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1 **A quantitative assessment of the eating capability in the elderly individuals**

2 Laura Laguna ¹, Anwesha Sarkar ¹, Gràcia Artigas ² and Jianshe Chen ³

3

4 ¹ School of Food Science and Nutrition, University of Leeds, Leeds LS2 9JT, UK

5 ² EMPORHOTEL, AIE, C/Hospital, 27, 2on, 1a, 17230 Palamós

6 ³ School of Food Science and Bioengineering, Zhejiang Gongshang University, Hangzhou,
7 Zhejiang 310018, China

8

9 Correspondence: Dr. J Chen, School of Food Science and Bioengineering, Zhejiang
10 Gongshang University, Hangzhou, Zhejiang 310018, China. E-mail: jschen@zjgsu.edu.cn

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13 **ABSTRACT**

14 Ageing process implies physiologically weakened muscles, loss of natural teeth and
15 movement coordination, causing difficulties in eating process. A term “eating capability” has
16 been proposed to measure objectively how capable an elderly individual is in overall food
17 management. Our objectives were to establish feasible methodologies of eating capability
18 assessment, examine correlations between hand and oro-facial muscle strengths and grade
19 elderly subjects into groups based on their eating capabilities. This study was performed with
20 203 elderly subjects living in UK (n=103, 7 community centres, 2 sheltered accommodation)
21 and Spain (n=100, 3 nursing homes, 1 community centre). Hand gripping force, finger
22 gripping force, biting force, lip sealing pressure, tongue pressing pressure and touching
23 sensitivity were measured for elderly subjects. Measured parameters were normalised and
24 scored between 1 to 5, with 1 being the weakest. Subjects were then grouped into 4 groups
25 based on their eating capability scores, being participants of cluster 1 the weakest group and 4
26 the strongest. Perception of oral processing difficulty was assessed by showing food images.
27 Hand gripping force showed a strong linear correlation with tongue pressure (UK: 0.35;
28 Spain: 0.326) and biting force (UK :0.351; Spain: 0.427). Biting force was strongly
29 dependent on the denture status. Elderly of the first three groups perceived food products
30 with more hardness and/or fibrous structure as difficult to process orally. The objective
31 measurements of various physiological factors enabled quantitative characterisation of the
32 eating capabilities of elderly people. The observed relationship between hand and oro-facial
33 muscle strengths provides possibility of using non-invasive hand gripping force measurement
34 for eating capability assessment.

35

36 **KEYWORDS**

37 Elderly; eating capability; hand grip strength; oro-facial muscle; food oral processing

38

39

40 INTRODUCTION

41 Ageing is a physiological process linked with the gradual deterioration of body's function.
42 The progressive muscle degeneration, loss of natural teeth and gradual decline in motor
43 coordination could make food consumption very difficult. This would not only lead to loss of
44 the quality of life, but might also result in malnutrition. Malnutrition is well recognized
45 disease in the elderlies, and can result in more negative consequence include muscle wasting
46 and impaired immune defences [1]. Furthermore, ageing affects the food enjoyment due to
47 the decrease in the ability to taste and smell, chewing difficulties, the side effects of
48 medications and deterioration in general health [2-4], which might lead to changes in the
49 regulation of appetite and the lack of hunger (also known as "anorexia of ageing") [5].

50

51 However, it is worth mentioning that elderly population is significantly diverse in terms of
52 their needs, abilities, difficulties and resources. Even population within the same age group
53 might have different capabilities. For example, independent living elderly are healthier than
54 those who lives in a residential home [6]. Hence, addressing the individuality and designing
55 the perfect food for this heterogeneous group of elderly is a challenge for the food industry
56 and also for the care givers. Despite the fact that food for elderly has attracted great research
57 interests in past few years and some abundant data are available in literature, implication has
58 been limited in both food provision by food manufacturing and service industries and the
59 wellbeing of elderly populations. This was partly due to the poor user connection of these
60 researches and partly due to some fundamental questions on the overall eating process
61 remaining unanswered.

62

63 The overall eating actions can be classified into external actions (hand and finger
64 manipulation) and internal actions (food oral processing: first bite, mastication and
65 swallowing). During eating, hands are used in different ways, to lift objects such as lifting
66 and holding glass of water, to manipulate cutlery, to manipulate packaging such as opening a
67 lid of a yogurt and to bring food from the plate to the mouth. An aged individual may find
68 difficulties in carrying out this overall eating process and even an external help might be
69 needed. Those elderlies who suffer from skeletal muscle weakness will have problems in the
70 hand grip precision and hand grip force [7]. Particular pathologies as Parkinson's disease
71 might lead to difficulty in coordination of cutlery on the plate such as cutting or getting hold
72 of the piece of meat as well as transfer it from the plate to the mouth without frequently

73 spilling [8]. Regarding the food oral processing, elderly may have less mastication efficiency
74 than younger population due to a combination of loss of muscle mass, muscle forces and lack
75 of teeth [9]. This fact conditions the elderlies' food choice, making them to consume more
76 frequently softer food such as purees, mashed food [10]. During the mastication and posterior
77 swallowing of the bolus formed, tongue plays an important role in selecting and transferring
78 the food bolus particles and finally initiating the swallowing process [11, 12]. When the
79 whole process (mastication-breathing-swallowing) is not well controlled, individuals could
80 suffer from dysphagia, that unfortunately affects specially the elderly, although the exact
81 effect of ageing on the oropharyngeal swallowing is not yet fully understood [13].

