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1 Informed Public Choices for Low-Carbon
2 Electricity Portfolios Using a Computer
3 Decision Tool

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11 KEYWORDS: low-carbon energy, public decision-making, interactive decision tool

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13

14 **ABSTRACT**

15 Reducing CO₂ emissions from the electricity sector will likely require policies
16 that encourage the widespread deployment of a diverse mix of low-carbon electricity
17 generation technologies. Public discourse informs such policies. To make informed
18 decisions and to productively engage in public discourse, citizens need to understand the
19 tradeoffs between electricity technologies proposed for widespread deployment. Building
20 on previous paper-and-pencil studies, we developed a computer tool that aimed to help
21 non-experts make informed decisions about the challenges faced in achieving a low-
22 carbon energy future. We report on an initial usability study of this interactive computer
23 tool. After providing participants with comparative and balanced information about ten
24 electricity technologies, we asked them to design a low-carbon electricity portfolio.
25 Participants used the interactive computer tool, which constrained portfolio designs to be
26 realistic and yield low CO₂ emissions. As they changed their portfolios, the tool updated
27 information about projected CO₂ emissions, electricity costs, and specific environmental
28 impacts. As in the previous paper-and-pencil studies, most participants designed diverse
29 portfolios that included energy efficiency, nuclear, coal with carbon capture and
30 sequestration, natural gas and wind. Our results suggest that participants understood the
31 tool and used it consistently. The tool may be downloaded from
32 [http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-](http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-technologies/)
33 [technologies/](http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-technologies/).

34

35 **Keywords: low-carbon energy, public decision-making, computer decision tool**

36 **1. INTRODUCTION**

37 Reducing CO₂ emissions from the electricity sector will likely require policies
38 that combine improved energy efficiency with the widespread deployment of a diverse
39 mix of low-carbon electricity generation technologies such as natural gas, renewables,
40 nuclear power, and coal with carbon capture and sequestration (CCS). In the United
41 States, proposed policies have been much debated and subsequently stalled in the U.S.
42 Congress.¹⁻³ Such debates tend to receive ample media attention, and become influenced
43 by public opinion.⁴ However, policy-makers need to know in somewhat greater detail
44 which climate change mitigation policies have the most public support. Therefore, a great
45 deal of public perception research aims to measure people’s preferences for low-carbon
46 alternatives, with the goal of informing climate policy.⁵⁻⁸ To be effective, public
47 discourse about low-carbon electricity policy should be based on informed public
48 perceptions about low-carbon technologies.

49 Yet many non-experts remain relatively uninformed about the costs, benefits and
50 limitations of low-carbon electricity generating technologies.^{5,9-13} For example, many fail
51 to recognize that wind and solar power have limited ability to meet electricity demand
52 due to intermittency,^{12,14} and dramatically underestimate the cost of solar power.¹³ Lay
53 people also mistakenly believe that nuclear plants emit CO₂ and contribute to climate
54 change^{5,9} and that CCS could result in “burps” of CO₂ from underground that could cause
55 suffocation.^{11,15,16} These misconceptions and knowledge gaps are problematic because
56 studies show that uninformed participants tend to report technology preferences that are
57 unrealistic (i.e., not consistent with the capabilities of current electricity generation
58 systems)^{7,16} and unstable (i.e., based on labile opinions that are inconsistent with a

59 person's full set of values).^{6,17} Informed participants could be expected to provide
60 preferences that are more realistic, more representative of their values, and, therefore,
61 more useful to policy-makers.^{15,17-19}

62 In order to improve people's understanding and promote more informed public
63 debate, researchers have called for a move from public perception surveys to more
64 deliberative studies that provide participants with more detailed information.^{17,20} This has
65 been shown to help study participants form more informed preferences.⁸ However, most
66 studies have provided this information using static text, tables and figures that do not
67 allow them to engage in a 'learning-by-doing' exercise. In contrast, interactive decision
68 tools allow people to actively explore how different choices in the tool's input variables
69 affect a number of outcomes of interest. These types of interactive decision tools have
70 been shown to improve knowledge,^{21,22} reduce decisional conflict (i.e., feeling
71 uninformed, unclear about values, and unsupported in decision-making),^{23,24} improve
72 aspects of decision-making²⁵ such as confidence and stability,²⁶ engage attention and
73 transmit information better^{27,28} and improve agreement between people's values and their
74 choices.²²

75 In a previous paper-and-pencil study,⁸ we provided comprehensive and carefully
76 balanced materials that explained the costs and benefits of a set of technologies (e.g., coal
77 with CCS, natural gas, nuclear, various renewables and energy efficiency) to lay
78 participants and subsequently asked them to rank the technologies as well as a restricted
79 set of seven realistic low-carbon portfolios composed of these technologies. Although
80 this study suggested the feasibility of providing comprehensible information about low-
81 carbon technologies, participants were not able to interact with the information so as to

82 reveal the explicit association between different technologies and their risks, costs and
83 benefits. In addition, the seven portfolio choices available to participants represented a
84 restricted subset of possible technology combinations – thus limiting participants’
85 choices. In the study reported here, we rectified these limitations by developing a
86 computer decision tool that allowed lay respondents to interact with the technology
87 information by constructing electricity portfolios and choosing their preferred low-carbon
88 electricity portfolio. As participants changed their portfolios, the tool updated information
89 about projected CO₂ emissions, electricity costs, and health, land, and water impacts.
90 This study builds upon our initial work by elucidating public low-carbon portfolio
91 preferences from a realistic set of choices, constrained only by physical feasibility.
92 Perhaps more importantly, the work illustrates that the computer tool may have value in
93 educating the general public about the challenges we face in achieving a low-carbon
94 energy future, as well as in supporting public debate about the most promising portfolios.

