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Article:

Cameron, R.W.F., Taylor, J. and Emmett, M. (2015) A Hedera green façade - Energy performance and saving under different maritime-temperate, winter weather conditions. *Building and Environment*, 92. 111 - 121. ISSN 0360-1323

<https://doi.org/10.1016/j.buildenv.2015.04.011>

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1 **A *Hedera* Green Façade – Energy Performance and Saving Under**
2 **Different Maritime-Temperate, Winter Weather Conditions**

3

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15

16 **Abstract:**

17 Thermal regulation is a key ecosystem service provided by urban plants. In addition
18 to summer cooling, plants can insulate buildings against heat loss in winter.

19 Research was conducted over two winters using replicated small-scale physical
20 models to simulate heat loss from a built structure and to investigate the insulation
21 properties of plants during cold weather. Brick cuboids were constructed around a
22 water tank maintained at 16°C and energy use monitored. Covering cuboids with ivy
23 (*Hedera helix*) reduced mean energy consumption by 21% compared to bare cuboids

24 during the first winter (means of 4.3 and 5.4 kWh per week, respectively). During the
25 second winter, when foliage was more extensive a 37% mean saving was achieved
26 (3.7 compared to 5.9 kWh per week). The presence of *Hedera* enhanced brick
27 temperatures significantly compared to bare walls. Temperature differences were
28 affected by weather parameters, aspect, diurnal time and canopy density. Largest
29 savings in energy due to vegetation were associated with more extreme weather,
30 such as cold temperatures, strong wind or rain. Under such scenarios green façades
31 could increase energy efficiency by 40-50% and enhance wall surface temperatures
32 by 3°C. These empirical studies with replicated treatments augment previous
33 research based on urban modelling and data from non-replicated individual buildings
34 *in situ*. They indicate that planting design requires more attention to ensure the heat
35 saving aspects associated with green façades and shelter belts are optimised. These
36 aspects are discussed within the context of wider urban ecosystem services provided
37 by vegetation, and implications for climate change mitigation.

38

39 *Highlights*

- 40 • Replicated treatments were used to investigate thermal properties of green
41 façades during winter
- 42 • Vegetation significantly reduced energy use in cuboids
- 43 • Vegetation increased wall insulation properties and surface temperatures
- 44 • Greatest benefits were associated with more extreme weather

45

46

47 *Keywords:* **Energy efficiency, green facade, green wall, retrofitting buildings,**
48 **thermal performance, winter energy saving**

49

Nomenclature

ANOVA	Analysis of variance
df	Degrees of freedom
h	Time [hours]
k	Thermal conductivity [$\text{W m}^{-1} \text{K}^{-1}$]
kgCO₂^e	Kg carbon dioxide equivalent green-house gas
lbh	Length, breadth, height
LSD	Least significant difference
N	North aspect
n	Number of replicates
P	Probability, lower values represent greater confidence
PC	Planted cuboid
S	South aspect
UC	Un-planted cuboid
U10	Wind speed at 10 m height
v	Versus
w/c	Week commencing

51 **1. Introduction**

52 Energy demand in temperate climates is a key sustainability issue [1]. In
53 developed countries 20-40% of total energy is consumed in buildings [2] and the built
54 environment accounts for >50% of all UK carbon emissions [3] with extensive
55 economic and climate change implications [1]. Green façades/walls and roofs have
56 been the subject of significant attention over recent years partly due to their wider
57 role in urban heat island mitigation [4,5], but also their ability to shield buildings from
58 excessive solar gain and cool via evapo-transpiration [6]. This dual cooling can
59 significantly reduce temperatures around the building envelope and hence decrease
60 energy demand for mechanised cooling [7].

61 Vegetation can also ameliorate winter effects on a building, and in turn reduce
62 heat energy consumption; although this has received comparatively less attention [8].
63 The premise has been explored over three decades [9-11]. There remains a lack of
64 research with replicated treatments under field conditions, however, particularly with
65 respect to maritime-temperate climates such as the UK. Most previous studies have
66 been dominated by continental climatic pressures e.g. central/eastern parts of the
67 contiguous USA. Inferences from such research to temperate scenarios are
68 problematic, not least due to typically milder winters, variation in sunlight hours
69 (cloud cover) and solar azimuth angle (hence radiation intensity). Yet, there is an
70 urgent need for innovative and practical options which address the poor energy
71 performance of much of the housing stock in countries such as the UK and Eire. In
72 the UK, 80% of housing was built prior to 1980, with little focus on energy efficiency
73 in construction [12]. Despite being a 'temperate' climate, the UK has one of Europe's
74 highest rates of winter mortality [13] with 23,500 excess deaths in winter 2003/4 [14].

75 Wind chill and infiltration of cold air (with the associated convective losses) are
76 the most significant factors in the poor energy performance of old housing stock
77 [7,9,15]. Infiltration of cold air is undesirable not only due to temperature reduction in
78 the building envelope, but also cold air meeting warm causes water vapor to
79 condense, particularly in cavity spaces. Vegetation covering a building can reduce
80 wind velocity through the surface resistance of the canopy, and thus reduce both
81 cold air infiltration and convective heat loss to a building [7,9,10], and in turn reduce
82 carbon consumed in heating the home or office [16]. These thermal benefits are
83 augmented by a spectrum of well-documented additional benefits within the
84 anthrosphere, not least habitat provision for urban biota [17], intercepting
85 precipitation and reducing run-off rates [18], screening out aerial particulate matter
86 and improving air quality [19], contributing to psychological well-being and improving
87 the aesthetics of the cityscape [20,21].

88 For decades it has been understood that hedges and trees reduce wind-chill
89 to surrounding structures or landforms by providing a wind break; although much of
90 the focus has related to crop or livestock protection within agriculture [e.g. 22]. Some
91 authors have applied these principals to vegetated walls noting a reduction in
92 draughts surrounding apertures, (and hence air flow into/out of a building), together
93 with warmer air retained against the building envelope [23]. Indeed, Dewalle and
94 Heisler [24] suggest that vegetation can reduce cold air infiltration to the building
95 envelope by up to 40%. Subsequently, Heisler [25] predicted through modelling that
96 well-designed shelter-belt planting could result in heat energy savings of 10-25%. Liu
97 and Harris [11] were able to demonstrate that the addition of shelterbelt trees around
98 office buildings in Scotland, UK, reduced convective heat losses, resulting in energy
99 savings of 8%. In addition to the canopy providing aero-dynamic resistance,

100 vegetation can also protect masonry from freeze/thaw, and infiltration of damp
101 following precipitation by forming a physical barrier. Species such as *Hedera helix*
102 present a multi-layered surface, which aids run off and can stop moisture reaching
103 the wall [26].

104 Physical and geographical features of the building will also influence efficacy,
105 including orientation, prevailing weather, and thermal characteristics of the masonry,
106 coupled with architectural aspects such as the volume, dimensions, and geometry of
107 the walls and surrounding structures [27,28]. Such physical characteristics create flux
108 in the microclimate close to a heated wall due to convection and conduction, with
109 factors such as wind-eddy, albedo, humidity, and shade/solar gain creating a
110 dynamic zone of ‘thermal mixing’ adjacent to the wall surface; all of which are
111 influenced by the addition of vegetation [29]. Building occupancy has a significant
112 effect on heat energy consumption altering demand for heating due to variation in the
113 thermal gradient (e.g. care homes require higher temperatures than shops), but also
114 heat loss through factors such use of entry and exit points [30].

115 In an attempt to minimise the variations encountered in ‘real’ buildings, the
116 work reported here used replicated, heated brick cuboids. The cuboids were
117 constructed with a single layer of brick, analogous to the walls of brick terrace
118 houses typical of inner-city housing stock in UK cities. The ‘cuboids’ were not
119 intended to mimic a ‘real’ house, just provide an experimental basis to evaluate the
120 concept of vegetation used as thermal insulation. Our use of replicated cuboids
121 outdoors were unlikely to fully represent the thermal properties and aero-dynamics
122 around buildings *in vivo* but a number of the approaches adopted were considered
123 advantageous in attempting to reduce bias associated with individual buildings and
124 associated micro-climates (e.g. uniform, replicated structures located within a small

125 area). Indeed, Hunter et al. [31] have recently criticised studies on green walls due to
126 research design problems; with the small number of experimental studies lacking
127 replication, providing insufficient information about the microclimate parameters
128 measured, and assumptions through modelling studies not always delineated or
129 justified. As such the replicated, empirical-data gathering approach was adopted
130 here.

131 The research utilised a green façade system rather than a living wall. Green
132 façades comprise of plants in the ground (or in pots), and grown up the side of a
133 building, either attaching themselves directly or trained up a trellis/framework placed
134 against the wall. The green façade was chosen to exploit a simple design that readily
135 translates into practice, and to minimise nutrient, water and energy costs associated
136 with some living wall systems [32]. *Hedera helix* was selected as it represents a
137 commonly-used garden or landscape plant, often found growing up domestic
138 properties either after intentional planting or self seeding.

139 The aim of this research was to explore if vegetation can play a role in
140 insulating a wall in a maritime-temperate climate. Through replication, and monitoring
141 heat loss over two UK winters, our objectives were to quantify potential energy and
142 carbon savings; whilst also evaluating the relative effectiveness of vegetation against
143 different winter weather phenomena. The kWh savings and carbon savings are both
144 quantified; however, no attempt has been made to review the embodied carbon in
145 plant provenance, or indirect carbon consumed in plant maintenance *in-situ*.

146 The numerous potential benefits for retro-fitting scenarios in older housing
147 stock [33,34] validate the importance of this work. Despite climate change increasing
148 global heating, north-west Europe may experience wetter and colder winters due to

149 the weakening of the Atlantic meridional overturning circulation (AMOC); with
150 severe weather events increasing in both frequency and magnitude [35].