82

83 Thus far, assessment of eating capability of an individual has been largely based on
84 subjective measurements, through qualitative interviews or observation protocol during the
85 meal [14, 15]. There are few studies which have characterized some of the individual
86 parameters that influence the eating performance, such as the measurement of hand grip force
87 in elderlies [16, 17], the influence of denture wearers with dentate subjects [18, 19] or the
88 tongue pressure [11] during the swallowing process. However, to our knowledge, there has
89 been no quantitative study that gives objective assessment of all the actions such as lifting
90 objects, manipulating cutlery, taking the food to mouth, chewing, masticating etc. that an
91 individual performs during the overall eating process using objective measurements. In the
92 present study, we propose to measure the actions that are necessary during the eating process,
93 especially those ones where their incapability could affect the eating performance, which
94 could result in loss of their quality of life.

95

96 Using the concept of "eating capability" proposed by Laguna and Chen[20], this study aims
97 to measure the overall eating capability using three key components: hand and oral
98 capabilities, and tactile sensitivity. Hand and oral capabilities are essential for food handling
99 and manipulation; tactile sensitivity is a key physiological factor for texture sensation. To
100 measure the selected capabilities different devices have been chose in function of the
101 technique reliability, simplicity of the test to set up and conduct, and the non-requirement of a
102 specialist to assist the test.

103 It is hoped that through this study, a systematic approach can be established to objectively
104 categorise elderly consumers based on their individual eating capabilities. The hypothesis of
105 this study is that decline in eating capability conditions one's perception of the ease of eating

106 in such a way that food of higher consistencies would be perceived more difficult to consume
107 by individuals with lower eating capability scores.

108
109

110 **MATERIALS AND METHODS**

111 **Participants and recruitment**

112 United Kingdom. A total of 103 subjects (over 65 years old, 75 women and 28 men) were
113 recruited from seven community centres and two sheltered accommodation through the
114 Neighbourhood Network Scheme in the area of Leeds (Yorkshire, UK).

115 Spain. A total of 100 subjects (over 65 years old, 62 women and 38 men) were recruited in
116 the area of Baix Emporda (Girona, Spain) from three different nursing homes and one
117 community centre.

118 The inclusion criteria were: to be above 65 years old and having no acute pain in the upper
119 extremities and oral areas. Participation in the study was voluntary. For the entire
120 experimental procedures, participants did not need to travel but were visited by the researcher
121 either in the community centres, private homes or nursing homes. Ethical approval was
122 obtained from the Faculty Ethics Committee at the University of Leeds (MEEC 13-019) for
123 UK and from the Comitè Ètic d'Investigació Clínica Institut d'Assistència Sanitària, Girona
124 for Spain. All the experimental procedures followed the rules and guidance set by the
125 University of Leeds, UK.

126

127 **Eating capability components**

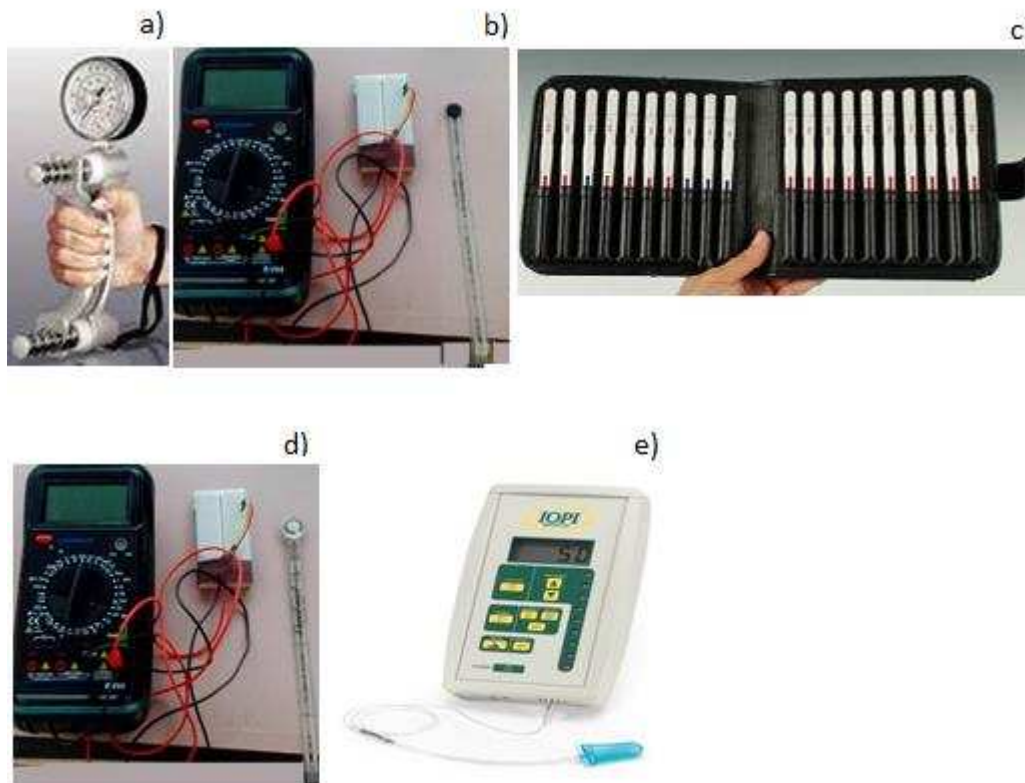
128 Eating capability is defined as the physical, physiological, and cognitive capabilities of an
129 individual in handling and consuming food [21]. This work mainly focussed on the hand and
130 oro-facial muscular capability. All measurements were done in triplicate.