95 The design of the computer tool, as well as supplementary materials provided to
96 participants were informed by previous research,⁸ using the mental models approach for
97 designing communications.²⁹ The mental models approach uses four systematic steps
98 with the goal of developing communication materials that provide relevant information in
99 understandable terms: (1) identify what people should know about the topic under
100 consideration, through an interdisciplinary literature review and input from diverse
101 experts (expert model), (2) identify what people already know and the wording they
102 choose to describe what they know, through open-ended interviews (lay model), (3)
103 design communication materials to address key gaps and misconceptions in people’s
104 knowledge, as identified by a comparison of lay and expert knowledge characterized in

105 the previous steps, as well as any additional decision-relevant concerns that interviewees
106 shared, in wording borrowed from the interview transcripts and (4) iteratively refining the
107 content based on domain expert evaluations to ensure balance and accuracy, as well as
108 input from intended audience members, to ensure their understanding.²⁹ The mental
109 models methodology has been applied to understand people's decision-making and
110 information needs about a wide variety of topics including climate change,^{9,29-31} hurricane
111 modification,³² smart meters for their homes,³³ avian flu,^{34,35} sexually transmitted
112 diseases,^{25,36} and vaccines.³⁷

113 In our previous work, we used the mental models approach to develop detailed
114 communication materials to inform public preferences about ten technologies (e.g., coal
115 with and without CCS, natural gas, nuclear, renewables, and energy efficiency), and
116 seven restricted low-carbon portfolios that were constructed for Pennsylvania (where we
117 recruited participants) to meet the state's increasing future electricity demands.⁸ After
118 being asked to assume that the U.S. Congress had mandated a 50% reduction in CO₂
119 emissions from power plants built in the future, the participants in our previous study⁸
120 used paper-and-pencil methods to rank the technologies and several pre-specified
121 portfolios. Participants displayed a basic understanding of the materials, which improved
122 further over the course of the study. Overall, these informed participants preferred, in
123 order: energy efficiency, nuclear, integrated gasification combined-cycle coal (IGCC)
124 with CCS and wind. Their rankings of the seven portfolios showed consistency with these
125 technology preferences, and reflected a preference for diversity.

126 Here, we present the findings of an initial study that tested the usefulness of the
127 computer-based tool that allowed participants to design their own low-carbon portfolios,

128 restricted only by technology-specific physical and engineering limitations. Participants
129 first received systematic information based on available data through July 2010, about ten
130 electricity technologies. As a “homework” exercise, participants ranked the technologies.
131 Subsequently, they attended small group meetings held in their community in February
132 and March of 2011. Before and after group discussions, they used an interactive
133 portfolio-building computer tool (Figure 1) to build multiple portfolios that could meet an
134 electricity goal of generating 60 terawatt-hours of electricity per year for Pennsylvania
135 while reducing CO₂ emissions by 50% relative to a status quo scenario. The computer
136 tool and information materials are available for download at [http://cedmcenter.org/tools-
for-
cedm/informing-the-public-about-low-carbon-technologies/](http://cedmcenter.org/tools-for-
137 cedm/informing-the-public-about-low-carbon-technologies/).

138 To assess people’s preferences for low-carbon electricity generation technologies,
139 we analyzed participants' (1) technology rankings and the composition of the low-carbon
140 portfolios they designed. To assess whether people could use the tool productively, we
141 examined (2) whether their portfolio preferences were consistent with their degree of pro-
142 environmental attitudes; (3) whether their portfolio preferences were consistent with their
143 technology preferences, and over time; and (4) their comprehension of and satisfaction
144 with the materials and computer tool.

145

146 **2. METHODS**

147 **2.1. Materials**

148 Our informational materials described ten electricity-generating technologies that
149 could realistically be constructed in Pennsylvania (where we recruited participants) to
150 meet increased electricity demand over the next 25 years: (1) five coal-based

151 technologies, including pulverized coal (PC) and integrated gasification combined-cycle
152 coal (IGCC), both with and without CCS, as well as pulverized coal co-fired with 10%
153 biomass (switchgrass); (2) natural gas combined cycle; (3) nuclear plants (generation
154 III+ or IV); (4) two renewable technologies—modern wind turbines, and utility-scale
155 photovoltaic (PV) solar; and (5) reduced electricity consumption through the promotion
156 of greater energy efficiency. Each technology was described on a separate Technology
157 Sheet (see Supporting Information). To facilitate comparisons between these
158 technologies, each sheet systematically described the same attributes: How it works,
159 Availability, Reliability, Limits of use, Current Use, Safety and Environmental Impacts.

160 Technologies were also systematically compared on 11"x17" comparison sheets
161 that presented graphs and text describing their Pennsylvania-specific respective CO₂
162 emissions and annual electricity generation, Health, Water and Land Impacts, and
163 estimated residential electricity cost (see Supporting Information). Direct CO₂ emissions
164 for fossil fuel plants were obtained using the Integrated Environmental Control Model
165 (IECM),³⁸⁻⁴⁰ augmented by assumptions from Mann and Spath.⁴¹ Presenting direct CO₂
166 emissions was chosen over lifecycle emissions to simplify the already complex
167 information presented to participants. Health costs were calculated using direct NO_x, SO₂
168 and PM values from IECM³⁸ and health damage data (dollars per ton of NO_x, SO₂ and
169 PM emissions) obtained from the National Research Council report The Hidden Cost of
170 Energy.⁴² Water and land use values were obtained from Fthenakis and Kim.^{43,44} Finally,
171 residential electricity costs were calculated as levelized cost of electricity in 2008 \$USD
172 using values from Lazard Ltd.,⁴⁵ the U.S. Energy Information Administration,^{46,47} and
173 data from many other sources,^{39,48-50} which are based on the current fixed and variable

174 costs of technologies and do not account for potential cost efficiencies in the future. See
175 the Supporting Information for details of all calculations and assumptions.