151

152 **2. Materials and Methods**

153 Brick cuboids were laid out in a matrix design with 12 used in the first (4 Jan. –
154 31 Mar. 2010) and an additional 8 (i.e. 20 in total) in the second (1 Dec. 2010 – 30
155 Mar. 2011) experimental phase (Fig. 1). Cuboids were constructed outdoors in a field
156 site at the University of Reading, Reading, UK, using a standard red clay housing
157 brick (classified BSEN 771, Class B, 215 x 103 x 65 mm lhb; thermal properties: $k =$
158 $1.1 \text{ Wm}^{-1}\text{k}^{-1}$, Blockley's Brick Holdings PLC, Telford, UK). A single skin of bricks was
159 placed on a grey concrete slab footing (682 x 500 x 40 mm lhb) and a 'damp course'
160 layer (polypropylene tape 1.05 mm thick) was incorporated above the basal layer
161 (Fig. 2). The bricks were stacked in a stretcher-bond with a slab 'roof'; total volume:
162 0.25 m^3 (0.6 x 0.6 x 0.7 m lhb) and each cuboid placed 2 m apart. The bricks were
163 not mortared but were orientated to avoid any obvious air gaps between adjacent
164 bricks. An aluminium foil-coated, plastic air-filled sheet ('foil bubble-wrap') was
165 placed on the top and base of each cuboid; hence 'walls' were the principal route for
166 heat migration. A sealed 25 l opaque polypropylene container was placed inside,
167 filled with potable water. A calibrated Protx 1020, 75 W thermostatic heater
168 (AquaCare Inc., Gurnee, Illinois, USA) maintained internal water temperature at
169 $16 \pm 0.5^\circ\text{C}$. Heaters were connected to mains electricity via a Maplin N67HH power
170 consumption monitor (Maplin Electronics, Rotherham, UK); this measured kWh
171 consumed (accurate to 0.5%). Power monitors were checked by recording power
172 consumed over 1 h i.e. 75 W. Equivalent carbon consumed was calculated using the

173 UK Government Defra/DECC conversion factors [36], which correlates 1 kWh to
174 0.48357 kg carbon dioxide equivalent (kgCO_2^{e}). This conversion accounts for UK
175 generated, imported energy and grid losses via the UK National Electricity Grid.

176 Half the cuboids were planted (PC) with *Hedera helix*, two plants per side i.e.
177 eight plants per cuboid. Plant stems were fixed in place with fine galvanised steel
178 wires looped over the cuboids and the developing shoots trained up these (at
179 approximately 20 mm from the wall) to stop wind dislodging the stems. Control
180 cuboids were left un-planted (UC) but with wires in place to ensure the only
181 difference between treatments was the presence of plant material. *Hedera* were
182 supplied as two year old stock in 2 l pots with foliage dimensions approximately: 0.4
183 x 0.1 x 0.8 (lbh). During the first winter phase, *Hedera* foliage covered approximately
184 80% of the PC to a depth of 30 to 60 mm (1 to 2 leaves deep), with longer stems
185 trained over the cuboid 'roofs'. Power was recorded daily at 10.00 h. By the following
186 winter (2010/11) foliage had completely covered the 'roof' and walls to a depth of 60
187 to 80 mm (5 to 7 leaves deep).

188 Ambient air temperature was logged every 10 min. Temperature sensors
189 (Hobo Pro V2 external temperature sensors, Tempcon Instruments Ltd. Arundel, UK)
190 were located in a Stevenson screen, 0.7 m from the ground (i.e. the same height as
191 the cuboids), on the northern edge of the experimental site. Brick and foliage
192 temperatures were recorded at specified times under different weather conditions
193 using a Thermal Image Camera (NEC Thermo Tracer TH7800, NEC infra-red
194 technologies Ltd., Tokyo, Japan; -20 to 250°C range with 0.2°C resolution [at 8 – 14
195 μm]), Surface temperatures of walls or foliage were derived from thermal images of
196 each wall on every cuboid. Mean temperature for each aspect/cuboid/time was
197 derived from a random sample of 20 data points spread across each thermal image.

198 Treatment effects on wall temperature were generated from these mean values via
199 an analysis of variance (ANOVA) (Genstat:13 software, Rothamstead Research,
200 Harpenden, Hertfordshire, UK). In addition to thermal images, temperature recording
201 of the brick walls (every 10 mins) was implemented from 20 Jan. 2011 in order to
202 assess diurnal variation. Small apertures (7 mm wide) were made between two
203 bricks and Hobo Pro V2 sensors inserted with the tip of the sensor approximately 10
204 mm from the wall exterior surface. Gaps were sealed with an adhesive putty (blu-
205 tack). Sensors were located centrally on the southern exterior of the cuboid (0.5 m
206 from ground and 0.2 m from 'roof). A 60 mm square of polystyrene backed
207 aluminium-foil was used to shade sensors from direct solar radiation. Temperature
208 sensors were accurate to $\pm 0.2^{\circ}\text{C}$ and calibrated every 3 weeks. Temperature data
209 were collated into four 'key' times during the daily cycle: 3.00, 9.00, 15.00, 21.00 h
210 with mean values being generated from the readings 20 min prior, at and 20 min
211 after each key time; these mean values for each cuboid being using in subsequent
212 ANOVA.

213 The University of Reading, located in central southern England (Latitude
214 51.4429602554, Longitude -0.9540650288), experiences a mean minimum winter
215 temperatures of 1°C and mean winter high temperature of 9°C (Dec.-Feb.) with on
216 average 54 mm rainfall per winter month, with precipitation falling on average 18
217 days out of each month. During the period discussed, however, the winters were
218 atypically severe and cold; both winters falling within the five coldest winters
219 experienced over the previous 35 years. Snow was observed in both winters, with
220 drifts of 300-400 mm recorded in Jan 2010, accompanied with sub-zero nocturnal
221 temperatures during the entire month. Rainfall was above average in Feb. 2010 and
222 Feb. 2011. Meteorological data were obtained from the University's primary weather

223 station, located approximately 200 m from the experimental site, with the
224 anemometer 10 m above ground level (*U10*). This information was used to define a
225 range of climatic conditions (Table 1), which in turn were used to denote the
226 prevalent weather conditions for each week (examples being given in Table 2).
227 Prevalent weather conditions being defined as those that dominated each day, and
228 did so on at least five days out of every seven within the one calendar week. Energy
229 consumption data is depicted on a calendar week basis and compared against the
230 prevalent weather conditions for that week.

231 Depicting data in this manner provided a useful compromise to illustrate trends
232 for any one type of weather pattern, but could mask the influence of more discrete
233 weather events that may occur within an individual week. In an attempt to analyse
234 the effects of more consistent weather patterns, energy use data per day was also
235 calculated and presented for different weather conditions.

236 Analysis of variance (ANOVA) was implemented and took account of any
237 unbalanced design and ensured variance in the data was homogenously distributed.
238 Mean values derived from ANOVA are presented, with the associated LSD ($P =$
239 0.05) value.

240

241 **3. Results**

242 *3.1. Snow*

243 Four weeks were identified where the weather was dominated by falling and
244 lying snow. In each week, energy consumption was significantly higher with UC
245 compared to PC (Fig. 3); the UC demonstrating some of the highest energy use
246 through the entire experiment (approximately 7 kWh⁻¹). Even a partial cover of the

247 cuboids by vegetation enhanced energy efficiency, by approximately 26% (e.g. 6
248 Jan., 2010, Fig. 3) but this could be further enhanced on occasions by full coverage
249 (i.e. 29%, 22 Dec., 2010, Fig. 3). Pooling data for different weeks and comparing
250 partial and full canopy cover, however, did not show an overall significant advantage
251 of the increased foliage cover/thickness during snow periods; partial cover PC = 4.9
252 kWh and full cover PC = 5.0 kWh per cuboid; $P = 0.751$; LSD 0.39, df 35. Snow
253 depth, however, also varied between the different periods (e.g. 6 Jan. 2010 max =
254 175 mm; 15 Dec. 2010 max = 13 mm) and this may also have affected the insulation
255 dynamics. Physical differences in snow cover were evident too as ambient
256 temperatures rose; snow was more likely to melt, and to melt more rapidly with the
257 UC treatment compared to the PC (Fig. 4).

258

259 *3.2 Freezing Temperatures, Wind and Rain*

260 The advantage of the PC was again evident during periods where
261 temperatures were typically sub-zero and where wind and rain were common, but
262 there was no snow fall *per se* (i.e. freezing periods without any 'insulation' effects of
263 lying snow). Energy consumption was significantly reduced in PC (typically 4-5 kWh⁻¹
264 ¹) compared to UC (e.g. 6-7 kWh⁻¹) on all weeks evaluated under these conditions
265 (Fig. 5). During Jan. 2011 PC were typically 39-42% more efficient in energy use
266 than their un-vegetated counterparts. Indeed, as plant growth during summer 2010
267 increased the canopy cover/density between consecutive winters, the differentials
268 between the PC and UC tended to increase (i.e. compare relevant weekly data for
269 winter 2010 and winter 2011; Fig. 5). In addition, the PCs when they had complete

270 canopy cover consumed significantly less energy (4.17 kWh^{-1} in 2011) than when
271 only partially covered in 2010 (4.87 kWh^{-1} ; $P < 0.001$; LSD 0.34, df 46).

272 Observational differences were evident between UC and PC during periods of
273 rainfall, with walls behind the foliage often being dry to touch, compared to surface
274 moisture evident on UC walls. During these conditions, thermal images
275 demonstrated that surface temperatures were also different e.g. 20 Jan 2010 at
276 15.30 - ambient temp. = 0.4°C with mean wall temps of UC = 3.1°C and PC mean
277 foliage temp of 0.6°C ($P < 0.001$; LSD 0.33, df 11), suggesting more thermal energy
278 was being emitted from the UC.

279

280 *3.3 Cold, Wind and Rain*

281 Energy consumption patterns were similar to those of sub-zero condition in
282 wind and rain, with significant advantages being evident with PC in terms of energy
283 savings, especially at times when the foliage canopy was complete (Fig. 6). As
284 before, walls behind the foliage often appeared visibly drier during wet periods.