131

132 **Hand capability**

133 Hand gripping force was measured with an adjustable handheld dynamometer (Figure 1a)
134 (JAMAR dynamometer, Patterson Medical Ltd., Nottinghamshire, UK). Participants were
135 asked to squeeze the hand dynamometer with their maximum efforts and maintain that for
136 approximately 3 seconds with both hand alternatively [22]. The intensity of hand gripping
137 was displayed as the maximum force in the digital panel.

138 Finger gripping force was measured with a modified version of the device designed by
139 previous authors [23]. It consists of a built-in thin flexible force transducer (see figure 1b)
140 (Tekscan, South Boston, Massachusetts, USA) connected to a multimeter. Two self-adhesive
141 1cm diameter neoprene discs were attached to the sensor to make the measurement
142 comfortable for participants, as shown in Figure 1b. The multimeter connected to the
143 flexisensor registered the resistance in ohms (the larger the force, the lower the resistance).
144 To convert the registered resistance data into force values, a calibration was conducted.
145 Forces of magnitude from 5 N to 250 N were applied using a Texture analyser (Stable Micro
146 Systems, Godalming, UK) and resistance at each applied force was recorded. A standard
147 curve of the applied force (N) and registered resistance was produced. To perform the
148 maximum finger force, subjects were asked to squeeze the neoprene adhesive with their
149 thumb and index finger and the minimum resistance was recorded.



150
151 **Figure 1.** Illustration of the devices used for measuring eating capability components. (a)
152 hand gripping force measuring device (JAMAR dynamometer); (b) finger gripping force
153 measuring device (multimeter and flexisensor with neoprene disc); (c) Semes-Weinstein
154 Monofilament (SWM); (d) biting force measuring device (multimeter and flexisensor with
155 silicon disc) and (e) Iowa oral performance instrument.

156

157 Finger-Tactile sensitivity

158 The chosen technique for touching sensitivity was the Semmes-Weinstein Monofilament
159 (SWM) test (North Coast Medical, Inc., Gilroy, California, USA) (Figure 1c)[24]. A Touch
160 Sense™ monofilament was pressed in perpendicular direction against the skin surface until
161 the filament was bowed for approximately 1.5 seconds and then removed. Tests were begun
162 with the strongest monofilament, which applied a force of 300 g and continued in a
163 descending order down to the weakest filament with only 0.008 g force. Subjects were asked
164 to give a signal when they sensed a touch. If no signal was given after filament pressing, this
165 was taken as a failure by the subject in detecting the touch. The value of the last
166 monofilament that was detected by the participant was recorded as the touching threshold,
167 which is taken as an indication of tactile sensitivity. Results of those participants who were
168 unable to feel the monofilament of 300 g were eliminated.

169

170 Oral capability

171 Denture status

172 Participants were asked about their denture and were classified into 6 different denture
173 statuses: natural teeth, combination (natural with some crown/bridge), full denture, nothing,
174 just few natural teeth, and bottom or top denture.

175

176 Maximum biting force

177 The designed device used in previous study [23] was used for maximum biting force
178 measurement. Two adhesive silicone disc (diameter: 1.5 cm, thickness: 0.3 cm) were used to
179 sandwich the force sensor (Figure 1d). Participants were asked to bite the flexi sensor with
180 the incisors and hold it for a couple of seconds. The minimum resistance shown by the
181 multimeter was recorded. As a hygienic measure, a new plastic film protector was used for
182 each participant.

183

184 Tongue and lip sealing pressure

185 Tongue pressure was measured using the Iowa Oral Performance Instrument (IOPI®,
186 Medical LLC, Redmond, Washington, USA (Figure 1e), recording the tongue-palate pressure
187 [25]. Participants were asked to locate the bulb into the centre of the oral cavity between the
188 tongue and the hard palate and were asked to press with their maximum ability. The
189 maximum pressure was recorded in kPa.

190

191 Lip sealing pressure was also measured using the Iowa Oral Performance Instrument® (IOPI)
192 (Figure 1e). Participants were asked to place the bulb between the two lips and were asked to
193 press lips with their maximum ability. The maximum pressure was also recorded in kPa.

194

195 Eating capability score

196 As in each country studied, the population had different levels of dependence, data has been
197 analysed separately for each country using the same systematic scoring system. Among the
198 measurements carried out, five measurements were chosen for being more repetitive and
199 reproducible to calculate the eating capability score: right hand force, right finger force,
200 finger touch sensitivity, tongue pressure and bite force.