176 We used the mental models approach to design our informational materials.²⁹ A
177 technical literature review identified the information that engineering, environmental
178 science and energy policy experts deemed most relevant for evaluating these
179 technologies. An additional literature review of public perception research helped us to
180 identify the topics about which people have misconceptions and knowledge gaps. The
181 multi-attribute descriptions of the technologies therefore targeted the information that the
182 lay public most need to know about low-carbon electricity technologies. Materials were
183 iteratively reviewed by lay participants to assure that they were understandable and were
184 revised with subject-matter and energy policy experts to ensure accuracy and
185 balance.^{29,51,52} For the latter, we asked experts for individual feedback on the materials, as
186 well as by convening a group of experts who have published extensively in the areas of
187 fossil fuel technologies, CCS, renewables and energy efficiency to discuss the
188 calculations and assumptions used to generate the quantitative information. Expert
189 comments were reflected in the materials if feasible; when disagreement occurred
190 between experts, this was noted. Any remaining errors are those of the authors. All
191 information was written at a 6th to 8th grade reading level, as reflected in the Flesch-
192 Kincaid Grade Level readability statistic.^{53,54}

193 Participants were also presented with a MS Excel-based portfolio-building
194 computer decision tool that they could manipulate with the use of sliders on a user
195 interface (see Figure 1). This tool was designed to build upon the information materials
196 and was pilot-tested in a similar manner.^{29, 51,52} Portfolio designs were limited by two

197 constraints. First, the tool required direct CO₂ emissions to be at least 50% less than
198 emissions from the status quo scenario, which described Pennsylvania as increasing
199 capacity in a similar ratio to what exists today (i.e., approximately 50% of electricity
200 generation from PC plants, 35% from nuclear plants, 14% from natural gas plants and 1%
201 from wind farms). Second, the tool required the design of low-carbon portfolios that
202 could annually generate an additional 60 terawatt-hours of electricity for Pennsylvania
203 (i.e., to meet the expected 1% annual increase in electricity demand for the next 25
204 years).⁵⁵ Electricity reliability (i.e., a non-intermittent, predictable electricity supply) was
205 achieved by following every watt of an intermittent renewable technology (i.e., wind and
206 PV solar) included in the portfolio with an automatic addition of a watt of natural gas
207 plant capacity.⁵⁶ Energy efficiency was also constrained to 20% of a participants'
208 portfolio,⁴⁹ while PC plants co-fired with biomass were limited to 18% due to limitations
209 on growing switch-grass in Pennsylvania.⁵⁷ The inclusion of all other technologies was
210 only limited by the CO₂ emissions constraint.

211 As participants varied the percent of technologies in their portfolio (using the
212 Build Center in Figure 1), they could observe the resulting changes in (1) CO₂ emissions
213 and electricity generated (both in the Goal Center in Figure 1), (2) Annual Health Costs,
214 Land Use and Annual Water Use (in the Impacts area in Figure 1) and (3) the increased
215 cost in monthly electric bill and energy-driven increased cost-of-living (in the Cost area
216 in Figure 1). Participants could then compare up to three self-selected portfolios on a
217 separate screen (see Supporting Information), which presented a comparison across their
218 CO₂ emissions, increased cost in monthly electric bill, annual health costs, land use and
219 annual water use.

220

221 **2.2. Participants**

222 Our initial study of the computer tool was conducted with a diverse sample of 69
223 participants who were recruited through community organizations in the Greater
224 Pittsburgh Metropolitan Area. Participants were 22 to 85 years old (mean = 53.9,
225 median=58). Of these, 70% were female, and 13% nonwhite, almost all of whom were
226 African American. All had graduated from high school, and 58% had completed at least a
227 Bachelor's degree. Sixty-five percent of our participants were registered Democrats, 22%
228 were Republicans and 8% were Independents. The median annual household income of
229 these participants was in the range of \$40,000–\$60,000. By comparison, the general
230 Pittsburgh population is younger (median=33.2), less female (51.6%), has a larger
231 nonwhite population (34%), has less education (34.4% with a Bachelor's degree or
232 higher) and has a lower median income (\$37,161).⁵⁸

233

234 **2.3. Procedure**

235 After signing up for our initial computer-tool evaluation study, participants
236 received “homework” materials by mail. They were presented with an introduction about
237 climate change, the summary sheets on the various technologies (see Supporting
238 Information), and the following problem question: “Today, the power plants in
239 Pennsylvania (PA) make about 225 terawatt-hours (TWh) of electricity each year... In 25
240 years, the power plants in PA will need to make about 285 TWh of electricity each year
241 to keep up with [increasing energy] demands. So, new plants will need to be built. These
242 new power plants will make the additional 60 TWh of electricity that PA needs each

243 year...suppose that the U.S. Congress has just passed a law to reduce the CO₂ released by
244 power plants built in the future. As a result of this law, the ... power plants [built in PA
245 over the next 25 years] will collectively need to release 50% less CO₂ [than a status quo
246 scenario]. Imagine that the Governor of Pennsylvania has asked you to serve on a
247 Citizen's Advisory Panel to give advice on the kinds of plants to build. ...Your job is to
248 rank the power plant types from best to worst.”