285

286 *3.4 Cold and Wind*

287 The advantage of the vegetated cuboids was again evident during episodes of
288 windy weather where the weekly mean ambient temperature rose just above zero.
289 (NB - Some periods in these weeks experienced overnight frosts, but rainfall and
290 high solar radiation were rare). Although energy use was lower than in the snow or
291 freezing / rain scenarios, PC could be as much as 43% more efficient than the
292 equivalent UC, e.g. w/c 2 Feb., 2011 (Fig. 7).

293 Analysis of brick temperatures for w/c 2 Feb., reveals that on average the PC
294 was 2.1°C warmer than the UC ($P < 0.001$; LSD 0.50, df 335). Greatest differentials
295 in brick surface temperature were apparent when ambient air temperatures were low.
296 For example, when air temperatures overnight were sub-zero, e.g. 31 Jan, 2011,
297 there was a 3.0°C differential; brick surface temperatures PC = 3.9°C and UC =
298 0.9°C ($P < 0.001$; LSD 0.88, df 47), whereas during warmer nights differentials were
299 smaller e.g. 2.4°C on 2 Feb. 2011; PC = 6.0°C and UC = 3.6°C). ($P < 0.001$; LSD
300 0.34, df 27). Plant canopies impeded the wind, with foliage directly adjacent to
301 brickwork being inert even with external wind gusts $> 8.5 \text{ ms}^{-2}$, whereas leaves at the
302 surface and edges were in constant motion at such wind velocities.

303

304 *3.5 Cold, Wind and Sun*

305 Energy consumption was generally lower under periods of relatively high solar
306 irradiance, although air temperatures could still be cold e.g. in March of each year
307 (Fig. 8). During Mar. 2010, when the vegetation canopy was still incomplete,
308 differences between PC and UC were not always significantly different. In contrast,
309 by Mar. 2011 when planted cuboids were fully covered with foliage, differentials
310 between the two treatments were large (weeks commencing 2, 9 and 16 Mar. 2011,
311 Fig. 8). In the w/c 9 Mar. 2011, the vegetation reduced energy use by almost 50%
312 compared to UC. Indeed, when diurnal brick temperatures are compared the PC is a
313 mean 1.6°C warmer over the entire week ($P < 0.001$; LSD 0.65, df 335), with
314 episodes of heavy over-night frosts resulting in occasions when the PC was 3.0°C
315 warmer than the UC (e.g. 9 Mar. 2011, brick temp. PC = 4.8°C and UC = 1.8°C; $P <$
316 0.001; LSD 1.05, df 27). More detailed analysis of the data for this period/weather

317 conditions, however, indicated that opposite could also be true at other times, i.e.
318 warmer temperatures associated with the UC treatment. For those data sets where
319 ambient temperature above freezing was combined with > 3 h consistent solar
320 irradiance (e.g. early afternoon), temperatures of UC bricks could exceed those of
321 PC bricks (e.g. 4 Mar. 2011, Fig. 9). After this peak, however, temperatures declined
322 more rapidly in the UC than the PC treatment over the evening period.

323

324 *3.6 Moderate Temperatures and Sun*

325 During these relatively warm weeks (mid – late Mar. in both years), no
326 significant advantage in energy consumption was evident with PC (Fig. 10). The
327 duration of solar radiation was > 30 h per week, with intensity frequently > 250 Wm⁻².
328 This coupled with the higher solar azimuth angle, contributes to the influence of solar
329 irradiance reducing the thermal gradients between brick work and air, with short
330 episodes in the afternoon when ambient air temperatures rose above 10°C (see
331 comments for key times below).

332

333 *3.7 Energy Consumption based on 24 h Diurnal Data Sets*

334 Restricting data to data sets associated with individual days confirmed that
335 energy savings were evident with PC compared to UC across a range of weather
336 scenarios (Fig. 11). Largest differentials between the treatments again being
337 associated with more extreme weather conditions, such as periods when
338 temperatures were sub-zero.

339

340 *3.8 Brick and Ambient Air Temperatures Compared at Key Times over 24 h*

341 When brick temperatures were recorded continually it was observed that
342 during cold periods (e.g. Feb. 2011) bricks in the PC treatment were significantly
343 warmer than ambient air temperatures throughout (Table 3). During more milder
344 periods in March, however, when solar gain is exerting a stronger influence the
345 daylight temperatures were not significantly different, but the PC was still warmer at
346 night (i.e. 21.00 and 3.00 h, Table 4). In contrast, UC bricks were rarely warmer than
347 ambient when mean data sets are depicted (Tables 3 and 4).

348

349 *3.9 Energy Consumption and Associated Carbon Savings*

350 When the mean weekly energy consumed per cuboid (Figs. 1, 3, 4, 5, 6 and 8)
351 is collated for each winter, the PC consumed a mean total of 38.3 kWh during the
352 first winter (a recording period of 9 weeks), and 62.7 kWh for the second (a 17 week
353 period); in contrast, the UC consumed 48.5 kWh in the first winter and 99.9 kWh in
354 the second. Percentage energy savings attributable to the vegetated cuboids over
355 these two recording periods were therefore 21% and 37% respectively. The higher
356 saving in the second winter may relate to both the influence of the greater canopy
357 density/coverage and the interactions of this with the prevalent weather conditions
358 (the second winter being the colder of the two). Mean energy savings per week for
359 each winter were calculated as 1st winter: $48.5 - 38.3 = 10.2$ kWh savings/9 weeks =
360 1.13 kWh; 2nd winter: $99.9 - 62.7 = 37.2$ kWh savings/17 weeks = 2.19 kWh.
361 Converting these to CO₂^e (0.48357×4 i.e. cuboid volume 0.25 m³) = 2.19 and 4.24
362 kgCO₂^e per m³ per week for the first and second winter periods.

363

364 **4. Discussion**

365 *4.1 Energy Savings*

366 The provision of vegetation around a brick cuboid reduced the energy used to
367 maintain a stable temperature of 16°C within the cuboids. The largest savings in
368 energy due to the vegetation mantle were associated with more extreme weather
369 scenarios, such as periods of cold or sub-zero temperatures, strong wind or rain. In
370 specific weeks dominated by such weather scenarios, energy reduction could be as
371 much as 40-50% less in the planted compared to the un-planted cuboids (e.g. weeks
372 commencing 5 Jan., 19 Jan., 2 Feb., 9 Feb. and 16 Mar. 2011 (Figs 3-6). In addition,
373 when comparing similar weather scenarios between the two winters, energy
374 efficiencies were generally greater when the foliar canopies completely covered the
375 cuboids compared to the earlier period when there was only partial cover, although
376 the energy savings observed were not always statistically different. This would
377 suggest the greater the volume of vegetation around the cuboid, the greater the
378 thermal insulation service provided.

379 Consistent energy savings over a wide range of weather scenarios support
380 the premise that vegetation can effectively insulate masonry, reducing the rate of
381 heat transfer from an interior to an exterior space. This validates the need for further
382 work evaluating the use of green façades as a retrofit option for older housing stock.

383

384 *4.2 Temperature Profiles*

385 In addition to net energy savings associated with planted cuboids,
386 temperature differences between vegetated surfaces and bare brickwork were often

387 evident. The surface temperature of foliage as determined by thermal images was
388 invariably lower than the brickwork of a corresponding un-planted cuboid; suggesting
389 greater energy release to the atmosphere from the bare cuboids. In contrast, direct
390 measurements of brickwork temperatures indicated that the bricks of the vegetated
391 cuboids tended to be warmer than bricks of the non-covered cuboids. Again this
392 implies that the foliar canopy was insulating the brick wall, trapping thermal energy
393 behind the leaves and thus retaining greater heat on the walls of the planted cuboids.
394 Greatest temperature differences between bricks of the two treatments (and ambient
395 air) occurred under the colder or wetter weather scenarios, and over the daily cycle
396 during the late evening (21.00 h) and night (3.00 h) (Tables 3 and 4). This latter point
397 has implications for energy demand scenarios in 'real' buildings. In the UK, peak
398 winter domestic heating demand is in the evening [37], consequently reduction in the
399 thermal gradient at these times has the potential for the greatest energy savings.

400

401 *4.3 Insulation Effects*

402 The results presented here indicate that the presence of foliage around a
403 heated brick structure is retaining heat largely through insulation. The mantle of
404 foliage is effectively keeping heat trapped behind it and slowing the dissipation of
405 energy to the wider environment. As discussed above, the presence of foliage and
406 increasing the cover and density of that foliage reduced the heat loss from the
407 cuboids, particularly when there was a high thermal gradient, such as during sub-
408 zero weather conditions.

409 Interestingly, there was also some anecdotal evidence that suggested leaves
410 were not the only factor affording insulation around the cuboids. In non-vegetated

411 cuboids there were differences in energy use between periods of deeper snow and
412 periods when the snow cover was thinner (e.g. 300 mm deep on 6 Jan 2010 and only
413 13 mm on 15 Dec. 2010) with more energy used when the snow cover was thinner
414 (Fig. 3). The snow itself being an insulating factor with deeper layers advantageous
415 to energy savings. It cannot be excluded, however, that other less tangible variables
416 between the weeks may also account for the differences.

417

418 *4.4 Interactions with Wind*

419 The foliage around the cuboids may not simply have acted as a physical
420 insulating material, but also interacted with wind and altered air flows around the
421 structures. The foliage protecting the warm boundary layer of air that would form
422 around the cuboids through increased aerodynamic resistance; thus reducing the
423 'wind chill' effect, i.e. the rapid removal of layers or pockets of localized warm air.
424 Through a better retention of this warm boundary layer, the thermal gradient and
425 convection rates of energy from the cuboid surface would be less, thus reducing
426 overall energy consumption [10,11,25]. The potential for leaves to provide a 'shelter
427 factor' in wind conditions, (drag caused by friction when air travels over a leaf
428 surface) is well understood, an affect which is known to increase with foliage density
429 [38]. Overall, the dense, full-canopy of 2011 shows significantly greater energy
430 saving than the partially covered cuboids in 2010 in freezing rain and wind. This
431 difference also being particularly evident in dry March winds (Fig. 8), where
432 comparable energy use in the bare un-planted cuboids between the two winters, is in
433 marked contrast to the reduced consumption as the cover over the planted cuboids
434 becomes more extensive/dense. A denser canopy may be more effective at reducing

435 air flow and trapping pockets of warm air against the brickwork. Similar principles
436 have been cited for hedges around domestic houses, where closed, densely-formed
437 hedges were deemed twice as efficient as open rows; and where the infiltration of
438 cold air increased significantly when gaps were present in the canopy [39].