201

202 The maximum eating capability score is 5-points having each test measurement contributing
203 to a maximum of 1-point. To calculate the value of each force for every individual, a fraction
204 was generated. The denominator was the maximum value obtained for the test by the
205 strongest participant of that particular country, and the numerator was the participant's value
206 that was going to be studied. To clusters the subjects in each country according to their
207 capability, the eating capability score was used. Participants with eating capability lower than
208 1 was placed in cluster number one; participants with eating capability lower than 2, was
209 placed in cluster two, and so on.

210

211 Food perception difficulty

212 Images of selected foods and meals in a wide range of texture (from liquid to semi solid and
213 hard solid) printed in colour in polish-coated papers were presented to subjects. Foods of
214 different consistencies were selected by researchers taken into account previous published
215 works [18, 26, 27]. After, different food images were chosen with a focus group in each
216 country with participants over 65 years old. Images were shown in a random order and
217 subjects were asked if they had any difficulty in manipulating these food items in the plate,
218 transferring them to the mouth, biting (1st bite), masticating, as well as swallowing them.
219 Participants self-reported the difficulties (or not difficulty) perceived by each food item. The
220 food items that were perceived difficult to process orally were recorded together with the
221 associated comments after a brief discussion with each participant in both UK and Spain.

222

223

224

225 **Data analysis**

226 The calculation of the mean values and the standard deviation (SD) were done using
227 Microsoft Office Excel 2010. One-way analysis of variance (ANOVA) to study the
228 difference among the population in function of denture status and two-way ANOVA to study
229 the difference due to age and gender factors was applied to the physical probes. The
230 significant differences were calculated by Tukey's test ($p < 0.05$). Pearson's correlation was
231 done to study the relationship between different parameters; this analysis was performed
232 using XLSTAT 2009.4.03 statistical software (Microsoft, Mountain View, CA).

233

234 **RESULTS**

235 **Eating capability components and age influence**

236 We will discuss the results by studying first separately the different capabilities of the
237 participants in UK and Spain. Followed by the correlations among the different parameters
238 and finally the eating capability scores will be built up and discussed.

239

240 Hand capability.

241 Hand gripping forces for both countries showed similar values for the same age group. With
242 the age increment, these values showed a decrement (non-significant for Spanish population,
243 $p > 0.05$) especially for the age above 90 years (table 1). Previous studies have reported a
244 linear correlation between the age and the hand force for healthy adults [28]. However, in the
245 population studied in this manuscript not significant correlation with the hand force was
246 found, probability due to the numerous pathologies suffer by the participants (data not
247 shown) and the high variability among them ($sd > 5.05$ Kg for all of the age groups).

248 Similar tendency than hand values was found for finger forces. In table 1 it can be observed
249 that values were also similar (at $p > 0.05$) between countries with high standard deviation
250 suggesting high variability among the individuals. Also, it does exist a tendency of finger
251 force to decrease with the age increment (table 1), not statistically significant for Spanish
252 population ($p > 0.05$), however, correlating both finger force population against the age, there
253 a significant negative correlation (at $p > 0.01$ level) (table2).

254

255 Oral capability.

256 Lip pressure did not correlate with age increment in either of the countries (Table 2).
257 However, we observed lower lip pressure values in old elderly group than in young elderly

258 group (Table 1). Tongue pressure values were significantly different for the different age
 259 groups in both UK and Spain due to the normal muscular decline with age ($p < 0.05$) (Table
 260 1).

Table 1. Characteristics of subjects in UK and Spain for the eating capability components measurements.