249 After ranking the technologies from best (=1) to worst (=10), participants
250 answered 24 true-or-false knowledge questions about these homework materials,
251 focusing on those issues that had been most commonly misunderstood in the pilot tests
252 described previously. Participants then rated their agreement with the 15 environmental
253 statements appearing on Dunlap et al.'s⁵⁹ new ecological paradigm (NEP) scale, with
254 responses anchored at completely disagree (=1) and completely agree (=7). Subsequently,
255 participants attended small group meetings, which were held in their community. We
256 conducted ten of these meetings, each lasting 2.5 to 3.5 hours and involving four to nine
257 participants. The sessions carefully followed a script adapted from previous
258 research.^{8,60,61} Each group first received a review of the homework materials, spending
259 more time on topics for which related true-or-false knowledge questions were answered
260 incorrectly by at least one participant. Participants then were introduced to the computer
261 tool (Figure 1) through an initial presentation and a subsequent step-by-step exercise.
262 Prior to using the computer tool on their own, participants answered a computer
263 knowledge test, which measured their understanding of the computer tool by asking them
264 to follow instructions to use the computer tool and then to report on the resulting output

265 values (e.g., CO₂ emissions, electricity cost, annual water use, etc.) on the computer
266 screen.

267 Finally, participants were provided with an updated user task to “build a
268 combination of new power plants that you think is the best. The combination must make
269 60 TWh of electricity per year, but release 50% of the CO₂ that would have been released
270 [under the status quo scenario presented previously in this paper].” Subsequently,
271 participants used the computer tool to build three portfolios. After comparing these
272 portfolios, they selected one most preferred portfolio, based on which we determined
273 their pre-discussion technology percentages.

274 Next, participants engaged in a group discussion, sharing their chosen portfolio,
275 and their opinions about the technologies. The experimenter created each participant’s
276 portfolio on a computer tool that was projected onto a screen. A comparison of all
277 participants’ portfolios in the group was then shown on the projected screen.

278 Subsequently, participants were given the opportunity to review and revise their
279 personal portfolios, which provided our measure of their post-discussion technology
280 percentages. Finally, they completed satisfaction ratings (e.g., “using the computer tool
281 was an enjoyable experience,” “I learned a great deal about different electricity options
282 from this study,” etc.) to indicate what they thought of the computer tool and the overall
283 study, anchored on a scale from completely disagree (=1) to completely agree (=7). Upon
284 completing the study, participants received \$95, with the option to donate part or all of it
285 to the community organization through which they had been recruited.

286

287 **3. RESULTS**

288 Below, we assess people's responses to communication materials about low-
289 carbon technologies by first reporting on (1) people's preferences for low-carbon
290 electricity generation technologies, as expressed as part of the 'homework' ranking
291 exercise and with the computer tool. To assess whether people could use the tool
292 productively, we examined (2) whether their expressed preferences were consistent with
293 their pro-environmental attitudes; (3) whether their expressed preferences were consistent
294 with their technology preferences and over time; (4) their comprehension of and
295 satisfaction with the materials and computer tool

296

297 **3.1. Preferences for Low-Carbon Electricity Generation Technologies**

298 First, we examined participants' preferences for electricity generation
299 technologies. As displayed in Figure 2, participants' mean technology rankings suggest
300 that on average, they most preferred energy efficiency, nuclear, integrated gasification
301 combined-cycle coal (IGCC) with CCS and natural gas. We used Wilcoxon paired-rank
302 tests, a non-parametric version of the paired-sample t-test designed for use with
303 rankings,⁶³ to examine whether there was a significant difference in participants' rankings
304 for each possible pair of technologies. The superscripted letters in Figure 2 indicate, for
305 each technology, the other technologies that were ranked as significantly "worse". Due to
306 the large number of these comparisons, we only report those that are significant at
307 $\alpha=0.01$. The tests suggested that preferences among the four most preferred technologies
308 (i.e., energy efficiency, nuclear, IGCC with CCS and natural gas) were not significantly
309 different from one another, but all these technologies were preferred to PV solar, IGCC,

310 PC with biomass and PC. Perhaps most notably, three of the four low-carbon baseload
311 technologies (i.e., nuclear, IGCC with CCS, and natural gas) were preferred significantly
312 to the renewable technology photovoltaic (PV) solar. By comparison, coal technologies
313 without CCS (i.e., IGCC, pulverized coal (PC), and PC with biomass) ranked as the three
314 least preferred.

315 Next, we examined participants' preferences for low-carbon electricity portfolios
316 composed of the ten technologies. We evaluated participants' portfolio designs by
317 computing the percent of each technology included in their portfolio (i.e., technology
318 percentage), as part of the maximum percent allowable for that technology. These
319 maximums were constrained in the computer tool by an enforced policy to limit CO₂
320 emissions or by realistic technical limitations of the specific technology. The resulting
321 standardized technology percentages had a possible range between 0 and 100, where 0
322 represents the exclusion of that technology from the portfolio and 100 represents the
323 maximum allowable inclusion of that technology in the portfolio. Figure 3 shows
324 participants' mean standardized technology percentages, as reported pre-discussion (left)
325 and post-discussion (right). The superscripted letters in Figure 3 indicate, for each
326 technology, the other technologies with significantly less standardized technology
327 percentages. Due to the large number of these comparisons, we only report those that are
328 significant at $\alpha=0.01$. The overall pattern of portfolio preferences was in line with the
329 technology rankings shown in Figure 2, with energy efficiency being included most in
330 portfolios, both pre- and post-discussion. This inclusion was significantly larger than that
331 of all other alternatives post-discussion, while the standardized technology percentages
332 for energy efficiency and nuclear were not significantly different from one another in the

333 pre-discussion portfolios. The second largest standardized technology percentage was
334 nuclear power, followed by natural gas, IGCC with CCS, wind and PC with CCS —
335 which, respectively, had the third through sixth largest standardized technology
336 percentages, on average, both pre- and post-discussion. The remaining four technologies
337 (PC, solar PV, IGCC and PC with biomass) had the smallest standardized technology
338 percentages and were not significantly different from one another.