439 The data for the cuboids is consistent too with the use of shelterbelt trees to
440 reduce heating demand within buildings [10,11,25]. It is notable that both energy
441 consumption and brick temperatures were relatively consistent in the planted cuboids
442 compared to frequent flux (oscillations) recorded in the un-protected, suggesting that
443 vegetation was moderating the weather effects on the masonry. This is important
444 when considering the cost/benefit of vegetated walls, since walls facing the prevailing
445 cold or strong winds are likely to gain the highest energy savings. In addition, there
446 was evidence that promoting denser, thicker foliage extends the advantages by
447 further reducing energy demands (e.g. Fig 3).

448 This ability to buffer against weather extremes also indicates that optimal
449 benefits of green façades may be experienced for those houses located in exposed
450 areas. This is because air exchange in the building envelope is driven by pressure
451 difference caused by the temperature differential between inside and outside (stack
452 effect); and enhanced by windblown air currents [40]. In summary, this means the
453 greater the temperature differential (thermal gradient) *and* the greater the wind
454 velocity, the greater the heat loss. This exponential effect was first illustrated in wind
455 tunnel experiments by Harrje et al. [39] and subsequently highlighted by Hutchinson
456 and Taylor [23] in their promotion of shrub plantings to protect the exposed walls of
457 buildings from wind. Despite this research being 30 years old, few house owners, or
458 even professional landscape architects seem to fully appreciate the functional role

459 plants play in this respect – rationale based on aesthetics often being a stronger
460 driver in design criteria [41-43].

461

462 *4.5 Influence of Precipitation*

463 Another factor that may be pertinent to the use of foliage against a wall is the
464 extent to which it keeps the wall dry during rainfall periods. There was a marginal
465 increase in energy efficiency associated with planted cuboids over non-planted when
466 rain was an additional factor (when a full canopy was present there was an overall
467 42% saving in energy in cold, wind and rain scenarios [Fig 4] compared to a 39%
468 saving in cold and wind alone [Fig 5]). Again ancillary factors could also explain
469 these differences, but it was certainly evident from visual observations that leaves
470 intercepted and deflected precipitation away from the wall; a result reported
471 elsewhere [26,44]. The extent to which ‘dry’ walls affect heat loss compared to ‘wet’
472 walls needs further research, but as water is a better thermal conductor than air it
473 might be assumed it is advantageous to keep the walls dry. The observations
474 though, challenge the commonly-held notion that *Hedera* around a wall invariably
475 makes it damper. The observations here agree with previous research that indicated
476 *Hedera* façades reduced fluctuations in relative humidity compared to exposed walls
477 [45]. This being compatible with the concept that vegetated walls are kept drier after
478 precipitation, but may retain moisture and higher humidity at other times. Whether
479 these aspects contribute to the bioprotection or biodeterioration of walls is still
480 unclear [45].

481

482 4.6 Vegetation and Solar Gain

483 One disadvantage of evergreen façades is that due to their shading effect they
484 may reduce the ability of winter sunlight to contribute positively to the thermal
485 balance of the building (solar gain). Recent simulations for green walls in Portugal
486 suggest they save energy when placed on north, west and east walls, but not south
487 facing walls [46]. In the study presented here, however, solar gain did not make a
488 significant difference to results observed until mid-March, suggesting that loss of mid-
489 winter solar gain to masonry is not a significant factor for energy efficiency, at least
490 perhaps for countries in the mid to high latitudes. This supports previous models [10]
491 that suggest the benefits of wind protection from trees/shrubs outweighs the
492 disadvantages associated with reduced solar gain in winter. Notably, Lui and Harris
493 [11] working in Edinburgh, UK, found greater energy consumption during winter in
494 the presence of direct sunlight compared to overcast days, as periods of clear
495 sunlight also tended to correspond to anticyclone conditions with low winter air
496 temperatures. More recently Bolton et al. [47], suggested that loss of winter solar
497 gain on green façades is significant to the building's energy balance, but only when
498 ambient air temperatures $> 12^{\circ}\text{C}$. The relative importance of winter solar gain may
499 depend on latitude and the primary climatic conditions of different locations. It is
500 notable in this study too that as solar intensity increased from mid-March, solar
501 radiation and heating to the masonry quickly dissipated after sunset on the non-
502 vegetated cuboids, but heat was retained until the late evening behind foliage on
503 vegetated cuboids; although this did not always result in significantly reduced energy
504 consumption at this scale.

505

506 4.7 Implications for Vegetation Use on Real Buildings

507 The primary objective of this research was to determine how green façades
508 interacted with different winter weather scenarios in terms of energy conservation;
509 when a consistent physical thermal model system (cuboid) was employed and
510 replicated. The research did not aim to investigate the full range of additional factors
511 normally associated with the thermal dynamics and energy consumption of real
512 buildings. Nevertheless, some inferences can be made from the data, albeit
513 cautiously. The data derived from this study was used to generate figures for
514 potential savings in greenhouse gas emissions (carbon equivalent units). Based on
515 these small-scale brick units in the absence of artificial insulation, savings of 2.19
516 and 4.24 kgCO₂^e per m³ per week for the first and second winter periods
517 respectively, were recorded. If these weekly values are then scaled up to represent
518 heating demand for a UK house for 21 weeks (1 Oct. - 1 Mar.) they relate to reduced
519 emissions of 45.9 and 89.0 kgCO₂^e per m³ per winter. The cuboids, however, were
520 not houses and differ from even Victorian brick terraced housing, in that the bricks
521 were not mortared (thus potentially increasing draught) and the cuboids had a very
522 high surface to volume ratio. An east London terraced house with floor area of 80 m²
523 and ceiling height of 2.5 m (air volume 200 m³) has a mean 'volume to exterior wall
524 area' ratio of 3.5:1 [48]. In contrast the 'volume to exterior wall area' ratio for the
525 cuboids was 0.12:1 (volume 0.25 m³ / total surface area 2.04 m² including roof); this
526 higher exterior wall area ratio would significantly influence rate of heat loss in the
527 cuboids. On the assumption that heat loss is proportional to the volume / surface
528 area ratio then the terraced house would be 29.2 x more efficient at retaining energy
529 (i.e. 3.5/0.12). If it was a mid-terrace property, only two walls (not four) would be
530 exposed to exterior weather conditions, i.e. doubling the efficiency of heat retention

531 (58.4 x more efficient than a cuboid). Therefore, typical CO₂^e savings for a mid-
532 terraced house of 200 m³ are likely to be in the region of 157 to 239 kgCO₂^e. This
533 compares to values of 395 kgCO₂^e quoted for adding solid wall insulation (or where
534 there is a cavity recess, cavity wall insulation) to a mid-terrace property [49]; and as
535 such, vegetation would seem to have a strong role to play in adding extra insulation
536 to such properties. This is especially so, as the canopy densities evaluated in the
537 research were low compared to what might be achieved in mature façades. These
538 data sets presented here, however, make a number of assumptions that need to be
539 tested and verified *in vivo* with full scale studies. It should also be noted that the
540 parameters associated with the brick cuboids would be very different from a typical
541 house. Many non-insulated terraced brick houses lose heat through windows, door
542 and roofs; factors not tested here, and certainly not areas where climbing plants
543 would be welcome. The cuboids walls were not sealed, rendered or thermally
544 insulated from the inside with plasterboard or similar materials. The cuboids had very
545 little air mass within them, thus restricting the amount of air movement between the
546 internal and external environment. Thus any analogies to real buildings need to be
547 seen in this context.

548 Further research is required therefore, to scale up the factors investigated to
549 real buildings, but also to explore how species choice might affect the thermal
550 dynamics of a building wall. Nevertheless, the data presented here suggests
551 vegetated façades using climbers/wall shrubs should be given greater precedent
552 when considering strategies to insulate buildings in winter. This is especially so of
553 older, domestic properties, such as brick terraced housing where alternative retro-fit
554 opportunities may be less easily implemented – due to restrictions of space, Local
555 Authority planning (e.g. conservation areas), access, or type of construction (e.g. the

556 lack of cavity wall aperture). Future research needs to compare vegetation
557 approaches to other forms of building insulation and design options, to determine
558 both relative (and combined?) benefits. Nevertheless, these results are encouraging
559 in that home-owners already utilize climbing plants and wall shrubs for aesthetic
560 purposes around their properties; yet with some adjustments to landscape design
561 and plant positioning, additional benefits in terms of home energy savings could be
562 manifest readily quickly. The advantage of using plants too, is that a range of
563 additional eco-system services may be provided in addition to thermal insulation,
564 many of which are not supplied by the artificial alternatives.

565

566 **5. Conclusions**

567 The use of replicated structures in field conditions representing typical UK
568 winter weather scenarios, demonstrated that the presence of foliage consistently
569 reduced diurnal energy consumption and associated carbon emissions. Throughout
570 winter, foliage-covered brick cuboids maintained temperatures higher than ambient;
571 particularly in the evening with associated potential to reduce peak-energy demand.
572 The trapping of warmed air is a principal function of commercial insulation products,
573 (as still air has low thermal conductivity), suggesting that vegetated walls can offer
574 similar characteristics. Furthermore, vegetation reduced convective heat loss
575 particularly through reduction in wind chill and protection from precipitation.
576 Reduction in convective heat loss is another key factor in retrospective fitting of
577 insulation for existing housing, e.g. through draught proofing. Loss of solar gain had
578 no effect on the efficacy of vegetated walls (until early-spring); to the contrary,

579 vegetated walls remained warmer than controls in nocturnal hours following days
580 with notable solar irradiance in winter.