Age of participants (years)		65-69	70-74	75-79	80-84	85-89	90-94
Number of participants	UK	14	20	28	12	14	14
	Spain	13	7	16	24	25	18
Hand force (R) (kg)	UK	18.17 ^{ab} (10.62)	20.86 ^a (7.6)	21.65 ^a (8.42)	19.09 ^{ab} (7.90)	17.89 ^{ab} (9.66)	10.51 ^b (5.05)
	Spain	17.83 ^a (11.01)	20.26 ^a (17.07)	18.46 ^a (7.64)	14.86 ^a (8.88)	11.46 ^a (7.11)	13.55 ^a (6.73)
Hand force (L) (kg)	UK	19.58 ^a (13.36)	17.81 ^{ab} (8.14)	18.9 ^a (8.33)	17.39 ^{ab} (8.42)	14.87 ^{ab} (8.77)	8.91 ^b (4.48)
	Spain	13.52 ^{ab} (10.52)	20.42 ^a (16.09)	16.73 ^{ab} (10.17)	14.19 ^{ab} (7.01)	10.62 (7.22)	12.66 ^a (7.17)
Finger force (R) (kg)	UK	1.77 ^a (2.02)	1.01 ^{ab} (0.45)	0.9 ^a (0.42)	0.9 ^{ab} (0.64)	0.79 ^{ab} (0.33)	0.7 ^b (0.23)
	Spain	0.99 ^a (0.56)	1.35 ^a (0.76)	1.1 ^a (0.6)	0.91 ^{ab} (0.60)	0.76 ^a (0.30)	0.95 ^a (0.49)
Finger force (L) (kg)	UK	1.46 ^a (1.52)	1.17 ^{ab} (0.84)	1.2 ^{ab} (1.57)	0.84 (0.42)	0.99 ^{ab} (0.72)	0.65 ^b (0.11)
	Spain	0.83 ^a (0.39)	1.1 ^b (0.73)	1.39 ^a (1.96)	0.83 ^a (0.47)	0.72 ^a (0.27)	0.91 ^a (0.46)
Touch sensitivity threshold (g)	UK	0.22 ^a (0.44)	0.22 ^a (0.46)	0.09 ^a (0.1)	0.16 ^a (0.17)	0.21 ^a (0.33)	0.45 ^a (0.45)
	Spain	0.52 ^a (1.19)	0.55 ^a (0.57)	0.88 ^a (2.55)	1.94 ^a (6.27)	6.49 ^a (24.94)	0.37 ^a (0.47)
Lip sealing pressure (kPa)	UK	20.37 ^a (9.95)	23.47 ^a (10.72)	23.61 ^a (9.10)	22.16 ^a (8.17)	21.83 ^a (9.52)	18.32 ^a (8.88)
	Spain	21.92 ^a (11.36)	15.5 ^a (3.39)	19.47 ^a (9.06)	18.81 ^a (8.28)	17.45 ^a (11.21)	19.22 ^a (10.12)
Tongue pressure (kPa)	UK	34.07 ^a (14.97)	33.62 ^a (12.94)	33.59 ^a (13.04)	30.62 ^a (12.24)	29.39 ^a (13.08)	21.88 ^a (9.68)
	Spain	30.69 ^a (19.49)	32.86 ^a (22.33)	25.79 ^a (11.08)	26.9 ^a (14.38)	21.17 ^a (11.18)	25.31 ^a (12.21)
Bite force (kg)	UK	4.92 ^{ab} (5.22)	5.42 ^a (4.02)	5.17 ^a (4.46)	2.83 ^{ab} (2.89)	3.96 ^{ab} (4.47)	1.33 ^b (0.99)
	Spain	7.64 ^a (9.05)	4.4 ^a (8.54)	4.77 ^a (5.65)	2.28 ^a (3.69)	2.44 ^a (4.16)	2.32 ^a (3.16)

261 Values in parentheses are standard deviations. Means in the same row with the same letter do not differ
262 significantly ($p>0.05$) according to Tukey's test
263 Abbreviations: kg, kilograms; R, right; L, Left
264

Table 2. Correlation matrix (Pearson) with the measured eating capability components and age in UK and Spain

	Age	Right hand force (kg)	Left hand force (kg)	Finger right hand force (kg)	Finger left hand force (kg)	Touch sensitivity threshold (g)	Lip sealing pressure (kPa)	Tongue pressure (kPa)	Bite force (kg)
Age (years)	1	-0.305	-0.271	-.202**	-0.186**	0.115	-0.085	-0.234**	-0.293**
Right hand force (kg)	-0.305	1	0.809**	0.576**	0.544**	-0.151*	0.244**	0.390**	0.421**
Left hand force(kg)	-0.271	0.809**	1	0.564**	0.537**	-0.134	0.285**	0.454**	0.288**
Finger right handforce (kg)	-0.202	0.576**	0.564**	1	0.673**	-0.075	0.059	0.270**	0.297**
Finger left hand force (kg)	-0.186	0.544**	0.537**	0.673**	1	-0.104	0.025	0.210**	0.315**
Touch sensitivity threshold (g)	0.115	-0.151	-0.134	-0.075	-0.104	1	-0.166*	-0.116	-0.104
Lip sealing pressure (kPa)	-0.085	0.244**	0.285**	0.059	0.025	-0.166*	1	0.396**	0.167*
Tongue pressure (kPa)	-0.234	0.390**	0.454**	0.270**	0.210**	-0.116	0.396**	1	0.180*
Bite force (kg)	-0.293	0.421**	0.288**	0.297**	0.315**	-0.104	0.167*	0.180*	1

** Correlation is significant at the 0.01 level (2-tailed).

* Correlation is significant at the 0.05 level (2-tailed).

266 Biting force exhibited for UK participants a decrement with the age (table 1), however, for
 267 Spanish population, even there is a trend to decrease the bite force with the age, it is not
 268 statistically significant. As table 3 shows the majority of the participants were denture
 269 wearers, but there is not a clear trend between the dental status and the age increment.
 270 Following previous procedure [19], measuring biting force as a function of denture status
 271 showed (table 3) that highest values were recorded for participants who had natural teeth (bite
 272 force = 6.00 kg) followed by combination (5.48 kg), denture (2.34 kg), nothing (1.3 kg) just a
 273 few (0.81kg), or top or low jaw (0.061kg). Previous researchers [9, 29, 30] underlined the
 274 importance of the oral health condition with the elderly nutrition status. In this study we used
 275 the hand gripping force as an indicator [31] for the nutritional state, as it can be observed in
 276 table 3, there was no statistically significant difference (at $p>0.05$) for the different dental
 277 status, again due to the high variability among participants. However, it should be mentioned
 278 that although it is not statistically significant, participants without any teeth or denture
 279 (described in the table as nothing) were the weakest executing the hand grip force (9.27 kg),
 280 meaning that this participants are in risk of malnutrition.