339 Portfolio designs showed relatively good agreement across participants, as seen in
340 Kendall's coefficient of concordance (W) across the rank-orderings of the ten technology
341 percentages (pre-discussion, $W=0.57$, $p<0.001$; post-discussion, $W=0.61$, $p<0.001$). The
342 most frequently chosen portfolio included a diverse combination of energy efficiency,
343 nuclear, natural gas, coal with CCS and wind (31% of participants chose it pre-
344 discussion, 38% chose it post-discussion). Although participants' portfolios could only
345 meet the CO₂ emissions and electricity generation goals of the computer tool if they
346 included one of the low-carbon baseload technologies in their portfolio (i.e., coal [IGCC
347 or PC] with CCS, nuclear or natural gas), it is notable that a majority of participants
348 (58.2% pre-discussion and 60.3% post-discussion) actually included all three. These
349 results are in line with their technology rankings, which also showed strong preferences
350 for these low-carbon baseload technologies.

351

352 **3.2 Consistency of Preferences with Environmental Attitudes**

353 Participants' responses to the 15 NEP scale ratings were scored such that higher
354 ratings reflected stronger pro-environmental attitudes. Participants' mean NEP scale
355 ratings ($M=5.00$, $SD=0.83$) had high internal consistency (Cronbach's $\alpha=0.83$), and were

356 significantly above the scale midpoint of 4 ($t=9.70$, $p<0.001$), suggesting pro-
357 environmental attitudes. Spearman rank correlation between NEP scale ratings and
358 participants' technology rankings (reverse coded for these analyses, such that higher
359 numbers reflect higher preference) suggest that participants who were more pro-
360 environmental preferred PV solar ($r_s=0.29$, $p=0.02$), while less pro-environmental
361 participants preferred PC with CCS ($r_s=0.28$, $p=0.02$). NEP scale ratings, however, were
362 not significantly correlated to participants' technology percentages (Pearson's $r<0.20$,
363 $p>0.10$, both pre- and post-discussion).

364

365 **3.3. Consistency of Portfolio Designs with Technology Preferences and Over Time**

366 Our results suggest that participants' portfolio designs were consistent with their
367 technology preferences, and remained stable over time. Participants' portfolios were
368 consistently aligned with their technology preferences, as seen in significant positive
369 Spearman rank-order correlations between participants' technology rankings (reverse-
370 coded for these analyses, such that higher numbers reflect a higher preference) and their
371 technology percentages in their pre-discussion portfolios (all $r_s \geq 0.26$, $p \leq 0.04$), with
372 the exception of PC with biomass ($r_s=0.09$, $p=0.46$), PC with CCS ($r_s=0.22$, $p=0.08$) and
373 IGCC ($r_s=0.09$, $p=0.46$), which had correlations in the same direction.

374 Participants were able to use the computer tool consistently over time, creating
375 similar portfolios across the two design exercises held in this study. Indeed, we found
376 significant Pearson correlations between pre-discussion and post-discussion technology
377 percentages ($r \geq 0.56$, $p < 0.001$ for each of the ten technologies, with the exception of $r =$
378 0.003 , $p = 0.78$ for IGCC). Paired t-tests between participants' pre- and post-discussion

379 technology percentages show no significant differences (all $p > 0.10$), except for energy
380 efficiency, which was included significantly more in post-discussion portfolio designs
381 than in pre-discussion designs ($t = -3.10$, $p < 0.01$).

382

383 **3.4. Participant Comprehension and Satisfaction**

384 Even before the group discussion, participants were found to understand the study
385 materials. After completing the homework materials, participants answered 24 true-or-
386 false knowledge questions that focused on issues that had been most commonly
387 misunderstood in our formative research on low-carbon technologies. They obtained an
388 average score of 90% correct ($SD = 11\%$; range 46–100%), scoring significantly better
389 ($t = 28.2$, $p < 0.001$) than chance performance due to pure guessing (i.e., 50% correct, with
390 true/false statements). After the experimenter’s explanation of the computer tool,
391 participants answered a 13-question computer knowledge test, in which we measured
392 their understanding of the computer tool by asking them to follow instructions to use the
393 computer tool and then to report on the resulting output values (e.g., CO₂ emissions,
394 electricity cost, annual water use, etc.) on the computer screen. They obtained an average
395 score of 93% correct ($SD = 10\%$; range 62–100%) on this test, suggesting that they could
396 correctly use the tool and understand its output. Participants also reported being satisfied
397 with the computer tool. Their satisfaction ratings indicated that using the computer tool
398 was “an enjoyable experience” ($M = 6.5$, $SD = 1.0$) on a scale from 1 (completely disagree)
399 to 7 (completely agree), with the mean rating being significantly above the scale midpoint
400 of 4 ($t = 20.3$, $p < 0.001$). Similarly, they reported that the tool was “a valuable use of
401 [their] time” ($M = 6.3$, $SD = 1.1$, $t = 17.9$, $p < 0.001$), that they “learned a great deal about the

402 different electricity options from the study” (M=6.4, SD=1.2, t=16.3, p<0.001), and that
403 the information (1) covered the topics that they felt were “important about the electricity
404 options,” (M=6.1, SD=1.2, t=13.6, p<0.001), (2) “corrected some of [their]
405 misconceptions about the electricity options” (M=5.3, SD=1.8, t=5.8, p<0.001) and (3)
406 “filled in many of the gaps in [their] knowledge about the electricity options” (M=5.7,
407 SD=1.7, t=8.3, p<0.001). For each of these assessments, t-tests showed that their mean
408 was significantly above the scale midpoint, suggesting that participants felt generally
409 favorable.