581 This study suggests that various thermo-regulatory mechanisms coalesce to
582 provide vegetation with demonstrable efficacy. Vegetation could be effective, either
583 where cavity insulation is not practical or as a sustainable method of enhancing
584 existing insulation. Annual efficacy was found to be strongly weather dependant, with
585 precipitation and temperature extremes increasing the magnitude of the effects. This
586 is critical, not just because of the wide potential application for buildings in exposed
587 areas or northern parts of the UK and elsewhere, but also since frequency, duration,
588 and magnitude of winter precipitation events are likely to increase in certain
589 temperate regions under climate change.

590

591 **Acknowledgements:** The authors are grateful to the Horticultural Trades
592 Association, UK for funding this research, Dr M. Dennett and Mr C. Barahona for
593 providing statistical advice and Dr J. Wagstaffe, Mr T. Pitmann, Mr M. Richardson
594 and Mr D. McClay for experimental infrastructure.

595

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730

731 **Table 1 Climatic definitions**

Minimum ambient temperature	Nomenclature	Mean daily t_{\min} (°C) Weekly mean of daily t_{\min} (°C)
Moderate	TM	$T > 4.0$
Cold	TC	$T > 0.0 \leq 4.0$
Sub-zero	TSz	$T \leq 0.0$
Wind force		Daily mean U_{10} wind velocity (ms^{-1}) Weekly mean of daily max U_{10} & max gusting (ms^{-1})
Calm	WC	< 5.5 and gusting < 8.5
Wind	WW	≥ 5.5 and/or max gusting ≥ 8.5
Precipitation		Total daily/weekly depth/duration
Low rainfall	LR	$< 2 \text{ mm}$ and $< 2.0 \text{ h}$
Moderate rainfall	MR	$\geq 2.0 \text{ mm}$ and/or $\geq 2.0 \text{ h}$
Snow (week)	S (W)	total weekly depth (mm)
Solar radiation		Total daily/weekly h with solar radiation $\geq 120 \text{ Wm}^{-2}$
High winter solar irradiance (day)	High Sun (D)	$\geq 3.0 \text{ h}$
High winter solar irradiance (week)	High Sun (W)	$\geq 20 \text{ h}$
Low winter solar irradiance (day)	Low Sun (D)	$< 3.0 \text{ h}$
Low winter solar irradiance (week)	Low Sun (W)	$< 20 \text{ h}$

732

733

734 **Table 2 Weekly weather designation and prevalent conditions**

Weather	Prevalent conditions	Abbreviations
Snow	Snow cover for ≥ 5 days	S
Freezing temp., Wind and Rain	Mean weekly temp. Sub-zero, Wind, Moderate Rainfall and Low winter Solar Irradiance	TSz, WW, MR, Low Sun
Cold, Wind and Rain	Mean weekly temp. Cold, Wind, Moderate Rainfall and Low winter Solar Irradiance	TC, WW, MR, Low Sun
Cold and Wind	Mean weekly temp. Cold, Wind, Low Rainfall and Low winter Solar Irradiance	TC, WW, LR, Low Sun
Cold, Wind and Sun	Mean weekly temp. Cold, Wind, Low Rainfall and High winter Solar Irradiance	TC, WW, LR, High Sun
Moderate and Sun	Mean weekly temp. Moderate, Wind, Low Rainfall and High winter Solar Irradiance	TM, WC, LR, High Sun

735

736

737 **Table 3 Mean brick temperatures compared to ambient, 21st Jan to 28th Feb 2011; df =**
 738 **77. Significant differences in bold.**

Difference between brick temp and ambient at 4 key times in 24 hours.	Time	Mean temperature difference (°C)	ANOVA	LSD at 5%
Ambient v Un-planted	3.00	0.85	<i>P</i> = 0.241	1.44
	9.00	0.42	<i>P</i> = 0.552	1.41
	15.00	0.71	<i>P</i> = 0.274	1.28
	21.00	0.89	<i>P</i> = 0.210	1.39
Ambient v Planted	3.00	2.64	<i>P</i> < 0.001	1.32
	9.00	1.89	<i>P</i> = 0.004	1.29
	15.00	1.45	<i>P</i> = 0.020	1.21
	21.00	2.67	<i>P</i> < 0.001	1.27

739

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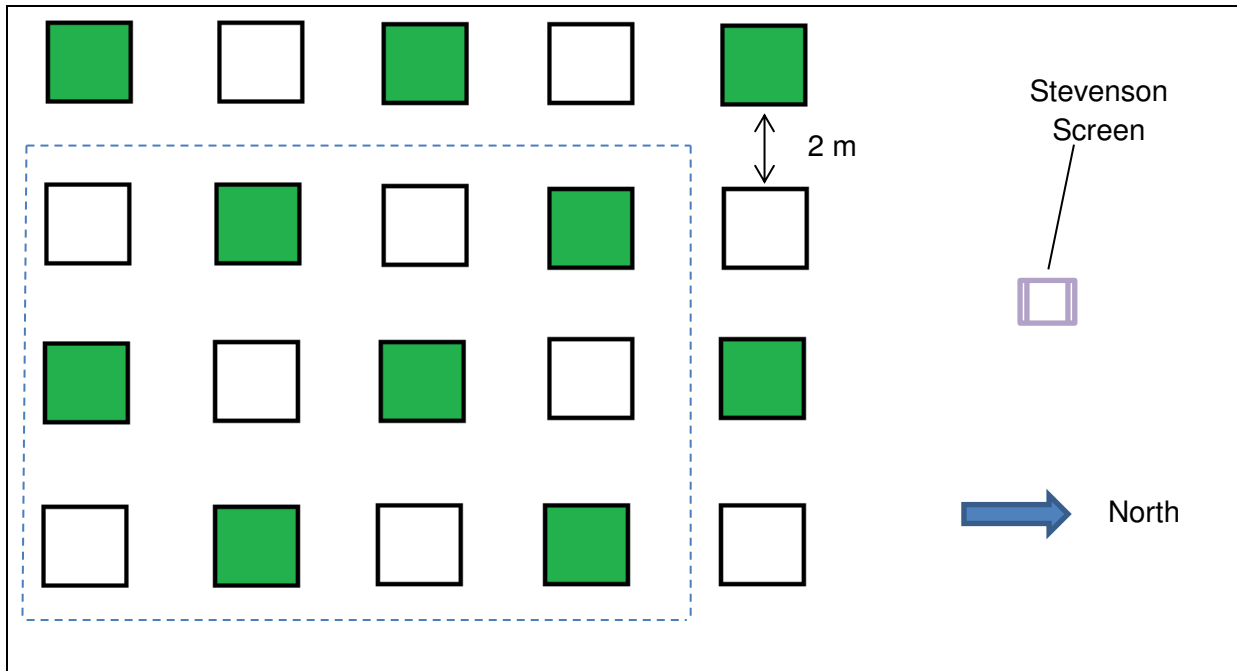
741 **Table 4 Mean brick temperatures compared to ambient, 1st to 25th March 2011. df = 49.**
 742 **Significant differences are in bold.**

Difference between brick temperature and ambient at 4 key times in 24 hours.	Time	Mean temperature difference (°C)	ANOVA	LSD at 5%
Ambient v Un-planted	3.00	1.16	<i>P</i> = 0.194	1.77
	9.00	-0.56	<i>P</i> = 0.542	1.85
	15.00	0.44	<i>P</i> = 0.691	2.21
	21.00	1.44	<i>P</i> = 0.094	1.64
Ambient v Planted	3.00	3.56	<i>P</i> < 0.001	1.63
	9.00	0.35	<i>P</i> = 0.669	1.66
	15.00	0.34	<i>P</i> = 0.745	2.05
	21.00	3.57	<i>P</i> < 0.001	1.58

743

744

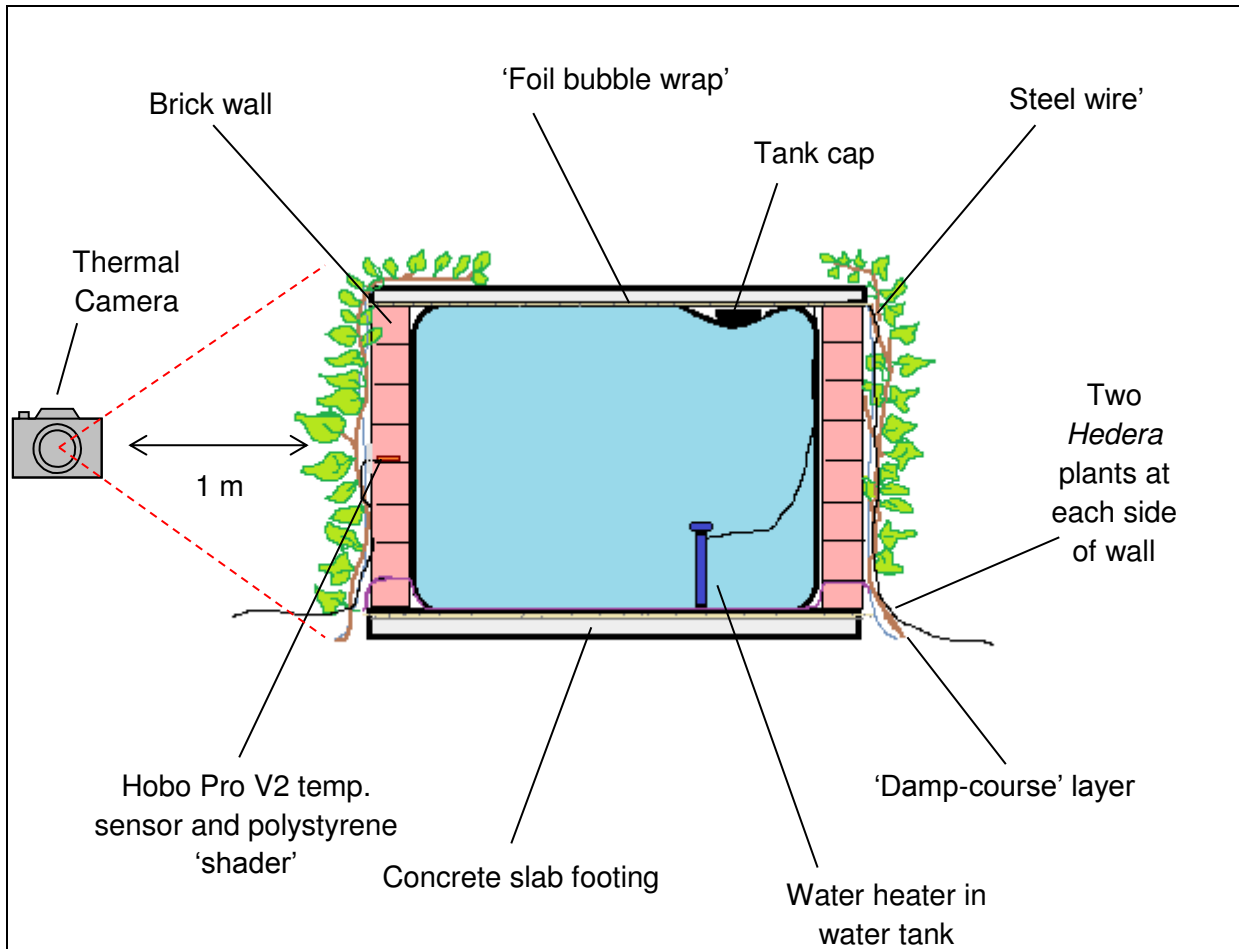
745 **Figure 1. Final cuboid layout with shaded cuboids planted with *Hedera helix* and open**
746 **cuboids un-planted. Area within dashed line represents the original 12 cuboids, but**
747 **extra cuboids were added to increase replication in phase 2 and help further reduce**
748 **location bias.**