281

282 **Table 3. Mean of age and bite force by dental status**

Dental status	Number of participants	Age (Years)	Bite force (Kg)	Non dominant hand force (kg)
Natural	54	77.59 ^{ab} (7.82)	6.00 ^a (5.64)	17.51 ^a (10.79)
Combination	43	78.33 ^{ab} (8.17)	5.48 ^{ab} (6.27)	14.97 ^a (9.18)
Denture	77	82.31 ^{ab} (8.52)	2.32 ^{ab} (2.93)	14.69 ^a (9.38)
Nothing	13	83.77 ^{ab} (8.36)	1.37 ^{ab} (1.64)	9.27 ^a (5.08)
Just a few natural teeth	11	85.09 ^b (7.23)	0.81 ^{ab} (0.65)	15.57 ^a (8.48)
Top or low jaw with denture	4	75.50 ^a (6.35)	0.61 ^b (0.05)	15.56 ^a (4.38)

283 Values in parentheses are standard deviations. Means in the same column with the same letter do not differ
 284 significantly ($p>0.05$) according to Tukey's test. Abbreviations: kg, kilograms

285

286 Tactile sensitivity

287 Tactile or touching sensitivity is shown in Table 1. Although there exists a general increment
 288 of touching threshold (in other words, subjects were less sensitive) with age, no significant
 289 correlation was observed (Table 2).

290

291 **Correlation between hand and orofacial muscle strengths**

292 As shown in Table 2, significant correlation (at $p > 0.01$) between hand gripping force and
293 tongue pressure (for the right hand= 0.390; left hand=0.454) were observed. Hand force and
294 biting force also showed a strong correlation (for the right hand= 0.421; left hand=0.288). In
295 UK, a consistent linear relationship between hand grip and oro-facial muscle force (biting
296 force, tongue pressure) was recorded ($R = 0.72-0.89$) (curve fitting not shown). In Spain, a
297 weak polynomial relation could be established between the aforementioned forces ($R = 0.35-$
298 0.68). The touching sensitivity threshold for showed an inverse relationship (at $p > 0.05$) with
299 the right hand gripping force (-0.151).

300 **Eating capability group characteristics and relation with the food perception difficulty**

301 Table 4 shows the values of eating capability scores. Each row of table four correspond to a
302 one single participant, the food rejected and the rejection reason explained by the participant.
303 The maximum score to obtain was 5-points. However, none of the participants obtained the
304 maximum score implying that no single subject could give the strongest performance in all of
305 the capabilities measured. The strongest group of participants studied were allocated in group
306 4, where the maximum force values and the minimum sensitivity threshold were recorded.
307 The group 1 corresponds to the most debilitated group of individuals.

308 Table 5 presents the eating capability scores for subjects in both UK and Spain along with the
309 food rejected and the reasons for rejection, each row corresponded to one participants,
310 meaning that from the 200 interviewed subjects, 37 participants found troublesome to eat
311 some food product. Food products with increased level of hardness (e.g. biscuits) and fibrous
312 structure (e.g. apple, peanut) were perceived by elderly of eating capability group 1, 2 and 3
313 as difficult foods to process orally.

Table 4. Group segregation in function of eating capability described by individual objective behaviour

	Eating Capability Score		Age (Years)		Force at non-dominant hand		Finger force non-dominant hand		Touch sensitivity threshold		Tongue pressure (Kpa)		Bite force (Kg)		Number of participants	
	Spain	UK	Spain	UK	Spain	UK	Spain	UK	Spain	UK	Spain	UK	Spain	UK	Spain	UK
Group 1	1.34 ^a (1.52)	0.73 ^a (0.17)	83.29 ^a (8.09)	84.96 ^a (8.79)	8.89 ^a (4.85)	9.04 ^a (2.70)	0.67 ^a (0.19)	0.62 ^a (0.03)	84.71 ^a (133.77)	30.12 ^a (86.08)	19.56 ^a (9.90)	17.78 ^a (6.52)	1.16 ^a (1.41)	1.34 ^a (1.52)	55	23
Group 2	3.88 ^b (3.13)	1.39 ^b (0.26)	79.71 ^{ab} (7.53)	77.49 ^b (6.93)	17.67 ^a (6.78)	16.32 ^b (6.50)	0.95 ^a (0.47)	0.92 ^a (0.55)	34.85 ^a (96.65)	5.80 ^a (41.19)	32.89 ^a (13.90)	31.42 ^b (10.81)	4.70 ^{ab} (5.98)	3.88 ^{ab} (3.13)	35	53
Group 3	6.64 ^c (3.99)	2.08 ^c (0.45)	75.86 ^{ab} (6.69)	79.71 ^{ab} (7.53)	27.02 ^b (7.64)	22.07 ^c (7.33)	1.87 ^b (1.18)	1.30 ^a (0.83)	0.29 ^a (0.51)	0.06 ^a (0.05)	31.36 ^a (22.81)	39.59 ^{bc} (9.80)	8.58 ^b (7.68)	6.64 ^b (3.99)	7	15
Group 4	9.95 ^d (7.08)	3.19 ^d (0.14)	70.00 ^b (1.41)	73.56 ^b (4.36)	37.33 ^c (2.86)	31.27 ^c (5.26)	2.05 ^b (0.13)	3.10 ^b (2.64)	0.04 ^a (0.00)	0.28 ^a (0.65)	53.00 ^b (9.90)	47.57 ^c (9.22)	22.99 ^c (0.87)	9.95 ^c (7.08)	2	9

Values in parentheses are standard deviation.