410

411 **4. DISCUSSION**

412 In this initial evaluation study of an interactive computer decision tool, our lay
413 participants engaged in a ‘learning-by-doing’ exercise that apparently allowed them to
414 make informed, deliberate, and internally consistent decisions about their portfolio
415 designs. Indeed, participants scored very well on the knowledge tests of the paper
416 materials and computer tool. After systematically comparing individual technologies and
417 portfolios across costs, risks, benefits and limitations, our informed lay participants
418 preferred energy efficiency, nuclear, IGCC with CCS, natural gas and wind, and designed
419 diverse portfolios including these technologies. Their portfolio designs were consistent
420 with their technology preferences and remained stable over the course of the study. These
421 findings suggest that the computer tool and procedure elicited participants’ informed
422 opinions.¹⁹ Moreover, participants’ tended to be in agreement with each other about their
423 portfolio designs. Similar to studies that show improved knowledge and reduced
424 decisional conflict through use of interactive decision tools,²¹⁻²⁴ our participants’ self-

425 evaluations confirm that they believed they had learned a great deal from the study and
426 that using the computer tool was an enjoyable and valuable use of their time. The overall
427 comprehension and satisfaction reported by our participants suggest that the computer
428 tool and supplemental materials may have value in educating the general public about the
429 challenges we face in achieving a low-carbon energy future. The tool, which is available
430 online at [http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-](http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-technologies/)
431 [technologies/](http://cedmcenter.org/tools-for-cedm/informing-the-public-about-low-carbon-technologies/), could easily be adapted for other educational settings, such as a science
432 classroom or museum, or for web-based applications, and has been an active part of
433 Carnegie Mellon University's Summer Center for Climate, Energy, and Environmental
434 Decision-Making and Green Design Apprenticeship programs.

435 The finding that our participants were able to use the supplemental materials and
436 computer tool productively to inform their decisions about low-carbon portfolios is
437 indicative of the grounding of the underlying mental models methods used to develop
438 both sets of communications. These methods are based on the foundations of prescriptive
439 analysis, which aims to teach people how to make more informed decisions by comparing
440 a normative model^{62, 63} (how people should make decisions) to a descriptive model^{64, 65}
441 (how people actually make decisions), much in the same way that the mental models
442 approach compares the expert and lay models.^{29,63,64} In our previous work,⁸ the expert
443 model suggested that people should be evaluating low-carbon electricity technologies
444 based on relevant attributes, while the lay models suggested, for example, that it was
445 difficult and undesirable for them to evaluate a single technology in isolation. A
446 prescriptive analysis of such findings led to our development of the computer tool and
447 supplemental materials that considered both sets of decision models.

448 One limitation of our study is the infeasibility of presenting all relevant
449 information about the technologies to our participants. By attempting to keep the
450 materials succinct, we had to make judgments about the information to prioritize and the
451 assumptions used. Thus, while we strove to present a balanced account of the costs,
452 benefits and limitations of each electricity technology, some potential subjectivity was
453 likely reflected in the development of the materials.

454 Another limitation is the use of a local convenience sample from the Pittsburgh
455 Metropolitan area. Thus, our technology and portfolio preference results may not
456 generalize to individuals recruited from other locations. While attaining generalizability
457 would require randomly sampling from the Pittsburgh population or attempting to align
458 sample demographics to that of the city, the results of the initial evaluation study
459 presented here do suggest that our computer tool and materials gave participants a stable
460 basic understanding, and may be useful for helping members of the lay public to make
461 more informed decisions about which technologies to support for inclusion in a low-
462 carbon electricity generation portfolio to be constructed in Pennsylvania in the next 25
463 years. The computer tool and materials may also be useful to inform policy-makers and
464 other electricity decision-makers about general public choices for low-carbon
465 technologies. However, the tool and materials are time and location-dependent. That is,
466 the information presented was accurate for electricity generation in Pennsylvania in 2011.
467 To be useful for education and decision support at the national scale, information would
468 need to be adapted accordingly. Thus, caution should be taken when using the tool in its
469 current form.

470 The tool's location dependency may also be relevant when informing people who
471 live near specific energy infrastructure sites and may have different preferences and
472 informational needs. This is possibly why our participants seemed to prefer nuclear and
473 IGCC with CCS to many of the technology alternatives, while some local public
474 perception studies suggest that people may not be as favorable to these technologies
475 being developed in their backyard.^{11,65,66} Another possible explanation for this preference
476 over the other low-carbon alternatives of natural gas and renewables may be related to
477 participants' attitudes toward Marcellus Shale natural gas drilling, which was becoming
478 widespread during the time of our study.^{67,68} Negative perceptions toward shale drilling
479 in the Pittsburgh region may have led to natural gas, as well as the renewables that
480 required the addition of natural gas in our model, to be less preferred. Finally, the
481 preference of nuclear and IGCC with CCS may also be a result of our participants'
482 showing a reluctant preference for these technologies. That is, while some of our
483 participants may not have favorable opinions of nuclear or CCS when presented
484 individually, they may still prefer low-carbon portfolios with a small amount of these
485 technologies.^{5,6,8}

486 Even after having learned from our homework materials, participants who held
487 more pro-environmental attitudes ranked PV solar as better and PC with CCS as worse.
488 That is appropriate given that they were well-informed about the technologies. Moreover,
489 making good decisions (e.g., about which technologies to support) involves considering
490 the tradeoffs of each alternative -- in light of one's own preferences.⁶⁹⁻⁷¹ Our materials
491 and computer tool aim to improve recipients' knowledge and to facilitate more informed

492 decisions, rather than influencing their preferences. That said, participants' portfolio
493 designs showed no such pro-environmental attitude bias.

