answer, output  $\alpha(T) \geq W$ , which is the right answer since  $\alpha(T[X]) \leq \alpha(T)$ . If outcome 1 or 2 is the answer, then compute a maximum weighted stable set in T[X] as above (if  $X = A \cup B$  where (A, B) is a homogeneous pair, then we also compute a maximum weighted stable set in T[A] and T[B] that are basic). We now have the weights needed to construct the block  $T_Y$ . Run the algorithm recursively for  $T_Y$  (this is correct by Lemma 5.5). Since  $T_Y$ has fewer vertices than T, the number of recursive calls is bounded by n.

# 7 A polynomial Turing-kernel

Once an FPT-algorithm is found, the natural question is to ask for a polynomial kernel for the problem. Precisely, is there a polynomial-time algorithm which takes as input a bull-free graph G and a parameter k and outputs a bull-free graph H with at most  $O(k^c)$  vertices and some integer k' such

that G has a stable set of size k if and only if H has a stable set of size k'? Unfortunately, we have the following.

**Theorem 7.1** Unless  $NP \subseteq coNP/poly$ , there is no polynomial kernel for the problem  $\alpha(G) \ge k$ , where G is a bull-free graph and k is the parameter.

**Proof.** This simply follows from the facts that the unparameterized version of  $\alpha(G) \geq k$  is NP-hard for bull-free graphs, and that the problem is OR-compositional (see [2]). Indeed, if we are given a family  $G_1, \ldots, G_\ell$  of bull-free graphs and some integer k, one can form the complete sum G of these graphs by taking disjoint copies of them and joining them pairwise by complete bipartite graphs (i.e. for all  $i \neq j$ , put all edges between  $G_i$  and  $G_j$ ). We then have that G is bull-free, and moreover  $\alpha(G) \geq k$  if and only if there exists some i for which  $\alpha(G_i) \geq k$  (this is the definition of an OR-compositional problem). By a result of Bodlaender et al. [2], unless NP  $\subseteq$  coNP/poly, no NP-hard OR-compositional problem can admit a polynomial kernel.

Somewhat surprisingly, the non existence of a polynomial kernel is not related to the hard core of the algorithm (computing the leaves) but is related to the decomposition tree itself (since even complete sums cannot be handled). Indeed, our algorithm is a kind of kernelisation: the answer is obtained in polynomial time provided that we compute a stable set in a linear number of basic trigraphs of size at most  $k^5$  (the leaves of our implicit decomposition tree). A similar behaviour was discovered by Fernau et al [18] in the case of finding a directed tree with at least k leaves in a digraph (*Maximum Leaf Outbranching problem*): a polynomial kernel does not exist, but n polynomial kernels can be found. In our case, the leaves of the decomposition tree are pairwise dependent, hence our method does not provide  $O(n^c)$  independent kernels of size  $O(k^5)$ . It seems that the notion of kernel is not robust enough to capture this kind of behaviour in which the computationally hard cases of the problem admit polynomial kernels, but the (computationally easy) decomposition structure does not.

Let f be a computable function. A parameterized problem has an f-Turing-kernel (see Lokshtanov [27]) if there exists a constant c such that computing the solution of any instance (X, k) can be done in  $O(n^c)$  provided that we have unlimited access to an oracle which can decide any instance (X', k') where (X', k') has size at most f(k).

**Theorem 7.2** Stability in bull-free weighted trigraphs (resp. graphs) has an  $O(k^5)$ -Turing-kernel. The unweighted versions of both problems also have an  $O(k^5)$ -Turing-kernel.

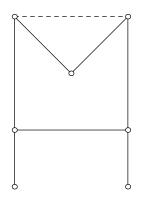


Figure 3: A bull-free trigraph where all ways to expand a switchable pair creates a bull.

**Proof.** The proof is done already for weighted trigraphs. For weighted graphs, there is a problem: with the present proof, we reduce graphs to trigraphs, so we need to interpret a trigraph as a graph. It is not the case that every (integer) weighted bull-free trigraph can be interpreted as an unweighted bull-free graph with the same  $\alpha$ . Indeed, it is false in general that for every switchable pair ab of a bull-free trigraph, at least one of the trigraph  $T_{a\to S}$ ,  $T_{b\to S}$ ,  $T_{a\to K}$  or  $T_{b\to K}$  is bull-free. In Fig. 3, we show an example of a bull-free trigraph with a switchable pair represented by a dashed line, where all the four obtained graphs contain a bull. However, if we start with a bull-free *qraph* and compute leaves of the decomposition tree, every switchable pair in them is obtained at some point by shrinking a homogeneous pair (A, B) of a trigraph T into a switchable pair ab of a trigraph T'. Because of the requirement that A is not strongly complete and not strongly anticomplete to B, we see that at least one of  $T'_{a\to S}$ ,  $T'_{b\to S}$ ,  $T'_{a\to K}$  or  $T'_{b\to K}$  is in fact an induced subtrigraph of some semirealization of T (and recall that a trigraph is bull-free if and only if all its semirealizations are bull-free). By Lemma 5.8, this allows us to represent the weighted bullfree trigraphs generated by our Turing-kernel as bull-free graphs (with the same  $\alpha$ ).