Means in the same column with the same letter do not differ significantly ($p>0.05$) according to Tukey's test

Table 5. Eating capability scores in UK and Spain and food rejection due to oral processing difficulty

Eating capability score	Food rejected	Reasons
UK		
0.645	peanuts	not able to chew
0.677	biscuit	not able to chew
0.837	apple	not able to chew, is too hard, fragile teeth
1.112	hard candies	too hard
1.196	apple	too hard
1.233	apple, beef, biscuit, peanuts, seed bread, tomato	not able to eat: seed bread under gums, are difficult to manipulate and hard to chew
1.558	apple	too hard
1.778	apple	apple: too hard
1.807	beef, biscuit, hard candies, lettuce, peanuts	too hard
1.892	hard candies, peanuts	peanuts: painful at mouth, hard candies: difficult to eat
1.903	Biscuit, peanuts	not able to chew
1.906	apple	not in big pieces
2.082	peanuts	not able to chew
2.569	peanuts, apple	not able to chew
2.752	peanuts, seed bread	peanuts: not able to chew; seed bread: seeds under gum
Spain		
0.36	meat	not able to chew
0.69	peanuts	not able to chew
0.79	peanuts, biscuits	not able to chew
0.89	peanuts, fres vegetables	not able to chew
1.03	meat	not able to chew
1.03	meat	not able to chew
1.04	fresh vegetables	not able to chew
1.15	apple, meat	not able to chew
1.21	apple	not able to chew
1.4	peanuts	not able to chew
1.48	apple	not able to chew
1.54	fresh vegetables	not able to chew
1.56	apple, meat, peanuts	not able to eat nothing hard
1.64	meat	get exhausted eating meat
1.65	apple,peanuts	not able to chew
1.69	apple,peanuts	not able to chew
1.71	meat	not able to chew

1.73	apple,peanuts	not able to chew
1.75	meat	not able to chew
1.93	bread and meat	not able to chew
2.16	meat	is too difficult to manipulate
2.48	apple, bread, meat	not able to chew

Note: Food that has been elicited as not able to digest, produce migraine and not consumed as prescribed by doctor has been eliminated

314

315

316 **DISCUSSION**

317 The main findings of the present study underline the evidence that “eating capability”
 318 concept enables going beyond age consideration and takes into account the individuals’
 319 physical capabilities.

320

321 As the result showed, for population with health issues and dependency, measured forces
 322 were not always correlated with age. For example, for hand gripping force, individuals with
 323 different health disorders, such as cerebral stroke [32], peripheral nerve [33] or hand
 324 osteoarthritis [34] cannot perform hand grip movements adequately. In case of Spanish
 325 subjects, the lower correlation values of hand gripping force with age can be explained by the
 326 debilitated of the subjects living in nursing homes. Previous authors have reported that the
 327 hand gripping strength can be related with general muscle strength [28, 31] and posterior
 328 tongue strength [35]. We also observed that hand and oro-facial muscular forces are
 329 significantly correlated despite the variability among subjects (as denture status or stroke).
 330 This indicates that hand force could be used as predictor of oro-facial muscle strength for
 331 elderly subjects who have no sensory motor illness, however more study is needed to find a
 332 model for this relation. Assessing multiple parameters for eating capability is a necessary
 333 undertaking considering the fact that each capability measurement gives different
 334 information.

335

336 Finger gripping measurement had the highest variability among subjects, as this precision
 337 measurement picks up even minor variability in subjects suffering from traumas [36].
 338 Although finger and hand gripping forces were significantly correlated (see Table 2), it is
 339 worth noting that these measurements provide different information about one’s
 340 physiological status. Hand force indicates overall muscle strength [16] and general health
 341 status [31], but finger gripping force indicates the integrity of sensorimotor system such as

342 damage in Parkinson's disease or Huntington's disease [32]. The decline in general strength
343 (measured as hand gripping force) or coordination (measured as finger gripping force) could
344 add difficulties to do common tasks of food handling such as opening a package or lifting
345 food from the plate to the mouth. To perform these actions, touch sense is needed. The loss of
346 sensation is related with predisposition to mechanical, thermal or chemical injury [37]. For
347 Spanish participants, touching sensitivity did not show any relationship with other
348 measurements; whilst in UK it was negatively correlated with hand forces.