494 Furthermore, our participants' technology preferences were similar to those we
495 found in our previous paper-based study that presented participants with only seven pre-
496 determined portfolios.⁸ In both studies, energy efficiency, nuclear and IGCC with CCS
497 were the three most preferred technologies, while PC was consistently the least preferred.
498 The most frequently designed portfolio by our participants includes a similar set of
499 technologies (i.e., energy efficiency, nuclear, coal with CCS, natural gas and wind) to the
500 most preferred portfolio in our previous study (i.e., a diverse portfolio with energy
501 efficiency, nuclear, IGCC with CCS, natural gas, wind and PC).⁸ While approximately
502 two years elapsed between these two studies, both showed that informed participants
503 preferred energy efficiency, nuclear, IGCC with CCS, natural gas and wind, and diverse
504 portfolios including these technologies. These realistic portfolios are very similar to those
505 recommended by most electricity and energy policy experts, who attest that there is no
506 one silver bullet low-carbon electricity technology.^{72,73} Instead, these experts recommend
507 that achieving a 50-80% reduction in CO₂ emissions over the next few decades is going to
508 take a combination of all available low-carbon technologies. Our participants were able
509 to come to similar conclusions once they were given adequate information, time and the
510 proper tools to inform their low-carbon energy policy decision.

FIGURES

MAKE YOUR OWN POWER PLANT COMBINATION

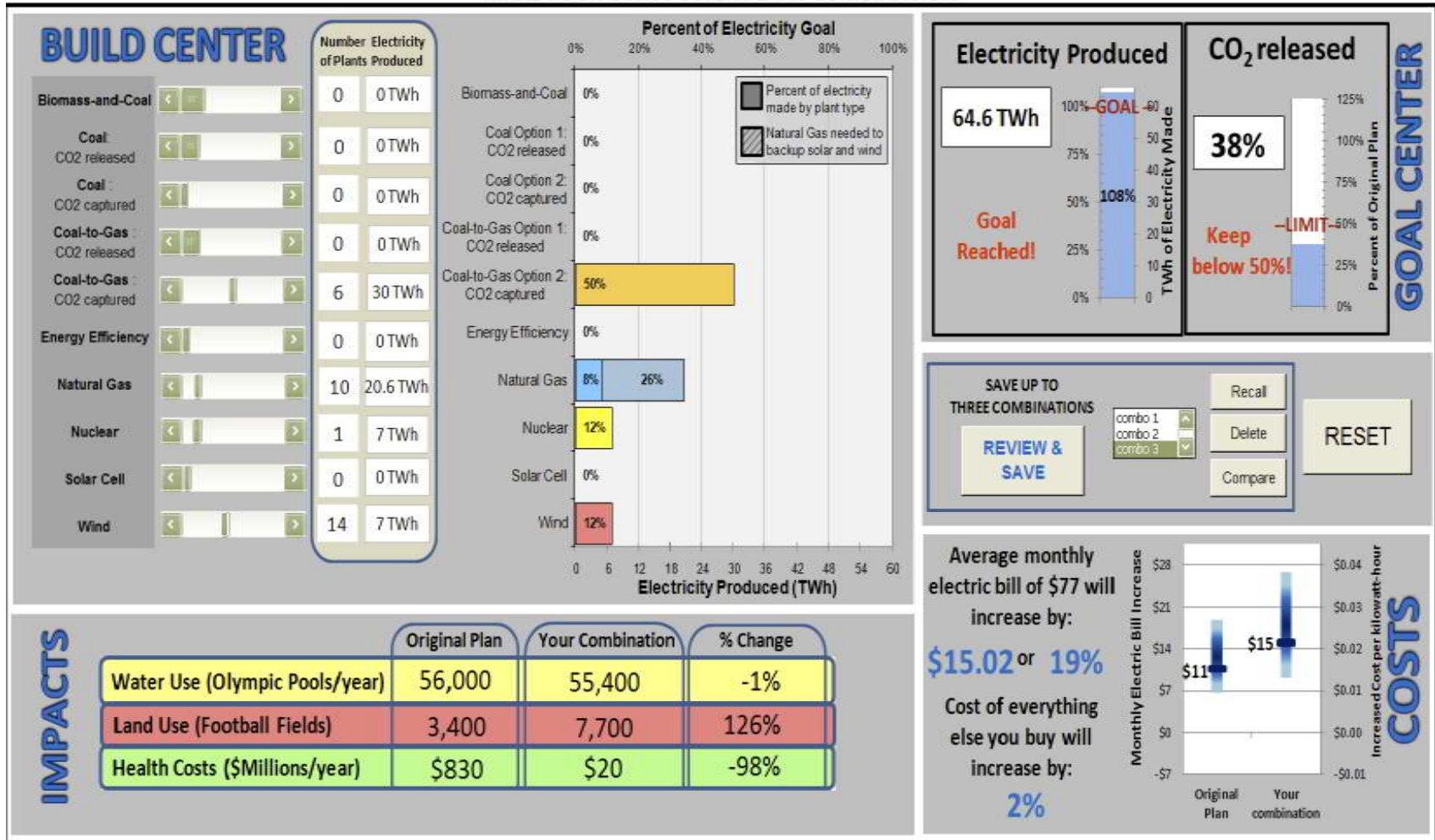


Figure 1. Screen shot of the Portfolio-Building Computer Decision Tool, designed in Microsoft Excel. Participants designed electricity generation portfolios for state of Pennsylvania by using the slider bars in the “Build Center.” The computer tool provided immediate feedback about the annual electricity generation and CO₂ emissions relative to a status quo scenario (in the “Goal Center”), the annual water use, land use and health costs from air pollution (in the “Impacts” center) and the increased cost of electricity in \$/kilowatt-hour and average monthly electric bill, and the increase in cost-of-living (in the “Costs” center). Users could choose to “Reset” their portfolio at any time. Up to three portfolios that met the goal of generating 60 terawatt-hours of electricity per year while reducing CO₂ emission by 50% relative to a status quo scenario could be selected for “Review and Save.” Once saved, users could “Recall,” “Delete,” and “Compare” these portfolios.