749

750

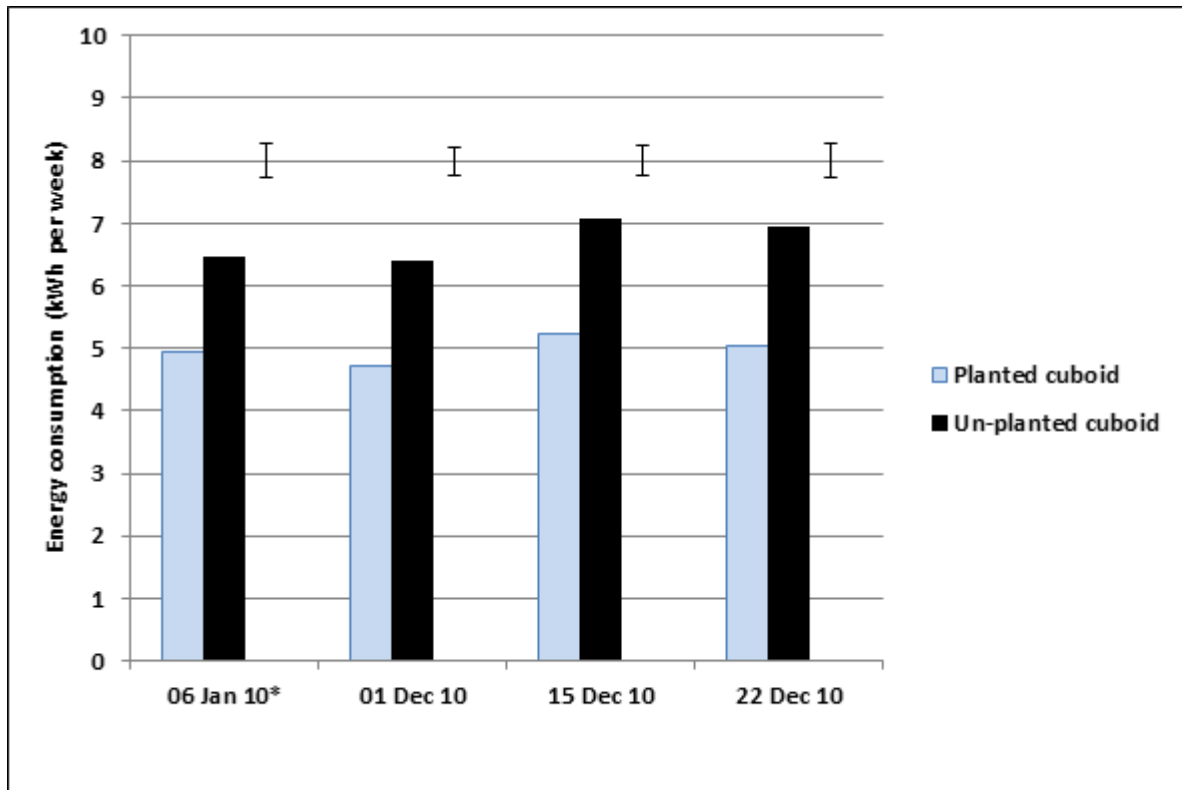
751 **Figure 2. Cross section of planted cuboid showing position of heated polypropylene**
752 **water tank and temperature sensor.**



753

754

755 **Figure 3. Snow. Weekly energy consumption per cuboid, where weather was**
756 **dominated by snow. Weeks with * represent periods where foliage cover was not**
757 **complete. Bars = LSD; df = 11 for * weeks, and 19 for remainder. Data week**
758 **commencing.**



759

760

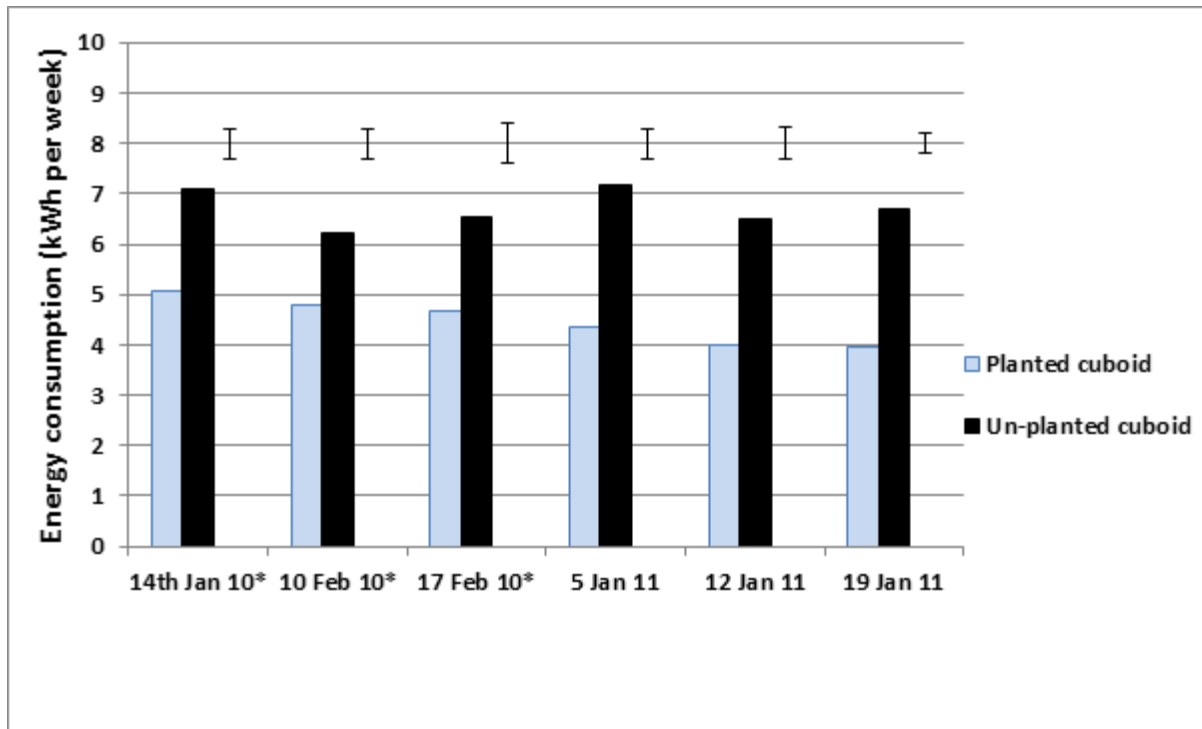
761 **Figure 4. Snow melt was more rapid around the base of unplanted cuboids (UC) left,**
762 **compared to planted cuboids (PC) right. Images from 11 Jan 2010 at 15.00 h.**



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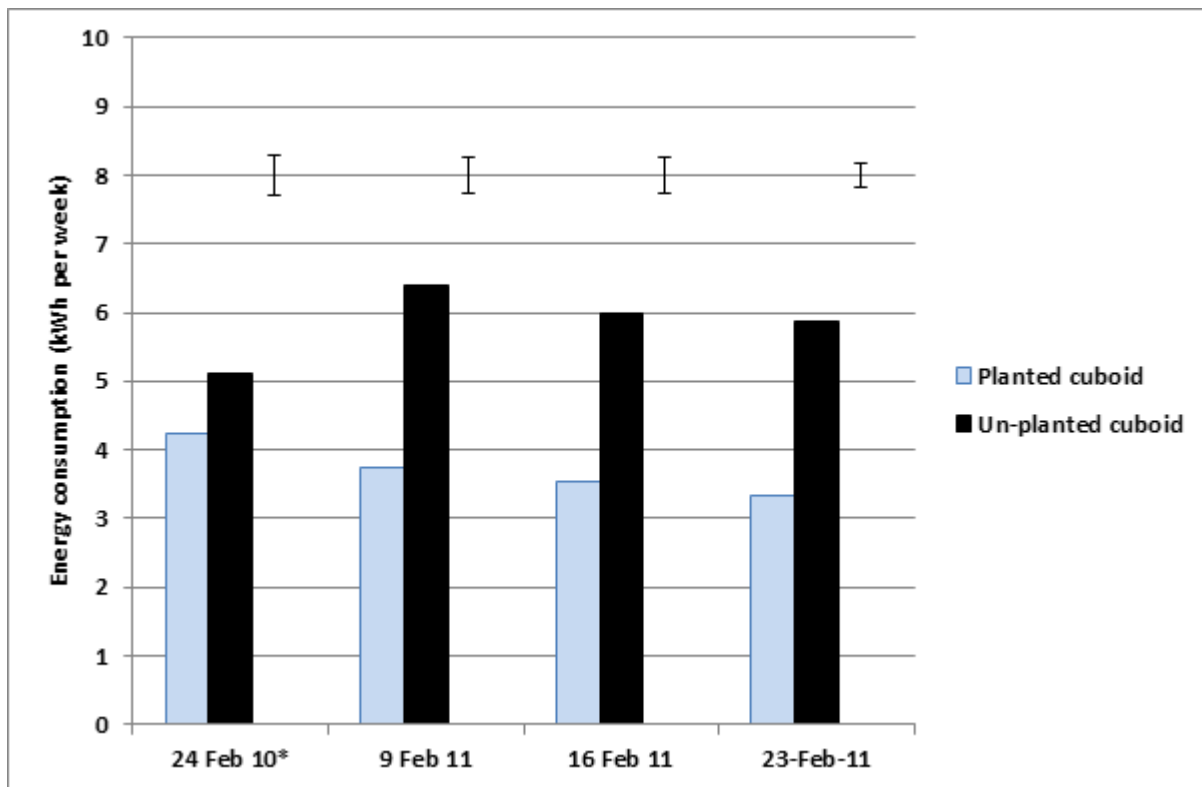
765 **Figure 5. Freezing, Wind and Rain. Weekly energy consumption per cuboid, where**
 766 **weekly mean ambient temperature was sub-zero, with wind, moderate rainfall and**
 767 **winter sun of < 3 h. Weeks with * represent periods where foliage cover was not**
 768 **complete. Bars = LSD; df = 11 for * weeks, and 19 for remainder. Data week**
 769 **commencing.**