349

350 Biting force is known to be not directly associated with the loss of masticatory performance
351 [38], but indirectly influences food oral processing because of the loss of dents [39] or
352 decline in salivary flow [40]. It was clear that the dental status strongly influenced the biting
353 force values. Lesser number of teeth and consequently loss in biting force might lead to
354 reduced efficiency of food particle size reduction and bolus formation. Subjects with
355 incomplete dentition tend to swallow larger food particles[41]. The formation of swallow-
356 able food bolus depends on the tongue movement and coordination. Tongue disorders might
357 result in difficulties in swallowing [42], which is a common problem encountered in elderly
358 population[43, 44] due to its relationship with subclinical aspiration[35] and dysphagia[45].
359 Clinically, swallowing disorders are diagnosed through videofluography [46], that allows to
360 give complete information about the whole swallowing process and its possible functional
361 abnormalities in each phase. In the present work, authors have chosen IOPI device because
362 lingual function is a key contributor to food transportation during the swallowing process,
363 and IOPI provides the capability of the tongue to execute this force for the swallowing
364 initiation (in KPa).Tongue pressure obtained using IOPI device is generally scattered among
365 individuals [11]. However, the average maximum tongue pressure for older age group ($35 \pm$
366 11 kPa) has been reported to be significantly lower than that for younger adults group ($48 \pm$
367 10 kPa).²⁷ The average age and the number of participants used in this previous study were
368 considerably lower. In our study, young elderly had a tongue pressure ranging from 30 to 34
369 kPa (for both countries), which confirms the results of previous qualitative study.

370 There has been no literature study about the lip pressure dependence on age. Most of the
371 previous studies report the evaluation of lip sealing functions and its changes after
372 orthodontic therapy/surgery [47, 48]. Lip sealing pressure showed high variability and little
373 age dependence. High variability may be difficult to explain, but one may think that one's
374 health status may play more important role than age. For instance, the occurrence of stroke is
375 not necessarily age dependent but has huge consequence on lip sealing capability.

376 It must be recognized that aging population is extremely diverse. The quantitative
377 measurement of the eating capability could help caregivers to assess a broader understanding
378 of needs of elderly individuals. This study attempted to evaluate the relationship of physical
379 difficulty of elderly individuals with their eating difficulty. Individuals were characterised for their
380 physiological capabilities in the whole process of eating and assessed if subjects of the same
381 cluster experienced similar eating problems. With four clusters categorised based on their
382 capability scores, it was expected that individuals belonging to the same cluster would have
383 similar eating difficulties. Also, subjects in cluster 1 would elicit more food eating difficulties
384 than those of the successive clusters. However, against our expectation, clusters 1, 2 and 3
385 showed no clear difference in the perception of oral processing difficulties for either of the
386 countries. Participants in all three clusters found foods with increased hardness or fibrous
387 structure such as biscuits, apples, peanuts as difficult to eat, with “difficult to chew”, “painful
388 at mouth” , “too hard to bite” being the most common commentaries. This suggests that the
389 current clustering system is probably not fine enough to distinguish delicate differences of
390 eating problems among elderly populations. Further research is needed for better
391 classification of eating capability.

392

393 In previous works researchers [49] used the Geriatric Oral Health Assessment Index, where a
394 questionnaire regarding the functional dimension, the pain and the discomfort and the
395 psychosocial dimension was used to evaluate problems related with food ingestion. In the
396 present work, authors tried to summarise the entire GOHAI questionnaire to avoid
397 participant’s fatigue, we think that there is still pendent to find an objective measurement of
398 the food difficulty. However, due to the few participants who affirmed to have problems
399 (<18.5%), we think that in the perceived eating difficulty; psychology and social factors
400 played an important role. For instance, many elderly with functional problems found almost
401 impossible to eat without spilling the food and this was seen as a matter of shame. It was also
402 obvious during the study that many elderly had fear from being judged, as has been reported
403 by some other researchers [15, 50].

404

405 Further considerations regarding outcome measures

406 Research with debilitated elderly is a challenging task due to the potential health issue
407 implications, which might occur when the elderly are made to eat, swallow or exert certain
408 movement depending upon the type of food provided as a part of their study. However,
409 authors realized that for some cases, elderly eating perception was based on social and

410 psychological biases and may not correlate with their real difficulties of eating. According to
411 our understanding, this is one of the causes of the lack of distinct differences between food
412 oral difficulty perceptions as a function of different eating capability scores. Other cause
413 might be that the willingness to eat certain food products overshadows the eating difficulty.
414 Although further psychological approach based research is needed, authors realise that the
415 willingness to eat certain foods even of higher hardness by the elderlies can compensate the
416 effort (time and force needed) to do it. For example, during the course of the study, some
417 endotelous participants affirmed to eat meat or peanuts; however, they admitted that it took
418 them much longer time than that of eating pureed food. We believe that a different approach
419 to measure the difficulty should be taken in future researchers, using already existing
420 questionnaires (GOHAI) and relating these responses with the physical time needed to
421 perform the whole eating process for different food products.

422

423 CONCLUSION

424 This work investigated reduced physiological capabilities of eating for elderly populations.
425 Instrumental measurements of the hand and oro-facial muscle strengths gave quantitative
426 reflection of one's capability of overall food management. Statistical analysis revealed
427 important correlations among these physiological capabilities and age. Despite the
428 investigation is still preliminary, results suggest that the positive correlation between hand
429 and orofacial muscle strengths in elderly might lead to the possible use of non-invasive
430 method (hand force) for eating capability assessments. However, assessing multiple
431 parameters for eating capability is a necessary undertaking. To study the relationship between
432 eating capability score and perceived difficulty of food oral manipulation in details, future
433 studies are planned to examine real food oral processing with elderly using objective
434 measurements such as measuring chewing cycles, number of chews, bolus-swallowing time,
435 characterization of bolus and we plan to take into consideration to avoid psychological and
436 social bias.

437

438

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