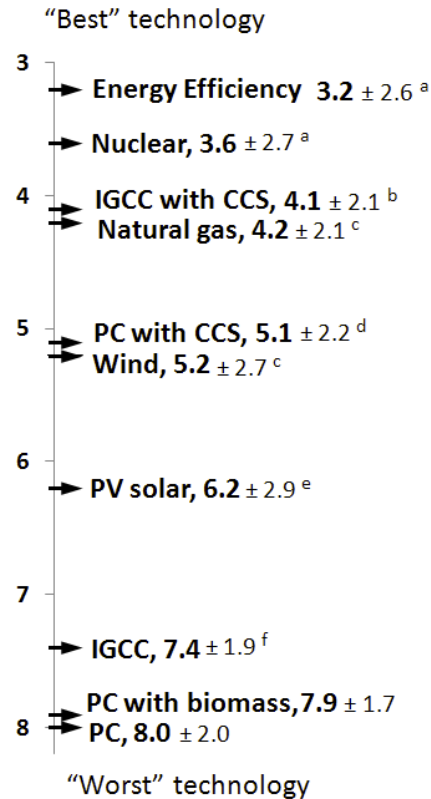


Figure 2. Participants’ mean technology ranking \pm standard deviation, on a scale from 1 (best) to 10 (worst). Superscripted letters next to mean technology rankings refer to Wilcoxon paired-rank tests results ($p < 0.01$), suggesting that: (a) PC with CCS, Wind, PV Solar, IGCC, PC with biomass and PC were ranked significantly worse, (b) PC with CCS, PV Solar, IGCC, PC with biomass and PC were ranked significantly worse, (c) PV Solar, IGCC, PC with biomass and PC were ranked significantly worse, (d) IGCC, PC with biomass and PC were ranked significantly worse, (e) PC with biomass and PC were ranked significantly worse, and (f) PC was ranked significantly worse.

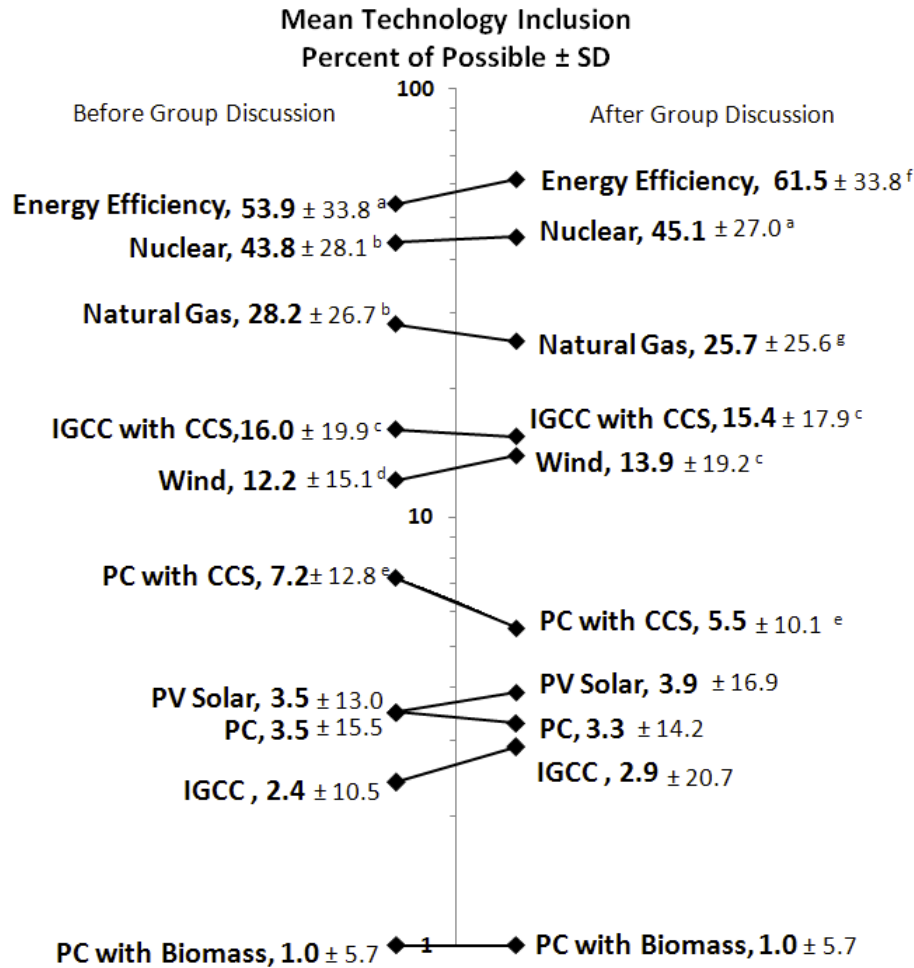


Figure 3. Participants' mean standardized technology percentages ± standard deviation, where 0 is no inclusion of that technology in a portfolio and 100 is maximum allowable inclusion of the technology in a portfolio, pre- (left) and post-discussion (right).

Standardized technology percentages represent the percent of each technology included in participants' portfolio designs, as part of the maximum percent allowable for that technology.

Superscripted letters next to mean standardized technology percentages refer to t-test results ($p < 0.01$) suggesting that standardized technology percentages of: (a) natural gas, IGCC with CCS, wind, PC with CCS, PV solar, PC, IGCC, and PC with biomass were significantly less, (b) IGCC with CCS, wind, PC with CCS, PV solar, PC, IGCC, and PC with biomass were

significantly less, (c) PC with CCS, PV solar, PC, IGCC, and PC with biomass were significantly less, (d) PV solar, PC, IGCC, and PC with biomass were significantly less, (e) PC with biomass was significantly less, and (f) all other technologies were significantly less, and (g) wind, PC with CCS, PV Solar, PC, IGCC, and PC with biomass were significantly less.

ASSOCIATED CONTENT

A screen shot of the computer tool's portfolio comparison screen, technology information sheets and calculations/assumptions used in the computer tool. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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