770

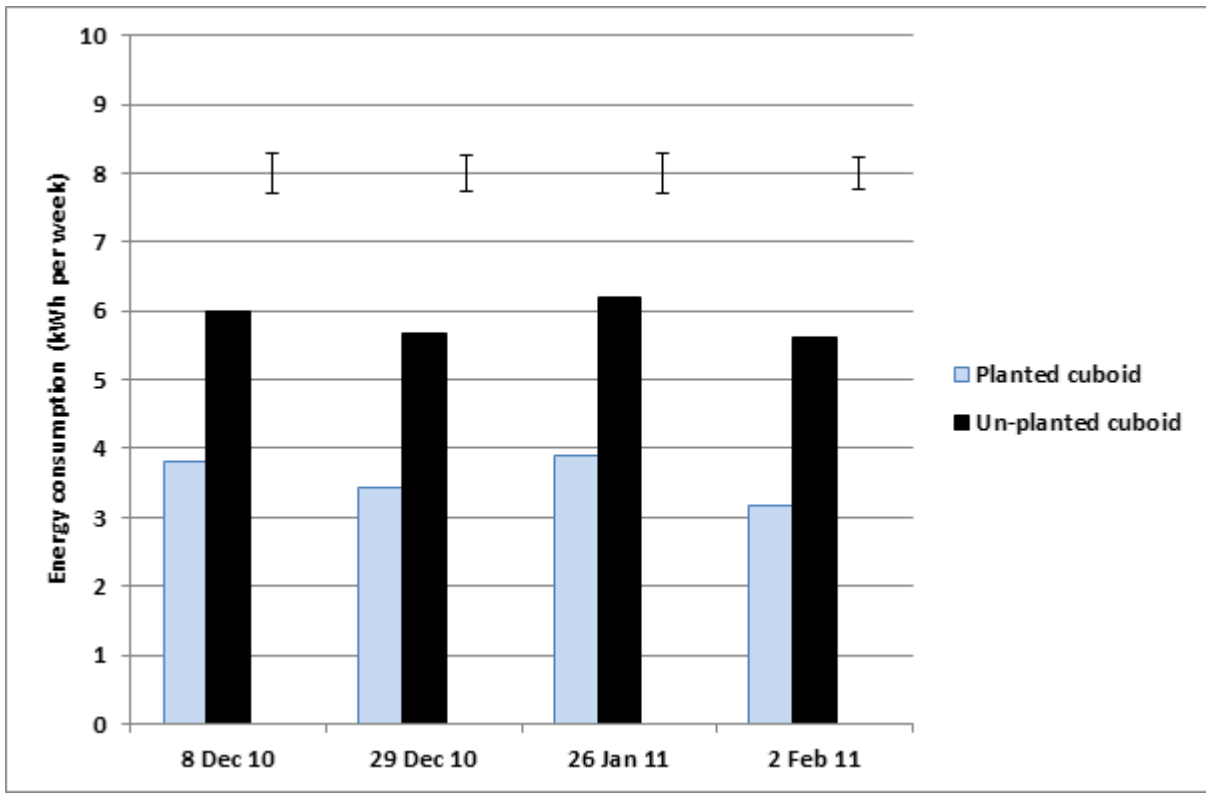
771

772 **Figure 6. Cold, Wind and Rain. Weekly energy consumption per cuboid, where weekly**
773 **mean ambient temperature was cold, with wind, moderate rainfall, and winter sun of <**
774 **3 h. Bars = LSD; df = 11 for * weeks and 19 for remainder. Data week commencing.**



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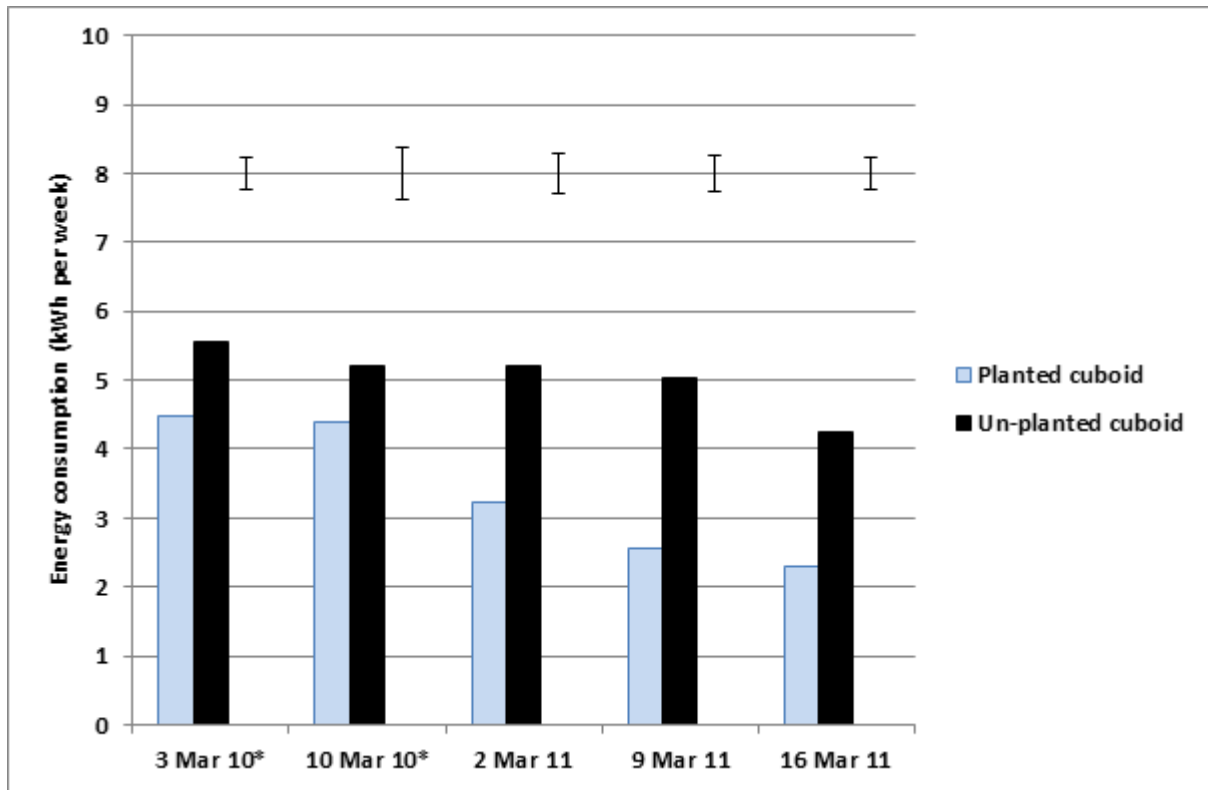
778 **Figure 7. Cold and Wind. Weekly energy consumption per cuboid, where weekly mean**
779 **ambient temperature was cold, with wind, low rainfall, and winter sun of < 3 h. Bars =**
780 **LSD; df = 19. Data week commencing.**



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783 **Figure 8. Cold, Wind and Sun. Weekly energy consumption per cuboid, where weekly**
 784 **mean ambient temperature was cold, with wind, low rainfall, and winter sun of ≥ 3 h.**
 785 **Weeks with * represent periods where foliage cover was not complete. Bars = LSD; df**
 786 **= 11 for * weeks, and 19 for remainder. Data week commencing.**