To prove the unweighted versions, just note that we can get rid of weights by substituting a (strong) stable set on w vertices for every vertex of weight w.

### 8 Bounding $\chi$

A coloring of a graph is an assignment of colors to the vertices in such a way that no two adjacent vertices receive the same color. A semicoloring of a graph is an assignment of colors to the vertices in such a way that no maximal clique of G is monochromatic. Clearly, every coloring is a semicoloring. Recall that  $\chi_T(G)$  is the triangle-free chromatic number of G, that is the maximum chromatic number of a triangle-free induced subgraph of G.

**Lemma 8.1** If G is a bull-free graph, then G admits a semicoloring with at most

$$\max(\chi_T(G) + \omega(G), R(\omega(G) + 1, 3) - 1 + \omega(G))$$

colors.

**Proof.** Our proof is by induction on |V(G)|. We use Theorem 2.1 and suppose first that G is basic. If G is in  $\mathcal{T}_0$  (this is the base case of our induction), then clearly it can be semicolored with eight colors (assign a different color to each vertex). If G is in  $\mathcal{T}_1$ , its triangle-free part can be colored with  $\chi_T(G)$  colors and the cliques can be coloured with  $\omega(G)$  colors. If G is in  $\overline{\mathcal{T}_1}$ , then we rely on Ramsey theory. Let  $X, K_1, \ldots, K_i$  be the sets as in the definition of  $\mathcal{T}_1$ . Observe that X contains no stable set of size 3, and no clique of size  $\omega(G) + 1$ . Therefore, by Ramsey's Theorem, X contains at most  $R(\omega(G) + 1, 3) - 1$  vertices and can be colored with  $R(\omega(G) + 1, 3) - 1$ colors. Since  $K_1 \cup \cdots \cup K_i$  partitions into i stable sets that are pairwise complete to one another, it can be colored with  $\omega(G)$  colors.

Suppose now that G admits a decomposition (X, Y). We may assume that G is connected. The block  $G_Y$  is bull-free by Lemma 3.1, so every realisation  $G'_Y$  of  $G_Y$  has a coloring with at most  $\max(\chi_T(G) + \omega(G), R(\omega(G) + 1, 3) - 1 + \omega(G))$  colors (because  $\omega(G_Y) \leq \omega(G)$ ).

If X is a homogeneous set, then we color G by giving to all vertices of Y the same color as in  $G_Y$  and by giving to the vertices of X the color of the marker vertex x. Since G is connected, no maximal clique of G is contained in X, so the coloring that we obtain is a semicoloring.

If  $X = A \cup B$  where  $A \cup B$  is a proper homogeneous pair with split (A, B, C, D, E, F), we consider the graph obtained from  $G_Y$  by replacing the switchable pair ab by an edge. Observe that if  $E = \emptyset$ , then a and b have different colors. We color G by giving to all vertices of Y the same color as in  $G_Y$ , to vertices of A the color of a and to vertices of B the color of b. This is a semicoloring of G, because no maximal clique of G is included in A or in B, and one is included in  $A \cup B$  only if  $E = \emptyset$ .

If  $X = A \cup B$  where  $A \cup B$  is a small homogeneous pair with split (A, B, C, D, E, F), then we may assume that it is not proper, say  $C = \emptyset$ . If  $E \neq \emptyset$ , then the same proof as above works, so suppose  $E = \emptyset$ . Since G is connected, we have  $D \neq \emptyset$ . We choose a vertex  $b \in B$  and color by induction the graph  $G[Y \cup \{b\}]$ . We color G by giving to all vertice of Y the same color that they have in this coloring and to vertices of B the color of b. There are at most five vertices in A and all colors are available for them except the color of b. We may therefore color A with at most five colors. This is a semicoloring.

**Theorem 8.2** There exists a function f such that for every bull-free graph,  $\chi(G) \leq f(\chi_T(G), \omega(G)).$ 

**Proof.** By Lemma 8.1, there exists an increasing function g such that all bull-free graphs G have a semicoloring with at most  $g(\chi_T, \omega(G))$  colors. We set  $f(x, y) = g(x, y)^{g(x, y)}$ . We prove by induction on  $\omega$  that every bull-free graph G with triangle-free chromatic number  $\chi_T$  and maximum clique size  $\omega$  has a coloring with at most  $f(\chi_T, \omega)$  colors. If  $\omega \leq 2$ , this is clear because a semicoloring of a triangle-free graph is a coloring. Suppose  $\omega > 2$  and consider a semicoloring of G with  $g(\chi_T, \omega)$  colors. By considering the color classes, we partition G into  $g(\chi_T, \omega)$  induced subgraphs, and each of them has clique size at most  $\omega - 1$  and triangle-free chromatic number at most  $\chi_T$ . Therefore, by induction, we color G with

$$g(\chi_T, \omega)f(\chi_T, \omega - 1) = g(\chi_T, \omega)g(\chi_T, \omega - 1)^{g(\chi_T, \omega - 1)}$$
$$\leq g(\chi_T, \omega)g(\chi_T, \omega)^{g(\chi_T, \omega) - 1} = f(\chi_T, \omega)$$

colors.

As observed in the introduction, the theorem above yields the following.

**Theorem 8.3** The class of {bull, odd hole}-free graphs is  $\chi$ -bounded.

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