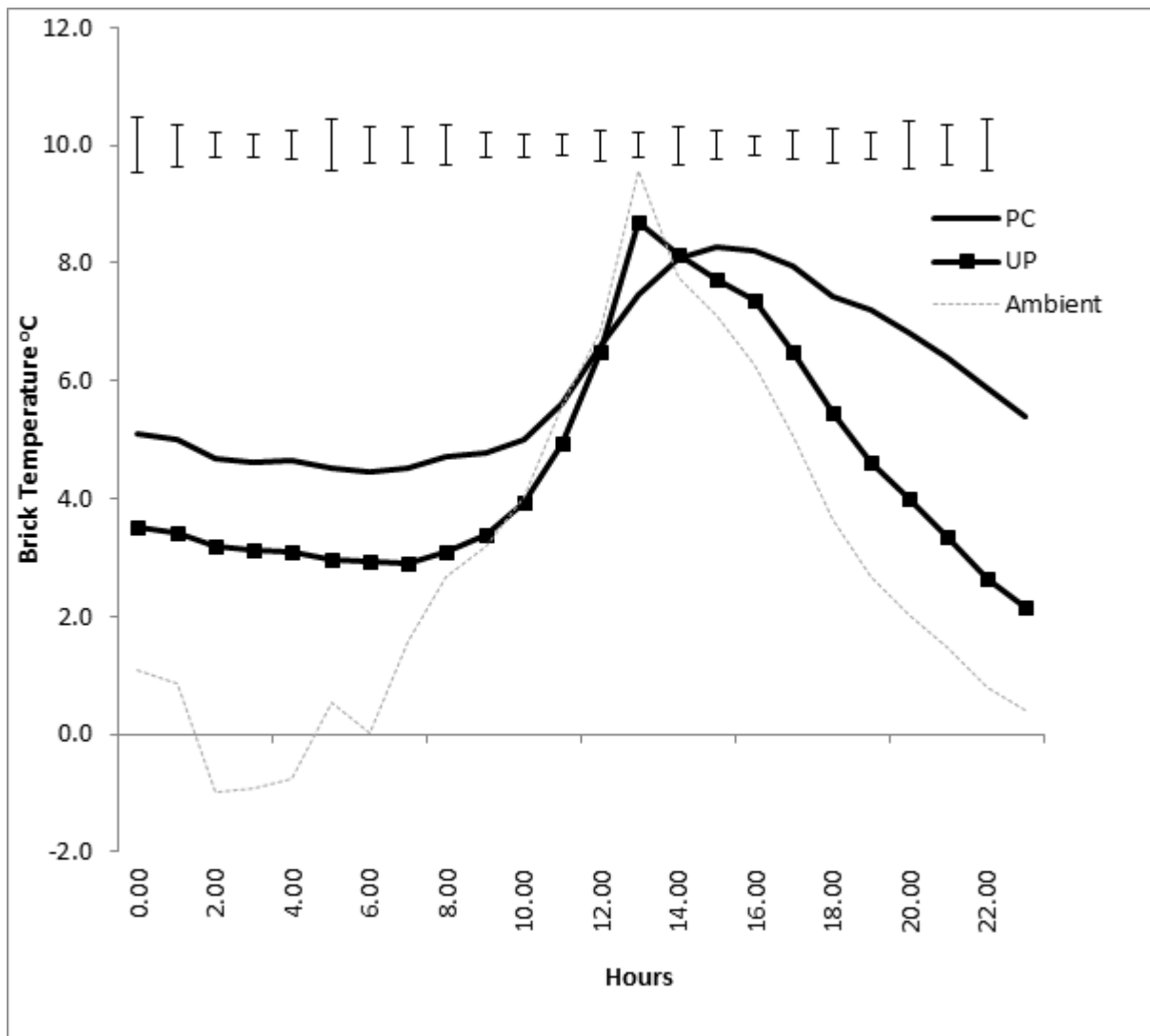


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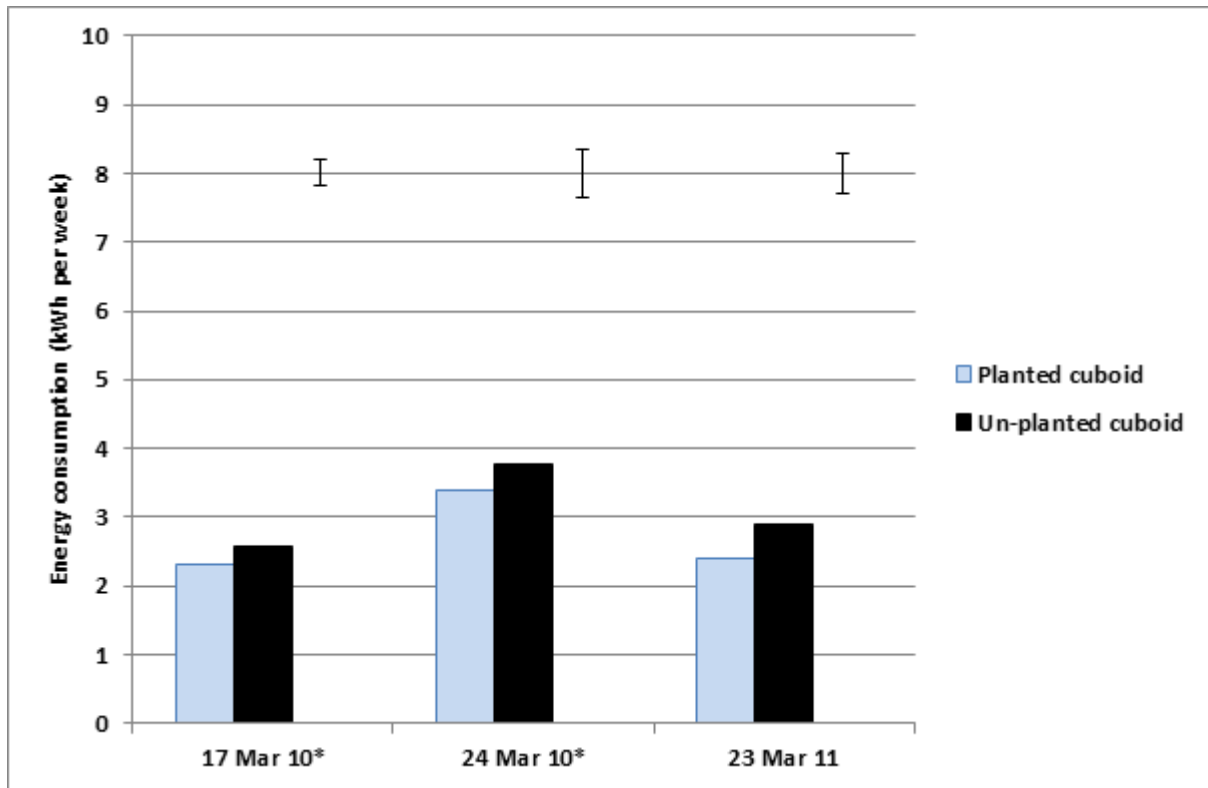
790 **Figure 9. Mean 24 hour brick temperatures, March 4th 2011 when solar irradiation > 120**
 791 **Wm⁻² between the hours of 8.00 – 15.00, and < 120 Wm⁻² thereafter; conditions dry with**
 792 **calm. Bars = LSD; df = 19.**



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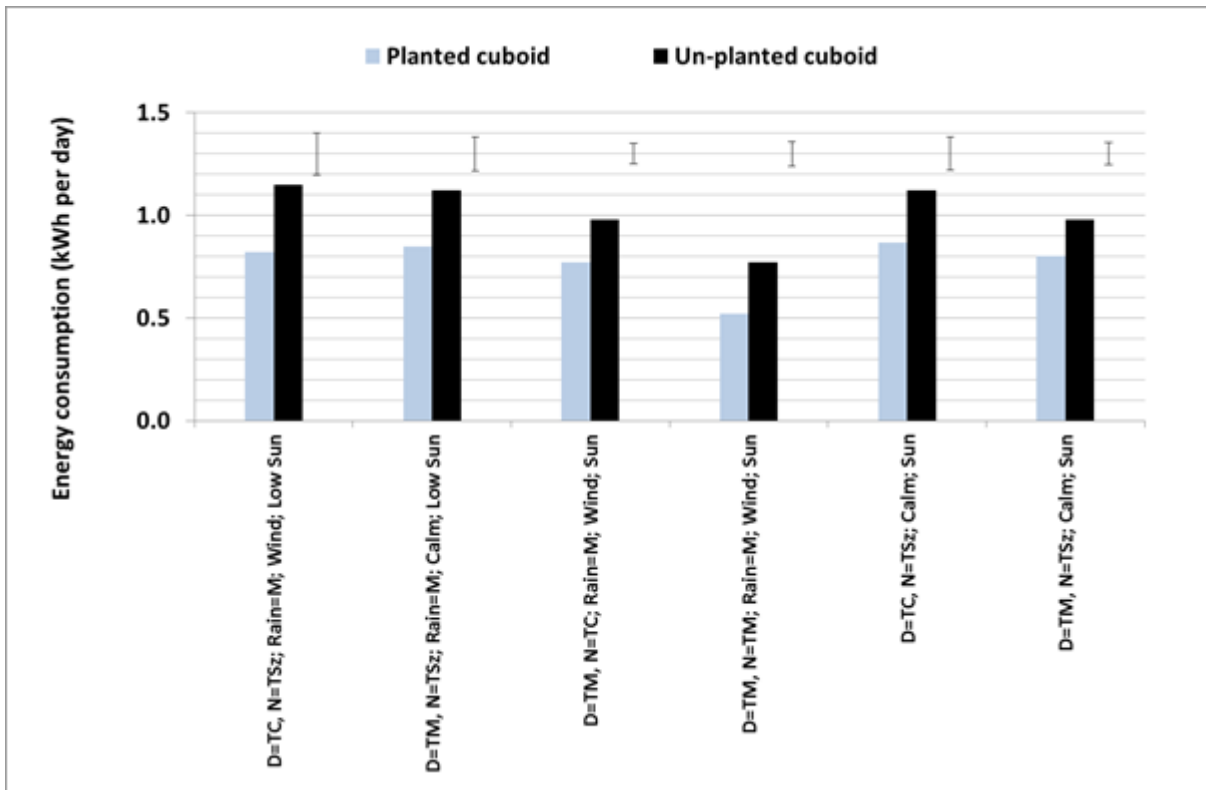
794

795 **Figure 10. Moderate and Sun. Weekly energy consumption per cuboid, where weekly**
796 **mean ambient temperature was moderate, calm, low rainfall, and winter sun of ≥ 3 h**
797 **per day. Weeks with * represent periods where foliage cover was not complete. Bars =**
798 **LSD; df = 11 for * weeks, and 19 for remainder. Data week commencing.**



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802 **Figure 11. Comparison of diurnal energy consumption per cuboid, selected 24 h**
 803 **periods Feb.- Mar. 2010; with associated weather (C=cold, D= day, N=night,**
 804 **M=moderate, Sz=sub-zero, T=temperature). All periods have incomplete foliage cover.**
 805 **Bars = LSD; df = 11.**



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